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# To fly or not to fly? Comparing vantage point and uncrewed aerial vehicle surveys for assessments of seabird abundance and fine-scale distribution

## Costagliola-Ray, MM

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#### 1 Abstract

2 Marine renewable energy developments (e.g. offshore wind, wave, and tidal) are an increasing 3 feature within the marine environment. It is therefore important to understand the potential 4 impacts of such developments on seabirds that use these environments. Land-based vantage point 5 (VP) surveys are widely used to collect data for environmental impact assessments (EIAs) within tidal 6 stream energy sites. However, tidal stream environments are highly dynamic and present challenges 7 when conducting VP surveys, for example there can be varying detectability of seabirds due to near-8 surface turbulence. In recent years, there has been increasing interest in the use of uncrewed aerial 9 vehicles (UAVs) to quantify animal abundance and distribution. Yet, to be effective for its use in EIAs, 10 this approach needs to be assessed alongside standardised methods. This study provides the first 11 comparison of at-sea abundance and distribution of surface-foraging seabirds in flight within a tidal 12 stream environment using concurrent VP surveys and UAV transects. Applying a combination of 13 GLMMs and GAMs, our results show that the two survey types produced similar counts of surface-14 foraging seabirds (tern species) in flight and reveal the influence of covariates affecting counts, 15 including tidal state, sea state, and observer ID. Further, we estimated the overlap (Bhattacharyya's 16 affinity) between tern utilization distributions as a function of tidal state to compare the fine-scale 17 distributions derived from each survey type. The distribution of terns detected, particularly by UAV 18 transects indicated that the highest tern density occurred within the near-shore shallows during the 19 ebb tide and extended into the main channel during the flood tide. Specifically, the UAV transects 20 captured the association of terns with a visible shear line extending into the main channel. 21 Therefore, EIAs may benefit from the use of UAV transect surveys alongside VP surveys to identify 22 fine-scale distributions of seabirds more accurately. Despite these potential benefits, the application 23 of UAVs for use in EIAs may be limited by the species resolution achievable using UAV imagery as 24 well as the impacts of adverse weather conditions and low sun angles (glare). Ultimately, the 25 selection of survey techniques will depend on the specific aims of the EIA, the target species, and 26 species behaviour.

27 **Keywords:** ornithology, EIA, marine renewable energy, land-based surveys, drone, survey method.

#### 28 1. Introduction

29 Marine renewable energy developments (e.g. offshore wind, wave, and tidal) are increasing 30 worldwide to help reach net zero CO<sub>2</sub> targets. Marine renewables represent a largely untapped 31 energy resource, with the potential to fulfil up to 7% of global energy demand (Esteban and Leary, 32 2012; Fox et al., 2018; Pelc and Fujita, 2002). More specifically, tidal energy alone is estimated to 33 have the potential to deliver approximately 20% of the UK's current electricity needs (Melikoglu, 34 2018). However, it is well established that nearshore tidal stream environments provide important foraging opportunities for seabirds (Hunt et al., 1999; Warwick-Evans et al., 2016; Zamon, 2003), 35 36 therefore, interactions between seabirds and renewable energy developments within these areas 37 are likely to occur (Benjamins et al., 2015; Copping et al., 2020). The protected status of many 38 populations of seabirds has resulted in a legal responsibility to assess potential impacts of 39 anthropogenic developments upon them (for example, in the EU, through Environmental Impact 40 Assessments: The European Parliament and the Council of the European Union, 2009).

41 Environmental Impact Assessments (EIAs) typically involve the collection of baseline data to 42 characterise a site and quantify potential environmental impacts of the proposed development(s) 43 (The European Parliament and the Council of the European Union, 2014; Wright, 2014), and in many 44 cases, seabirds are a key component of the EIA for marine developments (Savidge et al., 2014; 45 Sparling et al., 2015). Typically, primary data of interest for seabird site characterisation are species 46 presence, abundance, and distribution. These surveys allow the extent of spatiotemporal overlap 47 between seabird foraging distributions and potential locations of anthropogenic structures in the 48 marine environment to be quantified; crucial information required to assess the potential for 49 interactions between seabirds and developments (Waggitt and Scott, 2014).

50 Vantage point (VP) surveys undertaken from the shore are widely used for assessing seabird 51 abundance and distribution within nearshore areas as VP surveys are a cost-effective and logistically

52 feasible method of data collection. However, the ability of VP surveys to gather data suitable for EIAs 53 can be compromised by several biases stemming from detectability issues, particularly with 54 increasing distance from the VP location, and the spatiotemporal resolution of data (Waggitt and 55 Scott, 2014); these biases are exaggerated in tidal stream environments (Benjamins et al., 2015; 56 Waggitt et al., 2014). Tidal stream environments occur primarily in tidal passes found between 57 landmasses and around shallow headlands (Adcock et al., 2013; Lewis et al., 2015). Due to high current speeds, these sites are characterised by a range of hydrodynamic features, such as boils 58 59 (bottom-generated turbulence erupting at the sea surface), eddies, upwellings, and 60 vertical/horizontal shear which produce pronounced surface-flow turbulence (Benjamins et al., 61 2015; Holm and Burger, 2002). Such features not only influence seabird habitat use, but also the 62 ability of observers to detect foraging seabirds near the sea surface (Bibby et al., 2000; Buckland et 63 al., 2001). This presents observers monitoring seabirds within high-energy environments with 64 particular challenges. Therefore, it is particularly important that the key issues and challenges 65 outlined above are taken into consideration when devising land-based survey protocols for 66 appropriate site characterisation surveys and monitoring of seabirds within high-energy 67 environments.

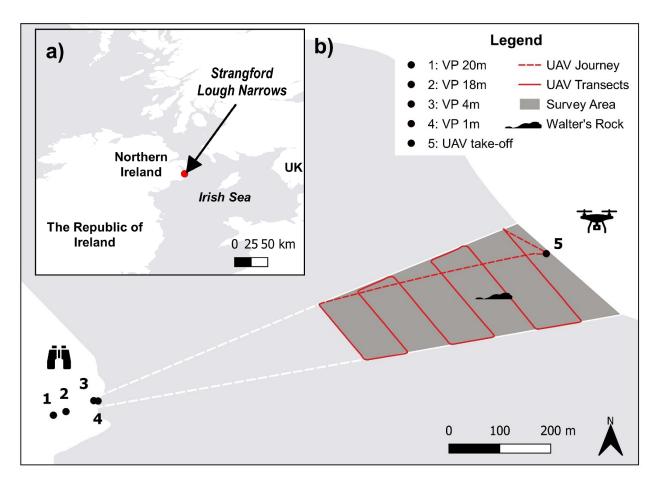
68 In recent years, there has been an increasing interest in the use of uncrewed aerial vehicles (UAVs) 69 to study animal abundance and distribution (Anderson and Gaston, 2013; Christie et al., 2016). UAVs 70 have proven an effective tool for examining the behaviour of both individual and aggregating 71 animals, quantifying animal densities and assessing the potential impacts of anthropogenic activities 72 on vulnerable species or ecosystems (Anderson and Gaston, 2013; Hodgson et al., 2013; Kiszka et al., 73 2016). UAVs have the potential to survey sites quickly and allow access to remote locations that may 74 be hard to access for traditional survey methods (McClelland et al., 2016). UAVs can also provide a 75 different perspective of fine-scale seabird habitat use, beneficial for investigating interactions 76 between seabirds and anthropogenic installations (Lieber et al., 2019). Yet, to date, the use of UAVs 77 for monitoring seabirds has largely been applied to population size monitoring of ground and cliffnesting birds during the breeding season (Brisson-Curadeau et al., 2017; Chabot et al., 2015;
Hodgson et al., 2016; McClelland et al., 2016; Ratcliffe et al., 2015; Rush et al., 2018; Sardà-Palomera
et al., 2012). To be effective as an approach for EIAs, this emerging platform needs to be assessed
and analysed alongside standardised methods.

82 This study provides the first comparison of at-sea abundance and distribution of seabirds within a 83 tidal stream environment using conventional VP surveys and concurrent UAV transects. The aim of 84 this study was to improve our understanding of how data collected from UAV transects compares to 85 data collected using traditional VP surveys, in turn assessing the effectiveness of UAVs for use in 86 EIAs. Specifically, this study: (i) compares counts of surface-foraging seabirds (terns Sternidae) in flight recorded from traditional VP surveys with those made from UAV transects; (ii) compares 87 88 counts of surface-foraging seabirds in flight as a function of tidal state (Zamon, 2003); and (iii) 89 assesses the overlap between tern distributions from each survey method to gain insight into the 90 fine-scale distribution (habitat use) of mobile, surface-foraging seabirds in flight and investigates the 91 use of prominent, tidally-derived hydrodynamics present at the site. We discuss the implications of 92 our findings on the marine renewable industry and seabird monitoring.

#### 93 2. Materials and Methods

#### 94 2.1 Study site

95 The study was performed within a dynamic tidal channel ("the Narrows") located in Strangford 96 Lough, Northern Ireland, UK (Figure 1). Concurrent land-based VP surveys and UAV transects were 97 carried out between 20 July and 24 July 2019 (n = 64). The geographic constriction of the Narrows 98 results in a rectilinear flow pattern with strong horizontal current speeds, peaking in excess of 4.5 99 ms<sup>-1</sup> during spring tides, offering several tidal stream energy test and demonstration sites (Lieber et 100 al., 2018; Savidge et al., 2014). As a result of strong current speeds and numerous bathymetric 101 features, the tidal channel is characterised by several pronounced wake features. Walter's Rock, an 102 island located on the north-eastern edge of the channel (Figure 1), presents one of these natural 103 wake features, characterised by diverse hydrodynamic features throughout the tidal cycle.
104 Submerged during high water slack, Walter's Rock generates localised boils, a shallow upwelling
105 region during the ebbing tide (on the eastern side) as well as vortices and pronounced shear lines
106 during peak tidal flows extending both into the nearshore shallows and towards the mid-channel.
107 The latter has been shown to present a tidally predictable foraging location for surface-foraging
108 terns, with the highest number of seabirds recorded during the flood tidal cycle (Lieber et al., 2019).



**Figure 1.** Map showing the study location within the Narrows, a dynamic tidal channel located in Strangford Lough, Northern Ireland, UK. **a)** Overview map showing the study area within the Narrows, highlighted by the red circle. **b)** Location of the survey area, including vantage point (VP) locations (Points 1-4) with associated elevation above sea level shown in metres, and UAV take-off location (Point 5) on the eastern shore of the Narrows. The island symbol within the survey (not to scale) represents the location of Walter's Rock.

#### 115 2.2 Land-based vantage point surveys

116 VP surveys (Points 1-4, Figure 1) were carried out from the western shore of the tidal channel 117 (Audley's Castle, 54°22'47"N, 005°34'19"W) to record the abundance (use of the term abundance 118 within this study refers to counts of individuals) and distribution of seabirds within the study area 119 (Figure 1), using telescopes (Swarovski ATS/STS HD 80mm) fitted with 20-60x magnification zoom 120 lenses. Surveys were carried out by two independent observers from four VP locations, all within 1.5 121 km of the survey area. For each survey, VP observers were situated at different locations, these 122 locations varied in elevation above sea level: 20 m, 18 m, 4 m, and 1 m (Points 1-4, Figure 1; see also 123 Supplementary Table 1). Different elevations were used to investigate how VP elevation may 124 influence the comparison of counts made of surface-foraging seabirds in flight from VP surveys to 125 those made from UAV transects. Land-based surveys consisted of scans between 4 and 10 minutes 126 in duration as we aimed to ensure that the VP scan length matched that of the UAV transect survey 127 as closely as possible. The length of scans was also influenced by the number of seabirds present 128 within the study area. Vantage point survey scans such as these are often referred to as 'snapshot' 129 scans as they provide instantaneous counts of birds and their locations (Jackson and Whitfield, 2011; 130 Robbins, 2017).

131 During each scan, seabird positions were located by triangulation using bearing and distance from 132 the observer. The bearing of each sighting was measured using a handheld compass. The distance of 133 a bird or group of birds from the observer was measured using a graduated rangefinder, as 134 described by Heinemann (1981). However, as the horizon was obscured by land at this site 135 graduated rangefinders were modified from those outlined by Heinemann (1981). Rangefinders 136 were created for each vantage point, taking into account the distance from the VP and a number of 137 given locations on the opposite shoreline to ensure that rangefinders were positioned correctly for 138 any given angle of the survey area. The accuracy of graduated rangefinders was ensured by

calibrating each rangefinder using known distances along the shoreline. Additionally, rangefinders
for VP 1 (20 m above sea level) were also calibrated using the UAV (see section 2.6 below).

141 Before each scan, the following variables were recorded: sea state (Beaufort scale), cloud cover (% 142 cover), tidal state (ebb or flood), sea surface glare (scale of 1-4, with 1 being "None" and 4 being 143 "Severe") (Supplementary Table 1), and the bearings of surface glare from the observer. Scans were 144 not performed during precipitation and were limited to when sea state was 3 or lower on the 145 Beaufort scale and visibility was higher than 1.5 km. As the location of each VP was less than 1.5 km 146 from the study area, all seabirds, both on the water surface and in flight could be identified to 147 species level with the exception of common tern Sterna hirundo and Arctic terns Sterna paradisaea 148 which were combined as 'Commic' terns. Seabird behaviour was also recorded following 149 Camphuysen et al. (2004).

#### 150 2.3 Uncrewed aerial vehicle transects

151 To compare the VP-derived abundance and distribution of terns with UAV observations, concurrent 152 parallel-line UAV transects were performed across the survey area using either a DJI Mavic Pro 153 recording 4k video at 24fps or DJI Phantom 3 Advanced recording 2k video at 30fps (Figure 1). The 154 UAV was operated by a CAA (Civil Aviation Authority) approved pilot and programmed to fly six 155 consecutive transect lines using either the AutoPilot v.4.7.191 or the Litchi v2.6.6 autonomous flight 156 application (Supplementary Table 2). The transects were performed at altitudes of approximately 74 157 m (SD=1.30 m) for the Mavic Pro and 61 m (SD=1.09 m) for the Phantom 3 (giving a pixel ground 158 resolution of 2.5 cm and 3.8 cm respectively for mean altitudes) to obtain the same spatial coverage 159 with the differing camera fields of view (Supplementary Table 2). Each survey (n = 64) resulted in a 160 total flight path of 2082 m. The survey times ranged from 04:25 min to 07:22 min, depending on the 161 wind speed, with an average survey time of 04:55 min. The transect lines were planned such that the field of view (FOV) from adjacent lines (line spacing = 88 m) would overlap by about 10% to ensure 162 163 complete coverage (Supplementary Figure 1). As this may introduce the possibility of "double164 counting" seabird sightings within areas that have previously been covered by the UAV as it 165 progresses along a consecutive transect line, see section 2.5 below on how overlap was accounted 166 for during post-processing. All missions were completed in accordance with local regulations and 167 flown by the same qualified (UK Civil Aviation Authority) pilot. To minimise the potential impact of 168 the UAV upon species behaviour UAV flights were carried out following best practice 169 recommendations (Hodgson and Koh, 2016). This included maintaining reasonable distance from 170 birds during flight (UAV flights were flown at an altitude > 60 m above-surface level), using a 171 relatively small and quiet UAV (Kuhlmann et al., 2022), ensuring that the vertical ascent of the UAV 172 was made before travelling over the survey area and avoiding sporadic flight movements. The take-173 off and landing site situated on the Eastern shore of Walter's Rock is marked as Point 5 in Figure 1 174 (54°23'03.8N, 005°33'24.1"W). While the VP surveys were performed from the opposite shoreline, 175 this location was chosen as it allowed maximum coverage of the survey area given the 500 m limit 176 from the pilot. Finally, the UAV camera was calibrated in the laboratory using a standard 177 checkerboard method and video sequences post-processed using MATLAB (R2017b; Mathworks).

#### 178 2.4 UAV video data processing to detect/count seabirds

179 A custom-built Graphical Unit Interface (GUI) named TernTagger was built in MATLAB and was used 180 to count seabirds on a frame-by-frame basis. For this, the video file was opened in the GUI, and 181 individual frames were reviewed by a video observer to manually 'tag' seabirds, thereby creating a 182 mark which generated an associated species ID and a local coordinate (accurate to ~1 m, compared 183 to VP distribution data which had lower precision as distances were assigned to 100 m bands). 184 Where possible, seabirds were tagged when passing the centroid of the UAV's Field of View (FOV) to 185 reduce parallax error (Supplementary Figure 1). As it was possible to easily go between frames or 186 speed up or slow down the video using the GUI, this facilitated accurate marking of even highly 187 mobile individual birds. All three tern species present at the site were marked as 'terns', and other 188 species of birds identified where possible. Sun glare (recorded on a scale of 1-3, with 1 being "None"

and 3 being "Severe") was apparent in some of the surveys but did not prevent the video observer from marking moving birds, such as the terns, as they would move in and out of sun glare areas, allowing species identification. Following the tagging, video local coordinates of tagged seabirds were converted to latitude and longitude in decimal degrees with the associated timestamp using the instantaneous recorded GPS position of the UAV, its flight altitude, and the camera calibration information.

#### 195 2.5 Post-processing of seabird counts accounting for transect overlap

196 In order to limit possible "double-counting" of seabird sightings, we accounted for line transect 197 overlap (10%) using the following approach. Rather than simply identifying (and excluding) bird 198 locations within the 10% overlap region between two lines, we constructed a spatiotemporal 199 approach using the evolving area of coverage (Supplementary Figure 2). Birds were only excluded if 200 they were located within the overlap between the current field of view (FOV) and the combined area 201 of the previous fields of view up to an along-track distance (d) behind the centre of the current FOV 202 (Supplementary Figure 2). This distance, d, was set to be equal to the diagonal dimension of the 203 current FOV determined by the UAV camera and altitude (Supplementary Figure 2). This method is 204 preferable to a fixed time delay to allow for the variable flight-speed of the UAV that is dependent 205 upon the wind. It can be seen that the combined area of overlaps is irregular in shape at the end of 206 each transect line, ensuring that double-counting is minimised in these regions where the UAV 207 changes velocity.

208 2.6 Using the UAV to calibrate VP graduated rangefinders

Graduated rangefinders used by land-based VP observers (see section 2.2 above) at 20 m elevation were calibrated by undertaking UAV flights using the DJI Phantom 3. For these calibration flights, the UAV was flown at 10 m altitude to 7 calibration points at various distances from the land-based observers (610 m, 700 m, 800 m, 900 m, 950 m, 1000 m, 1100 m). At each point, the UAV hovered to allow land-based observers enough time to ensure graduated rangefinders were correct.

#### 214 2.7 Statistical analysis

215 While all seabird species observed at the site were recorded, terns Sternidae (common terns, Arctic 216 terns and Sandwich terns Sterna sandvicensis) accounted for a significant proportion of both the VP 217 and UAV observations (0.86 and 0.83 respectively; Supplementary Table 3 and Supplementary Table 218 4). Therefore, all analyses herein are focused on these three tern species combined (all of which 219 were in flight) (Supplementary Table 5 and Supplementary Table 6).

220 To investigate 'tidal coupling' i.e. where the abundance and distribution of seabirds varies with tidal 221 state/the ebb-flood tidal cycle (Zamon, 2003), we calculated an average flood/ebb index for each concurrent survey conducted (taking into account the start and end time of each survey method). 222 223 Flood/ebb index (hereafter referred to as tidal index) is a cyclic variable defined over each flood/ebb 224 cycle based on tide height. Values of:

- > 0 < 0.5 represent the ebb tidal current. 225
  - 226

- > 0.5 < 1 represent the flood tidal current.
- 227

• 0 and 1 represent high water slack.

228

• 0.5 represents low water slack.

229 Tidal state refers to the tidal phase, where ebb at the study site is a southeast flow and flood is a 230 northwest flow of water.

231 To compare the abundance of terns detected by each survey method, the number of individuals 232 counted within VP surveys were modelled as a function of those counted within UAV transects using 233 a generalised linear mixed effect model (GLMM) with a Poisson distribution in the R package Ime4 234 (Bates et al., 2015). The response variable was the VP survey count of terns. The explanatory 235 variable UAV count was included as a fixed effect, while survey ID and elevation of the VP were treated as random effects. To assess the absolute agreement between the number of terns counted 236 237 by both survey methods within the same survey the intraclass correlation coefficient (ICC) and its associated uncertainty was calculated using a two-way random effects model based on single unit rating in the R package *irr* (Gamer et al., 2019) and the results were interpreted following the guidelines given by Koo and Li (2016).

241 To investigate the potential influence of detection parameters upon the abundance of terns 242 detected by each survey method, the number of individuals counted within VP surveys and UAV 243 transects were modelled separately as a function of explanatory variables using GLMMs with a 244 Poisson distribution in the R package Ime4 (Bates et al., 2015). The response variable was the 245 VP/UAV survey count of terns. The explanatory variables tidal state (included as a factor with two 246 levels: ebb or flood), cloud cover, sea state (to account for the potential impacts of sea surface 247 roughness on the detectability of seabirds), glare, VP observer ID, and elevation of the VP were 248 included as fixed effects, while survey ID was treated as a random effect. The explanatory variables 249 VP observer ID and elevation of the VP were not included within the UAV model described above as 250 these variables relate only to the VP data. Collinearity of fixed effects was assessed by calculating 251 variance inflation factors (VIF), ensuring each was below three, which was the case for all fixed 252 effects apart from cloud cover within the UAV model as an interaction was found between cloud 253 cover and glare. As a result, cloud cover was removed from this GLMM. Model selection was 254 performed using a multi-model inference approach, based upon Akaike Information Criterion (AIC) 255 values (Burnham and Anderson, 2002). All combinations of explanatory variables were tested in a 256 series of 65/8 candidate models for the VP/UAV data respectively (Supplementary Table 7 and 257 Supplementary Table 8). The model with the lowest AIC score was selected as the most 258 parsimonious model based on the delta of the corrected Akaike's Information Criterion (ΔAICc), 259 calculated using the dredge function in the MuMIn package in R (Barton, 2020). Parameter estimates 260 and 95% confidence intervals were then presented for the most parsimonious models. If 95% 261 confidence intervals did not overlap with zero, this supported the importance of the explanatory 262 variable.

263 To compare counts of terns detected by each survey method as a function of the tidal cycle, the 264 number of terns were modelled separately as a function of tidal index using generalised additive 265 models (GAMs) using glmmTMB (Brooks et al., 2017). Poisson distributions were used as non-linear 266 relationships were expected given the Strangford Lough Narrows has previously been shown to 267 present a tidally predictable foraging location for surface-foraging terns (Lieber et al., 2019). Tidal 268 index was included as a cyclical, non-linear explanatory variable and the number of knots was 269 constricted to seven to avoid over-fitting. Differences in tern abundance across tidal index were 270 tested for significance (p < 0.05) using chi-squared tests for each survey method (VP surveys were 271 modelled separately for each observer). VP observers were modelled separately to ensure a one-to-272 one comparison with terns detected by UAV transects over the tidal cycle. All modelling was 273 performed in R (version 4.0.1, R Development Core Team) using the Ime4 (Bates et al., 2015), glmmTMB (Brooks et al., 2017) and MuMIn (Barton, 2020) packages for GLMMs and the mgcv 274 275 package for GAMs (Wood, 2017). Data collected from all VP survey elevations were included within 276 these analyses.

277 To assess the similarity in tern distributions gained from the VP surveys and UAV transects, we 278 estimated 50% and 95% utilization distributions (UDs; Fieberg and Kochanny, 2005) for terns 279 detected by each survey method during different tidal states (ebb or flood currents). Only data 280 collected from concurrent surveys when at least one land-based observer was positioned at higher 281 VP survey elevations (18 and 20 m above sea level, n = 62) were used to remove any bias due to 282 elevation. Additionally, if both VP observers were positioned at higher elevations for the same 283 survey (n = 12), only data from the VP observer located at the highest elevation were retained to 284 ensure a one-to-one comparison, i.e. comparing one VP observer with one UAV transect survey. 285 Kernel density estimation was conducted using the R package adehabitatHR (Calenge, 2006). Kernel 286 density estimates were evaluated on 800 m x 500 m grids using a cell size of 1 m<sup>2</sup> and smoothing 287 parameters (h) were estimated using the ad hoc 'href' method. The extent of overlap between the 288 distribution of terns detected by VP surveys (UD<sub>1</sub>) and UAV transects (UD<sub>2</sub>) during different tidal

states were estimated using the *kerneloverlap* function to give Bhattacharyya's affinity (BA), which
ranges from 0 (no overlap) to 1 (complete overlap) (Bhattacharyya, 1943; Fieberg and Kochanny,
2005).

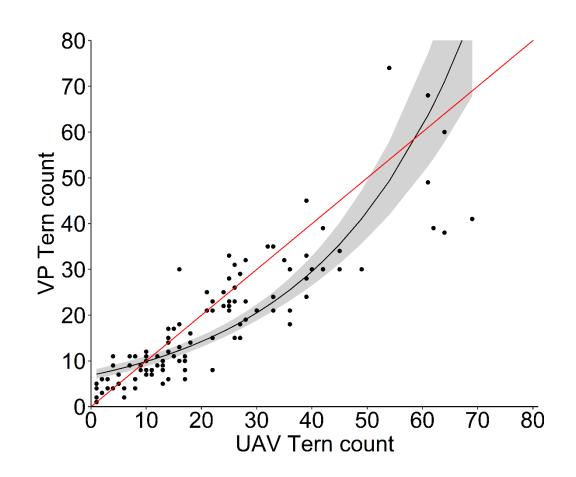
292 **3. Results** 

#### 293 3.1 Comparing seabird counts

294 There was a positive relationship between the number of terns counted within VP surveys and the 295 number of terns counted within UAV transects (Figure 2; Table 1) (also see Supplementary Figure 3). 296 The absolute agreement between the number of terns counted by both survey methods within the 297 same survey was also found to be good (i.e. intraclass correlation coefficient was > 0.75; Table 2) 298 (Koo and Li, 2016). The positive relationship between the number of terns counted within VP surveys 299 and the number of terns counted within UAV transects was not linear, with generally higher 300 numbers of terns detected by the UAV than the land-based observers, particularly when the number 301 of terns detected in the survey area was greater than 20 (Figure 2). The number of terns detected 302 within concurrent VP surveys and UAV transects were more closely matched at lower abundances 303 (Figure 2). When considering the potential influence of explanatory variables upon the abundance of 304 terns detected by VP surveys, the most parsimonious model selected sea state, tidal state and 305 observer ID as having the greatest explanatory power (Table 3; Supplementary Table 7; 306 Supplementary Figure 4). 95% confidence intervals supported the importance of each of these 307 explanatory variables (Table 3) (apart from 'Sea State<sup>1,3'</sup> which represents the comparison between 308 sea state 1 and 3 on the Beaufort scale). There was no support for cloud cover, elevation of the VP 309 and surface glare in explaining any variation in the number of terns counted during VP surveys. 310 When investigating the potential influence of explanatory variables upon the abundance of terns 311 detected by UAV surveys, the most parsimonious model selected sea state and tidal state as having the greatest explanatory power (Table 3; Supplementary Table 8; Supplementary Figure 5). 95% 312 313 confidence intervals supported the importance of each of these explanatory variables (Table 3)

(apart from 'Sea State<sup>1,3</sup>' which represents the comparison between sea state 1 and 3 on the
Beaufort scale). There was no support for surface glare explaining any variation in the number of
terns counted during UAV transects.

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Figure 2. Generalised linear mixed effect model outputs showing a positive relationship between the number of terns counted within vantage point surveys and the number of terns counted within concurrent UAV transects (± SE). The red line represents a 1:1 linear relationship.

322 **Table 1.** Parameter estimates showing the relationship between the number of terns counted within 323 vantage point surveys and the number of terns counted within uncrewed aerial vehicle transects 324 with standard error and 95% confidence intervals. Effects are slope estimates from the model and 325 important variables have 95% confidence intervals that do not overlap with zeros, shown in bold.

|                |        |       | 95% confidence interv |       |
|----------------|--------|-------|-----------------------|-------|
| Parameter      | Effect | se    | Lower                 | Upper |
| Intercept      | 1.924  |       |                       |       |
| UAV tern count | 0.037  | 0.003 | 0.032                 | 0.041 |

326

**Table 2.** Intraclass correlation coefficient estimates with 95% confidence intervals and F test values based on a single unit rating, 2-way random effects model measuring absolute agreement in the number of terns counted within vantage point surveys and the number of terns counted within concurrent UAV transects.

|                    |                           | 95% confidence intervals |       | F Test With True Value 0 |      |     |       |  |
|--------------------|---------------------------|--------------------------|-------|--------------------------|------|-----|-------|--|
|                    | Intraclass<br>Correlation | Lower                    | Upper | Value                    | df1  | df2 | Sig   |  |
| Single<br>measures | 0.86                      | 0.76                     | 0.91  | 14.9                     | 37.1 | 110 | <0.01 |  |

331

Table 3. Final parameter estimates showing the relationships between the number of (A) terns counted within vantage point surveys and (B) terns counted within uncrewed aerial vehicle transects and supported explanatory variables with standard error and 95% confidence intervals. Effects are slope estimates from the most parsimonious models (Supplementary Table 7 and Supplementary Table 8 respectively). Important variables have 95% confidence intervals that do not overlap with zeros, shown in bold.

|                          |        |       | 95% confidence intervals |        |  |
|--------------------------|--------|-------|--------------------------|--------|--|
| Parameter                | Effect | se    | Lower                    | Upper  |  |
| (A) Vantage Point        |        |       |                          |        |  |
| Intercept                | 2.453  |       |                          |        |  |
| Observer                 | 0.176  | 0.049 | 0.080                    | 0.273  |  |
| Tidal State Flood        | 0.554  | 0.158 | 0.245                    | 0.864  |  |
| Sea State <sup>1,2</sup> | -1.557 | 0.339 | -2.221                   | -0.893 |  |
| Sea State <sup>1,3</sup> | 0.061  | 0.208 | -0.347                   | 0.468  |  |
| (B) UAV                  |        |       |                          |        |  |
| Intercept                | 2.505  |       |                          |        |  |
| Tidal State Flood        | 0.453  | 0.185 | 0.0895                   | 0.816  |  |
| Sea State <sup>1,2</sup> | -1.833 | 0.404 | -2.625                   | -1.042 |  |
| Sea State <sup>1,3</sup> | -0.220 | 0.248 | -0.706                   | 0.265  |  |

Sea State<sup>1,2</sup> represents the comparison of two sea state levels: 1 and 2 on the Beaufort scale. Sea State<sup>1,3</sup> represents the comparison of two sea state levels: 1 and 3 on the Beaufort scale.

#### 341 3.2 Comparing ecological relationships

Significant variation was observed in the number of terns across the tidal index (ebb-flood cycle) for
each survey method (Table 4; Figure 3). A similar pattern in tern numbers across tidal index was
observed from the VP surveys and UAV transects, with the highest number of terns observed during
flood tides (Figure 3; Supplementary Figure 6).

346

**Table 4.** General-additive model (GAM) outputs of the number of terns recorded across the tidal index from vantage point surveys and UAV transect surveys. Vantage point survey counts are modelled separately for each observer; VP1 = Observer 1 and VP2 = Observer 2. Differences in tern counts across tidal index were tested for significance (p < 0.05) using chi-squared tests ( $\chi^2$ ) for each survey method. Estimates, standard errors (Std. error), z-values (z), estimated degrees of freedom (EDF), p-values, adjusted R-squared and the deviance explained are also shown.

353

| Number of terns recorded | Estimate | Std.  | Z     | EDF   | χ <sup>2</sup> | p-     | R <sup>2</sup> | Deviance      |
|--------------------------|----------|-------|-------|-------|----------------|--------|----------------|---------------|
| across tidal index from: |          | error |       |       |                | values | (adj.)         | explained (%) |
| UAV transect surveys     | 2.975    | 0.031 | 94.81 | 5.891 | 369.9          | < 0.01 | 0.539          | 61.5          |
| VP surveys, VP1.         | 2.776    | 0.034 | 81.64 | 5.844 | 216.3          | < 0.01 | 0.377          | 51.4          |
| VP surveys, <b>VP2</b> . | 2.765    | 0.039 | 70.09 | 5.958 | 307.8          | < 0.01 | 0.66           | 72.8          |

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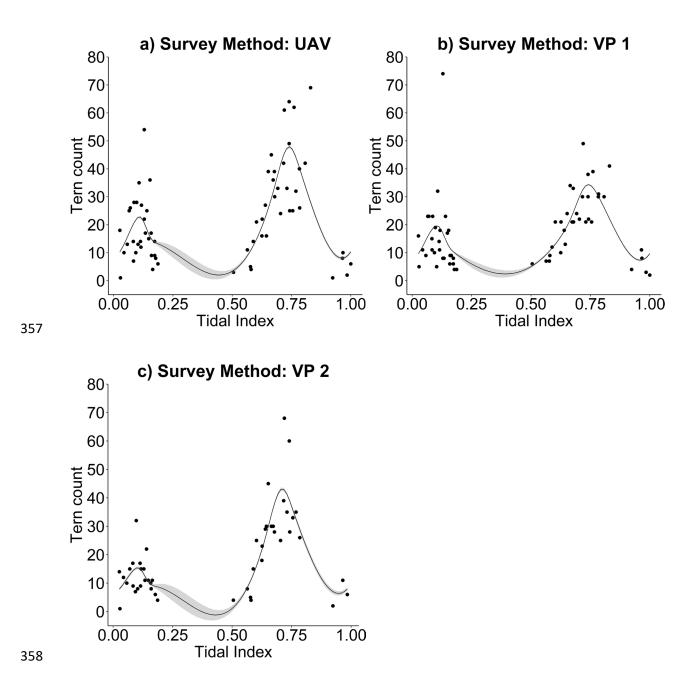
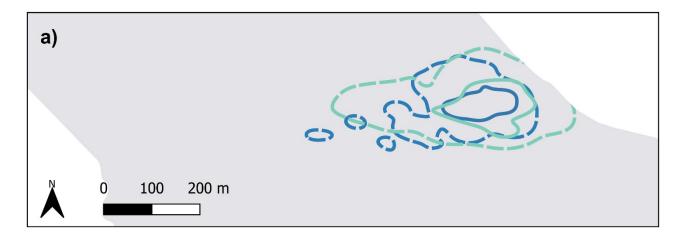


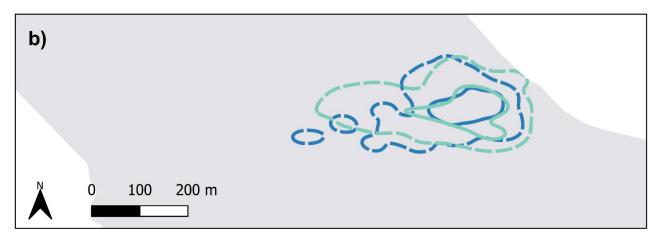
Figure 3. Response curves ( $\pm$  SE) from generalised additive models (GAMs) showing predicted tern counts detected by each survey method with raw data overlaid a) UAV transects (n = 64), b) VP 1/Observer 1 (n = 63), and c) VP 2/Observer 2 (n = 48) as a function of tidal index (0/1 = High water slack, 0.5 = Low water slack).

### 363 *3.3 Comparing the distribution of terns*

The distribution of terns detected within VP surveys and UAV transects indicated that the highest tern density occurred within the near-shore shallows during the ebb tide (Figure 4c) and extended

| 366 | into the main channel during the flood tide (Figure 4b). However, tern distributions recorded by UAV |
|-----|--|
| 367 | transects showed more of a difference between the ebb and flood tide (Figure 4; Supplementary        |
| 368 | Figure 7 and 8). The overlap between VP survey and UAV transect 50% UDs was lower than 95% UDs       |
| 369 | (BA, Table 5). Overlap indices also indicated better concordance between the 95% distribution        |
| 370 | estimates made for all data and 95% distribution estimates made during the flood tide compared to    |
| 371 | 95% distribution estimates made during the ebb tide (Table 5). The overall similarity between 95%    |
| 372 | UDs during the ebb tide (BA = 0.69) were moderate, while 95% UDs made during the flood tide (BA =    |
| 373 | 0.83) indicated a high overall similarity (BA > 0.8).  |
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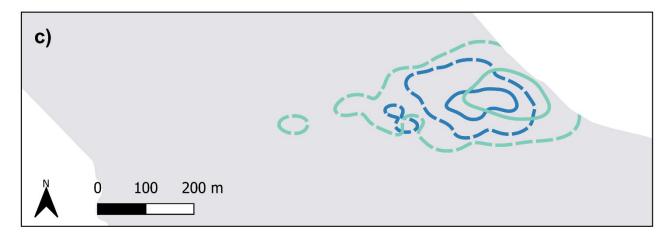


Figure 4. Utilization distributions (UDs) of terns detected within concurrent vantage point surveys (blue) and UAV transects (light blue) at 95% (dotted lines) and 50% (solid lines) carried out when vantage point observers were at high elevations (18 and 20m above sea level, n = 62). a) tern distributions detected within all surveys, b) tern distributions detected during the flood tide and, c) tern distributions detected during the ebb tide.

**Table 5.** Estimated overlap (Bhattacharyya's affinity, BA) between tern utilization distributions (UDs) estimated using data from concurrent vantage point surveys (UD<sub>1</sub>) and UAV transects (UD<sub>2</sub>), for data collected from concurrent surveys when at least one land-based observer was positioned at higher VP survey elevations (18 and 20 m above sea level) and for flood and ebb tides. For each UD, we give kernel smoothing parameters (*h*) estimated using the *ad hoc* 'href' method.

| UD(%) | UD1              | UD <sub>2</sub>   | BA   | h   |
|-------|------------------|-------------------|------|---|
| 50    | VP, terns        | UAV, terns        | 0.38 | 19.44 <sup>UD1</sup> , 24.93 <sup>UD2</sup> |
|       | VP, terns, Flood | UAV, terns, Flood | 0.42 | 21.74 <sup>UD1</sup> , 24.81 <sup>UD2</sup> |
|       | VP, terns, Ebb   | UAV, terns, Ebb   | 0.22 | 19.82 <sup>UD1</sup> , 29.20 <sup>UD2</sup> |
| 95    | VP, terns        | UAV, terns        | 0.79 | 19.44 <sup>UD1</sup> , 24.93 <sup>UD2</sup> |
|       | VP, terns, Flood | UAV, terns, Flood | 0.83 | 21.74 <sup>UD1</sup> , 24.81 <sup>UD2</sup> |
|       | VP, terns, Ebb   | UAV, terns, Ebb   | 0.69 | 19.82 <sup>UD1</sup> , 29.20 <sup>UD2</sup> |

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#### 395 4. Discussion

396 This study takes a crucial first step towards evaluating the effectiveness of UAVs for use in EIAs. We 397 analysed concurrent VP surveys and UAV transects to quantitatively compare at-sea abundance and 398 distribution of surface-foraging seabirds in flight within a tidal stream environment. By comparing 399 these two survey approaches, we found that both yielded comparable counts of seabirds at the site 400 of interest, while fine-scale distributions differed. The UAV offered a better perspective of seabird 401 habitat use in relation to visible surface-flow features and could therefore be regarded as beneficial 402 to assess seabird-environment interactions during EIAs. Within this study no behavioural disturbance 403 (such as evasive flying/diving behaviours away from the UAV or alarm calling) was recorded by land-404 based observers. Therefore, it is not thought that the abundances/distributions reported were affected by the presence of the UAV. 405

406 *4.1 Comparing seabird counts* 

407 Our results show that both VP surveys and UAV transects perform similarly when recording counts 408 of surface-foraging terns. A positive relationship was found between the number of terns counted 409 within VP surveys and the number of terns counted within UAV transects. However, generally higher 410 numbers of terns were detected by the UAV, particularly when the number of terns present within 411 the survey area was higher; this means the number of terns detected by both survey methods were 412 more closely matched at lower abundances (< 20 birds). This difference could be driven by potential 413 "double-counting" of seabirds within UAV transects due overlap between UAV parallel transect lines 414 and seabird movement across transect lines (see point 1 below). However, it is also likely that the 415 difference in numbers counted at higher abundances (20-70 birds) was due to the flux of birds 416 entering the survey area at once. This may suggest that particular attention should be paid to ensure 417 appropriate training measures are in place for VP observers to ensure accurate counts of birds 418 where abundance may be high, or birds are in flocks (see points 3 and 4 below) whilst at the same 419 time recording distance and bearing information. Previous studies comparing counts of ground 420 nesting seabirds also indicate that observers in the field typically record lower counts than those 421 from UAV surveys (Hodgson et al., 2016). This difference is usually due to ground nesting birds being 422 obscured due to the oblique angle of observers; the oblique angle of land-based observers could 423 also explain the difference in counts seen within our study (see point 3 below). However, there is 424 also evidence indicating the converse is possible in ground nesting birds (i.e. where counts of ground 425 nesting birds made by observers in the field are higher than those from UAV surveys) (Chabot et al., 426 2015). As we do not know the true number of birds within the survey area during each survey, given 427 the differences in the number of birds counted by both methods at higher tern abundances, it is not possible based on this single study to determine which survey method may be more reliable. 428 429 Therefore, we outline below many potential reasons for the differences between VP and UAV counts 430 of surface foraging terns within this study in the hope that these will aid the selection of survey 431 techniques for EIAs and provide a better understanding of the application of UAVs for use in EIAs.

432 1. " Over- and -undercounting". In environments where individuals are targeting a feature and are therefore not moving at random, it is possible that individuals may be 433 counted more than once within UAV transects due to the overlap in the field of view 434 between UAV parallel transect lines. Conversely, mobile individuals which move out from 435 436 the area covered by the UAV may be missed and not be counted. Although we implemented 437 a spatiotemporal approach to account for the potential "double-counting" of seabirds within 438 UAV transects (overall 337 sightings were removed within the 10% overlap of transects) this 439 approach did not account for seabird movement (for example, terns actively foraging within 440 the survey area) and how this may introduce duplicates into the UAV data. It is important to 441 note that the level of overlap / decision to include overlap between parallel transect lines 442 should be based upon the scale of surveys and required spatial coverage (within this study 443 overlap between UAV transect lines was deemed necessary to ensure complete coverage of 444 the survey area).

445 2. False positives and perception bias. It is possible that UAV counts were generally 446 higher than VP survey counts due to potential false positives. It is also important to note that only one reviewer manually assessed the UAV video, therefore we did not quantify this 447 possible perception bias. Although not the case in this study, it is important to note when 448 449 counting birds from digital imagery that the birds may be difficult to distinguish from the 450 whitecaps created by hydrodynamic features present within dynamic nearshore environments, resulting in false positives (Edney and Wood, 2021; Thaxter and Burton, 451 2009). 452

453 3. 'Viewshed'. The difference in the counts of terns recorded by VP surveys and UAV 454 transects could be due to the difference in perspective of the survey area (i.e. UAVs give a 455 'bird's eye view' of the survey area while land-based observers view the survey area at an 456 oblique angle). As terns were often aggregated in high numbers within the survey area, birds 457 may have occluded one another, resulting in terns being missed by land-based observers.

4. VP scan protocol. Traditionally VP surveys consist of systematic scans of the survey 459 area carried out by observers with the aim of recording all birds within the scanned area, 460 within a snapshot in time. However, as terns were often aggregated in high numbers in this 461 study, VP observers may have missed terns flying through/transiting through the area when 462 focused on counting or calculating the bearing and distance of seabirds. This would not have 463 been the case for the UAV.

464 We also investigated the potential influence of detection parameters upon the abundance of terns 465 detected by each survey method. Parameter estimates showing the relationship between the 466 number of terns counted within VP surveys and explanatory variables highlighted the particular 467 importance of VP observer, sea state, and tidal state on the number of terns predicted by the model. 468 The importance of VP observer could be expected as VP observers did not follow the UAV or each 469 other when scanning the survey area, meaning observers may be focused on different areas at 470 different times. Similar differences in the number of birds counted by observers have previously 471 been found (Spear et al., 2004; Van Der Meer and Camphuysen, 1996) and the importance of 472 including the identity of each observer within modelling of observation data to account for variation 473 between individuals has previously been highlighted (Robbins, 2017). Therefore, EIAs may also 474 benefit from trial VP surveys as common practice, such surveys should be undertaken by multiple 475 observers at the same time and elevation to ensure that counts are comparable before fieldwork 476 commences.

Parameter estimates showing the relationship between the number of terns counted within UAV transects and explanatory variables highlighted the particular importance of sea state and tidal state on the number of terns predicted by the model. As this was also the case for the VP surveys it is important to understand how these variables may influence the count of terns by both survey methods. Sea state is usually an important parameter influencing the ability of land-based observers to detect birds on the water (Waggitt et al., 2014). However, due to the 'bird's eye view' of UAV

483 transects it is possible that that some species/individuals may be difficult to distinguish from the 484 whitecaps created by hydrodynamic features present within high-energy environments (as 485 mentioned in point 2 above). A higher number of terns were recorded at lower sea-states for both 486 methods; however, it is important to acknowledge the small sample sizes associated with sea state 487 (Supplementary Figure 4 and Supplementary Figure 5). The influence of tidal state upon the number 488 of terns counted by both survey methods is less intuitive as tidal state itself is not known to 489 influence the ability of either survey method to detect birds. Therefore, it is likely that the 490 importance of tidal state on the counts of terns by both VP surveys and UAV transects is due to its 491 influence on the number of terns using the site over the ebb-flood tidal cycle (changes in tern 492 abundance and distribution with the ebb-flood cycle are discussed in sections 4.2 and 4.3 below). 493 Yet, as certain tidal states have been found to correlate with high numbers of terns (i.e. during the 494 flood tide) it is possible that this increase in individuals resulted in biases in the number of terns 495 counted by both survey methods as previously discussed. Although sea surface glare has the 496 potential to impact counts of seabirds carried out by both VPs and UAVs, glare was not highlighted 497 as an important variable when explaining the number of terns counted by either of these survey 498 methods in this study. Within our study, glare was present within 4.7% of VP surveys (covering a 499 small portion of the survey area), while a larger percentage of the UAV transects were influenced by 500 glare (53%). However, when looking at the UAV video, UAV frames always contained an area free 501 from glare. The glare was limited to one corner of the frame, such that birds could be reliably 502 identified with the human eye as they moved between areas of glare and areas unaffected by glare.

503 4.2 Comparing ecological relationships

504 Comparison of counts recorded by VP surveys and UAV transects showed a similar pattern in the 505 number of terns recorded across the ebb-flood tidal cycle (tidal index), with the highest number of 506 terns observed during the flood tide. This is an important comparison in terms of assessing the 507 effectiveness of UAVs for use in EIAs as it indicates that although the number of terns recorded often differed by survey method, the same ecological relationships were detected when investigating site
use of terns. Previous studies carried out within the Strangford Lough tidal channel also recorded the
highest number of surface-foraging terns during the flood tide (Lieber et al., 2019).

#### 511 *4.3 Comparing the distribution of terns*

512 Finally, we assessed the similarity of tern distributions detected by VP surveys and UAV. The 513 distribution of terns detected, particularly by UAV transects indicated that the highest tern density 514 occurred within the near-shore shallows during the ebb tide and extended into the main channel 515 during the flood tide. This change in foraging location by terns is consistent with the change in 516 discrete hydrodynamic features present within the survey area in relation to the tidal cycle. There 517 are shallow upwelling regions generated by Walter's Rock during the ebbing tide and pronounced 518 shear lines extending towards the mid-channel generated during peak tidal flows, a pattern 519 previously found by Lieber et al. (2019). The differences found here in distributions estimated from 520 the VP surveys and UAV are likely to be due to differences in the accuracy of seabird locations 521 obtained by both survey methods. VP surveys within this study mapped the location of seabirds according to distances estimated from land-based observers using graduated rangefinders; this 522 523 introduces error as birds are effectively assigned to distance bands (Supplementary Figure 8; 524 Borchers et al., 2010). Another potential reason for this difference in distribution (particularly the 525 difference seen in Figure 4c) is that increasing distance is likely to influence the ability of land-based 526 observers to correctly assign an individual or group of birds into distance bands. As a result, VP 527 surveys may benefit from the use of UAV transect surveys as an additional survey tool to identify 528 fine-scale distributions of seabirds. The use of a laser rangefinder such as a Vector Ornithodolite also 529 has the potential to increase the precision of seabird positions obtained from VP surveys (Largey et 530 al., 2021); however, this method requires validation for use in tidal stream environments (Cole et al., 531 2019).

532 4.4 General UAV performance and applicability

533 While VP surveys and UAV transects were found to produce similar counts of surface-foraging 534 seabirds in flight, future EIAs of tidal stream developments may benefit from the use of UAV 535 transects carried out alongside traditional VP surveys to accurately identify the fine-scale 536 distributions of seabirds or to assess seabird interactions with the environment and/or renewable 537 energy structures. Fine-scale spatial information is crucial for assessing the potential for interactions 538 between seabirds and developments (for example, precise spatial information of seabirds is required to assess collision risk with tidal stream turbine developments; Isaksson et al., 2020). Not only this 539 540 but the increased accuracy of spatial data recorded within UAV transects also allows seabird 541 distribution to be directly linked to fine-scale hydrodynamic features. This is of particular importance 542 as seabirds may target specific hydrodynamic features associated with tidal stream turbines, which 543 in turn has the potential to increase the risk of underwater collision with moving parts of tidal 544 stream turbines. UAVs also have mission repeatability and produce a permanent record of the 545 imagery collected, allowing data to be referred back to in future.

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547 Despite these potential benefits, the application of UAVs for use in EIAs will not be without 548 challenges. It is important to consider conditions which may prevent the collection of useful UAV-549 derived data, such as, strong winds, precipitation, and high sun angles. High sun angles may 550 introduce sun glint into the UAV imagery, particularly during the middle part of the day, making 551 targets of interest hard to identify. It is also important to consider the costs associated with using UAVs, such as the initial cost of the UAV (including additional batteries and upgraded camera if 552 553 required), the cost of a UAV pilot and the costs associated with the subsequent time spent 554 identifying and counting birds from the UAV imagery (within this study the time taken to process 555 UAV imagery was approximately 10 hours and 40 minutes). However, the additional costs associated 556 with the use of UAV surveys alongside traditional VP surveys may be justified where more detailed 557 data on seabird distributions/fine scale habitat use are required. Another important consideration 558 when assessing UAVs as an effective tool for the impact assessment of tidal stream developments is the size of the area of interest as UAVs are limited by battery time and the obligation to maintain direct unaided visual contact with the UAV, known as visual line of sight (VLOS; up to 500 m horizontally from the remote pilot). However, it is possible to seek permission of the CAA to extent or go beyond this range.

563 The last consideration that should be taken into account when evaluating UAVs as an effective tool 564 for EIAs carried out within nearshore tidal stream environments are the types of data that can be 565 collected. UAVs are not able to record seabird behavioural data within transect surveys; instead, the 566 collection of behavioural data would require separate 'focal follows' / UAV hovers (Lieber et al., 567 2021). This is an important consideration when choosing appropriate survey techniques for impact 568 assessments within tidal stream environments as the collection of behavioural information (diving 569 behaviour specifically) is crucial for the assessment of collision risk with underwater turbines. Lastly, 570 it is crucial to recognise that survey techniques and technology used must be chosen based on the 571 seabird species or family of interest as VP survey are able to carry out species identification to a higher degree of accuracy. Within this study, the UAV flight height and integrated camera used for 572 573 data collection in this study did not allow for the reliable identification of birds on the water surface 574 (i.e. auks Alcidae). Therefore, UAV flight height would have to be lowered in order to give the 575 desired pixel resolution for all birds on the water surface to be identified. However, flying the UAV at 576 lower altitudes may cause disturbance and affect species' behaviour (e.g. flights and alarm calling) 577 (Brisson-Curadeau et al., 2017; Rush et al., 2018). Alternatively, using a UAV with a higher resolution 578 camera would also increase the opportunity to identify birds on the water surface.

579 **5. Conclusion** 

As marine renewable energy developments continue to become more widespread, it is increasingly important to understand the potential role emerging technologies/platforms may play in environmental impact assessments or improving understanding of how seabirds may interact with installed renewable installations. Uncrewed aerial vehicles are increasingly being used in ecological

studies and although there is a growing body of research assessing the efficiency of UAV-based methods, there is a disconnect between research and the use of UAV-derived data for ecological management and monitoring. This in part is due to a lack of clear guidelines on how to plan and successfully execute UAV flights, but also due to a lack of knowledge as to the capability of this emerging platform to provide data comparable to traditional land-based methods. This is also due to a lack of understanding within some research communities about the EIA process and types of information that may be required.

591 To our knowledge, this study provides the first comparison of at-sea abundance and distribution of 592 seabirds within a tidal stream environment collected from concurrent VP and UAV surveys. 593 Therefore, this study takes a crucial first step towards understanding the effectiveness of UAVs 594 compared to traditional VP surveys for its use in EIAs within dynamic nearshore tidal stream 595 environments. This study suggests that it is methodologically and logistically feasible to assess 596 seabird abundance and distribution within nearshore areas using off-the-shelf UAVs (e.g. DJI 597 consumer models). However, the selection of specific survey techniques should firstly be based upon 598 the specific needs of a monitoring task and questions to be addressed. For example, when delivering 599 broad site characterisation, VP surveys or boat/aircraft line transects may be appropriate due to the 600 limited area UAVs are able to cover (due to battery time) and the ability of VP surveys to more easily 601 identify individuals to species-level. However, if the questions of interest are to understand fine-602 scale habitat associations, particularly at operating devices then UAVs may be more appropriate due 603 to greater spatial resolution of data and ability to gather data across multiple conditions, seasons, 604 times of day without costs/resources becoming prohibitive. Lastly, it is important to recognise that 605 survey techniques should be chosen based on the target species and its behaviour, the area of 606 importance, survey length, project budget, and the surrounding conditions of the proposed 607 anthropogenic development. With the above considerations in mind, we suggest, in agreement with 608 Callaghan et al. (2018) and Lyons et al. (2019), that UAVs represent a useful complementary tool, 609 rather than an alternative approach to traditional land-based surveys for use is EIAs.

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- The views and opinions expressed in this paper do not necessarily reflect those of the EuropeanCommission or the Special EU Programmes Body (SEUPB).
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