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Lead Immobilization for Environmentally Sustainable Perovskite Solar Cells

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22 **Preface**

23 Lead halide perovskites are promising semiconducting materials for solar energy harvesting, 24 however, the presence of the heavy metal lead ions is problematic when considering potential 25 harmful leakage into the environment from broken cells, and also from a public acceptance 26 point of view. Moreover, strict legislation on lead usage around the world has driven innovation 27 in development of strategies in recycling end-of-life products via environmentally friendly and 28 cost-effective routes. Lead immobilization is a strategy to transform water-soluble lead ions 29 into insoluble, nonbioavailable and nontransportable forms over a large pH and temperature 30 range, and suppress lead leakage if the devices are damaged. An ideal methodology should 31 ensure sufficient lead chelating capability without significantly influencing the device performance, production cost and recycling. Herein, we analyse chemical approaches to 32 immobilize Pb²⁺ from perovskite solar cells, such as grain isolation, lead complexation, 33 34 structure integration, and adsorption of leaked leads, based on their feasibility in suppressing 35 Pb leakages to a minimal level. We highlight the need for a standard lead leakage test and 36 related mathematical model to be established for reliable evaluation of the potential 37 environmental risk of perovskite optoelectronics.

One sentence summary: The presence of the heavy metal lead ions in perovskite solar cells is problematic when considering potential harmful leakage into the environment from broken cells, which should be rationally immobilized to eliminate their ecotoxicity to a safeto-use level prior putting them into the market.

43 **1. Introduction**

44

45 as light harvesters in solid-state photovoltaics¹. Certified record power conversion efficiencies 46 (PCEs) of the state-of-the-art perovskite single junction and perovskite-Si tandem solar cells 47 have reached 25.7% and 31.3%², respectively. Excellent operational stability over 9000 hours 48 under international electrotechnical commission standards³ and perovskite modules (64 cm²) with efficiency above 20%⁴ have been achieved. These achievements have enhanced the 49 50 technology readiness of perovskite solar cells (PSCs) for commercialization. However, the use of lead is a threat to the environment and human health due to its toxicity⁵⁻ 51 52 ⁸. While lead occurs naturally in the Earth's crust, anthropogenic activity such as mining in the last few centuries and the use of lead in gasoline, paint, and electronics has increased the risk 53 of exposure to humans and wildlife^{9,10}. The use of lead has been systematically regulated with 54 55 specific limitations designed for each application. This is mainly to minimize the risk of 56 exposure to lead in each type of application, but also to consider the chemical form of the lead-57 containing compound in relation to bioavailability, which in turns influences both toxicity and bioaccumulation, *i.e.*, the compound's ability to enter living organisms^{5,11,12}. The existing 58 59 legislation around lead does not specifically mention perovskite-based electronics, and thus 60 some urgent consideration is needed on the risk assessment of these materials to assist safe and 61 responsible innovation with lead halide perovskites^{5,6}. Recent efforts have strived to substitute 62 the lead element by using divalent metals, such as tin¹³⁻¹⁵, or combining monovalent and 63 trivalent metals to construct double metal perovskites¹⁶⁻¹⁸, but the performance of lead-free

Lead halide perovskites have attracted intense research attention since their first application

64 PSCs is significantly lower than their lead-based counterparts, indicating the essential role of

lead in perovskites to realize high efficiency and long-term stability¹⁹⁻²².

66 In this Perspective, we discuss the physico-chemical role of lead on optoelectronic properties of perovskite materials (**Box 1**)²³ and accompanying lead toxicity issues with relevant 67 legislation^{24,25}. The lead leaching and exposure pathways from PSCs during their lifespan will 68 be discussed ²⁵⁻²⁹, at which point the end-of-life device can be possibly recycled for reuse by 69 specialists with minimized exposure risk³⁰. However, occasional lead leakage from operating 70 71 devices is out-of-control and hence potentially more harmful. The resultant environmental 72 impacts need to be quantified by considering the concentration and bioavailability of the exposed lead compounds^{26,31-33}. We discuss here chemical approaches to fundamentally resolve 73 the issue, categorized into 1) regulating solubility and diffusivity of lead ions in the device³⁴⁻⁵¹ 74 and 2) chemical adsorption of the leaking lead ions via lead-adsorbing materials⁵²⁻⁵⁷. Beyond 75 76 these approaches, we propose possible routes for fundamental mitigation of lead toxicity 77 through chemical immobilization.

78

79 **2. Lead in PSCs**

80 2.1 Lead toxicity and relevant legislation

The toxicity of lead to humans became apparent in the mid-twentieth century⁹, and the concerns of lead exposure to humans include the incidental ingestion of Pb from soil¹⁰ or contaminated foods such as vegetables⁵⁸, although water is a main cause of oral exposure⁵⁹. Pb is adsorbed via the small intestine into the blood and bioaccumulates in internal organs, including the liver, kidneys and the central nervous system. Pb is also deposited in the skeleton and can be remobilized during pregnancy, causing exposure to the foetus⁶⁰. Public health concerns from chronic Pb exposure include neurotoxicity, impaired cognitive development and growth in children, effects on renal function, immunity, and the risk of cancer⁶¹. Clinical monitoring via measuring total Pb concentrations in blood or urine is possible^{8,62}. However, the best way to protect the population is to prevent the exposure by setting limits on total Pb concentrations in soil, water, food, medicines and other consumer products.

92 The legislation with respect to human health includes some internationally agreed standards. 93 For example, The World Health Organisation (WHO) recommends a limit on total Pb in drinking water of 10 µg L⁻¹⁶³. In the USA, the ninety percentile for action on Pb concentrations 94 95 in drinkable water is 15 μ g L⁻¹, and has been continuously updated every 3-7 years with a desire for zero Pb in drinking water in the future⁶⁴. With respect to protecting the agricultural food 96 97 chain to humans, the upper limit of lead content in agricultural soil is set to 250 mg kg⁻¹ in China⁶⁵. The maximum tolerable lead content in canned beverages and foods is 150 and 250 98 mg kg⁻¹, respectively, set by the Food and Agriculture Organization (FAO) of the United 99 100 Nations⁶⁶. In the USA, the Centre for Disease Control (CDC) indicates a reference value for blood lead concentration of 3.5 µg dL⁻¹⁶⁷. There are also regulations for the disposal of solid 101 102 wastes. For example, in the USA, the safe-to-use level of lead leakage (leaching from solid waste) is set to 5 μ g L^{-1 56}. There are also restrictions on the use of sludge containing metals, 103 104 including Pb, in the EU⁶⁸. EU legislation limits the content of lead in "homogenous materials" 105 to 0.1% by weight. With that said, photovoltaic panels, "intended to be used in a system that is 106 designed, assembled and installed by professionals for permanent use at a defined location", 107 are already exempt from this limitation⁶⁹. However, this exemption does not apply to devices 108 embedded in consumer electronics or portable systems — and so lead immobilization could represent a way toward compliance for consumer devices incorporating PSCs, but specific
legislation regulating this issue will be needed in the future⁷⁰.

111 **2.2 Environmental impacts of PSCs**

112 **2.2.1 Life cycle assessment of PSCs**

113 Life cycle assessment has been employed to evaluate environmental impacts of PSCs by 114 considering all stages of their life cycle from the extraction, purification and preparation of 115 lead-related raw materials, to the manufacturing, installation and maintenance of PSCs and the handling of the products at the end of their lifespan^{32,71,72}. An evaluation of PSC life cycle has 116 117 concluded that PSC can be considered more sustainable than other technologies such as 118 commercially available Si solar cells. Regarding the amount of lead used in a PSC, only an 119 approximately 1 µm thick perovskite layer is used owing to their extremely high absorption 120 coefficient, and lead concentrations are often very low in complete PSC devices (around 0.015 121 mg L^{-1} dm³), which is similar to the amount of lead in solder conventionally used to assemble 122 crystalline silicon solar panels. Around 17,000 metric tons of Pb is embodied in the production 123 of the perovskite layer for a yearly 2400 GW electricity generation, representing only 1.1% of 124 the 1.6 million metric tons of lead consumed in the U.S. domestic market each year³². We must 125 also consider that in Europe already 56% of lead comes from secondary sources, that do not 126 require mining and concentration of the material; these are the most energy-intensive processes 127 of the production from primary sources, and so the use of secondary sources can reduce 128 potential overall environmental impacts⁷³. Despite these positive aspects, Pb leakage from 129 PSCs still represents a major concern because, after installation, the panels will spend most of their lifetime subjected to uncontrolled atmospheric conditions, and possible damage to the
panel will lead to dissolution and spreading of the lead due to rainwater^{12,74}.

132

2.2.2 Bioavailability of perovskites

133 Life cycle analysis and leaching studies might identify the potential exposure concentrations, 134 but the effects on human health or the environment depend on how much of the total Pb is 135 bioavailable to the organism, and also whether the bioavailable fraction presents a concern for 136 toxicity. Even though potential lead leakage from PSCs might be relatively low, there is an 137 ethical concern around lifetime exposure to trace amounts of Pb from the products because the 138 internal organs will eventually accumulate sufficient Pb to cause adverse biological effects. 139 However, the organ concentrations at the threshold of adverse effect are not well defined yet. 140 Instead, epidemiology approaches are used to associate lifetime Pb exposure with specific 141 diseases (e.g., Parkinson's disease⁷⁵), or to relate organ concentrations with specific effects 142 (e.g., kidney Pb concentrations and renal function⁷⁶). The toxic effect of lead halide perovskite 143 is strongly dependent on the cellular properties and metabolic activity of cell types. For instance, 144 human dopaminergic neuroblastoma cells and murine primary hippocampal neurons are very 145 sensitive to the toxicity of MAPbI₃⁷⁷, while human lung epithelial cells are less sensitive^{77,78}. 146 In addition, lead toxicity is also related to the type of lead source, and the toxicity of different lead sources has been shown to follow the order of $Pb^{2+} > perovskites > PbI_2 = PbO^{79}$. Moreover, 147 148 the pH of the environment and the size of the perovskite nanoparticles also influences 149 perovskite toxicity. Acidic conditions will accelerate the dissolution of Pb from the particles, 150 and at low pH values the Pb is present as the bioavailable Pb²⁺ species, which readily binds to

biological membranes. There may also be particle-size effects, and smaller perovskite
nanoparticles with a high specific surface area are more toxic than larger ones^{80,81}.

153The bioavailability of Pb in soils depends on Pb speciation in the water, the chemical composition of the soil (e.g., ionic strength, pH, natural organic matter) and the type of soil 154 155 (clay soil, loamy soil, etc.). Inevitably, Pb uptake by plants and other soil organisms will be 156 influenced by these factors and the source of the Pb. Perovskite has been shown to be more 157 bioavailable, such that the lead uptake coefficient greatly increased in the order: native soil < 158 $PbI_2 < perovskites$. The reason was attributed to organic cations from the perovskites, which 159 can alter the pH values of the soil and impact the lead uptake ability of the plants³¹. Thus, for 160 environmental or human health risk assessments, the form of the Pb, its chemical 161 transformations, and the surrounding chemical matrix should be considered.

162 Lead weekly intake (LWI) by humans was taken as health-based guidelines for lead exposure, and the upper limit was set at 0.025 mg kg⁻¹ body weight by the FAO of the United Nations⁸². 163 164 By assuming that all the lead contained in a broken PSC panel would leak and reach the 165 environment in a finite amount of time⁸³, the level of LWI under different percentage dispersion 166 and environmental spreading scenarios is estimated. The scheme shown in Fig.1 was calculated 167 in order to estimate the possible level of LWI taking into account different possible scenarios. 168 From it we can deduce a low fraction of the total lead needed for PSC reaching the food chain 169 can represent a risk for humans, as in many scenarios the LWI would be higher than the levels 170 estimated for the humans of 3000-5000 years ago and adult LWI limit withdrawn in 2010^{84} .

171 **3 Lead immobilization in PSCs**

172 Owing to the soft and ionic character of perovskite, the first premise of lead leakage from 173 PSCs is ascribed to water induced decomposition of perovskite crystals into soluble lead 174 salts^{85,86}, which can outflow from the device and are then infiltrated into underground water or 175 soil along with rainwater, leading to damage to the ecosystem and human health. To prevent 176 such pollution, the pathways of water ingress and lead leakage need to be blocked via some 177 chemical lead-immobilizing approaches, which transform lead components into insoluble, 178 nontransportable or nonbioavailable forms as illustrated in **Fig.2**, so that the exposed lead from 179 PSCs is in a safe-to-use level.

180 **3.1 Grain encapsulation**

By wrapping the perovskite grains within hydrophobic organics (e.g., polystyrene^{87,88}), 181 water resistant oxides (e.g., TiO2⁸⁹, SiO2^{44,45,90}, Al₂O3⁹¹) or insoluble lead salts (e.g., PbS⁹², 182 183 $PbSO_{4}^{46}$, $Pb(OH)_{2}^{47}$), the pathways for water ingress and ions outflow can be effectively 184 blocked. The utility of isolation strategy is dependent on the water permeability of the capping 185 layers, which usually employ materials with strong hydrophobicity, high compactness and full 186 coverage on perovskite grains. For instance, the condensation of small molecules along with perovskite crystallization^{44,45} or depositing hydrophobic molecules⁴³ or functional salts (e.g., 187 188 sulfonics^{37,93}, sulfates⁴⁶, sulfides^{92,94,95}) atop the perovskite layer in post treatments enabled an 189 in-situ encapsulation of grain boundaries and surface. Well grain-encapsulated lead-containing 190 perovskites manifested robust water stability, which even demonstrated promising application as scintillators for in-vivo bio-imaging without significant cytotoxicity on target animals^{90,96,97}, 191

¹⁹² indicating reduced bioavailability. In addition, inserting water resistant layers into the PSCs for ¹⁹³ inner⁹⁸⁻¹⁰¹ or external encapsulation¹⁰²⁻¹⁰⁶ can also prevent water permeation, but these ¹⁹⁴ approaches are ineffective in case of device breakage. Even though some self-healing ¹⁹⁵ characteristics were endowed by mixing curable materials with the encapsulants¹⁰², the ¹⁹⁶ protection effectiveness might be problematic because the curing process of the damaged ¹⁹⁷ encapsulants usually requires external stimulus (e.g., UV radiation, heating), which are not ¹⁹⁸ always available in emergency case.

199

3.2 Chemical complexation

200 To mitigate water contamination, the solubility of lead compounds from degraded 201 perovskites needs to be decreased by forming low solubility products (K_{sp}) of lead-additive 202 complexes via rational additive engineering. Typically, the additives should consist of both 203 electron-donating Lewis base functional groups (e.g., carbonyl^{34,36,37}, thiol¹⁰⁷, sulfonic⁵³, sulfide¹⁰⁸, porphyrin ring³⁵, crown ethers³⁸) coordinating with Lewis acidic Pb²⁺ ions via an 204 205 acid/base interaction and hydrophobic backbone or side chains being endowed with water-206 repelling moieties (e.g., long alkyl chains, fluorine groups, carbon nanotubes³⁴) allowing them 207 to precipitate from water after complexation. Thus, the as-formed complexes become 208 hydrophobic after the chelation of the functional groups with Pb^{2+} . For example, the addition 209 of polyacrylic acid grafted carbon nanotubes (CNT-PAA) into the perovskite precursor can effectively suppress the lead leakage of the corresponding PSCs.³⁴ Briefly, the CNT-PAA 210 exhibited a high Pb²⁺-capturing capability due to the presence of large amount of carboxyl 211 212 groups on the wall of CNTs. After the occupation of hydrophilic groups by Pb^{2+} , the self-213 aggregation of water insoluble CNTs allowed them to form precipitation in water. As a result,

the leaked lead from the CNT-PAA doped device was well below the hazardous waste limit
 upon water immersion³⁴.

216 **3.3 Structure integration**

217 Water (moisture) induced perovskite decomposition usually initiates from water 218 adsorption at the crystal surface, followed by deep penetration into the interior of the bulk and 219 dramatically accelerated perovskite fragmentation and ions release⁴⁰. Integration of perovskite 220 structure within the device by improving binding strength of the constituent elements, 221 intergrain connectivity and interfacial cohesion is able to increase energy barrier for water 222 penetration, structural fragmentation and layer delamination, thus boosting the structural stability against water dissolution and lead leaking^{49,109}. For instance, interfacial/intergrain 223 224 bridge via strong coordination or dipole-dipole interaction can enhance the interconnectivity 225 of the device, resulting in extremely stable perovskites in highly salty, acidic (pH=1) and basic 226 (pH=13) aqueous conditions¹¹⁰. Sole enhancement of chemical interaction at perovskite top-227 surface has proven effective to resist crystal collapse and retard lead release⁴⁰, but it might be 228 ineffective in case of device damage. Alternatively, the whole structure including the surface, 229 bulk and interface of the perovskite layer needs to be integrated.

The integration of perovskite grains can be achieved by implementing polymerizable monomers into the perovskite layer to construct perovskite/polymer matrix.^{41,42} For example, acrylamide monomers were employed as additives in the preparation of perovskite films⁴¹, which were polymerized *in-situ* to form polyamides along with the perovskite transformation. The –C=O groups from the polyamides can interact with undercoordinated Pb²⁺ at grain boundaries and surface of the perovskite to form a robust chelation structure in the as-deposited thin films⁴¹. Also, the polyamides are prone to form hydrogels when exposed to water, which can further prevent the dissolution and diffusion of Pb²⁺ from the device into water⁴¹. Moreover, the agglomeration effect of the monomers during polymerization can induce compressive strain within the perovskite layer, which can increase the activation energy for ions migration¹¹¹ and barrier for water permeation¹¹², leading to improved crystal stability in high humidity conditions. Additionally, the infiltration of perovskite into rigid and mesoporous structure is also expected to prevent structural collapse.

243

3.4 Adsorption of leaked lead

In addition to *in-situ* immobilization, lead-adsorbing materials can be integrated into PSCs to capture leaking ions in case of device damage. Similarly, an effective lead-adsorbent should contain lead binding groups (e.g., phosphonates^{54,113}, thiols^{107,114}, sulfonates⁵⁶, tetraethylene glycol¹¹⁵) to form water insoluble lead products with extremely low K_{sp} values¹¹⁶⁻¹¹⁹.

248 All functional layers located at interior or exterior of the devices *e.g.* charge transport layers (CTL)^{107,113,115,120}, electrodes¹²¹ or encapsulants^{54-56,114,122} may be considered as hosts for lead-249 250 adsorbents. Since the lead sequestration efficiency (SQE) is directly correlated with the density of adsorbing sites, the loaded materials should be abundant to ensure sufficient lead-adsorbing 251 252 capacity. Thereby, the implementation of Pb adsorbents into interior layers of the device might 253 be insufficient because of the limited scavenging capacity by layers. The conductivity of the 254 electrode would decay if too much insulating materials were infiltrated¹²¹. Also, CTLs are at most a few hundred/ten nanometer thick which offer a low capacity to capture all Pb²⁺ 255 256 contained in the perovskite film. Therefore, embedding lead-adsorbing materials into the 257 exterior encapsulation is a better option, where the device performance is not restricted by the 258 loading amount⁵⁶. Given the random lead diffusion pathways, both front and back sides of the device can be simultaneously protected. A good example was given by Li *et al.*⁵⁴, where a 259 260 highly transparent Pb-adsorbent was deposited on top of the front glass without filtering the incident light, and a blend of polymer encapsulants and Pb²⁺ binding materials was inserted 261 262 between the back electrode and encapsulating cover. Owing to the remarkable lead-adsorbing 263 capability on both sides, such chemical approaches can substantially reduce lead leakage by 264 96%⁵⁴. Moreover, lead-adsorbing materials with different activity in various temperature and 265 pH conditions should be combined. For instance, the employment of lead-adsorbents consisting 266 of phosphonic and methylenephosphonic acid groups within one device can retain high SQE 267 over a large temperature range due to the varied temperature dependent deprotonation effect⁵⁴.

268

269 As summarized in **Table 1**, the aforementioned four lead-immobilization strategies are 270 systematically compared from the aspects of work mechanism, protection effectiveness and 271 their influence on device performance. Notably, interior lead-immobilization strategies (i.e., 272 isolation, complexation, integration) demonstrate high selectivity and fast responsibility because the Pb²⁺ ions are pre-protected before leakage, but relatively low SQEs (~60-80%). It 273 274 is known that the lead-immobilizing capacity is correlated to the density of functional sites in 275 the embedded additives, especially for the method of complexation. However, most of these 276 additives are insulating and in some case light absorbing, which can undermine charge transport 277 and photon harvesting, and the interaction between the additives and the Pb precursors can 278 affect perovskite crystallization. Therefore, a trade-off between PCE and SQE might occur 279 when the additive concentration exceeds the tolerance of perovskite materials¹²³. Nevertheless,

280 a rational dose of Pb-immobilizing additives in the perovskite layer can advantageously lead 281 to PCE increase and lifetime increase (Table 1), which are defined by the PCE and lifetime 282 ratio of best optimized device to pristine device, respectively. In addition, owing to the inert 283 character of grain encapsulation and insolubility of the as-formed lead complexes, these 284 approaches for grain isolation and chemical complexation would challenge the lead recycling 285 process, which relies on the ease of extraction of the lead from the device. Also, the formation 286 of homogenous capping layer at the perovskite grain boundaries might be problematic, 287 especially in large scale manufacturing, because of the difficulties in controlling the layer 288 thickness, restricting the upscaling of PSCs. In these aspects, the structure integration seems to 289 be more promising, in which the lead-immobilizing capacity is related to the structural stability 290 rather than the chelating sites of the additives, leading to relatively higher SQEs of $\sim 80\%$. 291 In contrast, the implementation of lead-adsorbents at exterior of the device is more effective 292 in the suppression of lead leakage with SQE approaching 100% because a large amount of

293 materials can be loaded without influence on device performance. However, it still suffers from 294 several drawbacks that may reduce their effectiveness. First, the lead-adsorbents are 295 specifically designed to capture the Pb ions after their release from the perovskite lattice, and 296 the sorption speed should be extremely fast otherwise the capturing efficiency might be limited 297 if the water flux is fast or the Pb^{2+} concentration is high at the initial stage of device damage. 298 Second, the variation of environmental pH and temperature, and the presence of other metal ions (e.g., Ca^{2+} , Mg^{2+}) within the water may defunctionalize the Pb-adsorbents. Third, the lead 299 300 adsorbents, in addition to showing excellent Pb-adsorbing capacity, should also support 301 scratching resistance, UV stability and high selectivity of lead ions.

302

303	Importantly, the lead leaking from PSCs and their adsorption is strongly dependent on the
304	testing conditions, such as temperature, pH values and volume of the exposed water, and how
305	the devices are broken. However, the reported SQE values in Table 2 were measured in
306	completely different conditions. In order to quantitatively evaluate the lead leakage from PSCs
307	and compare the utility of different lead-immobilization techniques from worldwide
308	laboratories, a standard lead leakage test supported by computational modeling needs to be
309	established. Also, some metrics e.g., total leaked lead concentration (C_{LL}), leakage ratio (LR),
310	SQE are recommended to be measured in a standard way, and two occasions (i.e., water soaking,
311	water dripping) of perovskite exposure in case of a harsh weather conditions (acidic and heavy
312	rain) are mimicked as listed in Table 2 and illustrated in Fig. 3a . Moreover, the aged perovskite
313	films instead of completed devices, together with or without delaminated encapsulants, should
314	be used in the lead leakage measurement to simulate the case of full exposure of perovskite
315	layer to water. In addition, biological tests can also be performed to evaluate the influence of
316	the leaked leads on the growth of plants or animals.

317

4. Conclusions and Future Prospects

Research on lead based PSCs has advanced rapidly both on the efficiency and stability front. It is time now to examine how to pursue the next phase of implementing this promising technology on the large industrial scale with sustainability in mind, avoiding any possible leakage of lead in the environment from the preparation of the precursors to the long-term working-life of the solar panel. The question is: which options researchers should focus on to fulfill the goal of developing a safe technology that does not harm the environment or human 324 health, and which practices need to be abandoned.

325 4.1 Toward lead immobilization in PSCs

As already surveyed in this Perspective, techniques for lead scavenging in PSCs range from *in-situ* grain encapsulation, reducing lead solubility, strengthening internal connectivity and capturing leaked lead. Considering the available means to avoid lead contamination and situations that may lead to device damage, a future blueprint for lead-immobilization can be outlined.

331 In order to improve SQE without sacrificing device performance, the optical constants (e.g., 332 refractive index, absorption coefficients) and electrical properties (e.g., conductivity, energy 333 levels) of the additives used at interior of the PSCs for lead-immobilization need to be further 334 optimized to eliminate their influence on photocharge generation and extraction. Moreover, the 335 interaction between the additives and lead precursors should be tuned by adjusting the 336 coordination strength, additive concentration or processing methods to improve perovskite 337 crystallinity. Different lead-immobilizing approaches can be combined within one device. For 338 instance, combining interior strategies (*i.e.*, structural integration) to prevent the majority of 339 lead escape from the device, and chemical capturing of leaking lead with lead-adsorbents in 340 encapsulants, as illustrated in Fig. 3b.

Further investigation of the temporal dynamics of lead escape from PSCs and lead capturing from water at different environmental conditions (e.g., pH, temperature) can also provide guidelines for the optimization of lead-immobilization strategies toward practical application¹²⁴. To guarantee sufficient lead-immobilizing capacity and resistance to redissolution, researchers should choose additives abundant anchoring sites, such as by grafting 346 the lead-chelating functional groups on a backbone with high specific surface area (e.g., CNT³⁴, graphene⁵⁶, metal of framework⁵⁷), and persistent binding energy with Pb^{2+} at a broad range of 347 348 environmental conditions. Moreover, some environmentally friendly and degradable agents 349 such as magnetic nanoparticles, chitosan composites, which exhibit promising activity to remove lead from wastewater in a wide range of pH and temperature¹²⁵⁻¹²⁷, might be explored 350 351 as lead-adsorbents in PSCs to improve their availability at various weather conditions without 352 further pollution. Even though the bioavailability of immobilized lead is significantly reduced, 353 these compounds might be re-dissolved and cause potential hazards during long-term exposure. In this respect, lessons from hydrogel of polyamides⁴¹ or self-bundling of CNT³⁴ to precipitate 354 355 the lead products from water, and integration of perovskite layer within the device to prevent 356 its delamination and fragmentation in environmental water, are desirable.

357

358 **4.2 Considering the full life cycle of PSCs**

359 Lead leakage should be avoided when considering the full life cycle of PSCs. Starting 360 with the preparation of lead halide perovskite films for PV applications, the overwhelming 361 majority of researchers have adapted spin coating as their method of choice; this should be 362 replaced by other solution processing methods such as slot die coating, screen printing or doctor 363 blading, as these waste only a very small percentage of the perovskite precursors compared 364 with spin-coating. Also, lead-immobilization strategies can be introduced into the precursor 365 solutions to reduce the bioavailability of lead-containing waste. PSCs should be specifically 366 optimized to prevent lead leakage according to their application. For example, flexible 367 electronics are directly accessible to humans because of their potential applications in daily life,

and the plastic substrates are prone to damage because they are sensitive to light, heat, scratching, and bending. Therefore, self-curing ability by mixing healable materials¹⁰² and strain modulation to facilitate stress relaxation¹²⁸ should be endowed in flexible PSCs to improve their mechanical resistance.

372 Strategies should also be developed to dispose end-of-life perovskite devices. Ideally, all 373 components of the multilayered PSCs should be recycled for reuse to save their energy-374 payback-time, especially the lead compounds because of their toxicity. Techniques of leadrecycling from PSCs were generally based on electrochemical deposition¹²⁹, selective solvent 375 extraction¹³⁰⁻¹³², desorption from precipitated lead compounds^{6,133}, and so on^{30,134}. Even though 376 377 very high recycling yield can be achieved $^{6,129-133}$, these lead-recycling methods involve a large 378 amount of organic solvents and complex energy-consuming processes, which increase the 379 recycling cost and challenge their large-scale application. In addition, we should note that leadimmobilization with highly complexed Pb²⁺ would be a challenge for lead recycling, so the 380 381 potential for lead-recycling should be considered as one of the criteria for the selection of lead-382 immobilization strategies.

Finally, practical deployment of optoelectronic devices based on lead halide perovskites should be accompanied with in-depth occupational and local population risk assessments, and the prevention of lead leakage during their operation and at end-of-life is not only legally required but also an ethical obligation. Specific legislation on lead usage can drive innovation on the development of strategies in lead immobilization and device recycling. Meanwhile, emergency remediation must be planned to mitigate unintentional air-borne emission of lead soil pollution in case of fire accidents. Moreover, the PSCs should pass a standard test to ³⁹⁰ evaluate the potential risk of lead leakage prior putting them into the market.

391

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398

399 Author contributions

- 400 N-G.P. and H.Z. conceived the idea for the study. H.Z. wrote the first draft. J-W.L., R.H., A.A.
- 401 and M.G. contributed to the writing. N.G.P. edited the manuscript. All authors commented on
- 402 the manuscript. H.Z., J-W.L., A.A. and N-G.P. contributed to the preparation of the figures.

403

404 **Competing interests**

- 405 The authors declare no competing interests.
- 406

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Figure legends

830	Fig. 1: Lead leakage pathways from PSCs and estimation of their potential environment
831	impact. Schematic illustration of lead leakage pathways to the underground water during
832	device fabrication and out-door operation, and estimation of their impact to the level of human
833	lead weekly intake (LWI), which was calculated by considering the world population and total
834	PSC leads required for electricity generation in 2050, compared with the adult LWI limit and
835	human LWI 3000-5000 years ago.
836	
837	Fig. 2: Lead immobilization methodologies in PSCs. Grain isolation with hydrophobic
838	polymers, oxides or insoluble lead salts to block water ingress; Complexation of additive and
839	lead compounds with low K_{sp} allowing to precipitate from environmental water; Structural
840	integration with enhanced interconnectivity to prevent structure fragmentation and layer
841	delamination; adsorption of lead ions using embedded lead-adsorbents at exterior of the device.
842	
843	Fig. 3: Proposed lead leakage measurements and device structure for lead immobilization.
844	a , schematic illustration of detection of leaking leads in case of water soaking of the perovskite
845	layer and water dripping from the perovskite layer with precisely defined metrics, e.g. lead
846	leakage ratio (LR), leaked lead concentration (cLL) and lead sequestration efficiency (SQE),
847	and the evaluation of potential impact of the leaked leads on the growth of plants and animals,
848	and b , proposed device structure for Pb-immobilization including interior and exterior
849	strategies.

	Grain isolation	Lead complexation	Structure integration	Chemical adsorption	
Mechanism	Prevent water	Decrease solubility of	Prevent perovskite	Capture leaked Pb2+	
	contact with	degraded Pb compounds	defragmentation	from water	
	perovskite				
	Hydrophobic	Additives with lead-	Porous scaffold, cross-	Lead adsorbents.	
Materials	oxides, polymers	chelating groups.	linkable monomers, etc.		
	or lead salts.				
	grain boundaries	Perovskite bulk	Bulk, surface and	Exterior of the device	
Location	and surface		interface of perovskite		
Lead adsorbing	Limited due to its	Limited due to its effect	Independent on the	Relatively high	
capacity	effect on charge	on perovskite	loading amount		
	transport	crystallization			
Lead selectivity	High (pre-isolated	High (pre-complexed	High (pre-integrated	Can be disturbed by	
	Pb ²⁺)	Pb ²⁺)	Pb ²⁺)	environmental factors	
Responsivity	Before leakage	Before leakage	Before leakage	After leakage	
	Challenging	Challenging because of	negligible influence	No influence	
Lead recycling	because of the	low solubility of Pb			
	isolated Pb	complex			
	83% ⁴³	58.3% ³⁵ , ~73% ³⁸ ,	71.9% ⁴⁰ , 94% ⁴¹ ,	98% ¹²¹	
SQE (full device)		62.5% ³⁷ , 58.4% ³⁶ ,	82.9% ⁴²		
		70% ³⁴			
SQE (broken	-	-	-	>99.9% 53,55-57	
device)					
PCE increase	$+8.5\%^{43}, +10\%^{44},$	$+9.5\%^{35}, +17\%^{38},$	$+10\%^{41}$, $+6.5\%^{40}$,	$-1.1\%^{121}, +1.5\%^{54},$	
	$+3.3\%^{45}$	$+1.9\%^{37}, +15\%^{36},$	$+9.4\%^{42}$	$+0.4\%^{56}, +1.9\%^{55}$	
		+14% ³⁴			
Lifetime increase	>100% ⁴³⁻⁴⁵	>100% ³⁴⁻³⁹	+67% ⁴¹ ,	negligible influence	
			+87.5% ⁴⁰ , >100% ⁴²	56,90	
	-	$17.36\% (19.32 \text{ cm}^2)^{37}$	15.7% (19.16 cm ²) 41 ,	$16.3\% (60.8 \text{ cm}^2)^{53}$,	
Scalability			$16.75\% (24 \text{ cm}^2)^{42}$	$14.98\% (25 \text{ cm}^2)^{56},$	
				18.5% (31.5 cm ²) ⁵⁵	

Table 1: Quantitative analysis of lead-immobilization strategies

Table 2: Recommend testing conditions of lead leakage for PSCs

	Conditions	Specifications					
Water	$pH = 4.2$; 0.1 ppm Ca^{2+} ; dripping speed: 5	The pH values and competing Ca ²⁺ ions are used to mimic					
	ml $h^{\!-\!1}$ per cm^2 device; soaking with 120	acidic rain. The water volume and flow rate is to simulate one					
	ml water per 1 cm ² device; room	day soaking and dripping under heavy rainfall (50 mm h^{1}).					
	temperature or mediated temperature.						
Samples	Aged perovskite films.	To mimic the case of full exposure of perovskite layer to water.					
Metrics	Lead leakage ratio (LR), leaked lead	$LR = \frac{\text{leaked lead from perovskite}}{\text{lead contained in perovskite}} \times 100\%$					
	concentration (<i>c</i> _{LL}) and <i>SQE</i> .	$c_{LL} = \frac{\text{leaked lead from perovskite}}{\text{volume of exposed water}}$					
		$SQE = \left(1 - \frac{\text{leaked Pb from immobilized perovskite}}{\text{leaked Pb from pristine perovskite}}\right) \times 100\%$					

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Box 1 | The physico-chemical roles of lead in perovskite devices

The prototypical ABX₃ metal halide perovskites consist of three-dimensional corner sharing BX₆⁴⁻ octahedra with A⁺ cations occupying cubo-octahedral sites. The A is a monovalent organic or alkali metal cations (*e.g.*, MA⁺, FA⁺, or Cs⁺), B is a divalent metal cation (*e.g.*, Pb²⁺ or Sn²⁺), and X is a halide anion (*e.g.*, Γ , Br⁻, or Cl⁻). While any ions satisfying the geometrical constraints can be considered to build perovskite structure, not all the compositions qualify for the light absorber of high-performance photovoltaics.

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A fundamental question around the toxicity issue of the perovskite is if it is possible to realize 863 864 the excellent optoelectronic properties of the perovskite without Pb. Although some progress 865 has been made, the PCE and stability of the lead-free PSCs are still far lower than that of lead 866 halide perovskite photovoltaics. In consideration of characteristic orbital mixing configuration 867 contributing to excellent optoelectronic properties of the lead halide perovskites, there have 868 been attempts to replace the Pb with other metals of similar orbital configurations. Owing to 869 the identical valence shell electron configuration, the Group IV elements have been adopted. 870 Among them, the most widely studied material is tin (Sn)-based perovskites¹⁶. The Sn²⁺ has similar ionic radius (118 pm) with Pb^{2+} (119 pm) alongside lone pair 5s and empty 5p orbitals 871 872 whose effective nuclear charges (Zeff) are 10.63 and 9.10, respectively^{135,136}. However, the Sn²⁺ has tendency to be oxidized to Sn^{4+} (standard reduction potential, $E_0 = 0.15$ V for $\text{Sn}^{2+}/\text{Sn}^{4+}$ 873 versus $E_0 = 1.67$ for Pb²⁺/Pb⁴⁺)¹³⁷, probably due to lack of lanthanide contraction resulting in 874 relatively smaller Z_{eff} for 5s lone pair electrons than those for 6s ones in Pb^{2+ 14}. Consequently, 875 unintended generation of Sn⁴⁺ in perovskite films results in high defect densities and thus poor 876

877	optoelectronic properties ¹³ . Meanwhile, the acute toxicity of the SnI ₂ was suggested to be rather
878	higher than that of PbI2 ¹³⁸ . Germanium (Ge) is another Group IV element with the identical
879	valence electron configuration ¹³⁹ . However, its smaller ionic radius (73 pm) and even higher
880	tendency to be oxidized ($E_0 = 0.0 \text{ V}$ for $\text{Ge}^{2+}/\text{Ge}^{4+}$) cause poor optoelectronic properties and
881	stability ¹⁴⁰⁻¹⁴² . In effort to search for stable lead-free perovskites, other composition based on
882	ns ² -containing Bi ³⁺ and Sb ³⁺ have been also explored. However, these compositions form lower
883	dimensional crystal structures with relatively wider bandgaps and poor charge transporting
884	capabilities ¹⁶⁻¹⁸ . Double perovskites consisting of Ag ⁺ -Bi ³⁺ or Ag ⁺ -Sb ³⁺ or other combinations
885	of M ⁺ and M ³⁺ ions form a structurally three dimensional corner sharing octahedra network,
886	but their lower electronic dimensionality hampers efficient carrier transport ¹⁷ . Thus, at present,
887	Pb is the most promising element with respect to optoelectronic properties, and thermodynamic
888	and environmental stability of the perovskite crystals (Table).

Table: Typical properties of lead and other alternative ions and halide perovskites (related)

	Pb ²⁺	Sn ²⁺	Ge ²⁺	Bi ³⁺	Sb ³⁺	M ⁺ -M ³⁺	
Effective ionic radius	119 pm	118 pm	73 pm	103 pm	76 pm	-	
Oxidation state stability	0	2	X	C)	0	
3D Perovskite formability	0	(C	Х		X O (double perovskite)	
Defect-tolerant orbital configuration	0	(C	0		0	
Suitable bandgap	O (direct, ~1.5- 3.0 eV)	((direct, ~) 1.2-3.5 eV)	X (indirect, ~2.0-3.0 eV)		X (indirect, ~2.0-3.0 eV)	
High isotropic carrier transport capabilities (MX ₆ connectivity)	0	(C	X (1D) (electr		X (electronically 0D)	

compounds containing those ions^{15,17,18,137,139,142}

892 O: achievable, X: unachievable





Lead Immobilization Methodologies

