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Analytical investigation of hydrodynamic performance of a dual pontoon WEC-type breakwater De-Zhi Ning^{*1}, Xuan-Lie Zhao¹, Ming Zhao^{1,2}, Martyn Hann³, Hai-Gui Kang¹ ¹ State Key Laboratory of Coastal and Offshore Engineering, Dalian University of Technology,

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Abstract

11 Based on the linear potential flow theory and matching eigen-function expansion technique, an analytical model is developed to investigate the hydrodynamics of two-dimensional dual-pontoon 12 13 floating breakwaters that also work as oscillating buoy wave energy converters (referred to as the integrated system hereafter). The pontoons are constrained to heave motion independently and the 14 linear power take-off damping is used to calculate the absorbed power. The proposed model is 15 verified by using the energy conservation principle. The effects of the geometrical parameters on the 16 17 hydrodynamic properties of the integrated system, including the reflection and transmission 18 coefficients and CWR (capture width ratio, which is defined as the ratio of absorbed wave power to 19 the incident wave power in the device width). It is found that the natural frequency of the heave motion and the spacing of the two pontoons are the critical factors affecting the performance of the 20 integrated system. The comparison between the results of the dual-pontoon breakwater and those of 21 the single-pontoon breakwater shows that the effective frequency range (for condition of 22 transmission coefficient $K_{\rm T} < 0.5$ and the total capture width ratio $\eta_{\rm total} > 20\%$) of the dual-pontoon 23 24 system is broader than that of the single-pontoon system with the same total volume.

Key words: linear potential flow theory; floating breakwaters; wave energy extraction; effective
 frequency range.

27 **1. Introduction**

28

Extracting energy from ocean waves has become an important research focusing in ocean

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engineering in recent years. To date, a wide variety of wave energy converters (WECs) have been developed, such as oscillating water column (OWC), oscillating buoy and overtopping wave energy converters (Falcão, 2010). However, the high construction cost of these energy conversion devices is still a big challenge (Ferro, 2006). Therefore, reducing the cost of wave energy devices through the improved design has become utmost important.

34 One solution to reduce the cost is the concept of embedding WECs into other offshore structures (Vicinanza et al., 2014). Combining the wave energy devices with breakwaters has drawn increasing 35 36 attention during the past years. Takahashi et al. (1992), Boccotti (2007) and Arena et al. (2013) proposed the concept of building wave energy devices into caisson breakwaters. The wave energy 37 38 devices were integrated into the pile-supported breakwater, the rubble mound breakwaters and the submerged plate-type breakwater by He and Huang (2014), Vicinanza et al. (2014) and Orer and 39 40 Ozdamar (2007), respectively. It is understood that the floating breakwaters are preferable due to their relatively low costs, independence on subsea geological conditions, low environmental impact, 41 aesthetic considerations and flexibility (McCartney, 1985). He et al. (2012, 2013), Michailides and 42 Angelides (2012), Ning et al. (2016), Martinelli et al. (2016) and Chen et al. (2016) investigated the 43 44 performance of hybrid systems consisting of a floating breakwater and a wave energy extraction device. In addition, some research has been conducted to study the coastal protection of wave farms 45 (Zanuttigh and Angelelli, 2013; Mendoza et al., 2014). From the literature, the advantages of the 46 integrated systems can be concluded as follows: (1) the cost sharing between wave energy devices 47 48 and breakwaters can be achieved; (2) the additional ocean space is unneeded for the wave energy 49 device; (3) the multi-purpose use of WECs may be achieved.

This study follows the study by Ning et al. (2016). They conducted laboratory experiments to 50 evaluate the performance of an integrated system consisting of an oscillating buoy WECs and a 51 52 pile-restrained floating breakwater. In this study, an analytical method is developed to calculate the performance of an integrated system, which allows the parametric studies in a wide range of wave 53 and structural parameters. The transmission and reflection coefficients are the important factors to 54 evaluate the performance of a breakwater and the capture width ratio is often used to quantify the 55 performance of the WECs. It is understood that breakwaters are often considered as operating 56 57 satisfactory when $K_T < 0.5$ (K_T denotes the transmission coefficient) and the effective capture width ratio CWR for a wave energy converter shall be greater than 20% (Koutandos et al., 2005; Babarit et 58

al., 2012; Ning et al., 2016). In this paper, the frequency range corresponding to $K_T < 0.5$ and CWR > 59 20% is named as effective frequency bandwidth. For a system with a single pontoon, the qualified 60 transmission coefficient and the effective CWR can be achieved only for a narrow frequency range 61 62 (Ning et al. (2016)). Additionally, the theoretical maximum energy conversion efficiency is only 50% and the effective frequency range of energy conversion is narrow for a two-dimensional symmetrical 63 device with heave motion (Falnes, 2002; Arena et al., 2013). The present study aims at broadening 64 the effective frequency bandwidth of the integrated systems by introducing an improved arrangement 65 66 of dual-pontoon breakwaters. A power take-off (PTO) system is installed on each pontoon to harvest the energy of its heave motion. Two pontoons are arranged in tandem and work independently. The 67 schematic sketch of the improved arrangement is shown in Fig. 1. From the point view of the 68 engineering costs, the total volume of the two breakwaters shall be smaller than that of the case with 69 a single pontoon. 70

71 The hydrodynamics of offshore structures consisting of dual pontoons have been studied by many researchers using analytical (Liu and Li, 2014; Zheng and Zhang, 2016), numerical (Weng and 72 Chou, 2007; Williams and Abul-Azm, 1997; Williams et al., 2000) and experimental methods 73 (Koutandos et al., 2005). For structures with regular shapes, analytical methods with high 74 computational efficiency are often used to predict the wave-structure interaction (Li and Teng, 2015). 75 In this study, the analytical method based on the linear potential theory is used to calculate the 76 77 diffraction and radiation problems of the two-pontoon system. The exciting wave force and 78 hydrodynamic coefficients in the heave mode are computed based on the analytical model for 2-D wave-structure interaction developed by Zheng and Zhang (2016). The reflection and transmission 79 80 coefficients and the CWR are calculated for a wide parametric range. The rest of the paper is organized as follows. In Section 2, the formulas are described. In Section 3, the validation, the results 81 82 and the discussions are presented. In Section 4, the conclusions are given.

83 2. Analytical formula

As shown in Fig. 1, a breakwater comprises of dual floating pontoons that are installed in the water with uniform depth h. The breadths of the pontoon 1 and pontoon 2 are defined as a_1 and a_2 , the drafts d_1 and d_2 , respectively, and the spacing between the two pontoons is D. To study the interaction between the waves and the floating breakwater, a two-dimensional Cartesian coordinate (*O-xz*) system is employed with its origin located on the still water surface. Correspondingly, the mass and the stiffness of the *n*th pontoon pontoon in the heave mode can be expressed as M_n (= $\rho a_n d_n$) and K_n (= $\rho g a_n$), respectively, where ρ is the water density, *g* the gravitational acceleration and n = 1 or 2. The structures are subjected to a train of regular waves travelling in the positive *x*-direction and are assumed to respond only in the heave mode only.

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Figure 1 Sketch of the floating structures with the PTO systems

As indicated in Fig. 1, the fluid domain is divided into five subdomains I, II, III, IV and V. The
fluid motion in the whole domain can be described by the velocity potential

$$\phi(x,z,t) = \operatorname{Re}\left[\Phi(x,z)e^{-i\omega t}\right]$$
(1)

100 where *t* is the time, $i = \sqrt{-1}$, ω the angular frequency, Re denotes the real part of a complex, Φ is a 101 complex velocity potential that satisfies the Laplace equation:

102
$$\frac{\partial^2 \Phi}{\partial x^2} + \frac{\partial^2 \Phi}{\partial z^2} = 0$$
(2)

103 The velocity potential Φ can be divided into three components as:

104
$$\Phi = \Phi_{I} + \Phi_{D} + \sum_{n=1}^{2} \Phi_{R,n}$$
(3)

105 where Φ_{I} is the incident potential, Φ_{D} the diffraction potential and $\Phi_{R,n}$ the radiation potential due to 106 the heave motion of the *n*th structure. The velocity potential for the incident waves can be written as 107 follows

108
$$\Phi_{\rm I} = -\frac{{\rm i}gA}{\omega} \frac{\cosh k(z+h)}{\cosh kh} e^{{\rm i}kx}$$
(4)

109 where *A* is the wave amplitude, *k* the wave number, which satisfies the dispersion relation, i.e., $\omega^2 = gk \tanh{(kh)}$.

For the diffraction problem, the governing equation is Laplace equation and the boundary conditions can be written as follows:

$$\begin{cases} \frac{\partial \Phi_{\rm D}}{\partial z} - \frac{\omega^2}{g} \Phi_{\rm D} = 0 \quad (z = 0, \ x < x_{\rm l,l} \text{ or } x_{\rm r,l} < x < x_{\rm l,2} \text{ or } x > x_{\rm r,2}) \\ \frac{\partial \Phi_{\rm D}}{\partial z} = 0 \quad (z = -h) \\ \frac{\partial \Phi_{\rm D}}{\partial z} = -\frac{\partial \Phi_{\rm I}}{\partial z} \quad (z = -d_n, \ x_{\rm l,n} < x < x_{\rm r,n}, n = 1, 2) \\ \frac{\partial \Phi_{\rm D}}{\partial x} = -\frac{\partial \Phi_{\rm I}}{\partial x} \quad (-d_n < z < 0, \ x = x_{\rm r,n} \text{ or } x = x_{\rm l,n}, n = 1, 2) \\ \Phi_{\rm D} \text{ outgoing: finite value, } |x| \to \infty \end{cases}$$
(5)

where $x_{l,n}$ denotes the coordinate of the left edge of the *n*th structure and $x_{r,n}$ denotes the coordinate of the right edge of the *n*th structure.

The radiation potential due to the heave motion of the *n*th pontoon with an amplitude $A_{R,n}$ and an angular frequency ω can be written as

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$$\Phi_{\mathbf{R},n} = -\mathbf{i}\omega A_{\mathbf{R},n}\varphi_{\mathbf{R},n}(x,z)$$
(6)

119 The complex spatial velocity potential $\varphi_{R,n}$ satisfies the Laplace equation and its boundary 120 conditions can be written as follows:

121

$$\begin{cases}
\frac{\partial \varphi_{\mathrm{R},n}}{\partial z} - \frac{\omega^2}{g} \varphi_{\mathrm{R},n} = 0 \quad (z = 0, \ x < x_{\mathrm{l},1} \text{ or } x_{\mathrm{r},1} < x < x_{\mathrm{l},2} \text{ or } x > x_{\mathrm{r},2}) \\
\frac{\partial \varphi_{\mathrm{R},n}}{\partial z} = 0 \quad (z = -h) \\
\frac{\partial \varphi_{\mathrm{R},n}}{\partial z} = \delta_{m,n} \quad (z = -d_m, x_{\mathrm{l},m} < x < x_{\mathrm{r},m}, m = 1, 2) \\
\frac{\partial \varphi_{\mathrm{R},n}}{\partial x} = 0 \quad (-d_m < z < 0, \ x = x_{\mathrm{r},m} \text{ or } x = x_{\mathrm{l},m}, m = 1, 2) \\
\varphi_{\mathrm{R},n} \text{ outgoing: finite value, } |x| \to \infty
\end{cases}$$
(7)

122 where $\delta_{m,n}$ is the Kronecker delta.

The analytical expressions of the diffraction and radiation potentials (including the evanescent modes) in each domain can be obtained based on the method by Zheng and Zhang (2016). The equation sets can be formed by substituting the diffraction potentials into Eq. (5) and radiation potentials into Eq. (7) and using the orthogonality of the vertical eigen-function. Then the unknown coefficients of the diffraction and radiation potentials can be obtained. The potential in each domain can be further determined. Then the vertical exciting force $F_{z,n}$ on the *n*th structure can be calculated by

130
$$F_{z,n} = -i\omega\rho \int_{S_n} (\Phi_{\rm I} + \Phi_{\rm D}) n_z ds$$

where S_n is the bottom surface of the *n*th structure and n_z is the unit normal vector in the negative *z*-direction.

(8)

(10)

133 The added mass μ_n^m and radiation damping λ_n^m on the *n*th structure in the heave motion 134 subject to a unit forced motion of the *m*th structure can be written as:

135
$$\mu_n^m = -\rho \int_{S_n} \operatorname{Re}[\varphi_{\mathrm{R},m}] n_z \mathrm{d}s \tag{9}$$

136
$$\lambda_n^m = -\rho \omega \int_{S_n} \operatorname{Im} \left[\varphi_{\mathrm{R},m} \right] n_z \mathrm{d}s$$

137 where Im denotes the imaginary part of a complex and m = 1, 2.

138 Then the equation of motion can be written as:

139
$$\left(-\omega^{2}(\mathbf{M}+\boldsymbol{\mu})-i\omega(\boldsymbol{\lambda}+\boldsymbol{\lambda}_{PTO})+\mathbf{K}\right)\mathbf{A}_{\mathbf{R}}=\mathbf{F}_{z}$$
(11)

where **M** and **K** are the mass and stiffness matrices of the structures, respectively; μ and λ are the added mass and wave damping matrices of the structures, respectively; λ_{PTO} is the PTO damping matrix imposed on the structures. **A**_R and **F**_z denote heave response motion vector and heave excitation force vector, respectively.

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The motion equation can be re-written as:

$$\begin{array}{l}
146 \\
147
\end{array}
\left\{ -\omega^{2} \left(\begin{bmatrix} M_{1} & 0 \\
0 & M_{2} \end{bmatrix} + \begin{bmatrix} \mu_{1}^{1} & \mu_{1}^{2} \\
\mu_{2}^{1} & \mu_{2}^{2} \end{bmatrix} \right) - i\omega \left(\begin{bmatrix} \lambda_{1}^{1} & \lambda_{1}^{2} \\
\lambda_{2}^{1} & \lambda_{2}^{2} \end{bmatrix} + \begin{bmatrix} \lambda_{\text{PTO}}[1,1] & 0 \\
0 & \lambda_{\text{PTO},2}[2,2] \end{bmatrix} \right) + \begin{bmatrix} K_{1} & 0 \\
0 & K_{2} \end{bmatrix} \right\} \begin{pmatrix} A_{R,1} \\
A_{R,2} \end{pmatrix} = \begin{pmatrix} F_{z,1} \\
F_{z,2} \end{pmatrix}$$

$$(12)$$

Note that, for a dual pontoon WEC-type floating breakwater, the nonzero elements of λ_{PTO} are

149 $\lambda_{\text{PTO}}[1, 1]$ and $\lambda_{\text{PTO}}[2, 2]$, which represent the PTO damping imposed on the first and second pontoon, 150 respectively. In the present study, $\lambda_{\text{PTO}}[n,n]$ equals to the optimal PTO damping for an isolated single 151 device, which can be expressed as $\lambda_{\text{PTO}}[n,n] = \sqrt{(K_n / \omega - \omega(M_n + \mu_n))^2 + \lambda_n^2}$, where μ_n and λ_n 152 represent the added mass and damping coefficient of the *n*th structure in the isolated case (Falnes, 153 2002; Wolgamot et al., 2016).

154 The power P_n produced by the *n*th structure can be calculated by:

155
$$P_n = \frac{1}{2} \omega^2 \lambda_{\text{PTO}}[n,n] |A_{R,n}|^2$$
(13)

156 Then the total power absorbed is as follows:

157
$$P_{\text{total}} = \sum_{n=1}^{2} P_n \tag{14}$$

158 The incident wave power can be calculated as follows

159
$$P_{incident} = \frac{1}{4} \frac{\rho g A^2 \omega}{k} \left(1 + \frac{2hk}{\sinh 2hk} \right)$$
(15)

161 The CWR is an important indicator to evaluate the hydrodynamic efficiency of WECs (Babarit, 162 2015). The CWR (η_n) of the *n*th structure can be calculated as $\eta_n = P_n / P_{incident}$ and the total CWR as $\eta_{\text{total}} = P_{\text{total}} / P_{incident}$.

163 The performance of a breakwater can be evaluated by the reflection coefficients $K_{\rm R}$ and 164 transmission coefficients $K_{\rm T}$:

 $K_{R} = \left| \frac{\Phi_{\rm D} - i\omega \sum_{n=1}^{2} A_{\rm R,n} \varphi_{\rm R,n}}{\Phi_{\rm I}} \right|_{x=-\infty}$ (16)

$$K_{T} = \left| \frac{\Phi_{I} + \Phi_{D} - i\omega \sum_{n=1}^{2} A_{R,n} \varphi_{R,n}}{\Phi_{I}} \right|_{x=+\infty}$$
(17)

167 **3. Results and discussions**

168 **3.1 Validation**

169 The present model is validated by using the energy conservation relationship of $K_{\rm R}^2 + K_{\rm T}^2 + \eta_{\rm total}$

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165

- 170 = 1. Fig. 2 shows the results of the reflection coefficient $K_{\rm R}$, the transmission coefficient $K_{\rm T}$, the total
- 171 CWR η_{total} and the $K_{\text{R}}^2 + K_{\text{T}}^2 + \eta_{\text{total}}$ for geometrical parameters of $a_1 = a_2 = 6$ m, $d_1 = d_2 = 1.25$ m, D
- 172 = 2 m and h = 10 m. The nonzero elements of the PTO damping matrix are chosen as $\lambda_{PTO}[1, 1]$ and
- 173 $\lambda_{\text{PTO}}[2, 2]$. It can be seen that the relation of $K_{\text{R}}^2 + K_{\text{T}}^2 + \eta_{\text{total}} = 1$ is satisfied perfectly, which validates
- the present analytical model.



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Figure 2 Variations of reflection coefficient $K_{\rm R}$, transmission coefficient $K_{\rm T}$, the totoal CWR $\eta_{\rm total}$ and $K_{\rm R}^2 + K_{\rm T}^2 + \eta_{\rm total} vs$ the dimensionless wavenumber kh.

179 **3.2 Parametric study**

The performance of an integrated system combining a dual-pontoon floating breakwater and dual wave energy devices relies on several parameters, including the breadths, drafts, and spacing of the pontoons (a_n , d_n and D). A parametric study is conducted to investigate the sensitivity of the performance of an integrated system to various wave and geometrical parameters. The difference between the system with dual pontoons and that with a single pontoon are compared in Section 3.2.3.

185 **3.2.1 Effect of the structure breadths (***a*₁ **and** *a*₂**)**

A breakwater with two identical pontoons is considered in Sections 3.2.1, 3.2.2 and 3.2.3. Firstly, the effect of the structure breadths is investigated. Figs. 3(a-c) show the variation of the reflection coefficient $K_{\rm R}$, the transmission coefficient $K_{\rm T}$ and the total CWR η_{total} against the dimensionless wavenumber *kh* for three breadths of $a_1/h = a_2/h = 0.4$, 0.6 and 0.8. The other geometrical parameters are kept constant as $d_1/h = d_2/h = 0.125$, D/h = 0.2.

In each of Fig. 3 (a) - (c), the general trends for all three curves are the same. The reflection 191 coefficient increases with increasing a_1/h . In particular, it is noted that the reflection coefficient 192 exhibits small oscillations at some critical wave numbers among the generally upward curve and the 193 wave numbers where the minimum and maximum values of K_R occur shift to the lower frequency 194 region with the increase of the structure breadth. This may be due to the interference by the strong 195 196 reflection. Similarly, oscillations can also be found for the curves of total CWR. However, for the 197 transmission coefficient, the oscillation phenomenon is weak. A similar phenomenon was found by Garnaud and Mei (2009), who adopted the analytical multiple scales method under the framework of 198 linear potential flow theory. The effective frequency ranges of different structure breadths are slightly 199 different from each other. The effective frequency ranges for $a_1/h = a_2/h = 0.4$, 0.6 and 0.8 are 2.04 < 200 kh < 6.25, 1.55 < kh < 5.75 and 1.26 < kh < 5.37, resulting in bandwidths of 4.21, 4.20 and 4.11, 201 202 respectively.



Figure 3 Variations of reflection coefficient $K_{\rm R}$, transmission coefficient $K_{\rm T}$ and CWR $\eta_{\rm total}$ vs the

dimensionless wavenumber *kh* for cases with different structure breadths ($d_1/h = d_2/h = 0.125$, D/h = 0.2).

208 3.2.2 Effect of the structure drafts (d_1 and d_2)

209 Figs. 4 (a-c) present the influence of the drafts on the performance of the integrated system. Results are shown for three cases with drafts of $d_1/h = d_2/h = 0.08$, 0.125 and 0.15. The other 210 parameters are kept constant as $a_1/h = a_2/h = 0.6$, D/h = 0.2. All the reflection coefficients increases 211 with increasing kh with some oscillations. The critical wave number corresponding to the maximum 212 213 values of $K_{\rm R}$ shifts towards the lower frequency with the increase of the draft. As expected, the 214 structures with larger draft provide the more effective wave barriers, and the effect of the draft on the $K_{\rm R}$ is more obvious for 2 < kh < 7 than the other kh. However, it appears that the effect of the draft on 215 η_{total} is in the apparently opposite trend to that on K_{R} as kh > 2.5. In addition, the wave numbers 216 217 where η_{total} reaches their maximum correspond to those where K_{R} values reaches its minimum. The 218 effective frequency range and the peak value of η_{total} decreases with the increase of the draft ratio. 219 The effective frequency ranges for $d_1/h = d_2/h = 0.08$, 0.125 and 0.15 are 1.65 < kh < 7.93, 1.55 < kh< 5.76 and 1.50 < kh < 5.00, and the corresponding effective bandwidths are 6.28, 4.21 and 3.50, 220 respectively. 221



Figure 4 Variations of the reflection coefficient $K_{\rm R}$, transmission coefficient $K_{\rm T}$ and total capture width ratio $\eta_{\rm total}$ vs the dimensionless wavenumber kh for cases with different structure drafts ($a_1/h = 226$ $a_2/h = 0.6$, D/h = 0.2).

It can be understood that, for a two-dimensional pontoon, the natural frequency of the heave mode decreases with the increase of the structure breadth or draft, which can be identified by Figs. 3 and 4. By combing the results in Figs. 3 and 4, it can be seen that breakwater performance of the system becomes better and the performance of the energy conversion becomes worse with the decrease of the natural frequency of the heave mode.

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3.2.3 Effect of the pontoon spacing (*D***)**

The effect of pontoon spacing on the reflection coefficient $K_{\rm R}$, transmission coefficient $K_{\rm T}$ and total CWR $\eta_{\rm total}$ is shown in Figs. 5(a-c) for four pontoons of D/h = 0.2, 0.4, 0.6 and 1.0. Other geometrical parameters are kept constant as $a_1/h = a_2/h = 0.6$ and $d_1/h = d_2/h = 0.125$. For comparisons, the results of a single pontoon of a/h = 0.6 and d/h = 0.25 (referred to be single pontoon 1, where *a* is the breadth of the pontoon and *d* the draft) and a/h = 1.2 and d/h = 0.125

(referred to be single pontoon 2) are plotted in Fig. 5. Note that the volume of the pontoon of the 240 single case equals to the total volume of the pontoons of the dual pontoon case. It can be seen that 241 the reflection coefficient generally increases with increasing kh but with oscillations, whose 242 amplitude appears to increase with increasing D/h. Similar phenomenon can be found for the total 243 CWR η_{total} . The system with a smaller D/h gives a broader frequency range, for which the $K_{\text{T}} < 0.5$. 244 For the four cases, the total CWR increases with increasing kh firstly and then decreases after it 245 reaches the maximum. The frequency ranges corresponding to $\eta_{\text{total}} > 20$ % are similar. In conclusion, 246 the system with a smaller spacing may give a broader effective frequency range in terms of $K_T < 0.5$ 247 and $\eta_{\text{total}} > 20$ %. The effective frequency ranges are 1.55 < kh < 5.77, 1.78 < kh < 5.71, 2.02 < kh < 5.71248 5.42 and 1.78 < kh < 5.67 and the corresponding effective bandwidths are 4.22, 3.93, 3.40 and 3.89 249 for D/h = 0.2, 0.4, 0.6 and 1.0, respectively. The effective bandwidths of an isolated single pontoon 1 250 251 and an isolated single pontoon 2 are bandwidth 1.09 and 2.53, respectively. It can be seen that effective frequency bandwidth of the two-pontoon system is broader than that of isolated single 252 253 pontoons.



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Figure 5 Variations of the reflection coefficient K_R , transmission coefficient K_T and total capture width ratio $\eta_{\text{total}} vs$ the dimensionless wavenumber *kh* for cases with different spacings ($a_1/h = a_2/h =$ 0.6, $d_1/h = d_2/h = 0.125$). The size of single pontoon 1 is a/h = 0.6 and d/h = 0.25, and the size of single pontoon 2 is a/h = 0.12 and d/h = 0.125.

262 **3.2.4 Effect of the breadth ratio** (a_1/a_2)

It has been proved that, for a system consisting of two identical pontoons, the natural frequency 263 in the heave mode of each pontoon and the spacing between the two pontoons are the critical factors 264 for the performance of the dual pontoon system. From the point view of the engineering cost, the 265 smaller the total volume of the two pontoons, the lower cost of the integrated system. The cost 266 reduction should not compromise the performance of the system. It is understood that the reduction 267 of the draft or the breadth can lead to a decrease in the volume of a pontoon. Therefore, the system 268 consisting of two non-identical pontoons (i.e., the two pontoons with different dimensions and 269 different natural periods) is of interest. In this section, the effect of breadth ratio of the two pontoons 270 271 (a_1/a_2) is investigated. Two scenarios are considered: (1) a_2/h fixed as 0.6 with three breadths of the front pontoon of $a_1/h = 0.2$, 0.4 and 0.6 and (2) a_1/h fixed as 0.6 with three breadths of the rear 272 pontoon of $a_2/h = 0.2$, 0.4 and 0.6. The drafts and the distance are defined as $d_1/h = d_2/h = 0.125$ and 273 D/h = 0.2, respectively. In the discussion, all the results are compared with the results of a reference 274 case of $a_1/h = a_2/h = 0.6$, $d_1/h = d_2/h = 0.125$ and D/h = 0.2. 275



Figure 6 Variations of the reflection coefficient K_R , transmission coefficient K_T and total capture width ratio $\eta_{\text{total}} vs$ the dimensionless wavenumber *kh* for cases with different breadth ratios. ($a_2/h = 0.6$, $d_1/h = d_2/h = 0.125$, D/h = 0.2)

Figs. 6(a-c) show the results of the reflection coefficient $K_{\rm R}$, transmission coefficient $K_{\rm T}$ and total CWR $\eta_{\rm total}$ corresponding to the three values of a_1/h (= 0.2, 0.4 and 0.6) with a fixed a_2/h =0.6. It can be seen that the transmission coefficient of the system decreases and the frequency range for $\eta_{\rm total} > 20\%$ is broadened slightly with the decrease of the a_1/a_2 . That is to say, the effective frequency range changes little by comparing with the case of the $a_1/h = a_2/h = 0.6$, $d_1/h = d_2/h =$ 0.125 and D/h = 0.2. The effective frequency ranges are 2.15 < kh < 6.93, 1.77 < kh < 6.24 and 1.55 <kh < 5.76 and the bandwidths are 4.78, 4.47 and 4.21 for $a_1/h = 0.2$, 0.4 and 0.6, respectively.



Figure 7 Variations of the reflection coefficient K_R , transmission coefficient K_T and total capture width ratio $\eta_{\text{total}} vs$ the dimensionless wavenumber *kh* for cases with different breadth ratios. ($a_1/h =$ 0.6, $d_1/h = d_2/h = 0.125$, D/h = 0.2)

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Figs. 7(a-c) show the results corresponding to the three values of a_2/h (= 0.2, 0.4 and 0.6) with a 295 fixed $a_1/h=0.6$. It can be seen that the variations of K_R vs kh of the three cases are similar to each 296 other. The transmission coefficient becomes smaller with the decrease of the a_1/a_2 at the low 297 frequency region. The effective frequency ranges for $a_2/h = 0.2$, 0.4 and 0.6 are 2.14 < kh < 5.74, 298 1.77 < kh < 5.74 and 1.55 < kh < 5.74 and the bandwidths are 3.60, 3.97 and 4.19, respectively. The 299 300 effective frequency bandwidth becomes broader with the decrease of the a_1/a_2 . By comparing the results shown in Figs. 6 (b-c), it is found that the configuration with a smaller front pontoon and a 301 bigger rear pontoon has wider effective bandwidth than the opposite arrangement of the pontoons. 302

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304 **3.2.5 Effect of the draft ratio** (d_1/d_2)

The effect of the draft ratios (d_1/d_2) is investigated in this section. Firstly, the group with three drafts of $d_1/h = 0.04$, 0.08 and 0.125 are considered. The other parameters are fixed as $d_2/h = 0.125$, $a_1/h = a_2/h = 0.6$ and D/h = 0.2. Secondly, performance of the opposite configuration (i.e., $d_1/h =$ 0.125 $d_2/h = 0.04$, 0.08 and 0.125) is investigated. Similar to Section 3.2.4, the reference case is not changed.



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Figure 8 Variations of the reflection coefficient K_R , transmission coefficient K_T and total capture width ratio η_{total} for pontoons with different draft ratios ($d_2/h = 0.125$, $a_1/h = a_2/h = 0.6$, D/h = 0.2).

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The influence of the draft ratio $(d_1/d_2 = 0.32, 0.64 \text{ and } 1)$ on the reflection coefficient K_R , transmission coefficient K_T and total CWR η_{total} is shown in Figs. 8(a-c). It can be seen that the strongest oscillation occurs for the case with the smallest draft ratio. The transmission coefficient is found to be affected by d_1/h only at region of 3.5 < kh < 7.0. The effective frequency range becomes broader with the decrease of the draft ratio. The K_T values for the three cases are the almost same as *kh* < 2. That is to say, the rear pontoon with a large size determines the transmission coefficient of the integrated system in long waves. In terms of the total CWR, the integrated system gives a broader effective frequency range. The effective frequency ranges for $K_T < 0.5$ and $\eta_{total} > 20\%$ are 1.60 < *kh* < 12.14, 1.58 < kh < 7.9 and 1.55 < kh < 6.32 for $d_1/d_2 = 0.32$, 0.64 and 1, respectively. Accordingly, the bandwidths are 10.56, 6.32 and 4.77. In a word, the integrated system with the smaller draft ratio performs better than that of the identical case in terms of the effective frequency bandwidth.

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Figure 9 Variations of the reflection coefficient K_R , transmission coefficient K_T and total capture width ratio η_{total} for pontoons with different draft ratios ($d_1/h = 0.125$, $a_1/h = a_2/h = 0.6$, D/h = 0.2).

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Figs. 9 (a-c) shows the results as the larger pontoon is located at the upstream side. The effective ranges are 1.60 < kh < 5.74, 1.58 < kh < 5.73 and 1.55 < kh < 5.57 for $d_2/h = 0.04$, 0.08 and 0.125, respectively. Accordingly, effective frequency bandwidths are 4.14, 4.15 and 4.02, respectively. It can be seen that the bandwidth changes little by decreasing the draft of the rear 337 pontoon. By comparing the results shown in Figs. 8 and 9, the configuration with the small-draft 338 front pontoon and large-draft rear pontoon performs better than the opposite configuration in terms 339 of the effective frequency bandwidth. But the transmission coefficient changes very little for the two 340 configurations with the same total volume.

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342 **3.3 Discussions**

The hydrodynamic performance of an integrated breakwater system with two floating pontoons 343 is investigated. Results showed that the two-pontoon system results in a broader effective frequency 344 range in terms of the qualified transmission coefficient and acceptable total CWR than a 345 single-pontoon system. It is understood that the volume of the floating structures affects its cost. 346 Now we take the case with parameters of $d_1/h = 0.04$, $d_2/h = 0.125$, $a_1/h = a_2/h = 0.6$ and D/h = 0.2347 as an example, the total volume of two pontoons is much smaller than that of the single pontoon with 348 349 the parameters of a/h = 0.6, d/h = 0.25 and a/h = 1.2, d/h = 0.125. Therefore, the two-pontoon system is much more acceptable economically. 350

The comparisons of the performance with different configuration of two non-identical pontoons are conducted. Interestingly, the configuration with a smaller front pontoon and a larger rear pontoon performs better than the opposite configuration in terms of the effective frequency bandwidth. This is because stronger reflection occurs at the high frequency region if the larger pontoon is in front of the smaller pontoon, resulting in lower CWR. Thus, the effective frequency bandwidth is narrower. From the point view of effective frequency bandwidth, the configuration with the smaller front pontoon and the larger rear pontoon is suggested.

It is worthy to note that, since the viscous effect is not considered, the transmission coefficient and the total CWR of the integrated system may be overestimated by using the potential flow theory in the frequency domain. The future work will focus on the physical experiments by adopting the general generator.

362

363 4. Conclusions

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The hydrodynamic properties of a two-pontoon WEC-type breakwater have been investigated

analytically under the frame of linear potential flow theory. The matching eigen-function method is used to solve the diffraction and radiation problems. The absorbed power is calculated by using the linear PTO damping method. The numerical results for a range of configurations are presented to illustrate the influence of the different wave and structural parameters on the performance of the integrated system. The conclusions are summarized as follows.

- (1) The reflection coefficient, transmission coefficient and the total CWR of a system with two
 identical pontoons strongly depends on the natural frequency in heave mode and the spacing
 between them;
- 373 (2) For a system with two non-identical pontoons but the total volume fixed, the broader effective 374 frequency bandwidth ($K_T < 0.5$ and $\eta_{total} > 20\%$) can be achieved for configuration with a front 375 pontoon with small-draft and a rear pontoon with large-draft;
- (3) By comparing the transmission coefficient and the CWR of the system with those by the single
 pontoon, the system with two small pontoons (i.e., the total volume of the two small pontoons is
 less than that of the single pontoon) can give a better performance.
- 379 380

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