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HARMONIC INTONATION AND IMPLICATION (ANALYSES AND COMPOSITIONS): Harmonic perception and intonation in the reception and performance of alternative tuning systems in contemporary composition

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HARMONIC INTONATION AND IMPLICATION (SCORES): Harmonic perception and intonation in the reception and performance of alternative tuning systems in contemporary composition.

Volume 2 of 2

by

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A thesis submitted to the University of Plymouth in partial fulfilment for the degree of

DOCTOR OF PHILOSOPHY

Dartington College of Arts
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I drew a line in the sand,  
and it goes from here to there...

for Black Hair Ensemble  
(flute, clarinet, vibraphone, retuned piano, violin, cello)

Paul Swoger-Ruston  
March 2002
I drew a line in the sand, and it goes from here to there...

Performance notes:

Microtonal inflections are in 'cents deviation' from equal temperament, located above the note. The ideal intonation for each pitch has been rounded to the nearest 15-cent interval.

+/- 15 cents is about 1/6th of a semi-tone and in most cases should not require any conscious adjustment: that is, the player is likely to gravitate towards this intonation given the harmonic context of the pitch.

+/- 30 cents is about 1/3rd of a semi-tone and requires the player to consciously adjust his or her intonation. In most cases, the inflected pitch is part of a 7/6 minor third or a 7/4 minor seventh. These intervals are quite distinctive: E3 to G3 on the retuned piano is an example of a 7/6; G3 to F4 is an example of a 7/4.

+/- 50 cents is a quarter-tone (half a semitone). In most cases the player will have to feel their way to the proper intonation. What is often required is not precisely a 1/4-tone but just a 'good' note that falls somewhere between two equal tempered notes.

Notes without microtonal inflections should be adjusted as is required in any standard notation: that is each sonority should be tuned as 'smoothly' as possible (with minimum 'roughness').

The piano is tuned according to the diagram below. All 'G's should match those of the vibraphone.

Vibrato should be kept to a minimum on all instruments.

Thank you, Paul Swoger-Ruston.
The Beaten Path
for the Ning Ensemble
(flute, vibraphone, cello)

Paul Swoger-Ruston
March 2003
The Beaten Path

Duration: approximately 8 minutes

For Flute (doubling on piccolo), Vibraphone, and Cello

The dynamic throughout is quite and the texture fragile

In this piece we are gradually and delicately beating a path. No single event creates the path. Rather, many small and sometimes unnoticeable events combine to create the path.

Flute and Cello:

The notation involves the use of two types of microtonal inflection. The first uses standard quartertone notation. I've only used a subset of what might already be familiar:

\[\begin{align*}
\text{\#} & \quad \text{indicates a quartertone up or down from the equal tempered pitch} \\
\text{\#} & \quad \text{indicates a semitone plus a quartertone up} \\
\text{\#} & \quad \text{indicates a semitone plus a quartertone down}
\end{align*}\]

However, the quarter tones are intended only as approximate indicators of intonation. Typically, deviations should fall between 40 and 60 cents from equal temperament, but allow your ears to guide you to the most satisfying intonation (one that fuses best with the rest of the sonority).

Smaller intonation shifts are indicated by a small arrow above or below the notehead (\[\downarrow, \uparrow\]). These indicate inflections of approximately 20 to 40 cents from equal temperament. But again, allow your ears to be the judge. In no case has a quartertone accidental been combined with these smaller inflections.

Vibraphone:

The pedal is, for the most part, held down throughout. However, you may control excessive build-up through discretionary dampering (with hands or half pedalling).

At the beginning of sections B, C, and D (second last bar of piece) you may control the decay in the most subtle way appropriate (pedal or hand), but the decay must still feel natural as the flute and cello will be attempting to match the vibraphone's envelope.

In several spots, a small curved arrow indicates an upward bend. The duration and timing of this is entirely up to your technique and should be executed as makes the bend most prominent.

Good luck and thanks.

© Paul Swoger-Ruston March 2003
The Beaten Path
Paul Swoger-Ruston

\[ J = 60 \]

**Piccolo** minimal vibrato throughout

**FLUTE / PICCOLO**

**VIBRAPHONE**

Quiet throughout (motor off)

**CELLO**

Quiet throughout

Pedal depressed throughout, unless otherwise indicated

Minimal vibrato throughout (except where indicated)
Muta in C Flute

sing 'G' to end of section (breath as necessary)

bend gradually

Muta in Bow

with bow

sing 'G' to end of section

bend gradually
For Muted Piano

Paul Swoger-Ruston
October 2002
For Muted Piano

Duration: approximately 10 - 12 minutes

For upright piano with practice pedal (Rhodes piano or untreated piano may substitute)

For Muted Piano uses the piano keyboard as a sort of virtual filter. In Section I, a single note functions in 12 different harmonic contexts to which are added upper partials based on the acoustical root of each interval. In Section II, an intuitively composed passage is harmonically altered through the application of a more selective virtual filter. This piece was originally intended for upright piano with practice pedal (where a felt damper is lowered between the hammers and strings), which suppresses the strength of upper partials while maintaining sustain, but if approached delicately it can work effectively on an untreated grand piano. Alternatively, a Rhodes piano with the treble attenuated may be used.

Many thanks, Paul Swoger-Ruston
For Muted Piano
Paul Swoger-Ruston

I

\( \text{quiet throughout} \)
Track and Field
for [rout]
(electric violin, 2 synthesisers, electric guitar, electric bass, contrabass)

Paul Swoger-Ruston
March 2003
Track and Field
Performance Notes:

In this piece a single tone (violin), which sweeps an entire octave, is harmonized such that it always sounds in-tune with an equal tempered bass line. Two synthesizers and an electric guitar (with slide and e-bow) use portamento to create an effect of a harmonic bed that continually shifts in and out of 'focus'. The two basses also compete and cooperate in a game of their own.

Violin:
The violin must attempt to maintain a steady glissando that covers an octave (with two breaks). An intonation guide is provided in cents-deviation, indicated above each notehead at the beginning and middle of each bar.

Synthesizers:
Use a monophonic and relatively simple tone programmed with a portamento rise time of 2 seconds.

Electric Guitar:
Using a metal slide and an e-bow, slide as smoothly as possible to and from each pitch, resting on the target pitch for the duration of beats 2 and 3. Intonational deviations of about 1/6th of a tone are indicated in cents-deviation above or below the notehead. Where no precise intonation is indicated, you may use your ears to guide you; typically, uninflected pitches will ideally fall between -16 and +16 cents.

Basses (electric and contra):
The basses work together to create a single part. For example, the electric bass may strike a note as the contrabass crescendos to extend the decay, while at other times the contra bass may glissando against the dying tone of the electric bass, creating some momentary beating.

The electric bass will require a volume pedal for crescendos
Track and Field
Paul Swoger-Ruston

Violin

Synth 1

Synth 2

Electric Guitar

Electric Bass

Contrabass

Vln.

Syn. 1

Syn. 2

E.Gtr.

E.B.

Cb.
Eventide
for the Barton Workshop
(piano, vibraphone, violin, cello)

Paul Swoger-Ruston
March 2003
Eventide
Paul Swoger-Ruston

The piano generates harmonic sonorities that excite the sympathetic vibration of an
simulated resonating body (vibraphone, violin, and cello).

Duration: approximately 4 minutes

For Piano, Vibraphone (with bow), Violin, and Cello

Note to Players:

Piano
This part is to sound somewhat clunky—quiet, stiff, and dynamically rather flat—but not entirely without feeling.

The sound should be cut off crisply before rests, with the full duration given to the last chord preceding the rest.

Vibraphone
Using a bow, each pitch should build up energy gradually and allowed to ring upon its release.

Violin and Cello
Each pitch enters silently and builds gradually to mp at about 2/3rds of the pitch’s duration, and then returns to silence.

Intonation is indicated near each notehead in cents deviation from equal temperament. These inflections represent an ideal; it is not expected that this level of refinement is actually achievable, but is used to represent a ‘class’ of intonation towards which to strive. All uninflected notes are tuned to equal temperament.

An uninflected note may represent either the 9th, 17th, or 19th partial of a harmonic series based on the acoustical root of the corresponding chord in the piano part. Inflections of -12 cents represents the 15th partial, -31 cents represents the 7th partial, -49 cents the 11th partial (on some occasions indicated as +51 cents as an enharmonic equivalent), and +41 cents the 13th partial.

In general, vibrato should be used minimally.
The Crow, the Road, and the Ramble
for members of Icebreaker
(Tenor Saxophone, Electric Guitar, Electric Piano, Cello)

Paul Swoger-Ruston
2004
The Crow, the Road, and the Ramble
Paul Swoger-Ruston

General Notes
- Rhythm is not intended to be performed as precisely as the notation might imply.
- Coincidental attacks need not be precisely simultaneous.

Tenor Sax
- Play all harmonics with an altered fingering, as part of a multiphonic, or as a harmonic.
- Harmonics should be based on sounding E or G fundamental (F# or A fingered).
- Play as quietly as possible and round each long tone in and out (looking for threshold of the onset of sound, unstable tone).

Guitar
- Tuning: E -15 cents  G  D  F -30 cents  B -15 cents  C# -50 cents
- Tone: bridge pick-up, boosted mid range, mild overdrive - just enough that dyads distort.
  but single tones sound clean (looking for threshold of distortion).
- It is intended that some notes will sound out stronger than others; use the 12th fret B string harmonic as gauge for balance.
- Mild bends on stopped notes are always an option.

Keyboard
- Tone: warm Rhodes, no tremolo.

Cello
- Minimal vibrato except where indicated (can be exaggerated and uneven).
- Ideal intonation will often be ambiguous or conflicting, adjust as you wish but adjust gradually.
The Crow, the Road, and the Ramble
Paul Swoger-Ruston

\[ \text{j = 100} \]

Tenor Sax:

as quiet as possible

Electric Guitar:

Electric Piano:

Cello:

\[ \text{minimal tongue} \]

T. Sax:

E. Gtr:

Synth:

Vc.
CORRECTIONS AND AMPLIFICATIONS
For Zephyr Kwartet and Wiek Hijmans

Paul Swoger-Ruston

August 2004
**Corrections and Amplifications**

**Performance Notes**

**GENERAL INSTRUCTIONS:**

**GUITAR:**

**Dynamics**
- indicate the strength of attack rather than overall volume or relative balance
- with strong dynamics, it is okay if the open strings noticeably detune upon attack

**Balance**
- in general, the busiest sections are quietest and the less busy louder

**Tone**
- should be almost clean and bright but with a present bass (like a spaghetti western or surf guitar tone)

The guitar is tuned in the following scordatura. It is important that each pitch is played on the appropriate string (indicated in TAB).

**Tuning:** D G↓ D E B↓ E (see string instructions for microtonal notation)

\[ p = \text{pull off} \]
\[ h = \text{hammer on} \]
\[ s = \text{finger slide} \]

**STRINGS:**

**Balance:**
While there is some dynamic indication in the score, and it is important that the strings are balanced with the guitar, it is not necessary to 'compete' with the volume of the guitar; The strings function either as a 'virtual resonator' or as a contrasting texture to the guitar.

**Notation**
- arrowheads change pitch by approximately 30 cents (indicated with 1/8th tone notation)
- standard quarter tones are also used

| # = semitone + 1/4 tone sharp | ↓ = 1/8th tone flat |
| # = semitone + 1/8th tone sharp | ↓↓ = 1/4 tone flat |
| # = semitone sharp | ↓↓↓ = 1/4 tone + 1/8th tone flat |
| ↓ = 1/4 tone sharp | B = semitone flat |
| ↓↓ = 1/8th tone sharp | ↓↓↓↓ = semitone + 1/8th tone flat |
| ↓↓↓ = natural | ↓↓↓↓↓ = semitone + 1/4 tone flat |

Hollow diamond harmonics are played as natural harmonics, but for solid diamonds no specific harmonic is intended, only the finger position; the note should not be fully stopped, just lightly touched.
THIS MNEMONIC MACHINE
(electric guitar solo)
For Wick Hijmans

Paul Swoger-Ruston

May 2005
**Performance Notes**

This piece uses a standard electric guitar tuned with the open strings in just intonation, and a delay pedal that is used as a resonator sympathetic to the tuning of the guitar.

**REQUIREMENTS:** (see ‘Set-up’ for more details)

- electric guitar, guitar amplifier, capo (spring style), delay unit (must be capable of 16ms delay time), volume pedal

And one of the following two combinations:

- microphone and monitor/speaker OR signal splitter and combiner, and overdrive pedal

**NOTATION:**

The guitar is notated in three different ways. The top staff represents the resultant pitches, the middle staff as played, and the bottom staff in TAB notation. The precise use of the indicated string and fret is crucial, and therefore alternate fingerings may not be used.

The top staff uses a standard quartertone notation augmented with arrows to indicate smaller deviations of about 30 cents. Deviations less than 30 cents from equal temperament have not been indicated.

The ~ symbol is used to indicate small embellishments utilizing a Bigsby, or other whammy-bar device, or by bending the neck of the guitar. These embellishments should not be too extreme, less than a semitone in magnitude, but the contour and complexity of the embellishment is entirely up to the performer.

Pull-offs and hammer-ons are indicated with a slur. Finger slides are indicated with a glissando marking (straight line) and a slur.

**OTHER PERFORMANCE INSTRUCTIONS:**

- In general, all notes should be left to ring when possible to do so

**GUITAR TUNING:**

Open strings: E A C# G B E

Cents from A^440Hz: +23 +21 +7 -10 +25 +71

With capo:

| Harmonic of B^31.25Hz | F# | B^122Hz | D# | A# | B | E#
|-----------------------|----|---------|----|----|---|-----|
| 3                     | 4  | 5       | 7  | 9  | 11

It is possible to tune the guitar by ear once the capo is in place (see below). Each pitch, or its octave, will be reinforced by the resonance of the delay pedal and will therefore be loudest when in tune. The resultant chord is based on a B=31.25Hz (+21 cents from equal temperament) harmonic series.

Without a capo, the guitar may also be tuned by ear provided an accurate open A+21 cents is established. 1) Tune the fifth fret harmonic on the sixth (E) string to the seventh fret harmonic on the fifth (A) string

2) Tune the fifth fret harmonic on the fourth (C#) string to the fourth fret harmonic on the fifth (A) string

3) Tune the fifth fret harmonic on the third (G) string to the seventh harmonic found near midway between the third and fourth frets of the fifth (A) string

4) Tune the open second (B) string to the seventh fret of the sixth (E) string

5) Tune the first string by creating equal beating when play with F and F# on the second (B) string
Capo:
- Place capo at second fret across strings 6, 5, 4, and 3 only (leaving 2 and 1 open)
- Make sure the capo is as close to the second fret as possible and leaving room to stop the second string at the second fret (see bar 21 for example).

SET-UP:

Signal-flow
There are a number of options regarding the set-up of the signal flow, two of which are presented here. However, the signal flow could most likely be programmed in a sophisticated multi-effects unit, thus simplifying the set-up a great deal (in which case, maintaining the stereo set-up is not necessary). The following are listed in order of preference (from most preferred to least):

1) stereo

guitar -> amp (mild overdrive) ->

\[ \text{V} \rightarrow \text{volume pedal} \rightarrow \text{delay unit} \rightarrow \text{speaker/monitor} \]

- The guitar amp and speaker may be placed spaced left-right, behind the guitarist, at a maximum of about 6 feet apart for a small to moderately sized room.
- The amp should be just slightly 'dirty'. This provides a richer spectrum for the signal as it goes to the delay unit, thus creating a stronger and richer resonance effect.

2) split

guitar -> overdrive pedal (mild) -> \[ \text{amp (clean)} \]

\[ \text{V} \rightarrow \text{volume pedal} \rightarrow \text{delay unit} \]

SETTINGS:

delay unit settings:

\[ \text{Delay} = 16 \text{ milliseconds} \]
\[ \text{Feedback} > 90 \% \text{ and} < 100\% \]

- This creates a sympathetic resonance which is strongest for any pitches corresponding to a B harmonic series.
- It is important that the delay not overwhelm the texture. You will need to experiment with the volume of the delay chain and with the feedback setting to control the resonance effect.

volume pedal:
- The maximum volume pedal position should be about equal in amplitude to the unaffected guitar sound. But the delay chain will also have the original guitar sound in its signal, so the overall effect should be that the natural guitar sound is slightly louder than the resonance.
- The minimum volume pedal position should be such that the resonance is barely audible, but still present.
This Mnemonic Machine for Wiek Hijmans
Paul Swoger-Ruston

A (section lasts approximately 2:00 min)

Electric Guitar:

B (approx. 2:30 min)

E.Gtr.

(to end of section)
allow resonance and notes to ring
but no silence between sections

increase delay chain volume gradually over all repeats

Volume Pedal
Min.  Max.
slightly faster $\frac{d}{dt} = 120$

E.Gtr.

full rest before next section
repeat each three-note cell zero to six times
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