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Waterbodies Thermal Energy Based Systems Interactions with Marine Environment - A Review

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25 Waterbodies Thermal Energy Based Systems Interactions with Marine 26 Environment - A Review

27 **Abstract.** Waterbodies' thermal energy potential, as a green, renewable, and limitless source of energy, can be
28 exploited in response to the growing energy demands of islands and coastal cities. Up to now, the technologies
29 that have been developed for this purpose include seawater air-conditioning, surface water heat pump, and ocean
30 energy thermal conversion systems or their combinations, which are presented here as Waterbodies Thermal
31 Energy Based Systems (WTEBSs). The growth and development of these technologies raise concerns regarding
32 their potential impacts on sustainability of the marine environment. The present work provides a comprehensive
33 review of the available literature and state-of-the-art technologies describing potential interactions of WTEBSs
34 throughout their life-cycle (i.e. including construction, installation, operation, and decommissioning) with the
35 marine ecology. Modelling of seawater discharge dispersion as one of the main environmental impact concerns
36 regarding the operation of WTEBSs is detailed and scopes for improving existing modelling tools are discussed.
37 Potential destructive impacts of fouling and corrosion in WTEBSs are reported and deterrent recommendations
38 are highlighted. Evidence of growth of bio-fouling inside of pipelines and associated mesh filtration baskets at
39 abstraction pipe intakes are presented. The required permitting applications and licensing processes for
40 installation and operation of WTEBSs by the relevant authorities are summarised. Finally, a summary of the
41 findings from the data monitoring of water quality properties of a seawater air-conditioning pilot study performed
42 at Brixham Laboratory, University of Plymouth, United Kingdom is reported.

43

44 **Keywords:** Renewable Energy; Marine Thermal Energy; Environment Impact; Dispersion; Energy resources;
45 Biofouling; Corrosion; Seawater Air Conditioning; Ocean Thermal Energy Conversion; Surface Water Heat
46 Pump

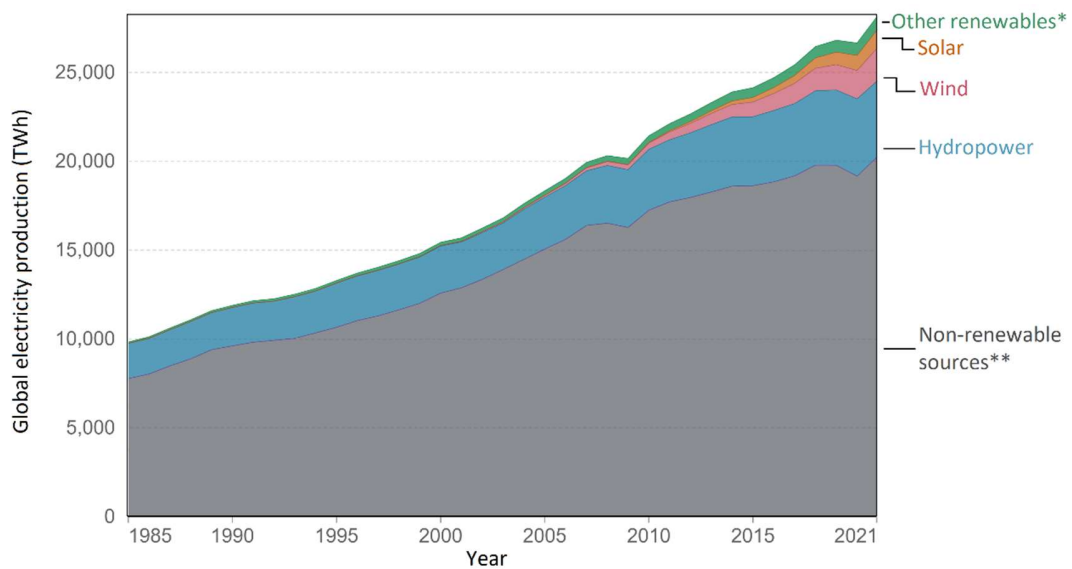
47 **1 Introduction**

48 Anthropogenic global warming is a direct consequence of activities such as burning of fossil fuels (coal, oil and
49 gas), which causes large emission of greenhouse gases (GHG) into the atmosphere (Houghton, 2005, Meckling,
50 2018). Renewable energy technologies that exploit energy from sources such as solar, wind, wave, and ocean
51 thermal energies were developed to address the environment challenges from impacts of carbon-based fuel GHG
52 (Ullah et al., 2017, Comfort et al., 2015). The uncertainty of increasing oil prices and recent advances in efficiency
53 of renewable energy technologies coupled with increased installation capacities have accelerated development
54 of competitiveness of renewable energy alternatives in the global energy market (Gohar Ali et al., 2020, Arent et
55 al., 2011). Figure 1 illustrates the growth of renewable technologies share on global electricity production over
56 the last 35 years.

57 The thermal capacity of waterbodies (e.g., lakes, seas, and oceans) is by comparison an unlimited intact heat
58 sink or source, that can help meet the high energy demands of coastal regions and islands. To illustrate the
59 significant thermal capacity potential of waterbodies, Hunt et al. (2019) provided a comparison between energy
60 potential in seawater with other renewable electricity generation sources for cooling purposes. Their findings
61 highlighted the energy potential of $1 \text{ m}^3/\text{s}$ of seawater for cooling with $10 \text{ }^\circ\text{C}$ temperature gradient is equivalent
62 to either a hydropower plant with a generation head of 186 m with ten times the flow rate, a $488,000 \text{ m}^2$ solar
63 power plant, or typical energy generation of 21 wind turbines.

64 Waterbodies Thermal Energy Based Systems (WTEBSs) harness the thermal energy of oceans, or seas, and
65 their performance rely on the temperature of extracted seawater from waterbodies. In each waterbody region,
66 the local water temperature is a function of water depth: surface water and deep water. In case of ocean water,

67 surface water is warm water that extends to depths of a few hundred meters; beneath that is deep ocean water
68 which is cold, dense, and nutrient-rich (Hunt et al., 2021, Herrera et al., 2021). Due to the latter being higher
69 density than the former, both layers do not mix, and a transition layer called thermocline exist in between i.e., in
70 depth of between 400 m to 1,000 m (Hunt et al., 2021, Hunt et al., 2020). Figure 2 illustrates density and
71 temperature variation profiles with depth where the temperature variation in different latitudes of open oceans
72 for tropical, equatorial, and middle latitudes are different. Likewise, for waterbodies regions that are separated
73 from the deep ocean such as the Mediterranean Sea, the Sulu, Visayan, and Bohol Seas in Southwestern
74 Philippines, the temperature profiles are distinctively different from the ones in Figure 2 (Schroeder et al., 2008,
75 Ferrera et al., 2017). In the case of lakes, the water temperature below a depth of approximately 18 to 24 m may
76 remain relatively constant throughout the year (Hattemer and Kavanaugh, 2005). However, this depends highly
77 on the amount of inflow and/or outflow relative to the surface waterbody size (Mitchell and Spitler, 2013).
78



79
80 Figure 1: Global electricity production by Ritchie and Roser (2021). *Other renewables include biomass and waste,
81 geothermal, wave, and tidal, **Non-renewable sources include coal, gas, oil, and nuclear.

82 Presently, WTEBSs is generally classed into three main categories (shown in figure 3):

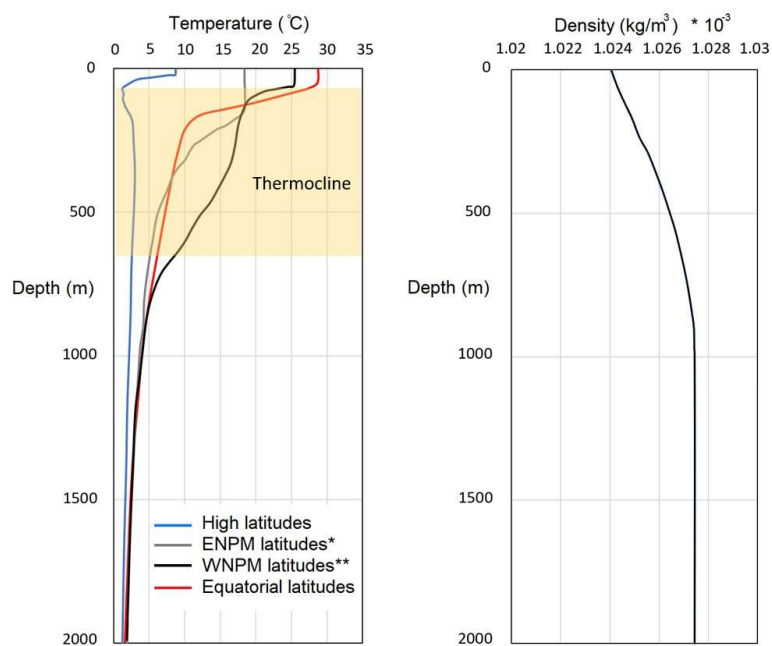
83 • Seawater Air Conditioning (SWAC): systems that exploit water from waterbodies for heating or cooling
84 demands using heat exchangers without heat pumps or chillers (Mitchell and Spitler, 2013). SWAC systems are
85 onshore-based plants with intake and discharge pipelines of adequate lengths that are shore-crossing and
86 deployed at the bottom of the seas or oceans. SWAC replaces the heaters and chillers used in conventional air-
87 conditioning (CAC) systems. This technology aims to greatly reduce the electricity consumption cost which in ideal
88 condition can be around 80% lower than that for CAC (Hunt et al., 2020, Makai Ocean Engineering Inc., 2015a,
89 Soini et al., 2017). SWAC systems can be categorised into shallow and deep seawater systems according to the
90 depth at which seawater is extracted (Hunt et al., 2019). A comprehensive list of globally deployed SWAC systems
91 can be found in Hunt et al. (2019).

92 • Surface water heat pump (SWHP): systems that benefit from heat pumps or chillers to provide heating or
93 cooling; These systems benefit from surface water as a heat source or sink (Mitchell and Spitler, 2013). Under
94 circumstances where the direct usage of seawater cannot meet the required cooling or heating demands, SWHP
95 can be introduced as a justified alternative. SWHP systems are onshore-based plants with an average coefficient
96 of performance (COP) of around 4 (Mitchell and Spitler, 2013). These systems have higher efficiency compared
97 with CAC and air source heat pump (ASHP) systems that use ambient air as a heat source/sink with an average
98 COP of around 3 (Su et al., 2020). With the rise in energy carriers' costs, SWHP has a great potential for operational
99 cost savings (Chua et al., 2010). A non-exhaustive list of SWHP around the world can be found in Su et al. (2020).

100 • Ocean Thermal Energy Conversion (OTEC): systems that generate electricity from the natural thermal
101 gradient between warm surface and cold deep ocean waters (Pelc and Fujita, 2002). The efficiency of OTEC
102 significantly depends on the ocean thermal gradient. Equatorial latitudes are ideal regions for OTEC systems as
103 they provide the maximum temperature difference between surface and deep ocean water, shown in Figure 2.
104 OTECs typically have high implementation costs and low actual efficiency of around 3% or 4%, but they are an
105 attractive renewable energy technologies as they benefit from an unlimited source of energy (Herrera et al., 2021).

106 OTEC systems can either be built onshore or offshore on floating platforms (Pelc and Fujita, 2002). In the case of
107 floating platforms, the energy can either be transported via seafloor cables or stored in the form of chemical
108 energy (e.g. hydrogen, ammonia, or methanol) that are regularly transferred to the shore by tankers (Pelc and
109 Fujita, 2002, Avery and Wu, 1994). Currently, there is a limited number of OTEC plants that operate worldwide,
110 which are either mostly small-scale or pilot systems (Herrera et al., 2021, Kim and Kim, 2020).

111



112

113 Figure 2: Typical temperature and density variations with water depth in the open ocean (Hunt et al., 2019, Talley,
114 2011). *ENPM is the abbreviation for Eastern North Pacific Middle, **WNPM is the abbreviation for Western North
115 Pacific Middle.

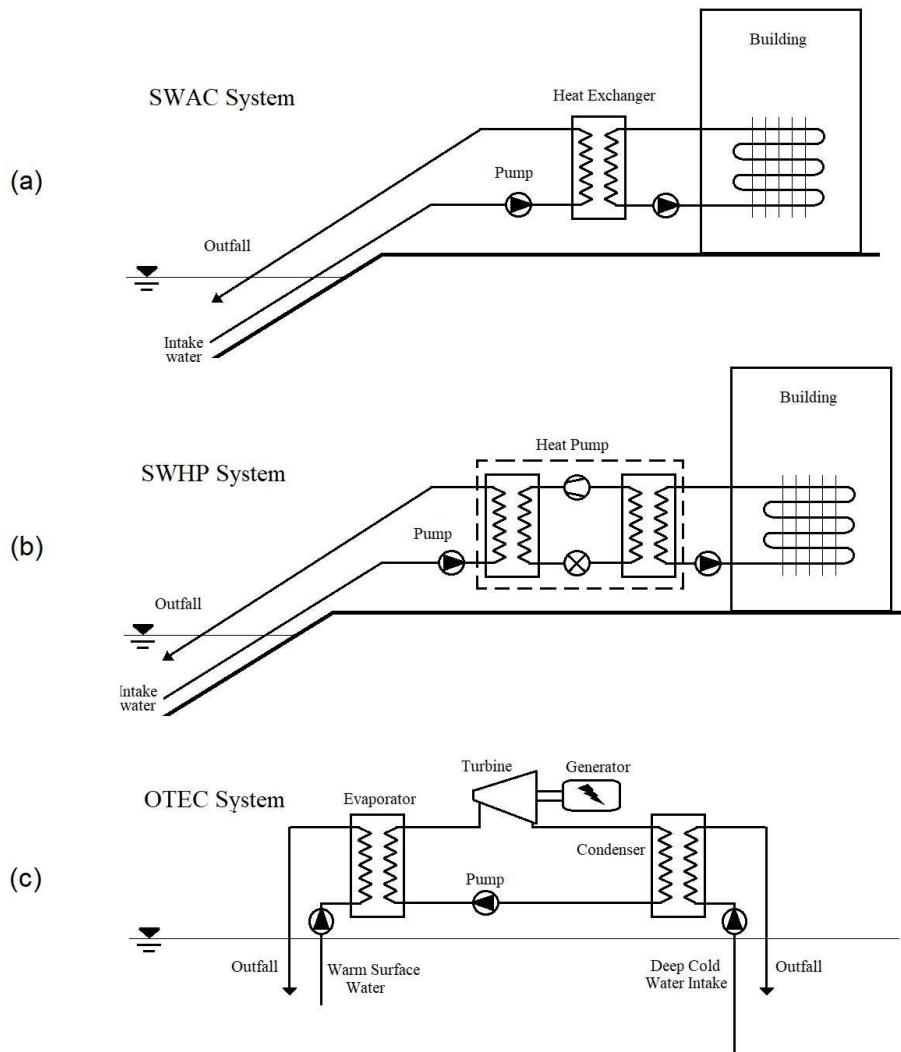
116

117 To maximise energy utilisation efficiencies, WTEBSs can be combined. A hybrid SWHP and SWAC system
118 presents a robust configuration that will be able to switch between two modes. The system works as a heat pump
119 to provide cooling and heating, in case it is designed as a reversible heat pump. When the water temperature

120 allows for it, the system can switch to the SWAC mode and utilise cool water directly for cooling purposes (Mitchell
121 and Spitler, 2013, Ciani, 1978). Such a system has been successfully deployed in different cities around the world
122 (Smebye et al., 2011, War, 2011). WTEBSs can also be combined with other technologies; for example, warmer
123 seawater outlet of SWAC systems, which can be rich in nutrients, can be used for production of algae, fish, and
124 crustaceans (Hunt et al., 2020, Von Herzen et al., 2017). In an open cycle OTEC system, which refers to systems
125 that uses seawater as the working fluid, the desalinated water (condensate) is fresh enough for municipal or
126 agricultural use, and the cold nutrient water can be applied to aquaculture (Pelc and Fujita, 2002, Avery and Wu,
127 1994). Hunt et al. (2021) proposed a combination of SWAC and reverse osmosis (RO) desalination to supply both
128 affordable water and cooling services in a one-way district cooling system that provide several advantages
129 compared to SWAC and RO individually, while reducing distribution costs. A combined system that employs an
130 offshore wind-driven hydraulic pump to supply high-pressure deep seawater to a land base cooling plant (SWAC
131 or SWHP) is proposed in many studies such as Sant and Farrugia (2013), Sant et al. (2014), Galea and Sant (2016a)
132 , Galea and Sant (2016b), (Buhagiar and Sant, 2014). With the growth of marine renewable energy technologies,
133 concerns regarding their impacts on the sustainability of marine environments have been raised (Comfort et al.,
134 2015, Pelc and Fujita, 2002, Comfort and Vega, 2011, Boehlert and Gill, 2010, Gill, 2005). To address these
135 concerns, it is critical to investigate the environmental footprint of existing WTEBSs in the effort to minimize the
136 impacts for future applications.

137 The next section details the environmental impacts of WTEBSs throughout their life-cycle. In section 3,
138 investigation on modelling of discharge dispersion as one of the main concerns regarding operation of the WTEBSs
139 is discussed. This is followed by an investigation of the effects of biofouling and corrosion during optimal operation
140 of WTEBSs and measures to control them in section 4. The subsequent section summarises the required permitting
141 applications and licensing processes for installation and operation of WTEBS by the relevant authorities. In section
142 6, the results of an environmental impact assessment study to measure the water quality parameters near the

143 discharge area of the pilot shallow water SWAC system at Brixham laboratory at the University of Plymouth, United
 144 Kingdom are reported. Finally, in the conclusion section, the findings of this study are summarised.
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147

148 Figure 3: Simplified schematic of different types of onshore- and offshore-based WTEBSs, (a) SWAC, (b) SWHP, (c)
 149 OTEC.

150

151 **2 WTEBS Environmental Impacts**

152 Anthropogenic activities are the main reasons for major changes to marine wildlife (Gill, 2005, Ferreira et al.,
153 2018). Terrestrial land uses and near-shore activities such as dredging, overfishing, oil and gas operations, illegal
154 dumping of solid wastes, and other industrial processes have dramatically implicated perturbation of the marine
155 environment (Gill, 2005, Ferreira et al., 2018, Carpenter, 2019, Barletta et al., 2016, Lima et al., 2016, Blaber et al.,
156 2000, McLusky et al., 1992, Costa and Barletta, 2015). Recently, Halpern et al. (2019) investigated the cumulative
157 impact of 14 stressors related to human activities at 21 different marine ecosystems globally during a 11-year
158 period from 2003–2013. As a result, they realised that most of the ocean (59%) is experiencing increasing
159 cumulative impact due to climate change but also from fishing, land-based pollution, and shipping. The growth in
160 the deployment of offshore renewable energy technologies also add to the risks from their interactions with the
161 marine environment. A list of newly emerging renewable energy technologies with a special concentration on
162 marine energy generation is found in Wilberforce et al. (2019) and Chen et al. (2018). The life-cycle (i.e. including
163 construction, installation, operation, decommissioning) environmental impact assessment of tidal and wave
164 energy generation devices are reviewed and evaluated in Frid et al. (2012), Patrizi et al. (2019), Baker et al. (2020),
165 Copping et al. (2021), Isaksson et al. (2020), Sayed et al. (2021), and Farr et al. (2021). Williamson et al. (2019)
166 used ecological and physical measurements to show the predictability of fish school characteristics (presence,
167 school area, and height above seabed) at a high-energy tidal site, and how this changes in the proximity of a
168 turbine structure. Similarly, Malinka et al. (2018) studied the behaviour and movement of small cetaceans around
169 a tidal turbine. Others, such as Seyfried et al. (2019) reviewed the potential environmental impacts of a salinity
170 gradient energy (SGE) facility through the construction, operation, and decommission phases. The life-cycle
171 environmental impact assessment of offshore wind turbines has been investigated in Sayed et al. (2021), Shadman
172 et al. (2021), and Hall et al. (2020). Gill et al. (2020) studied offshore wind development effects on fish and fisheries.
173 Tougaard et al. (2020) and Madsen et al. (2006) reviewed available measurements of underwater noise from

174 different wind turbines during operation and reported that the underwater noise radiated from individual wind
175 turbines is low compared to noise radiated from cargo ships. The combined noise level of a large wind farm can
176 cause negative effects on species of fish and marine mammals. Boehlert and Gill (2010) noted that devices with
177 subsurface moving parts, such as underwater turbines, are assumed to be the noisiest. An investigation on the
178 underwater operational sound of a tidal stream turbine can be found in Risch et al. (2020). The potential impacts
179 of submarine power cables during the installation, operation, and decommissioning phases on the marine
180 environment have been studied in Taormina et al. (2018), Hutchison et al. (2020), and Scott et al. (2018).

181 In this section, a review of the relevant concerns and interactions of the development of WTEBSs, including
182 different stages of construction, operation, and decommissioning, with the marine environment is provided in
183 detail. Many of the associated effects of WTEBSs are common with other types of development in the marine
184 environment which facilitate the impact assessments process, but potential uncertainties may arise when their
185 impacts have not been evaluated or anticipated accurately.

186

187 **2.1 Construction and Decommissioning Impacts**

188 The construction and decommissioning phases of the development of a WTEBS are likely to cause significant
189 positive and negative disturbances to local environmental resources and fundamental changes to the habitat, both
190 above and below the water surface (Boehlert and Gill, 2010, Cardno Tec. Inc., 2014). Their spatial scale may have
191 ecological impacts extending over several square kilometres, while temporal scales are both short- and long-term
192 on marine environments (Gill, 2005, Iglesias et al., 2018). The magnitude of the impacts highly depends on the
193 duration and intensity of the disturbance and the stability and resilience of the marine communities (Gill, 2005,
194 Van Dalssen et al., 2000, Lu et al., 2020, Drabsch et al., 2001). The ecological implications associated with WTEBS
195 construction can be similar to the alterations of the benthic habitats that had been subjected to fishing or marine
196 dredging (Gill, 2005, Hiddink et al., 2020, Blyth et al., 2004). In general, during construction, the seabed will be

197 disturbed by installation of foundations and hard-fixed structures (such as submerged heat exchangers or pump
198 stations), pipelines, scour-protection systems, mooring devices, and seabed-mounted power cables. Marine
199 organisms within the footprint of these objects would be smothered or crushed (Cardno Tec. Inc., 2014). These
200 artificial structures may have the greatest impact on benthic habitats and ecosystems (Boehlert and Gill, 2010).
201 They also may alter the local flow which is essential to some aquatic species such as corals (Hennige et al., 2021,
202 Georgoulas et al., 2023), lead to entrainment and deposition of sediments, and change the seabed bathymetry
203 (Montgomery et al., 2006). Conversely, the deployment of these objects on the seabed, provides artificial reefs in
204 benthic environments (Addis et al., 2006, Inger et al., 2009). This may stimulate the benthic ecosystem and lead
205 to a greater biodiversity (Inger et al., 2009, Langlois et al., 2005). The construction phase may also disturb the
206 surface and midwater with structures including spars, buoys, pipelines, and cables that may result in modifications
207 on pelagic habitats and ecosystems (Boehlert and Gill, 2010, Langhamer et al., 2009). These effects are widely
208 studied in the oil and gas platform industry where these structures can serve an equivalent function to artificial
209 reefs in benthic environments (Addis et al., 2006, Inger et al., 2009). The presence of these objects may have
210 positive effects on attraction of some species (e.g., krill, mysids, and fishes) and consequently additional predators
211 in the region. The presence of the structures may modify the local water hydrodynamics which take up significant
212 areas of the sea surface which could influence migratory surface dwellers (Boehlert and Gill, 2010).

213 In the rest of this section, the interaction of WTEBS construction with the marine environment is detailed
214 separately for offshore and onshore systems, followed by the potential decommissioning impact of these systems.

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216 **2.1.1 Onshore WTEBS construction**

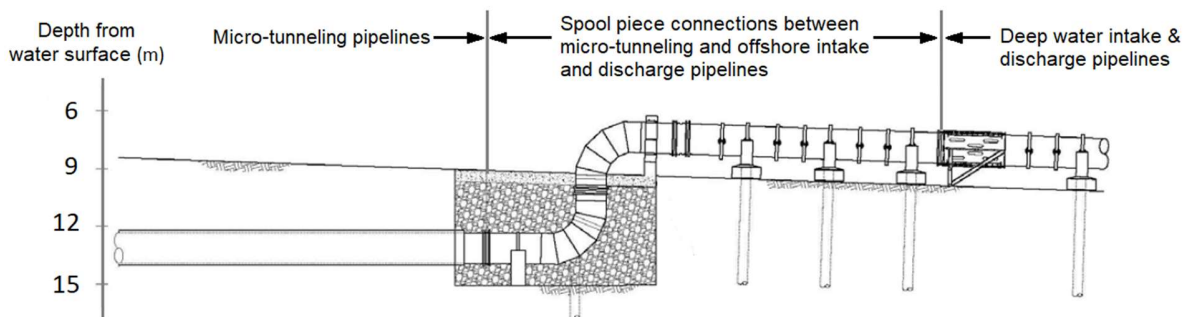
217 The construction of seawater pipeline systems for onshore WTEBS presents the main interaction with the
218 ocean environment. The pipelines are mounted on the seabed, up to a few kilometres long, to reach cold deep
219 seawater. These systems may contain submersible pumps or submerged-coils (heat exchangers) in seawater/lake
220 heat pump systems (Wu et al., 2020, Liu et al., 2019, Sarbu and Sebarchievici, 2014, Zheng et al., 2015). The

221 pipelines are mainly made of high-density polyethylene (HDPE) material due advantages it offers, such as strength,
222 durability, flexibility, insulation, resistance to high pressure, cost-effectiveness, and slight negative buoyancy,
223 compared to alternative materials (Hunt et al., 2020, Nguyen et al., 2021, Miller et al., 2012). For pipelines that
224 are exposed to storms, tsunamis, seismic activities, and other environmental concerns, the most challenging
225 aspect of the development of the pipelines is at the coastal transition zone (sea/shore interface) aspect (War,
226 2011). In most cases, to reduce the risk of damage or incident, the pipelines are either trenched or tunnelled under
227 the shoreline, from a point before the shoreline to a point in the seabed, a few meters deep (War, 2011, Cardno
228 Tec. Inc., 2014, Lewis et al., 1989). Among these two techniques, tunnelling such as microtunnelling and horizontal
229 directional drilling (HDD) is preferable as they are more environmentally friendly (War, 2011, Cardno Tec. Inc.,
230 2014, Camp et al., 2019, da Silva et al., 2013, Swartz, 2020), while trenching comes with the removal of sediments
231 and direct loss of marine habitats (Gill, 2005). The latter also increases the local water turbidity level as a result of
232 suspended particles. This may increase the risk of spreading any contaminants from the suspended particles, and
233 lead to a temporary reduction of the available oxygen which may smother the neighbouring habitats of sedentary
234 species (Gill, 2005). In general, the benefits of trench-less technologies compared to open-cut trenching are their
235 minimum impact on existing infrastructure, longer pipeline lifetime, minimum efforts to reinstate the site
236 following pipe installation, and independence from weather conditions and waves during the construction phase
237 (Hennig and zur Linde, 2011). Nevertheless, trench-less technologies do risk potential leakages of drilling mud
238 through the sediment into the water column during micro-tunnelling. This can be eliminated by grouting the void
239 between the micro-tunnel and the pipes (Cardno Tec. Inc., 2014). In the development of seawater pipelines using
240 trench-less technologies, pipeline construction impacts would be mainly related to the excavation of a breaking
241 point, where sediments would be removed, and bathymetry temporarily changed at the pit (Cardno Tec. Inc.,
242 2014). The breakout point (receiving pit) is where buried pipes and seabed surface-mounted pipes are connected
243 (Figure 4). The temporary impacts of the constructions such as elevated levels of suspended sediments in water
244 adjacent to the excavation area can be minimized by the installation of sheet piles around the pit to isolate it from

245 the surrounding water (Cardno Tec. Inc., 2014). The long-term impacts on ocean currents are negligible as the
246 breakout pit would be back-filled and capped with concrete similar to the original bathymetry. Apart from the
247 coastal transition zone, the rest of the pipelines are mounted on the seabed surface which can be installed in a
248 controlled submergence process. Detailed discussion regarding the installation of the HDPE deep seawater
249 pipelines is found in War (2011), Cardno Tec. Inc. (2014) , Makai Ocean Engineering Inc. (2015c).

250 A possible long-term impact of the mounted pipelines would be associated with the scouring and sediment
251 transportation beneath the pipes. This can be minimized with sufficient clearance between the pipes and the
252 seabed (Cardno Tec. Inc., 2014). Some recent studies in numerical modelling of scouring can be found in the works
253 of Bordbar et al. (2021), and Bordbar et al. (2022a), and Bordbar et al. (2022b). Nevertheless, close monitoring will
254 be essential, and whenever required a scour counter-measure method has to be considered (Elahee and Jugoo,
255 2013).

256



257

258 Figure 4: Details of breakout point (receiving pit) where buried pipes and seabed surface-mounted pipes are
259 connected (Cardno Tec. Inc., 2014).

260

261 To minimize the environmental impact of pipeline installation for a future onshore WTEBS application (e.g. a
262 SWAC system), DeProfundis and DORIS Engineering have introduced an innovative intake pipe self-burying system.
263 The system limits the impact on the underwater environment and reduces installation costs (Doris engineering,
264 2022). Simply put, the system includes injecting water into the sand located under the pipe placed on the ground

265 to thin the sand so that the pipe sinks in under its own weight. The method benefits from a new concept called
266 “flexible pipe” which will contribute to the cost reduction of conventional onshore WTEBSs by reducing material
267 and installation costs (Oceanide, 2022).

268

269 **2.1.2 Offshore WTEBS construction**

270 Offshore WTEBS, i.e. including platforms, intakes and outfall pipelines, and mooring systems, can affect both
271 benthic and pelagic ecosystems. The main environmental impact in pelagic zones during the installation of the
272 system is likely to be related to the seismic surveys at the start of the project, shipping movements, construction
273 noise, and potential chemical pollution associated with marine vessel operations. Brandt et al. (2009) reported
274 that marine mammals temporarily avoid an area where construction is underway. The effect disappears
275 immediately after the cessation of noisy activities. If no anti-fouling is used, the presence of the offshore WTEBS
276 structures will provide settlement habitats for a variety of organisms (Itano and Holland, 2000, Dempster and
277 Taquet, 2004). As discussed earlier in Section 2.1, for a large-scale platform the potential impacts of the local water
278 flow modification and the large area of the occupied ocean surface on migratory surface dwellers and pelagic
279 ecosystem need to be considered.

280 McHale (1979) reported the development process of a cold-water pipeline associated with a 50 KW mini-OTEC
281 plant at Kona, Hawaii. In OTEC systems, cold-water pipelines may serve as a combined cold-water pipe and
282 mooring line (McHale, 1979, Zhang et al., 2018, Magesh, 2010). The impact on the benthic zone is likely related to
283 the installation of mooring systems and power cables. The installation of these devices may locally disturb the
284 ecosystem and temporary increase the turbidity of the water, however, biota density is limited in that depth, i.e.,
285 infra to 1000 m depth (Devault and Péné-Annette, 2017).

286 Water pipelines of floating WTEBS can be made of HDPE, or Fiber-Reinforced Plastic (FRP). For large-scale OTEC
287 floating plants with 4 to 10 m diameter intake pipelines, FRP material is often employed as the use of HDPE is not
288 available for pipelines with diameters larger than 2.5 m (Stoev et al., 2018). HDPE is not a biodegradable material

289 and at the end of its life, it should be responsibly recycled, whereas FRP pipe material is non-corrosive (Vahidi et
290 al., 2016, Sözen et al., 2022).

291

292 **2.1.3 WTEBS decommissioning**

293 The associated environmental impacts of decommissioning for a site are often assumed to be similar to those
294 when the site is constructed (Boehlert and Gill, 2010, Gill, 2005). The removal of existing underwater structures
295 will cause sudden alterations in the heterogeneity of the benthic inhabitant by removing a component of the
296 ecosystem (Kaiser and Jennings, 2002). This may disturb the local food web and also changes habitat availability
297 (Gill, 2005).

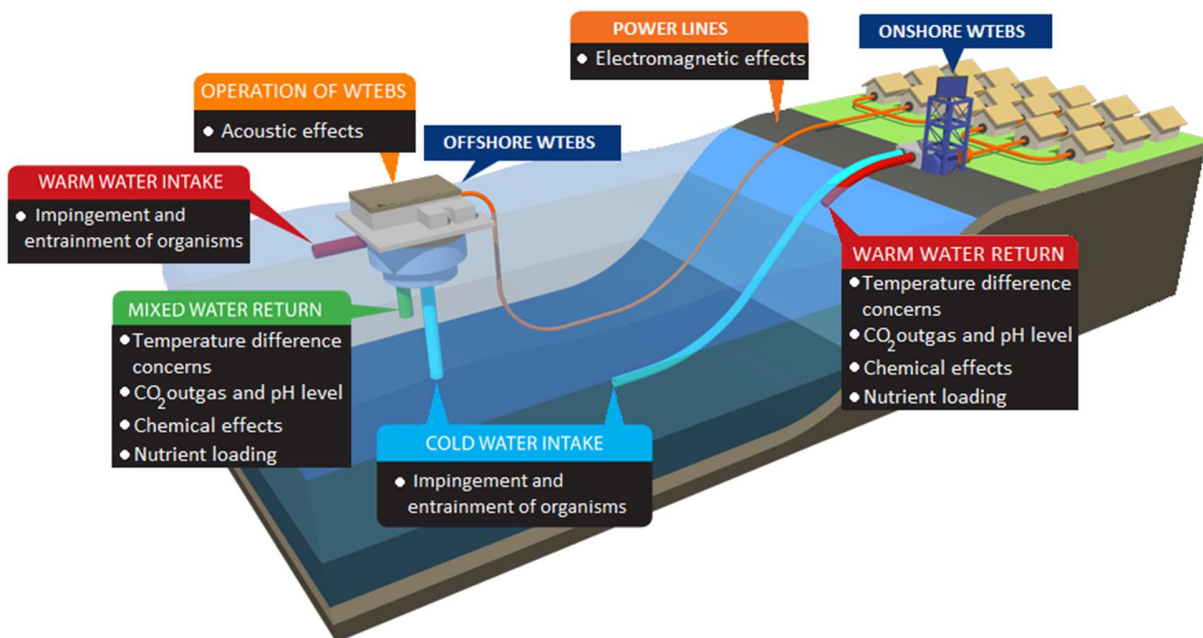
298 **2.2 Operation Impacts**

299 A WTEBS intakes/discharges large volumes of ocean water. For example, an OTEC plant typically needs around 5
300 m^3/s of cold deep seawater, and an equal intake of warm surface water per 1 MW capacity; therefore a commercial
301 OTEC system with 100 MW capacity needs a massive volume of 500 m^3/s of cold and warm intake water for
302 operation (Avery and Wu, 1994). The system mixes the water and discharges it into the ambient environment with
303 different characteristics. Considering the lifetime of a plant (25-30 years), the operation of WTEBS may change the
304 water characteristics in near-, intermediate- and far-fields, and consequently significantly affects marine
305 ecosystem (Pelc and Fujita, 2002). Furthermore, concentrated deployment of large-scale WTEBSs can accumulate
306 and intensify the impacts (Comfort and Vega, 2011). While environmental impacts associated with processing
307 seawater are the main focus, impacts from other factors such as power cable electromagnetic fields, acoustic
308 effects of the WTEBS machinery and pipelines, and leakage of chemicals from the system will be of importance
309 during the operation of the system. In the pertinent literature, most of the knowledge regarding the
310 environmental impacts of WTEBS comes from studies that investigated the pre-impact condition at a future

311 WTEBS site. Among them, Comfort et al. (2015), Cardno Tec. Inc. (2014), Ciani (1978), and Comfort and Vega (2011)
312 studied the coastal area of Hawaii for SWAC and OTEC projects.

313 A detailed review of the features of the marine environment that may change with the operation of a WTEBS and
314 the potential impacts of these changes on marine life are presented in this section. Figure 5 illustrates part of
315 these impacts for an offshore and onshore WTEBS.

316



317

318 Figure 5: Operational impacts of offshore and onshore WTEBSs in marine environment.

319 2.2.1 Impingement and entrainment of organisms

320 The inlet pipelines may intake marine organisms, especially those with low mobility and are smaller than the mesh
321 of the inlet pipe screen, into the system during operation (Avery and Wu, 1994). These organisms will be impinged
322 to the internal walls of the system and will encounter rapid environmental changes, such as temperature,

323 dissolved oxygen, turbidity, and light levels of the water, which to a great extent reduce their chance of survival
324 (Avery and Wu, 1994, Elahee and Jugoo, 2013, Cunningham et al., 2010). This phenomenon has been studied in
325 coastal nuclear power plants and is similar related for WTEBSs (Avery and Wu, 1994, Barnthouse et al., 2019, Chae
326 et al., 2008). Due to higher concentration of marine life in shallow waters, this is an important factor in systems
327 that intake surface water and needs to be assessed for systems that intake cold deep seawater depending on
328 existing ecology (Comfort and Vega, 2011, Elahee and Jugoo, 2013, Myers et al., 1986, Deevey and Brooks, 1971).
329 Here, the intake pipelines are designed to preserve and maintain a low approach velocity to minimize the risk of
330 marine organisms being sucked into the system (Cunningham et al., 2010). Nonetheless, plankton, small nekton,
331 and most tuna larvae are often at risk of entrainment into surface water intakes (Myers et al., 1986, Boehlert and
332 Mundy, 1994). This risk decreases significantly for larger organisms due to their swimming capabilities (Comfort
333 and Vega, 2011). In addition, pipeline vibrations during system operation may generate signals for marine
334 mammals and fishes to avoid approaching the pipelines (Comfort and Vega, 2011). The discharge outfall can also
335 be an attractive destination for marine organisms as it may be rich in nutrients; this increases the probability of
336 impingement and injury to marine organisms (Elahee and Jugoo, 2013). Using the discharged water for secondary
337 purposes can influence the water discharge quality which needs further monitoring and observations.

338 **2.2.2 Chemical effects**

339 In systems with closed-cycle operations, the working fluid is normally ammonia, or R-134a, and is isolated from
340 the water being abstracted. As ammonia is highly toxic to fish, and concerns growing regarding the impact of R-
341 134a on marine life, many studies have focused on use of these chemicals (Emani et al., 2017, Zhao et al., 2020,
342 Jung and Hwang, 2014). A study of a selection of working fluids in terms of toxicity, environmental performance,
343 and flammability can be found in Jung and Hwang (2014). Leakage or spill of working fluid may endanger the local
344 marine population if the working fluid concentration in water exceeds toxicity levels (e.g. the United State
345 environmental protection agency considers the concentration of ammonia higher than $0.4 \text{ mg/Litre (ppm)}$ toxic to

346 fish (both freshwater and marine)). To give an indicator of dangerous amount of working fluid leakage, consider
347 that a seawater flow rate through a 40 MW OTEC plant is around $2 \times 10^7 \text{ m}^3/\text{day}$ (Avery and Wu, 1994). To exceed
348 the environmental protection agency's limit, an ammonia leakage of around over $8 \times 10^3 \text{ kg/day}$ into the seawater
349 flow is required. This could only occur if there were serious malfunctions such as a major breakdown, a collision
350 with an ocean-going vessel, an unpredicted climate condition, terrorism, or causes from human errors. It is to be
351 noted that a workflow leakage due to a malfunction of the system should be avoided at all costs (Owens and
352 Trimble, 1981). Apart from the working fluid, during normal operation, the potential of leakage from devices that
353 use a hydraulic fluid needs to be considered along with the evaluation of toxicity impact of heavy metal
354 concentrations from heat exchangers (Fast et al., 1990). Chemicals used for controlling bio-fouling and corrosion,
355 such as chlorine or protective coating materials can accumulate in the tissues of organisms and be passed up in
356 the food chain (Avery and Wu, 1994, Elahee and Jugoo, 2013). Pre-treatment before disposal of chemicals and/or
357 mechanical control of fouling should be implemented (Elahee and Jugoo, 2013).

358 **2.2.3 Nutrient loading**

359 For WTEBSs that intake deep cold ocean water, the untreated plume will have different physical and chemical
360 properties (e.g. temperature, density, salinity, dissolved gases, nutrient level, and pH level) than the surrounding
361 ocean water where it is discharged (Comfort et al., 2015, Comfort and Vega, 2011, Boehlert and Gill, 2010). The
362 density difference between the discharge outfall and the ambient water will cause the plume to sink or rise to an
363 equilibrium depth and produce an artificial nutrient-enriched zone (Comfort and Vega, 2011). If the plume
364 equilibrium occurs in the photic zone, it may induce phytoplankton and algal blooms and subsequently, affect
365 changes in the pelagic food web ecosystem and habitat (Comfort et al., 2015, Boehlert and Gill, 2010, Devault and
366 Péné-Annette, 2017, Harrison, 1987, Richardson and Schoeman, 2004, Lilley et al., 2012). In coastal areas, this may
367 interrupt economical activities such as shore-based businesses, the fishing industry, and recreational tourism
368 (Boehlert and Gill, 2010, Elahee and Jugoo, 2013). To minimise the environmental impact of WTEBS plumes, it is

369 crucial to ensure that the nutrient-rich plume does not mix with surface waters and remains beneath the most
370 biologically productive depths (below the 1% light level) (War, 2011, Comfort and Vega, 2011, Farr et al., 2021);
371 different water depths between 90 to 200 *m* have been recommended for this purpose (Comfort and Vega, 2011,
372 Farr et al., 2021, Lilley et al., 2012, Deprofundis, 2016). The recommended depth depends on detailed local
373 conditions, environmental regulations, and diffuser dispersion modelling employed for each case (Makai Ocean
374 Engineering Inc., 2015b). Nutrient enhancement for WTEBS that intake water from shallow sea or lakes also needs
375 to be investigated, as many lakes and shallow sea areas show vertical stratification of water during warm seasons
376 (Boehrer and Schultze, 2008, Hickman et al., 2012).

377 The rich-nutrient discharge of WTEBS can also serve secondary utilisation for energy production, cooling,
378 desalination, aquaculture, and agriculture (War, 2011, Samuel et al., 2013, Elsafty and Saeid, 2009). Nevertheless,
379 the environmental impact of the effluent from the secondary utilization system into the ocean needs to be
380 assessed. A comprehensive review of experimental and numerical modelling of effluent dispersion is provided in
381 Section 3.

382 **2.2.4 Temperature concerns**

383 If WTEBS water discharge is not returned to isothermal depths, there will be a risk of a slight change in water
384 temperature. This thermal effect may have severe consequences on marine life, as thermal changes can lead to
385 reductions in the hatching success of eggs, inhibition of larvae development, and increase in death among coral
386 and fishes (Pelc and Fujita, 2002, Elahee and Jugoo, 2013, Lilley et al., 2015). However, Avery and Wu (1994)
387 reported results from several theoretical and experimental studies (e.g. Adams et al. (1979)) concluded that
388 climatic alterations due to operation of OTEC systems are negligible, or extremely localised. In fact, over the long
389 term, the large volume of discharge plume has the potential to alter the marine ecosystem in regions near the
390 discharge outlet (Boehlert and Gill, 2010, Harrison, 1987). The impacts in the far-field region can only be noticeable
391 in the case of deployment of a very large number of OTEC plants (Avery and Wu, 1994).

392 **2.2.5 *CO*₂ outgas and pH level**

393 Seawater has many different gases dissolved in it, including nitrogen, oxygen, and carbon dioxide. The intake water
394 into WTEBSs are subjected to changes in temperature and pressure which lead to changes in the solubility of
395 dissolved gas. For systems that intake deep sea ocean water, it can result in dissolved *CO*₂ outgas (Elahee and
396 Jugoo, 2013). While this amount will depend on the volume of water being pumped, Avery and Wu (1994) pointed
397 out that such an amount would be smaller than emissions from a fossil-fuel-fired plant. Conversely, *CO*₂ and other
398 carbon compounds (e.g. carbonate and bicarbonate) play an important role in the pH level of ocean water (Webb,
399 2021). Changes in the concentration of *CO*₂ levels in water may increase concerns regarding the acidification effect
400 of the artificially upwelled water (Boehlert and Gill, 2010, Feely et al., 2008, Griffith et al., 2011). The change in
401 the pH level of the seawater can disturb the marine ecosystem, biodiversity, and marine food web (Griffith et al.,
402 2011).

403 **2.2.6 Acoustic effects**

404 Acoustics play an important role in underwater ecosystems and are essential in animal communication,
405 reproduction, orientation, and prey and predator sensing (Boehlert and Gill, 2010). Anthropogenic underwater
406 noise will likely add to the normal background acoustic environment (Boehlert and Gill, 2010). The possible
407 impacts of artificial noise on fish, marine mammals, and crab and lobster larvae have been indicated in
408 Montgomery et al. (2006), Hastings and Popper (2005), and Southall et al. (2008). The generated noise associated
409 with the operation of WTEBS can be of concern, as the plants operate permanently over a long period of 25-30
410 years (Rucker and Friedl, 1985). The operational acoustic noises from onshore WTEBS in the marine environment
411 are caused mainly from the vibration of pipelines, however, there are no evidence of such an impact being studied
412 in the literature. For offshore systems, cold water pipelines, water pumps, and noise associated with devices in a
413 typical WTEBS plant (such as pumps associated with the transport of working fluid) are the main contributor of
414 noise (Rucker and Friedl, 1985, Janota and Thompson, 1983). Ducatel et al. (2013) conducted a preliminary study

415 to predict the potential acoustic impact of an OTEC plant due to onboard machinery and noted the potential
416 impacts of the system on marine mammals at short distances, less than 200 *m*.

417 **2.2.7 Electromagnetic effects**

418 The generated electricity by offshore-based OTEC systems may be transmitted to shore using a network of cables
419 that are mounted on the seabed. Transmission of the produced electricity through these cables will emit low-
420 frequency electromagnetic fields (EMF) (Boehlert and Gill, 2010). A number of marine organisms use
421 electroreception as a fundamental sensory mode for mate finding, feeding, and navigation (Boehlert and Gill,
422 2010, Hutchison et al., 2020, Öhman et al., 2007, Kirschvink, 1997, Whitehead and Collin, 2004). It is likely that
423 EMF from power cables will have a direct effect on these animals. Scott et al. (2018) indicated that EMF from sub-
424 sea power cables affect edible crabs both behaviourally and physiologically. Westerberg and Lagenfelt (2008)
425 reported a significant change in eels migration swimming speed around the sub-sea power cables. Other growing
426 concerns regarding mounted or buried power cables include an increase in temperature of the adjacent water,
427 sedimentation, and impacts on benthic ecosystems due to electricity transmission (Boehlert and Gill, 2010).
428 Further investigation is recommended for better understanding of the impact of sub-sea power cables on marine
429 organisms.

430

431 **3 Modelling of Discharge Dispersion**

432 Discharge dispersion modelling of WTEBSs can assist addressing concerns regarding their impacts on the
433 sustainability of marine environments and provide opportunities for achieving maximum effluent mixing efficiency
434 and understanding of the mixing behaviour of plume jets.

435 The application of modelling of discharge dispersion is not confined to WTEBSs as the topic is also of interest
436 in other growing technologies such as desalination plants, thermal power plants, and aquafarming that discharge
437 a considerable amount of wastewater directly back to waterbodies. Desalination brine, a by-product from

438 desalination plants, comprises high concentrations of dissolved substances and suspended solids as well as
439 possible waste heat (Jiang et al., 2014). Thermal power plants of coastal cities discharge enormous quantities of
440 waste heat into seas and lakes (Pryputniewicz and Bowley, 1975), while aquafarming effluent is typically enriched
441 in suspended organic solids, carbon, nitrogen, and phosphorus (Zeng et al., 2013), which may have a detrimental
442 impact on many species living around the discharge location.

443 In general, wastewater discharges from industrial processes are categorized into two major groups based on
444 their density discrepancy with the ambient water bodies (Kheirkhah Gildeh et al., 2014). If the effluent has a higher
445 density than the ambient water, the plume of outfall discharge tends to sink, which is known as a negatively
446 buoyant jet plume. Conversely, if the effluent has a lower density than the ambient water the effluent jet plume,
447 this then rises, which is termed a buoyant plume (Bleninger et al., 2010). Nevertheless, the mixing behaviour of
448 the discharged effluents can show a great diversity of flow patterns, depending on the geometric and dynamic
449 characteristics of the environment and discharge flow (Shao and Law, 2010, Jirka and Domeker, 1991).

450 In the pertinent literature, the study of submerged jet flows has been extensively covered. Experimental
451 investigations on the characteristics of inclined brine dense jets, such as maximum jet height rise and
452 concentration field, into stagnant environment can be found in Roberts et al. (1997), Cipollina et al. (2005), and
453 Lai and Lee (2012). These studies reported that dense jets with 60° inclined angle produce the longest trajectory
454 for entrainment and thus the highest dilution. Jiang et al. (2014) and Shao and Law (2010) studied the effects of
455 stationary shallow water with mixing of 30° and 45° inclined dense jets. It was realized that the surface constraint
456 may lengthen jet-spreading distances and reduce surface dilution. They also recommended that the terminal rise
457 related to 60° inclined dense jet is rather high and therefore the angle may be too large to provide efficient mixing
458 in shallow waters.

459 Pryputniewicz and Bowley (1975) investigated turbulence buoyant jets that are vertically discharged into a
460 large body of stagnant non-stratified water. The temperature characteristics of a hot rising plume as a function of
461 discharge Froude number and discharge depth were illustrated. The impacts of horizontal buoyant jets discharged

462 into stationary environment and the effect of bed proximity or so known as the Coanda effect, were detailed in
463 Sharp (1975), Sharp et al. (1977), and Sobey et al. (1988). Coanda effect occurs when the jet discharge is placed
464 close to the bed boundary, the discharge will then cling to and proceed along the boundary (Shao and Law, 2010).
465 This improves the mixing efficiency of buoyant flows, while for saline dense jets, it may cause negative effects on
466 benthic communities around the impacted area (Shao and Law, 2010). Huai et al. (2010), Kheirkhah Gildeh et al.
467 (2014), Kheirkhah Gildeh et al. (2015) carried out numerical modelling of turbulent buoyant jets in stationary
468 ambient water. These studies applied Reynolds-Average Navier-Stokes (RANS) combined with different turbulence
469 closure models. Their findings showed that realizable $k-\epsilon$ and Launder, Reece, and Rodi (*LRR*) turbulence models
470 were the most reliable and accurate in modelling Coanda effect, buoyant and non-buoyant jet in stagnant
471 environments.

472 Abessi et al. (2012) conducted a series of experimental tests for negatively buoyant effluents discharged
473 through a protruding surface channel into unstratified stagnant water. The results show that the influence of free-
474 surface on the entrainment and mixing of the flows is small. Abessi and Roberts (2014) carried out comprehensive
475 laboratory experiments on multiport diffusers for negatively buoyant effluents into stationary water. Their results
476 recommended that to prevent reduction in entrainment, it is essential to consider sufficient spacing between the
477 designed ports. Ardalan and Vafaei (2018) developed a classification chart for thermal-saline inclined single-port
478 jet, as a result of an extensive set of laboratory experiments for thermal-saline effluent with three different
479 discharge angles of 30°, 45°, and 60° in stagnant water environments. This is subsequently followed on in Ardalan
480 and Vafaei (2019) where they carried out numerical and experimental studies of negatively buoyant jet discharged
481 with 45° inclined angle in a stationary water; simulations were conducted using a RANS model with realizable $k-\epsilon$
482 model and the outcome showed good consistency with the results of physical modelling. Rodríguez-Ocampo et al.
483 (2020) implemented an OpenFOAM-based solver that can be applied in modelling thermal discharge into water
484 bodies. The solver was suitable for simulating three fluid phases with different densities and temperatures, i.e.,
485 two miscible liquids and air, and was validated against an experiment of a multiphase dam-break. However, the

486 model did not consider buoyancy effects. More recently, a study of submerged thermal-saline jet discharge into a
487 stagnant environment using the LES turbulence model was carried out by Azadi and Firoozabadi (2022). The results
488 illustrated that the flow patterns only depend on the density ratio, which is the thermal flux to salinity flux ratio.
489 The main drawback of the above group of studies was that they have not considered the marine environment
490 conditions including wave and current flow.

491 Investigations on the characteristics of jets into non-stationary environments have also been widely carried
492 out; notably, Roberts and Toms (1987) conducted a series of experiments on the characteristics of vertical and
493 inclined dense jets with different angles discharged into a uniform crossflow of various velocities and directions.
494 As a result, they discovered that inclined jets are generally preferable to vertical jets. When a submerged discharge
495 outlet is located where currents may flow in all directions, then vertical jets may be the preferable choice instead
496 of inclined jets (Ahmad and Baddour, 2012). Mossa (2004) conducted laboratory experiments for turbulent
497 nonbuoyant jets that are vertically discharged into two different environments, one with stagnant ambient water
498 and a second with regular waves; they observed higher entrainment velocities in the latter case. An experimental
499 study on the behaviour of horizontal non-buoyant jet located at the mid-depth of a shallow water wave
500 environment was investigated by Ryu et al. (2005). The results revealed that the influence of wave amplitude on
501 jet diffusion is substantial. Zhen et al. (2007) numerically simulated seawater temperature field to monitor the
502 environmental impacts of hot effluent discharged from a seawater-source heat pump in Dalian, using a two-
503 dimensional convection-diffusion equation model; the water temperature elevation impacts on the marine
504 ecosystem were found to be negligible. Yu et al. (2009) established a two-dimensional hydrodynamic model to
505 predict and optimise the thermal plume from a Rizhao power plant discharge on Rizhao sea. Chen et al. (2012)
506 conducted numerical modelling of a buoyant and non-buoyant round jet discharge into wave environments using
507 Large Eddy Simulation (LES) where the buoyancy effect was considered using the Boussinesq assumption. The
508 results were validated against the experimental data in Chen et al. (2009). As an outcome, they realised that under
509 the buoyancy force the wave effect on jet entrainment and mixing is considerably weakened.

510 Other related work, such as Pat Grandelli et al. (2012) developed and validated a three-dimensional time-
511 dependent model for predicting biological and physical impacts of OTEC. The model simulated negatively buoyant
512 discharge flows by a dynamically coupled Lagrangian jet-plume entrainment model in the near-field, and by
513 dynamic oceanic circulation and turbulence in the far-field for the water surrounding O’ahu in Hawai’i, USA (Figure
514 6). The model is used to define the effect of nutrient-rich and low-oxygen deep sea water on increased productivity
515 of phytoplankton. Similarly, Kim and Kim (2014) developed a primitive three-dimensional model to predict and
516 minimise the mixing behaviour of thermal discharges of an OTEC system in coastal water of Kosrae, Micronesia.
517 They declared that the model was capable of reproducing the plume behaviour. More recently, the effect of free-
518 surface waves in temperature distribution in thermal boundary layer region close to the seabed was analytically
519 modelled in Michele et al. (2021) and Michele et al. (2023). The study suggested a need for expanding existing
520 models that neglect the effects of free-surface wave field.

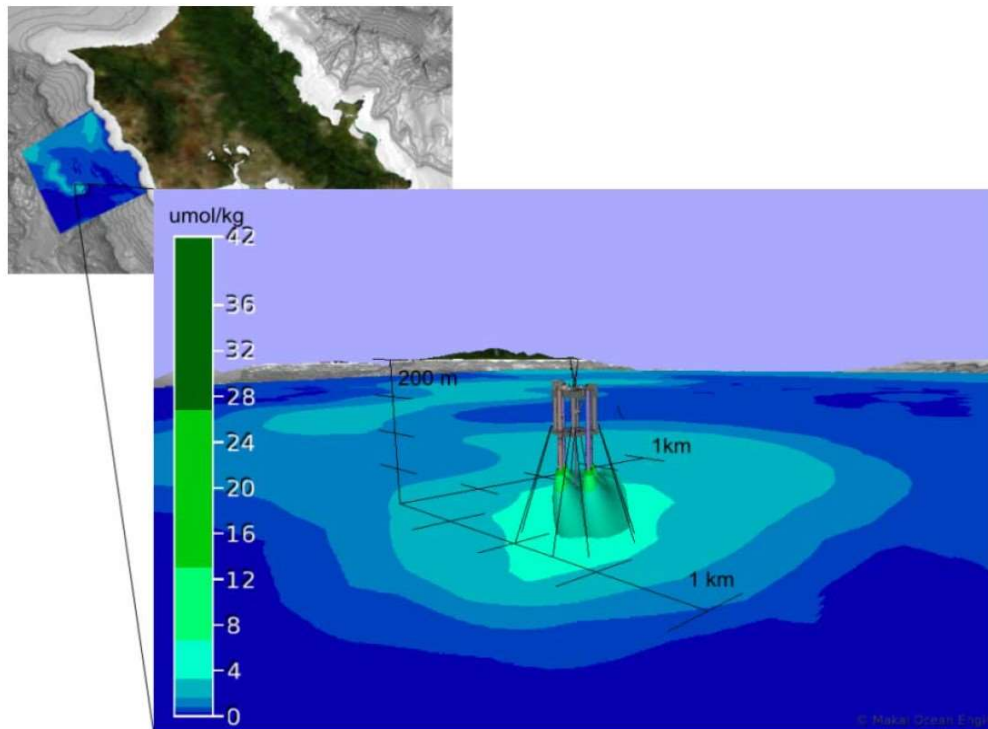
521 There are also some well-known commercial models that have been widely used for predicting the effluent
522 discharges in waterbodies. In this group, Lee et al. (2003) implemented a Lagrangian interactive virtual reality
523 model (JETLAG/VISJET) based on the project-area entrainment hypothesis and a heuristic theory to treat the shear
524 to vortex entrainment transition. Frick (2004) developed the VISUAL PLUMES (VP) model which is a platform for
525 mixing zone modelling. Jirka and Domeker (1991) introduced an integral model for turbulent buoyant jets in
526 unbounded stratified flow which was coded into a Fortran program COREJET/CORMIX. Palomar et al. (2012)
527 carried out a detailed analysis of these commercial models (i.e. JETLAG, COREJET, and VP) and realized insensitivity
528 of these models in predicting the influence of crossflow direction on jet behaviour.

529 Most recently, Xu et al. (2016) investigated a nonbuoyant vertical round jet in a wave-current coexisting and
530 current-only environments, both numerically using LES, and experimentally. They observed the effluent clouds
531 phenomenon in the wave-current coexisting which leads to considerable increment of jet spread and dilution. Xu
532 et al. (2017) investigated the impact of regular waves on three dimensional scalar structures of a vertical jet in the
533 wave-following-current environment using numerical modelling of submerged non-buoyant vertical round jets.

534 Followed on, Xu et al. (2018) developed a set of semi-empirical equations to quantify the wave effect on the initial
535 dilution of wastewater discharge based on numerical modelling of non-buoyant jet discharges in wave-following-
536 current environments. This is extended in Xu et al. (2019) where they conducted several experimental tests about
537 submerged multiport diffuser effluent discharges in a wavy cross-flow environment. It was discovered that the
538 wave-to-current velocity ratio is a very important parameter in describing effluent discharge dilution. Comparably,
539 Fang et al. (2019) implemented an integral model for predicting the characteristic behaviour of a buoyant jet in
540 wavy crossflow environments.

541 A set of laboratory tests in modelling of submerged negatively buoyant outfall under typical conditions in the
542 Mediterranean Sea was carried out by Ferrari et al. (2018). The results revealed that the strongest waves tested
543 in the study tend to decrease dilution, while the weakest waves tend to improve it. Anghan et al. (2022) reviewed
544 the literature of the jet in the wave environment and identified the various mean and turbulence quantities of the
545 jet in the regular and random waves environment. They concluded that the behaviour of the jet can be predicted
546 based on the ratio of the jet inlet velocity to the wave orbital velocity.

547



548

549 Figure 6: Simulated plume and nitrate (nutrient) concentration of discharge dispersion of a 100 MW OTEC plant
 550 with four 70 m depth and 1 m/s mixed discharges by Pat Grandelli et al. (2012).

551

552 As listed above, many numerical and experimental studies have been conducted to study effluent
 553 dispersion, however the literature lacks a comprehensive and sophisticated computational fluid dynamics (CFD)
 554 model to simulate the hydro-thermal behaviour of discharged effluents into waterbodies under combined wave-
 555 current conditions. Table 1 presents the advantages and disadvantages of the numerical investigations on effluent
 556 dispersion into non-stationary environments. Recent advances in development of numerical tools in simulation of
 557 hydrodynamics of wave and currents in mesh-based approach (such as, Higuera et al. (2013) that developed a
 558 realistic wave generator and active wave absorber for the Navier-stokes equation and Larsen and Fuhrman (2018)
 559 that implemented a new turbulence model capable of predicting accurate pre- and post-breaking surface
 560 elevations, as well as turbulence and undertow velocity profiles of surface waves) and mesh-less approach (such

561 as, Ni et al. (2018), Ni et al. (2020) that implemented a numerical wave-current flume based on Smoothed Particle
 562 Hydrodynamics (SPH)) provide an opportunity to bridge this knowledge gap.

563

564 Table1: Advantages and disadvantages of numerical models in simulation of submerged jet into non-stationary
 565 environment.

Submerged jet into non-stationary flow modelling	Advantages	Disadvantages
Zhen et al. (2007) and Yu et al. (2009)	- Simulate seawater temperature field in two-dimensional field	- Can only be applied in shallow water - Lack of validation - No buoyancy effect modelling
Chen et al. (2012)	- Consider buoyancy effect - Validated against laboratory data	- Only valid under wave condition with no current flow
Pat Grandelli et al. (2012)	- Large-scale modelling - Consider buoyancy effect - Capable of biological modelling	- Only designed for OTEC systems - Not applicable for shallow water modelling
Kim and Kim (2014)	- Can reproduce the plume behaviour in coastal water	- Lack of validation
JETLAG/VISJET (Lee et al., 2003), VISUAL PLUMES (Frick, 2004), COREJET/CORMIX (Jirka and Domaker, 1991)	- Capable of fast prediction of mixing zone characteristics - Platform for mixing zone modelling	- Insensitive in predicting the influence of crossflow direction on jet behaviour - Commercial software
Xu et al. (2016), Xu et al. (2017), Xu et al. (2018), and Xu et al. (2019)	- Simulate jet flow under different combination of current and wave conditions	- No temperature distribution modelling
Fang et al. (2019)	- Predict the characteristic behaviour of a buoyant jet in a wavy crossflow environment	- No temperature distribution modelling

566

567 **4 Biofouling and Corrosion**

568 Exposed surfaces of systems that use seawater as the main processing fluid can be affected by the
 569 physiochemical properties of seawater such as fouling and corrosion (Abidin et al., 2021). Fouling occurs as a result
 570 of the deposition of dissolved and particulate matter in the water on surfaces that are in contact with it (Abd El

571 Aleem et al., 1998). The undesired growth and accumulation of foulant on surfaces in contact with water can
572 potentially affect the system's efficiency, while damaging equipment in the process (Abidin et al., 2021).
573 Uncontrolled growth of fouling can have damaging consequences to WTEBSs (Abidin et al., 2021), marine vessels
574 (Magin et al., 2010), rigs (Gormley et al., 2018), marine aquaculture (Fitridge et al., 2012), and other infrastructure
575 that is submerged in the sea. Crystalline fouling, organic fouling, particle and colloidal fouling, and microbiological
576 fouling are categorised as the most important types of fouling (Flemming, 1997, Al-Juboori and Yusaf, 2012).
577 Among them, controlling biofouling (microbiological fouling) is the most complicated one (Flemming, 1997, Al-
578 Juboori and Yusaf, 2012).

579 Marine biofouling is the unwanted growth of marine micro- and macro-organisms like bacteria, algae,
580 sponges, barnacles, mussels, Balanus etc. (Mahto and Pal, 2020). The growth and accumulation process of
581 biofouling on the exposed surfaces are detailed in Abidin et al. (2021), Flemming (1997), Al-Juboori and Yusaf
582 (2012), Maddah and Chogle (2017), and Mitchell and Benson (1980).

583 Figure 7 illustrates the growth of fouling inside of the pipeline and on the mesh filter basket of the intake
584 pipeline of a pilot SWAC system at Brixham laboratory, University of Plymouth, United Kingdom. The SWAC system
585 has been out of service for many years, while the intake pipeline, with an internal diameter of 5.08 cm, has been
586 used regularly for filling seawater tanks (i.e. abstracting seawater for 1 or 2 hours per day) for other activities in
587 the laboratory. The pipelines were installed in the early 1980s and no anti-fouling treatment has been carried out
588 since, whereas the pipeline and the mesh filter basket have been pressure washed once in 2007. When retrieved,
589 a growth of fouling with a thickness of 2-3 mm is observed in the pipelines, while the mesh filter basket is covered
590 with micro- and macro-organisms (e.g. bacteria, algae, barnacles, Balanus)(Euroswac, 2021).

591 Bott (2011) classified the parameters that can influence biofouling growth into three main categories of
592 chemical, physical, and biological, as listed in table 2.

593



(a)

(b)

594

595 Figure 7: (a) Biofouling at the intake pipeline, and (b) The mesh filter basket at the inlet of the intake pipeline of
 596 the pilot SWAC system at Brixham laboratory, Brixham, UK (Euroswac, 2021).

597

598 Table 2: Chemical, physical, and biological parameters that affect biofouling growth (Bott, 2011).

Chemical	Physical	Biological
Substrate type	Temperature	Microorganism type
Substrate concentration	Fluid shear stress	Culture type
pH	Heat flux	Suspended cell concentration
Inorganic ions	Surface composition	Antagonist organism
Dissolved oxygen	Surface texture	
Microbial inhibitors	Fluid residence time	

599

600 Untreated fouling can lead to increases in the thermal resistance as well as required pumping power
 601 (Mitchell and Spitler, 2013). Abidin et al. (2021) and Jenkins (1978) affirmed that in the design of OTEC systems,
 602 biofouling is an inevitable condition that cannot be avoided. They highlighted the impacts of flow velocity and
 603 temperature of the seawater intake as two main parameters on the control of biofouling growth. The relationship
 604 between flow velocity and biofouling growth is complicated to correlate due to its dual impacts. The rapid velocity
 605 of the water can provide sufficient oxygen and nutrient that favours the growth of macrofoulants, but it can also

606 prevent biofouling growth if the water shear rate surpasses the shear rate of biofouling settlement (Flemming,
607 1997, Jenkins, 1978). Panchal and Knudsen (1998) pointed out that seawater temperature in the range of between
608 20°C to 50°C is desirable for microorganisms growth which explains why high-temperature surface seawater
609 exposed to continuous sunlight accommodates the growth of biofouling (Mitchell and Spitler, 2013). Likewise,
610 higher potential for biofouling is anticipated at shallow water-based onshore facilities in comparison to offshore
611 ones owing to the high concentration of organisms in seawater adjacent to the shoreline (Avery and Wu, 1994).
612 Seasonal seawater temperature changes also influence the potential for biofouling growth, for example, low range
613 of temperature changes in tropical area, provides a steady condition for biofouling development (Affandy et al.,
614 2019).

615 One of the most common techniques employed to kill organisms in WTEBSs is through the use of biocides
616 (Makhlouf and Botello, 2018) namely via oxidising and non-oxidising types. Oxidising biocides, such as chlorine,
617 peracetic acid, bromine, and sodium bromide, attack microorganisms by disrupting nutrients from passing across
618 the microorganism cell walls (Makhlouf and Botello, 2018, Ilhan-Sungur et al., 2015). On the other hand, non-
619 oxidising biocides, such as 1,2-benzisothiazolin-3-on and 5-chloro-2-methyl-4-isothiazolin-3-on, interfere with
620 reproduction, respiration process, and harms the microorganism cell walls (Makhlouf and Botello, 2018, Ilhan-
621 Sungur et al., 2015). These biocides can target more specific biochemical pathways, thus reducing the potential of
622 unwanted side-effects, but the targeted bio-foulants may become resilient to them. In open systems, due to
623 environmental concerns of chemical discharge, only using direct injection of the oxidising agent, such as sodium
624 hypochlorite (chlorine) is allowed (Mitchell and Spitler, 2013).

625 Anti-fouling coating is another usual practice in marine and maritime industries to prevent biofouling. Until
626 recently, tributyltin (TBT) was an active biocide ingredient in many paints that were very successful in reducing
627 biofouling (Chambers et al., 2006). However, its use has been prohibited as it was found harmful to marine
628 organisms. Its replacements include use of metallic species, such as copper and zinc and many other alternatives
629 are highlighted in detail in Chambers et al. (2006).

630 Abidin et al. (2021) elaborated on a list of common and potential techniques of biofouling assessment for OTEC
631 systems including microscopic optical, spectroscopic, physical assessment, electrical, biological and chemical
632 detecting techniques. This list can be generalized and adapted for biofouling assessments for all other types of
633 WTEBSs. Makai Ocean Eegineering Inc. (2014b) stated as a result of long-term testing of heat exchangers that
634 fouling is not a serious problem with WTEBSs that intake cold deep seawater in the range between 3°C to 8°C.
635 However, for warm water systems, e.g., OTEC systems that intake warm surface water with temperatures above
636 25°C, biofouling is unavoidable. In addition, other system components such as strainers, pumps, holding tanks and
637 pipeline fittings are among the equipment that are most at risk of being exposed to potential biofouling (Abidin et
638 al., 2021). Berger and Berger (1986) recommended that injection of chlorine at a concentration between 50 to 70
639 *ppb* for 1 hour per day (24-h average of 2-3 *ppb*) can completely prevent fouling in systems and can be used as a
640 continuous and non-destructive method of prevention.

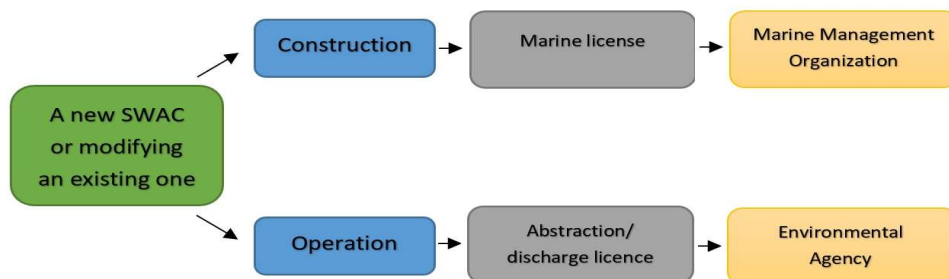
641 Apart from biofouling, corrosion can also impact WTEBSs' performance due to seawater interactions with
642 system components and structures. Corrosion is defined as the process of destruction of material under the
643 chemical or electrochemical action of the surrounding environment (Pourbaix, 2012). An essential key to
644 improving the marine structures' optimum service life against corrosion is understanding the type of marine
645 environment, materials used, appropriate design, and corrosion control measures (Shifler, 2005).

646 An important controlling factor in structures made using metals and alloys is the formation of a passive film
647 that reduces ionic transport of reactive species (Shifler, 2005). In seawater, the dissolved oxygen and chloride ions
648 lead the formation and repair or breaking down of passive films (Shifler, 2005). Environment parameters such as
649 atmospheric salt concentration, temperature, oxygen concentration, salinity, and flow-related corrosion
650 parameters (e.g. Erosion-corrosion (Shifler, 1999) and cavitation (Hoyt and Furuya, 1985)) need to be considered.
651 The presence of bio-films also increase the corrosion rate of a structure or operate as a passive deterrent (Shifler,
652 2005, Videla and Characklis, 1992). Proper design, including a selection of compatible materials from both
653 corrosion and mechanical aspects, optimizing geometries and joining processes that minimize corrosion, and

654 utilization of corrosion control measures, is the most effective way to reduce corrosion costs (Shifler, 2005).
 655 Typically, corrosion can be controlled by using coatings that act as either ionic filters, oxygen diffusion barriers or
 656 cathodic protection that can be very cost-effective solutions (Shifler, 2005, Diler et al., 2020). For WTEBSs that
 657 need to have pipelines across large depths, it is advised that polyethylene is an excellent choice of material as the
 658 pipelines will not corrode or contaminate the water (Elsafty and Saeid, 2009). In heat exchanger systems, corrosion
 659 due to the salty seawater can be eliminated using either titanium or aluminium heat exchangers; titanium is
 660 proposed as a low-risk solution for a condenser, especially when employed in cold seawater (Elsafty and Saeid,
 661 2009, Van Ryzin and Leraand, 1991, Makai Ocean Eegineering Inc., 2014a).

662 5 Permits and Licensing

663 Brixham Laboratory at the University of Plymouth has its pilot shallow-water-based SWAC system installed but
 664 was not used since 1990s. Through a project, EUROSWAC (Euroswac, 2021), the facility was reawakened and
 665 modified to enable the SWAC performance to be monitored. This required obtaining the necessary permitting and
 666 licensing approvals from the relevant authorities in the United Kingdom, such as the Marine Management
 667 Organization and Environmental Agency. All necessary permits in order to construct and operate have been
 668 identified and simplified in a licensing flow-chart presented in Figure 8. This flow chart is applicable and valid for
 669 the installation and operation of other types of WTEBSs in English waters.



670

671 Figure 8: Permits and licensing flow chart for installation and operation a SWAC facility in the United Kingdom.

672

673 In the United Kingdom, the Marine Management Organization defines the deployment of new WTEBSs, or any
674 extension of an existing system including installation of new pipelines, fits into the construction, dredging and
675 deposit category. The Marine Management Organization offers an assistance tool to guide and check if the
676 construction of a new WTEBS or any extension of an existing system, requires a marine licence. Generally, the tool
677 provides three options regarding the marine licence:

678 • Exemption: In certain circumstances, the need for a marine licence can be removed. Note that an
679 exemption is not applicable for the construction of new underwater structures for example non-oil and gas
680 pipeline.

681 • Self-service marine licence: This licence covers a number of activities that can be considered low-
682 risk activities. This excludes deploying a new WTEBS or any extension of an existing systems.

683 • Standard marine licence: If a proposed activity does not meet the exemption or self-service
684 marine license criteria. Application for a standard marine licence is required for both new WTEBS and
685 extension of existing systems that includes the installation of new pipelines which needs to be authorised by
686 the Marine Management Organization.

687 Abstraction and discharge licences are controlled by the Environmental Agency. A full licence is required when
688 the abstraction volume flow rate surpasses 20 m^3 a day. For heat exchangers and discharge to surface water, the
689 Environmental Agency requires that the maximum temperature of water at the borders of the mixing zone should
690 not exceed $23\text{ }^\circ\text{C}$. Furthermore, it requires that the maximum temperature rise outside of the mixing zone should
691 not be higher than $3\text{ }^\circ\text{C}$. The size of a mixing zone is not directly defined or constrained by the Environmental
692 Agency and is on a case-by-case basis, dependent on local geography and environment data. This is not true for
693 Scotland where the mixing zone is defined as 100 m from the centre outward in every direction. If biofouling
694 control measures are taken, their effects on the discharge water need to be considered.

695 In the case of the WTEBSs, the Environmental Agency offers an option for submitting a single application for
696 abstraction and discharge licenses as long as the following conditions are met:

- 697 • Discharge volume is lower than 1000 m^3 a day.
- 698 • Temperature regulations outlined for discharge to surface water as mentioned above are met.
- 699 • No polluting chemicals present in the discharge.
- 700 • Discharge is to the same water body as the abstracted water, but not close to 200 m to another
701 heated discharge.
- 702 • Discharge is not at any water body containing protected species or within 100 m from a local
703 wildlife site.
- 704 • Discharge must not be a watercourse point where salmon spawn.

705 Interested readers are referred to government websites for more details on each application. A similar flow
706 chart can be designed to summarise the required permits and licensing process for other territorial waters.

707

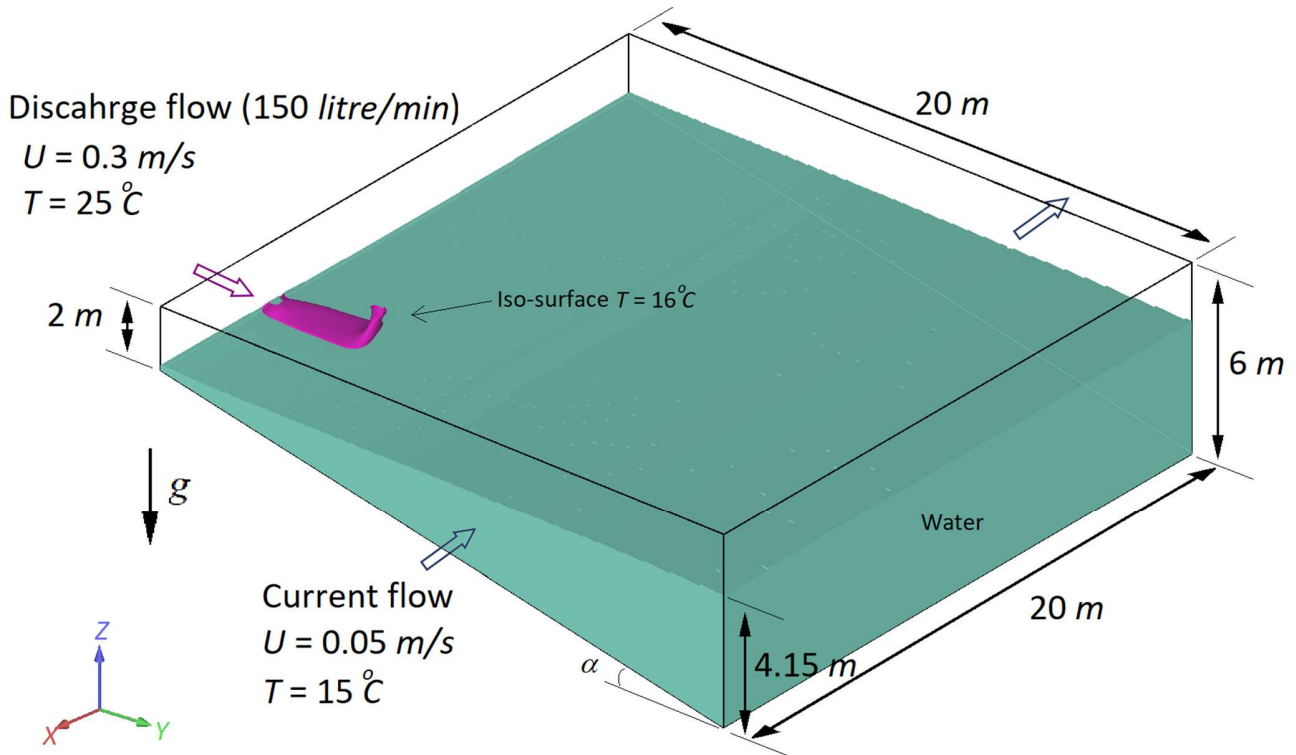
708 **6 Environmental Impact Assessment of Pilot SWAC System at Brixham**

709 **Laboratory**

710 In the EUROSAC project, an environmental impact assessment study was performed to measure water quality
711 parameters near the discharge area of the pilot shallow-water SWAC system at Brixham laboratory (Euroswac,
712 2021). For this purpose, two buoys which were equipped with sensors for monitoring water properties (such as
713 water temperature, pH, oxygen concentration, dissolved oxygen saturation, conductivity, total dissolved solids,
714 and the oxidation-reduction potential) were deployed for nine months at different positions between 5 m and 40

715 *m* from the discharge outlet situated near the shoreline; the SWAC system had a discharge flow rate of around
716 150 *litre/min*. During this period, the pilot system was run for short periods of up to 8 hours to assess changes in
717 water quality, but no significant and detectable change in water properties were observed.

718 To ensure that the discharge complies with mixing zone requirements outlined in the temperature regulations by
719 the Environmental Agency, a numerical model was developed in OpenFOAM®. The model solves governing hydro-
720 thermal equations to predict temperature distribution field created by submerged hot seawater discharge into
721 cold seawater while accounting for marine environment influences from dynamic interactions of currents and
722 waves, where it is verified against a wide range of analytical and experimental data. Different scenarios were
723 modelled using data from the pilot SWAC discharge with varying ambient environmental conditions. Figure 9
724 shows the set conditions and geometry specified for the computational domain in one of the simulated cases
725 based on experimental discharge data captured at the bay in Brixham. Simulations were conducted with the aid
726 of high-performance computing due to heavy computational requirements to predict the dynamic thermo-fluid
727 current-wave interactions in three-dimensions. A snapshot of the results in Figure 9 depicts the iso-surface of
728 mean seawater temperature discharge at $T = 16\text{ }^{\circ}\text{C}$ when the flow is fully developed which means that the
729 seawater temperature rise beyond that area is less than $1\text{ }^{\circ}\text{C}$. It clearly delineated the operational condition of the
730 SWAC at Brixham produces a small impact footprint of detectable temperature alterations only between 4 to 5
731 meters in size from the discharge point, which conform to the abovementioned temperature regulations for
732 abstraction and discharge licenses of WTEBSs.



733

734

735 Figure 9: Numerical modelling setup and iso-surface of mean seawater temperature discharge for $T = 16 \text{ }^\circ\text{C}$ for a
 736 fully developed flow condition.

737

738

739 7 Conclusion

740 The growth and development of WTEBSs raise concerns regarding their impacts on sustainability and degradation
 741 of marine environments. The present paper provides a full review of previous studies and state-of-the-art in
 742 different aspects of WTEBSs' interactions with marine environments. The study highlighted the relevant concerns
 743 on the development of WTEBSs including different stages of construction, operation, and decommissioning based
 744 on other types of development in the marine environment such as coastal power plants or other marine-based

745 renewable technologies. The construction and decommissioning phases of a WTEBS, including installation of
746 foundations and hard-fixed structures (such as submerged heat exchangers or pump stations), pipelines, scour-
747 protection systems, mooring devices, and seabed mounted power cables are likely to cause significant positive
748 and negative disturbances to local environmental resources and fundamental changes to the benthic habitat.
749 Innovative new solutions such as those proposed by DeProfundis and DORIS Engineering in self-burying and
750 flexible pipe technologies can assist with minimising the environmental impact and costs of pipeline installations.
751 Operation-wise, WTEBS continuously affects the marine environment throughout its lifetime of between 25 to 30
752 years. A comprehensive review of the environmental impact associated with discharge of processed seawater,
753 power cable electromagnetic fields, acoustic effects from the WTEBS machinery and pipelines, and leakage of
754 chemicals from the system on benthic and pelagic ecosystems was presented. As discharge dispersion is one of
755 the main environmental concerns, related experimental works in the area are reported, following by numerical
756 tool employed to predict their effects. The lack of a comprehensive and sophisticated computational fluid
757 dynamics (CFD) model to simulate the hydro-thermal behaviour of discharged effluents into waterbodies under
758 combined wave-current conditions was discovered, and scopes for improving the existing models to bridge the
759 knowledge gaps were discussed.

760 The potential destructive impacts of fouling and corrosion in WTEBSs were subsequently presented, followed by
761 an example observed at Brixham laboratory. Deterrent recommendations, such as using HDPE pipelines, materials
762 for heat exchangers, appropriate designing and assessment, as well as injection of chlorine as a continuous and
763 non-destructive method were highlighted.

764 Required permitting applications and licensing processes for installation and operation of new WTEBS or
765 modification of an existing one by the relevant authorities in the United Kingdom are summarized. Current
766 regulations may subject to changes in future with growth in the development, deployment, and adoption of
767 WTEBSs.

768 Most of the information regarding the environmental impacts of WTEBS came from studies that investigated pre-
769 impact conditions at a potential WTEBS site, or those that have been adapted from other marine technologies
770 environmental impacts. Actual monitoring of the environmental impact of WTEBSs during operation is therefore
771 lacking and thus necessary. The finding from data monitoring of water quality properties for short term operation
772 of a pilot SWAC system at Brixham Laboratory at the University of Plymouth in the United Kingdom was discussed.
773 It was found that no large detectable changes in water quality are measured, with seawater mixing zone
774 temperature variation being very localised that complies within limits to what is allowable by permits and licensing
775 regulations. However, further studies would be required if demand for abstraction and therefore discharge of
776 seawater flow rate increases, and if the SWAC system was to operate continuously to assess long term effects.

777

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