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MULTIPLE STAKEHOLDER PERSPECTIVES OF COMPLEX ONLINE SERVICES: an e-government case study

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A Local Planning Authority research phase involvement

The following pages show the websites used in the Citizen and SME research phases and references the websites used to analyse applications.

The table below provides a definition of the codes used in the LPA type column.

Code	LPA type
D	District or Borough Authority
М	Metropolitan Borough
U	Unitary Authority
С	County Authority
L	London Borough
N	National Park Authority

LPA	LPA Type	Local Authority Planning Home Page (accessed 7 April 2015)	Citizen paper applicants	Citizen online applicants	Agent applicants	Final Citizen/ SME survey
Amber Valley Borough Council	D	http://www.ambervalley.gov.uk/environment-and-planning/planning.aspx	Y	N/A	Y	Υ
Aylesbury Vale District Council	D	http://www.aylesburyvaledc.gov.uk/planningbuilding/	Υ	N/A	T&Cs exclud	de research
Babergh District Council	D	http://www.babergh.gov.uk/planning-and-building/planning/	Υ	N/A	Y	Y
Barnsley Metropolitan Borough Council	М	https://www.barnsley.gov.uk/services/environment-and-planning/planning	Y	N/A	Y	Y
Bexley Council London Borough	L	http://www.bexley.gov.uk/index.aspx?articleid=595	Υ	N/A	Insufficie	ent data
Birmingham City Council	D	http://www.birmingham.gov.uk/cs/Satellite/planning?packed args=website%3D4&rendermode=live	Y	N/A	Y	Y
Blackpool Borough Council	U	https://www.blackpool.gov.uk/Residents/Planning- environment-and-community/Planning/Planning.aspx	Y	N/A	Y	Y
Blaenau Gwent County Borough Council	W	http://www.blaenau-gwent.gov.uk/99.asp	No data	N/A	Y	Y
Bolsover District Council	D	http://www.bolsover.gov.uk/planning/planning-applications#	Y	N/A	LPA no	t used
Bracknell Forest Borough Council	U	http://www.bracknell-forest.gov.uk/planningapplications	Y	N/A	Y	Y
Braintree District Council	D	http://www.braintree.gov.uk/info/200221/planning	Y	N/A	Y	Y
Bristol City Council	D	http://www.bristol.gov.uk/page/planning-and-building- regulations	Y	N/A	Y	Y
Broads Authority	N	http://www.broads-authority.gov.uk/planning	Р	N/A	Р	Р
Burnley Borough Council	D	http://www.burnley.gov.uk/residents/planning	Y	N/A	Y	Y
Bury Metropolitan Borough Council	М	http://www.bury.gov.uk/index.aspx?articleid=2412	Y	N/A	Υ	Y
Caerphilly County Borough Council	W	http://www.caerphilly.gov.uk/Services/Planning-and-building-control	Y	N/A	Y	Υ
Cambridge City Council	D	https://www.cambridge.gov.uk/planning	Y	N/A	Y	Y
Cardiff City Council	W	https://www.cardiff.gov.uk/ENG/Resident/Planning/pages/default.aspx	No data	N/A	Y	Y
Carmarthenshire County Council	W	http://www.carmarthenshire.gov.uk/english/environment/planning/pages/home.aspx	Y	N/A	Y	Y

LPA	LPA Type	Local Authority Planning Home Page (accessed 7 April 2015)	Citizen paper applicants	Citizen online applicants	Agent applicants	Final Citizen/ SME survey
Central Bedfordshire Council	U	http://www.centralbedfordshire.gov.uk/planning/default.aspx	Y	N/A	Insufficie	ent data
Charnwood Borough Council	D	http://www.charnwood.gov.uk/pages/planning_and_regener ation	Y	N/A	Y	Y
Chelmsford Borough Council	D	http://www.chelmsford.gov.uk/planning	Y	N/A	Y	Υ
Cheshire East	U	http://www.cheshireeast.gov.uk/planning/planning.aspx	Р	N/A	Р	Р
City of London	L	http://www.cityoflondon.gov.uk/services/environment-and-planning/planning/Pages/default.aspx	Y	N/A	Y	Y
City of Southampton	U	https://www.southampton.gov.uk/planning/	Y	N/A	Y	Y
Co Durham	U	http://www.durham.gov.uk/planning	Υ	N/A	Υ	Υ
Cornwall	U	https://www.cornwall.gov.uk/environment-and-planning/planning/	No data	N/A	Y	Y
Crawley Borough Council	D	http://crawley.gov.uk/pw/Planning_and_Development/index.htm	Y	N/A	Y	Y
Daventry District Council	D	http://www.daventrydc.gov.uk/living/planning-and-building-control/	Y	N/A	Insufficie	ent data
Doncaster Metropolitan Borough Council	М	http://www.doncaster.gov.uk/sections/planningandbuildings/index.aspx	Y	N/A	Y	Y
Dudley Metropolitan Borough Council	М	http://www.dudley.gov.uk/resident/planning/	Y	N/A	Y	Y
East Cambridgeshire District Council	D	http://www.eastcambs.gov.uk/planning/planning	Y	N/A	Y	Υ
East Devon District Council	D	http://eastdevon.gov.uk/planning/	Y	N/A	Y	Υ
East Dorset District Council	D	https://www.dorsetforyou.com/planning/east-dorset	Υ	N/A	Y	Y
East Hertfordshire Council	D	http://www.eastherts.gov.uk/index.jsp?articleid=24827	Y	N/A	Y	Y
Erewash Borough Council	D	http://www.erewash.gov.uk/planning-building- control/planning.html	Y	N/A	Y	Y
Essex County Council	С	http://www.essex.gov.uk/Environment%20Planning/Planning/Pages/Default.aspx	No data	N/A	Insufficie	ent data
Exeter City Council	D	http://www.exeter.gov.uk/index.aspx?articleid=9470	Y	N/A	Y	Y

LPA	LPA Type	Local Authority Planning Home Page (accessed 7 April 2015)	Citizen paper applicants	Citizen online applicants	Agent applicants	Final Citizen/ SME survey
Exmoor National Park	N	http://www.exmoor-nationalpark.gov.uk/planning	Y	N/A	Insufficie	nt data
Gedling Borough Council	D	http://www.gedling.gov.uk/planningbuildingcontrol/	Y	N/A	Υ	Υ
Halton Borough Council	U	http://www3.halton.gov.uk/Pages/planning/planningandenvironment.aspx	Y	N/A	Y	Υ
Haringey Council London Borough	L	http://www.haringey.gov.uk/housing-and-planning/planning	Y	N/A	Y	Υ
Harlow Council	D	http://www.harlow.gov.uk/planning-building	Y	N/A	Y	Υ
Hart District Council	D	http://www.hart.gov.uk/planning-residents	Y	N/A	Y	Υ
Hartlepool Borough Council	U	http://www.hartlepool.gov.uk/info/200074/planning	Υ	N/A	Υ	Υ
Hastings Borough Council	D	https://www.hastings.gov.uk/environment_planning/planning/	Y	N/A	Y	Υ
High Peak Borough Council	D	http://www.highpeak.gov.uk/hp/council-services/planning-and-buildings	Y	N/A	Y	Y
Hinckley & Bosworth Borough Council	D	http://www.hinckley-bosworth.gov.uk/planning	Y	N/A	Y	Υ
Hull City Council	U	http://www.hullcc.gov.uk/planning	No data	N/A	Insufficie	nt data
Isle of Anglesey County Council	С	http://www.anglesey.gov.uk/planning-and-waste/		Data cannot b	oe extracted	
Kent County Council	С	http://www.kent.gov.uk/waste-planning-and-land/planning-applications		l data not lable	Y	Υ
Lake District National Park Authority	N	http://www.lakedistrict.gov.uk/planning	Y	N/A	Insufficie	nt data
Lichfield District Council	D	https://www.lichfielddc.gov.uk/planning	Y	N/A	Y	Υ
Lincoln City Council	D	http://www.lincoln.gov.uk/living-in-lincoln/planning-and-building/		Individual data	not available	
Luton Borough Council	D	https://www.luton.gov.uk/Environment/planning/Pages/default.aspx	Y	N/A	Y	Y
Maidstone Borough Council	D	http://www.maidstone.gov.uk/residents/planning	Y	N/A	Y	No data
Manchester City Council	D	http://www.manchester.gov.uk/planning	Υ	N/A	Y	Υ
Merton Council London Borough	L	http://www.merton.gov.uk/environment/planning.htm	Υ	N/A	Insufficie	nt data

LPA	LPA Type	Local Authority Planning Home Page (accessed 7 April 2015)	Citizen paper applicants	Citizen online applicants	Agent applicants	Final Citizen/ SME survey
Mid Devon District Council	D	https://new.middevon.gov.uk/planning/	Υ	N/A	Y	Υ
Middlesbrough Borough Council	U	http://www.middlesbrough.gov.uk/?articleid=1640	Υ	N/A	Y	Υ
New Forest District Council	D	http://www.nfdc.gov.uk/article/9900/Planning	Υ	N/A	Y	Υ
Newark & Sherwood District Council	D	http://www.newark-sherwooddc.gov.uk/planning/	Υ	N/A	Y	Υ
North Lincolnshire Council	U	http://www.northlincs.gov.uk/planning-and- environment/planning/	Y	N/A	Y	Υ
North Dorset District Council	D	https://www.dorsetforyou.com/planning/north-dorset	Y	N/A	Y	Υ
North Warwickshire Borough Council	В	http://www.northwarks.gov.uk/planning		No d	ata	
Northamptonshire County Council	С	http://www.northamptonshire.gov.uk/en/councilservices/environ/planning/pages/default.aspx	No data	N/A	Y	No data
Northumberland National Park Authority	N	http://matrix.northumberlandnationalpark.org.uk/livingin/planning	Y	N/A	Y	Y
Norwich City Council	D	http://www.norwich.gov.uk/Planning/Pages/PlanningService s.aspx	Y	N/A	Y	Y
Oadby & Wigston Borough Council	D	http://www.oadby-wigston.gov.uk/pages/planning	Y	N/A	Y	Υ
Peterborough City Council	U	https://www.peterborough.gov.uk/council/planning-and-development/planning-and-building/	Y	N/A	Y	Y
Redbridge Council London Borough	L	http://www2.redbridge.gov.uk/cms/planning_land_and_build ings/planning.aspx	Y	N/A	Y	Y
Redcar & Cleveland Borough Council	U	https://www.redcar-cleveland.gov.uk/planning	Y	N/A	Y	Υ
Richmondshire District Council	D	http://www.richmondshire.gov.uk/planning	Y	N/A	Y	Υ
Rochford District Council	D	http://www.rochford.gov.uk/planning	Y	N/A	Y	Υ
Rossendale Borough Council	В	https://www.rossendale.gov.uk/info/200074/planning		No data a	vailable	
Rotherham MBC	М	http://www.rotherham.gov.uk/planning	Υ	N/A	Y	Y
Rugby Borough Council	D	http://www.rugby.gov.uk/planning	Υ	N/A	Y	Y
Rushmoor Borough Council	D	http://www.rushmoor.gov.uk/planning	Υ	N/A	Y	Υ

LPA	LPA Type	Local Authority Planning Home Page (accessed 7 April 2015)	Citizen paper applicants	Citizen online applicants	Agent applicants	Final Citizen/ SME survey
Rutland County Council	С	http://www.rutland.gov.uk/development_control.aspx	Y	N/A	No data a	vailable
Sedgemoor District Council	D	http://www.sedgemoor.gov.uk/index.aspx?articleid=5554	Р	N/A	Р	Р
Shepway District Council	D	http://www.shepway.gov.uk/content/view/200376/203/	Р	N/A	Р	Р
Shropshire Council	U	http://new.shropshire.gov.uk/planning	Y	N/A	Y	Y
Snowdonia National Park	N	http://www.eryri-npa.gov.uk/planning	Y	N/A	Y	Υ
South Hams District Council	D	http://www.southhams.gov.uk/article/587/Planning	Y	N/A	Y	Y
South Tyneside Metropolitan Borough Council	М	http://www.southtyneside.gov.uk/article/9014/planning	Y	N/A	Y	No data available
Spelthorne Borough Council	D	http://www.spelthorne.gov.uk/planning	Y	N/A	Y	Y
St Edmundsbury Borough Council	D	http://www.westsuffolk.gov.uk/planning/	Y	N/A	Y	Y
Stockport Metropolitan Borough Council	М	http://www.stockport.gov.uk/services/environment/planning building/planning/	Y	N/A	Y	Y
Stroud District Council	D	http://www.stroud.gov.uk/docs/planning/planning_home.asp	Y	N/A	Y	Y
Tandridge District Council	D	http://www.tandridge.gov.uk/planning	Р	N/A	Р	Р
Teignbridge District Council	D	http://www.teignbridge.gov.uk/planning	Y	N/A	Y	Y
Thanet District Council	D	http://www.thanet.gov.uk/your-services/planning/	Y	N/A	Y	Υ
Three Rivers District Council	D	http://www.threerivers.gov.uk/egcl-page/planning	Y	N/A	Y	Υ
Thurrock Council	U	https://www.thurrock.gov.uk/planning-and-growth	Y	N/A	Y	Υ
Uttlesford District Council	D	http://www.uttlesford.gov.uk/planning	Y	N/A	Y	Y
Vale of White Horse District Council	D	http://www.whitehorsedc.gov.uk/services-and-advice/planning-and-building	Y	N/A	Y	Y
Warwick District Council	D	http://www.warwickdc.gov.uk/planning	Y	N/A	Y	Y
Waveney District Council	D	http://www.waveney.gov.uk/Planning/	Р	N/A	Р	Р
Waverley Borough Council	В	http://www.waverley.gov.uk/planning		No data a	available	
Welwyn Hatfield District Council	D	http://www.welhat.gov.uk/planning	Υ	N/A	Υ	Υ

LPA	LPA Type	Local Authority Planning Home Page (accessed 7 April 2015)	Citizen paper applicants	Citizen online applicants	Agent applicants	Final Citizen/ SME survey
West Dorset District Council	D	https://www.dorsetforyou.com/planning/west-dorset	Y	N/A	Y	Y
West Oxfordshire District Council	D	http://www.westoxon.gov.uk/residents/planning-building/	Y	N/A	Y	Y
Wiltshire Council	U	http://www.wiltshire.gov.uk/planninganddevelopment.htm	Y	N/A	Y	Y
Woking Borough Council	D	http://www.woking.gov.uk/planning	Y	N/A	Y	Υ
Worcestershire County Council	С	http://www.worcestershire.gov.uk/info/20014/planning		No data a	available	
Worthing Borough Council	D	http://www.adur-worthing.gov.uk/planning/	Y	N/A	Y	Y
Wyre Forest District Council	D	http://www.wyreforestdc.gov.uk/planning-and- buildings.aspx	Y	N/A	Y	Y

Table A-1 – Local Planning Authorities by research phase

B Citizen Paper Applicants study – main phase survey

per week rs per week urs per week Your experi- are interested be aware of the out them or see	w ny more week do you ence of o to know if y nese service en advertisin	nline go	(() () (Internet () Overnme. Overnme. Overnme. Overnme.	Now pleas Now pleas consider 16- 21- 3 ent serv vernment use the In	-20 hours per -30 hours per 31+ hours per ices services that i	question 3) question 3) nd work/education (please week week	on the
ny hours per week rs per week urs per week Wour experi- are interested be aware of the out them or see	ence of o to know if y nese service an advertisin	nline go	((Internet () Overnme overnme over of go oou do not umple.	Now pleas Now pleas consider 16- 21- 3 ent serv vernment use the In	se continue at se continue at both home an -20 hours per -30 hours per st hours per ices services that in sternet yourse	question 3) question 3) nd work/education (please week week week might be available	on the
per week ars per week ars per week Wour experi- are interested be aware of the out them or see	ence of o to know if y nese service an advertisin	nline go	Overnmo overnmo vare of go vou do not umple.	Now pleas consider 16- 21- 3 ent serv vernment use the In	both home are bo	question 3) nd work/education (please week week week might be available	on the
per week rs per week urs per week Your experi- are interested be aware of the out them or see	ence of o to know if y tese service an advertisin	nline go	Overnmovare of governmovare of governmove.	16- 21- 3 ent servi	both home ar -20 hours per -30 hours per B1+ hours per ices services that re- ternet yourse	week week might be available	on the
per week rs per week urs per week Your experi- are interested be aware of the out them or see	ence of o to know if y tese service an advertisin	nline go	overnmover of go to	21- 32 ent serve vernment use the In	-20 hours per -30 hours per 31+ hours per ices services that i	week week week might be available	on the
rs per week urs per week Your experi- are interested be aware of the out them or see	to know if y nese service en advertisin (Internet) g	you are aw s even if y ng, for exa governmen	vare of go you do not ample.	21- 3 ent servi vernment : use the In	-30 hours per 31+ hours per ices services that r iternet yoursel	week week week might be available	on the
Your experience of the out them or see	to know if y nese service en advertisin (Internet) g	you are aw s even if y ng, for exa governmen	vare of go you do not ample.	ent servi vernment use the In	ices services that internet yoursel	week night be available	
Your experience are interested to be aware of the out them or see	to know if y nese service en advertisin (Internet) g	you are aw s even if y ng, for exa governmen	vare of go you do not ample.	ent service vernment is use the In	ices services that i	night be available	
are interested be aware of th out them or see	to know if y nese service en advertisin (Internet) g	you are aw s even if y ng, for exa governmen	vare of go you do not ample.	vernment . use the In	services that i		
are interested be aware of th out them or see	to know if y nese service en advertisin (Internet) g	you are aw s even if y ng, for exa governmen	vare of go you do not ample.	vernment . use the In	services that i		
		ed the fea	ture and			you aware of ? (please	tick)
	222	cu me rea	iture and	i iouna n		I am aware	
	Easy to use				Hard to use	of this but have not	I am not
	1	2	3	4	5	used it	this
iew a passport							
ishing licence							
Tax a vehicle							
me tax returns							
				_			
10.70	_						
	_			_			
C 11							
i	t NHS Direct egister to vote brary account g applications g applications ease specify):	t NHS Direct gister to vote brary account g applications g applications	t NHS Direct	t NHS Direct	t NHS Direct	t NHS Direct	t NHS Direct

I	this section we are interested in your re-	agut avn	rience of applying for planning permission. Please note the
			tal application, nor of the results of the application.
5.	How many times have you EVER ap	plied fo	or planning permission?
6.	To which local authority did you ser	ıd the m	ost recent planning application?
7.	What type of application was your i	nost rec	ent submission? (please tick one)
	Full planning consent		Tree works
	Householder planning application		Not sure
	Conservation area consent		Other, please specify
	Listed building consent		
8.	How did you most recently apply fo	r planni	ng permission? (please tick one)
	On paper forms		Not sure
	On paper forms downloaded from the		Other, please specify
	Internet then printed Online via the Planning Portal		
	Offinite via the Flamming Portar	Ш	
If y	ou applied online, please now jump to q	uestion	12
9.	Why did you decide to apply on pap	er? (ple	
	Advice from the Local Planning Authority		Was aware of the online option but felt the paper application was easier to complete
	Advice from a professional (e.g		Was concerned about privacy or security of the
	architect, surveyor) Do not have access to a computer		online application Other, please specify
			Other, please specify
	Not aware of the online option		
10.	What would encourage you to su	bmit a	planning application online?
11	a) If you started to use the Plann	ina Dan	tal far information online but then submitted vous
		_	tal for information online, but then submitted your t did you change to paper? b) Why?
		•	F-F,
		ion befo	ore you applied? (please tick all that apply)
12.	Did you use any sources of informat	ion bere	From friends or family
12.	Did you use any sources of informat Local Planning Authority staff		· —
12.			Professional advice, please specify
12.	Local Planning Authority staff		· —
12.	Local Planning Authority staff Planning Portal website		Professional advice, please specify
	Local Planning Authority staff Planning Portal website Other website, please specify		Professional advice, please specify (e.g. surveyor, architect, planning agent etc), Other source, please specify
	Local Planning Authority staff Planning Portal website Other website, please specify		Professional advice, please specify (e.g. surveyor, architect, planning agent etc), Other source, please specify abmitted a planning application, how did they apply?
	Local Planning Authority staff Planning Portal website Other website, please specify		Professional advice, please specify (e.g. surveyor, architect, planning agent etc), Other source, please specify
	Local Planning Authority staff Planning Portal website Other website, please specify If you know anyone else who has rec		Professional advice, please specify (e.g. surveyor, architect, planning agent etc), Other source, please specify abmitted a planning application, how did they apply? (please tick one)
	Local Planning Authority staff Planning Portal website Other website, please specify If you know anyone else who has rec On paper forms		Professional advice, please specify (e.g. surveyor, architect, planning agent etc), Other source, please specify abmitted a planning application, how did they apply? (please tick one Not sure

All participants should answer this section, whether or not you are a currely Planning Portal is part of the Department for Communities and Local Government citizens throughout the planning application process. The website process and building regulations. It also includes useful tools such as interactive lanning permission and which do not, and a calculator for application fees. It which takes you through the application process step by step and then forward occal authority.	vernment vides info ve guides also has	t and orma to w an o	l it provid tion on th hich deve nline app	ie plan lopmei lication	ning nts need n form
4. Based on the information above, and any experience you may have of the Planning Portal, please indicate to what extent you agree with these statements? (please tick)	Agree strongly		Neither agree nor disagree	di	trongly isagree
would use the Planning Portal website for gathering information about planning	. 1 	2	3	4	5 □
application					
I would use an online planning application service					
Interacting with the Planning Portal over the Internet is something I would do The online planning application forms would enable me to complete applications					Ш
more quickly					
I think the Planning Portal information pages would provide a valuable service for me					
think online planning application forms would provide a valuable service for me					
The content of the Planning Portal web site would be useless for me					
Using the Internet would enhance my efficiency in gathering information about planning					
Using the Internet would give me greater control over my interaction with the planning process					
I think the Internet would fit well with the way that I like to gather information about planning					
Interacting with the planning process via the Internet would fit into my lifestyle					
People who use the Internet to gather information from the Planning Portal have more prestige than those who do not.					
Interacting with the Planning Portal enhances a person's social status.					
The Internet has enough safeguards to make me feel comfortable using it to interact with the planning process					
In general the Internet is now a robust and safe environment in which to transact with the planning process					
I think I can trust the Planning Portal in gathering information					
I believe the Planning Portal can be trusted to carry out online transactions faithfully					
I would feel uneasy if I used the online planning application forms					
I do not think it is safe to use online planning application because of the privacy and security concerns					
I have the resources necessary to use online planning facilities					
	П				

diffe	aim of our research is to investigate whether there is any difference in uptake of online planning services between rent groups of the population. To do this, we need to know a little about you. u would prefer not to answer any of these questions, please ignore them.
15.	What is your YEAR of birth?
16.	What is your gender? (please tick one)
	Male Female Transgender
17.	How many other adults (16 years or over) live with you?
18.	What is your current household income? (please tick one) Less than £10,000 ☐ £20,000-£29,999 ☐ £40,000 or more ☐ £10,000 - £19,999 ☐ £30,000-£39,999: ☐
19.	What was the highest level of education you have attained? (please tick one) No qualifications
Sec	ction 5 – Other comments
20.	Please feel free to add any further comments here.
20.	Please feel free to add any further comments here.
20.	Thank you for your time. Please return your survey in the envelope provided by 13th August 2010. If you would be willing to take part in either a follow-up survey or telephone interview, please provide your contact details on the enclosed reply slip.

C Sources of attitudinal statements for surveys

The following table details the attitude statements that were used in the research, the sources of these statements and which surveys they were used in.

Items marked with an asterisk* were reverse-worded.

Construct items	Item code	Primary Source (& Associated statements)	Statement	Citizen 2010	Citizen 2011	SME Profs 2013	Citizen 2015	SME Profs 2015
Behavioural Intention/Intention to Use	(BI1)	Carter & Bélanger (2005)	I would use the Planning Portal website for gathering information about planning application	Y	Y	Y	Y	Y
Intention to Use	(BI2)	Carter & Bélanger (2005)	I would use an online planning application service	Υ	Υ	Υ	Υ	Υ
Intention to Use	(BI3)	Carter & Bélanger (2005)	Interacting with the Planning Portal over the Internet is something I would do	Υ	Υ	Υ	Y	Y
Compatibility	(CT1)	Carter & Bélanger (2005)	I think the Internet would fit well with the way that I like to gather information about planning	Y	Υ	Y	Y	Y
Compatibility	(CT2)	Carter & Bélanger (2005)	Interacting with the planning process via the Internet would fit into my lifestyle	Y	Υ	Y	Y	Y
Facilitating conditions	(FAC1)	Schaupp <i>et al.</i> (2010)	I have the knowledge necessary to use online planning facilities	Y	Υ	Y	Y	Y
Facilitating conditions	(FAC2)	Schaupp <i>et al.</i> (2010)	I have the resources necessary to use online planning facilities	Υ	Υ	Υ	Υ	Υ
Image	(IM1)	Carter & Bélanger (2005)	People who use the Internet to gather information from the Planning Portal have more prestige than those who do not	Y	Y	Y	Y	Y
Image	(IM2)	Carter & Bélanger (2005)	Interacting with the Planning Portal enhances a person's social status.	Υ	Υ	Υ	Y	Y
Perceived risk	(PR1)*	Schaupp <i>et al.</i> (2010)	I do not think it is safe to use online planning application because of the privacy and security concerns		Y	Y	Y	Y
Perceived risk	(PR2)*	Schaupp <i>et al.</i> (2010)	I would feel uneasy if I used the online planning application forms	Y	Y	Υ	Y	Y
Perceived Usefulness	(PU1)	Carter & Bélanger (2005)	I think online planning application forms would provide a valuable service for me	Υ	Y	Y	Y	Y

Construct items	Item code	Primary Source (& Associated statements)	Statement	Citizen 2010	Citizen 2011	SME Profs 2013	Citizen 2015	SME Profs 2015
Perceived Usefulness	(PU2)	Carter & Bélanger (2005) (Schaupp et al. (2010)	The online planning application forms would enable me to complete applications more quickly	Υ	Y	Y	Y	Υ
Perceived Usefulness	(PU3)	Carter & Bélanger (2005)	I think the Planning Portal information pages would provide a valuable service for me		Υ	Y	Y	Y
Perceived Usefulness	(PU4)*	Carter & Bélanger (2005)	The content of the Planning Portal web site would be useless for me	Y	Υ	Y		
Relative Advantage	(RA1)	Carter & Bélanger (2005)	Using the Internet would enhance my efficiency in gathering information about planning	Υ	Y	Y	Y	Y
Relative Advantage	(RA2)	Carter & Bélanger (2005)	Using the Internet would give me greater control over my interaction with the planning process	Υ	Y	Υ	Y	Υ
Relative Advantage	(RA3)	New statement	I believe it is cheaper financially to apply for planning permission online				Y	Y
Trust in Government	(TRUST_G1)	Carter & Bélanger (2005) (Bélanger & Carter (2008))	I think I can trust the Planning Portal in gathering information	Y	Y	Y	Y	Y
Trust in Government	(TRUST_G2)	Carter & Bélanger (2005) (Schaupp <i>et al.</i> (2010), Bélanger & Carter (2008))	I believe the Planning Portal can be trusted to carry out online transactions faithfully	Y	Y	Y	Y	Y
Trust in Internet	(TRUST_I1)	Carter & Bélanger (2005) (Bélanger & Carter (2008))	In general the Internet is now a robust and safe environment in which to transact with the planning process	Y	Y	Y	Y	Y
Trust in Internet	(TRUST_I2)	Carter & Bélanger (2005) (Schaupp <i>et al.</i> (2010) Bélanger & Carter (2008))	The Internet has enough safeguards to make me feel comfortable using it to interact with the planning process	Y	Y	Y	Y	Y
Self-efficacy	(SE1)	New statement	I understand what information each question on the planning application form requires			Paper only	Y	Y

Construct items	Item code	Primary Source (& Associated statements)	Statement	Citizen 2010	Citizen 2011	SME Profs 2013	Citizen 2015	SME Profs 2015
Self-efficacy	(SE2)	Hung et al. (2006)	I would be able to use online planning applications even if there was no one around to help me			Paper only	Υ	Υ
Complexity of Task	(CMPLX1)	New statement based on Goodhue and Thompson (2007)	I frequently deal with unusual or non-routine planning applications			Paper only	Y	Y
Complexity of Task	(CMPLX2)	New statement	The need to include multiple documents with a planning application means it is complex to apply online				Y	Y
Complexity of Task	(CMPLX3)	New statement	The complexity of planning applications means that it is not appropriate to apply online				Υ	Υ
Complexity of Task	(CMPLX4)	New statement	The complexity of planning applications means that it easier to apply on paper than online				Y	Υ
Environmental Impact	(ENV1)	New statement	Working in a way that reduces my environmental impact is important to me				Y	Υ
Environmental Impact	(ENV2)	New statement	Working in a way that reduces my environmental impact is important to my organisation					Υ
Environment Impact	(ENV3)	New statement	I believe online planning is a more environmentally friendly method of planning application than paper applications				Y	Y
Personal Control	(PC2)	Adapted from Hung <i>et al.</i> (2006)	I personally know other people who have submitted planning applications online				Υ	Υ
Personal Control	(PC1)	Adapted from Hung <i>et al.</i> (2006)	The decision whether to use the online planning application forms is entirely within my control		Paper only	Υ	Υ	
Documents	(DOC1)	New statement	The way I prefer to develop supporting documentation for a planning application means it is easier to apply online				Y	Y
Documents	(DOC2)*	New statement	I prefer to create hand-produced plans and drawings to support a planning application rather than use a computer				Y	Y

Construct items	Item code	Primary Source (& Associated statements)	Statement	Citizen 2010	Citizen 2011	SME Profs 2013	Citizen 2015	SME Profs 2015
Documents	(DOC3)	New statement	I feel that the production of plans and drawings to support a planning application is as much an art of a technical skill				Y	Y
Documents	(DOC4)	New statement	The creative element in creating plans and drawings to support a planning application is important to me				Y	Υ
Trust in Local Authority	(TRUST_LA1)	Carter & Bélanger (2005) (Bélanger & Carter (2008))	I believe the Local Authority receiving my application can be trusted to carry out online transactions faithfully				Y	Y
Trust in Local Authority	(TRUST_LA2)	New statement	I believe the Local Authority receiving my application will handle and manage the application electronically				Y	Y
Trust in Local Authority	(TRUST_LA3)	New statement	I believe the Local Authority receiving my application will assess online applications electronically				Y	Y

Table A-2 – Attitude statements by Planning Portal research phase

D Sample paper survey cover letter and information sheet (adapted for each survey)



Name

Address

Address

Address

Address

Address

Address

Invitation to take part in a study on the planning application process

You are invited to take part in a research study to investigate attitudes towards the planning application process. Before you decide it is important for you to understand why the research is being done and what it will involve.

What is the purpose of the study?

The Planning Portal is a resource for citizens who wish to apply for planning permission from their local council. It includes an online application form. The Planning Portal wish to gather views from citizens on why citizens choose to apply for planning permission using paper-based or using online applications.

In addition, the information gathered will be used in academic research at the University of Plymouth to investigate theories of technology adoption in relation to the planning process.

Why have I been chosen?

You were chosen to take part in the study because we have identified that you have recently submitted an application for planning permission. To do this, we have used publicly available information, published as part of the planning application process.

What will happen to me if I take part?

You will be asked to complete and return the enclosed survey. You will also be asked if you would be willing to take part in a follow-up phase of a 20-30 minutes telephone interview. You are free to complete the survey and not be involved in the interview phase. More information is given overleaf.

Do I have to take part?

Taking part in the research is entirely voluntary. It is up to you to decide whether or not to take part. Your consent to take part is assumed if you return the survey form. However, if you decide to take part, you are still free to withdraw at any time and without giving a reason.

Thank you for taking the time to read this letter

Yours sincerely

Janet Kneller Plymouth Business School

Citizens Journey Survey cover letter July 2010

Invitation to take part in a study on the planning application process

Who is organising and funding the research?

I am conducting the research as a student at the University of Plymouth. I am studying for a Ph.D. as part of the Plymouth Business School. However, direct costs of the research are being funded by the Planning Portal.

Will what I say in this study be kept confidential?

All personal information collected about you will be kept strictly confidential. It will be kept in a locked cabinet until the end of the research at which point it will be destroyed. It will not be used for any purpose other than this research and directly related publications. Your name will not be mentioned in any research documentation or reports. Some quotations from surveys may be included in the published thesis and reports but anonymity will be preserved by referring to participants as "Participant A" etc.

What will happen to the results of the research study?

The results of the research including some anonymous quotes from the surveys will be used in reports for the Planning Portal (covering attitudes and opinions to the planning process) and in my thesis for a Ph.D. degree. It is also possible that some aspects of the research, again including some anonymous quotes, will be published in academic journals. You may request copies of these from the researcher, using the contact details below.

What are the possible benefits of taking part?

It is hoped these surveys and interviews will further our understanding of the public's opinions of the planning application process.

Who has reviewed the study?

The research has been approved by the Plymouth Business School Faculty Research Ethical Approval Committee.

Contact for Further Information

For further information, please feel free to contact me or my supervisor at the contact details below.

Dr Andy Phippen

Janet Kneller

Plymouth Business School

or

Redacted Plymouth Business School
Redacted University of Plymouth
Redacted Drake Circus

Redacted Plymouth
PL4 8AA

Phone: Redacted (evenings)

Email: janet.kneller@plymouth.ac.uk Phone: Redacted

Email: andy.phippen@plymouth.ac.uk

Citizens Journey Survey cover letter July 2010

E Sample online survey cover email (adapted for each survey)

FAO: Mr AN Other

The Planning Portal, in conjunction with Plymouth University is undertaking research to investigate citizens' experiences of using the online planning application form.

As you have recently submitted a planning application via the Planning Portal website and said that you were happy to receive communications related to this, we would really appreciate your help in completing a survey which should take 10-15 minutes to complete.

We would like to understand more about why individual citizens choose to apply for planning permission using online applications and their impressions and experiences of the online planning application form.

The information we get will be used by the Planning Portal to help identify improvements to the application form, and by Plymouth University as part of a PhD study into take-up of online government services.

Taking part in the research is entirely voluntary, but we would very much like to hear your views. Please click the link below to start the survey:

survey link removed for thesis publication

The survey is open until 10pm on Wednesday 31st August.

Thank you

Janet Kneller - on behalf of the Planning Portal and Plymouth University

F Sample interview invitation email (adapted for citizen, professionals and LPA studies)

FAO Mr AN Other

You recently very kindly completed a survey about the planning application process as part of our joint research project between the Planning Portal and Plymouth University. You indicated with your response that you might be willing to help further.

As a result we would like to invite you to take part in a telephone interview help us further explore your opinions about the planning application forms.

The interview would last about 25-30 minutes and would be recorded. You will be provided with a transcript of the interview to check. We would like to use direct quotations from some interviews in the research report, but these would be anonymous, with the interviewee being referred to as "Participant A, surveyor" or "Participant B, tree surgeon" etc.

If you are still willing to be involved in a telephone interview, I'd like to book an appointment with you over the next couple of weeks.

As a starting point, would you be available either:

- date at time
- date at time

If neither of these times are convenient, please let me know and we can find a mutually convenient time, but it would be useful to know if you would prefer weekdays/weekends, daytime/evening etc.

Thank you
Janet Kneller
Plymouth Business School
Plymouth University

G Sample pre-interview information sheet and consent form (adapted for each study)



Participant Information Sheet – Telephone Interviews

Study title

Planning Portal Citizens' Journey Research

Invitation to participate

You are being invited to take part in a research study. Before you decide it is important for you to understand why the research is being done and what it will involve. Please take time to read the following information carefully.

What is the purpose of the study?

The Planning Portal is a facility provided by the Department for Communities and Local Government as a resource for citizens who wish to apply for planning permission from their local council. It includes an online application form. The Planning Portal wish to gather views from citizens on why citizens choose apply for planning permission using paper-based or using online applications. In addition, the information gathered will be used in academic research at the University of Plymouth to investigate theories of technology adoption in relation to the planning process. We are equally interested in the views of citizens who apply on paper and online, and those who are Internet users and those who are not.

Why have I been chosen?

You were chosen to take part in the study because you indicated during the survey phase that you were willing to participate further. Individual telephone interviews will be held with around 15-20 people.

Do I have to take part?

Taking part in the research is entirely voluntary. It is up to you to decide whether or not to take part. If you do decide to take part you will be asked to sign a consent form. If you decide to take part, you are still free to withdraw at any time and without giving a reason.

What will happen to me if I take part?

You will be invited to take part in an interview at a time convenient to you. This will be a one-to-one telephone interview. The interview will last for 20-30 minutes. The interview will be recorded in order that I can create an accurate record of what was said. The interviewer will also take notes during your call.

The interview will aim to get your views about the planning application process. We do not need to know about the details of your own building project, nor the outcome of the planning application.

What are the possible benefits of taking part?

It is hoped these interviews will further our understanding of the public's opinions of the planning application process and how service from Government organisations can be improved.

Will what I say in this study be kept confidential?

All personal information collected about you will be kept strictly confidential. It will be kept in a locked cabinet until the end of the research at which point it will be destroyed. It will not be used for any purpose other than this research and directly related publications. Your name will only be known to the researcher. Your name will not be mentioned in any research documentation or reports. Some quotations from interview sessions will be included in the published thesis and reports but anonymity will be preserved by referring to participants as "Participant A" etc.

Participant Info and Consent Form Telephone June 2010

You will be asked to disclose to the researcher other information about yourself such as age, home town and information about your patterns of Internet use (if you are a user). Although this information will add to the understanding gained by the interviews, you are free not to answer any questions you choose.

This information will only be used in relation to the anonymous quotations and to make sure that the research includes a variety of participants. Again it will be kept locked away and destroyed at the end of the research.

Debriefing

At the end of the interview session you will have the opportunity to ask questions concerning the survey and future steps. You can request that I send you a transcript of your interview session and you will have the opportunity to confirm that this is a true representation of the event.

Who is organising and funding the research?

I am conducting the research as a student at the University of Plymouth. I am studying for a Ph.D. as part of the Plymouth Business School. However, direct costs of the research are being funded by the Planning Portal.

What will happen to the results of the research study?

The results of the research including some anonymous quotes from the interview sessions will be used in reports for the Planning Portal (covering attitudes and opinions to the planning process) and in my thesis for a Ph.D. degree. It is also possible that some aspects of the research, again including some anonymous quotes, will be published in academic journals. You may request copies of these from the researcher, using the contact details below.

Who has reviewed the study?

The research has been approved by the Plymouth Business School Faculty Research Ethical Approval Committee.

Contact for Further Information

For further information, please feel free to contact me or my supervisor at the contact details below.

Janet Kneller Dr Andy Phippen

Plymouth Business School

or

RedactedPlymouth Business SchoolRedactedUniversity of PlymouthRedactedDrake CircusRedacted8LBPlymouth

PL4 8AA

Phone: Redacted (evenings)

Email: janet.kneller@plymouth.ac.uk Phone: Redacted

Email: andy.phippen@plymouth.ac.uk

Participant Info and Consent Form Telephone June 2010

CONSENT FORM			S The U A
Full title of project: Planning Portal Citizens' Jour	ney Research		* MO
Name, position and conta Janet Kneller Plymouth Business School Plymouth University		rcher:	
Contact details: Redacted Redacted Redacted Redacted8LB			
Phone: <i>Redacted</i> (evening Email: janet.kneller@plyn			
			Please Initial Box
I confirm that I have read sheet for the above stud- ask questions.			
I understand that my par free to withdraw at any	ticipation is voluntary time, without giving re	and that I am ason.	
3. I agree to take part in the	e above study.		
4. I agree to the interview b	peing audio recorded.		
5. I agree to the use of ano	nymised quotes in pu	blications	
Name of Participant	Date	Signat	ure
Name of Researcher	 Date	Signat	ure

Additional Partic	ipant Informa	tion		
	do not wish to			ld supply the following stions, please feel free
Thank you.				
1.What was yo	our YEAR of bi	rth?		
2.What is your	home postcoo	le?		
3.What is your	current house	hold income?) (please circle c	one)
Less than £10,000	£10,000 - £19,999	£20,000- £29,999	£30,000- £39,999	£40,000 or more
4.How many o	ther adults live	at your addr	ess?	
5.Are you an I	nternet user? (please tick o	ne)	
Yes, and Is	still use it now			
Yes, but I d	on't use it any	more		
No				
If you are a curre	nt Internet user	, please also	answer question	ns 6 and 7.
	nate how much sider both work			r week online. You
7.Which of the	following do y	ou use or doʻ	? (please tick all	appropriate answers)
Email				
Chat room				
	oards or News			
	rowsing and su	ırfing		
Online sho				
Online bar		lama		
	lephone e.g. S			
Greating y	our own web p	ages		

H Sample interview transcript cover email, receipt form/permission sheet (adapted for each study)

H.1 Cover email for interview transcript

Dear xxx

Thank you so much for your time during our recent interview. I really do appreciate all the time and effort that people have made to help me.

Attached is a word-for-word transcript of our conversation. Please take time to read the transcript. However, please do not be surprised or upset by the strange way the transcripts read. As they are a word-for-word record of an informal conversation, these documents never read in complete sentences and are always full of hesitations and restarted sentences.

If, having read the transcript, you wish to amend any information, please let me know either on the Transcript Receipt or by amending and returning the transcript, whichever is easiest. Similarly, if there is anything else that you feel you should have said on the day, please feel free to include it now.

I will need you to complete and return to me:

• the Transcript Receipt together with any changes or additions that you wish to make to the transcript.

If you have trouble opening any of the files, please just let me know.

Can I please remind you that in signing the Consent Form, you are indicating that you are happy for anonymous quotes to be included in my final report?

If I do not hear from you by 28th September 2011, I will make the assumption that you are happy for me to continue using your contribution.

(The exception to this assumption will be if, at the time of the interview, you have asked me not to use a comment in the report. I will, of course, respect your wishes, although such comments are included for completeness in the transcript.)

Thank you again for your help.

Best wishes, Janet Kneller

H.2 Receipt form/permission sheet for interview transcript

TRANSCRIPT RECEIPT		
Project Title: Planning Po	rtal Professionals Research	
		Please Initial Box as appropriate
I confirm that the trans conversation.	script is an accurate record of our	
including anonymous	continue to use this information, quotes as previously agreed.	
OR 3. I wish to amend or add below/overleaf.	d information to the transcript as	OR
Name of Participant	Date	Signature

I Pre-interview pack supplied to Planning Portal staff

In the light of the difficult political environment at the Planning Portal at the time of staff interviews, a briefing pack was provided to interviewees to reassure them that they would not be asked anything overly sensitive, but also to provide them of a reminder of the headline findings of previous study phases that had been presented over the previous 3-4 years. The pack included:

- Sample interview questions
- A 12-page review of previous studies
- · A participant information sheet and consent form

All three elements follow in this appendix.

I.1 Proposed semi-structured interview questions for Planning

Portal staff

The purpose of these interviews is to provide help us understand the roles of staff at the Planning Portal and to gain additional insight and feedback from Planning Portal staff on the results of the previous applicant and LPA studies.

About your role in the Planning Portal

- What is your job title?
- Can you tell me about the duties of the team you work in?
- What involvement do you have with the Business-As-Usual provision of the Planning Portal offerings?
- What involvement do you have with development of the Planning Portal offerings?
- What involvement do you have with LPAs/citizens/professionals using the Planning Portal facilities?

Applicants:

Having looked at the findings from the Plymouth studies on citizen and professional applications using online and paper applications:

- Does this reflect your experience? Do you have any examples you are happy to share with me? Are there any surprises here – anything new, anything missing?
- What could be done to improve the service? From a technical perspective? From an education/promotion perspective?

Local Planning Authorities:

Having looked at the findings from the Plymouth study on LPA attitudes to applications using online and paper applications:

- Does this reflect your experience? Do you have any examples you are happy to share with me? Are there any surprises here – anything new, anything missing?
- Do you have any insight into the way Parish Councils and other consultees feel about online consultation?
- Is there anything that the Planning Portal can do in conjunction with LPAs to increase uptake on online applications amongst citizens/agents?
- What effects has the Smarter Planning initiative had?

Overall:

- What areas of the application process would you improve? How?
- What are the barriers in making improvements?

Role Specific discussion topics

- . IT
 - How much Portal BAU support is done internally and externally?
 - How much Portal development is done internally and externally?
 - What skills do you need in –house?
 - How are priorities agreed?
 - What Business Analysis work is undertaken? How are requirements for new developments elicited/agreed?
 - Liaison with third-party suppliers of LPA back-office systems?

Account Manager

- What contact do you have with representatives of citizens/agents/other applicants?
- What promotional/engagement activities are undertaken?
- Are there activities are undertaken in conjunction with LPAs?

Account Manager – Corporate developers

- What differences are there in the way larger corporate agents apply for planning permission and/or interact with the Planning Portal (in comparison with SME businesses)?
- How are relationships with larger corporates managed?
- Do they have a specialist feed into the Planning Portal development plans?

Deputy Director

- Organisational relationship with The Planning Inspectorate and DCLG
- History of the Consultation Hub, why was it withdrawn?
- Business drivers for improving e-applications
- Differences between the way Planning Portal relationships are handled between England and Wales
- Smarter Planning Initiative

I.2 Study summary provided with Planning Portal interview

The following document was provided to Planning Portal staff interviewees prior to the semistructured interviews to provide a reminder of the headline findings of previous studies which were to be discussed during the interviews.



Headline findings from previous collaborative research between Plymouth University and the Planning Portal

There have been five previous research projects:

- How e-enabled are Local Planning Authorities in England and Wales?
 (Karen Cheriton, Janet Kneller, January 2009, not included in these headline findings)
- Citizen Journey Research paper-based citizen applicants
 (Clare Newman, Janet Kneller, October 2010)
- Citizen Journey Research online-based citizen applicants (Clare Newman, Tom Leahy, Janet Kneller, March 2012)
- Professionals Research SME Applicants (Tom Leahy, Janet Kneller, June 2013)
- Local Planning Authorities Research (Tom Leahy, Scott Alford, Janet Kneller, July 2014)

The following pages give headline findings from the most recent four studies as a starting point for discussion for stakeholder interviews.

Janet Kneller Plymouth Business School November 2014

Citizen Journey Research – paper-based citizen applicants – October 2010

The research used postal surveys and semi-structured telephone interviews to investigate the attitudes and experiences of citizens who have made a recent, personal, paper-based planning application.

Headline results:

95% of the survey respondents were Internet users, but had all made their most recent planning application on paper. Responses to attitudinal statements on e-planning as a concept indicated that citizens were generally very positive to the idea. Furthermore almost all had already used some other forms of e-government services. So is the appetite for e-planning there, but not being fulfilled by the current Planning Portal offerings?

There is a high level of claimed awareness about Planning Portal facilities – but this must be treated with caution. Respondents frequently misattributed features of other planning websites (especially LPA sites) to the Planning Portal. The following quote from the survey responses is typical.

"I have used the Planning Portal to view plans and comment on planning applications."

Only 1 respondent unambiguously identified a feature of the Planning Portal – the Interactive House.

Running themes:

- Citizens feel the need to make early, pre-application contact with the LPA due to their unfamiliarity with planning process generally and the application forms in particular.
- Issues of completing the 1App form failure to accept apparently valid entries, failures to make payment, and, most frequently, issues over the handling and submission of drawings and plans.
- Many citizens vigorously expressed their dislike of the "one-size-fits-all" 1App form which they see as over-complex for many citizen applications.
- Their uncertainty over the forms and the process mean that citizens want "thinking time" when
 completing the forms. Many of the interviewees stated that they did not complete the online form
 because they did not feel able to complete it in one go and that they would have been more likely
 to use it if there had been a "Save and Return later" facility unaware that this already exists.
- There is no incentive for citizens to use online applications, especially when they have already
 received paper forms as a result of other enquiries to their LPA.

What would encourage you to apply online?

- Ease of use (but what does this really mean in practice?);
- Improved handling of plans and drawings this was also the top reason why attempted online
 applications failed;
- Nothing there is still a significant demand for application through traditional channels which
 cannot be overlooked and must continue to be visibly supported or else run the risk of
 alienating citizens;
- Awareness that the facility exists several said that now they were aware they would try
 online next time.

Citizen Journey Research - online-based citizen applicants - March 2012

The research was composed of three surveys (run via SurveyMonkey). The surveys comprised a number of independent questions, together with a number of questions that either match the 2010 survey (to allow comparison of responses between paper-based and online applicants) or were common to surveys in this research phase to allow collection of information from a wider cohort.

Survey Name	Purpose	Audience	Invitation method
Online Applicants Survey	To investigate drivers for citizens to apply online. Provides a direct comparison to the 2010 paper-based applicants survey.	Citizens who had made a recent, personal online planning application	By direct email to citizens on the Planning Portal mailing list
1App (Online Application) Form survey	To gather more detailed information on users' attitudes to the 1App form.	Citizens who had made a recent, personal online planning application	By direct email to citizens on the Planning Portal mailing list
Website Visitors survey	To gather information on the perceived usefulness of the information facilities provided on the Planning Portal website	Website visitors – the primary area of interest was for citizens, but it was not possible to tell which category visitors fell into until they had completed the survey	Via a hyperlink on a news article on the Planning Portal website.

Respondents to the Online Applicants and 1App surveys were also invited to take part in a follow-on telephone interview. Uptake was disappointing and only 4 individuals were actually interviewed.

Running themes:

A number of themes run through the responses received from both the survey and the telephone interviews:

- Professional users are considerably more likely to feel that the Planning Portal website meets their needs (80%) than Citizen users (40%).
- A number of different website technical issues were experienced: site crashes, failed payment transfers etc, but the most common technical failing cited was an unacceptable slowness of the site.
- Citizen users in particular felt disappointed that there is a disconnect between the information
 displayed on the Planning Portal website and the local requirements of individual LPAs,
 particularly when the Planning Portal bills itself as a one-stop-shop for planning information.
 They found the request to "refer to your local LPA" particularly irritating.
- Citizens also felt that more help and guidance should be made available for other areas of the planning process – appeals and specifically for those objecting to applications.
- File handling, and in particular, the techniques around creation and handling of plans and drawings were difficult for inexperienced Citizen applicants.
- It was also quite clear that some Citizens were unclear where facilities provided by the Planning Portal and those provided by the LPA were divided – citing LPA facilities as examples of Planning Portal website issues etc.

Nonetheless, on a very positive note, over 80% of those who had submitted a planning application online via the 1App form said that they would do so again.

Online applicants survey (citizens only):

 75 valid surveys were returned (16%). The cohort could be considered relatively familiar with online government services: 85% had taxed a vehicle online, and over 60% claimed to use the internet for more than 16 hours per week.

In a direct comparison with the previous study, respondents were asked to indicate how strongly they agreed or disagreed with a number of statements around e-planning as a concept (1=Agree Strongly to 5=Disagree Strongly). The full results are shown in Figure 1.

There appears to be a relatively consistent pattern, with almost all statements receiving a less positive response (i.e. a higher score) from the paper applicants. Indeed allowing for the reverse wording in 3 statements (shown in red), only one statement receives more agreement from the paper applicants: "The content of the Planning Portal website would be useless for me" although the difference between the two years is small. There is a further contrast with the results from the 2010 survey: the largest differences between the 2010 and 2011 surveys (with the paper applicants being less positive) are those which refer to online applications:

- "I think online planning application forms would provide a valuable service for me"
- "I would use an online planning application service"
- . "The online planning application forms would enable me to complete applications more quickly"

1App Form survey (citizens only)

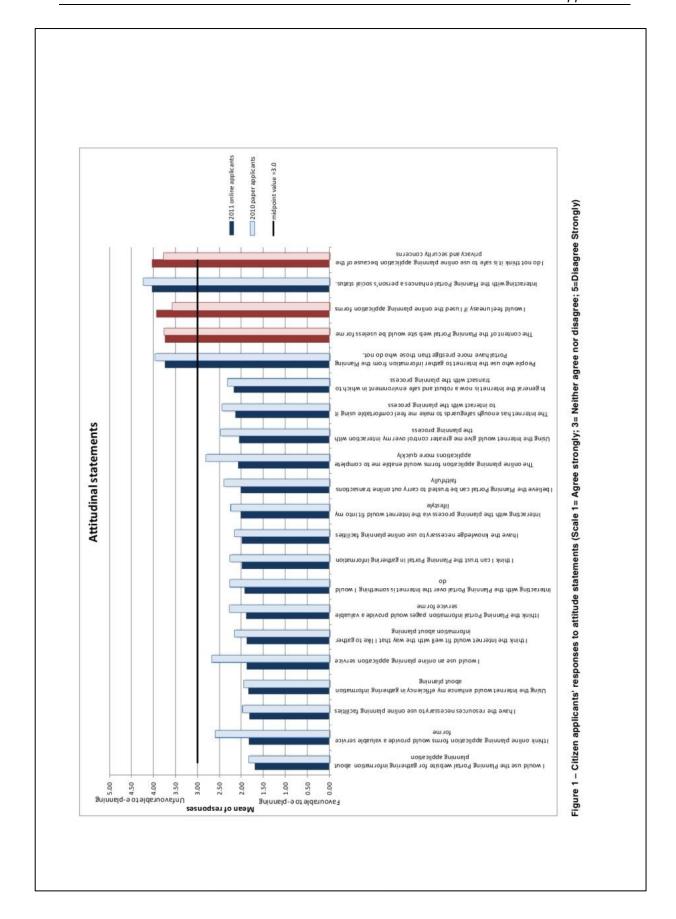
- The sample was small only 19 citizen responses (10%) to the survey invitation were received.
- 79% were registered Planning Portal users. Issues with handling plans/drawings and with local LPA processes were the most commonly identified difficulties in using the 1App form.
- 47% reported using the Fees calculator; 31% used the Interactive house.

Website Visitors survey (All website visitors)

- 125 useable responses were received; almost 50% from members of the general public; 40% from professional users.
- 41% of the public users felt the Planning Portal site met their needs; 70% of professional
 users. Of those that felt it did not meet their needs, the most common responses from citizens
 were technical and site stability issues, followed by inconclusive advice and difficult to find
 information. Very few professionals reported the same stability and technical issues, but their
 most common complaint was speed of the site. They also raised the issue of missing
 application types, particularly Non-Material Amendments.
- One professional user raised the issue of advertising on the site: "Remove the advertising
 from the interactive house feature... I routinely used this to explain permitted development for
 outbuildings to my clients. It now features an advert for one of my main competitors on every
 page so I can no longer refer clients to it."

Questions common to all three surveys

- The most commonly cited benefits were simplicity, speed and savings on printing/postage.
 The most common disadvantages were technical issues and the need to handle plans/drawings.
- 80%+ of respondents from all 3 surveys said they would apply online again. The most
 common negative comments related to the Local Authority's handling of an application: the
 perceived need to contact the local planning officer before application, the way the Council
 handled the application post-submission and the disconnect between national and local
 requirements.



Professionals Research - SME Applicants - June 2013

Paper and online versions of the same survey were issued to 1000 applicants who had been identified through analysis of Local Authority online resources as having applied as an agent on behalf of a client. A response rate of just under 12% was achieved, giving 110 useable responses in the target Small-Medium Enterprise group (less than 50 employees). Respondents to the surveys were also invited to take part in a follow-on telephone interview.

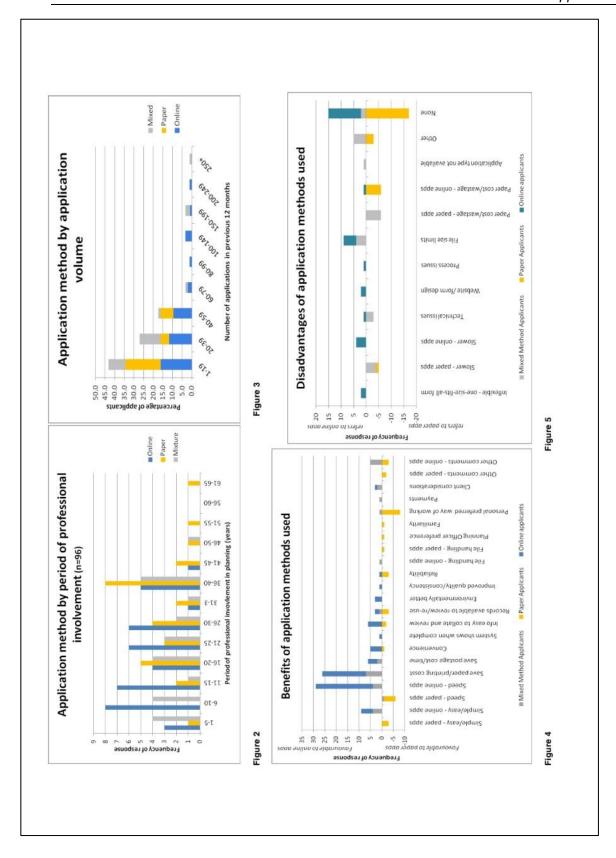
Running themes:

- Professionals seem to fall into two categories: dedicated paper applicants who enjoy the more
 artistic side of the job, especially in creating hand-drawn plans and charts and do not wish to
 use online applications, and the dedicated online applicants who will often only switch back to
 paper when they have no alternative.
- Both groups acknowledge the pros and cons of their chosen methods, but nonetheless feel
 that their chosen method is the best way for them.
- Online applicants in particular feel that there are changes that might be made to the 1App form to make their life easier, but they know that the current form has idiosyncrasies and they now work round them.
- Recurrent criticisms appear around the handling of drawings and plans, and the 5Mb upload limit in both the survey and interview responses, reflecting what has been found in both previous reports.
- The professionals want a wider variety of online forms to work with in particular the Non-Material Amendments (for which work is already underway), Community Infrastructure Levy and Pre-application Enquiries.
- The other main recurring request is for a "self-tailoring" form which can skip or blank out sections of the online form that are not applicable, based on answers to previous questions.
- Professionals are also not fully convinced that their electronic submissions are being handled in an electronic manner by the Local Planning Authorities.
- Response to the online form from previous users is very positive 97% said that they would apply online again.

In more detail:

- Over 70% are registered Planning Portal users, almost 65% visit the Planning Portal website
 at least once a week and only three respondents claim never to have visited the site.
- 3 main application methods were identified: always online (46% of responses that could be accurately categorised), 29% always on paper, and 25% mixed paper/online methods. Mixed methods were primarily used because the application type needed was not yet available online, and because of issues with handling larger file sizes. Statistically significant relationships were found between application method and gender, and application method and number of applications over the previous 12 months. Sadly the small sample size meant that simple statistical tests of method against age, professional experience (years) and organisation size were unreliable.
- Comparing between the comments received about the Planning Portal website and 1App form from this group of professional users and the citizens from the earlier surveys: the citizens, perhaps because they rarely make planning applications were more concerned about getting a wider range of information and more specific, definitive information, whilst those that tried in general found the 1App form useful. The professionals, on the other hand, had fewer concerns about information, although a few still felt that support from LPAs was not as useful as it could be. Instead they concentrated on tweaks and minor changes to the forms, both online and paper that could make them more user friendly.
- Respondents were irritated by the number of unnecessary or irrelevant questions being asked
 in the forms less of an issue for paper applicants who can just strike through the appropriate
 sections on the form, but the 1App form seems to make it hard or time-consuming to do
 something similar. Many respondents wanted a "self-tailoring" form that skips or blanks out
 irrelevant sections based on previous answers. An alternative suggestion was an easy way of
 marking whole sections as not applicable in a single action.

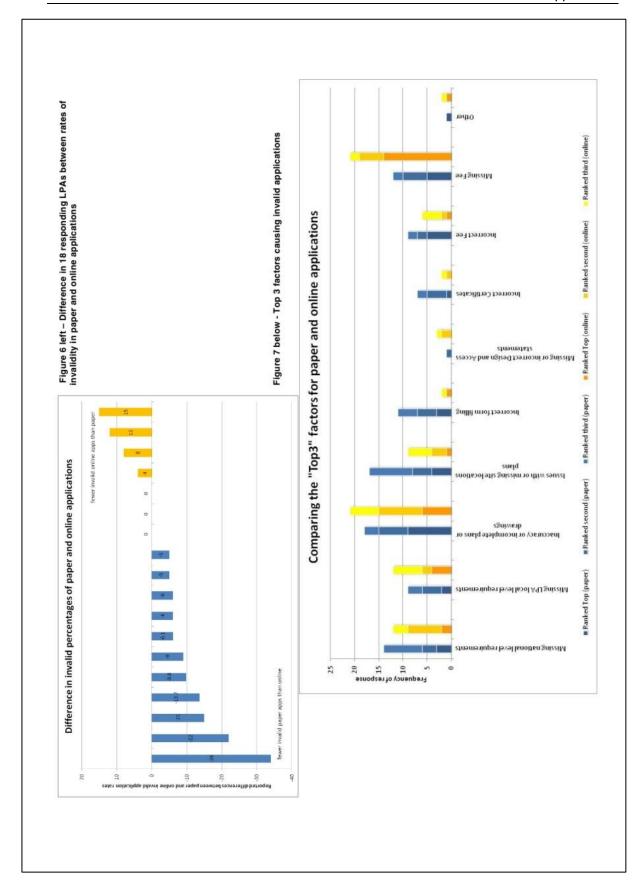
- The problem of file uploads, and the 5Mb limit is again frequently reported as an issue, though several respondents did remark upon changes and particularly the bulk upload as improvements.
- Handling of payment was also an area of concern for the professionals. Many seem to prefer
 to have clients pay their own fees direct to the LPA. Some respondents therefore have
 wanted a method of linking an online application by a professional with an online payment by
 the citizen client concerned; or to be able to have a online link to records of a submitted
 cheque.
- In general though the professionals said that although the 1App form had idiosyncrasies, familiarity meant that they knew about them and had found ways to work round them. Having said all this, they were generally very complimentary about the 1App form and changes that have been made to it since its inception. They are simply asking for further small changes to make it even better.
- Neither the negative comments detailed in the 2012 website visitors survey around the
 volume of advertising on the Planning Portal website, nor the confusion over where the
 Planning Portal website and responsibilities finished and the LPAs took over were apparent in
 the responses from this cohort.
- Professionals were asked how they accessed the Internet generally and what devices they used to view planning information and to submit applications. Overwhelmingly desktop and laptop devices were the most popular, with over three times as many people using desktop or laptop systems to access planning information as used smart phones or tablets. One interviewee had experimented creating an application using a smart phone but had failed in uploading files. Ultimately the response from both this interviewee and the others that expressed an opinion that it was an interesting idea, but not for them.



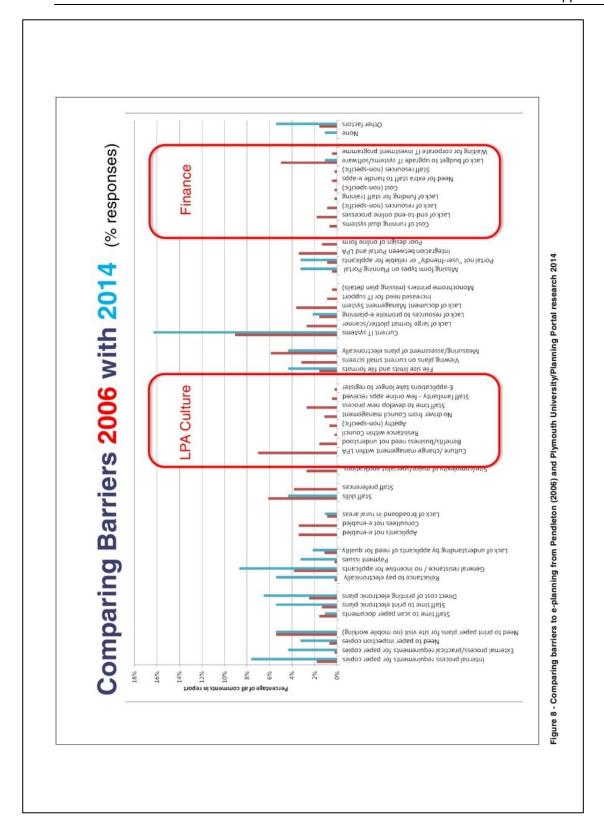
Local Planning Authorities Research – July 2014

This research project used an online survey to gather a mix of qualitative and quantitative information to look at the perceptions and experience of staff in the Local Planning Authority (LPA) offices which assess and action the planning applications submitted to the local authority and to compare current perceptions with those identified in the Peter Pendleton & Associates Use of Technology Survey (2006). Also a small number of semi-structured follow-on telephone interviews were held with survey respondents. 49 of the 365 English LPAs invited (13%) returned surveys.

- The most commonly cited benefits of online applications to the LPAs were: faster processing, autopopulation of the back office systems and databases, and reduced errors resulting in invalid
 applications (although this is not supported by responses in other sections of the survey). In particular
 there are issues in invalid applications caused by the online (non)-payment of fees, especially by
 agents on behalf of their clients and in missing local LPA level requirements in online applications.
- · Foremost amongst the positive impacts of e-planning were:
 - Allowing the public to self-serve, reducing LPAs staff time used in facilitating inspection of plans and in handling tracking enquiries,
 - o Quicker communications both internally and with customers, especially via email,
 - o Increased speed of the end-to-end process,
 - Reduction in data entry time for LPA staff.
- The most commonly reported negative impact was the increased time and costs needed to print out
 paper documents for online applications where e-assessment or e-consultation were not possible. The
 impact of shifting this burden from applicant to LPA was of particular concern. The second most
 common problem was the volume of issues with plans, in particular scaling, inappropriate file formats,
 poor scanning etc, all of which make online assessment difficult at best, and often impossible.
- When asked what LPAs thought might increase uptake of e-planning services by citizens and by
 agents, top of both lists was more promotion and visibility of the service. For agents, the idea of
 incentives (either time or financial) was also regularly mentioned.
- Over 80% of LPAS indicated that they would prefer all application types to be online. This was a
 particular issue for County LPAs, and the telephone interviewee from a County LPA felt very strongly
 that their needs were being overlooked by the Planning Portal.
- 55% of LPAs thought there would be a benefit if development and building control applications could
 be more closely linked, with 30% directly saying this was not desirable. For a similar question for
 linking the 1App service and pre-applications, 60% of comments were positive suggestions on how
 this should be done, and only 4% of LPAs indicated there was no advantage in doing so.
- · Some attitude statements highlighted the ongoing issues with drawings and plans:
 - My LPA prints at least one copy of all drawings and statements for planning applications that have been submitted online
 85% TRUE (2006:89%)
 - Applications received online are printed out and then validated in the same way as an application received by post would be 82% TRUE (2006:85%)
 - My LPA frequently receives scanned drawings received electronically by an applicant/agent which are distorted or marginally out of scale
 - 56% TRUE (2006:54%) (note this has actually got worse)
- Anecdotal evidence received prior to and during the survey period suggested that using online
 applications reduced the proportion of invalid applications received. This was not borne out by the
 results from the responding LPAs see Figure 6 a majority of LPAs had fewer invalid paper
 applications.
- One impact claimed anecdotally for online applications is a reduction in issues and invalid applications due to "incorrect form filling". Responses suggest this is true, at least for this cohort. It is jointly the most commonly cited factor for paper applications, occurring in the Top3 factors for 33% of LPAs for paper, and only 7% for online. Conversely, handling of specialist local level requirements seems to be more of an issue for online applications "Missing LPA local level requirements" appears in the Top3 factors for 40% of LPAs for online, and only 28% for paper applications. However, perhaps the most interesting differences appear in the factors involving fees. For "Incorrect fees" the Top3-factor percentages were similar 27% for paper, and 20% for online. However for the "Missing fee" factor, 70% of LPAS rated it as Top3 factor for online, and only 36% for paper applications. See Figure 7.



- The survey also aimed to investigate the barriers that LPAs perceived as remaining to e-planning, and to compare these against those identified in the Peter Pendleton and Associates *Use of Technology Survey* in 2006.
- The numbers of responses were very different in the two surveys. The 2014 survey elicited 92 comments from 36
 English LPAs; the 2006 received 442 surveys from 150 LPAs in England and Wales. Thus Figure 8 shows the
 percentages within each survey, and the categories are grouped by theme within the graph.
- Ignoring the "None" and "Other" categories, 47 response categories were identified in the two surveys. Of these, none only appear in the 2014 (i.e. no new themes were raised), but 27 categories only appear in the 2006 responses, perhaps suggesting that at that time there was a wider range of barriers influencing the uptake of eplanning systems.
- However, there does appear to be a group of cultural categories that appear in 2006 that do not appear in 2014: There are also finance-related issues from 2006, only one of which appears in 2014, See Figure 8.
- Where categories do apply to both 2006 and 2014, there are only three categories where the 2006% response is greater than the 2014% response, (Lack of budget to upgrade IT systems/software, Staff skills, Measuring/assessment of plans electronically) whilst there were 15 where the 2014% was greater than the 2006%. This suggests that perhaps the overall smaller range of factors reporting in 2014 results in each of those reported representing a greater percentage than in 2006. Possibly, some of the more significant factors in 2006 have been mitigated.
- Clearly the largest response in both surveys was in the "Current IT systems" category. This generic category
 featured in 18.3% of responses in the 2014 survey, and 9.1% in the 2006 survey. However if all the IT-related
 responses in the 2006 survey are combined the results are very much closer, with a higher 2006 value of 18.5%.
- Overall there seems to be a signal that the cultural, skills and wide-ranging technology issues that were dominant
 in 2006, have diminished and that the primary barriers now relate to process issues (in particular the need to print
 online applications whilst running dual paper and online assessment systems) and also to specific technical issues
 in submitting and assessing applications online.
- This is borne out by results from other sections of the 2014 survey e.g. the vast majority (65%) of responding LPAs
 indicated that they carry out a desktop assessment using paper copies. Only 1 LPA seemed to always assess onscreen



I.3 Planning Portal interview pre-interview consent form

Participant Information Sheet - Planning Portal stakeholder Interviews

Study title

Planning Portal Research (feedback on applicant and LPA research)

Invitation to participate

You are being invited to take part in a research study. Before you decide it is important for you to understand why the research is being done and what it will involve. Please take time to read the following information carefully.

What is the purpose of the study?

The researcher has undertaken several previous studies in conjunction with the Planning Portal as part of research towards a PhD thesis. These studies have included several research reports and presentations to the Planning Portal at which feedback on the findings has been given informally. This research intends to explore and record that feedback as a follow-on study. Specifically information gathered will be used in academic research at Plymouth University in an investigation of theories of technology adoption in relation to the planning process.

Why have I been chosen?

You were chosen to take part in the study because you have previously attended research presentations relating to previous study phases, have provided some informal feedback at that stage and have indicated that you were willing to participate further to formalise that feedback. Individual telephone or face-to-face interviews will be held with 5-10 people from a range of roles.

Do I have to take part?

Taking part in the research is entirely voluntary. It is up to you to decide whether or not to take part. If you do decide to take part you will be given this information sheet to keep and be asked to sign a consent form. If you decide to take part, you are still free to withdraw at any time prior to completion of the report (by 9th January 2015) and without giving a reason.

What will happen to me if I take part?

You will be invited to take part in an interview at a time convenient to you. This will be a one-to-one telephone interview. The interview will last for approximately 45-60 minutes. The interview will be recorded in order that I can create an accurate record of what was said. The interview will aim to get your views about the planning application process and feedback on the research findings from studies with applicants, agents and Local Planning Authority staff. We would also like to understand a little more about your role within the Planning Portal.

What are the possible benefits of taking part?

It is hoped these interviews will help us support previous findings and further document our understanding of applicants' and LPA's opinions of the planning application process and online functionality and how online planning services could be improved.

Will what I say in this study be kept confidential?

All personal information collected about you will be kept strictly confidential. It will be kept in a locked cabinet until the end of the research at which point it will be destroyed. It will not be used for any purpose other than this research and directly related publications. Your name will only be known to the researcher. Your name will not be mentioned in any research documentation or reports. Some quotations from interview sessions will be included in the published thesis and reports but anonymity will be preserved by referring to

participants either via job title (if permission for this is granted by Planning Portal management) or as "Participant A" etc.

Debriefing

At the end of the interview session you will have the opportunity to ask questions concerning the research and future steps. You can request that I send you a transcript of your interview session and you will have the opportunity to confirm that this is a true representation of the event. You will also have the opportunity to receive a summary of the whole research phase.

Who is organising and funding the research?

I am conducting the research as a student at Plymouth University. I am studying for a Ph.D. as part of the Plymouth Business School.

What will happen to the results of the research study?

The results of the research including some quotes from the interview sessions will be used in my thesis for a Ph.D. degree. It is also possible that some aspects of the research, again including some quotes, will be published in academic journals. You may request copies of these from the researcher, using the contact details below.

Who has reviewed the study?

The research has been approved by the Plymouth Business School Faculty Research Ethical Approval Committee.

Contact for Further Information

For further information, please feel free to contact me or my supervisor at the contact details below.

Janet Kneller Prof Andy Phippen

Plymouth Business School

Personal address redacted University address redacted Personal address redacted University address redacted Personal address redacted University address redacted Personal address redacted University address redacted

University address redacted

Phone: redacted (evenings)

Email: redacted Phone: redacted

Email: redacted

CONSENT FORM			
Full title of project: Planning Portal Research (fo	eedback on applicant and	d LPA research)	
Name, position and con- Janet Kneller Plymouth Business Scho Plymouth University		archer:	
Contact details: Personal address redacted Personal address redacted Personal address redacted Personal address redacted	1 1		
Phone: redacted (evenin Email: redacted	gs)		
		F	Please Initial B
I confirm that I have rea sheet for the above stu ask questions.	ad and understand the udy and have had the c		
I understand that my pa free to withdraw at any	articipation is voluntary / time, without giving re		
3. I agree to take part in the	he above study.		
4. I agree to the interview	being audio recorded.		
5. I agree to the use of de	p-personalised quotes i	n publications	
Name of Participant	Date	Signatu	re
Name of Researcher	 Date	 Signatu	re

J Citizen Online Applicants study - main phase survey

The Planning Portal is a facility provided by the Department for Communities and Local Government as a resource for citizens who wish to apply for planning permission from their local council.

The Planning Portal wish to gather views from citizens on why they choose to apply for planning permission using paper-based or using online applications. In addition, the information gathered will be used in academic research at the University of Plymouth to investigate theories of technology adoption in relation to the planning process.

The survey should take 10-15 minutes to complete and closes at 8pm on Friday 20th May.

You were chosen to take part in the study because we have identified that you have recently applied for planning permission. Taking part in the research is entirely voluntary. Your consent to take part is assumed if you complete the survey form. However, if you decide to take part, you are still free to withdraw at any time and without giving a reason.

However, we first need to make sure that you are eligible for the survey. Please answer the question below.

1.* This survey is only open to people 18 years of age or over who have recently submitted a planning application. Please whether you are 18 or over.

Yes No

Resulting page for answer "No" to Q1

Thank you but we cannot accept your input at present Unfortunately for academic reasons, this survey is only open to people aged 18 years or over. Thank you for your interest, but we cannot accept answers from you at present. Please close your browser window to leave the survey.

Resulting page for answer "Yes" to Q1

About this survey

Thank you - the survey is only open to those aged 18 and over.

The survey consists of a number of questions concerning your experience and opinions of the planning application process.

Questions which you must answer (mandatory questions) are indicated with a * (asterisk).

If you would like further information about how the data will be used and analysed, please click Yes below, otherwise click No to go straight to the survey.

2.* Do you wish to see additional information about this survey?

Yes No

Resulting page for answer "Yes" to Q2

Additional survey information

You were chosen to take part in the study because we have identified that you have recently applied for planning permission via the Planning Portal website.

Taking part in the research is entirely voluntary. If you do decide to take part you should keep the contact information on this page. Your consent to take part is assumed if you return the survey form. However, if you decide to take part, you are still free to withdraw at any time and without giving a reason.

You will be asked to complete the following online survey. You will also be asked if you would be willing to take part in a follow-up phase which will involve a 20-30 minutes telephone interview.

All personal information collected about you will be kept strictly confidential. It will not be used for any purpose other than this research and directly related publications.

Your name will only be known to the researcher. Your name will not be mentioned in any research documentation or reports. Some quotations from surveys may be included in the published thesis and reports but anonymity will be preserved by referring to participants as "Participant A" etc.

You may be asked to disclose other information about yourself such as age, home town and information about your patterns of Internet use. Although this information will add to the understanding gained by the survey, you are free not to answer any questions you choose.

This information will be used to make sure that the research includes a variety of participants. Again it will be kept locked away and destroyed at the end of the research.

Janet Kneller is conducting the research as a student at the University of Plymouth. She is studying for a Ph.D. as part of the Plymouth Business School. However, direct costs of the research are being

funded by the Planning Portal.

The results of the research including some anonymous quotes from the surveys will be used in reports for the Planning Portal (covering attitudes and opinions to the planning process) and in Janet's thesis for a Ph.D. degree. It is also possible that some aspects of the research, again including some anonymous quotes, will be published in academic journals. You may request copies of these from the researcher, using the contact details below.

The research has been approved by the Plymouth Business School Faculty Research Ethical Approval Committee.

Contacts for Further Information:

Researcher: janet.kneller@plymouth.ac.uk Supervisor: andy.phippen@plymouth.ac.uk

If you are happy to continue with the survey, please click Next, otherwise please close your browser to exit the survey.

Next

Thank you for taking part.

To start, we would like to understand a little more about your general Internet usage.

About your usage of the Internet

- 3. How many hours per week do you use the Internet (please consider both home and work/education use)? (Select one answer)
 - 1-5 hours per week
 - 6-10 hours per week
 - 11-15 hours per week
 - 16-20 hours per week
 - 21-30 hours per week
 - 31+ hours per week
- 4. Which of these online (Internet) government services have you used or are you aware of? (Select one answer in each row)

	Easy to use	Not so easy to use	Neither easy nor hard to use	Not so hard to use	Hard to use	I am aware of this but have not used it	I am not aware of this
Renew a passport							
Buy a fishing licence							
Tax a vehicle							
Submit income tax returns							
Visit NHS direct							
Register to vote							
Check a local library account							
Submit planning applications							
View planning applications							
Other service							
Please tell us the other services you have							
used							

About your usage of the Planning Portal website

5. How often have you visited the Planning Portal website? (Select one answer) Once

- 2-3 times
- 4-5 times
- 6-10 times
- More than 10 times

6. * Which best describes you in relation to the Planning Portal? (Select one answer)

- I am a member of the general public
- I am a professional user
- I am a government user
- I am a academic user

7. *Are you a registered user of the Planning Portal website? (Select one answer)

- Yes
- No
- Don't know

Your experience of the planning application process

This section of the survey looks at your recent experience of applying for planning permission. Please note that we do not need to know any details of your individual application, nor of the results of the application.

8. To which local authority did you send your most recent planning application?

9. How many times have you EVER applied for planning permission?

• *Number of applications:*

10.* Did you make your most recent planning application:

- On behalf of a business, charity or other organisation
- As an agent on behalf of someone else
- For your own personal planning project
- Other (please specify)

11.* What type of application was your most recent submission? (Please select one answer)

- Full planning consent
- Householder planning application
- Conservation area consent
- Listed building consent

- Tree works
- Not sure
- Other (please specify)

12.* How did you most recently apply for planning permission? (Please select one answer)

On paper forms

On paper forms downloaded from the Internet then printed

Online via the Planning Portal

Not sure

Other application method (please specify)

- 13. What were the benefits for you of applying online?
- 14. What were the disadvantages for you in applying online?

Impressions of the online application form

15. Would you use the online application form again? (Select one answer)

Yes

No

Not Sure

Other

- 16. Why/Why not?
- 17. What would encourage you to use the online planning application form more often?

Sources of planning information

18. Did you use any sources of information before you applied? (Select all answers that apply)

Local Planning Authority staff

Planning Portal website

Other website

From friends or family

Professional advice (surveyor, builder, architect etc)

Other information source (please specify)

- 19. If you used other websites for planning information, please tell us which ones.
- 20. If you used professional advice on your planning application, please tell us more about it here.
- 21. If you know anyone else who has recently submitted a planning application, how did they apply? (Select one answer)

On paper forms

On paper forms downloaded from the Internet then printed

Online via the Planning Portal

Not sure

I don't know anyone who has recently applied

Other (Please describe your "Other" response)

22. How would you improve the Planning Portal website for access to planning information?

Your impressions of the Planning Portal

Attitudes to using the Internet for planning information and applications

The Planning Portal is part of the Department for Communities and Local Government and it provides a website to support citizens throughout the planning application process. The website provides information on the planning process and building regulations. It also includes useful tools such as interactive guides to which developments need planning permission and which do not, and a calculator for application fees. It also has an online application form which takes you through the application process step by step and then forwards the application to the appropriate local authority.

23.* Based on the information above, and any experience you have of the Planning Portal, please indicate to what extent you agree with these statements? (Select one answer per row)

answer per row)					
	Agree Strongly	Agree	Neither agree nor	Disagree	Strongly disagree
I would use the Planning Portal website for gathering					
information about planning application					
I would use an online planning application service					
Interacting with the Planning Portal over the Internet is something I would do					
The online planning application forms would enable me to complete applications more quickly					
I think the Planning Portal information pages would provide a valuable service for me					
I think online planning application forms would provide a valuable service for me					
The content of the Planning Portal web site would be					
useless for me					
Using the Internet would enhance my efficiency in gathering information about planning					
Using the Internet would give me greater control over my					
interaction with the planning process					
I think the Internet would fit well with the way that I like to gather information about planning					
Interacting with the planning process via the Internet would fit into my lifestyle					
People who use the Internet to gather information from					
the Planning Portal have more prestige than those who do not.					
Interacting with the Planning Portal enhances a person's social status.					
The Internet has enough safeguards to make me feel					
comfortable using it to interact with the planning process					
In general the Internet is now a robust and safe					
environment in which to transact with the planning					
process					

I think I can trust the Planning Portal in gathering		
information		
I believe the Planning Portal can be trusted to carry out		
online transactions faithfully		
I would feel uneasy if I used the online planning		
application forms		
I do not think it is safe to use online planning application		
because of the privacy and security concerns		
I have the resources necessary to use online planning		
facilities		
I have the knowledge necessary to use online planning		
facilities		

About you

One aim of our research is to investigate whether there is any difference in uptake of online government services between different groups of the population. To do this we need to know a little about you. If you would prefer not to answer any of these questions, please ignore them.

24. What is your YEAR of birth?

Year:

25. What is your gender? (Select one answer)

Female

Male

Transgender

26. How many other adults (16 years or over) live with you? (Select one answer) *Other adults:*

27. What is your current HOUSEHOLD income? (Select one answer)

Less than £10,000

£10,000 to £19,999

£20,000 to £29,999

£30,000 to £39,999

£40,000 to £49,999

£50,000 to £59,999

£60,000 to £69,999

£70,000 or more

28. What level of education did you attain? (Select one answer)

No qualifications

CSE, GCE or GCSE

A levels

Further education (e.g. HNC)

Bachelor degree (e.g. BA, BSc)

Higher degree (e.g. Masters/Doctorate)

Other (please specify)

Your comments

This page gives you an opportunity to add any further comments

29. Please feel free to add any further comments here.

Survey Complete

Thank you for completing the survey

30. To help us identify your survey responses should you decide that you want to withdraw your answers from the research, we need you to create a PIN. We suggest you use the last four digits of your phone number. Please enter the last four digits of your phone number to act as your PIN.

31. We would like to conduct some short telephone interviews with some survey respondents to gather more detailed opinions. If you would be prepared to be interviewed, please provide your email address here.

Thank you again for completing the survey. Please click Done to exit and close this browser window.

K Citizen online phase - website visitors survey

The Planning Portal is a facility provided by the Department for Communities and Local Government as a resource for anyone who wishes to apply for planning permission from their local council.

The Planning Portal wish to gather views from citizens on why citizens choose to apply for planning permission using paperbased or using online applications. In addition, the information gathered will be used in academic research at the University of Plymouth to investigate theories of technology adoption in relation to the planning process.

The survey should take 510 minutes to complete.

You were chosen to take part in the study because we have identified that you have recently visited the Planning Portal website.

Taking part in the research is entirely voluntary. Your consent to take part is assumed if you complete the survey form. However, if you decide to take part, you are still free to withdraw at any time and without giving a reason.

However, we first need to ensure that you are eligible for the survey. Please answer the question below.

1.* This survey is only open to people 18 years of age or over. Please state whether you are 18 or over.

Yes

No

Resulting page for answer "No" to Q1

Thank you but we cannot accept your input at present

Unfortunately for academic reasons, this survey is only open to people aged 18 years or over. Thank you for your interest, but we cannot accept answers from you at present. Please close your browser window to leave the survey.

Resulting page for answer "Yes" to Q1

About this survey

Thank you - the survey is only open to those aged 18 and over.

The survey consists of a number of questions concerning your experience and opinions of the planning application process.

Questions which you must answer (mandatory questions) are indicated with a * (asterisk).

If you would like further information about how the data will be used and analysed, please click Yes below, otherwise click No to go straight to the survey.

2.* Do you wish to see additional information about this survey?

Yes

No

Additional survey information

You were chosen to take part in the study because we have identified that you have recently visited the Planning Portal website.

Taking part in the research is entirely voluntary. If you do decide to take part you should keep the contact information on this page. Your consent to take part is assumed if you complete the survey form. However, if you decide to take part, you are still free to withdraw at any time and without giving a reason.

You will be asked to complete the following online survey. You will also be asked if you would be willing to take part in a followup phase which will involve a 2030 minutes telephone interview.

All personal information collected about you will be kept strictly confidential. It will not be used for any purpose other than this research and directly related publications.

Your name will only be known to the researcher. Your name will not be mentioned in any research documentation or reports. Some quotations from surveys may be included in the published thesis and reports but anonymity will be preserved by referring to participants as "Participant A" etc.

You may be asked to disclose other information about yourself such as age, home town and information about your patterns of Internet use (if you are a user). Although this information will add to the understanding gained by the survey, you are free not to answer any questions you choose.

This information will be used to make sure that the research includes a variety of participants. Again it will be kept locked away and destroyed at the end of the research.

Janet Kneller is conducting the research as a student at the University of Plymouth. She is studying for a Ph.D. as part of the Plymouth Business School. However, direct costs of the research are being funded by the Planning Portal.

The results of the research including some anonymous quotes from the surveys will be used in reports for the Planning Portal (covering attitudes and opinions to the planning process) and in Janet's thesis for a Ph.D. degree. It is also possible that some aspects of the research, again including some anonymous quotes, will be published in academic journals. You may request copies of these from the researcher, using the contact details below.

The research has been approved by the Plymouth Business School Faculty Research Ethical Approval Committee.

Contacts for Further Information:

Researcher: janet.kneller@plymouth.ac.uk Supervisor: andy.phippen@plymouth.ac.uk

If you are happy to continue with the survey, please click Next, otherwise please close your browser to exit the survey.

Next

6. About your usage of the Internet

Thank you for taking part.

To start, we would like to understand a little more about your general Internet usage.

About your usage of the Internet

- 3. How many hours per week do you use the Internet (please consider both home and work/education use)? (Select one answer)
 - 1-5 hours per week
 - 6-10 hours per week
 - 11-15 hours per week
 - 16-20 hours per week
 - 21-30 hours per week
 - 31+ hours per week

About your usage of the Planning Portal website

4. How often have you visited the Planning Portal website? (Select one answer)

This is my first visit

2-3 times

4-5 times

6-10 times

More than 10 times

5.* Which best describes you in relation to the Planning Portal? (Select one answer)

I am a member of the general public

I am a professional user

I am a government user

I am a academic user

I don't know

6.* Are you a registered user of the Planning Portal website? (Select one answer)

Yes

No

Don't know

- 7. What was your reason for your most recent visit to the Planning Portal website?
- 8. *Did the site meet your needs?

Yes

No

9.* Why/why not?

Applying for planning permission online

This section of the survey looks at online planning applications.

10. Have you applied for planning permission online via the Planning Portal website? (Select one answer)

Yes, on this visit Yes, in the last 2 months Yes, more than 2 months ago No

[This section was presented to those who had applied online (at any time)]

- 11. What were the benefits for you of applying online?
- 12. What were the disadvantages for you in applying online?
- 13. Would you use the online application form again? (Select one answer)

Yes

No

Not Sure

- 14. Why/Why not?
- 15. What would encourage you to use the online planning application form more often?

[End of online applicants section]

Your impressions of the Planning Portal website

This section of the survey looks at your experience of the different sections of the Planning Portal website.

16. Which of these features of the Planning Portal websites did you use and how useful was it to you? (Select one answer per row)

	Very useful	Useful	Neither useful nor not useful	Not useful	Not useful at all	I did not use this feature
Planning						jeunne
Appeals						
Online application form						
View your applications						
In Your Area						
Frequently Asked Questions						
(FAQs)						
Interactive House						
Interactive Terrace						
Site plan creator						
Antenna Guide						
Fee Calculator/calculate						
application costs						
Policy and Legislation						
Building Regulations						
Greener Homes						
What to Submit						
Video Help						
Other feature Please describe						
the other website feature you						
used						

- 17. What would be the most important change you would make to the Planning Portal website to make it more useful to you?
- 18. What other changes would you make?
- 19. What would encourage you to use the Planning Portal website more often?
- 20. If you started to use the Planning Portal site to apply and then switched to paper... ... at what point did you switch to paper?
- 21. ...and why?

About you

One aim of the University of Plymouth research is to investigate whether there is any difference in uptake of online government services between different groups of the population. To do this we need to know a little about you. If you would prefer not to answer any of these questions, please ignore them. Any information you do provide will only be used for this purpose and not be shared with the Planning Portal or any other organisation.

8. What is your YEAR of birth?

9. What is your gender? (Select one answer)

Female Male Transgender

10. How many other adults (16 years or over) live with you? (Select one answer)

Other adults:

11. What is your current HOUSEHOLD income? (Select one answer)

Less than £10,000 £10,000 to £19,999 £20,000 to £29,999 £30,000 to £39,999 £40,000 to £49,999 £50,000 to £59,999 £70,000 or more

12. What level of education did you attain? (Select one answer)

No qualifications
CSE, GCE or GCSE
A levels
Further education (e.g. HNC)
Bachelor degree (e.g. BA, BSc)
Higher degree (e.g. Masters/Doctorate)
Other (please specify)

Your comments

This page gives you an opportunity to add any further comments

27. Please feel free to add any further comments here.

Survey Complete

28.* To help us identify your survey responses should you decide that you want to withdraw your answers from the research, we need you to create a PIN. We suggest you use the last four digits of your phone number. Please enter the last four digits of your phone number to act as your PIN.

Thank you for completing the survey

- 29. We would like to conduct some short telephone interviews with some survey respondents to gather more detailed opinions about the Planning Portal website. If you would be prepared to be interviewed, please provide your email address here.
- 30. We are also undertaking some research into citizen's opinions of the online planning application form and are looking for people to take part in a survey as they complete the application form, or to be interviewed shortly after they have submitted their application form. If you would be prepared to be involved, please provide your email address here.

Thank you again for completing the survey. Please click Done to return to the Planning Portal website.

L Citizen 1App study - main phase survey

The Planning Portal wish to gather views from citizens on the online application forms currently available on the Planning Portal website. In addition, the information gathered will be used in academic research at Plymouth University to investigate theories of technology adoption in relation to the planning process.

The survey should take around 10 minutes to complete and closes at 10pm on Wednesday 31st August.

You were chosen to take part in the study either because:

- we have identified that you have recently applied for planning permission via the Planning Portal website,
- or you recently completed a website visitors' survey on the Planning Portal website and said that you might help us further.

Taking part in this phase of the research is entirely voluntary. Your consent to take part is assumed if you complete the survey form. However, if you decide to take part, you are still free to withdraw at any time and without giving a reason.

However, we first need to make sure that you are eligible for the survey. Please answer the question below.

1.* This survey is only open to people 18 years of age or over who have recently submitted a planning application. Please whether you are 18 or over.

Yes No

Resulting page for answer "No" to Q1

Thank you but we cannot accept your input at present

Unfortunately for academic reasons, this survey is only open to people aged 18 years or over. Thank you for your interest, but we cannot accept answers from you at present. Please close your browser window to leave the survey.

Resulting page for answer "Yes" to Q1

About this survey

Thank you - the survey is only open to those aged 18 and over.

The survey consists of a number of questions concerning your experience and opinions of the planning application process.

Questions which you must answer (mandatory questions) are indicated with a * (asterisk).

If you would like further information about how the data will be used and analysed, please click Yes below, otherwise click No to go straight to the survey.

2.* Do you wish to see additional information about this survey?

Yes No

Additional survey information

You were chosen to take part in the study either because:

we have identified that you have recently applied for planning permission via the Planning Portal website, or

you recently completed a website visitors' survey on the Planning Portal website and said that you might help us further.

Taking part in the research is entirely voluntary. If you do decide to take part you should keep the contact information on this page. Your consent to take part is assumed if you return the survey form. However, if you decide to take part, you are still free to withdraw at any time and without giving a reason.

You will be asked to complete the following online survey. You may also be asked if you would be willing to take part in a follow-up phase which will involve a 20-30 minutes telephone interview.

All personal information collected about you will be kept strictly confidential. It will not be used for any purpose other than this research and directly related publications.

Your name will only be known to the researcher. Your name will not be mentioned in any research documentation or reports. Some quotations from surveys may be included in the published thesis and reports but anonymity will be preserved by referring to participants as "Participant A" etc.

You may be asked to disclose other information about yourself such as age, home town and information about your patterns of Internet use. Although this information will add to the understanding gained by the survey, you are free not to answer any questions you choose. This information will be used to make sure that the research includes a variety of participants. Again it will be kept locked away and destroyed at the end of the research.

Janet Kneller is conducting the research as a student at the Plymouth University. She is studying for a Ph.D. as part of the Plymouth Business School. However, direct costs of the research are being funded by the Planning Portal.

The results of the research including some anonymous quotes from the surveys will be used in reports for the Planning Portal (covering attitudes and opinions to the planning process) and in Janet's thesis for a Ph.D. degree. It is also possible that some aspects of the research, again including some anonymous quotes, will be published in academic journals. You may request copies of these from the researcher, using the contact details below.

The research has been approved by the Plymouth Business School Faculty Research Ethical Approval Committee.

Contacts for Further Information:

Researcher: janet.kneller@plymouth.ac.uk Supervisor: andy.phippen@plymouth.ac.uk

If you are happy to continue with the survey, please click Next, otherwise please close your browser to exit the survey.

Next

About you

If you have completed a visitors survey for us before, we will have asked you questions about:

your internet usage

your personal details (year of birth, gender, domestic circumstances, income etc).

If you've told us these things before we can use your email address to connect to those details and not have to ask you again.

3.* Have you completed a Planning Portal website visitors survey for us? This would have been between June and August this year.

Yes

No

Resulting page for answer "Yes" to Q3

- 4. Please give us the email address that you used when completing the visitors' survey (we will have used it to invite you to this survey too). This will save you having to answer several sections of this survey.
- 5. When you completed the visitors' survey, you indicated that you were likely to submit an application soon. Have you submitted that application yet?

Yes

No

6. What are the reasons that you haven't yet submitted your application? *[for those answering No to Q5]*

[the survey then automatically skips to question 13 for those who answered Yes to Q3]

Resulting page for answer "No" to Q3

About your usage of the Internet

As you haven't yet completed a survey for us, to start we would like to understand a little more about your general Internet usage.

7. How many hours per week do you use the Internet (please consider both home and work/education use)? (Select one answer)

1-5 hours per week

6-10 hours per week

11-15 hours per week

16-20 hours per week

21-30 hours per week

31+ hours per week

About you

One aim of our research is to investigate whether there is any difference in uptake of online government services between different groups of the population. To do this we need to know a little about you. If you would prefer not to answer any of these questions, please ignore them.

8. What is your YEAR of birth?

9. What is your gender? (Select one answer)

Female

Male

Transgender

10. How many other adults (16 years or over) live with you? (Select one answer)

Other adults:

11. What is your current HOUSEHOLD income? (Select one answer)

Less than £10,000

£10,000 to £19,999

£20,000 to £29,999

£30,000 to £39,999

£40,000 to £49,999

£50,000 to £59,999

£60,000 to £69,999

£70,000 or more

12. What level of education did you attain? (Select one answer)

No qualifications

CSE, GCE or GCSE

A levels

Further education (e.g. HNC)

Bachelor degree (e.g. BA, BSc)

Higher degree (e.g. Masters/Doctorate)

Other (please specify)

About your usage of the Planning Portal website [all respondents]

13. How did you hear about the Planning Portal?

Searching online

From the local authority or local planning office

From a professional(surveyor, architect etc)

From friends or family

I can't remember

Other (please specify)

14.* Which best describes you in relation to the Planning Portal? (Select one answer)

I am a member of the general public

I am a professional user

I am a government user

I am a academic user

15.* Are you a registered user of the Planning Portal website? (Select one answer)

Yes

No

Don't know

16. How often have you visited the Planning Portal website? (Select one answer)

	For THIS application	In total
Once		
2-3 times		
4-5 times		
6-10 times		
More than 10 times		

Your experience of the planning application process [all respondents]

This section of the survey looks at your recent experience of applying for planning permission.

Please note that we do not need to know any details of your individual application, nor of the results of the application.

17. To which local authority did you send your most recent planning application?

18.* Did you make your most recent planning application:

- On behalf of a business, charity or other organisation
- As an agent on behalf of someone else
- For your own personal planning project
- Other (please specify)

19.* What type of application was your most recent submission? (Please select one answer)

- Full planning consent
- Householder planning application
- Conservation area consent
- Listed building consent
- Tree works
- Not sure
- Other (please specify)

20. Please tell us in just a few words what your planning application was about. (limited to 100 characters)

Sources of planning information

21. Did you use any sources of information before you applied? (Select all answers that apply)

Local Planning Authority staff

Planning Portal website

Other website

From friends or family

Professional advice (surveyor, builder, architect etc)

Other information source (please specify)

22. If you used other websites for planning information, please tell us which ones.

23. If you used professional advice on your planning application, please tell us more about it here.

24.* How did you most recently apply for planning permission? (Please select one answer)

On paper forms

On paper forms downloaded from the Internet then printed Online via the Planning Portal Not sure Other application method (please specify)

Impressions of the online application form

25. Before you started completing the online application form for THIS application, did you KNOW what additional information or documentation you would need to complete the application? (Select one answer)

Yes, all of it Yes, some items but not others No I didn't need anything else I can't remember

26. Before you started completing the online application form for THIS application, did you HAVE the additional information or documentation you would need to complete the application? (Select one answer)

Yes, all of it Yes, some items but not others No I didn't need anything else I can't remember

- 27. How many sessions did you take to complete the online application form? Why?
- 28*. Please tell us which parts of the application form you had difficulty with, and why.
- 29. Did you have to submit detailed plan drawings?

Yes

No

I can't remember

30. Please tell us how you created any detailed site plans you needed.

If you used a online facility linked from the Planning Portal website, please tell us which.

If you used another source, please tell us about it.

- 31. Why did you choose this method/supplier?
- 32. Did you use any of the specialist sources on the Planning Portal website? (Please select all answers that apply)

Fees calculator
Interactive House
Interactive Terrace
Antenna Guide
Site Planner
Other (please specify)

33. If you needed to complete any other forms as part of your application that were not part of the online application form, please tell us about them.

- 34. Are there any other services that you would like to see integrated into the online application form?
- 35. Would you be prepared to pay for these services (e.g. in the same way you can currently pay for plans to be drawn up for you)?

About applying online

- 36. What were the benefits for you of applying online?
- 37. What were the disadvantages for you in applying online?
- 38. Would you use the online application form again? (Select one answer)

Yes

No

Not Sure

- 39. Why/Why not?
- 40. What would encourage you to use the online planning application form more often?
- 41. Which one thing would you change about the online application form?
- 42. Are there any other things that you would change about the online application form?

Tracking your planning application

- 43. Roughly how long has it been since you submitted your planning application?
- 44. Have you checked on the progress of your application?

Online

By telephone

In person

I haven't checked

Other (please specify)

- 45. What stage of your project were you at when you applied? (e.g. had you finalised detailed plans, bought materials etc)
- 46. And what stage are you at now?

Your planning application history

- 47. How many times have you EVER applied for planning permission (including the one you have told us about in this survey)?
- 48.* How many times have you ever used the following methods to apply for planning permissions?

On paper forms

On paper forms downloaded from the Internet then printed

Online via the Planning Portal

Not sure

Your comments

This page gives you an opportunity to add any further comments

49. Please feel free to add any further comments here.

Survey Complete

50. To help us identify your survey responses should you decide that you want to withdraw your answers from the research, we need you to create a PIN. We suggest you use the last four digits of your phone number. Please enter the last four digits of your phone number to act as your PIN.

Thank you for completing the survey

51. We may conduct some short telephone interviews with some survey respondents to gather more detailed opinions. If you would be prepared to be interviewed, please provide your email address here.

Thank you again for completing the survey. Please click Done to exit and close this browser window.

M Citizen Paper Applicants - semi-structured interview questions

We would like to discuss your experiences and opinions of the planning application process. We do not need to know many details of why you were applying or the outcome of the application, but there are a few brief questions before we start.

- Which authority were you applying to?
- What type of application was it? [Full, householder etc?]
- Are you an Internet user?
- [if not an Internet user] Different people have different reasons for not using the Internet, would you like to tell me a little about yours?

Deciding to do some development work

- When did you decide to carry out some development work?
- How long was it between that decision and submitting an application?
- Was the work something you needed to do e.g a maintenance task, or something you had chosen to do e.g. an improvement?

Information gathering - do I need planning permission?

- Have you applied for planning permission before? How many times?
- How did you determine whether you needed planning permission?
- Where did you get that information? How did you access it? Why use that source?
- What was your experience of getting the information you needed?
- Could that information gathering be made easier? How?
- Why did (or didn't) you use the Planning Portal website? Why?

Getting specialist advice

- Did you need specialist advice before completing your planning application?
- What type of information did you need? Why?
- How did you get it?
- How did you find out about that source of information?
- Did you try to get information online? What was your experience?

Completing the application forms

- Did you submit the application on paper or online? Why?
- If you applied on paper, how did you get the forms? Why?
- What prevented you from using online applications? Why?
- What were your opinions of the process you did use?
- How hard or easy was it to complete? Why?
- What parts did you find hardest/easiest?
- · What would improve the process?
- [for paper submitters] What would encourage you to apply online?

Submitting the application forms

- What were your opinions of the submission process?
- Have you tracked the progress of your application since submitting it? How?

Overall:

What areas of the application process would you improve? How?

If you were to make this application again, would you do anything different?

N Citizen Online Applicants – semi-structured interview questions

I would like to discuss your experiences and opinions of the planning application process. I do not need to know many details of why you were applying or the outcome of the application, but there are a few brief questions before we start.

- Which authority were you applying to?
- What type of application was it? [Full, householder etc?]

Deciding to do some development work

- When did you decide to carry out some development work?
- How long was it between that decision and submitting an application?
- Was the work something you needed to do e.g. a maintenance task or something you had chosen to do e.g. an improvement?

Information gathering – do I need planning permission?

- Have you applied for planning permission before? How many times?
- How did you determine whether you needed planning permission?
- Where did you get that information? How did you access it? Why use that source?
- What was your experience of getting the information you needed?
- Could that information gathering be made easier? How?
- Why did (or didn't) you use the Planning Portal website? Why?
- [If used the Planning Portal website] Which sections of the PP website did you use? Which did you find most useful? Which least useful? Why?

Getting specialist advice

- Did you need specialist advice before completing your planning application?
- What type of information did you need? Why?
- How did you get it?
- How did you find out about that source of information?
- Did you try to get information online? What was your experience?

Completing the application forms

- Why did you decide to apply online?
- What were the advantages/disadvantages of applying online for you personally?
- What were your opinions of the process you did use?
- How hard or easy was it to complete? Why?
- What parts did you find hardest/easiest?
- What would improve the process?

Submitting the application forms

- What were your opinions of the submission process?
- Have you tracked the progress of your application since submitting it? How?

Overall:

- What areas of the application process would you improve? How?
- If you were to make this application again, would you do anything different?

O Demographics of Citizen Respondents and Interviewees

This appendix shows the demographics of the respondents to the 2010/2015 surveys.

O.1 Demographics of citizen survey respondents

Characteristic/category	Paper Citizens 2010	Citizens 2015
Gender		
Female	29.8%	7 (23.3%)
Male	68.3%	23 (76.7%)
Missing/unclear	1.9%	0 (0.0%)
Age		
18-24	0.0%	0 (0.0%)
25-34	3.8%	0 (0.0%)
35-44	26.0%	4 (13.3%)
45-54	26.0%	4 (13.3%)
55-64	23.1%	7 (23.3%)
65-74	11.5%	7 (23.3%)
75-84	2.9%	2 (6.7%)
85-94	0.0	1 (3.3%)
Missing/Invalid	6.7%	5 (16.7%)
Other adults living with	respondent	
0	16.3%	5 (16.7%)
1	53.8%	13 (43.3%)
2	15.4%	3 (10.0%)
3	1%	0 (0.0%)
4	1%	0 (0.0%)
Missing	12.5%	9 (30.0%)
Household Income (In th	ne 2010 survey the highe	est category offered was £40,000 or
more. Later surveys used	l more specific categori	es in higher income bands
Less than £10,000	1%	0 (0.0%)
£10,000-£19,999	5.8%	3 (10.0%)
£20,000-£29,999	10.6%	4 (13.3%)
£30,000-£39,999	16.3%	3 (10.0%)
£40,000 or more	53.8%	n/a
£40,000-£49,999	n/a	3 (10.0%)
£50,000-£59,999	n/a	2 (6.7%)
£60,000-£69,999	n/a	1 (3.3%)
£70,000-£79,999	n/a	2 (6.7%)
£80,00 or more	n/a	4 (13.3%)
Missing	12.5%	8 (26.7%)
Highest level of educatio	n attained	
No qualifications	3.8%	1 (3.3%)
CSE,GCE,GCSE	9.6%	2 (6.7%)
A Levels	4.8%	1 (3.3%)
		1 4 (12 20/)
Further education	17.3%	4 (13.3%)
Further education Bachelor degree	17.3% 25.0%	12 (40.0%)
Bachelor degree	25.0%	12 (40.0%)

Table A-3 – Demographics of citizen respondents

O.2 Demographics of citizen interview participants

Paper Citizen Interview Participant	Project Phase	Application Type	Internet User	Internet hours per week	Gender	Age Group	Income Group
Paper_Citizen_A	Pilot	Full application	Yes	2	Male	45-54	Not disclosed
Paper_Citizen_B	Pilot	Tree works	Yes	6	Male	65-74	£30,000-39,999
Paper_Citizen_C	Main	Householder	Yes	7	Female	35-44	£40,000 +
Paper_Citizen_D	Main	Householder	Yes	10	Male	35-44	£40,000 +
Paper_Citizen_E	Main	Tree works	No	-	Male	65-74	£40,000 +
Paper_Citizen_F	Main	Other - drop kerb	Yes	40	Male	55-64	£30,000-39,999
Paper_Citizen_G	Main	Full application	Yes	-	Male		-
Paper_Citizen_H	Main	Full app, Conservation area	Yes	14	Male	55-64	£20,000-£29,999
Paper_Citizen_I	Main	Householder	Yes	10	Female	45-54	£40,000 +
Paper_Citizen_J	Main	Tree works	Yes	5	Male	45-54	£40,000 +
Paper_Citizen_K	Main	Tree works (plus full app in the last 12 months)	Yes	50	Male	55-64	£40,000 +
Paper_Citizen_L	Main	Certificate of Lawful Development	Yes	8	Female	45-54	£40,000 +
Online_Citizen_A	Pilot Online Applicants Survey	Full	Yes	-	Male	Not given	-
Online_Citizen_B	Main Online Applicants Survey	Certificate of Lawful Development	Yes	-	Female	Not given	-
Online_Citizen_C	1App Survey	Change of Use	Yes	15	Male	65-74	£20,000-£29,999
Online_Citizen_D	1App Survey	Listed Building Consent	Yes	20	Male	45-54	£40,000 or more

Table A-4 – Demographics of citizen interview participants

P Attitudinal statement statistics

Descriptive statistics of responses to attitude statements from the three relevant surveys are included in this appendix.

P.1 Paper Citizen Applicants 2010

Statement	Mean	Mode
I would use the Planning Portal website for gathering		
information about planning application	1.85	1
Using the Internet would enhance my efficiency in gathering		
information about planning	1.95	1
I have the resources necessary to use online planning		
facilities	1.98	2
I think the Internet would fit well with the way that I like to		
gather information about planning	2.16	1
I have the knowledge necessary to use online planning		
facilities	2.16	2
Interacting with the Planning Portal via the Internet would fit		
into my lifestyle	2.25	1
Interacting with the Planning Portal over the Internet is		
something I would do	2.26	1
I think I can trust the Planning Portal in gathering information	2.26	2
I think the Planning Portal information pages would provide a		
valuable service for me	2.28	1,2
In general the Internet is now a robust and safe environment		
in which to transact with the planning process	2.32	2
The Planning Portal can be trusted to carry out online		
transactions faithfully	2.4	2
The Internet has enough safeguards to make me feel		
comfortable using it to interact with the planning process	2.45	2,3
Using the Internet would give me greater control over my		
interaction with the planning process	2.48	3
I think online planning application forms would provide a	2.21	
valuable service for me	2.61	3
I would use an online planning application service	2.68	3
The online planning application forms would enable me to	0.04	•
complete applications more quickly	2.81	3
I would feel uneasy if I used the online planning	3.58	4
application forms	Reversed n	
The content of the Planning Portal web site would be	3.78	5
useless for me.	Reversed n	nean = 2.22
I do not think it is safe to use online planning application	3.79	4
because of the privacy and security concerns	Reversed n	nean = 2.21
People who use the Internet to gather information from the		
Planning Portal have more prestige than those who do not.	3.97	3
Interacting with the Planning Portal over the Internet		
enhances a person's social status	4.23	5

Table A-5 – Attitude statement statistics - paper citizen applicants

P.2 Online Citizen Applicants 2011

Statement	Mean	Mode
I would use the Planning Portal website for gathering information about planning application	1.78	2
I have the resources necessary to use online planning facilities	1.78	2
I think online planning application forms would provide a valuable service for me	1.83	2
Using the Internet would enhance my efficiency in gathering information about planning	1.84	2
I would use an online planning application service	1.88	1
I think the Internet would fit well with the way that I like to gather information about planning	1.88	1
I think the Planning Portal information pages would provide a valuable service for me	1.89	2
Interacting with the Planning Portal over the Internet is something I would do	1.93	2
I think I can trust the Planning Portal in gathering information	1.98	2
I have the knowledge necessary to use online planning facilities	1.98	2
Interacting with the planning process via the Internet would fit into my lifestyle	2.02	2
I believe the Planning Portal can be trusted to carry out online transactions faithfully	2.02	2
Using the Internet would give me greater control over my interaction with the planning process	2.05	2
The online planning application forms would enable me to complete applications more quickly	2.07	2
The Internet has enough safeguards to make me feel comfortable using it to interact with the planning process	2.14	2
In general the Internet is now a robust and safe environment in which to transact with the planning process	2.17	2
People who use the Internet to gather information from the Planning Portal have more prestige than those who do not.	3.74	4
The content of the Planning Portal web site would be useless for	3.74	4
me	Reversed	mean = 1.84
I would feel uneasy if I used the online planning application forms	3.93	4
		mean = 1.76
Interacting with the Planning Portal enhances a person's social status.	4.03	5
I do not think it is safe to use online planning application because	4.03	4
of the privacy and security concerns	Reversed	mean = 1.66

Table A-6 – Attitude statement statistics - online citizen applicants

P.3 SME Applicants 2013

Statement	Mean	Std Deviation
I have the knowledge necessary to use online planning facilities	1.54	0.717
Interacting with the Planning Portal over the Internet is something I would do	1.58	
I have the resources necessary to use online planning facilities	1.60	0.801
Using the Internet would enhance my efficiency in gathering information about planning	1.80	0.926
I would use the Planning Portal website for gathering information about planning application	1.95	1.029
I think the Internet would fit well with the way that I like to gather information about planning	1.96	0.936
I think online planning application forms would provide a valuable service for me	1.97	1.224
I think I can trust the Planning Portal in gathering information	2.08	0.837
I would use an online planning application service	2.08	1.411
Using the Internet would give me greater control over my interaction with the planning process	2.09	1.011
Interacting with the planning process via the Internet would fit into my lifestyle	2.11	1.068
The online planning application forms would enable me to complete applications more quickly	2.13	1.244
I think the Planning Portal information pages would provide a valuable service for me	2.15	1.053
I believe the Planning Portal can be trusted to carry out online transactions faithfully	2.24	0.924
In general the Internet is now a robust and safe environment in which to transact with the planning process	2.27	0.855
The Internet has enough safeguards to make me feel comfortable using it to interact with the planning process	2.40	0.947
People who use the Internet to gather information from the Planning Portal have more prestige than those who do not.	3.59	1.120
The content of the Planning Portal web site would be useless for me	3.89	1.222
	Reversed mean =2.11	1.222
Interacting with the Planning Portal enhances a person's social status.	4.08	0.950
I do not think it is safe to use online planning application because of	4.10	1.015
the privacy and security concerns	Reversed mean = 1.89	1.015
I would feel uneasy if I used the online planning application forms	4.15	1.053
	Reversed mean = 1.85	1.053
I understand what information each question on the planning application form requires	1.69	0.713
The decision whether to use the online planning application forms is entirely within my control	1.69	0.871
I would be able to use online planning applications even if there was no one around to help me	1.84	0.921
I frequently deal with unusual or non-routine planning applications	2.39	1.057
	l .	

Table A-7 – Attitude statement statistics - SME Professional applicants

Q SME study – main phase survey

	the Plannin	ig Portal website	
1. Which best describes how frequent Dai 2-3 times per week Once a week	ly 🔲	he Planning Portal website? (please Once a month Less Frequently I have never visited the Planning Portal website	e tick one answer
2. Are you a registered user of the P	lanning Portal	website? (please tick one answer)	
Yes	No 🗌	Don't know	
Section2 – Your experience of th	e planning	application process	
This section looks at your recent experie	1000 VA 100	70 W 1002 1002	
In answering this section, please consid	ler applications	that you have made in the last 12 n	onths.
		for planning permission for your o	wn purposes or
Denait of a cuent? (piease tick	one answer)	Once a month	
2-3 times per wee	• =	Less frequently	ī
Once a wee		I have never applied	
		for planning permission	
were of the types below: (please enter v Application type	% of your applications	Application type	% of your applications
Full planning consent		Lawful Development Certificate	
		Time extension	
Householder planning application		Time extension	
Householder planning application Conservation area consent		Advertisement consent	
Conservation area consent		Advertisement consent	
Conservation area consent Listed building consent Tree works		Advertisement consent Telecoms application Other application type,	e last 12 months
Conservation area consent Listed building consent Tree works 6. Please estimate the proportion (pe	lues)	Advertisement consent Telecoms application Other application type, please specify	e last 12 months
Conservation area consent Listed building consent Tree works 6. Please estimate the proportion (powere made: (please enter percentage value)	lues) ns he	Advertisement consent Telecoms application Other application type, please specify Il applications you have made in the	e last 12 months
Conservation area consent Listed building consent Tree works 6. Please estimate the proportion (powere made: (please enter percentage value) On paper form On paper forms downloaded from the Internet, then printernet.	nlues) ns ne ed	Advertisement consent Telecoms application Other application type, please specify Il applications you have made in the Online via the Planning Portal	

Section 3 – How you use the Intern	et			
10. Which of the following do you use	to acc	ess the Internet fo	r general usage? (please tick all ti	hat apply)
Desktop computer			Other device, please specify	
Laptop computer				
Smart phone			Name of the above	
Tablet	Ш		None of the above	
11. Which of the following sources do	you us	e to gather inform	nation about the planning applica	ation proc
(please tick all that apply)			Other source, please specify	
Planning Portal online resources Other online resources	Н		Other source, piease speerry	
Library	닏		None of the above	
Local Planning Authority	Ш		None of the above	
12. Which of the following devices do y And which do you use to complete				ation proce
		information	applications	
Desktop com	puter			
Laptop com	puter			
Smart F	hone			
Т	ablet			
Other device, please spe	ecify			
None of the above, I apply on	paper			
13. What factors influence your dec for planning permission?	ision	on which device	s (laptop, desktop etc) to use v	vhen appl
14. What would encourage you to u	200000000000000000000000000000000000000			
Section 4 – Impression of the onlin	e pla	nning applica	tion service (for online app	olicants)
This section will be used to help us better application service with a view to helping If you do not use the online application :	to in	prove the service	2.	nline plan
	on for	m again? (please	tick one answer)	
15. Would you use the online applicati	on ror			
15. Would you use the online applicati	No		Not sure	

17.	Which one thing would you change	about the	online application form?
18.	Are there any other things that you	ı would ch	ange about the form or the service in general?
19.	Do you tend to complete the online sessions? Why?	applicatio	n submission process in a single sitting or across sever
20.	If you need to complete any other f application form, please tell us abo		art of your application that are not part of the (online)
21.	Are there any other services that yo	ou would l	ike to see integrated into the online application form?
22.	Would you be prepared to pay for (e.g. in the same way applicants can		ces? pay for plans to be drawn up for them)
		24 988	
			ing application service (for all applicants)
	How do you pay for the application		ick all that apply)
23.	How do you pay for the application Cheque Via Telephone (by credit card)	n? (please t	ick all that apply) BACS
23.	How do you pay for the application Cheque Via Telephone (by credit card) Online Why did you choose this payment	n? (please t	ick all that apply) BACS
23.	How do you pay for the application Cheque Via Telephone (by credit card) Online Why did you choose this payment How do you create your detailed p Provided hand-drawn plans	n? (please t	BACS BACS Other payment method, please specify Used an architect/I am an architect
23.	How do you pay for the application Cheque Via Telephone (by credit card) Online Why did you choose this payment How do you create your detailed p	n? (please t	ick all that apply) BACS Other payment method, please specify rawings for your application(s)? (please tick all that application)
23.24.25.	How do you pay for the application Cheque Via Telephone (by credit card) Online Why did you choose this payment How do you create your detailed p Provided hand-drawn plans Created them using CAD Using online facility	n? (please t	BACS Other payment method, please specify rawings for your application(s)? (please tick all that ap Used an architect/I am an architect No plans required Other method, please specify om the Planning Portal website, please tell us which.
23.24.25.26.	How do you pay for the application Cheque Via Telephone (by credit card) Online Why did you choose this payment How do you create your detailed p Provided hand-drawn plans Created them using CAD Using online facility If you used a online drawing facilit	method? olans and d olans and d olans and d olans and d to tell us abo	BACS Other payment method, please specify rawings for your application(s)? (please tick all that application) Used an architect/I am an architect No plans required Other method, please specify om the Planning Portal website, please tell us which.
23.24.25.26.27.	How do you pay for the application Cheque Via Telephone (by credit card) Online Why did you choose this payment How do you create your detailed p Provided hand-drawn plans Created them using CAD Using online facility If you used a online drawing facilit If you used another source, please Why do you choose this method/sup	method? olans and d olans and d	BACS
23.24.25.26.27.	How do you pay for the application Cheque Via Telephone (by credit card) Online Why did you choose this payment How do you create your detailed p Provided hand-drawn plans Created them using CAD Using online facility If you used a online drawing facilit If you used another source, please Why do you choose this method/sup	method? olans and d olans and d	BACS
23.24.25.26.27.	How do you pay for the application Cheque Via Telephone (by credit card) Online Why did you choose this payment How do you create your detailed p Provided hand-drawn plans Created them using CAD Using online facility If you used a online drawing facilit If you used another source, please Why do you choose this method/sup	method? olans and d olans and d	BACS

All participants should answer this section, whether or not you have used The Planning Portal is part of the Department for Communities and Local Gos support citizens throughout the planning application process. The website process and building regulations. It also includes useful tools such as interactively planning permission and which do not, and a calculator for application fees. It which takes you through the application process step by step and then forward ocal authority.	vernment vides info e guides also has ls the app	t and orma to w an o	l it providation on the hich deve	les a ne pla lopm licati e app	website inning ents nee on form ropriate
29. Based on the information above, and any experience you may have of the Planning Portal, please indicate to what extent you agree with these statements? (please tick)	Agree strongly		Neither agree nor disagree		agree Strongly disagree 5
I would use the Planning Portal website for gathering information about planning application					
I would use an online planning application service					
The online planning application forms would enable me to complete applications more quickly					
I think the Planning Portal information pages would provide a valuable service for me					
I think online planning application forms would provide a valuable service for me					
The content of the Planning Portal web site would be useless for me					
Using the Internet would enhance my efficiency in gathering information about planning					
Using the Internet would give me greater control over my interaction with the planning process					
I think the Internet would fit well with the way that I like to gather information about planning					
Interacting with the planning process via the Internet would fit into my lifestyle					
People who use the Internet to gather information from the Planning Portal have more prestige than those who do not.					
Interacting with the Planning Portal enhances a person's social status.					
The Internet has enough safeguards to make me feel comfortable using it to interact with the planning process					
In general the Internet is now a robust and safe environment in which to transact with the planning process					
I think I can trust the Planning Portal in gathering information					
I believe the Planning Portal can be trusted to carry out online transactions faithfully					
I would feel uneasy if I used the online planning application forms					
I do not think it is safe to use online planning application because of the privacy and security concerns					
I have the resources necessary to use online planning facilities					
I have the knowledge necessary to use online planning facilities					
I understand what information each question on the planning application form requires.					
The decision whether to use the online planning applications forms is entirely within my control					
I would be able to use online planning applications even if there was no one around to help me					
I frequently deal with unusual or non-routine planning applications					

differer and you	n of our research is to investigate whether there is any difference in uptake of online planning services between at planning related businesses and between groups of the population. To do this, we need to know a little about you ar organisation. would prefer not to answer any of these questions, please ignore them.
30.	What is your organisation's role within the planning process? (please tick one)
	Surveyor
31.	What is your personal role within the planning process? (please tick one) Surveyor
32.	How long have you been involved professionally in the planning process?
33.	How many people are employed in your organisation? (please tick one) 1
34.	What is your YEAR of birth?
35.	What is your gender? (please tick one) Male
36.	Are you a member of a professional membership organisation related to your role in the planning proce e.g. RICS, RIBA, CIAT, RTPI, ARB etc. If so, which?
	on 8 – Other comments
37. PI	ease feel free to add any further comments here or overleaf.
	Thank you for your time. Please return your survey in the envelope provided by 30 April 2013.

R SME study - semi-structured interview questions

We would like to discuss your experiences and opinions of the planning application process. We do not need to know details of why you were applying or the outcome of the applications.

About you

- Can you confirm for me about your professional involvement in the planning process?
- Do you specialise in any particular type of planning application?
- Do you specialise in any particular type of planning project?
- How do you apply online, paper, a mix?
- How many applications per year?

Completing the application forms

Paper:

- If you applied on paper, how did you get the forms?
- Why do you choose to apply on paper rather than using the online forms?
- What prevents you from using online applications?
- Is there anything that would encourage you to use the online forms?

Online:

- Why do you use the online form?
- What would you say to a fellow professional were the benefits in using the online form?
- Are there any disadvantages? Can you tell me about them?
- What type of equipment do you use? Desktop/laptop etc. Do you think it would it be possible to create a version that worked on mobile devices tablet, smart phone etc?

Mix:

- Why do you use a mix? What determines which method you use?
- Is there any difference in speed or ease of use between completing the forms online or on paper?
- Are any particular application types more suited to online applications than others?

All:

- What were your opinions of the process you used?
- How hard or easy was it to complete?
- What parts did you find hardest/easiest?
- What would improve the process?
- Are there any regularly occurring issues with the application process that could be improved by changes to the paper/online form?
- Are the questions clear do you always understand what information needs to be provided in answer to each question?

Getting information pre-application

• Did you need to get specialist advice before completing the form? What type of information? Where from? Could the Planning Portal help in this?

Submitting the application forms

- How did you manage payment? Why choose this method?
- What were your opinions of the submission process generally?
- Tell me about how you create and submit plans and drawings?

Processing of the application forms

- Is there any apparent difference in the way online and paper applications are handled by your Local Planning Authority (LPA) (e.g. speed, rejection rates etc)?
- Have you had applications rejected by an LPA due to issues with the completion of the form (as
 opposed to issues with the application information) e.g. problems with scale drawings,
 incomplete forms etc?

Overall:

- What areas of the application process would you improve? How?
- Have you tracked the progress of your application since submitting it? How?

Close:

• That's all my questions, is there anything else that you'd like to say? Anything that you think I ought to have asked and haven't?

S Demographics of 2013 SME and 2015 applicants respondents

Characteristic	Category	Frequ	uency of respo	nse (Per	centage)	
	·	SME	2013	Applicants 2015		
Gender						
	Female	21	(19.1%)	25	(15.8%)	
	Male	80	(72.7%)	131	(82.9%)	
	Missing/unclear	9	(8.2%)	2	(1.3%)	
Age						
	18-24	1	(0.9%)	2	(1.3%)	
	25-34	13	(11.8%)	15	(9.5%)	
	35-44	19	(17.3%)	23	(14.6%)	
	45-54	29	(26.4%)	40	(25.3%)	
	55-64	23	(20.9%)	32	(20.3%)	
	65-74	14	(12.7%)	33	(20.9%)	
	75-84	3	(2.7%)	2	(1.3%)	
	Missing/Invalid	8	(7.3%)	11	(7.0%)	
Size of organisation	on					
	1	41	(37.3%)	68	(43.0%)	
	2-5	35	(31.8%)	46	(29.1%)	
	6-9	9	(8.2%)	19	(12.0%)	
	10-19	9	(8.2%)	12	(7.6%)	
	20-49	8	(7.3%)	13	(8.2%)	
	Missing	8	(7.3%)`	0	(0%)	
Personal role in pl (Note respondents	s were able to indicate more than one		(10.20.0)	21	(
	Architect	53	(48.2%)	81	(55.1%)	
	Architectural technician/design	3	(2.7%)	7	(4.8%)	
	Planning agent	26	(23.6%)	0	(0%)	
	Surveyor	13	(11.8%)	0	(0%)	
	Planning consultancy	10	(9.1%)	39	(26.5%)	
	Property developer	1	(0.9%)	4	(2.7%)	
	Arboriculturalist / Tree works	2	(1.8%)	3	(2.0%)	
	Builder	2	(1.8%)	0	(0%)	
D : 1 C C :	Other role	4	(3.6%)	13	(8.8%)	
Period of professi	onal involvement in planning	0	(7.20/)	0	(5.10/)	
	1 to 5	8	(7.3%)	8	(5.1%)	
	6 to 10	11	(10.0%)	24	(15.2%)	
	11 to 15	11	(10.0%)	15	(9.5%)	
	16 to 20	13	(11.8%)	13	(8.2%)	
	21 to 25	13	(11.8%)	12	(7.6%)	
	26 to 30	12	(10.9%)	23	(14.6%)	
	31 to 35	10	(3.6%)	12	(7.6%)	
	36 to 40	18	(16.4%)	22	(13.9%)	
	41 to 45	3	(2.7%)	11	(7.0%)	
	46 to 50	2	(1.8%)	6	(3.8%)	
	51 to 55	1	(0.9%)	1	(0.6%)	
	56 to 60	0	(0.0%)	0	(0%)	
	61 to 65	1	(0.9%)	0	(0%)	
	Missing	13	(11.8%)	11	(7.0%)	

Table A-8 – Attitude statement statistics - SME applicants

T SME statistical test outputs

A number of Chi-Squared tests were run to establish the statistical significance of some of the findings, in particular to try and assess the factors that are associated with application methods. Unfortunately due to the relatively small sample size, some of the tests do not meet the assumptions required for statistical reliability. Further data gathering and/or more sophisticated statistical tests would be need for reliable results.

T.1 Application method vs applicant type

The cross-tab table is given below.

The Chi-square analysis shows a statistically highly significant result ($\chi 2(2)$ = 105.31, p=0.000, Cramer's V = 0.205) indicating that there is a moderate association between the variable and the differences between the groups was unlikely to have occurred by chance.

ApplicationMethod * ApplicantType Crosstabulation

Count						
			ApplicantType			
		Agent	Citizen	Org/business/charity		
ApplicationMethod	Online	1043	121	64	1228	
Applicationiviethod	Paper	862	296	117	1275	
Total		1905	417	181	2503	

Table A-9 - Cross-tabulation - application method vs applicant type

T.2 Application method vs applicant type – within agent group

Looking at differences within the agents group, although the results indicated that there is a significant statistical relationship between the client type and the application method used, though the relationship is less strong (lesser effect size) than for the first analysis (χ 2(2)= 33.85, p=0.000, Cramer's V = 0.133).

ApplicationMethod * ApplicantType Crosstabulation

Count								
			ApplicantType					
	Agent for Agent for Agent for							
		Business						
A multipostic m N Apatho and	Online	334	652	57	1043			
ApplicationMethod	Paper	174	631	57	862			
Total		508	1283	114	1905			

Table A-10- - Cross-tabulation - application method vs applicant type

T.3 Application method vs applicant gender

Looking at differences in application method between genders, the results were significant $(\chi^2(2)=11.919,\ p=0.03)$, indicating that there is a statistical relationship between the applicant gender and the application method used, with a greater effect size than for either of the tests for applicant type, (Cramer's V = 0.352).

ApplicationMethod * Gender Crosstabulation

Count Gender Total Female Male Mixed 4 17 21 **ApplicationMethod** Online 12 4 16 Paper 30 29 59 Total 46 50 96

Table A-11 - Cross-tabulation - application method vs gender

T.4 Application method vs applicant age

For differences in application method between genders, the test assumptions were not met and hence the test results were unreliable.

ApplicationMethod * ApplicantAge Crosstabulation

Count

Oddin					
			ApplicantAge)	Total
		18-34	35-54	55-84	
	Mixed	6	8	8	22
ApplicationMethod	Online	6	25	11	42
	Paper	1	12	20	33
Total		13	45	39	97

Table A-12 - Cross-tabulation - application method vs applicant age

T.5 Application method vs applicant professional experience

Even re-categorizing the responses in to just three groups, the test assumptions were not met and hence the test results were unreliable.

AppicationMethod * PlanningExperience Crosstabulation

		Plar	nningExperie	nce	Total
		1-20	21-40	41-70	
	Mixed	11	10	1	22
AppicationMethod	Online	21	18	1	40
	Paper	8	17	5	30
Total		40	45	7	92

Table A-13 - Cross-tabulation - application method vs planning experience

T.6 Application method vs organisation size

Again even re-categorising the responses into three organisation size categories, the test assumptions were not met and hence test results were unreliable.

ApplicationMethod * OrganisationSize Crosstabulation

		Or	ganisationSi	ze	Total
		1-9	10-19	20-49	
	Mixed	18	3	2	23
ApplicationMethod	Online	34	3	4	41
	Paper	27	2	2	31
Total		79	8	8	95

Table A-14 - Cross-tabulation - application method vs organisation size

T.7 Application Method vs 12month application numbers

Looking at how application methods vary according to the number of application made in the last 12 month the results were significant ($\chi^2(4)$ = 9.659, p=0.047), indicating that there is a statistically significant relationship between the number of applications made and the application method used. (Cramer's V = 0.246).

ApplicationMethod * ApplicationsIn12Months Crosstabulation

		Applio	ationsIn12M	onths	Total
		1-19	20-39	40-59	
	Mixed	8	10	1	19
ApplicationMethod	Online	14	11	9	34
	Paper	17	4	6	27
Total		39	25	17	80

Table A-15 – Cross-tabulation - application method vs applicants in most recent 12 months

U LPA study - main phase survey 2014

The following pages show the LPA survey (online only) as exported directly from SurveyMonkey.

E-Planning in LPAs Perceptions and Experience of online planning in English LPAs This survey seeks to investigate the experiences and perceptions of Local Planning Authorities and their staff to online planning application facilities. The survey comprises around 30 questions most of which are either tick boxes for you to select an answer or are free text boxes for you to type Only three questions are mandatory and these are marked with an asterisk (*). Please feel free to skip questions that you cannot or do not wish to answer. We would rather you skipped a question and moved on, than left the survey completely. If you have cookies enabled on your PC, you will be able to leave the survey and use the email link again to return to the page where you left off (as long as you use the same PC). The survey should take around 30 minutes to complete and will be open until 5p.m. on Thursday 27th March 2014. When answering questions in this survey please consider both planning applications and applications for tree works. *1. Would you like to see additional information about the background to this survey? O Yes O No

E-Planning in LPAs

Background information on the LPA online survey

What is the purpose of the study?

The Planning Portal is a facility provided by the Department for Communities and Local Government as a resource for citizens and professionals who wish to apply for planning permission. The Planning Portal wish to gather views from staff involved in the handling of planning applications in Local Planning Authorities. In addition, the information gathered will be used in academic research at Plymouth University in an investigation of theories of technology adoption in relation to the planning process.

Some questions have been taken or adapted from the 2006 PPA Use of Technology survey to provide a direct comparison with those previous results. (reference: "PPA Planning Survey - Use of Technology Survey", 2006, Peter Pendleton and Associates)

Why have I been chosen

Your name has been provided by Scott Alford, Head of LPA Engagement at the Planning Portal, as being an appropriate contributor to this research. We are contacting one member of staff in each LPA, so you will be the only respondent in your LPA.

Do I have to take part'

Taking part in the research is entirely voluntary. It is up to you to decide whether or not to take part. Your consent to take part is assumed if you return the survey form. However, if you decide to take part, you are still free to withdraw at any time and without giving a reason.

What will happen to me if I take part?

You will be asked to complete and return the following survey. You will also be asked if you would be willing to take part in a follow-up phase which will involve a 20-30 minutes telephone interview. You are free to complete the survey, but decide not to be involved in the interview phase. Both the survey and the interview will aim to get your views about the planning application process.

What are the possible benefits of taking part?

It is hoped these interviews will further our understanding of planning professionals' and LPA's opinions of the planning application process and how service from the Planning Portal can be improved.

Will what I say in this study be kept confidential?

All personal information collected about you will be kept strictly confidential. It will be kept in a locked cabinet until the end of the research at which point it will be destroyed. It will not be used for any purpose other than this research and directly related publications.

Your name will only be known to the researcher. Your name will not be mentioned in any research documentation or reports.

LPA anonymity will be preserved in academic publications based on this research. Some quotations from surveys may be included in the published thesis and reports but anonymity will be preserved by referring to participants as "Participant A" etc.

By default this anonymity will also apply to summary reports provided to the Planning Portal. However, if you are happy or indeed wish to have your comments attributable to your LPA, you may indicate this on the survey form.

Who is organising and funding the research?

Janet Kneller is conducting the research as part of her study for a Ph.D. at Plymouth University.

What will happen to the results of the research study?

The results of the research including some anonymous quotes from the surveys will be used in reports for the Planning Portal (covering attitudes and opinions to the planning process) and in my thesis for a Ph.D. degree. It is also possible that some aspects of the research, again including some anonymous quotes, will be published in academic journals. You may request copies of these from the researcher, using the contact details below.

Who has reviewed the study?

The research has been approved by the Plymouth Business School Faculty Research Ethical Approval Committee.

For further information, please contact me or my supervisor:

Janet Kneller, Plymouth Business School janet.kneller@plymouth.ac.uk Academic Supervisor: Prof Andy Phippen andy.phippen@plymouth.ac.uk

E-Planning in LPAs Section 1 - About your LPA Your responses to this survey will be used for two purposes: as part of a programme of academic research around attitudes to e-planning, forming part of a body of work towards a PhD degree thesis, and secondly in a summary report to the Planning Portal, who have previously supported other aspects of this research. Your responses will be treated anonymously in the PhD reports and theses - any statistics will be shown as part of summary tables and charts, and any quotations will be made without direct reference to which LPA they came from. By default the same applies to the summary report that will be provided to the Planning Portal, but it may be useful to them to understand issues at individual LPAs. If you are happy, or indeed explicitly wish, that your responses can be attributed to your LPA in the Planning Portal report, then please tick the "Yes" box in Q4 below. If you chose not to make your responses attributable, by clicking "No" in Q4, we will respect your request for anonymity. However, it will still be very useful for the researcher to understand what type of local authority you support and we would ask you to still complete guestions 2&3. *2. Which LPA are you representing in answering this survey? (This will only be used for administrative purposes, unless you have given permission for its use below.) Other (please specify) 3. What is your role within your LPA? *4. If you are happy, or indeed explicitly wish, that your responses can be attributed to your LPA then please tick the box below. I am happy that my responses can be attributed to my LPA in reports provided to the Planning Portal. (Any quotations used in academic theses will always be anonymous). O Yes O No Mandatory questions are marked with an asterisk (*).

-1.	China and the second of the se
	of the survey asks about the applications that your LPA receives.
vvnen answe	ering questions in this survey please consider both planning applications and applications for tree work
	5. What percentage of all applications to your LPA were
	considered invalid on receipt (in your last reporting period)?
	6. What period does this apply to? (start/end dates)
	7. If you know the percentages of online and paper
	applications that were considered invalid, please provide this
	information below.
	Percentage of paper applications considered invalid
	Percentage of online
	applications considered invalid
	8. What period does this apply to? (start/end dates)

most common and 10 being least common. (If a reason is not relevant to your LPA, please use the N/A option on the right of each row.) Missing national level requirements N/A Missing LPA local level requirements N/A Inaccuracy or incomplete plans or drawings Incorrect form filling N/A Missing or incorrect Design and Access statements N/A Incorrect Certificates N/A Incorrect Fee N/A Missing Fee N/A Other N/A 10. If you have entered a value for "Other" above, please specify these reasons here.	most common and 10 being least common. (If a reason is not relevant to your LPA, please use the N/A option on the right of each row.) Missing national level requirements N/A Missing LPA local level requirements N/A Inaccuracy or incomplete plans or N/A Issues with or missing site locations Plans Incorrect form filling N/A Missing or incorrect Design and N/A Missing or incorrect Design and N/A Incorrect Certificates N/A Incorrect Fee N/A Missing Fee N/A Other N/A	applica	were the main reasons for tions being invalid in your rank these reasons with 1	r LPA?	
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10. If you have entered a value for "Other" above, please	10. If you have entered a value for "Other" above, please		Missing Fee	N/A	
			Other	N/A	
		_		r "Other"	above, please

11. V	What were the main reasons	for
	INE applications being inva	
LPA		
Plea	se rank these reasons with	1 being
mos	t common and 10 being lea	st common.
(If a	reason is not relevant to yo	ur LPA,
plea	se use the N/A option on the	e right of
each	row.)	
	Missing national level requirements	s N/A
	Missing LPA local level requiremen	ots N/A
	Inaccuracy or incomplete plans or drawings	N/A
	Issues with or missing site locations plans	□ N/A
	Incorrect form filling	□ N/A
	Missing or incorrect Design and Access statements	□ N/A
	Incorrect Certificates	N/A
	Incorrect Fee	N/A
	Missing Fee	□ N/A
	Other	□ N/A
	f you have entered a value cify these reasons here.	ior "Other" above, please
Fina	How many pre-applications ncial Year 2012-2013? ils context, a pre-applicatio	did your LPA receive in the
		advice on the planning merits
	eir proposal.	advice on the planning ments
0	ion proposan	
14. 1	n addition to the pre-applic	ations counted above:
	record the number	
	to the Duty Officer	
"Do I n	eed Planing	
remis	sion" questions?	
If so h	ow many were	

15. How many building regulations application receive in the Financial Year 2012-2013?	ons did your LPA
Full Plans application	
Building Notice application	

E-Plannin	g in LPAs
Section 3 -	- Advantages and disadvantages of handling applications
	16. What benefits has YOUR AUTHORITY seen through the use of online planning applications?
	17. Please state any barriers that you feel still exist that prevent the increased use of electronic planning services within your council.
	18. Please state any barriers that still exist that prevent the increased use of electronic planning services TO OTHER STAKEHOLDERS (Parish Council, statutory consultees etc.) as you understand it.

planning services	e thing you think will increase the use of e- BY CITIZENS?
	Tage of
	e thing you think would increase the use of es BY AGENTS (or other professionals ?
91 HS_F 9	
	(good or bad) have PLANNING STAFF in en through the introduction on online and facilities?

22. Has your LPA invested in mobile technology to enable
officers to assess applications in a mobile manner? If so, please describe the approximate number and type of
devices provided and the job roles which will be using them.
What percentage of staff have been provided with such
devices?
23. Please describe any training your LPA has provided to help staff handle and assess applications in an electronic
manner.
24. Have there been any organisational changes in your
planning department as a direct consequence of increased
take-up of online planning services? If so, please describe these?

25. Do you think that the online services for development management and building regulations could be more closely linked at your LPA? If so, how?
26. How could the Planning Portal improve the interaction between pre-application advice and the Portal's 1App online applications service?

Neither Agree Strongly Agree Agree Procedures for handling online applications are WELL ESTABLISHED in my LPA Procedures for handling online applications WORK WELL in my LPA Administrative handling of online applications is done IN A ELECTRONIC MANNER in my LPA Planning officers assess online applications IN A ELECTRONIC MANNER in my LPA Online applications are CHEAFER to handle and assess than paper applications Online applications are CHEAPER to handle and assess than paper applications Online applications are CHEAPER to handle and assess than paper applications Online applications Online applications My LPA WOULD PREFERS to handle online applications My LPA WOULD PREFER if all application types were	
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Online applications are CHEAPER to handle and assess	0 0
In general my LPA PREFERS to handle online applications	0 0
	0 0
available online (e.g. Non-Material Amendments, Prior Notification)	0 0
28. Does your LPA request that some types of application are supported with a paper copy? If so, please tell us which types of application this applies to, and why?	

29. Generally how are online applications assessed in your
LPA?
On screen
by printing out documents and carry out a desktop assessment on paper
it varies according to application type
it varies according to the individual dealing with the application
Other
Please clarify any "Other" answers
30. If your assessment method varies according to application type, please describe the factors that influence the method used.
the method used.

33. How do the following statements apply	to y	our l	PA?	
	True in my LPA	Not true in my a LPA	Not ipplicabl	Don't e know
Our current IT technology and computers are capable of delivering a full end-to-end e-Planning service	0	0	0	0
The level of IT Skills within your authority's planning section is adequate to support an increase in online applications	0	0	0	0
Since online planning services have become more common there has been a reduction in the volume of enquiries and calls to the duty planner/ planning department	0	0	0	0
Some officers prefer to determine applications using paper copies rather than on screen	0	0	0	0
Some statutory consultees prefer to consider applications using paper copies rather than on screen	0	0	0	0
Our authority has adequate means to assess, scale and measure drawings on screen	0	0	0	0
The use of email has made it quicker and easier to respond to enquiries	0	0	0	0
There is a higher volume of representation for applications since people have been able to email them into you	0	0	0	0
My LPA advertises on applications and consultation letters that people can email representations to the council	0	0	0	0
Agents and citizens can contact our planning department before they submit an application by telephone without using a call-centre or hub	0	0	0	0
Agents and citizens can contact our planning department after submitting an application directly by telephone without using a call- centre or hub	0	0	0	0
We provide applicants with the direct contact details of the planning officer handling their application (after submission)	0	0	0	0
When assessing applications through site visits, our Planning Officer use electronic devices to carry applications details with them to the site.	• ()	0	0	0

	g in LPAs
d finally	
	The researcher would like to conduct a small number of follow-on telephone interviews. If you would be happy to be involved in this way, please provide your contact details below. These will be handled in accordance with the Data Protection Act principles.
	34. Name
	35. Email address
	36. Please feel free to add any further comments here

E-Planning in LPAs Thank you The survey is now complete. Thank you for your time. Please close your browser window or click Done to finish the survey. (Clicking Done will complete the survey and you will not be able to return to your saved answers.)

V LPA study – anonymised invitation email from Head of LPA Engagement, Planning Portal

Dear LPA,

Perceptions and experience of online planning in English LPAs

Research into online planning at English LPAs is being carried out by Janet Kneller, a PhD student at Plymouth University, working with the Planning Portal. The current phase uses an online survey to explore experiences with online planning applications, what LPA staff see as the advantages and barriers in the use of eplanning and how the service could be improved.

You have been selected to complete the survey on behalf of your LPA because of your knowledge of the Planning Portal, and being the key contact to develop e-Planning with the Portal at your LPA.

We encourage you to take part in this research. The survey is available at: <u>Link removed for thesis publication</u>

The survey will be available from today until 5pm on Friday 14 March 2014.

If you are unable to participate in the research, it would be very helpful if you could let us know.

The survey is available in the attached document. We suggest you review it before completing the survey so that you can discuss with colleagues and collect any necessary information.

The survey is expected to take around 30 minutes to complete but there are only three mandatory questions. You can skip any questions that you are unable to answer and we would prefer to have answers to some questions from you than none at all.

If you have cookies enabled on your PC, you will be able to start the survey and return to it later, keeping the answers you have already made (as long as you use the same PC). However, you will not be able to return to the survey once you have completed all questions.

The results of the survey will be used in two ways – anonymously as part of Janet's PhD thesis, and also in a report to the Planning Portal to help us understand the benefits and issues that LPAs experience. For this second use, you will be able to choose whether your comments are made anonymous or are attributable to your LPA in the Planning Portal report.

We very much appreciate you taking time to participate in this research.

If you have any questions about the research project, please do not hesitate to contact me. Any questions about completion of the survey should be directed to janet.kneller@plymouth.ac.uk

Kind regards, Head of LPA Engagement Planning Portal

W LPA study - semi-structured interview questions

Introduction:

- Thank you for agreeing to be interviewed today.
- We'll be talking about how e-planning is used in your LPA and what you and your
 colleagues feel about it in comparison to paper-based planning. When I talk about eplanning, I'm talking about the range from online applications, validation, consultation
 and assessment. If you want to distinguish between the different parts of the process
 during the interview that's fine. I'm happy to take whatever you wish to tell me.
- Could I ask you to start by telling me who you are and what your involvement in the planning process is?

Perceptions within the LPA

- What is the general feeling towards e-planning in your LPA? Positive, Negative? What do you base that on?
- Are the planning staff positive or negative, what about the wider council management hierarchy?
- Can you tell me a little bit about the history of e-planning in your organisation? What were the drivers?
- Is there any particular individual or department in the Local Authority that promotes or puts barriers in the way?

Processes within your LPA

- How are online applications processed in your LPA?
- Are there any differences between how online and paper applications are handled?

Perceptions of other stakeholders

- Thinking about how the online process works in comparison to paper apps –
- Viewing applications online, remotely, or by visitors to the LPA office
- What benefits do you think there are for agents?
- What about issues?
- Same question for individual citizens?
- Who are your Consultees? How do they review applications: online, posted paper copies?

IT systems

- Which backend planning system do you use?
- How is IT supported in-house, shared services, outsourced etc?
- How does that work for you?

The future

- Where would you like to see e-planning going in the next few years?
- What would your priorities be for new online facilities for the Planning Portal?
- Are there any benefits /disadvantages in closer links between online apps and preapplication enquiries and/or building regs applications?
- Is there anything else you'd like to add?

X Confirmatory study – main phase citizens survey

Seci	tion 1 – Your experience of th	e Internet		
1.	We can only accept responses from	n people age	18 years or over	
	Please tick to confirm you are within	this age grou	р. 🗌	
2.	Have you ever used the Internet?	please tick or	ne answer)	
	Yes, and I still use it now		(Now please continue at question 3)	
	Yes, but I don't use it any more	\sqcup	(Now please continue at question 5)	
	No		(Now please continue at question 5)	
3.	How many days per week do you	ise the Interi	et (consider both home and work/education use, (please tick one ans	
	None	П	Four or five	wer)
	One	П	Six or Seven	
	Two or three			
4.	How many hours per week do you	use the Inter	net (consider both home and work/education us	e)?
		_	(please tick	one
	None		16-20 hours per week	
	1-5 hours per week 6-10 hours per week	H	21-30 hours per week 31+ hours per week	
	11-15 hours per week		31+ nours per week	
5.			ceiving this survey? (please tick one answer)	
		s, but I have	grang and	
	Planning Portal (continue at question 6) (continue at question 6)	continue at qu		
6.	How often have you visited the Pla	unning Doutol	wahaita? (plagas tisk one anguen)	
0.	How often have you visited the Pla Never		website? (please tick one answer) 4-5 times	
	Once	H	6-10 times	
	2-3 times		More than 10 times	
7.	Which best describes you in relation	on to the Plan	nning Portal? (please tick one answer)	
	I am a member of the general publi		I am a professional user	
	I am a government use	er 🗌	I am an academic user	
8.	Are you a registered user of the Pl	anning Porta	d website? (please tick one answer)	
	Yes	No 🗌	Don't know	
9.	Which best describes how frequen	tly you visit	he Planning Portal website ? (please tick one ans	wer,
	Dail	у 🗆	Once a month	
	2-3 times per wee	k 🔲	Less Frequently	
	Once a wee	k 🗌	I have never visited the	
			Planning Portal website	

In this section we are interested in your recent experience of applying for, we do not need to know any details of your individual application, nor of the second s	he results of the applied for pla	application	on. ermissio	n:
a) In the last 12 monthsb) In total				
11. To which local authority did you send the most recent planning a	pplication?		••••••	•••••
12. Did you make your most recent planning application: (please tick	one)			
On behalf of a business, charity, or other For your own pe		project		
As an agent on behalf of someone else	Other, please s	specify		
13. How did you most recently apply for planning permission? (pleas				
On paper forms U On paper forms downloaded from the Other,	Not sure please specify			
Internet then printed	please specify			
Online via the Planning Portal				
14. What factors influenced your decision to apply using this m	ethod?			
On paper forms On paper forms I don't know anyone of Internet then printed Online via the Planning Portal]	(pleas Not sure applied	apply? se tick o	ne)
On paper forms On paper forms I I don't know anyone of Internet then printed Online via the Planning Portal	who has recently Other, please	(please Not sure applied e specify	se tick o	ne)
On paper forms On paper forms downloaded from the Internet then printed Online via the Planning Portal Section 4 – Attitudes using the Internet for planning information	who has recently Other, please	(please Not sure applied e specify	se tick o	ne)
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On paper forms On paper forms downloaded from the Internet then printed Online via the Planning Portal Section 4 — Attitudes using the Internet for planning informated All participants should answer this section, whether or not you are a curr. The Planning Portal is part of the Department for Communities and Local Gaupport citizens throughout the planning application process. The website p process and building regulations. It also includes useful tools such as interactly planning permission and which do not, and a calculator for application fees, which takes you through the application process step by step and then forwallocal authority. 16. Based on the information above, and any experience you may have of the Planning Portal, please indicate to what extent you agree with these statements? (please tick)	Other, please tion and apple ent Internet use Government an rovides informa tive guides to w It also has an o ards the applica Agree e Agree strongly	(please Not sure applied applied e specify lications er dit providation on the specific ation to the Neither agree nor disagree 3	des a we he plan elopine approprie approprie di di	ebsite ning n form ppriate ree rongly issagree
On paper forms On paper forms On paper forms downloaded from the Internet then printed Online via the Planning Portal Section 4 — Attitudes using the Internet for planning informated All participants should answer this section, whether or not you are a curr. The Planning Portal is part of the Department for Communities and Local Osupport citizens throughout the planning application process. The website p process and building regulations. It also includes useful tools such as interact planning permission and which do not, and a calculator for application fees, which takes you through the application process step by step and then forwallocal authority. 16. Based on the information above, and any experience you may have of the Planning Portal, please indicate to what extent you agree with these statements? (please tick) I would use the Planning Portal website for gathering information about planning application	Other, please tion and apple ent Internet use Government and rovides informative guides to w It also has an or ards the applica Agree e Agree strongly	(please Not sure applied applied e specify lications er dit providation on the specific action to the Neither agree nor disagree 3	des a wohe plan elopmer plication de appro	ebsite ning its nee i form ppriate ree
On paper forms On paper forms ownloaded from the Internet then printed Online via the Planning Portal Section 4 — Attitudes using the Internet for planning informated All participants should answer this section, whether or not you are a current Formula Portal is part of the Department for Communities and Local Comport citizens throughout the planning application process. The website process and building regulations. It also includes useful tools such as interact planning permission and which do not, and a calculator for application fees, which takes you through the application process step by step and then forwallocal authority. 16. Based on the information above, and any experience you may have of the Planning Portal, please indicate to what extent you agree with these statements? (please tick) I would use the Planning Portal website for gathering information about planning application I would use an online planning application service	Other, please tion and apple ent Internet use Government an rovides informa tive guides to w It also has an o ards the applica Agree e Agree strongly	(please Not sure applied applied e specify lications er dit providation on the specific to the Neither agree nor disagree 3	des a we he plan elopine approprie approprie di di	ebsite ning n form ppriate ree rongly issagree
On paper forms On paper forms On paper forms downloaded from the Internet then printed Online via the Planning Portal Section 4 — Attitudes using the Internet for planning informated All participants should answer this section, whether or not you are a curr. The Planning Portal is part of the Department for Communities and Local Osupport citizens throughout the planning application process. The website p process and building regulations. It also includes useful tools such as interact planning permission and which do not, and a calculator for application fees, which takes you through the application process step by step and then forwallocal authority. 16. Based on the information above, and any experience you may have of the Planning Portal, please indicate to what extent you agree with these statements? (please tick) I would use the Planning Portal website for gathering information about planning application	other, please tion and apprent Internet use Government and apprentitive guides to w. It also has an oards the application of th	(please Not sure applied applied be specify lications or the sure applied at the sure applied at the sure applied at the sure applied	des a we he plan elopmer plication de appro	ebsite ning its nee i form priate ree

216 cont'd. Based on the information above, and any experience you nay have of the Planning Portal, please indicate to what extent you gree with these statements? (please tick)		gree	Neither agree nor disagree	Disagree Strongl disagre	
•	1	2	3	4	5
The way I prefer to develop supporting documentation for a planning application means it is easier to apply online					
Working in a way that reduces my environmental impact is important to me					
I believe the Planning Portal can be trusted to carry out online transactions faithfully					
I have the resources necessary to use online planning facilities					
People who use the Internet to gather information from the Planning Portal have more prestige than those who do not.					
The complexity of planning applications means that it is easier to apply on paper than online					
I think the Internet would fit well with the way that I like to gather information about planning					
I believe it is cheaper financially to apply for planning permission online					
The decision whether to use the online planning application forms is entirely within my control					
The need to include multiple documents with a planning application means it is complex to apply online					
I believe online planning is a more environmentally friendly method of planning application than paper applications					
Using the Internet would give me greater control over my interaction with the planning process					
I personally know other people who have submitted planning applications online					
The complexity of planning applications means that it is not appropriate to apply online					
I understand what information is required by the questions on the planning application form					
I think the Planning Portal information pages would provide a valuable service for me					
In general the Internet is now a robust and safe environment in which to transact with the planning process					
I believe the Local Authority receiving my application can be trusted to carry out online transactions faithfully					
I feel that the production of plans and drawings to support a planning application is as a much an art as a technical skill					
I think I can trust the Planning Portal in gathering information					
The Internet has enough safeguards to make me feel comfortable using it to interact with the planning process					
I think online planning application forms would provide a valuable service for me					
Interacting with the planning process via the Internet would fit into my lifestyle					
I believe the Local Authority receiving my application will handle and manage online applications electronically					
The online planning application forms would enable me to complete applications more quickly					
Interacting with the Planning Portal enhances a person's social status.					
I would be able to use online planning applications even if there was no-one around to help me	П	П			

Q16 cont'd. Based on the information above, and any experience you may have of the Planning Portal, please indicate to what extent you agree with these statements? (please tick)	Agree strongly	ree	Neither agree nor disagree	1000	ree trongly isagree
I have the knowledge necessary to use online planning facilities	1	2	3	4	5
I believe the Local Authority receiving my application will assess online					
applications electronically					
I do not think it is safe to use online planning application because of the privacy and security concerns					
The creative element in creating plans and drawings to support a planning application is important to me					
Using the Internet would enhance my efficiency in gathering information about planning					
application rather than use a computer					
I frequently deal with unusual or non-routine planning applications		П			
£10,000 - £19,999			legse cheni	fy [1
No qualifications	Oth	er, p	lease speci	fy L	J
22. Please feel free to add any further comments here.					

Y Confirmatory study – main phase professionals survey

Section 1 – About your usage of the	Planning Port	tal website	
1. We can only accept responses from pe	ople aged 18 year	rs or over	
Please tick to confirm you are within	this age group.		
2. Had you heard of the Planning Portal	before receiving	this survey? (please tick on	e)
	Yes, but I have I used the Planning P	ortal (continue at	Not sure (continue at question 6)
3. Which best describes you in relation to	the Planning Po	ortal? (please tick one an	swer)
I am a member of the general publ		I am a professional user	
I am a government use	er 🗌	I am an academic user	
4. Are you a registered user of the Plann	ing Portal websit	e? (please tick one answer)	
Yes	No \square	Don't know	
	_		
5. Which best describes how frequently y	_		ase tick one answer)
Dail 2-3 times per wee	· <u> </u>	Once a month Less Frequently	H
Once a wee	4 <u></u>	I have never visited the	H
Once a wee	к <u>П</u>	Planning Portal website	
Section2 – Your experience of the p	lanning applic	ation process	
This section looks at your recent experient answering this section, please consid			months.
6. Which best describes how frequently y		nning permission for your	own purposes or on
behalf of a client? (please tick one an			
Dail	_	Once a month	
2-3 times per wee	_	Less frequently	
Once a wee	к 🗀	I have never applied for planning permission	
7. Please estimate the total number of pla behalf of a client in the last 12 month		ons you have made for you	own purposes or on
8. Please estimate the proportion (percen	tage) of all appli	cations you have made in t	he last 12 months th
were made: (please enter percentage v	(alues)		
On paper form	is	Online via the Planning Porta	
On paper forms downloaded from the Internet, then printed		Other, please specif	y
9. What factors influenced your decision	to apply using th	nese methods?	

10. How do you pay for applications? (please tick all that apply) Cheque Via Telephone (by credit card) Online Online	method, p	lease	BACS specify		
11. How do you create your detailed plans and drawings for your appli Provided hand-drawn plans Created them using CAD tools Using online facility Used an architect	I an	n an a lans i	rchitect equired	all the	at apply
Section 3 – Attitudes using the Internet for planning information of the Planning Portal is part of the Department for Communities and Local Go	t Interne	t user	it provid	les a w	
support citizens throughout the planning application process. The website pro process and building regulations. It also includes useful tools such as interacti planning permission and which do not, and a calculator for application fees. I which takes you through the application process step by step and then forwar	ve guides t also has	to wl an or	nich deve nline app	elopme licatio	nts need n form
		plicat ree		e appro	•
local authority. 12. Based on the information above, and any experience you may have of the Planning Portal, please indicate to what extent you agree with these	Agree strongly	ree	Neither agree nor disagree	Disag	gree trongly lisagree
12. Based on the information above, and any experience you may have of the Planning Portal, please indicate to what extent you agree with these statements? (please tick one answer per row) I would use the Planning Portal website for gathering information about planning	Ag Agree	ree	Neither agree nor	Disag	gree trongly
12. Based on the information above, and any experience you may have of the Planning Portal, please indicate to what extent you agree with these statements? (please tick one answer per row) I would use the Planning Portal website for gathering information about planning application	Agree strongly	ree	Neither agree nor disagree	Disag	gree trongly lisagree
12. Based on the information above, and any experience you may have of the Planning Portal, please indicate to what extent you agree with these statements? (please tick one answer per row) I would use the Planning Portal website for gathering information about planning application I would use an online planning application service	Agree strongly	ree	Neither agree nor disagree	Disag	gree trongly lisagree
12. Based on the information above, and any experience you may have of the Planning Portal, please indicate to what extent you agree with these statements? (please tick one answer per row) I would use the Planning Portal website for gathering information about planning application I would use an online planning application service Interacting with the Planning Portal over the Internet is something I would do	Agree strongly	2	Neither agree nor disagree	Disag	trongly lisagree
12. Based on the information above, and any experience you may have of the Planning Portal, please indicate to what extent you agree with these statements? (please tick one answer per row) I would use the Planning Portal website for gathering information about planning application I would use an online planning application service Interacting with the Planning Portal over the Internet is something I would do I would feel uneasy if I used the online planning application forms The way I prefer to develop supporting documentation for a planning application	Agree strongly 1	2	Neither agree nor disagree	Disag	trongly lisagree
12. Based on the information above, and any experience you may have of the Planning Portal, please indicate to what extent you agree with these statements? (please tick one answer per row)	Agree strongly 1	2	Neither agree nor disagree	Disag	trongly lisagree
12. Based on the information above, and any experience you may have of the Planning Portal, please indicate to what extent you agree with these statements? (please tick one answer per row) I would use the Planning Portal website for gathering information about planning application I would use an online planning application service Interacting with the Planning Portal over the Internet is something I would do I would feel uneasy if I used the online planning application forms The way I prefer to develop supporting documentation for a planning application means it is easier to apply online Working in a way that reduces my environmental impact is important to me I believe the Planning Portal can be trusted to carry out online transactions	Agree strongly 1	2	Neither agree nor disagree	Disag	trongly isagree 5
12. Based on the information above, and any experience you may have of the Planning Portal, please indicate to what extent you agree with these statements? (please tick one answer per row) I would use the Planning Portal website for gathering information about planning application I would use an online planning application service Interacting with the Planning Portal over the Internet is something I would do I would feel uneasy if I used the online planning application forms The way I prefer to develop supporting documentation for a planning application means it is easier to apply online Working in a way that reduces my environmental impact is important to me I believe the Planning Portal can be trusted to carry out online transactions faithfully Working in a way that reduces my environmental impact is important to my	Agree strongly 1	2	Neither agree nor disagree	Disag	trongly isagree 5
12. Based on the information above, and any experience you may have of the Planning Portal, please indicate to what extent you agree with these statements? (please tick one answer per row) I would use the Planning Portal website for gathering information about planning application I would use an online planning application service Interacting with the Planning Portal over the Internet is something I would do I would feel uneasy if I used the online planning application forms The way I prefer to develop supporting documentation for a planning application means it is easier to apply online Working in a way that reduces my environmental impact is important to me I believe the Planning Portal can be trusted to carry out online transactions faithfully Working in a way that reduces my environmental impact is important to my organisation	Agree strongly 1	2	Neither agree nor disagree 3	Disag	trongly lisagree 5
12. Based on the information above, and any experience you may have of the Planning Portal, please indicate to what extent you agree with these statements? (please tick one answer per row) It would use the Planning Portal website for gathering information about planning application application would use an online planning application service. Interacting with the Planning Portal over the Internet is something I would do a would feel uneasy if I used the online planning application forms. The way I prefer to develop supporting documentation for a planning application means it is easier to apply online. Working in a way that reduces my environmental impact is important to me are it believe the Planning Portal can be trusted to carry out online transactions faithfully. Working in a way that reduces my environmental impact is important to my organisation. I have the resources necessary to use online planning facilities.	Agree strongly 1	2	Neither agree nor disagree 3	Disag	trongly lisagree 5
12. Based on the information above, and any experience you may have of the Planning Portal, please indicate to what extent you agree with these statements? (please tick one answer per row) I would use the Planning Portal website for gathering information about planning application I would use an online planning application service Interacting with the Planning Portal over the Internet is something I would do I would feel uneasy if I used the online planning application forms The way I prefer to develop supporting documentation for a planning application means it is easier to apply online Working in a way that reduces my environmental impact is important to me I believe the Planning Portal can be trusted to carry out online transactions faithfully Working in a way that reduces my environmental impact is important to my organisation I have the resources necessary to use online planning facilities People who use the Internet to gather information from the Planning Portal have more prestige than those who do not. The complexity of planning applications means that it is easier to apply on paper	Agree strongly 1	2	Neither agree nor disagree 3	Disage S d	trongly isagree 5
12. Based on the information above, and any experience you may have of the Planning Portal, please indicate to what extent you agree with these statements? (please tick one answer per row) I would use the Planning Portal website for gathering information about planning application I would use an online planning application service Interacting with the Planning Portal over the Internet is something I would do I would feel uneasy if I used the online planning application forms The way I prefer to develop supporting documentation for a planning application means it is easier to apply online	Agree strongly 1	2	Neither agree nor disagree 3	Disage S d	trongly lisagree 5

Section 3 – Attitudes using the Internet for planning information		8 6	ications		
Q12 cont'd: Based on the information above, and any experience you may have of the Planning Portal, please indicate to what extent you agree with these statements? (please tick)	Agree strongly		Neither agree nor disagree		ree rongly isagree
	1	2	3	4	5
The decision whether to use the online planning application forms is entirely within my control					
The need to include multiple documents with a planning application means it is complex to apply online					
I believe online planning is a more environmentally friendly method of planning application than paper applications					
Using the Internet would give me greater control over my interaction with the planning process					
I personally know other people who have submitted planning applications online					
The complexity of planning applications means that it is not appropriate to apply online					
I understand what information is required by the questions on the planning application form					
I think the Planning Portal information pages would provide a valuable service for me					
In general the Internet is now a robust and safe environment in which to transact with the planning process					
I believe the Local Authority receiving my application can be trusted to carry out online transactions faithfully					
I feel that the production of plans and drawings to support a planning application is as a much an art as a technical skill					
I think I can trust the Planning Portal in gathering information					
The Internet has enough safeguards to make me feel comfortable using it to interact with the planning process					
I think online planning application forms would provide a valuable service for me					
Interacting with the planning process via the Internet would fit into my lifestyle					
I believe the Local Authority receiving my application will handle and manage online applications electronically					
The online planning application forms would enable me to complete applications more quickly					
Interacting with the Planning Portal enhances a person's social status.					
I would be able to use online planning applications even if there was no-one around to help me					
I have the knowledge necessary to use online planning facilities					
I believe the Local Authority receiving my application will assess online applications electronically					
I do not think it is safe to use online planning application because of the privacy and security concerns					
The creative element in creating plans and drawings to support a planning application is important to me					
Using the Internet would enhance my efficiency in gathering information about planning					
I prefer to create hand-produced plans and drawings to support a planning application rather than use a computer					
I frequently deal with unusual or non-routine planning applications					

and your organisation.	gate whether there is any difference in uptake of online planning services between and between groups of the population. To do this, we need to know a little about you by of these questions, please ignore them.
13. What is your organisation's	role within the planning process? (please tick all that apply)
Surveyor	Property developer Other, please specify
Architect	Planning agent
Builder	Planning consultancy
4. What is your personal role wit	thin the planning process? (please tick all that apply)
Surveyor	Property developer Other, please specify
Architect	Planning agent
Builder	Planning consultancy
5. How long have you been invol-	ved professionally in the planning process?
6. How many people are employe	ed in your organisation? (please tick one)
1 🔲	10-19
2-5	20-49
6-9	50-99
7. What is your YEAR of birth?	
Male	Female Transgender sional membership organisation related to your role in the planning process
Male 9. Are you a member of a profess e.g. RICS, RIBA, CIAT, RTP	Female Transgender Sional membership organisation related to your role in the planning process I, ARB etc. If so, which?
Male 9. Are you a member of a profess e.g. RICS, RIBA, CIAT, RTP 9. How many hours per week do	Female
Male 9. Are you a member of a profess e.g. RICS, RIBA, CIAT, RTP 10. How many hours per week do	Female
Male 9. Are you a member of a professe.g. RICS, RIBA, CIAT, RTP 9. How many hours per week do None 1-5 hours per week	Female
Male 9. Are you a member of a professe.g. RICS, RIBA, CIAT, RTP 9. How many hours per week do None 1-5 hours per week 6-10 hours per week	Female
Male 9. Are you a member of a profess e.g. RICS, RIBA, CIAT, RTP 9. How many hours per week do None 1-5 hours per week 6-10 hours per week 11-15 hours per week	Female
Male 9. Are you a member of a profess e.g. RICS, RIBA, CIAT, RTP 0. How many hours per week do None 1-5 hours per week 6-10 hours per week 11-15 hours per week	Female
9. Are you a member of a profess e.g. RICS, RIBA, CIAT, RTP 10. How many hours per week do None 1-5 hours per week 6-10 hours per week 11-15 hours per week	Female
9. Are you a member of a professe.g. RICS, RIBA, CIAT, RTP 0. How many hours per week do None 1-5 hours per week 6-10 hours per week 11-15 hours per week	Female
9. Are you a member of a professe.g. RICS, RIBA, CIAT, RTP 0. How many hours per week do None 1-5 hours per week 6-10 hours per week 11-15 hours per week	Female
9. Are you a member of a profess e.g. RICS, RIBA, CIAT, RTP 10. How many hours per week do None 1-5 hours per week 6-10 hours per week 11-15 hours per week	Female
9. Are you a member of a profess e.g. RICS, RIBA, CIAT, RTP 10. How many hours per week do None 1-5 hours per week 6-10 hours per week 11-15 hours per week	Female
9. Are you a member of a profess e.g. RICS, RIBA, CIAT, RTP 20. How many hours per week do None 1-5 hours per week 6-10 hours per week 11-15 hours per week	Female
9. Are you a member of a profess e.g. RICS, RIBA, CIAT, RTP 10. How many hours per week do None 1-5 hours per week 6-10 hours per week 11-15 hours per week	Female
9. Are you a member of a profess e.g. RICS, RIBA, CIAT, RTP 20. How many hours per week do None 1-5 hours per week 6-10 hours per week 11-15 hours per week	Female
19. Are you a member of a profess e.g. RICS, RIBA, CIAT, RTP 20. How many hours per week do None 1-5 hours per week 6-10 hours per week 11-15 hours per week 21. Please feel free to add any furt	Female

Z Comparing means for proposed model factors – main phase professionals survey

The following table shows the output from independent t-tests of the model factors proposed in the final study phase.

Independent Samples Test												
		Levene's Equal Varia	lity of	t-test for Equality of Means								
		Vananoo					t toot for Equality		95% Confidence Interval of the Difference			
		F	Sig.	t	df	Sig. (1- tailed)	Mean Difference	Std. Error Difference	Lower	Upper		
Compatability	Equal variances assumed	1.922	.168	-12.403	151	.000	-1.59518	.12862	-1.84930	-1.34106		
FacilitatingConditions	Equal variances not assumed			-8.216	40.589	.000	-1.38002	.16796	-1.71933	-1.04072		
Image	Equal variances assumed	.351	.554	-2.979	151	.002	46295	.15542	77004	15587		
PerceivedRisk	Equal variances assumed	1.560	.214	-8.842	151	.000	-1.25702	.14216	-1.53791	97614		
PerceivedUsefulness	Equal variances assumed	.046	.830	-13.337	151	.000	-1.54728	.11601	-1.77650	-1.31807		
RelativeAdvantage	Equal variances assumed	.064	.801	-11.900	151	.000	-1.40541	.11810	-1.63875	-1.17207		
TrustInGovernment	Equal variances assumed	.004	.948	-9.988	151	.000	-1.17809	.11795	-1.41113	94504		
TrustInInternet	Equal variances assumed	.355	.552	-9.811	151	.000	-1.17797	.12007	-1.41520	94073		
SelfEfficacy	Equal variances assumed	1.460	.229	-6.106	151	.000	70363	.11524	93132	47594		
ComplexityOfTask	Equal variances assumed	.190	.664	-11.729	151	.000	-1.54248	.13151	-1.80233	-1.28264		
PersonalControl	Equal variances assumed	3.114	.080	-6.154	151	.000	73753	.11984	97431	50076		
EnvironmentalImpact	Equal variances assumed	.161	.689	-7.747	151	.000	-1.25342	.16180	-1.57310	93373		
TrustInLocalAuthority	Equal variances assumed	2.988	.086	-3.888	151	.000	60076	.15453	90608	29544		
Creativity	Equal variances assumed	3.132	.079	2.938	151	.002	.44339	.15091	.14521	.74157		
DocumentMethod	Equal variances assumed	2.353	.127	-13.600	151	.000 (2 - tailed)	-2.24550	.16511	-2.57173	-1.91926		

Table A-16 – Statistical results - independent t-tests for proposed new model factors