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1 Identification of paleo Arctic winter sea ice limits and the marginal ice zone:  
2 optimised biomarker-based reconstructions of late Quaternary Arctic sea ice.

3

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28 **Abstract**

29 Analysis of >100 surface sediments from across the Barents Sea has shown  
30 that the relative abundances of the mono-unsaturated sea ice diatom-derived  
31 biomarker IP<sub>25</sub> and a tri-unsaturated highly branched isoprenoid (HBI) lipid  
32 (HBI III) are characteristic of the overlying surface oceanographic conditions,  
33 most notably, the location of the seasonal sea ice edge. Thus, while IP<sub>25</sub> is  
34 generally limited to locations experiencing seasonal sea ice, with higher  
35 abundances found for locations with longer periods of ice cover, HBI III is  
36 found in sediments from all sampling locations, but is significantly enhanced in  
37 sediments within the vicinity of the retreating sea ice edge or marginal ice  
38 zone (MIZ). The response of HBI III to this well-defined sea ice scenario also  
39 appears to be more selective than that of the more generic phytoplankton  
40 biomarker, brassicasterol. The potential for the combined analysis of IP<sub>25</sub> and  
41 HBI III to provide more detailed assessments of past sea ice conditions than  
42 IP<sub>25</sub> alone has been investigated by quantifying both biomarkers in three  
43 marine downcore records from locations with contrasting modern sea ice  
44 settings. For sediment cores from the western Barents Sea (intermittent  
45 seasonal sea ice) and the northern Norwegian Sea (ice-free), high IP<sub>25</sub> and  
46 low HBI III during the Younger Dryas (ca. 12.9–11.9 cal. kyr BP) is consistent  
47 with extensive sea cover, with relatively short periods of ice-free conditions  
48 resulting from late summer retreat. Towards the end of the YD (ca. 11.9–11.5  
49 cal. kyr BP), a general amelioration of conditions resulted in a near winter  
50 maximum ice edge scenario for both locations, although this was somewhat  
51 variable, and the eventual transition to predominantly ice-free conditions was  
52 later for the western Barents Sea site (ca. 9.9 cal. kyr BP) compared to NW

53 Norway (ca. 11.5 cal. kyr BP). For both locations, coeval elevated HBI III (but  
54 absent IP<sub>25</sub>) potentially provides further evidence for increased Atlantic Water  
55 inflow during the early Holocene, but this interpretation requires further  
56 investigation. In contrast, IP<sub>25</sub> and HBI III data obtained from a core from the  
57 northern Barents Sea demonstrate that seasonal sea ice prevailed throughout  
58 the Holocene, but with a gradual shift from winter ice edge conditions during  
59 the early Holocene to more sustained ice cover in the Neoglacial; a directional  
60 shift that has undergone a reverse in the last ca. 150 yr according to  
61 observational records. Our combined surface and downcore datasets suggest  
62 that combined analysis of IP<sub>25</sub> and HBI III can provide information on temporal  
63 variations in the position of the maximum (winter) Arctic sea ice extent,  
64 together with insights into sea ice seasonality by characterisation of the  
65 MIZ. Combining IP<sub>25</sub> with HBI III in the form of the previously proposed PIP<sub>25</sub>  
66 index yields similar outcomes to those obtained using brassicasterol as the  
67 phytoplankton marker. Importantly, however, some problems associated with  
68 use of a variable balance factor employed in the PIP<sub>25</sub> calculation, are  
69 potentially alleviated using HBI III.

## 70 **1. Introduction**

71 Sea ice plays a major role in controlling the energy budget at the Earth's  
72 surface by reflecting a significant part (>90%) of incoming radiation due to the  
73 so-called albedo effect. Sea ice also acts as a physical barrier to heat and gas  
74 exchange between the oceans and the atmosphere, and contributes to ocean  
75 circulation through brine release during formation and freshwater discharge  
76 during melting (e.g. Dickson et al., 2007 and references therein). It also  
77 experiences large seasonal variations and inter-annual variation can also be  
78 significant. Variations in sea ice also influence climate change scenarios  
79 beyond the polar regions through tele-connections (e.g. Wang et al., 2005).  
80 However, despite recognition of the key roles that sea ice plays in global  
81 climate, with recent reductions in extent and thickness attracting considerable  
82 attention (e.g. Stroeve et al., 2012), long term records of sea ice and  
83 variations in its distribution have, until recently, remained relatively scarce,  
84 principally due to a combination of the logistical constraints of working in the  
85 polar regions and a lack of suitable (proxy) methodologies. Observational  
86 records of past sea ice are spatially incomplete and, in any case, rarely  
87 extend beyond a few hundred years (Divine and Dick, 2006), while  
88 reconstructions based on geological archives are particularly challenging since  
89 sea ice leaves no direct legacy signature in marine or terrestrial records;  
90 however, a number of proxy methods have been developed to specifically  
91 address this. Some of these approaches are based on the responses of  
92 pelagic or benthic organisms whose distributions and composition (e.g. stable  
93 isotopes) are influenced by sea ice cover (for an overview, see de Vernal et  
94 al., 2013 and references therein), while others rely on the identification of

95 material entrained within the sea ice itself (i.e. ice-rafted debris (IRD)) which is  
96 deposited in sediments following release from melting ice (Andrews, 2009).  
97  
98 In recent years, the analysis of the biomarker IP<sub>25</sub> (structure I; Fig. 1; Belt et  
99 al., 2007), a C<sub>25</sub> highly branched isoprenoid (HBI) lipid made uniquely by  
100 certain Arctic sea ice-dwelling diatoms (Brown et al., 2014), has been  
101 suggested to provide a more direct measure of past sea ice when detected in  
102 underlying sediments (see Belt and Müller, 2013 for a recent review).  
103 Importantly, IP<sub>25</sub> has been identified in sediments from a large number of  
104 surface sediments from seasonally ice-covered Arctic locations and downcore  
105 records spanning timescales from recent decades (Müller et al., 2011;  
106 Stoyanova et al., 2013; Xiao et al., 2013; Navarro-Rodriguez et al., 2013), the  
107 Holocene (Vareet et al., 2009; Müller et al., 2012) and even longer (Stein and  
108 Fahl, 2013; Knies et al., 2014; Müller and Stein, 2014). A remaining question,  
109 however, concerns the extent to which the analysis of IP<sub>25</sub> can provide more  
110 detailed or quantitative estimates of paleo sea ice. Initially, Massé et al.  
111 (2008) demonstrated that IP<sub>25</sub> abundances in a marine core from the North  
112 Icelandic Shelf exhibited a strong relationship to known sea ice conditions in  
113 observational records and, in general, changes in sedimentary concentrations  
114 of IP<sub>25</sub> are consistent with corresponding variations in sea ice extent (Belt and  
115 Müller, 2013). Absolute abundances of IP<sub>25</sub>, however, vary considerably  
116 between different Arctic regions with otherwise similar sea ice extent and no  
117 strict relationship with sea ice concentration exists. Despite this limitation, the  
118 selectivity of sedimentary IP<sub>25</sub> to seasonally ice-covered locations largely  
119 remains, making its presence a useful qualitative sea ice proxy, at least.

120 Exceptionally, IP<sub>25</sub> has been identified in a small number of sediments from  
121 either ice-free locations or those from near permanent ice cover, although  
122 these are likely explained by sediment advection and (at least) partial ice melt,  
123 respectively (Navarro-Rodriguez et al., 2013; Xiao et al., 2015).

124

125 In order to distinguish between the two extreme scenarios of ice-free  
126 conditions and permanent ice cover, more generally, Müller et al. (2009)  
127 suggested the parallel measurement of pelagic phytoplankton biomarkers that  
128 might be considered indicators of ice-free sea surface conditions. As such, the  
129 absence or low abundance of phytoplankton sterol lipids such as  
130 brassicasterol may serve to indicate permanent sea ice coverage, while  
131 elevated brassicasterol content would suggest predominantly ice-free  
132 conditions. The success of this approach in reconstructing sea ice conditions for  
133 the Fram Strait over the last 30 kyr (Müller et al., 2009) led to the subsequent  
134 development of the so-called PIP<sub>25</sub> index, whereby concentrations of IP<sub>25</sub> and  
135 a phytoplankton biomarker (typically brassicasterol) are combined to provide  
136 semi-quantitative estimates of sea ice concentration (Müller et  
137 al., 2011). However, although relationships between PIP<sub>25</sub> data and sea ice  
138 concentrations are, in general, better than those using IP<sub>25</sub> alone (e.g. Xiao et  
139 al., 2015), this is not always the case (Navarro-Rodriguez et al., 2013) and the  
140 underlying reasons for such improved correlations are not fully resolved, not  
141 least due to the uncertainties in the true inter-relationship between IP<sub>25</sub> and  
142 phytoplankton lipids under different sea ice settings, or the strict pelagic origin  
143 of brassicasterol in all cases (e.g. Fahl and Stein, 2012; Belt et al., 2013; Xiao  
144 et al., 2015).

145

146 An alternative approach may be better focussed on improving our  
147 understanding of different sea ice *conditions* (e.g. seasonal ice, drift ice) rather  
148 than sea ice *concentrations*, especially if biological processes, and signatures  
149 of these, are particularly characteristic of the former. Indeed, establishing  
150 parameters such as the winter/summer ice margins or sea ice seasonality are  
151 especially important since they are used as boundary parameters in climate  
152 forecasting and hindcasting models. In this respect, PIP<sub>25</sub> data have also  
153 been interpreted in terms of categorisation of sea ice conditions (Müller et al.,  
154 2011) although further caveats also exist. Amongst its identified limitations  
155 (see Belt and Müller (2013) for a comprehensive review), the variable sources  
156 of brassicasterol and the potential lack of sensitivity of its production to  
157 individual environmental settings make reconstruction of different sea ice  
158 conditions rather challenging, for certain regions at least. For example,  
159 brassicasterol may have influences from pelagic, sea ice, freshwater and  
160 terrestrial input (Huang and Meinschein, 1976; Volkman, 1986; Fahl and Stein,  
161 2012; Belt et al., 2013; Xiao et al., 2015), while abundances in Barents Sea  
162 surface sediments were not substantially different between seasonally ice-  
163 covered and year-round ice-free locations (Navarro-Rodriguez et al., 2013). A  
164 further issue, when using phytoplankton sterols as indicators of the open-  
165 water setting, concerns the so-called balance factor (*c*) used in the PIP<sub>25</sub>  
166 calculation, and employed to accommodate the (generally) substantially  
167 higher sedimentary concentrations of sterols compared to IP<sub>25</sub>. In particular,  
168 since the magnitude of *c* is dependent on both the number and nature of the  
169 samples from which it is derived, outcomes from surface calibrations and

170 downcore records may be modified dramatically, for example, simply on the  
171 basis of which sedimentary sections are being analysed. Recently, Xiao et al  
172 (2015) suggested that a global  $c$  factor might be more useful in this respect;  
173 however, the occurrence of significant regional differences emphasizes that  
174 selection of the most appropriate value remains problematic.

175

176 A related strategy conceivably involves the analysis of a different lipid  
177 biomarker that, like  $IP_{25}$ , has a well-defined or constrained source, whose  
178 production is more closely aligned with certain pelagic (or sea ice) conditions,  
179 and has sedimentary concentrations closer to those of  $IP_{25}$ , thus potentially  
180 removing the need to employ a balance factor when calculating  $PIP_{25}$  indices.

181 In the current study, we apply this approach using a further  $C_{25}$  HBI  
182 lipid, which, like  $IP_{25}$ , is believed to be biosynthesised by a relatively small  
183 number of marine diatom genera yet, in contrast to  $IP_{25}$ , does not appear to  
184 be biosynthesised by sea ice diatoms or other sources. This tri-unsaturated  
185 HBI (HBI III; Fig. 1) is found commonly in marine sediments from temperate  
186 settings worldwide (Belt et al., 2000) and also in Antarctic phytoplankton and  
187 sediments (Massé et al., 2011). Indeed, HBI III has been further hypothesised  
188 to represent a potential proxy for the pelagic environment adjacent to  
189 retreating sea ice or the marginal ice zone (MIZ) in the Antarctic (Collins et al.,  
190 2013). Such a hypothesis was based on the similarities in temporal profiles  
191 within Scotia Sea sediments, of HBI III and a di-unsaturated HBI (HBI II; Fig.  
192 1), considered to be a sea ice proxy in the Antarctic (Massé et al., 2011;  
193 Collins et al., 2013). However, the analyses of surface sediments or

194 phytoplankton from such locations to support this hypothesis further have not,  
195 as yet, been presented.

196

197 Here, we analysed biomarker lipids (IP<sub>25</sub>, HBI III and brassicasterol) in surface  
198 and downcore sediment material from locations across the Barents Sea, in  
199 part, because the region has a reasonably well-defined annual sea ice  
200 advance/retreat cycle, and also since complementary observational and proxy  
201 data were available. In addition, Vare et al. (2010) demonstrated that  
202 abundances of IP<sub>25</sub> in dated short cores from the region aligned well with  
203 observational sea ice records covering the last few hundred years.

204 Comparison of our findings from surface sediments with those of downcore  
205 records suggests that combined analysis of IP<sub>25</sub> and HBI III can be used  
206 to characterise the maximum (winter) sea ice extent and MIZ, in particular, thus  
207 providing more detailed information regarding paleo sea ice conditions than  
208 through analysis of IP<sub>25</sub> (or PIP<sub>25</sub>) alone.

209

## 210 **2. Regional setting**

211 The Barents Sea is a relatively shallow (mean depth 230 m) epicontinental  
212 shelf between the north Norwegian coast and the Svalbard archipelago that  
213 plays a crucial role in the Arctic climate system, largely, since it contributes to  
214 significant heat exchange between the ocean and the atmosphere (Serreze et  
215 al., 2007). Detailed descriptions of the main surface currents in the Barents  
216 Sea (and the adjacent northern Norwegian Sea) can be found elsewhere  
217 (Loeng, 1991) and a summary is shown in Fig. 2a. In brief, the North Atlantic  
218 Current (NAC) delivers relatively warm salty Atlantic water (>2°C; >35‰;

219 Hopkins, 1991) into the northern North Atlantic (Swift, 1986) before dividing  
220 into the West Spitsbergen Current (WSC) and the North Cape Current  
221 (NCaC) which provide inflow to the Arctic Ocean and the Barents Sea,  
222 respectively, with a further branch of the NCaC flowing parallel with the coastal  
223 current system (Loeng, 1991). In contrast, colder and less saline Polar water  
224 ( $0-2^{\circ}\text{C}$ ;  $33-34.4\text{‰}$ ; Hopkins, 1991) is brought into the Atlantic Ocean from the  
225 Arctic Ocean by the East Greenland Current (EGC) and into the Barents Sea  
226 by the East Spitsbergen Current (ESC) and Bear Island Current (BIC). Polar  
227 and Atlantic water meet in the Barents Sea to form Arctic water (ca.  $0.5^{\circ}\text{C}$ ; ca.  
228  $34.8\text{‰}$ ; Hopkins, 1991), which is characterized by reduced temperature and  
229 salinity, as well as the occurrence of seasonal sea ice (Hopkins, 1991). Warm  
230 and fresh coastal water ( $2-13^{\circ}\text{C}$ ,  $32-35\text{‰}$ ; Hopkins, 1991) is found on the  
231 shelves and off the coast of Norway and is transported northwards by the  
232 Norwegian Coastal Current (NCC) into the South-West Barents Sea and  
233 along the Norwegian and Russian coastline (Aure and Strand, 2001).

234

235 Of particular significance to this region, the boundaries between Polar/Arctic  
236 and Arctic/Atlantic waters correspond to the Polar Front and Arctic Front,  
237 respectively, both of which represent a sharp climatic gradient in terms of  
238 temperature, salinity and sea ice coverage (Hopkins, 1991). The overall  
239 extent of sea ice distribution in the northern North Atlantic and the Barents  
240 Sea, therefore, is closely related to the positions of the Polar and Arctic  
241 Fronts, which represent the average summer and winter sea ice margins,  
242 respectively (Vinje, 1977). Consequently, sea ice is formed during autumn  
243 and winter in the north-eastern Barents Sea (Loeng, 1991), while the southern

244 Barents Sea is characterized by large seasonal and inter-annual sea ice  
245 distribution changes, largely due to the strong (and variable) influence of  
246 inflowing Atlantic Water (AW) (Kvingedal, 2005). Such changes in sea ice can  
247 be readily seen by the locations of the maximum, minimum and median April  
248 sea ice extent for the period 1980-2015 derived from satellite data (NSIDC;  
249 Fig. 3). A significant contribution to the annual primary production in the  
250 Barents Sea results from a peak algal bloom during the spring as ice retreats  
251 along the ice edge or MIZ (Sakshaug et al., 2009).

252

### 253 **3. Material and methods**

#### 254 *3.1. Surface sediment material*

255 Surface sediment samples were collected and analysed from a broad range of  
256 locations within the Barents Sea (Fig. 2b) using box cores, multicores and  
257 gravity cores. The majority of the surface sediment samples (0–1 cm) have  
258 been described elsewhere (Navarro-Rodriguez et al., 2013) and these have  
259 been supplemented for the current study with additional samples from the  
260 MAREANO program (Knies and Martinez, 2009) and further material collected  
261 on-board the *James Clark Ross* (UK) and the *Polarstern* (Germany) research  
262 vessels during oceanographic cruises JR142 and ARK-VIII/2 in 2006 and  
263 1991, respectively. A summary of all core locations and biomarker data can  
264 be found in Supplementary Table 1.

265

#### 266 *3.2. Downcore sediment material*

267 Descriptions of the marine sediment cores analysed for the temporal part of  
268 this study (including core chronologies) can also be found in detail

269 elsewhere. Briefly, core JM99-1200 was collected from the Andfjorden,  
270 northern Norway (69.16° N, 16.25° E) and is described in Ebbesen and Hald  
271 (2004), Knies (2005) and Cabedo-Sanz et al. (2013). Core NP05-11-70GC  
272 was retrieved from the Olga Basin, northern Barents Sea (78.40° N, 32.42° E)  
273 and has been described previously by Berben (2014). Finally, core JM09-  
274 KA11-GC was collected from the Kveithola Trough, western Barents Sea  
275 (74.87° N; 16.48° E), and details can be found in R  ther et al. (2012) and  
276 Berben et al., (2014). The age model for JM09-KA11-GC used in Berben et al.  
277 (2014) has been supplemented using a further <sup>14</sup>C date (ca. 13.12 cal. kyr BP;  
278 R  ther et al., 2012) in order for us to be able to extend the biomarker record  
279 to cover the Younger Dryas (YD). Hereafter, cores JM99-1200, NP05-11-  
280 70GC and JM09-KA11-GC are referred to as 1200, 70 and 11, respectively.

281

### 282 3.3. Biomarker analyses

283 Details of the extraction and analysis of HBI and sterol lipids described herein  
284 can be found elsewhere (Belt et al., 2012, 2013). Briefly, ca. 1–5 g of freeze  
285 dried sediment material was extracted (dichloromethane/methanol; 3 x 3 mL;  
286 2:1 v/v) by ultrasonication following addition of internal standards (9-  
287 octylheptadec-8-ene (10 µL; 1 µg mL<sup>-1</sup>) and 5α-androstan-3β-ol (10 µL; 1 µg  
288 mL<sup>-1</sup>) for the quantification of HBI lipids and brassicasterol, respectively.

289 Where necessary, elemental sulfur was removed from the resulting total  
290 organic extracts (TOEs) (Cabedo-Sanz and Belt, 2015) and these partially  
291 purified TOEs were then separated into fractions containing HBIs and sterols  
292 as described previously (e.g. Belt et al., 2012). Fractions containing  
293 brassicasterol were derivatized using N,O-Bis(trimethylsilyl)trifluoroacetamide

294 (BSTFA, 50  $\mu$ L, 70  $^{\circ}$ C; 1h). All fractions were analysed using gas  
295 chromatography–mass spectrometry (GC–MS) with operating conditions as  
296 described by Belt et al. (2012). Identification of individual lipids was based on  
297 their characteristic GC retention times and mass spectra compared with those  
298 of reference compounds, while quantification was achieved by comparison of  
299 peak area integrations of selected ions ( $m/z$  350 (IP<sub>25</sub>); 346 (HBI III); 470  
300 (brassicasterol)) with those of the internal standard in selected ion monitoring  
301 (SIM) mode (Belt et al., 2012). These ratios were normalized to instrumental  
302 response factors obtained for individual lipids and sediment mass (Belt et al.,  
303 2012). Our data comprise some previously reported concentrations of IP<sub>25</sub> and  
304 brassicasterol in surface sediments from the Barents Sea (Navarro-Rodriguez  
305 et al., 2013) and these have been supplemented by some new data obtained  
306 as part of the current study. All of the HBI III concentration data are new to  
307 this study. We have also confined our dataset to those locations for which we  
308 have IP<sub>25</sub> and HBI III concentrations data, at least, and all three biomarkers  
309 for the majority of locations. Exceptionally, brassicasterol was not measured  
310 in a small number of surface sediments from NW Norway. PIP<sub>25</sub> values were  
311 calculated using the formula  $PIP_{25} = IP_{25}/(IP_{25}+cP)$ , with individual terms as  
312 described by Müller et al. (2011). Two-tailed t-tests were performed and  
313 interpreted (95% confidence limits) for statistical analyses.

314

### 315 3.4. Sea ice data

316 In order to place our biomarker data into a spatial and recent temporal sea ice  
317 context, we obtained estimates of sea ice extent using polyline shapefiles  
318 derived from satellite data collected for the period 1981-2010 (NSIDC). From

319 these, we identified the individual years of (overall) maximum and minimum  
320 extent for April (winter maximum), and the median position of the maximum  
321 (April) and minimum (September) ice edge. This interval is suitable for  
322 contextualising surface (typically 0–1 cm) sediment data since accumulation  
323 rates in the region are generally of the order of 1 cm yr<sup>-1</sup> (Maitiet al., 2010;  
324 Vare et al., 2010).

325

## 326 **4. Results and discussion**

327

### 328 *4.1. Biomarkers in surface sediments – characterisation of the winter ice edge* 329 *and the MIZ*

330

331 In total, 102 surface sediment samples were analysed for IP<sub>25</sub> and HBI III. Of  
332 these, 75 were also analysed for brassicasterol. Consistent with previous  
333 findings, the sea ice biomarker IP<sub>25</sub> was identified in 44 out of 45 (98%)  
334 extracts obtained from seasonally ice-covered locations (Fig. 3a).

335 Exceptionally, IP<sub>25</sub> was also identified in a few (7 out of 57; 13%) sediments  
336 from locations south of the maximum winter sea ice extent and this has been  
337 attributed, previously, to some likely allochthonous input or sediment  
338 advection from locations further up the slope (Navarro-Rodriguez et al., 2013)  
339 rather than local (autochthonous) production. In addition, the mean IP<sub>25</sub>  
340 concentration for locations further north of the median April sea ice edge, with  
341 ice also persisting past June (5.5±3.3 ng g<sup>-1</sup>; n=22), was significantly higher  
342 (p=0.01) than for locations proximal to the winter sea ice edge (3.1±2.5 ng g<sup>-1</sup>;  
343 n=23). We interpret these findings as indicating enhanced IP<sub>25</sub> production

344 (and subsequent deposition) for areas experiencing longer seasonal sea ice  
345 cover, with melt only occurring during late summer, while lower sedimentary  
346 IP<sub>25</sub> abundances are found for locations that do not always experience sea ice  
347 cover on an annual basis and where spring-summer ice retreat occurs earlier  
348 (e.g. May–June). Consistent with this difference, IP<sub>25</sub> is normally absent (or  
349 below the limit of detection) for the majority of locations beyond the maximum  
350 winter sea ice margin.

351

352 Some quite different trends are apparent from the HBI III data, however. For  
353 example, in contrast to IP<sub>25</sub>, HBI III was present in virtually all (101 out of 102;  
354 99%) of the sediment extracts, consistent with a pelagic phytoplankton origin  
355 for this biomarker rather than sea ice diatoms. Indeed, as far as we are  
356 aware, HBI III has, to date, not been identified in Arctic sea ice. Concentrations  
357 of HBI III were relatively low for regions that experience annual and extensive  
358 sea ice cover (mean  $0.40 \pm 0.38 \text{ ng g}^{-1}$ ; Fig 3b), which contrasts the enhanced  
359 IP<sub>25</sub> abundances for the same locations (Fig. 3a), likely as a consequence of  
360 shorter (and cooler) summer seasons with lower phytoplankton productivity  
361 (Sakshaug et al., 2009). A somewhat higher mean HBI III concentration  
362 ( $1.7 \pm 1.6 \text{ ng g}^{-1}$ ;  $n=57$ ) was found for ice-free locations in the southern (and  
363 warmer) region of sampling consistent with increased productivity in this region  
364 (Sakshaug et al., 2009). When compared against both of these two regions,  
365 however, a significantly higher ( $p < 0.001$ ) mean HBI III concentration ( $13.0 \pm 8.3$   
366  $\text{ng g}^{-1}$ ;  $n=23$ ) was observed for locations bordered by the minimum (2006) and  
367 maximum (1981) April ice margins (Fig. 3b). The enhancement of HBI III in  
368 this region, especially relative to locations further north, represents a clear

369 reversal intrend compared to  $IP_{25}$ , and suggests increased production during  
370 late spring/early summer, which is reduced for locations with longer lasting  
371 sea ice cover. However, it is also evident that the mean HBI III concentration  
372 for this region of retreating ice edge is substantially (ca. 7–8 times) higher  
373 than for the annually ice-free locations, and is thus indicative of the well-  
374 known enhanced phytoplankton production within the MIZ as sea ice retreats  
375 during late spring (April-May) and into early summer (June) (Sakshauget al.,  
376 2009).

377

378 In order to assess whether the trends observed for HBI III could be identified  
379 through other pelagic productivity indicators, we considered the distribution  
380 pattern for the phytoplankton marker brassicasterol (Fig. 3c). In accord with  
381 the trends identified for HBI III, the mean brassicasterol concentration was  
382 lowest for the region with most persistent sea ice cover ( $375 \pm 177 \text{ ng g}^{-1}$ ;  
383  $n=22$ ), slightly higher for ice-free settings ( $695 \pm 1200 \text{ ng g}^{-1}$ ;  $n=33$ ), and  
384 highest for locations within the MIZ ( $1470 \pm 1200 \text{ ng g}^{-1}$ ;  $n=20$ ). However, the  
385 relative changes between the three regions were clearly greater for HBI III  
386 than for brassicasterol. Most noticeably, the mean enhancement of HBI III  
387 between the MIZ and the region with more extended seasonal ice cover  
388 ( $\times 32.5$ ) was more than eight times that of brassicasterol ( $\times 3.9$ ),  
389 probably because the latter is a common component in marine phytoplankton  
390 and its distribution pattern reflects productivity spanning all growth seasons,  
391 while the former is likely biosynthesised by a much smaller number of  
392 sources, but whose growth is especially favoured by, or at least more tolerant  
393 to, the nutrient-rich and stratified upper water column found at the ice-edge.

394 The differences in distribution of brassicasterol between regions may be  
395 further complicated or blurred by production of this sterol in certain sea ice  
396 diatoms (e.g. Belt et al., 2013) and other sources (Volkman, 1986), especially  
397 for locations that may receive contributions from terrestrial sources (Huang  
398 and Meinschein, 1976; Volkman, 1986; Fahl and Stein, 2012; Xiao et al.,  
399 2015). In contrast, although the exact sources of HBI III in the study region  
400 have not been firmly identified, the only known producers of this biomarker are  
401 marine diatoms within the genera *Pleurosigma* (Belt et al., 2000) and  
402 *Rhizosolenia* (Rowland et al., 2001). Further, when measured in Arctic marine  
403 sediments, HBI III has a stable isotopic composition ( $\delta^{13}\text{C}$  ca. -35 to -40 ‰;  
404 Belt et al., 2008) consistent with a polar phytoplanktic origin (Massé et al.,  
405 2011) where cold and  $\text{CO}_2$ -enriched waters can result in highly depleted  $^{13}\text{C}$   
406 composition (Tolosa et al., 2013).

407

408 In summary, our surface sediment data reinforce the view that the biomarker  
409  $\text{IP}_{25}$  has a highly selective sea ice diatom origin, with sedimentary  
410 abundances enhanced for regions experiencing more frequent and longer-  
411 lasting spring sea ice cover. In contrast, HBI III is common to all seasonally  
412 ice-free regions, but is especially enhanced in sediments for locations that  
413 reflect the retreating ice edge or MIZ during late spring-summer. Such  
414 observations are likely driven by the individual diatom genera responsible for  
415 the biosynthesis of  $\text{IP}_{25}$  and HBI III, while the distinctive differences in their  
416 stable isotopic composition confirms the contrasting environments in which  
417 they are produced.

418

419 *4.2 Temporal biomarker profiles and identification of sea ice conditions*

420 In order to establish whether the data and outcomes from the surface  
421 sediment analyses could be used to provide more detailed descriptions of sea  
422 ice conditions over longer timescales, we analysed IP<sub>25</sub>, HBI III and  
423 brassicasterol in three well-dated marine sequences from locations with  
424 contrasting modern sea ice cover (*viz.* long-lasting seasonal ice, inter-annual  
425 ice edge, ice-free) and compared outcomes with our surface sediment data  
426 and previous findings.

427

428 *4.2.1. Olga Basin (northern Barents Sea)*

429 Core 70 was retrieved from the Olga Basin in the northern Barents Sea, a  
430 location that, in modern times, experiences annual sea ice cover that forms  
431 during autumn/winter. Ice retreat occurs during the summer such that the site  
432 is normally only ice-free during August and September (Fig. 2b). Our  
433 biomarker record for core 70 covers the last ca. 9.5 cal. kyr BP. IP<sub>25</sub>  
434 concentration (Fig. 4a) is low during the early part of the record and increases  
435 steadily towards recent times, with a core-top value similar to that found in  
436 nearby surface sediments (Navarro-Rodriguez, 2014). An opposite trend is  
437 observed for HBI III (Fig. 4b), however, with highest concentrations occurring  
438 in the early Holocene and a decline towards the recent record, where values  
439 (ca. 1 ng g<sup>-1</sup>) are also within the range found for nearby surface sediments  
440 (ca. 0.1–1.6 ng g<sup>-1</sup>; Fig. 3b). A small decline in the brassicasterol  
441 concentration is also observed (Fig. 4c), but this is not as pronounced as for  
442 HBI III, possibly due to a lower sensitivity to the overlying sea ice conditions  
443 as demonstrated through our surface sediment data.

444

445 Previously, Berben (2014) suggested that these IP<sub>25</sub> and brassicasterol data  
446 indicated seasonal sea ice cover throughout the record, but with shorter  
447 spring sea ice cover and longer (and warmer) summers during the early  
448 Holocene. These conclusions were supported further by the species  
449 distribution, preservation state and isotopic composition of planktic  
450 foraminifera. Berben (2014) also hypothesised that the position of the  
451 winter/spring ice-edge was in the proximity of the core 70 site during the early  
452 Holocene before advancing south and towards the modern sea ice limit after  
453 ca. 6.5 cal. kyr BP. Significantly, therefore, we observe elevated HBI III during  
454 the early Holocene (ca. 9.5–8.5 cal. kyr BP), during which time, the mean  
455 concentration (ca. 11 ng g<sup>-1</sup>) resembles that found for the modern sea ice edge  
456 locations (13.0 ng g<sup>-1</sup>). At the same time, lower (compared to modern) IP<sub>25</sub>  
457 concentrations are also consistent with a modern winter/spring scenario. As  
458 such, the combined IP<sub>25</sub> and HBI III data for core 70 in the early Holocene  
459 reflect sea ice edge conditions normally associated with locations further south  
460 during modern times. Similarly, by consideration of the contrasting responses  
461 between IP<sub>25</sub> and HBI III in surface sediments, together with the reversal in  
462 temporal profiles for IP<sub>25</sub> and HBI III, we confirm a gradual lengthening in  
463 seasonal ice duration over the core location throughout the Holocene (Berben,  
464 2014), with progressively shorter (and cooler) summer seasons.

465

#### 466 4.2.2. Kveithola Trough (western Barents Sea)

467 In contrast to the Olga Basin site, core 11 was obtained from a location in the  
468 western Barents Sea close to the modern maximum winter sea ice extent and

469 thus experiences variable sea ice cover (presence/absence) on an annual  
470 basis and, in any case, for shorter periods (e.g. November–April). Consistent  
471 with such differences, IP<sub>25</sub> concentrations in surface sediments from the  
472 western Barents Sea are much lower than those for the northern Barents Sea  
473 (Fig. 3a). Previously, Berben et al. (2014) reported relatively low abundances  
474 of IP<sub>25</sub> in core 11 throughout the Holocene, although slightly elevated values  
475 were noted for the last ca. 1.0 cal.kyr BP, consistent with late Holocene  
476 increases in spring sea ice extent. However, relatively high IP<sub>25</sub> and  
477 brassicasterol abundances were noted during the interval ca. 10.8–10.3 cal.  
478 kyr BP, while even higher IP<sub>25</sub>(but lower brassicasterol) concentrations were  
479 observed in the earliest part of the record (ca. 11.9–10.8 cal. kyr BP). These  
480 were interpreted as reflecting, respectively, stable MIZ conditions (favourable  
481 for both biomarkers) during the early Holocene, which was preceded by a  
482 period of more extensive sea ice cover during the latter stages of the YD. Our  
483 data here extend those of Berben et al. (2014), with new IP<sub>25</sub> data for the YD  
484 (to ca. 13.0 cal. kyr BP), and HBI III concentrations for the entire record, thus  
485 providing either clarification or further detail to these previous interpretations  
486 (Fig. 5). For example, during the majority of the YD (ca. 13 – 11.9 cal. kyr BP),  
487 IP<sub>25</sub> concentrations are at their highest values throughout the entire record,  
488 after which, a reduction is observed beginning ca. 11.9 cal.kyr BP, before  
489 reaching consistently lower levels ca. 11.3 cal. kyr BP (Fig. 5a). The elevated  
490 IP<sub>25</sub> concentration during the YD is accompanied by extremely low HBI III  
491 abundance which, according to our surface datasets, is indicative  
492 of consistently long seasonal sea ice cover characteristic of the northern  
493 Barents Sea in modern times (Figs. 3a, 3b). In contrast, lower IP<sub>25</sub> and

494 intermittently higher HBI III concentrations can be seen for the period ca.  
495 11.5–9.9 cal. yr BP, signifying stable ice edge or MIZ conditions. However, the  
496 variability in the HBI III abundance, in particular, suggests that such winter ice  
497 edge conditions probably did not prevail throughout the entire interval but  
498 were more intermittent, with relatively frequent short-term changes compared  
499 to the northern Barents Sea (core 70). Indeed, large temperature shifts have  
500 been recorded previously for the western Barents Sea during this  
501 interval, consistent with a high degree of climatic variability (Hald et al., 2007).  
502 Our data suggest, therefore, that the most severe sea ice conditions for this  
503 site only existed during the YD, with reasonably similar-to-modern conditions  
504 reached by the early Holocene, whereupon they remained reasonably  
505 consistent. Thus, for the majority of the Holocene sections after ca. 7.8 cal. yr  
506 BP, IP<sub>25</sub> was either very low in concentration or absent (Fig. 5a), while the  
507 abundance of HBI III (Fig. 5b) was also lower than that observed in the  
508 aforementioned intervals and similar to those seen for surface sediments from  
509 ice-free locations further south (Figs. 3a, 3b), indicating only infrequent sea  
510 ice cover at the core location. Exceptionally, during the early Holocene (ca.  
511 9.9–7.8 cal. kyr BP), absent IP<sub>25</sub> (or below our limit of detection) is  
512 accompanied by relatively high HBI III concentrations, although this is not the  
513 case for brassicasterol (see Section 4.2.5 for a discussion of this observation).  
514 For this core, reduced brassicasterol during the YD followed by elevated  
515 levels ca. 11.5–9.9 cal kyr BP (Fig. 5c) also support the notion of a transition  
516 from long seasonal ice cover to ice-edge conditions; however, there are also  
517 some out-of-phase changes within the brassicasterol and HBI III profiles

518 during this interval, likely further reflecting the reduced selectivity of the  
519 former and input from a range of sources.

520

#### 521 4.2.3. *Andfjorden (northern Norwegian Sea)*

522 Our third case study (core 1200) represents a location in the northern  
523 Norwegian Sea and is thus significantly further south of the modern winter sea  
524 ice edge (Fig. 2). Not surprisingly, therefore, IP<sub>25</sub> is absent in all surface  
525 sediments from nearby locations along the NW Norwegian coast (Fig. 3a).  
526 However, in a previous study, Cabedo-Sanz et al. (2013) demonstrated that  
527 the site was covered by extensive seasonal sea ice during the YD through  
528 identification of elevated IP<sub>25</sub> levels between ca. 12.9–11.9 cal.kyr BP, but  
529 was ice-free (IP<sub>25</sub> absent) throughout the early-mid Holocene (ca. 11.5–6.3  
530 cal. kyr BP). During the termination of the YD (ca. 11.9–11.5 cal.kyr BP),  
531 significantly lower IP<sub>25</sub> abundance compared to the previous millennium  
532 was hypothesised to reflect reduced/more variable sea ice conditions or shorter  
533 seasonal sea ice cover, but this was not investigated further. Here, we show  
534 that, consistent with the conclusions of Cabedo-Sanz et al. (2013) and our  
535 observations for core 11 (western Barents Sea), HBI III concentrations (Fig.  
536 6b) are extremely low throughout the interval of elevated IP<sub>25</sub> abundances  
537 during the YD (ca. 12.9–11.9 cal.kyr BP), indicative of extensive sea ice extent  
538 associated with harsh winters and only short (ice-free) summers. Such  
539 conclusions are also in-line with low SST (Ebbesen and Hald, 2004) and other  
540 biogenic proxy data (Knies, 2005) obtained from the same core. Interestingly,  
541 during the subsequent period, with lower IP<sub>25</sub>, HBI III concentrations increase  
542 markedly, albeit with some fluctuations in absolute values, including a zero

543 value at ca. 11.75 cal. kyr BP, coeval with absent IP<sub>25</sub> and brassicasterol (Fig.  
544 6), and interpreted previously as a short interval of extreme climate with  
545 permanent ice cover (Cabedo-Sanz et al., 2013). In general, however, we  
546 interpret this switch in relative abundances of IP<sub>25</sub> and HBI III to indicate a  
547 transition from extensive sea ice cover, with only short intervals of ice-free  
548 cover during summers, from ca. 12.9–11.9 cal. kyr BP, to one of a (variable)  
549 winter ice edge scenario over the core location (and progressive retreat from  
550 this) from 11.9–11.5 cal. kyr BP, similar to what we propose for the western  
551 Barents Sea (core 11). This represents a modification to the interpretation of  
552 PIP<sub>25</sub> data derived from core 1200 described previously (Cabedo-Sanz et al.,  
553 2013), where MIZ conditions were indicated for the majority of the YD (ca.  
554 12.9–11.9 cal. kyr BP). However, PIP<sub>25</sub> values (and the interpretations thereof)  
555 can be subject to considerable variability, especially as a consequence of  
556 changes to the balance factor, whose magnitude can be strongly influenced  
557 by the temporal range of the core intervals being considered (see Introduction,  
558 section 4.2.4 and Belt and Müller, 2013). In addition, the brassicasterol data  
559 for core 1200 do not reveal such clearly contrasting sea ice conditions as IP<sub>25</sub>  
560 and HBI III, with alternating high and low abundances throughout the YD (Fig.  
561 6c), likely reflecting the variable sources of this biomarker. Finally, and again  
562 consistent with observations made for 11, there is a period (ca. 11.5–9.2 cal.  
563 kyr BP) following the disappearance of IP<sub>25</sub> from the record where HBI III  
564 concentrations are relatively high, but this is not evident in the brassicasterol  
565 profile (Fig. 6). The same combination of absent IP<sub>25</sub>/high HBI III is also  
566 evident between ca. 14.0–12.9 cal. kyr BP.

567

568 In summary, for each of cores 70, 11 and 1200, and for intervals where there  
569 is proxy evidence for past seasonal sea ice occurrence (i.e. IP<sub>25</sub> present), the  
570 relative abundances and directional changes of IP<sub>25</sub> and HBI III generally  
571 oppose each other, suggesting that the observations made for these  
572 biomarkers from surface sediments underlying contrasting seasonal sea ice  
573 extent in the Barents Sea are replicated in downcore records. In contrast, less  
574 consistent trends are observed between IP<sub>25</sub> and brassicasterol profiles,  
575 probably as a result of the lower sensitivity of the latter to the overlying sea ice  
576 conditions, together with likely input from other (e.g. terrestrial) sources.

577

#### 578 4.2.4. Comparison of PIP<sub>25</sub> indices using brassicasterol and HBI III as 579 phytoplankton lipids

580

581 In addition to establishing (and interpreting) the individual biomarker profiles,  
582 we also calculated PIP<sub>25</sub> indices for each of our downcore records using  
583 brassicasterol and HBI III as the phytoplankton components (hereafter  
584 referred to as P<sub>B</sub>IP<sub>25</sub> and P<sub>III</sub>IP<sub>25</sub>, respectively). In doing so, we chose to focus  
585 on the consistency (or otherwise) in outcomes using each biomarker and,  
586 more specifically, the impact of the balance factor  $c$ . Thus, for each of cores  
587 70, 11 and 1200, PIP<sub>25</sub> data were calculated using the method of Müller et al.  
588 (2011), whereby mean sedimentary concentrations of IP<sub>25</sub> and the respective  
589 phytoplankton biomarker were used to determine core-specific  $c$  values, and  
590 complementary datasets, without using this term (i.e.  $c=1$ ).

591

592 In all cases, application of the former approach yields similar outcomes when  
593 using either brassicasterol or HBI III as the phytoplankton marker with, for  
594 example, highest  $PIP_{25}$  values during the YD in cores 11 and 1200 (Fig. 5,6),  
595 consistent with the interval of most extensive sea ice cover, and increasing  
596  $PIP_{25}$  values through the Holocene in core 70 (Fig. 4); such observations align  
597 well with the conclusions based on the individual biomarker profiles. A quite  
598 different picture emerges when  $PIP_{25}$  data are calculated for  $c=1$ , however. In  
599 particular, a dramatic shift (reduction) in  $P_{BIP_{25}}$  values is evident for all three  
600 cores (Fig. 4-6), yet there are only very minor changes to the  $P_{IIIIP_{25}}$  data. The  
601 impact of such substantial ( $c$ -influenced) changes in  $P_{BIP_{25}}$  values, and  
602 therefore in their interpretation, is illustrated particularly well in the case of  
603 core 70, where core-top  $P_{BIP_{25}}$  values range from ca. 0.9 using the derived  $c$   
604 factor, to  $<0.1$  for  $c=1$  (Fig. 4), while the corresponding  $P_{IIIIP_{25}}$  values are both  
605 ca. 0.9, and also consistent with modern conditions (i.e. extensive sea ice  
606 cover) according to the  $PIP_{25}$  categorisations of Müller et al. (2011). Of  
607 course, calculation of  $P_{BIP_{25}}$  using  $c=1$  may be considered a somewhat  
608 unrealistic scenario, especially if brassicasterol concentrations are always  
609 substantially higher than those of  $IP_{25}$ . In practice, however, this is not always  
610 true and, in some sediments,  $IP_{25}$  concentrations even exceed those of  
611 brassicasterol (e.g. Belt et al., 2013). In any case, these examples illustrate  
612 the impact that variable  $c$  can have on derived  $PIP_{25}$  data. In contrast, on the  
613 basis of the data presented here, use of HBI III for  $PIP_{25}$ -based sea ice  
614 estimates provides the same general outcomes to those obtained from some  
615 sterol-based values, but without the complications associated with a variable  $c$   
616 factor. Determining the extent to which such an improvement on previous

617 approaches is more generally applicable, however, will require analysis of  
618 downcore records from other regions and surface sediment-based  
619 calibrations.

620

#### 621 *4.2.5. Early Holocene anomalies – enhanced Atlantic Water inflow?*

622

623 For cores 11 and 1200, there are intervals during the early Holocene for which  
624 IP<sub>25</sub> is absent, but where levels of HBI III are relatively high, before declining  
625 and remaining low for the remainder of the records. Specifically, elevated HBI  
626 III (but absent IP<sub>25</sub>) occurred ca. 9.9–8.0 cal. kyr BP and ca. 11.2–9.3 cal. kyr  
627 BP in cores 11 and 1200, respectively (Figs. 5, 6). This combination of IP<sub>25</sub>  
628 and HBI III does not occur for the northern Barents Sea site (core 70), since  
629 IP<sub>25</sub> is present throughout the record (Fig. 4a). Of course, the occurrence of  
630 HBI III (but not IP<sub>25</sub>) is not unexpected given the ubiquity of this biomarker in  
631 surface sediments from across the study region, but the elevated abundances  
632 compared to modern values represents something of an anomaly and  
633 requires an attempt at explanation. At this stage, since the exact sources (and  
634 depth habitats) of HBI III are not known, it is not possible to conclude with  
635 certainty whether its occurrence reflects near-surface or sub-surface  
636 conditions, especially as the likely diatom sources inhabit a dynamic range  
637 across the photic zone. Potentially, therefore, enhanced HBI III during the  
638 early Holocene could be explained by increased surface layer productivity  
639 during the Holocene Thermal Maximum (HTM). However, since the HTM for  
640 the Nordic/Barents Seas is believed to have occurred ca. 9.0–6.0 kyr BP  
641 (summarised by Risebrobakken et al., 2011), this explanation seems unlikely.

642 Alternatively, increased HBI III levels may better reflect the consequences of  
643 increased Atlantic Water inflow (with associated enhanced productivity) to the  
644 northern Norwegian Sea and Barents Sea, established as occurring ca.  
645  $10.0 \pm 1.0$  kyr BP (Risebrobakken et al., 2011). We note that elevated HBI III  
646 concentrations (but absent  $IP_{25}$ ) also occur in core 1200 during the Allerød  
647 (ca. 13.8–12.9 cal. kyr BP; Fig. 6). Previously, Cabedo-Sanz et al. (2013)  
648 interpreted absent  $IP_{25}$  during this interval as indicative of ice-free conditions  
649 at this time, although an alternative explanation involving glacial re-advance  
650 could not be discounted. Our new HBI III data are not at all consistent with  
651 this latter hypothesis, however, so we conclude that ice-free conditions must  
652 have prevailed during this warm interval, with environmental conditions  
653 probably similar to those from ca. 11.5–9.2 cal. kyr BP.

654

655 Determination of the sources (and major environmental habitats) of HBI III is  
656 clearly important, therefore, before elevated abundances of this biomarker  
657 can be interpreted fully, but we suggest that quantification of this biomarker  
658 has the potential to add to the existing proxies used to probe climatic and  
659 oceanographic shifts in the Norwegian and Barents Seas, especially when  
660 measured alongside the sea ice biomarker proxy  $IP_{25}$ .

661

## 662 **5. Conclusions**

663 Analysis of >100 surface sediments from diverse regions across the Barents  
664 Sea has shown that the relative abundances of the diatom-derived biomarkers  
665  $IP_{25}$  and HBI III are strongly dependent on the overlying oceanographic  
666 conditions, with the position of the seasonal sea ice edge playing a major role.

667 These observations are consistent with production of these biomarkers from  
668 source-specific diatoms, whose habitats are strongly dependent on the  
669 occurrence of seasonal sea ice. Thus, IP<sub>25</sub> appears to be produced,  
670 selectively, by a small number of Arctic sea ice diatom species, while HBI III is  
671 made by other diatom species, whose habitat preference appears to be  
672 adjacent to the retreating sea ice edge. The potential for the combined  
673 analysis of IP<sub>25</sub> and HBI III to provide more detailed assessments of past sea  
674 ice conditions has been tested by their quantification in three downcore  
675 records representing contrasting modern settings. The outcomes are not only  
676 consistent with previous general findings, but have allowed more detailed  
677 descriptions of sea conditions to be deciphered. Thus, for cores 11 and 1200,  
678 high IP<sub>25</sub> and low HBI III during the YD are consistent with extensive sea  
679 cover, with relatively short periods of ice-free conditions resulting from late  
680 summer retreat. Towards the end of the YD (ca. 11.9 cal. kyr BP), a general  
681 amelioration of conditions resulted in a near winter maximum ice edge  
682 scenario, although this was somewhat variable and the eventual transition to  
683 predominantly ice-free conditions was later for the western Barents Sea site  
684 (core 11; ca. 9.9 cal. kyr BP) compared to NW Norway (core 1200; ca. 11.5  
685 cal. kyr BP), likely as a result of its more northerly location. In contrast, the  
686 northern Barents Sea site (core 70) was characterised by seasonal sea ice  
687 cover throughout the Holocene with a gradual shift from winter ice edge  
688 conditions during the early Holocene to more sustained ice cover in the  
689 Neoglacial; a transition that has undergone something of a reverse in the last  
690 ca. 150 yr according to observational records (Divine and Dick, 2006).  
691

692 Our next objective will be to carry out a more detailed investigation into the  
693 combined use of IP<sub>25</sub> and HBI III in some form of numerical index (e.g. PIP<sub>25</sub>)  
694 to ascertain whether more quantitative estimates of sea ice concentration are  
695 achievable. For now, we note that surface P<sub>III</sub>IP<sub>25</sub> values of 0.85 and <0.1 in  
696 cores 70 and 11, respectively, are in excellent agreement with the  
697 corresponding modern spring sea ice concentrations of ca. 80 and 5% (mean  
698 1981-2010; NSIDC) for these two locations.

699  
700 In the future, it will also be important to examine relative abundances of IP<sub>25</sub>  
701 and HBI III in surface and downcore records from other Arctic (and temperate)  
702 regions to determine the wider applicability of this approach for detailed paleo  
703 sea ice reconstruction. In this respect, we note that IP<sub>25</sub> has been reported in  
704 sediments from a wide range of Arctic locations (Belt and Müller, 2013; Brown  
705 et al., 2014), while HBI III is one of the most frequently occurring HBIs found  
706 in marine sediments worldwide (Belt et al., 2000), likely as a result of  
707 production by common diatom genera (*Pleurosigma* and *Rhizosolenia*). We  
708 also note that the enhanced primary production that is characteristic of the  
709 retreating sea ice edge, and identified here through the proxy biomarker HBI  
710 III, is a common feature within MIZ regions across the Arctic (Perette et al.,  
711 2011).

712  
713 In summary, our primary aim here was to investigate the potential for selected  
714 biomarkers to provide complementary (at least) information to the qualitative  
715 (IP<sub>25</sub>) and semi-quantitative (PIP<sub>25</sub>) methods established previously. To place

716 our findings within this broader context, we propose the following assessment  
717 of the current status of biomarker-based (Arctic) sea ice proxies:

718

- 719 1. The occurrence of IP<sub>25</sub> in Arctic marine sediments represents a highly  
720 selective indicator of the past occurrence of seasonal sea ice cover,  
721 spanning timeframes as far back as the late Pliocene (at least).
- 722 2. Substantial regional variability, in particular, means that algorithmic  
723 relationships between sedimentary IP<sub>25</sub> abundance and seasonal sea  
724 ice concentration are not particularly reliable; however, higher  
725 IP<sub>25</sub> abundances are generally associated with enhanced sea ice extent  
726 and downcore records are internally consistent (i.e. they reflect  
727 directional changes in sea ice extent).
- 728 3. Semi-quantitative estimates of spring sea ice *concentration* may be  
729 improved by combining IP<sub>25</sub> with other biomarkers such as those  
730 biosynthesised by open-water phytoplankton; however, issues  
731 regarding regional versus global calibrations still need resolving, while  
732 the limitations of using a variable balance factor in calculating PIP<sub>25</sub>  
733 indices is particularly problematic.
- 734 4. More accurate descriptions of spring sea ice *conditions* are achievable  
735 by measuring IP<sub>25</sub> alongside other source-specific biomarkers (e.g. HBI  
736 III) whose production is particularly reflective of the neighbouring sea  
737 ice conditions (e.g. winter sea ice margin, marginal ice zone) as shown  
738 in the current study. The potential for using such a marker for more  
739 semi-quantitative sea ice estimates using the PIP<sub>25</sub> (or related) index is

740 especially attractive, not least, since problems associated with using a  
741 variable balance factor may be alleviated.

742

743

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- 937
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939 **Figure Legends**

940

941 Figure 1. Structures of C<sub>25</sub> highly branched isoprenoid (HBI) alkenes

942 described in the text. (I) IP<sub>25</sub>; (II) C<sub>25:2</sub>; (III) HBI III (C<sub>25:3</sub>).

943

944 Figure 2. Map showing the study region, major surface currents and sampling

945 locations. (a) Surface currents (Red – NAC: North Atlantic Current; NCaC:

946 North Cape Current; WSC: West Spitsbergen Current; Blue – ESC: East

947 Spitsbergen Current; BIC Bear Island Current); NCC: Norwegian Coastal

948 Current; (b) Locations of surface sediments (black circles) and long cores (red

949 circles). The positions of median April and September sea ice extent (1981–

950 2010; NSIDC) are also indicated.

951

952 Figure 3. Surface sediment concentrations of (a) IP<sub>25</sub>; (b) HBI III; (c)

953 brassicasterol. The positions of median April and September sea ice extent

954 (1981–2010; NSIDC), together with the maximum (1981) and minimum (2006)

955 April sea ice extent, are also indicated.

956

957 Figure 4. Downcore biomarker concentration profiles of (a) IP<sub>25</sub>; (b) HBI III; (c)

958 brassicasterol in core 70 obtained from the northern Barents Sea. IP<sub>25</sub> and

959 brassicasterol data are taken from Berben (2014). PIP<sub>25</sub> profiles based on HBI

960 III (d) and brassicasterol (e) are also shown, together with the respective *c*

961 factors. The diamonds on the x-axis denote the calibrated AMS <sup>14</sup>C

962 radiocarbon ages (Berben, 2014).

963

964 Figure 5. Downcore biomarker concentration profiles of (a) IP<sub>25</sub>; (b) HBI III; (c)  
965 brassicasterol in core 11 obtained from the western Barents Sea. Some of the  
966 IP<sub>25</sub> and brassicasterol data are taken from Berben et al. (2014). PIP<sub>25</sub> profiles  
967 based on HBI III (d) and brassicasterol (e) are also shown, together with the  
968 respective *c* factors. The diamonds on the x-axis denote the calibrated AMS  
969 <sup>14</sup>C radiocarbon (Berben et al., 2014, Rütther et al., 2012). The shaded region  
970 corresponds to the Younger Dryas (YD).

971

972 Figure 6. Downcore biomarker concentration profiles of (a) IP<sub>25</sub>; (b) HBI III; (c)  
973 brassicasterol in core 1200 obtained from the northern Norwegian Sea. IP<sub>25</sub>  
974 and brassicasterol data are taken from Cabedo-Sanz et al. (2013). PIP<sub>25</sub>  
975 profiles based on HBI III (d) and brassicasterol (e) are also shown, together  
976 with the respective *c* factors. The diamonds on the x-axis denote the  
977 calibrated AMS <sup>14</sup>C radiocarbon ages. The cross indicates the Vedde Ash  
978 tephra horizon used in the age model (Cabedo-Sanz et al., 2013). The shaded  
979 region corresponds to the Younger Dryas (YD).

980

981

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Identification of paleo Arctic winter sea ice limits and the marginal ice zone:  
optimised biomarker-based reconstructions of late Quaternary Arctic sea ice.

Simon T Belt <sup>a,\*</sup>, Patricia Cabedo-Sanz <sup>a</sup>, Lukas Smik <sup>a</sup>, Alba Navarro-Rodriguez <sup>a</sup>, Sarah M P Berben <sup>b,1</sup>, Jochen Knies <sup>c,d</sup> and Katrine Husum <sup>e</sup>

## Highlights

- Highly branched isoprenoid (HBI) biomarkers as Arctic sea ice proxies.
- Mono-unsaturated HBI (IP<sub>25</sub>) characteristic of seasonal sea ice cover.
- Tri-unsaturated HBI (HBI III) enhanced within the Marginal Ice Zone (MIZ).
- Combination of IP<sub>25</sub> and HBI III improves descriptions of sea ice conditions
- Novel proxy method applied successfully in Holocene and Younger Dryas records

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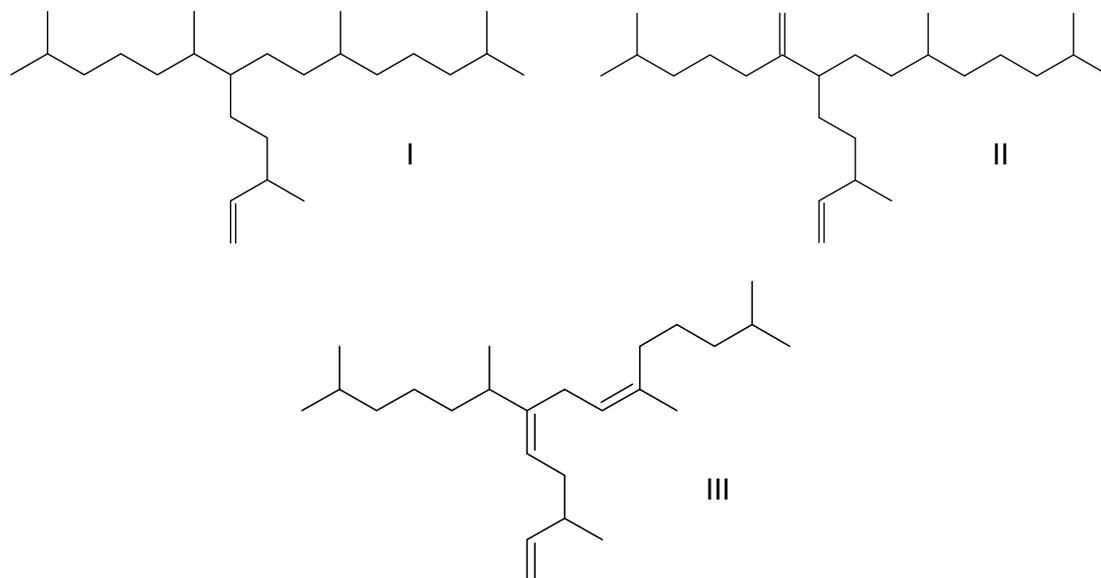
<sup>1</sup> Current Address: Department of Earth Science and the Bjerknes Centre for Climate Research, University of Bergen, N-5007 Bergen, Norway.

Figure

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Figure 1

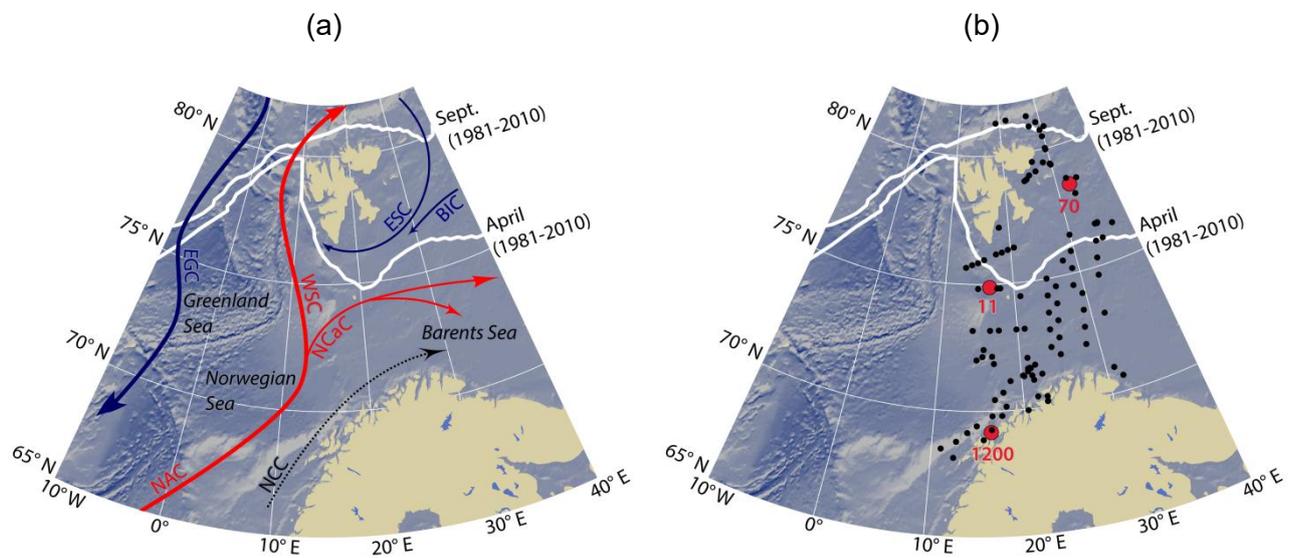


# Figure

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Figure 2

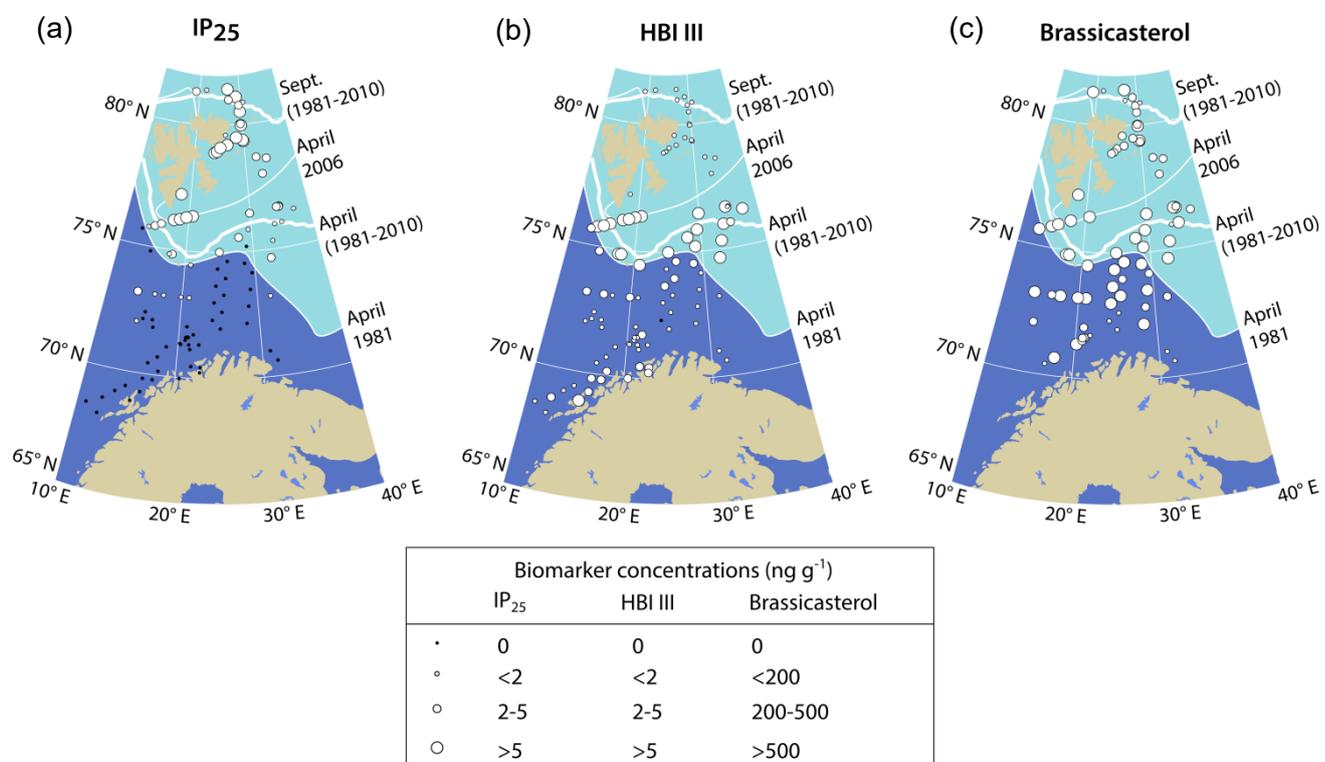


# Figure

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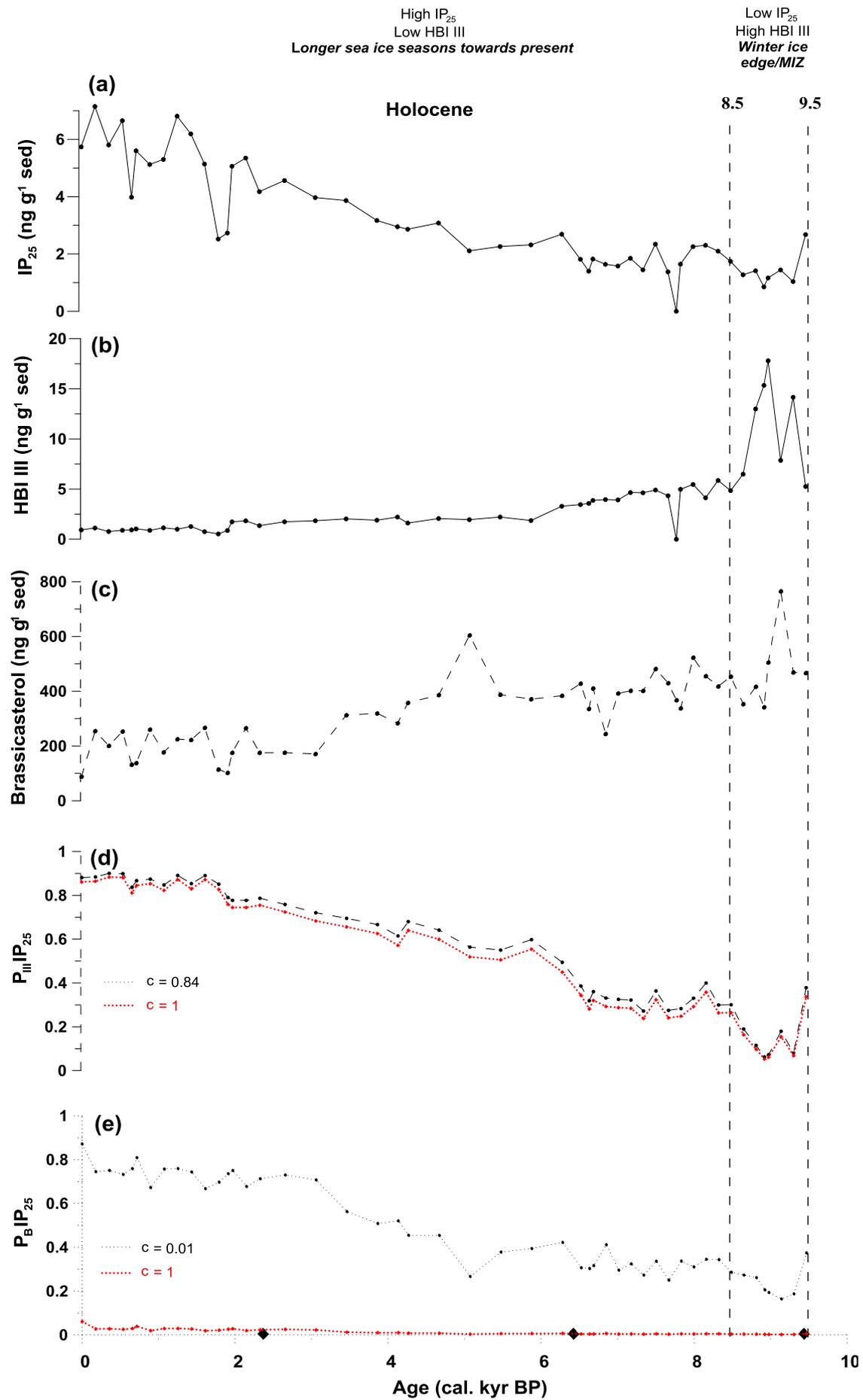
Figure 3



Figure

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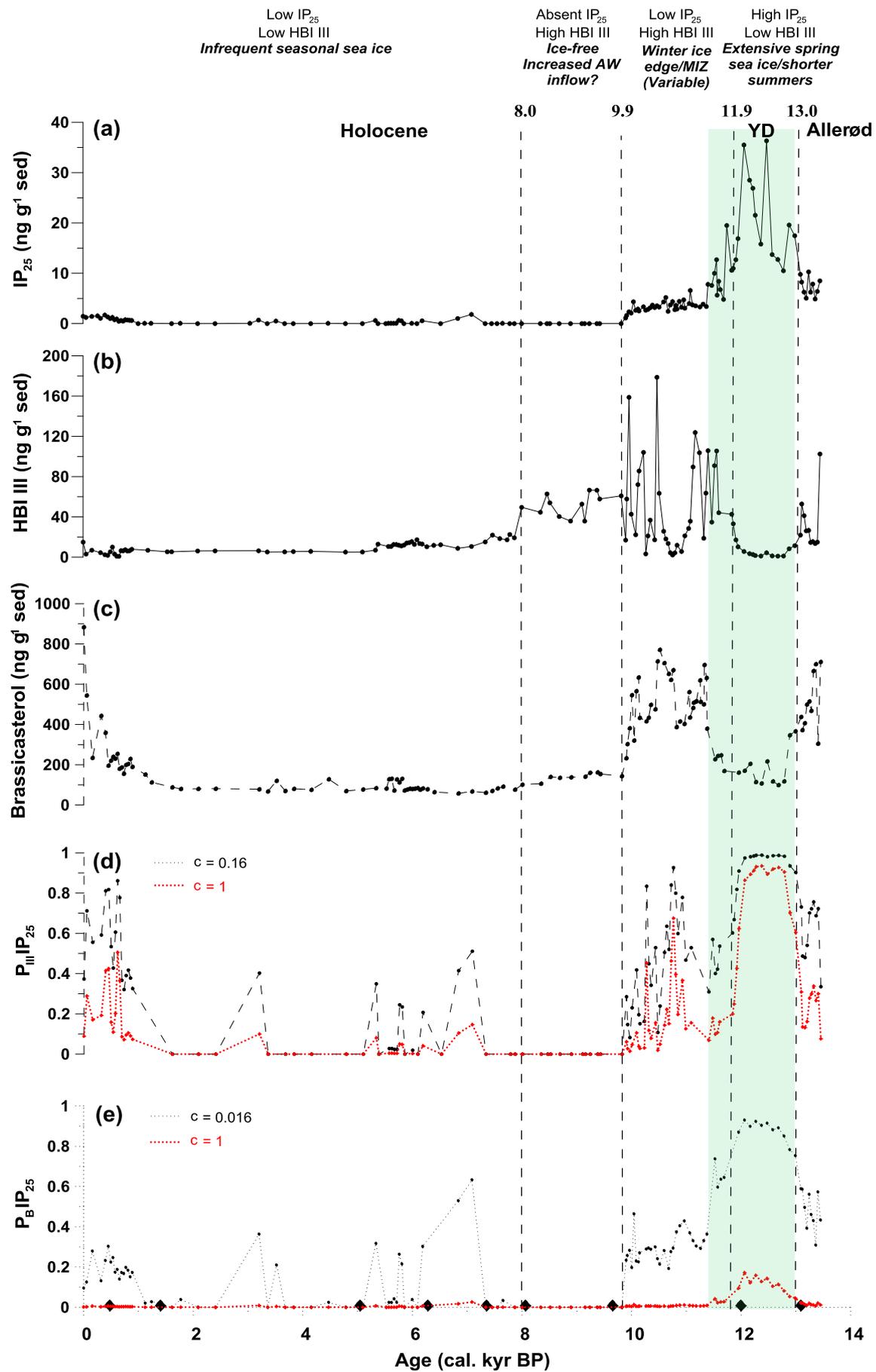
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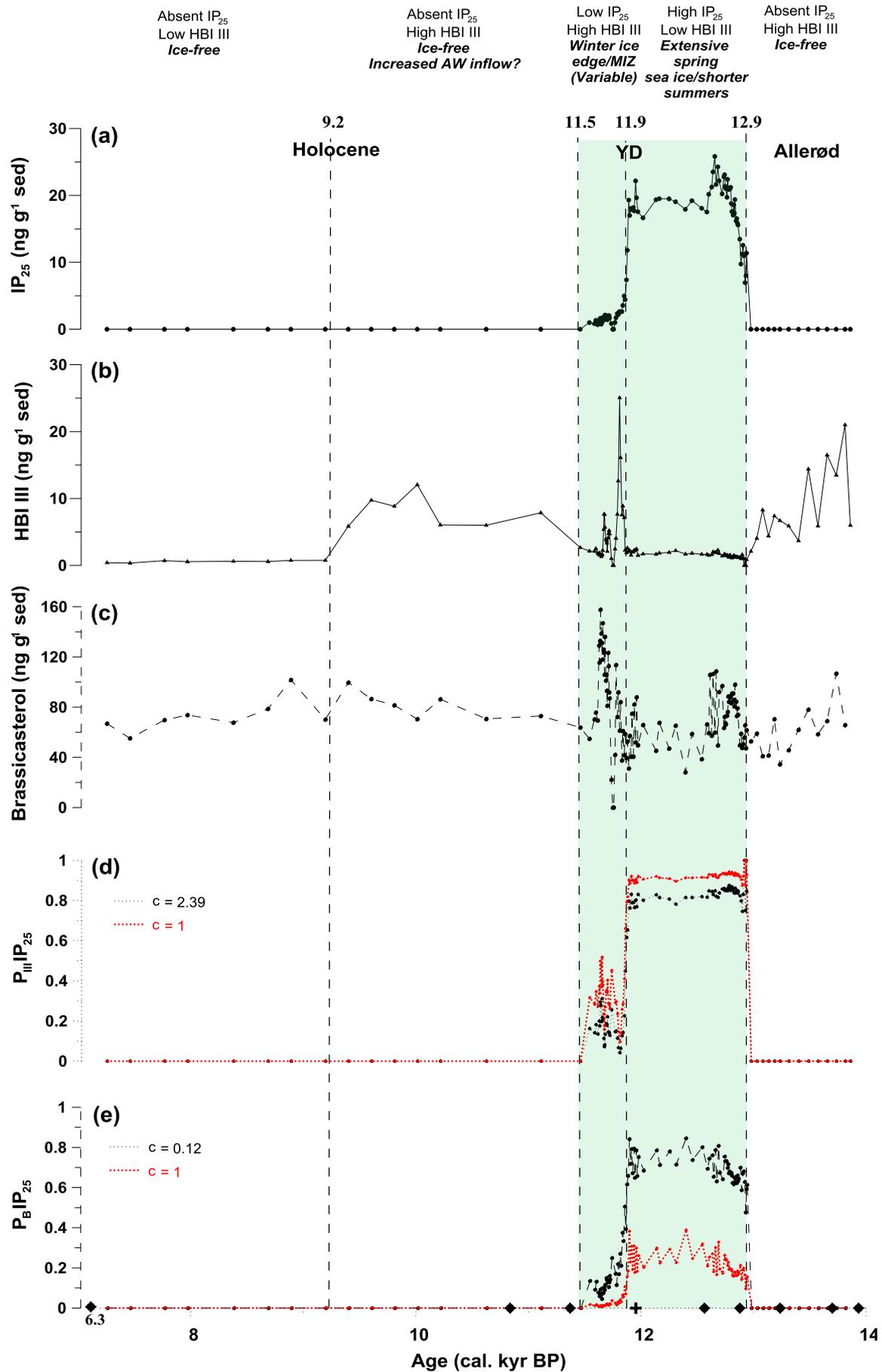
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