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## Taking the pulse of Earth's tropical forests using networks of highly distributed plots

N/A ForestPlotsnet

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# Taking the Pulse of Earth's Tropical Forests using Networks of Highly Distributed Plots

**Authors: "ForestPlots.net, Cecilia Blundo, Julieta Carilla, Ricardo Grau, et al.", each of the 548 individual contributors should be included and listed as in the Author Table (alphabetical by country and family name with institution)**

**Corresponding author: Oliver L. Phillips**

## **Abstract**

Tropical forests are the most diverse and productive ecosystems on Earth. While better understanding of these forests is critical for our collective future, until quite recently efforts to measure and monitor them have been largely disconnected. Networking is essential to discover the answers to questions that transcend borders and the horizons of funding agencies. Here we show how a global community has responded to the challenges of tropical ecosystem research with diverse teams measuring forests tree-by-tree in hundreds of long-term plots. We review the major scientific discoveries of this work and show how this process is changing tropical forest science. Our core approach involves linking long-term grassroots initiatives with standardized protocols and data management to generate robust scaled-up results. By connecting tropical researchers and elevating their status, our Social Research Network model recognises the key role of the data originator in scientific discovery. Conceived in 1999 with RAINFOR (South America), our permanent plot networks have been adapted to Africa (AfriTRON) and Southeast Asia (T-FORCES) and widely emulated worldwide. Now these multiple initiatives are integrated via ForestPlots.net cyber-infrastructure, linking colleagues from 54 countries across 24 plot networks. Collectively these are transforming understanding of tropical forests and their biospheric role. Together we have discovered how, where and why forest carbon and biodiversity are responding to climate change, and how they feedback on it. This long-term pan-tropical collaboration has revealed a large long-term carbon sink and its trends, as well as making clear which drivers are most important, which forest processes are affected, where they are changing, what the lags are, and the likely future responses of tropical forests as the climate continues to change. By leveraging a remarkably old technology, plot networks are sparking a very modern revolution in tropical forest science. In the future, humanity can benefit greatly by nurturing the grassroots communities now collectively capable of generating unique, long-term understanding of Earth's most precious forests.

**Key Words:** Amazonia, Africa, Southeast Asia, rainforest, RAINFOR, AfriTRON, species richness, forest plots, permanent sample plots, monitoring, dynamics, carbon sink, global change, ecology, biodiversity.

## 40 **Introduction**

41 As the most diverse and productive ecosystems on Earth, tropical forests play essential roles in the  
42 carbon and water cycles and maintenance of global biodiversity. Tropical forest lands are also home to  
43 more than a billion people and thousands of cultures. Having first provided the environments and  
44 germplasm that sustained foragers and farmers since the earliest days of humanity, today they underpin  
45 a large fraction of our globalized diet and intense demand for water, food and clean air. They also affect  
46 our health in multiple ways, providing rich pharmacopeias to traditional and modern societies, and  
47 capable of changing the course of history when pandemic zoonotic pathogens emerge as forests and  
48 wildlife are exploited. Tropical forests are also critical to determining the degree and impact of  
49 anthropogenic climate change. Because of their extent, carbon density and productivity, they may both  
50 slow global heating by absorbing carbon into their biomass and soils, or accelerate it as deforestation  
51 and high temperatures damage forests and release carbon to the atmosphere.

52  
53 Tropical carbon and biodiversity are therefore critical targets for environmental measurement and  
54 monitoring. While vital to our past and future, efforts to measure and monitor them have until recently  
55 been localised and largely disconnected. Although aspects of their ecology can be sensed remotely on-  
56 the-ground, tree-by-tree measurement is essential. Indeed ground measurements are irreplaceable –  
57 whether to address a plethora of ecological questions (e.g., Wright, this volume), inform and validate  
58 ecosystem models (e.g., Malhi et al., this volume), or assist with interpreting remotely acquired data  
59 (e.g., Chave et al. 2019, Duncanson et al. 2019, Phillips et al. 2019). Yet the very features that enhance  
60 tropical forests' ecological value, such as remoteness, diversity and high rainfall, make fieldwork  
61 challenging. Tropical forest science and scientists from forest-rich countries are often under-resourced  
62 and academically marginalised. Often colonized from afar and distant from economic centres, tropical  
63 nature and many who explore it remain peripheral to national and global academic and political  
64 priorities.

65  
66 The focus of this paper is specifically about the power of new collaborative networks to transform  
67 tropical forest science – *what* we do, *how* we do it, and eventually *who* does it - to understand tropical  
68 forest functioning and dynamics over large temporal and spatial scales. Conceived and funded starting  
69 in South America in 1999 (RAINFOR, Malhi et al. 2002) and later adapted to Africa (AfriTRON, Lewis  
70 et al. 2009) and Southeast Asia (T-FORCES, Qie et al. 2017) our approach encourages international  
71 grassroots initiatives and links them with standardized field methods and data management. Now, with  
72 ForestPlots.net (Lopez-González et al. 2011, 2015) we support multiple networks with cyber-  
73 infrastructure that enables tropical scientists to do together what was previously impossible alone.  
74 Providing tools to ensure tropical scientists can manage, share and analyse their data themselves,  
75 ForestPlots.net is a global platform where data originators are in control and free to collaborate, support,  
76 or lead as much as they like. However, while much has been accomplished the wider challenges still  
77 run deep. Our aim of supporting the best possible science within a model of equitable access to data  
78 and other resources, remains as much an aspiration as a claim of achievements already made.

79  
80 Here we first review how the continental networks and ForestPlots.net emerged, in terms of  
81 collaborators, institutions, people and plots. Next we focus on key scientific achievements of the  
82 combined networks, including a comprehensive understanding of the variation in biomass carbon stock,  
83 growth rates, and carbon residence time among continents. We also review multiple discoveries  
84 concerning large-scale changes over time, with insights emerging from hundreds of permanent plots  
85 that have transformed our understanding of the role that tropical forests play in the biosphere. Finally,

86 we return to the challenges of building and sustaining long-term science networks in the tropics and  
87 outline key priorities for the future.

88

### 89 **1. Network Development**

90 Tropical research plots that tag, measure, identify and follow forests tree-by-tree have existed for  
91 decades. They long precede any continental or global network, but no plot survives since before 1939  
92 and few predate 1970. The earliest efforts were closely connected to the imperial- and post-imperial  
93 projects of European nations. As such, these were largely motivated by questions of timber inventory  
94 and wood production, and only later diversity and wider ecological questions. The very first permanent  
95 sample plots we are aware of in the tropics were installed in 1857 by the German forester Brandis, who  
96 worked for the British in Burma (now Myanmar) and later other parts of India (Dawkins and Philip,  
97 1998). **In India** a few extant Forest Department plots date to 1939 (Pomeroy et al. 2003). Important  
98 early work in **Southeast Asia** included plots installed by Don Nicholson and J.E.D. Fox in the 1950s  
99 through to the 1970s, as well as Peter Ashton since the 1960s and John Proctor since the 1970s. **In**  
100 **Africa**, early permanent plots include those installed by William Eggeling in Uganda in the 1930s.  
101 Among plots surviving today are one in Mpanga Forest, Uganda, set up by Alan Hamilton in 1968, and  
102 those established by Mike Swaine in Ghana and Hans Woell in Liberia in the 1970s, and later plots by  
103 Jan Reistma and Lee White (Gabon), Bonaventure Sonké (Cameroon), Kofi Affum Baffoe (Ghana),  
104 and Henri-Félix Maître and colleagues (Gabon, Congo, C.A.R.). **In Australia**, North Queensland saw  
105 the first plot sampling, for timber, in the 1930s, with many sites from the 1970s still maintained today  
106 by the national science agency (CSIRO). Separately Joe Connell, co-originator of the influential Janzen-  
107 Connell hypothesis, installed and expanded long-term ecological plots in 1963.

108

109 **In the tropical Americas**, T.A.W. Davis and Paul Richards installed ecological plots in Guyana in the  
110 1930s (Davis and Richards 1933) but these do not survive, while Frank Wadsworth established long-  
111 term plots in Puerto Rico's subtropical forests starting in 1943 (e.g. Drew et al. 2009). In Suriname,  
112 Schulz and colleagues established silvicultural studies in the 1950s and 60s that were used to design the  
113 CELOS Management System (Werger 2011). Neotropical ecological plots that persist today include  
114 many in Venezuela by Jean-Pierre Veillon in the 1950s, 60s and 70s (Vilanova et al. 2018) and Rafael  
115 Herrera, Ernesto Medina and colleagues in the 1970s, as well as a few in Brazilian Amazonia by João  
116 Murça Pires, H. Dobzhansky and G.A. Black and later Ghilleen Prance, and several in Costa Rica since  
117 1969 by Diana and Milton Lieberman. Elsewhere, Alwyn Gentry, John Terborgh, Terry Erwin, Gary  
118 Hartshorn, David Neill and Rodolfo Vásquez set up the first long-term plots in western Amazon in the  
119 late 1970s and 80s (Gentry 1988a, Monteagudo et al. 2020). Eastern and central Amazon plots survive  
120 established by Ima Vieira and Rafael Salomão in Pará (Salomão 1991, Pires and Salomão 2000), Tom  
121 Lovejoy, Niro Higuchi and colleagues near Manaus, Henri-Félix Maître in French Guiana, and by  
122 Marcelo Nascimento and colleagues in Roraima. The earliest extant plots in southern Amazonia  
123 originate with Tim Killeen, Luzmila Arroyo, Beatriz Marimon and José Roberto Rodrigues. The first  
124 long-term tropical large plot was established in Costa Rica (Hubbell 1979), which represented a separate  
125 innovation that permitted plot-level analysis of multi-species demography, followed soon after by the  
126 first 50-ha plot in Panama (Hubbell and Foster 1983, Wright this volume) and later developments by  
127 the Smithsonian Institution and the ForestGEO network (e.g. Anderson-Teixeira et al. 2015).

128

129 RAINFOR (Red Amazónica de Inventarios Forestales) is the first international tropical forest network  
130 encompassing hundreds of long-term plots. At root, RAINFOR was inspired by Alwyn Gentry, a  
131 virtuoso tropical botanist who established the first globally standardized floristic inventories. In the  
132 1970s Gentry developed a 0.1-ha sampling design to rapidly inventory diversity in species-rich tropical  
133 forests, capturing all stems  $\geq 2.5$  cm diameter. He and his colleagues applied it throughout the tropical

134 Americas as well as parts of Africa, India, Southeast Asia, Australasia, and some northern and southern  
135 temperate forests. By the time of his untimely death at the age of 48 in 1993, Gentry had completed 226  
136 of these samples, comprising an inventory of thousands of tree and liana species including many new  
137 to science. His legacy lives on in multiple ways. After studying with Walter Lewis and recruited by  
138 Peter Raven in the early 1970's, Gentry was a key figure in the Missouri Botanical Garden's golden age  
139 of tropical botany. He collected more than 80,000 plant specimens, approximately half of which are  
140 tropical trees and lianas. He pioneered a new approach to the challenge of identifying plants in the  
141 world's most diverse forests (Gentry and Vásquez 1993) which has inspired generations of botanists  
142 throughout Latin America. Perhaps most importantly, it was Gentry who embodied the ambition of  
143 combining efficient ecological sampling with high-quality identifications and replicating these to create  
144 highly distributed measurements of the world's forests (e.g. Gentry 1988b, Clinebell et al. 1995, Phillips  
145 and Miller 2002, Phillips and Raven 1997). He also established permanent plots (Gentry 1988a) that  
146 feature in the first continental and pan-tropical analyses of forest carbon and dynamics (Phillips and  
147 Gentry 1994, Phillips et al. 1994, Phillips et al. 1998), which in turn led to the creation of RAINFOR  
148 (Malhi et al. 2002, López-Gonzalez and Phillips 2012) and its protocols (e.g. Phillips et al. 2002).  
149 Originating in 1999 from a small nucleus of researchers and plots and supported by EU funding to  
150 Brazil's LBA initiative and UK scientists, RAINFOR grew to tackle the challenge of analysing  
151 Amazonian forests and climate responses tree-by-tree from the ground-up. By bringing different groups  
152 together RAINFOR facilitated the development of long-term international collaborations to measure  
153 and understand not only forest dynamics and diversity but also biogeochemistry and carbon fluxes.

154

155 While RAINFOR has grown steadily, other plot networks later emerged with complementary foci in  
156 South America. Some are daughter initiatives to RAINFOR, others were formed separately, but most  
157 share a similar ethos and strongly overlapping protocols. To the extent that they can be combined  
158 together these networks represent an impressive Observatory for Neotropical Forests. Below (Table 1)  
159 we report key information about many vibrant networks worldwide that specifically contribute to  
160 ForestPlots.net, while here we briefly enumerate national and international neotropical networks, the  
161 majority of which ForestPlots.net supports. These include (with dates when plots were censused or  
162 consolidated as a network) Tropical Ecology Assessment and Monitoring (TEAM, 2002), Amazon Tree  
163 Diversity Network (ATDN, 2003), Programa de Pesquisa em Biodiversidade (PPBio, 2004, Brazil),  
164 Red Colombiana de Monitoreo de los Bosques (COL-TREE, 2004), Global Ecosystems Monitoring  
165 (GEM, 2010; Malhi et al. this volume), Latin American Seasonally Dry Tropical Forest Network  
166 (DryFlor, 2012), Red de Investigación y Monitoreo del Bosque Seco Tropical en Colombia (Red BST-  
167 Col, 2014), Secondary Forest Network (2ndFOR, 2015), Peru Monitoring Network (MonANPerú,  
168 2017), sANDES (Tree Diversity, Composition and Carbon in Andean Montane Forests, 2019), and Red  
169 de Bosques Andinos (RBA, 2020), as well as global networks and meta-networks including ForestGEO  
170 (Anderson-Teixeira et al. 2015), GFBI (Steidinger et al. 2019), sPlot (Bruehlheide et al. 2019), FOS  
171 (Schepaschenko et al. 2019) and TmFO in logged forests (Sist et al. 2015). Each of these has notable  
172 achievements of their own and at the time of writing this in 2020 almost all have active research  
173 programmes.

174

175 In Africa, our early networking focussed on assessing whether there were similar patterns of changes  
176 in carbon stocks as observed in South American forests and the causes of such changes. Efforts began  
177 in 2001 to recensus many of the earlier plots installed in post-independence Africa (UK funding to O.  
178 Phillips, Y. Malhi and S. Lewis), which were later formalised as the African Tropical Rainforest  
179 Observation Network (AfriTRON; Lewis et al. 2009), and catalysing a tripling of the African multi-  
180 census plot dataset over the last decade (Hubau et al. 2020). These span 12 African countries with moist  
181 forests from Sierra Leone in the west to Tanzania in the east. Like RAINFOR in Amazonia, AfriTRON

182 pools expertise and data to tackle long-term, large-scale questions relating to the ecology and  
183 biogeochemistry of tropical forests. Networks sharing a similar ethos with programmes in Africa now  
184 include TEAM, DynAfFor (Gourlet-Fleury et al. 2013), TmFO and ForestGEO. Recently, the  
185 SEOSAW (Ryan et al. in review) and AfriMont networks have been established, extending long-term  
186 plots into the extensive southern woodlands and savannas and Africa's distinctive montane forests.

187

188 Our work in Southeast Asia began in 2001 to assess forest carbon balance and later developed into a  
189 network once Lan Qie undertook fieldwork and networking. European Research Council investment  
190 (T-FORCES 2012 grant to Phillips, Malhi and Lewis) enabled intensive campaigns to develop long-  
191 term plot networking in Borneo (Qie et al. 2017), and supported African recensuses (Hubau et al. 2020).  
192 While smaller than its Amazonian and African counterparts the Asian network builds on plots installed  
193 by a number of foresters and botanists as long as 60 years ago. Critically, RAINFOR, AfriTRON, T-  
194 FORCES and TmFO use the same field and analytical protocols.

195

196 **Insert Table 1 here: Table 1. Networks contributing to ForestPlots.net (September 2020).**

197

198 How can we combine the different strengths of these and other initiatives to maximise their impact on  
199 science and society? To achieve this requires shared data management tools and horizontal  
200 organisational structures that foster leadership by tropical scientists. Our plot data management scheme  
201 was originally conceived in 2000 as a desktop database to support the first RAINFOR analyses of spatial  
202 variation in wood density, biomass, productivity, and changes in biomass over time (Baker et al. 2004a,  
203 b; Malhi et al. 2004). This was expanded to draw together inventory data from more than 100 sites in  
204 Amazonia and then African forest plots, including some of the longest running monitoring sites  
205 worldwide (Peacock et al. 2007).

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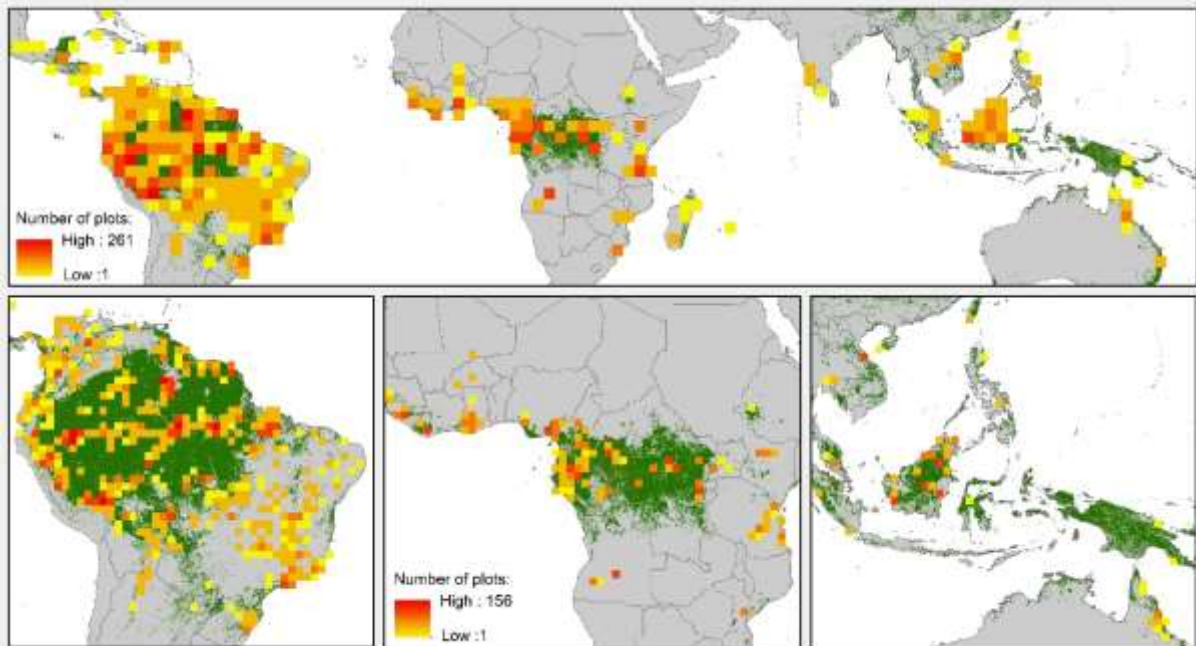
207 Since 2009 we have developed a Structured Query Language web application with sophisticated  
208 programming, providing a one-stop platform to a growing global community of contributors and users  
209 (López-Gonzalez et al. 2011). Now, ForestPlots.net supplies ecological informatics to colleagues in  
210 scientist-led networks from 54 countries working across 44 tropical nations (Fig. 1). Key advances in  
211 this platform include the ability to manage complex time-series data, to track species linked to high-  
212 quality botanical records, and to analyse records with common BiomasaFP R-language protocols  
213 (López-Gonzalez et al. 2015). While focussed on species identity, tree growth, mortality and carbon  
214 dynamics, ForestPlots.net encompasses many related forest attributes including lianas, soils, and plant  
215 traits.

216

217 At their heart long-term plots are an intensely human enterprise and so we also document the personal  
218 contributions to plot establishment and continued monitoring. By tracking who did what, and when, we  
219 also honour the inter-generational aspect of plots that allows modern analysts to stand on the shoulders  
220 of giants. With ForestPlots.net data contributors retain control and are able to manage, share and analyse  
221 their records using a common toolset. If new projects requesting to use their data are proposed they can  
222 agree to collaborate, or not, as they wish. Contributors often propose their own multi-site projects.  
223 ForestPlots.net can provide DOIs to datasets, further ensuring that contributors are properly  
224 acknowledged. Developing this functionality has supported a surge in multi-site and multi-national  
225 analyses that are increasingly initiated by scientists from the tropics, gradually supplanting the  
226 traditional model where researchers from the Global North lead. In sum, ForestPlots.net enables the  
227 level of control and collaboration that individual researchers wish for while underpinning network and  
228 multi-network integration. In turn, this is empowering data owners and networks and helping to  
229 transform the face of tropical ecological science.

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**Figure 1. Current extent of ForestPlots.net**



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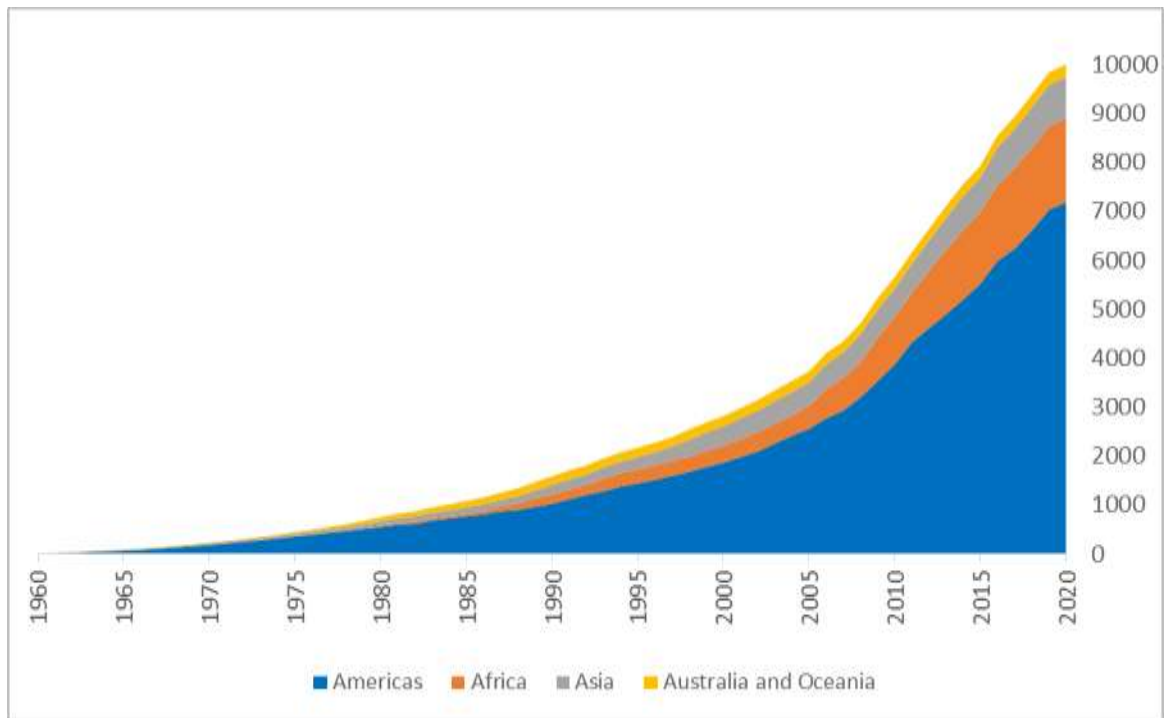
**Top:** Pantropical plot sampling density per 2.5 degree square with the 4.062 multiple- and single-inventory plots hosted at ForestPlots.net. These plots contribute to 24 networks including RAINFOR, AfriTRON, T-FORCES, ATDN, BIOTA, COL-TREE, FATE, GEM, Nordeste, PELD, PPBio, RAS, RBA and SEOSAW. Forest cover based on the Global Land Cover 2000 database (JRC, 2003) with tree cover categories: broad-leaved evergreen; mixed leaf type; and regularly flooded. Our plots also extend into neotropical and African savannas; **Bottom:** The same plot sampling but displayed at higher-resolution (1-degree grid cells) for each focal continent, South America, Africa, and Southeast Asia and Australia.

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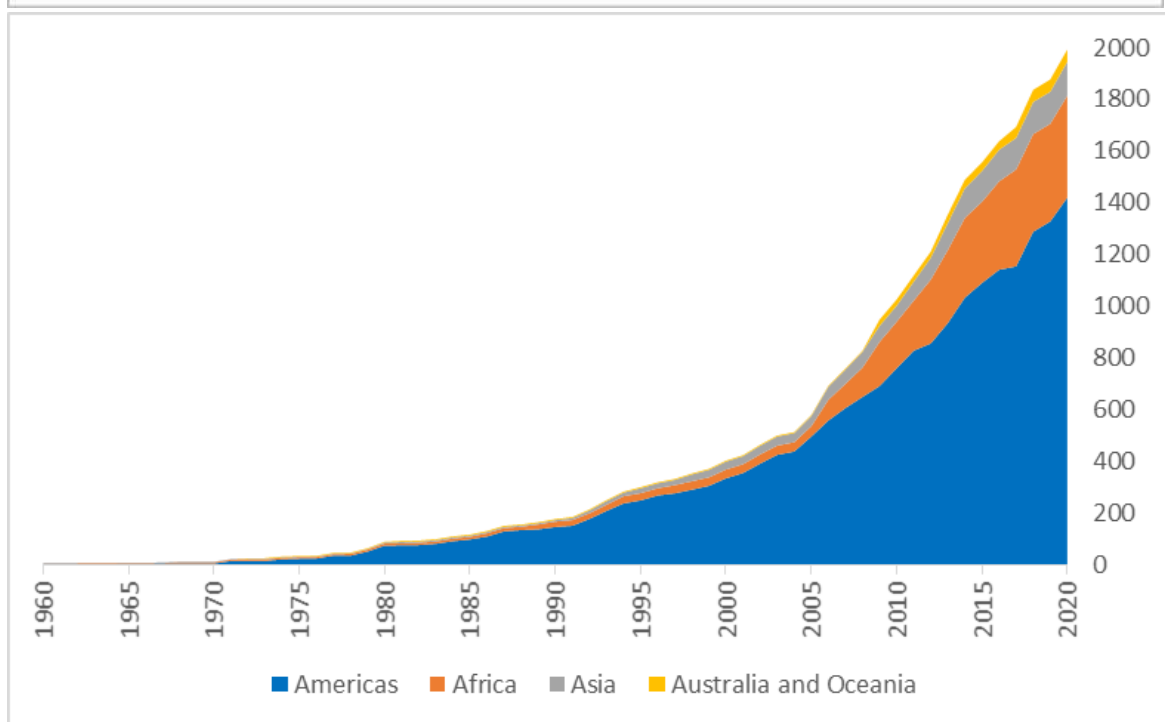
The networks and ForestPlots share a 20-year history, but as already seen the history of plot monitoring is much longer. The first recorded census in ForestPlots.net dates from 1939 in Budongo, Uganda. Forty years later, 676 censuses had been completed from 90 plots, but since 1979 fieldwork has accelerated greatly with more than 10,000 censuses completed across 4,000 plots by 2020 (Fig. 2a). This acceleration is reflected by the growing community of contributors, which by 2020 had reached 2,000 individuals (Fig. 2b). ForestPlots.net itself has grown steadily both in terms of censuses uploaded and in outputs (Fig. 3). The neotropics dominate much of this inventory and monitoring effort as well as the growth of ForestPlots.net in particular, but contributions from Africa and other continents are increasing (Figs. 2, 3). Scientific outputs emerging from this collective effort have always spanned local to global scales but now have an increasingly pan-tropical theme (Fig. 3b).



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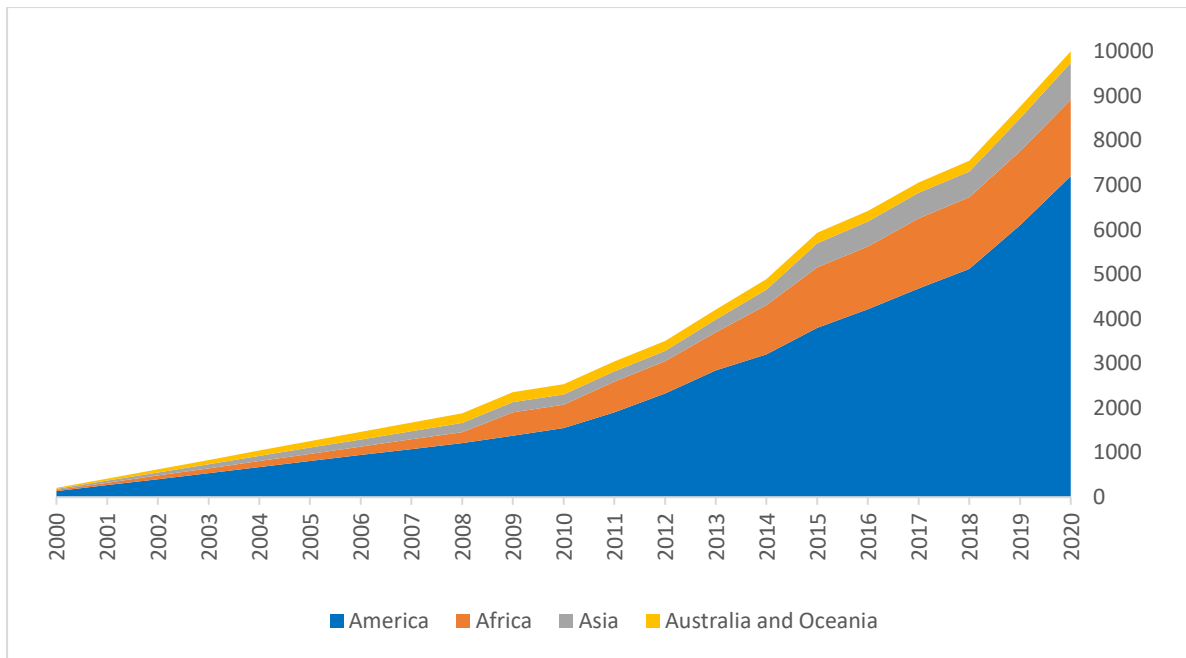
256 **Figure 2. Growth of pan-tropical forest monitoring since the mid-twentieth-century.**

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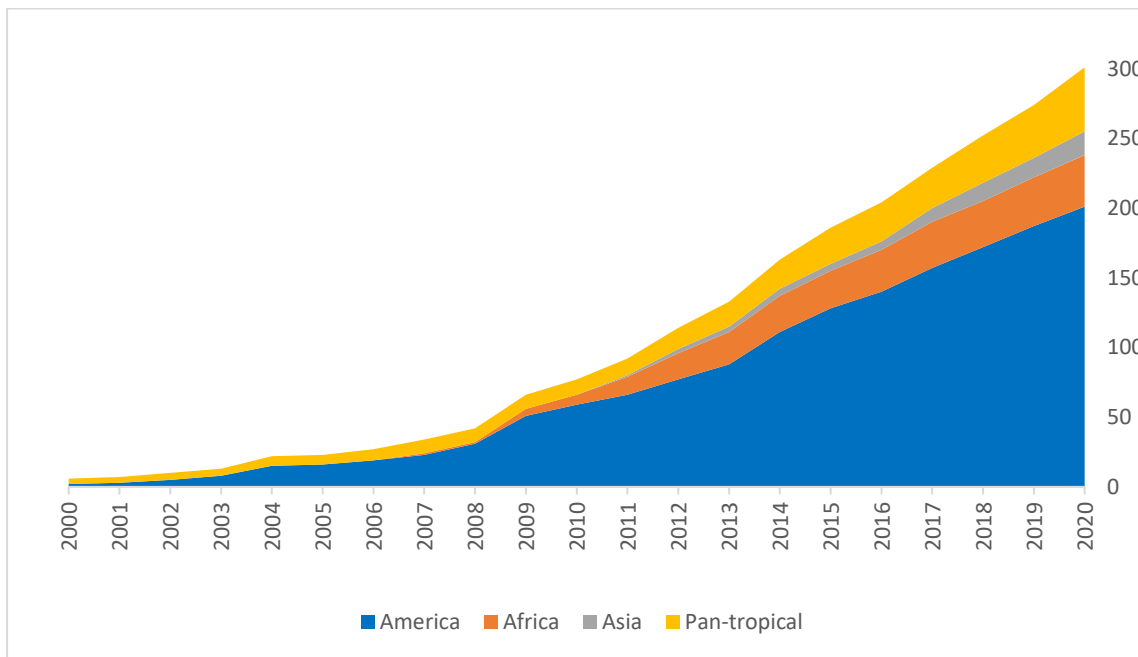
258 *Top:* Plot-censuses curated at ForestPlots.net by date of census;

259 *Bottom:* Cumulative number of contributors to ForestPlots.net by date of first recorded fieldwork. Growth was  
 260 slow following the first census in 1939, only reaching 100 censuses by 1969. For early censuses, records of field  
 261 team personnel and leaders are often sparse or absent. Note that ‘contributors’ are defined inclusively to reflect  
 262 members of indigenous communities, protected area guards, parataxonomists, students, and technicians, as well  
 263 as principal investigators, botanists, and other specialists.

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**Figure 3. Growth of ForestPlots.net and its contributing networks since 2000.**

**Top:** Cumulative upload of unique plot censuses to ForestPlots.net by date of upload (pre-2009 uploads to pre-internet versions allocated evenly back to network beginnings);

**Bottom:** Cumulative peer-reviewed scientific articles based on network plots, excluding research based on single-plot studies.

**277 2. Environmental Representation**

278

279 While it is not possible to intensively sample the whole tropical forest extent, in practice RAINFOR,  
280 AfriTRON and T-FORCES have managed to cover almost the entire climatic and geographic space  
281 across the humid tropics with permanent plots (Fig. 4a) as well as extensively sampling the biome space  
282 of the terrestrial tropics except for semi-arid biomes (Fig. 4b). Within each continent coverage has been  
283 focused on the moist tropical lowlands with sampling extending into montane and drier forest systems  
284 most effectively in South America (Fig 4c). Plots also cover the complex edaphic variation present in  
285 Amazonia (Quesada et al. 2012) where they encompass landscape-level variability within old-growth  
286 forests (Anderson et al. 2009, 2010). This effective representation of structurally intact moist forests  
287 provides good support for large-scale inferences from what is, inevitably, a limited sample of the  
288 domain. It is important to note that many tropical countries lack statistical inventories of forests, let  
289 alone long-term monitoring or historical baselines, so research plots fill critical gaps in global and  
290 national observations.

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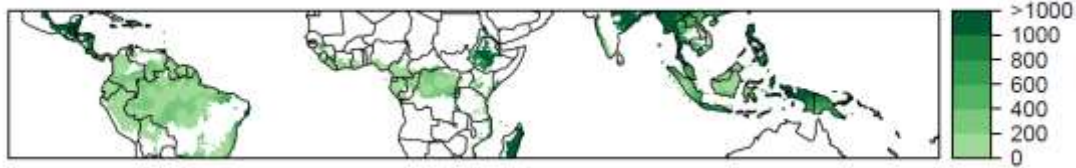
292 Yet significant work remains to be done to increase representativeness, better understand impacts of  
293 geological and edaphic variation, and expand sampling in remote areas especially in parts of Amazonia,  
294 the central Congo Basin, and New Guinea (c.f. Brearley et al. 2019, Fig 4. below). Fuller environmental  
295 coverage can help networks address challenges such as monitoring of protected area effectiveness  
296 (Baker et al. 2020) and providing calibration-validation of Earth Observation space-borne sensors  
297 (Chave et al. 2019). Beyond the lowland humid tropics, special effort is also needed for long-term,  
298 ground-based monitoring in particular environments. Expansion is especially required for: (i) secondary  
299 forests and those impacted by disturbance events such as logging, fragmentation, and wildfires (e.g.  
300 Chazdon et al. 2016, Elias et al. 2020, Villela et al. 2006); (ii) montane forests, which harbour  
301 exceptional concentrations of endemism and are at great risk of biodiversity loss due to deforestation  
302 and climate change and therefore represent urgent conservation opportunities (e.g. Malizia et al. 2020);  
303 (iii) Asian dry forests, and (iv) the wider extent of tropical dry forest and savanna biomes, which are  
304 home to distinctive biotas and significant carbon stocks of their own (DRYFLOR 2016, Pennington et  
305 al. 2018). ForestPlots.net partner groups are expanding research and monitoring in such critical areas  
306 beyond the structurally intact lowland forests that have been the main focus of RAINFOR and  
307 AfriTRON.

308

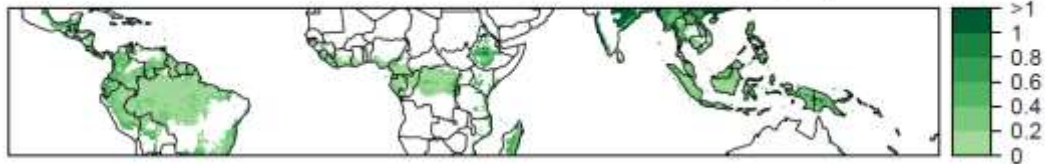
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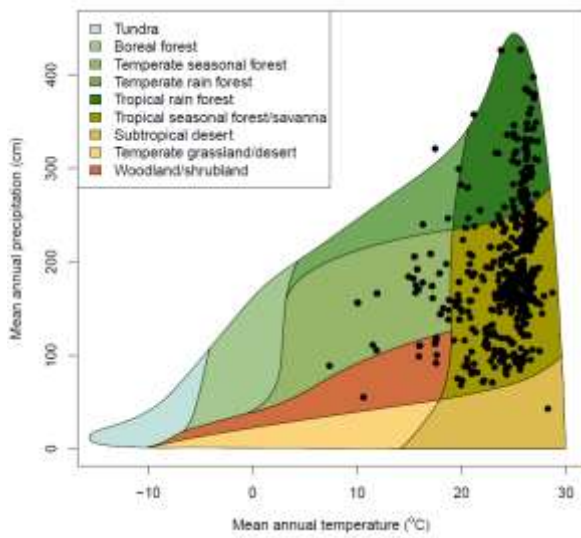
1. Geographic distance (km)



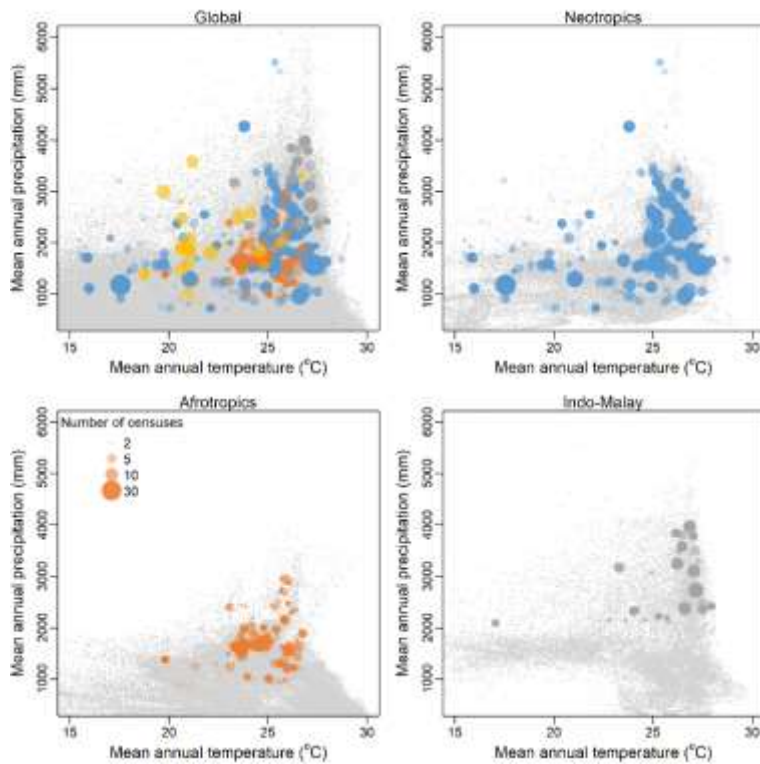
2. Environmental distance (Euclidean distance [SD])



311



312



313

**314 [Previous Page] Figure 4. Network coverage of geographical and climate space**

315 Analyses include >1500 permanent plots managed at ForestPlots.net. **(a) Top panels:** (1) Geographic distance  
316 between multi-census plots across the humid tropical forest biome; and (2) Minimum climate dissimilarity  
317 (Euclidean distance on variables scaled by their standard deviation, accounting for mean annual temperature,  
318 temperature seasonality, mean annual precipitation and precipitation seasonality), where for each cell  
319 environmental distance represents how dissimilar a location is to the most climatically similar plot in the network.  
320 Note that some poorly sampled areas are mostly deforested, such as Central America, Madagascar, and much of  
321 tropical South and Southeast Asia. The baseline map depicts WWF terrestrial ecoregions (Olson et al. 2001). **(b)**  
322 **Middle panel:** Tropical plots displayed in global biome space (Whittaker diagram), showing the main  
323 concentration of plots from lowland wet through to moist forests and savanna, with some samples in cooler  
324 montane climates. **(c) Lower panels:** Plots displayed within tropical humid and sub-humid climate space, with  
325 plots displayed colour-coded by continent and symbol size corresponding to total census effort. Note the important  
326 differences in baseline climatic conditions between continents.

327

**328 3. Discovery: Forest Ecology across the Tropical Continents**

329 RAINFOR, AfriTRON and T-FORCES plots have generated ecological and biogeographical insights  
330 that have only been achievable via large-scale collaboration. RAINFOR has revealed that Amazonian  
331 forests differ substantially from one another, even those that share essentially identical climates. For  
332 example, basal-area weighted wood density of northeastern forests is 50% greater than that of southern  
333 and western forests. This reflects floristic differences (Baker et al. 2004, ter Steege et al. 2006, Honorio  
334 Coronado et al. 2009, Patiño et al. 2009), which, in turn, are associated with large differences in forest  
335 dynamics. Stem turnover is twice as fast in the west and south as the east (Phillips et al. 2004) due to  
336 younger soils with poorer structure providing less rooting support (Quesada et al. 2012, Schiatti et al.  
337 2016) and in spite of only modest productivity differences (Malhi et al. 2004, 2014). In contrast,  
338 biomass in north-eastern Amazonia is higher than elsewhere due to the reduced mortality risk and hence  
339 bigger trees and denser wood (Baker et al. 2004, Malhi et al. 2006, Marimon et al. 2014, Pallqui et al.  
340 2014, Johnson et al. 2016, Alvarez et al. 2018, Phillips et al. 2019).

341 In Africa, AfriTRON plots also show that species-driven differences in wood density prevail at large  
342 scales. In mature forests, soil-related compositional differences cause significant variation in basal-area  
343 weighted wood density. Forests on younger and more fertile acrisols and cambisols have 10 and 20%  
344 lighter wood than those on arenosols and histosols, respectively (Lewis et al. 2013). Similarly to  
345 Amazonia, African forests growing on older, less fertile soils have higher biomass (Lewis et al. 2013).  
346 Local and regional variation in soils and forest attributes are important within both continents but the  
347 key difference is that only Amazonia has clear continental-scale gradients in wood density, due to the  
348 powerful influence of Andean orogeny in the west. This leads to young, geologically dynamic  
349 landscapes with fertile, less-developed soils, influencing speciation, immigration and extinction, and  
350 contrasts with the ancient, stable Brazilian and Guianan Shields of the east.

351

352 Large-scale analysis thus reveals how soils and species help control the carbon that tropical forests  
353 store. This has implications for monitoring carbon stocks using remotely-sensed data. In tropical forests  
354 neither soil nor tree composition is easily perceived from space. For example, RAINFOR plots show  
355 that LiDAR-derived biomass estimates of Amazonian forests are compromised because they do not  
356 perceive the critical large-scale floristic gradients (Mitchard et al. 2014). Accounting for such  
357 limitations by relating plot-derived woody density and allometry to LiDAR sampling shows that plots  
358 greatly improve biomass maps (Mitchard et al. 2014, Avitabile et al. 2016). Thus the role of soils and

359 species composition in affecting biomass carbon is a key reason why ground data are essential for  
360 mapping forests (Chave et al. 2019). While Earth Observation has great benefits in terms of spatial  
361 coverage and ability to update frequently the incorporation of plot-derived compositional data greatly  
362 improves our understanding of carbon storage patterns over large scales.

363

364 When networks using the same protocols are combined it is also possible to discover and explore  
365 variation between continents too. Common protocols have revealed major pan-tropical variation in  
366 vertical structure, including tree height and height-diameter allometry (Feldpausch et al. 2011) which  
367 have impacts on biomass (Banin et al. 2012; Feldpausch et al. 2012; Sullivan et al. 2018). African  
368 forests average one-third higher biomass per unit area than Amazon forests (Lewis et al. 2013), yet have  
369 roughly one-third *fewer* stems >10 cm diameter per unit area. This may be driven by systematically  
370 lower tree mortality in these forests (Hubau et al. 2020, Sullivan et al. 2020). Similarly, comparing  
371 climatically and edaphically similar forests in parts of Borneo with northwest Amazonia reveals that  
372 Bornean forests produce much more wood, with trees growing up to 50% more rapidly than those of  
373 Amazonia. This suggests that differences in phylogenetic composition of tree communities, especially  
374 the dominance of the dipterocarp family in tropical Asia (Corlett and Primack 2011), determine the  
375 efficiency with which atmospheric carbon is converted to woody carbon (Banin et al. 2014).

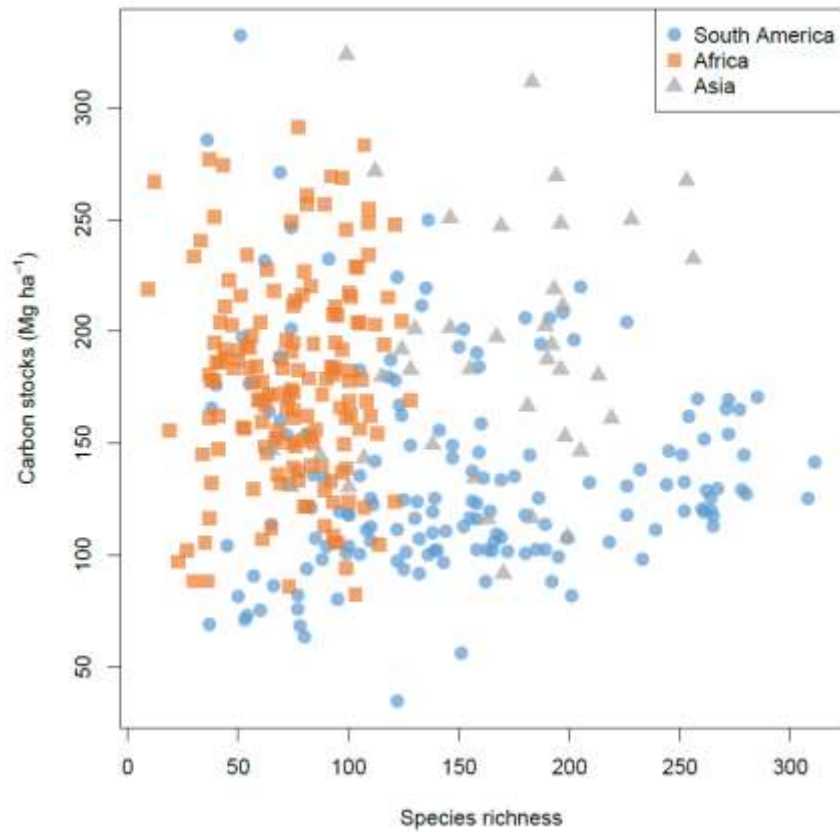
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377 Tree species composition and dominance strongly control forest function within continents too. For  
378 example, a recent RAINFOR study discovered that Amazon woody productivity is enhanced in more  
379 phylogenetically diverse forests (Coelho de Sousa et al. 2019). Yet while Amazonian forests are very  
380 diverse, remarkably few species dominate in terms of stems (ter Steege et al. 2013, research led by the  
381 ATDN network), while biomass stocks and woody productivity are dominated by a different set of  
382 species (Fauset et al. 2015, RAINFOR network). Evidence also suggests that some of these  
383 ‘hyperdominants’ may have been long favoured by indigenous people as part of wider human influences  
384 on old-growth Amazon forests (Levis et al. 2017, Oliveira et al. 2020). These and other studies show  
385 that identity matters. Dominant species and their evolutionary history thus affect forest ecology and  
386 forest values, whether in terms of storing carbon, converting solar energy into wood or sustaining whole  
387 cultures.

388

389 These insights show that two of the defining challenges of the twenty-first century, climate change and  
390 biodiversity loss, are closely linked. How then do we best devise conservation strategies to achieve the  
391 targets of biodiversity protection and climate mitigation and adaptation? Can we rely for example on  
392 carbon conservation via schemes like REDD+ to protect tropical diversity too? The answers to these  
393 questions depend on the relationship between diversity and carbon storage but assessing this has been  
394 challenging due to the scarcity of inventories in which both carbon stocks and species identifications  
395 have been reliably quantified. By combining RAINFOR, AfriTRON and T-FORCES plots we found  
396 that for tropical trees diversity-carbon storage relationships barely exist at all (Sullivan et al. 2017, Fig.  
397 5). For example, South America, the continent with the richest forests, actually stores the least carbon  
398 per hectare, while within continents there is no association. Independent data from the RAS network  
399 data support this, showing that strong carbon-biodiversity relationships are only found in disturbed and  
400 secondary forests but not old-growth (Ferreira et al. 2018). As mature forests exhibit all possible  
401 combinations of tree diversity and carbon stocks it is clear that both need to be explicitly considered to  
402 protect the climate and biodiversity. In addition, long-term carbon storage is threatened by defaunation  
403 of large-bodied frugivores, often essential for dispersing large-seeded heavy-wooded tree species (Peres  
404 et al. 2016). *We cannot simply focus on carbon and achieve biodiversity conservation, and vice versa.*

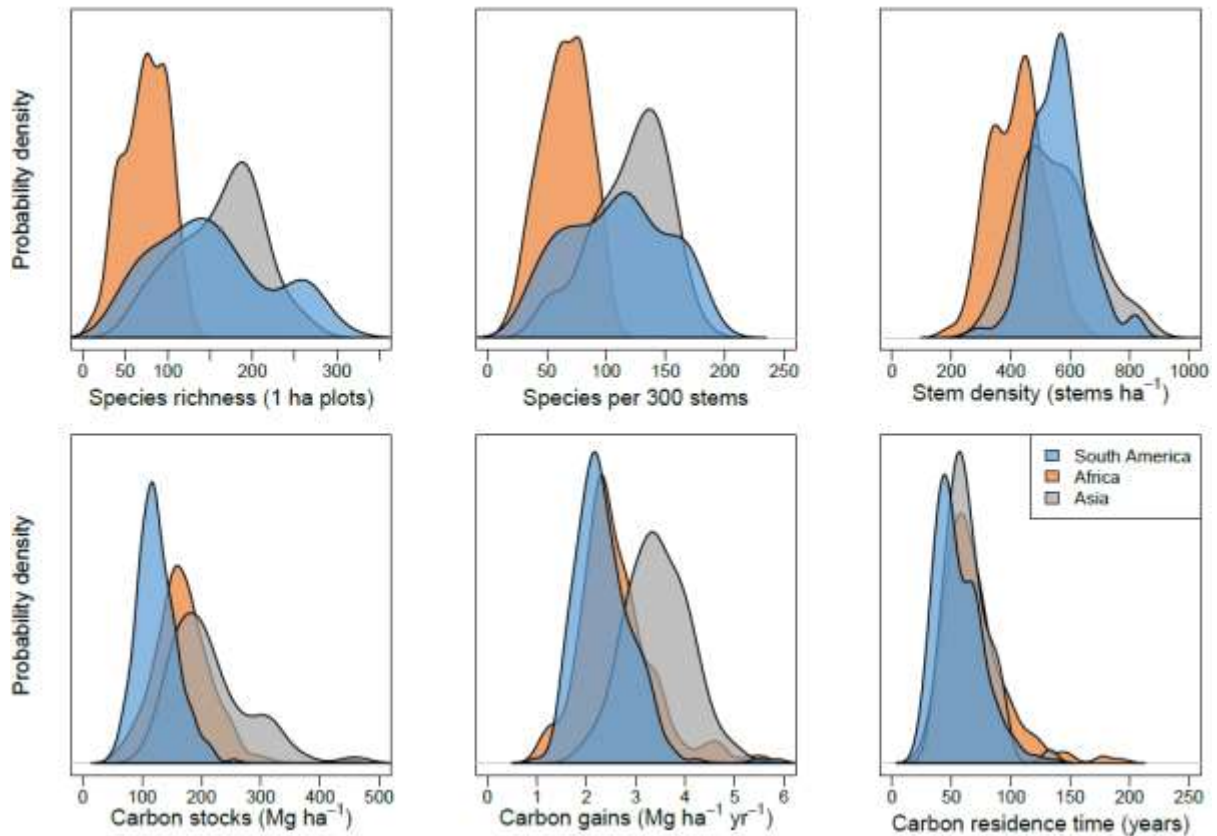
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**Figure 5. Pantropical forest carbon storage is independent of species richness.**

There are no clear within-continent or pantropical relationships between carbon stocks and tree species richness per hectare in structurally intact old-growth tropical forests. Figure adapted from Sullivan et al. 2017.



414

415

416 **Figure 6. Tropical continental macroecology**

417

418 Remarkable continental differences in species richness, stem density and carbon stocks emerge among lowland  
 419 tropical moist forests when densely-sampled plot networks are combined. Graphics depict probability densities  
 420 such that the whole area for each continent sums to 1. Note that the y-axis scale for each variable thus *itself varies*  
 421 *depending on the range of the x-axis*: for continents with larger variation in x, the probability density at any point  
 422 along the y axis is correspondingly smaller. Analysis adapted from Sullivan et al. 2017 and 2020.

423



424 When network data are combined surprisingly large and coherent continental-level differences emerge  
425 (Fig. 6). African forests are remarkably species-poor at the 1-ha scale whereas South American and  
426 Asian forests are more than twice as rich on average, but also vary much more in species-richness and  
427 diversity. The very richest forests in the world are located in parts of Western Amazonia, vindicating a  
428 claim by Gentry (Gentry 1988a, b) from more than three decades ago. African forests have many fewer  
429 stems than their Asian and South American counterparts, but South American forests have considerably  
430 less biomass. In terms of carbon gains Borneo's forests are outliers, being up to twice as productive as  
431 other forests. Yet it is in South America where woody carbon turns over fastest. Fully half the carbon  
432 in neotropical trees has been replaced since 1970.

433

434 Overall these comparisons reveal remarkable differences between the tropical forest continents that are  
435 not strongly driven by rainfall, temperature or soil (Sullivan et al. 2020). The implication is that other  
436 factors related to the evolutionary and historical happenstance of each continent matter. We draw three  
437 higher level conclusions from this. *First, global-scale ecological modelling ignores biological*  
438 *composition at its peril. Second, if there was ever any doubt, each continent clearly needs its own robust*  
439 *research and monitoring programme. And third, each region likely responds to climate change in its*  
440 *own, idiosyncratic way.*

441

442

#### 443 **4. Discovery: Tropical Forest Change**

444 The single most significant scientific impact of these multiple permanent plot networks has been to  
445 transform our understanding of how tropical forests function in the Earth system.

446

447 As the most diverse and carbon-rich tropical biome, the fate of humid tropical forests will impact the  
448 future of all life on Earth. Until quite recently it was axiomatic that old-growth tropical forests are at  
449 equilibrium when considered over sufficiently large scales, and that any changes observed at smaller  
450 scales are driven by natural disturbance-recovery processes. However, large-scale imbalances observed  
451 in the global carbon balance have cast doubt on this assumption (e.g. Taylor and Lloyd 1992). Over  
452 time, network analyses have helped to recast our understanding of contemporary old-growth tropical  
453 forests as being non-stationary systems. Their carbon, biodiversity and ecosystem processes are widely  
454 recognised as dynamic and continually responsive to multiple anthropogenic drivers (e.g. Lewis et al.  
455 2004b, Pan et al. 2011, Malhi et al. 2014, Levis et al. 2017, Mcdowell et al. 2018, Reis et al. 2018). Key  
456 discoveries at this intersection between global change science and forest ecology and biodiversity  
457 include:

458

459 **(1) A pantropical increase in tree turnover rates, representing the first evidence for a widespread**  
460 **impact of global anthropogenic change on old-growth tropical forests** (Phillips and Gentry 1994).

461 The finding that these forests were changing was controversial at the time - let alone the inference that  
462 global drivers were responsible - and contradicted established ecological orthodoxy. The debate that  
463 ensued helped generate new questions and analyses (e.g. Sheil 1996, Phillips and Sheil 1997) and  
464 address potential biases (e.g. Sheil 1995, Condit 1997, Lewis et al. 2004a, Gloor et al. 2009, Espirito-  
465 Santo 2014, Kohyama et al. 2019). A quarter of a century of research since then has rejected the notion  
466 that 'intact' tropical forests are unaffected by atmospheric changes and reinforced the central concept  
467 that all tropical forests are being influenced by a suite of large-scale contemporary anthropogenic  
468 drivers.

469

470 (2) **Biomass dynamics have also accelerated in Amazonia.** In parallel with the increases in stem  
471 dynamics, as RAINFOR grew it became clear that carbon fluxes via biomass growth and mortality were  
472 also increasing. Moreover, the increased gains in stems (recruitment) and biomass (woody productivity)  
473 clearly preceded increases in stem and biomass losses (mortality) (Lewis et al. 2004b, Phillips et al.  
474 2004, 2008, Brienen et al. 2015, Nogueira et al. 2018). The mechanism underlying this acceleration of  
475 forest dynamics must therefore involve stimulated productivity via increased resources for plant growth,  
476 rather than direct stimulation of tree mortality such as by drought (Lewis, Phillips and Malhi 2004).

477

478 (3) **The Amazon forest carbon sink.** In conjunction with faster growth and turnover, the biomass  
479 density of Amazonian forests has increased (Phillips et al. 1998, Baker et al. 2004, Pan et al. 2011).  
480 Old-growth Amazonian forests have absorbed (net) atmospheric carbon for at least three decades now  
481 (Brienen et al. 2015), providing a true “subsidy from nature” with flux magnitude matching or  
482 exceeding net losses from neotropical deforestation (Aragão et al. 2014, Gatti et al. 2014). Thus,  
483 monitoring networks have shown that most Amazonian nations are on balance *not* net emitters of carbon  
484 (Espírito-Santo et al. 2014, Phillips and Brienen 2017). The location, magnitude and persistence of this  
485 old-growth carbon sink has important implications for guiding approaches to meeting nationally  
486 differentiated targets for controlling climate change (Vicuña Miñano et al. 2018).

487

488 (4) **The African forest carbon sink.** The AfriTRON network discovered a long-term net biomass  
489 increase similar in magnitude to that of the Amazon in the 1990s and early 2000s (Lewis et al. 2009).  
490 The consistency of these results on a second continent supports the idea that global drivers of change  
491 can affect even the most remote forests. The fact that biomass is increasing across the entire wood  
492 density spectrum of tree species implies that forests are responding to increasing atmospheric CO<sub>2</sub>  
493 concentrations (Lewis et al. 2009). The long-term increase in carbon stocks of African forests was  
494 recently updated and confirmed, with three times as many plots showing continued sink strength (Hubau  
495 et al. 2020).

496

497 (5) **The Pan-Tropical forest carbon sink.** Once the T-FORCES network allowed sufficient plot  
498 coverage across remaining Bornean forest a similar increase in aboveground biomass over recent  
499 decades was revealed (Qie et al. 2017). Thus the three continental networks discovered that old-growth  
500 tropical forests as a whole have been a long-term sink. Our ground measurements imply this totalled  
501 more than one billion tonnes of carbon each year over the 1990s and early 2000s, i.e. half the terrestrial  
502 global carbon sink (Pan et al. 2011), which is sufficient to significantly slow climate change. The fact  
503 that the main blocs of remaining tropical forests are *en masse* out-of-equilibrium and undergoing  
504 biomass increases of similar magnitude implies a common global driver of growth. Increasing  
505 atmospheric CO<sub>2</sub> is the most parsimonious candidate and is consistent with predictions from first  
506 principles (e.g., Phillips and Gentry 1994, Huntingford et al. 2013), inference from CO<sub>2</sub> fertilization  
507 experiments (Terrer et al. 2019), analyses of the global carbon budget (Ballantyne et al. 2012, Gaubert  
508 et al. 2019), observed greening of forests unaffected by land-use change (Piao et al. 2019), and recent  
509 plot analyses showing a significant role of CO<sub>2</sub> (Hubau et al. 2020).

510

511 (6) **The Amazon sink is slowing.** After 30 years of monitoring Amazonian forests, the RAINFOR plots  
512 show that the rate of increase in forest growth is declining. Tree mortality rates have increased in some  
513 regions, leading to a slow decline in the magnitude of the net biomass accumulation (Brienen et al.  
514 2015, Phillips and Brienen 2017). The subsidy from nature provided by tropical forests may be time-  
515 limited.

516

517 (7) **Recent droughts in Amazonia have had large impacts.** Long-term plots also monitored  
518 immediately before and soon after droughts reveal that these forests can switch rapidly from being a  
519 major sink to a source of carbon. Both the 2005 and 2010 Amazon droughts had a net impact on the  
520 order of 1 Pg of carbon, driven primarily by drought-induced mortality (Phillips et al. 2009, Lewis et  
521 al. 2011; Doughty et al. 2015, Feldpausch et al. 2016). RAINFOR and GEM have quantified the drought  
522 sensitivity of the world's biggest rainforest and identified the key process affected: mostly tree mortality  
523 rather than growth, and not photosynthesis. The impact on the biomass carbon sink of the 2010 drought  
524 and non-drought years match independent inferences from measurements of atmospheric [CO<sub>2</sub>] using  
525 aircraft (Gatti et al. 2014).

526

527 (8) **The African and Amazon sinks have diverged.** Thirty years of monitoring AfriTRON plots show  
528 that African forests have continued to function as a carbon sink, although the most intensively  
529 monitored plots suggest that the sink may be declining (Hubau et al. 2020). When analysed together  
530 with RAINFOR data, within-plot changes over time reveal a common set of drivers that suggest the  
531 sinks will decline, with African forests lagging behind Amazonian forests by 15-20 years (Hubau et al.  
532 2020). Changes across both continents are best explained by a combination of the positive effects of  
533 increasing CO<sub>2</sub> enhancing productivity and negative effects of higher temperatures and droughts in  
534 suppressing growth and accelerating mortality, combined with the intrinsic properties of forests  
535 themselves. The time-lag of the African sink saturation is due to longer carbon residence times in  
536 African forests, so that mortality catches-up slower than in faster turnover forests. Amazonian forests  
537 are often harder hit because they are hotter and can be drought-prone (Hubau et al. 2020). Together, the  
538 pan-tropical plot networks have revealed long-term trends in carbon storage and determined which  
539 drivers matter, which processes are affected, where they are impacting, and what the lags are.

540

541 (9) **The future of the tropical forest carbon sink.** Monitoring the present and the recent past of forest  
542 behaviour can also reveal likely future scenarios as the climate continues to change. Our plot networks  
543 provide two powerful and independent lines of evidence. First, the *long-term sensitivity to climate*  
544 emerges from a space-for-time analysis based on 813 plots across the Earth's tropical forests. This  
545 shows how maximum temperature and dry season intensity combine to determine the equilibrium  
546 climate controls on forest carbon, acting on productivity and mortality to limit forest carbon storage in  
547 *the long-term* (Sullivan et al. 2020). Forests exhibit remarkable thermal resilience under low amounts  
548 of warming, but in the hottest forests (>32.2°C max. temp.) biomass carbon drops off rapidly. Most of  
549 the biome will exceed this value with one further degree of warming (approximately equivalent to a  
550 2°C increase above pre-industrial levels). Second, analysing recent changes in productivity and  
551 mortality as a function of recent climates, and coupling them with future climate scenarios, confirms  
552 that the carbon sink is likely to decline (Hubau et al. 2020). A key uncertainty with these latter  
553 projections is the extent to which local resilience due to shallow water-tables (Sousa et al. 2020) may  
554 mitigate effects, and whether more compositional changes will extend the carbon sink further if species  
555 better-adapted to the new conditions compensate for others' losses. The analysis by Sullivan et al.  
556 (2020) confirms that lagged species-related resilience is likely as long as forests do not experience  
557 substantial warming.

558

559 (10) **Tropical forest biodiversity is changing.** RAINFOR data show that an entire group of plants,  
560 lianas (woody vines), are increasing in dominance across Amazonia (Phillips et al. 2002). Large lianas  
561 in turn contribute to higher tree mortality (Phillips et al. 2005). Tree community composition is  
562 changing too. In the Andes, plots of ABERG, RBA and RedSPP show 'thermophilization' –  
563 communities becoming more warm-adapted (e.g Fadrique et al. 2018). Climate change is inducing  
564 large-scale change in tropical lowland trees too, as wet-adapted taxa in Amazonia face greater mortality

565 risks from drought (Esquivel Muelbert et al. 2017, 2019) while a shift towards drought-deciduous tree  
566 species is observed in west African plots experiencing a multi-decadal drought (Fauset et al. 2012,  
567 Aguirre-Gutiérrez et al. 2019, 2020). In both continents these community responses to drought  
568 coincided with biomass gains. Nonetheless, because of the long generation times of tropical trees the  
569 compositional change has not kept pace with the drying of Amazonia (Esquivel-Muelbert et al. 2019).  
570 This suggests that further community change is inevitable, even before accounting for losses driven by  
571 deforestation and disturbance of remaining forests (Barlow et al. 2016). Current models lack the  
572 capacity to account for variation in tropical woody plant biodiversity and demographic processes and  
573 their lagged responses to global change drivers.

574

575 In sum, highly distributed, long-term monitoring of the world's richest forests has profoundly increased  
576 our understanding of nature's sensitivity to climate change. It has shown that intact forests have been  
577 surprisingly resilient, but that many are now reaching the limits of their tolerance to global heating and  
578 drying. Looking forward, many of the key uncertainties that remain concern the responses of tropical  
579 biodiversity itself. This includes the extent to which the great biocomplexity of tropical forests  
580 themselves will provide an effective and timely insurance policy in the face of rapidly changing  
581 climates. To understand this, we must continue to monitor.

582

583

## 584 **5. Challenges and the Future of Tropical Forest Monitoring**

585

586 Large-scale plot networks have not only made a series of crucial scientific discoveries and advances,  
587 but even more profoundly the Social Research Network model pioneered by RAINFOR since 2000 has  
588 influenced how the science itself is being done. Tropical ecology has undergone a remarkable shift from  
589 a small cadre of researchers working in one or two sites to a more globalised and decentralised process  
590 with greatly increased contributions from tropical scientists. This has been made possible by supporting  
591 highly-distributed researchers and field sites, establishing mechanisms for shared data management,  
592 fostering an equitable concept of data ownership, and embracing groups who are often marginalised in  
593 research. Importantly, the network model is nurtured by researchers placing trust in the sharing of hard-  
594 won data to answer big questions and recognising the value of developing trusting relationships over  
595 time. Finally, the growth of interactive multi-site, multi-cultural science has benefited hugely from  
596 standardized field and analytical methods that have been agreed upon, formalised and promoted. The  
597 ForestPlots.net experience demonstrates that collaborative, multi-polar structures help ensure breadth  
598 and resilience, while supporting and encouraging the leaders of the future.

599

600 The transformative power of this approach has now led to the establishment of multiple plot-centred  
601 networks that are reshaping our understanding of tropical ecosystems. However, these networks face a  
602 number of key challenges to sustain the achievements made and enact even deeper transformational  
603 change, which we set out here.

604

605 ***1. How can networks support leadership in the Global South?*** Although no single project can reverse  
606 the impact of centuries of global inequality, tackling the barriers to a more equitable world is the  
607 responsibility of all. Ecology and conservation science remain biased towards temperate ecosystems in  
608 terms of funding and topical focus (Di Marco et al. 2017, Reboledo et al. 2020), while tropical ecology  
609 is often detached from policy-making processes and with most high-impact papers still led from the  
610 North. Together with open data-sharing and long-term collaboration, more leadership of forest science  
611 from tropical countries helps to address these disparities and achieve more impact on forest and carbon  
612 management (e.g., Vargas et al. 2017, Baker et al. 2020). Supporting tropical students at different levels

613 up to PhD and mentoring beyond the doctoral degree is also important. To help, ForestPlots.net has  
614 made shared tools widely available, and especially data management and analytic tools that support  
615 data contributors as much as users. To ensure fieldwork is valued and leadership in tropical researchers  
616 is fostered, we have developed a Code of Conduct to encourage contributions, support scientists in  
617 tropical countries, and promote mentoring of junior scientists. To oversee this we created a diverse  
618 steering committee that currently supports more than 30 projects each year  
619 (<http://www.forestplots.net/en/join-forestplots/research-projects>). As a result, the proportion of  
620 ForestPlots.net research projects and products being led by tropical nationals has greatly increased, with  
621 less than 10% of publications as RAINFOR began (2000-2004), rising to 35% in 2009 and 50% by  
622 2019. In spite of such gains diversifying leadership is a long-term process. Ultimately, sustained funding  
623 in and by tropical countries themselves will ensure they not only have strong training programmes to  
624 develop the core field and analytical skills scientists need, but equal opportunities for career  
625 development.

626

627 **2. How should we value and recognise collaboration and leadership?** Most of the obvious reward  
628 structures in science - job security, income, grant success, peer reputation and public acclaim – can  
629 favour a ‘me first’ approach. Credit accrues to individuals, but true collaboration involves trust, sharing  
630 and encouraging others. Collaboration is gratifying, but letting go of our egos can be challenging, and  
631 in larger groups there is greater risk that individuals feel their contributions go unnoticed. Likewise, the  
632 essential and major effort needed ‘backstage’ in ForestPlots.net to check data, update and develop data  
633 management, and support requests to utilize data, goes unseen. A partial developmental solution to this  
634 involves providing network contributors the opportunity to lead analyses with the expectation that *these*  
635 *new leaders then support others* with their analyses. Another approach is to reflect the diversity of  
636 contributions that underpin the success of networks by using a group author that shares credit amongst  
637 all, as in the current paper. These steps can promote the recognition of multiple contributions and  
638 development of tomorrow’s leaders.

639

640 **3. How do we properly value the long-term?** Project and thesis time-scales last from one to five years,  
641 but the lifespans of trees are measured in decades and centuries. What can seem vitally important in a  
642 hypothesis-driven research grant or a PhD may, in fact, have little relevance to the longer natural  
643 rhythms of nature. What if the dominant processes governing climate responses of forests turn out to  
644 involve lifetime accumulated ecophysiological stress, tree demography and species migration? Clearly  
645 very long-term research is essential to decode these processes. Meanwhile, maintaining permanent plots  
646 is as much an expression of hope in the future as a stake in an immediate scientific outcome, as rewards  
647 may accrue to others distant in time and space. Indeed, we have all benefited from researchers installing  
648 plots from the 1930s onwards. These pioneers never dreamt that their careful tree measurements and  
649 botanical identifications would help reveal the impacts of climate change on tropical forests, but look  
650 what they have achieved! *Long-term research programmes are simply irreplaceable, enabling us to*  
651 *discover, quantify, identify the causes of, and ultimately tackle environmental change.*

652

653 **4. Can we ensure fieldwork and human skills are valued for what they are?** Technology provides  
654 many benefits to the scientific endeavor, but there are risks too, particularly in a field where long-term  
655 measurements may be perceived as unfashionable (Ríos-Saldaña et al. 2018). A serious risk is that the  
656 tail wags the dog: when technological advance is an end in itself, it is unlikely that scientific and human  
657 progress will follow. We should never forget the basic truth that human beings and their skills are  
658 essential to measure and identify tropical trees. It is notable that those measuring, climbing and  
659 collecting tropical trees in permanent plots are among the least well-paid of all actors in the global  
660 scientific endeavour. Yet these true *key workers* are irreplaceable as tree measurement in many locations

661 is completely dependent on such labour and skill (Fig. 7) and, more broadly, *combinations* of people  
662 and technology provide the best results (next section). Moreover, because tropical tree floras usually  
663 run into the thousands of species (e.g., >4,700 tree species in Peru, Vásquez et al. 2018), identification  
664 depends on the work of highly skilled climbers and botanists to collect material from canopies, make  
665 vouchers, and identify and permanently store them in herbaria. Without physical collections and the  
666 immense multi-cultural knowledge and skills that produce them, identifications are untestable  
667 hypotheses whose quality cannot be evaluated. But with vouchers, we have the names that are essential  
668 to test questions about diversity, composition, functional traits, and wood density and biomass.

669

670 **5. How should we fund proven networks long-term?** As the most pressing concern, this question  
671 intersects closely with all of the above. *Few organisations have the vision to support long-term*  
672 *endeavours where leadership and credit is shared diffusely, many benefits accrue after decades, and*  
673 *where the most exciting discoveries may be unforeseeable.* We recommend the following, potentially  
674 transformational changes to address the challenges and unlock the benefits of ambitious, long-term  
675 forest monitoring:

676

677 (i) *Science Agencies have the foresight to build long-term research capacity*, and consciously adopt the  
678 challenge of international ecosystem monitoring and tropical career development;

679

680 (ii) *Space Agencies recognise that tropical fieldwork can measure the things they cannot and validate*  
681 *the attributes that they can*, and contribute to the labour and unique skills of tropical field scientists and  
682 help overcome the challenges they face;

683

684 (iii) *Development and Conservation Agencies who depend on a robust understanding of the long-term*  
685 *health of forests*, recognise that high quality, long-term, on-the-ground monitoring of trees, and  
686 supporting these skills, is vital for their agenda;

687

688 (iv) *National and international climate adaptation and mitigation funders recognise that long-term,*  
689 *world-class forest monitoring is essential to assess both the mature forest carbon fluxes and the land-*  
690 *based emissions* which will together impact forest management, nature-based solutions, and nationally  
691 determined contributions (NDCs) to reducing greenhouse gases for decades to come.

692

693 Every one of these user groups requires successful networks with long-term, research-grade tropical  
694 forest plots to discern the status and change of biodiversity and to assess the stocks and flows of carbon.

695

696

697



698

699

700 **Figure 7. Accurately measuring and identifying trees in remote tropical forests requires**  
701 **dedication, skill and courage.**

702

703 To measure the diameter of this giant *Ceiba* (Malvaceae) tree in Colombia's Chocó, three people each  
704 needed to climb more than 10 meters. Such techniques can be the most practical and accurate options  
705 for measuring large trees. Here, like many of our sites, there is no electric power, let alone a field station,  
706 and chronic insecurity due to political and social conflicts and narco-trafficking means that aircraft and  
707 laser-scanners are not deployable. Images: Pauline Kindler.

708

709

710

## 711 **6. Achievements, Impact and Potential**

712

713 Despite the challenges, tropical forest science has come a very long way. Until recently, tropical ecology  
714 suffered from a massive data deficit. We had plenty of theory and conjecture, but few comparable  
715 observations over time and space to *deductively* put these ideas to the test or *inductively* generate new  
716 ones. Networks such as ForestGEO, RAINFOR, AfriTRON, and the wider ForestPlots community have  
717 contributed much to resolving this. *By leveraging a remarkably old technology, forest plot networks*  
718 *have sparked a modern revolution in tropical forest science.* They provide the means by which we have  
719 quantified the trajectory of tropical forest carbon balance, including its climate sensitivity, and now  
720 provide a Pan-Tropical Observatory for tracking these vital indicators of Earth's health going forward.

721

722 Permanent plots are now the prism through which ecologists address a rich suite of ecological questions,  
723 but they have also changed the way *others* see forests. For example, well-identified permanent plots  
724 have proved fertile ground for botanists to discover new tree species and genera (e.g. Reitsma et al.

1988, Baker et al. 2017, Wurdack and Farfan Rios 2017, Vásquez et al. 2018, Gosline et al. 2019, Vásquez & Soto, 2020), ethnoecologists to quantify forest people's values (Phillips and Gentry 1993, Lawrence et al. 2005), atmospheric scientists to explore organic volatiles production (Harley et al. 2004), ecophysiologicalists to assess why trees die (Rowland et al. 2015, Mcdowell et al. 2018) and how necromass accumulates and decays (Chao et al. 2009), modelers to verify ecosystem simulations (Johnson et al. 2016), and foresters to predict and manage wood production and its impacts (Berry et al. 2008, Gourlet-Fleury et al. 2013). They provide critical infrastructure for whole-biodiversity and cross-taxa inventory, including exploration of cryptic canopy and soil faunal and microbial biodiversity (e.g., Nakamura et al. 2017). The impacts of these networks on policy are also growing. In Peru for example, ForestPlots.net, MonANPe and RAINFOR contribute to estimating National Forest Reference Emission Levels (NREF) since 2016, and our permanent plots are now being used to validate national contributions to the Paris Climate Accord via forest carbon sequestration (Vicuña et al 2018, Baker et al. 2020). In Ghana, plots were needed to quantify historical and current carbon stocks, helping to establish baseline forest reference levels for the flagship Cocoa Forest REDD+ Programme (FCPF, 2017). In Gabon stratified-random sampling of high-quality AfriTRON plots is now used for the National Forest Inventory (Poulsen et al. in press. Ecol. Apps). Internationally, RAINFOR, AfriTRON, T-FORCES and 2ndFor provide the new IPCC default values on old-growth and secondary forest carbon sequestration to assist countries develop their nationally determined contributions as part of the UNFCCC process (Requena Suarez et al. 2019).

744

What of the future? As new technologies for probing forests become available, the hundreds of standardised long-term plots and networks of skilled tropical researchers represent critical infrastructure to enhance and calibrate new insights as they arise. The benefits of working within established plots go beyond simply having confidence in species identifications and hence biomass. By leveraging their labour and insights, we can increase the scientific value of new technology. For example, the ability to match individual trees from laser-scanning surveys to tagged, censused individuals provides critical information on growth and identity (Disney et al. 2018). Integrating long-term botanical and ecological records of plots with terrestrial and airborne laser-scanning in designated *super-sites* (Chave et al. 2019) can help overcome limitations of different approaches, providing greater certainty to biomass estimates (e.g., Schepaschenko et al. 2019). Hence forest networks can help unlock the value of space-based efforts to monitor forests. Just as the constellation of Earth-observing environmental satellites is a public good, the plot constellation provides highly complementary, critical global infrastructure. And last, but not least, as intact tropical ecosystems continue to shrink, burn and fray at the edges, permanent plots provide the indispensable baseline for understanding biodiversity and ecosystem processes too. They should be our shining North Star for guiding sorely needed restoration efforts throughout this century.

760

So far this effort has relied on the goodwill of hundreds of colleagues and dozens of grants from many sources (see Acknowledgments). Only long-term funding will ensure that the vital public benefits of plot networks continue to flow. Such support is surprisingly difficult to obtain (see Box 1). Yet twenty years of hard-won scientific results show that reliable and highly distributed monitoring is irreplaceable. They underscore the importance of welcoming all contributors to this effort, and of valuing the diverse skills needed to understand tropical biodiversity and its dynamics. Ultimately, we will understand the nature of tropical forests best when the science is global, local skills are fairly valued, and when the development of tropical scientists is at its heart. Indeed, we know of no other model capable of achieving this.

770

771 *[Main Body of Text Ends]*



772 [Insert Box]  
773

---

#### 774 **Box 1. What Does It Take?**

775  
776 Clearly long-term ground-based monitoring of tropical forests requires a sustained global team effort.  
777 But just how much does it take to deliver tropical forest plot data in practice? It requires both skilled  
778 people and their labour, and funds. So here we address this question in terms of *the human effort made*  
779 *thus far* and the *financial investment needed to monitor across continents*.

780  
781 **(a) The Human Contribution:** Network efforts include not only in-country field campaigns but much  
782 besides. To deliver from conception to product, high-quality data collected over many years and in  
783 dozens of countries requires multiple teams that are well-led and consistently trained in the proper  
784 protocols, quality control, and data management. In RAINFOR and AfriTRON this includes national  
785 or local field-team members to establish and remeasure plots, others to collect and identify plants and  
786 collect and analyse soils, colleagues to organize and manage the data, and others to sustain and lead the  
787 process nationally and globally – not to mention those who support these processes with essential  
788 administration, herbarium assistance, database development, analytical packages, information  
789 technology support, technical training and so on. Naturally some individuals contribute in several ways  
790 and roles change over time as lives change. All these local, national and global efforts ultimately depend  
791 on funding.

792  
793 The average effort *in the field, herbarium, and lab* to install a typically remote and diverse 1-ha tropical  
794 forest plot and analyse its species and soil sums to 98 person-days, with an additional effort of 38  
795 person-days *to support and sustain these teams and data management*. Together a total of *136 person-*  
796 *days is needed on average to deliver high-quality data from a new plot*.

797  
798 Recensusing a plot is usually less demanding (for example soil collection is not repeated and there are  
799 fewer plants to identify) but still considerable: 45 person-days in the field and herbarium, and 31 person-  
800 days to support and sustain. Therefore, *76 person-days are required to deliver high quality data from a*  
801 *recensused plot*. These represent long-term averages. These estimates are based on remeasuring plots  
802 within five years or less between each census, and assume the plot was installed using standard  
803 protocols. Naturally circumstances can vary from site-to-site and country-to-country.

804  
805 Thus far our teams have established 4,062 plots in tropical forests of which 1,816 are recensused, from  
806 as little as once up to as many as 40 times each. Of the 4,062 plots the modal size is between 0.9 and  
807 1.1 ha but there are smaller plots too (1,844 are  $\geq 0.9$  ha, and 2,216 are  $< 0.9$  ha). The recensused plots  
808 tend to be larger: of the 1,816 recensused plots, 62% are  $\geq 0.9$  ha (1,131) and 38% are  $< 0.9$  ha (675).

809  
810 If we conservatively assume that plots  $\geq 0.9$  ha (average size = 1.2 ha) require 136 days to install and  
811 76 days to recensuse, and those  $< 0.9$  ha require half this effort (also likely to be conservative due to fixed  
812 costs for even the smallest plots), then the total effort to install these plots has been 196,248 person-  
813 days, and recensusing them has taken 357,940 person-days. In total this comes to 1,518 years.

814  
815 As if one remarkably talented and tireless individual had been working continuously since AD 502.

816  
817

818 **(b) Cost of Sustained Continental Monitoring:** *How much does it cost to monitor Earth's remaining*  
 819 *old-growth tropical forests with ground networks?* This is a critical question given the exceptional  
 820 ecological value of these systems, the threats they are under, and the role they have and can play in  
 821 modifying the rate of global climate change.

822

823 At first sight this question appears difficult to answer, or to even agree upon the terms of reference.  
 824 Scientists would ask and likely argue: Monitoring what? For whom? With what precision, level of  
 825 confidence, or spatial and temporal resolution? Recognising such difficulties we take a pragmatic  
 826 approach and reframe the question. Instead we posit, *How much will it cost to monitor tropical forests*  
 827 *using all the permanent plots that we have already remeasured?*

828

829 This question is tractable *practically* (these plots represent a known quantity: we know exactly *where*  
 830 they are, *what* most of the species are, and to a large extent *who* can actually do the work – *each of*  
 831 *which is critical*), it makes sense *scientifically* (the plots already have a baseline monitoring period  
 832 against which we can assess any change, *which is essential*), and it is justifiable *quantitatively* (using  
 833 somewhat smaller datasets than this we have already detected long-term changes in carbon balance,  
 834 productivity and tree mortality on each continent, reported short-term changes in response to El Niño  
 835 droughts and other climate anomalies, and attributed changes in carbon and biodiversity to climate  
 836 drivers, *all of which establish proof-of-concept*). So here goes:

837

838 \* There are 1,105 remeasured ForestPlots.net plots in tropical forest South America ( $422 < 0.9\text{ha} +$   
 839  $683 > 0.9\text{ha}$ ), 462 in tropical forest Africa ( $109 + 353$ ), 192 in tropical forest Asia ( $106 + 86$ ) and 32 in  
 840 tropical forest Australasia ( $22 + 10$ ). With all 1,791 plots monitored on a four-year cycle this requires  
 841 revisiting 448 plots annually, of which 165 are  $\leq 0.9$  ha and 283 are  $\geq 0.9$  ha.

842

843 \* Recensus costs can vary from site-to-site. Botanical identification is especially challenging in most of  
 844 South America due to the extraordinary diversity, while some African forests are exceptionally remote.  
 845 Employment, social security and health costs vary but are rising almost everywhere. On average,  
 846 considering all *the direct and indirect human effort required* (above) and *additional direct costs*  
 847 (including consumables, equipment, travel, subsistence, insurance, visas, permits, shipping, training,  
 848 IT), the current cost to deliver a high-quality tropical recensus is  $\approx 18,000$  USD for plots  $\geq 0.9$  ha, and  
 849 at least half this for plots that are  $< 0.9$  ha. That's about 30 USD per tree.

850

851 [Similarly, to install plots is a significant operation but it requires more expert time to collect and  
 852 identify hundreds of trees. The total cost to properly install a high-quality tropical forest plot is  $\approx 27,000$   
 853 USD for a 1 ha plot. When forests are recensused this start-up investment is leveraged as a contribution:  
 854 this enables the subsequent monitoring of forest dynamics but it's not new spend.]

855

856 Thus, the annual delivery cost for a pantropical, practical ground-based *recensus programme* capable  
 857 of tracking and attributing forest change to published standards is estimated as:

858

859  $(283 * 18,000 + 165 * 9,000) \approx 6.6$  million US dollars.

860

861 This *annual investment is sufficient to ensure that ground-measurements track the biome-wide and*  
 862 *continent-specific biomass carbon balance of the remaining intact tropical moist forests, as well as*  
 863 *their climate sensitivity*. It also provides ground calibration and validation for remote estimates of  
 864 biomass. It further enables us to detect whether the tropical sink is now disappearing as predicted, and  
 865 where and why, and what the consequences for biodiversity are, and to determine how much intact

866 ecosystems can contribute to countries' nationally determined contributions (NDCs) to climate  
867 mitigation.

868

869 While \$6.6 million is a significant sum it is instructive to compare it to funding required for other large-  
870 scale science initiatives. The United States alone spends \$80 million annually (i.e., *twelve times as*  
871 *much*) on its national forest inventory (Castillo and Alvarez, 2020). Space Agencies invest from ca. \$80  
872 million to 500 million Euros for a single mission to estimate biomass from space for a few years (i.e,  
873 *one to two orders of magnitude more*). And as we have seen, ground networks ultimately not only  
874 transcend the short-term time windows of such missions but add huge value to them.

875

876 In conclusion, the ongoing cost of monitoring Earth's remaining tropical forests on the ground is  
877 extraordinarily small compared to the great scientific and practical benefits it provides. Meanwhile,  
878 tropical forests themselves are in greater trouble than ever before, even while still providing tremendous  
879 and irreplaceable benefits to the people of the world. Now that the capacity to monitor tropical forests  
880 is established and proven it is surely incumbent on all of us to ensure this collective effort continues  
881 and grows.

882

883

884

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939

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942

943 All authors have contributed to ForestPlots.net-associated networks by leading, or collecting or  
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1512 Taking the Pulse of Earth’s Tropical Forests using Networks of Highly Distributed Plots

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1516 Table 1 of Contributing Networks

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1518 Table of Contributing Authors

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1521 **Table 1. Networks contributing to ForestPlots.net**

1522 We report the 24 international, national, and regional plot networks contributing to and supported by ForestPlots.net in 2020, in order of date of affiliation. Note  
 1523 that some plots contribute to more than one network, in some cases the plots managed at ForestPlots.net are fewer than the total number of plots of the network,  
 1524 while others are not ‘networked’ but managed by individual researchers. Hence, cross-network totals do not correspond precisely to the number of plots  
 1525 managed. We include 20 tropical networks with multi-census plots plus four large-scale floristic-focussed networks (ATDN, CAO, sANDES, RedGentry) that  
 1526 work exclusively with single-census data. As an open collaborative project ForestPlots.net welcomes all contributors with carefully-managed plots.

Network <sup>1</sup>	Geography	Main Purposes <sup>2</sup>	Joined ForestPlots.net	Initiated [e.g. plots censused as a network]	First census in ForestPlots.net	n (plots in ForestPlots.net)	n (plots recensued)	Modal plot	Mean size (ha)	Mean (maximum) years monitored
RAINFOR	South America: tropical forests	B,D,F,M,T,V	2000	2000	1961	593	427	1-ha, >10cm d	0.8	15 (56)
DBTV	Venezuela: tropical forests	B,D,M,T	2004	1956	1961	48	48	0.25-ha, >10cm d	0.25	30 (55)
COL-TREE	Colombia	B,D,F,H,M,R,V	2004	2004	1992	61	55	1-ha, >10cm d	0.8	9 (25)
TROBIT	Pantropical: forest-savanna transition	B,D,F,H,R,T	2006	2006	2006	58	49	1-ha, >10cm d	1	12
AfriTRON	Africa: tropical forests	B,D,F,M	2009	2009	1939	575	407	1-ha, >10cm d	0.9	11 (69)
ABERG	Peru Andes: Kosñipata Valley	B,D,F,M,P,T	2011	2011	2003	23	23	1-ha, >10cm d	1	12 (16)
T-FORCES	Southeast Asia: tropical forests	B,D,F,H,M	2012	2012	1958	95	71	1-ha, >10cm d	1.3	22 (56)
GEM	Worldwide	D,H,M,P,R,T	2012	2010	2010	53	45	1-ha, >10cm d	0.8	5 (16)
PELD-TRAN	Brazil: Amazon-Cerrado transition	B,D,F,H,M,R,T,V	2012	2010	1996	48	45	1-ha, >10cm d	1	9 (22)
DRYFLOR	Latin America and Caribbean dry forests	B,D,F,H,M,R,T,V	2013	2012	2007	39	8	0.5-ha, >5cm d	0.3	7 (8)
ATDN	Amazonia: tropical forests	F,V	2014	2003	1974	413	N/A	1-ha, >10cm d	1	N/A
PPBio	Brazil: forests and savanna	B,D,F,H,M,T,V	2015	2004	2000	277	205	1-ha, >10cm d <sup>3</sup>	0.9	7 (17)
BIOTA	Brazil: São Paulo state, Atlantic forests	B,D,F,H,M,P,R,T,V	2016	2005	2005	20	18	1-ha, >10cm d	0.9	11 (14)

FATE	Brazil: Amazon fire-impacted	B,D,H,M,R,S,T	2016	2014	2009	57	38	0.25-ha, >10cm d <sup>3</sup>	0.3	4 (10)
RAS	Brazil: Para state	B,D,F,H,M,P,R,T,U,V	2016	2009	1999	256	59	0.25-ha, >10cm d <sup>3</sup>	0.26	6 (20)
MonANPeru	Peru	B,D,F,H,M,R,U,V	2017	2017	1974	128	103	1-ha, >10cm d	1	15 (43)
Nordeste	Brazil: Caatinga biome	B,D,F,H,M,R,T	2017	2017	2017	33	3	0.5-ha, >10cm d	0.5	3
SEOSAW	Southern Africa woodlands	B,D,F,H,M,R,S,T,U,V	2018	2018	2006	113	98	1-ha, >5cm d	0.5	9 (15)
Red BST-Col	Colombia: dry forests	B,D,F,H,M,R,U,V	2018	2014	2014	11	1	1-ha, >2.5cm d	1	3 (3)
CAO	Peru Amazon-Andes	B,F,S,T,V	2019	2009	2009	276	N/A	0.28-ha, >5cm d	0.28	N/A
RedSPP	Argentina: subtropical	B,D,F,H,M,R,V	2019	2019	1992	16	7	1-ha, >10cm d	1.4	10 (25)
RBA	South America: Andean forests	B,D,F,H,M,R,V	2020	2012	1992	46	34	1-ha, >10cm d	1	11 (25)
sANDES	South America: Andean forests	B,F,V	2020	2019	2003	191	N/A	0.1-ha, >2.5cm d	0.4	N/A
AfriMont	Africa: tropical montane forests	B,H,M,U,V	2020	2020	1939	105	N/A	1-ha, >10cm d	0.6	10 (69)
RedGentry	South America: Amazon forests	F,V	2020	2020	1983	350	N/A	0.1-ha, >2.5cm d	0.2	N/A

1527

1528 **Footnotes**1529 **1 Full Network Names:**

1530 Red Amazónica de Inventarios Forestales (RAINFOR)

1531 Dinámica y crecimiento del Bosque Tropical Venezolano (DBTV)

1532 Tropical Biomes in Transition (TROBIT)

1533 African Tropical Rainforest Observation Network (AfriTRON)

1534 Andes Biodiversity and Ecosystem Research Group (ABERG)

1535 Tropical Forests in the Changing Earth System (T-FORCES)

1536 Red Colombiana de Monitoreo de los Bosques (COL-TREE)

1537 Global Ecosystems Monitoring (GEM)

1538 Programa Ecológico de Longa Duração (PELD-TRAN)

1539 Amazon Tree Diversity Network (ATDN)

1540 Programa de Pesquisa em Biodiversidade (PPBio)

- 1541 Programa de Pesquisas em Caracterização, Conservação e Uso Sustentável da Biodiversidade (BIOTA)  
1542 Fire-Associated Transient Emissions (FATE)  
1543 Rede Amazônia Sustentável (RAS)  
1544 Monitoreo de las Areas Naturales Protegidos del Peru (MonANPeru)  
1545 Projeto Nordeste (Nordeste)  
1546 A Socio-Ecological Observatory for Southern African Woodlands (SEOSAW)  
1547 Red de Investigación y Monitoreo del Bosque Seco Tropical en Colombia (Red BST-Col)  
1548 Carnegie Airborne Observatory (CAO)  
1549 Red Subtropical de Parcelas Permanentes (RedSPP)  
1550 Red de Bosques Andinos (RBA)  
1551 Tree Diversity, Composition and Carbon in Andean Montane Forests (sANDES)  
1552 African tropical Montane forest network (AfriMont)  
1553 Red de parcelas Gentry (RedGentry)  
1554  
  
1555 **2 Purpose:** **B**iomass; **D**ynamics (mortality, recruitment, growth); **F**loristic composition; **H**uman-impacts (fire, logging, fragmentation); **M**onitoring carbon storage, sink,  
1556 change; **P**roductivity and carbon-cycle; **R**ecovery and restoration, Remote-**S**ensing calibration/validation; **T**raits; Sustainable **U**se; **D**i**V**ersity  
  
1557 **3** = with nested sub-plots for smaller stems  
  
1558

## Taking the Pulse of Earth's Tropical Forests using Networks of Highly Distributed Plots

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