2023-06-26

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Foster, A

https://pearl.plymouth.ac.uk/handle/10026.1/21005

10.1136/bmjopen-2023-074785
BMJ Open
BMJ Publishing Group

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**BMJ Open**

Developing the evidence and associated service models to support older adults living with frailty to manage their pain and to reduce its impact on their lives: protocol for a mixed-method, co-design study (The POPPY Study)

Lesley Brown,1 Rahena Mossabir,1 Nicola Harrison,1 Natalie Lam,2 Anne Grice,1 Andrew Clegg,3 Amanda C de C Williams,4 Deborah Antcliff,5,6 Patricia Schofield,7 Asim Suleman,4,8 Anne Forster

**ABSTRACT**

**Introduction** The Pain in Older People with Frailty Study is a mixed-method, co-design study, which aims to develop the content, implementation strategies, service and professional guidance to support older adults with frailty to manage their pain.

**Methods and analysis** The study has four phases: Phase 1, research evidence and information synthesis from randomised controlled trials of multicomponent pain management programmes and psychological therapies for community-dwelling older adults. Phase 2, qualitative interviews with 30 community-dwelling older adults (≥75 years) living with frailty and persistent pain, including dyadic interviews with a spouse or unpaid carer. Phase 3, qualitative interviews with healthcare professionals (HCPs) working within various pain service types; 5–8 HCPs per service and up to 12 services including primary care, secondary care, tertiary centres and services with voluntary sector input. Phase 4, co-design workshops with older adults, HCPs and commissioners. Inclusion criteria (Phase 2): community-dwelling older adults (≥75 years) living with frailty and persistent pain. Exclusion criteria (Phase 2): care home residents, a dementia or cancer diagnosis. Cancer survivors, ≥5 years cancer free, and not undergoing active cancer treatment can participate. Analysis for Phase 1 will use narrative synthesis, Phase 2 will use grounded theory analysis and Phase 3 will use thematic analysis. Oversight is provided from a patient and public involvement group and an independent steering committee.

**Ethics and dissemination** The protocol was approved by Leeds-East Research Ethics Committee on 28 April 2022 (22/HV/0080). Consent is sought if an individual is willing to participate (Phases 2–4) and has capacity. Findings will be disseminated at conferences, in newsletters and journals and to local authorities and charities.

**INTRODUCTION**

Chronic pain—persistent pain of at least 3-months duration—is common among older adults as a consequence of arthritic and other diseases.1 Pain is more common in older adults with other chronic diseases.2 Prevalence ranges from 25% to 76%, depending on population sampled and methodology.1,3 Pain contributes to disability and emotional distress1,3 and the cumulative and varied effects of pain worsen the pain itself and increase its impact on everyday life and mood.4 Pain is associated with disability from reduced mobility, restricted activity, falls, depression and anxiety, sleep impairment and isolation.1,5 Pain is often under-recognised and undertreated in older adults.6 Addressing pain in older adults often requires a different approach compared with a younger person.
due to concomitant multimorbidity, polypharmacy and frailty. Barriers to pain management, relevant to older adults, draw on a limited evidence base to guide treatment decisions, concerns about treatment-related harms and older adults’ beliefs about pain and how it should be managed.

Frailty is characterised by age-related decline across multiple physiological systems and vulnerability to disproportionate changes in health after relatively minor health events, such as a minor infection or a minor operation. The reported prevalence of frailty varies depending on the frailty models used, with prevalence of 12% and 24% reported among those of ≥50 years in a systematic review with data from 62 countries (1 755 497 participants).

In the last 20 years, frailty has been conceptualised as an abnormal health state in relation to the ageing process. This has resulted in the development of robust models to identify and grade severity. Frailty is considered a long-term condition requiring long-term strategies and interventions. This has been facilitated by the ability of UK general practices to readily identify older adults living with frailty for appropriate services, using the electronic Frailty Index (eFI) now embedded within UK primary healthcare records.

Pain prevalence between 31% and 60% was reported in a review of cohort and cross-sectional studies of community-dwelling older adults with frailty. Older adults with frailty are about three times more likely to experience intrusive pain, which interferes with normal work compared with fit adults, are about three times more likely to experience intrusive pain, which interferes with normal work compared with fit adults, than expected from population estimates, and there is a particular neglect of how frail older adults respond to or engage with healthcare services compared with other groups. The healthcare burden of those with living with pain and frailty is not matched by the volume of research in this group. Pain, or pain impact, is potentially modifiable, and therefore makes an important target for services for older adults living with frailty.

The presence of frailty identifies older adults with multimorbidity at high risk of falls, disability, hospitalisation and care home admission. Frailty negatively impacts on quality of life, caregiver burden and health and social care use. Older adults living with frailty are an ‘underserved population’ with lower inclusion in research than expected from population estimates, and there is a particular neglect of how frail older adults respond to or engage with healthcare services compared with other groups. The healthcare burden of those with living with pain and frailty is not matched by the volume of research in this group. Pain, or pain impact, is potentially modifiable, and therefore makes an important target for services for older adults living with frailty.

METHODS AND ANALYSIS

Aim
To develop the content, delivery mode, implementation strategies, service and professional support and guidance to enable older adults with frailty to better manage their pain and reduce its negative impact on their lives, relationships, functioning and quality of life.

Objectives
1. Map research evidence and information from randomised controlled trials (RCTs) of multicomponent pain management programmes (PMPs) and psychological therapies (PTs), to develop hypotheses about processes and change mechanisms to inform the content and implementation strategies to meet the needs of older adults with frailty.
2. Undertake qualitative interviews with older adults living with pain and frailty to understand how they experience pain, engage with healthcare professionals (HCPs) about their pain and what service models are required to optimise access and provide support.
3. Undertake qualitative interviews with HCPs from a variety of pain services to identify opportunities and barriers to engaging older adults with pain and frailty into pain management services.
4. Develop and operationalise theory-informed pain management guidance specific to the needs of older adults with frailty in service contexts through co-design involving relevant stakeholders (HCPs, commissioners, older adults, relatives and carers).

Patient and public involvement (PPI)
PPI group members will meet quarterly to oversee the research programme and contribute to the development of resources and outputs. They will be offered basic training in research methods to enable them to make informed decisions and comments about the study.

The oversight PPI group will comprise up to eight members including those in later life living with pain, a spouse/family member or unpaid carer of an older person living with pain and third sector representation. Members will join meetings in-person or remotely (video-conference). One group member (AG) is a grant co-applicant and will attend the Programme Management Group (PMG) meetings.

Design
Mixed-method, co-design study with four phases:
- Phase 1: review to map research evidence of PMPs and PTs.
- Phase 2: qualitative interviews with older adults living with frailty and pain.
- Phase 3: qualitative interviews with HCPs working in a variety of pain service settings.
- Phase 4: co-design workshops (four workshops in three locations).

See figure 1.

The study started in April 2022 and will run until March 2025. Phase 1 was completed in May 2023. Recruitment for Phase 2 started in August 2022 and is ongoing. Recruitment for Phase 3 started in October 2022 and is ongoing.

Phase 1: a review to map research evidence and information from RCTs of multicomponent PMPs and PTs targeted community-dwelling older adults
In this literature review, we will identify multicomponent PMPs and PTs that have been conducted with older
adults (study participants’ mean age ≥65 years) in RCTs (published before June 2022). The information which we will collect and explore includes the intervention content (eg, exercise, education), delivery mode (eg, face-to-face, in group), pain dimensions addressed (eg, physical, cognitive, affective), theoretical or conceptual framework and which interventions are potentially effective for older adults with frailty to manage their pain. The methodology and results will be reported in detail elsewhere. The findings will inform subsequent phases of this project.

Phase 2: qualitative interviews with older adults living with frailty and pain

Overview

Phase 2 will produce a detailed understanding of the experiences of older adults of living with pain and engaging with HCPs about their pain.

The negative effects of pain extend beyond the patient and can impact on relationships. Conversely, there is evidence of enhanced emotional well-being and reduced pain with spousal participation with some pain treatments. Therefore, some interviews will include the older person and her/his spouse/partner, family member (significant other) or unpaid carer. This will enable exploration of interdependent perspectives of individuals with pain and those close to them.

Interviews will be conducted on two occasions up to 10 weeks apart. Longitudinal studies using qualitative methods prioritise exploration of phenomena over time and are helpful for exploring older adults thoughts and feelings. We do not anticipate capturing change in the pain experience in the 10-week timeframe. However, initial interviews can prompt reflection on the pain experience. Between interviews, we will ask interviewees to note examples of how pain impinges on decision-making and action. This will be supported with materials to prompt note-taking. However, older adults can still participate in the study if they choose not to document their experiences of living with pain. The participants will be living with both frailty and pain and some may find it overly burdensome. If a participant chooses not to proceed, or is unable to proceed, with the second interview, we will seek permission to still retain and use the information already collected from the first interview.

Inclusion criteria

Participants will be recruited from The Community Ageing Research 75+ (CARE75+) study (ISRCTN16588124).
CARE75+ is a longitudinal cohort study established in 2014 recruiting older adults (≥75 years). The study captures extensive observational data and provides a recruitment platform for research with older adults.

Participants will be ≥75 years and will include those living with frailty and with persistent pain. Frailty data will be captured from their latest available frailty assessment using either Fried frailty criteria (score 1, 2=pre-frailty, 3, 4, 5=frail),10 or eFI Score≥0.13.13

Exclusion criteria
Care home residents, those with an estimated life expectancy of 3 months or less, or in receipt of palliative care, or those with a dementia diagnosis or a current cancer diagnosis will not be eligible. Cancer survivors, of ≥5 years cancer free and not undergoing active cancer treatment, are eligible.

Sample identification and recruitment for interviews with older adults
We will identify CARE75+ participants who have provided consent to be approached about other studies. Additionally, those undergoing routine CARE75+ assessments will be informed about the study. CARE75+ participants will be invited that have previously indicated experiencing pain or pain impact during their routine CARE75+ assessment or are in receipt of medication prescribed for pain (eg, co-codamol) or a condition associated with pain (eg, osteoarthritis).

Eligibility screening to identify those currently meeting the criteria for persistent pain25 will be conducted during the first telephone contact. See figure 2. Researchers will make note of those invited that are not eligible, do not want to participate (and the reason if provided) and those unable to be contacted.

Informed consent
Researchers will assess an individual’s capacity to consent. Consent will be gained from those with capacity to make a decision about participating. Those who lack capacity will not be included. Consent can be provided via a written consent form or verbally (audio-recorded).

As well as mandatory consent items, the consent form will include optional items that will enable the researcher to: invite the participant’s significant other or unpaid carer to participate in dyadic interviews (if applicable); invite the participant to the co-design workshops at a later date (Phase 4); and to access participant information provided as part of the CARE75+ study assessments, for example, information on health conditions, mood or quality of life. This information will help provide a contextual picture of the person, to supplement interview findings.

Separate consent will be sought from the significant other or unpaid carer to participate in dyadic interviews.

Sampling frame
We will interview up to 30 older adults. Some interviews will be dyadic, including a significant other or unpaid

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**Figure 2** Study flow diagram for the identification and recruitment of participants for Phase 2 of the Pain in Older People with Frailty (POPPY) study.
carer. We will purposively sample to include people from different geographical locations, from a mixture of urban and rural locations and spanning the least deprived and most deprived location. Deprivation levels will be ascertained from the English Index of Multiple Deprivation data from postcodes.

We will include people from different living circumstances (living alone/with spouse) and with different levels of frailty. We will include people from different ethnocultural backgrounds if feasible to do so mindful of language constraints. Furthermore, as we simultaneously collect and analyse data, we will adopt theoretical sampling to support theory generation.

**Topic guides**

Topic guides will be informed from current pain management guidelines (eg, National Institute for Health and Care Excellence), PMG members and the PPI oversight group. Topic guides will be piloted with PPI oversight group members to ensure the questions prompt meaningful discussions. Topic guides will be revisited after initial interviews and adapted accordingly to reflect emerging topics.

**Interviews**

Interviews (up to 10 weeks apart) will take place in the person’s home, by telephone or video-conference depending on the participant’s preference.

**Data analysis**

Interviews will be audio-recorded and transcribed verbatim. We will employ grounded theory analytic techniques including simultaneous data collection and analysis, constant comparison and search for negative cases and engage with research findings from the literature review mapping exercise (Phase 1). Coding will be managed using NVivo software V.12.

Anonymous findings will be shared with the oversight PPI group to identify case study examples that will be developed into vignettes and shared in Phases 3 and 4 to inform discussions.

**Sharing findings with dementia groups**

We plan to share findings from Phase 2 with at least one community dementia group to get their comments and feedback.

**Phase 3: qualitative interviews with HCPs working within a variety of pain services**

We will identify up to 12 services that provide treatment for persistent pain (details of service identification below). The service manager (or designate) will complete a questionnaire about their service and they will identify HCPs to participate in qualitative interviews to understand the barriers and facilitators to engaging older adults with frailty into their service. This will provide an understanding of the perceptions, attitudes and barriers to engagement in pain services for older adults with comorbidities and with frailty. Data on what support HCPs consider necessary to better support older adults living with pain and what resources might be most useful will be gathered.

**Service identification**

We will purposively select up to 12 pain services across 3 regions in England (Yorkshire, North West and the South West Peninsula). We will contact integrated care systems, clinical research networks, use PMG contacts, review data from previous pain service audits and via online National Health Service (NHS) directories to identify pain services in those areas in terms of organisational location (primary care/community care based) and provider type (NHS, third sector, private). We will engage with commissioners and clinicians in the areas to understand if specific pain services have been commissioned or are under consideration for people with frailty. From the identified services, we will purposively select a range of service types, organisational locations and providers (three to four services within each of the three geographical locations) with a mixture of population characteristics.

**Service questionnaire content**

The service manager/service lead (or their designate) will complete a questionnaire to provide information about their service. The questionnaire will include questions on service setting and referral options, waiting times to access the service, service aims, eligibility criteria, service exclusions, and modes of delivery, staff disciplines and the characteristics of those typically using the service (eg, age, ethnicity and inclusion of non-English speakers), the treatments provided and the accessibility of the service. There will be a mixture of open-ended and close-ended questions.

**Administration and analysis of the questionnaire**

The service manager/service lead (or their designate) in each participating service will be asked to complete the questionnaire via an email link, using the survey tool, Redcap. The questionnaire recipient will be asked to complete it within 1 month and prompted via an email if it is not completed within this time period. On submission of the completed questionnaire, data are automatically uploaded onto a secure server at Bradford Teaching Hospitals NHS Foundation Trust. Quantitative data from the demographic and closed-ended survey questions will be reported using descriptive statistics. Qualitative data from the open-ended questions will be analysed using thematic analysis.

**Identification of HCPs for telephone interviews**

We will conduct interviews with approximately 5–8 staff members in each participating service to gain an in-depth understanding of provision and professional perceptions of locating services for support with pain for older adults with frailty within local health systems. We will include psychologists, nurses, physiotherapists, occupational therapists, doctors and voluntary sector staff. We will aim for representation from the range of roles within...
each service, depending on the service type. The service manager/service lead (or their designate) will be asked to approach staff within the service for their initial expression of interest to participate in the interviews. Interviews will be conducted by telephone or teleconference. The cost of staff time will be reimbursed to the organisation.

Informed consent for interviews with HCPs within the service
The researcher will provide an information sheet and explain the purpose of the study. Staff will provide fully informed consent before they participate. Consent will be audio-recorded or the participant can complete a consent form.

Topic guide for telephone interviews with HCPs
Interviews will cover perceptions, attitudes and barriers to engagement in pain services for older adults and particularly those with frailty. We will gather data on what support HCPs consider necessary to better support older adults living with pain and what resources might be useful; the key components of pain services for older adults with comorbidities and with frailty; and what information commissioners need to know when developing/funding pain services for older adults with comorbidities and frailty; what extra training for HCPs might be required; what other services need to be involved/linked in and how to improve accessibility.

Topic guides will be developed from ongoing findings from Phase 2 and with input from the oversight PPI group and the PMG. Topic guides will be adapted if new topics emerge as the interviews progress.

We will include one or two case study vignettes to encourage discussion and reflection. The oversight PPI group will help construct vignettes, developed from the older peoples’ interviews or PPI members’ experiences of living with pain, to understand how HCPs might manage a particular situation with an older person presenting with pain and frailty.

Analysis of HCP interviews
Interviews will be recorded and transcribed verbatim. We will employ an interpretive descriptive approach to analysis to construct a summary of professionals’ accounts of their work in pain management. This approach is an interpretative phenomenological analysis, a form of thematic analysis. Coding will be managed using NVivo software V.12.

Sharing findings with dementia groups
We plan to share findings from Phases 2 and 3 with at least one community dementia group. Their comments and feedback will be shared with the stakeholder groups in the co-design workshops (Phase 4).

Phase 4: co-design workshops with older adults, HCPs and commissioners
We will use a co-design approach with multiple stakeholders to inform supportive interventions that are workable in different service contexts and that meet the specific needs of older adults living with frailty.

Workshop participants
We will establish stakeholder groups in the three different locations (Yorkshire, North West, and South West Peninsula). The study will include older adults, their significant other or unpaid caregiver, PPI member contacts, multidisciplinary professionals involved in pain services or services for older adults, service managers and commissioners. Some stakeholders will have participated in Phases 2 and 3. All stakeholders will be provided with information and will provide informed consent, including those that have participated in earlier study phases.

Resources for workshops
Outputs from each of the empirical studies (Phases 1–3) will provide the vignettes or ‘knowledge resources’ to facilitate discussion between stakeholder groups. This will iteratively inform the co-design work in sequential steps. The oversight PPI group will contribute towards the creation and selection of the knowledge resource vignettes for the co-design workshops. This will include selecting key themes/topics from findings from Phases 2 and 3 they consider impactful and pertinent.

Workshop plan
We plan four workshops in the three participating sites. Workshops will be located to minimise travel burden. Workshops will last approximately 2 hours and include a refreshment break. The option to join the workshops remotely (by teleconference) will be available. Participants will receive participant information sheets prior to the workshops, which will provide background study information and outline the workshop process.

Workshop 1
The first workshop will provide the background to the study, an ‘ice-breaker’ session and an opportunity to develop an understanding of the co-design process and to consider ways to work collaboratively.

Workshops 2 and 3
Participants will be asked to reflect on the knowledge resources generated from the previous study components. These will include narratives, illustrations or audio-visual podcasts.

Workshop 4
Participants will consider ideas about what changes in services are required, and what support is required to enable access to, and provide appropriate assistance with pain management to older adults living with frailty. This information will be combined with other sources of information, from early study phases to help identify barriers that will need to be overcome in each local setting to facilitate change, optimise service take up and provide better support.
Costing of a pain and frailty service
A health economist will undertake a costing analysis of a pain and frailty service. This will consider costs of resource inputs associated with delivering the pain and frailty service. Key inputs include staffing and training, service delivery and associated technology among other components of a good pain and frailty service as identified in objective 4. We anticipate a range of costing scenarios, depending on whether a completely new service or service components would be required, or if an established service could be extended.

Outputs
Outputs from the three co-design groups will be summarised and presented to the oversight PPI group and the PMG. The agreed final outputs will draw on all layers of stakeholder work. Outputs will be a synthesis of sets of interventions, processes and guidance for designing, commissioning and implementing pain management services for older adults with frailty. The primary outputs will include a pain and frailty service commissioning pack.

Pain and frailty service commissioning pack
The commissioning pack will provide practical guidance for healthcare commissioners to facilitate and improve services for older adults living with frailty and pain. This will include:
- The case for change including the evidence and background to pain and frailty.
- Case study examples of living with frailty and pain.
- Examples of services successfully meeting the needs of older adults living with pain and frailty (if identified).
- Examples of HCPs working successfully with older adults with frailty and pain (if identified).
- How older adults should be identified and referred for services and different routes to optimise take-up.
- How services might be located within current services, or aligned to existing services.
- The components of a good frailty and pain service:
  - Format (group, individual, web access).
  - Duration of participation in the service.
  - Service components (eg, peer support, education, exercise, coping strategies, PTs).
  - Which HCPs should be involved in delivering the service.
  - How services might better support older adults from black, Asian and minority ethnic communities (if differences emerge from the study findings).
  - How services might support people with different levels of frailty (if differences emerge from study findings).
- Case examples of local implementation guidance and how good practice might be adopted and customised for local needs.
- An outline of the potential costings of a new pain and frailty service or service components.

ETHICS AND DISSEMINATION
The Pain in Older People with Frailty (POPPY) Study is a mixed-method study with low risk to participants. The POPPY Study protocol was approved by the 28 April 2022 from The Yorkshire & The Humber—Leeds East Research Ethics Committee (Reference: 22/YH/0080). Consent is sought if an individual is willing to participate (Phases 2–4) and has capacity.

The research will generate new knowledge and associated guidance for interventions, implementation strategies and services to improve access and deliver targeted support to reduce the pain burden of older adults living with frailty. Findings will be disseminated in numerous formats such as conferences, newsletters and journal papers and to a variety of groups including local authorities, age UK, the British Pain Society and charities including Versus Arthritis.

DISCUSSION
The need to develop new models of care for older adults living with frailty is highlighted in the NHS Long Term Plan. In light of population ageing and the increasing numbers of people in advanced older age, targeted services addressing the specific needs of people with frailty are required. Older adults living with frailty are more likely to live with pain and for pain to have more impact on their lives than for fitter older adults. Pain can contribute to worsening frailty and an increase in incident frailty. As such, pain makes a suitable target for services for older adults. To date, we do not know the best way to deliver services for older adults living with both frailty and pain, where services should be situated to optimise uptake and adherence and what the components of a good pain and frailty service should include.

The POPPY Study will use a mixed method co-design approach, working with relevant stakeholders to develop service and professional guidance to support older adults with frailty to better manage their pain. A PPI group will contribute to all phases of the study. The POPPY Study will include the views of an underserved group of older adults, that is, those with frailty, often under-represented in research. Additionally, this study will include a range of different service models to understand the advantages and disadvantages of different service types to optimise take up and improve outcomes for this particular population.

Identifying POPPY participants from the CARE75+ cohort is an efficient and cost-effective way to identify a well-phenotyped population of older adults in terms of their frailty characteristics. The opportunity to capture additional information, from those that agree, from previously collected assessment data, including data on mobility, health conditions, loneliness and quality of life, will provide comprehensive contextual information to supplement interview findings. In-depth interviews will provide a detailed understanding of the impact of pain in...
this population, how they currently engage with services and the reasons why some do not.

Some participants who participate in the interviews (Phase 2) will be invited to co-design workshops (Phase 4). Workshop participation will require a degree of engagement that may be beyond the scope of those living with dementia. As such, those living with dementia have not been included in this study. However, we plan to share findings from Phases 2 and 3 with at least one community dementia group to get their comments, insight and feedback. This will be shared with the stakeholder groups in the co-design workshops (Phase 4) so there is some recognition of the applicability of earlier findings to older adults living with dementia.

Older adults living in a care home or nursing home are not eligible for the POPPY Study. We acknowledge that pain is an important issue, including for those living in care or nursing homes. However, the typical characteristics of care home residents, often including a high prevalence of dementia, dependence for basic activities of daily living and very limited mobility, mean that service guidance would be different for this population. As such, this was beyond the scope of this study.

Furthermore, for Phase 2 of the POPPY Study, older adults with a current cancer diagnosis are not eligible. Cancer survivors (at least 5 years cancer free and not undergoing active cancer treatment) can participate if they meet the eligibility criteria. Additionally, we will consult with the different services participating in Phase 3, on their guidelines for including cancer patients, or not, within their particular services.

The inclusion of a range of different pain services in different locations will enable us to consider what the best service models are to support older adults with frailty and pain. However, conducting research within NHS organisations presents challenges at the current time. This is partly as a legacy from COVID-19 and as a result of ongoing capacity issues within NHS organisations. The POPPY Study is a relatively low burden study for HCPs to participate in, and the research team will work flexibly to accommodate service staff to minimise disruption to the service. Nevertheless, services are under pressure and some services may consider they lack the capacity to participate in research at this time due to service demands. As such, some pragmatic decisions may need to be made in terms of including those services that have the capacity to participate. We will make note of services that we approach that do not subsequently participate and the reasons why if these are provided.

Author affiliations

1Academic Unit for Ageing and Stroke Research, Bradford Institute for Health Research, Bradford, UK
2Department of Health Sciences, University of York, York, UK
3Academic Unit for Ageing and Stroke Research, University of Leeds, Leeds, UK
4Research Department of Clinical, Educational & Health Psychology, University College London, London, UK
5Bury Care Organisation, Northern Care Alliance NHS Foundation Trust, Bury Integrated Pain Service, Bury, UK
6School of Medicine, Keele University, Keele, UK
7School of Nursing & Midwifery, University of Plymouth, Plymouth, UK
8Hollyns Health & Wellbeing, Bradford, UK

Twitter Andrew Clegg @CARE7study

Contributors LB, RM, NH, NL, AG, AC, AW, DA, PS, AS and AF: substantial contribution to the conception and design of the work; drafting the work and critical revisions; approval of final manuscript; accountable for all aspects of the work.

Funding This project is funded by the National Institute for Health and Care Research (NIHR) Health Service and Delivery Research programme NIHR131319. The views expressed are those of the author(s) and not necessarily those of the NIHR or the Department of Health and Social Care.

Competing interests The POPPY Study is funded by the National Institute for Health & Care Research (NIHR). Payment made to institutions for percentage of time/time contribution: LB, RM, NH, NL, AG, AC, AW, DA, PS, AS and AF. DA is funded by a National Institute for Health and Care Research (NIHR) School for Primary Care Research postdoctoral award (project reference C075) and by a Chartered Society of Physiotherapy Charitable Trust postdoctoral award (Grant number PRT/21/ P001). Payments made to the Northern Care Alliance NHS Foundation Trust and University of Keele; PS has grant/contact with FEARN Charitable Trust. Payment made to University of Plymouth; AS: Royal College of General Practitioners Chair/ Speaker fees; Mibelle Pharmaceuticals Speaker fees; MSK Champion Versus Arthritis Charity Leadership Role. Other financial or non-financial interests. AS is clinical lead for Rethinking Pain Service, Bradford

Patient and public involvement Patients and/or the public were involved in the design, or conduct, or reporting, or dissemination plans of this research. Refer to the Methods and analysis section for further details.

Patient consent for publication Not applicable.

Provenance and peer review Not commissioned; peer reviewed for ethical and funding approval prior to submission.

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ORCID iDs
Lesley Brown http://orcid.org/0000-0001-5499-9145
Rahena Mossabir http://orcid.org/0000-0001-6964-4742
Nicola Harrison http://orcid.org/0000-0002-8043-3433
Natalie Lam http://orcid.org/0000-0001-8591-444X
Andrew Clegg http://orcid.org/0000-0001-5972-1087
Amanda C de C Williams http://orcid.org/0000-0003-3761-8704
Deborah Artciff http://orcid.org/0000-0002-9771-8232
Patricia Schofield http://orcid.org/0000-0003-4242-754X
Asim Suleman http://orcid.org/0000-0002-6665-255X
Anne Forster http://orcid.org/0000-0001-7466-4414

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