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To fly or not to fly? Comparing vantage point and uncrewed aerial vehicle surveys for assessments of seabird abundance and fine-scale distribution

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1 **Abstract**

2 Marine renewable energy developments (e.g. offshore wind, wave, and tidal) are an increasing
3 feature within the marine environment. It is therefore important to understand the potential
4 impacts of such developments on seabirds that use these environments. Land-based vantage point
5 (VP) surveys are widely used to collect data for environmental impact assessments (EIAs) within tidal
6 stream energy sites. However, tidal stream environments are highly dynamic and present challenges
7 when conducting VP surveys, for example there can be varying detectability of seabirds due to near-
8 surface turbulence. In recent years, there has been increasing interest in the use of uncrewed aerial
9 vehicles (UAVs) to quantify animal abundance and distribution. Yet, to be effective for its use in EIAs,
10 this approach needs to be assessed alongside standardised methods. This study provides the first
11 comparison of at-sea abundance and distribution of surface-foraging seabirds in flight within a tidal
12 stream environment using concurrent VP surveys and UAV transects. Applying a combination of
13 GLMMs and GAMs, our results show that the two survey types produced similar counts of surface-
14 foraging seabirds (tern species) in flight and reveal the influence of covariates affecting counts,
15 including tidal state, sea state, and observer ID. Further, we estimated the overlap (Bhattacharyya's
16 affinity) between tern utilization distributions as a function of tidal state to compare the fine-scale
17 distributions derived from each survey type. The distribution of terns detected, particularly by UAV
18 transects indicated that the highest tern density occurred within the near-shore shallows during the
19 ebb tide and extended into the main channel during the flood tide. Specifically, the UAV transects
20 captured the association of terns with a visible shear line extending into the main channel.
21 Therefore, EIAs may benefit from the use of UAV transect surveys alongside VP surveys to identify
22 fine-scale distributions of seabirds more accurately. Despite these potential benefits, the application
23 of UAVs for use in EIAs may be limited by the species resolution achievable using UAV imagery as
24 well as the impacts of adverse weather conditions and low sun angles (glare). Ultimately, the
25 selection of survey techniques will depend on the specific aims of the EIA, the target species, and
26 species behaviour.

27 **Keywords:** ornithology, EIA, marine renewable energy, land-based surveys, drone, survey method.

28 **1. Introduction**

29 Marine renewable energy developments (e.g. offshore wind, wave, and tidal) are increasing
30 worldwide to help reach net zero CO₂ targets. Marine renewables represent a largely untapped
31 energy resource, with the potential to fulfil up to 7% of global energy demand (Esteban and Leary,
32 2012; Fox et al., 2018; Pelc and Fujita, 2002). More specifically, tidal energy alone is estimated to
33 have the potential to deliver approximately 20% of the UK's current electricity needs (Melikoglu,
34 2018). However, it is well established that nearshore tidal stream environments provide important
35 foraging opportunities for seabirds (Hunt et al., 1999; Warwick-Evans et al., 2016; Zamon, 2003),
36 therefore, interactions between seabirds and renewable energy developments within these areas
37 are likely to occur (Benjamins et al., 2015; Copping et al., 2020). The protected status of many
38 populations of seabirds has resulted in a legal responsibility to assess potential impacts of
39 anthropogenic developments upon them (for example, in the EU, through Environmental Impact
40 Assessments: The European Parliament and the Council of the European Union, 2009).

41 Environmental Impact Assessments (EIAs) typically involve the collection of baseline data to
42 characterise a site and quantify potential environmental impacts of the proposed development(s)
43 (The European Parliament and the Council of the European Union, 2014; Wright, 2014), and in many
44 cases, seabirds are a key component of the EIA for marine developments (Savidge et al., 2014;
45 Sparling et al., 2015). Typically, primary data of interest for seabird site characterisation are species
46 presence, abundance, and distribution. These surveys allow the extent of spatiotemporal overlap
47 between seabird foraging distributions and potential locations of anthropogenic structures in the
48 marine environment to be quantified; crucial information required to assess the potential for
49 interactions between seabirds and developments (Waggitt and Scott, 2014).

50 Vantage point (VP) surveys undertaken from the shore are widely used for assessing seabird
51 abundance and distribution within nearshore areas as VP surveys are a cost-effective and logistically

52 feasible method of data collection. However, the ability of VP surveys to gather data suitable for EIAs
53 can be compromised by several biases stemming from detectability issues, particularly with
54 increasing distance from the VP location, and the spatiotemporal resolution of data (Waggitt and
55 Scott, 2014); these biases are exaggerated in tidal stream environments (Benjamins et al., 2015;
56 Waggitt et al., 2014). Tidal stream environments occur primarily in tidal passes found between
57 landmasses and around shallow headlands (Adcock et al., 2013; Lewis et al., 2015). Due to high
58 current speeds, these sites are characterised by a range of hydrodynamic features, such as boils
59 (bottom-generated turbulence erupting at the sea surface), eddies, upwellings, and
60 vertical/horizontal shear which produce pronounced surface-flow turbulence (Benjamins et al.,
61 2015; Holm and Burger, 2002). Such features not only influence seabird habitat use, but also the
62 ability of observers to detect foraging seabirds near the sea surface (Bibby et al., 2000; Buckland et
63 al., 2001). This presents observers monitoring seabirds within high-energy environments with
64 particular challenges. Therefore, it is particularly important that the key issues and challenges
65 outlined above are taken into consideration when devising land-based survey protocols for
66 appropriate site characterisation surveys and monitoring of seabirds within high-energy
67 environments.

68 In recent years, there has been an increasing interest in the use of uncrewed aerial vehicles (UAVs)
69 to study animal abundance and distribution (Anderson and Gaston, 2013; Christie et al., 2016). UAVs
70 have proven an effective tool for examining the behaviour of both individual and aggregating
71 animals, quantifying animal densities and assessing the potential impacts of anthropogenic activities
72 on vulnerable species or ecosystems (Anderson and Gaston, 2013; Hodgson et al., 2013; Kiszka et al.,
73 2016). UAVs have the potential to survey sites quickly and allow access to remote locations that may
74 be hard to access for traditional survey methods (McClelland et al., 2016). UAVs can also provide a
75 different perspective of fine-scale seabird habitat use, beneficial for investigating interactions
76 between seabirds and anthropogenic installations (Lieber et al., 2019). Yet, to date, the use of UAVs
77 for monitoring seabirds has largely been applied to population size monitoring of ground and cliff-

78 nesting birds during the breeding season (Brisson-Curadeau et al., 2017; Chabot et al., 2015;
79 Hodgson et al., 2016; McClelland et al., 2016; Ratcliffe et al., 2015; Rush et al., 2018; Sardà-Palomera
80 et al., 2012). To be effective as an approach for EIAs, this emerging platform needs to be assessed
81 and analysed alongside standardised methods.

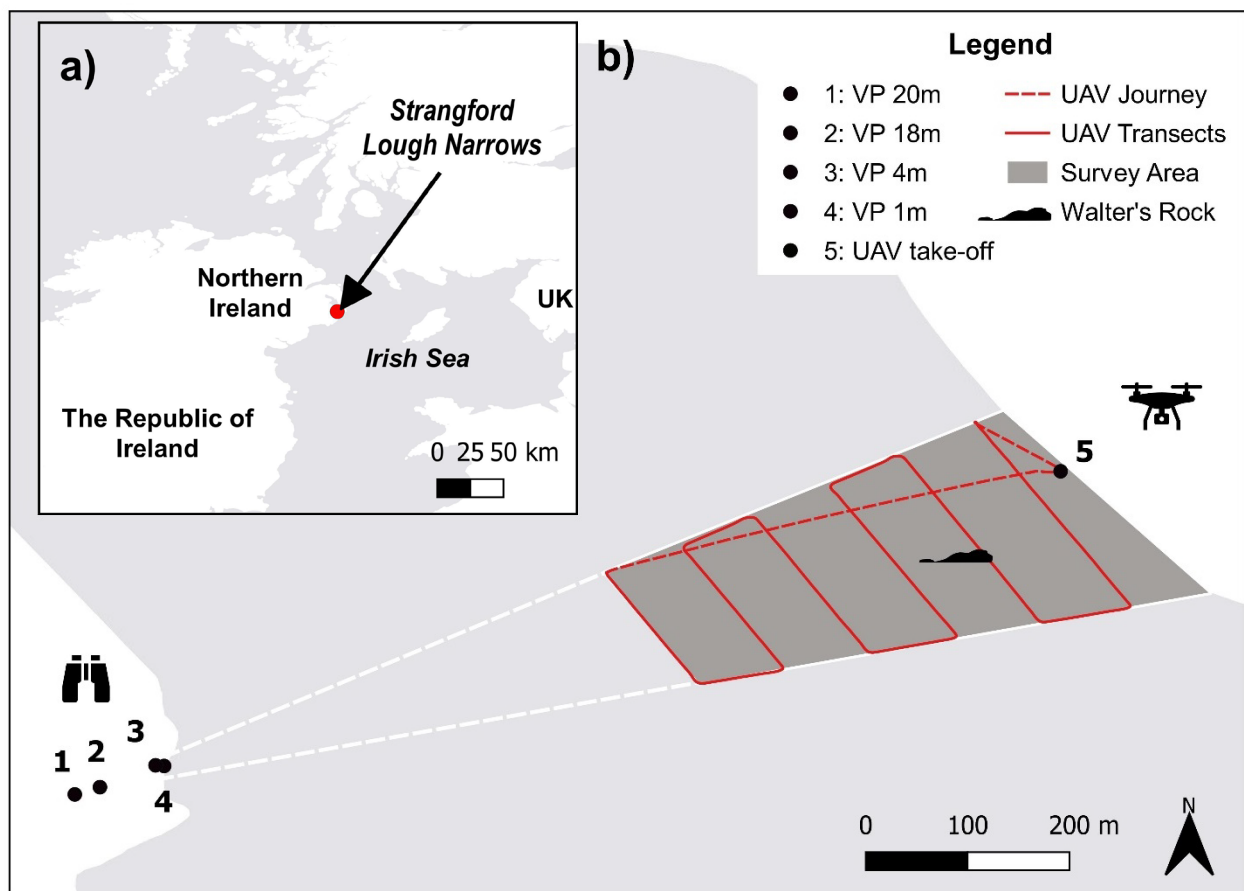
82 This study provides the first comparison of at-sea abundance and distribution of seabirds within a
83 tidal stream environment using conventional VP surveys and concurrent UAV transects. The aim of
84 this study was to improve our understanding of how data collected from UAV transects compares to
85 data collected using traditional VP surveys, in turn assessing the effectiveness of UAVs for use in
86 EIAs. Specifically, this study: (i) compares counts of surface-foraging seabirds (terns *Sternidae*) in
87 flight recorded from traditional VP surveys with those made from UAV transects; (ii) compares
88 counts of surface-foraging seabirds in flight as a function of tidal state (Zamon, 2003); and (iii)
89 assesses the overlap between tern distributions from each survey method to gain insight into the
90 fine-scale distribution (habitat use) of mobile, surface-foraging seabirds in flight and investigates the
91 use of prominent, tidally-derived hydrodynamics present at the site. We discuss the implications of
92 our findings on the marine renewable industry and seabird monitoring.

93 **2. Materials and Methods**

94 *2.1 Study site*

95 The study was performed within a dynamic tidal channel (“the Narrows”) located in Strangford
96 Lough, Northern Ireland, UK (Figure 1). Concurrent land-based VP surveys and UAV transects were
97 carried out between 20 July and 24 July 2019 (n = 64). The geographic constriction of the Narrows
98 results in a rectilinear flow pattern with strong horizontal current speeds, peaking in excess of 4.5
99 ms⁻¹ during spring tides, offering several tidal stream energy test and demonstration sites (Lieber et
100 al., 2018; Savidge et al., 2014). As a result of strong current speeds and numerous bathymetric
101 features, the tidal channel is characterised by several pronounced wake features. Walter’s Rock, an
102 island located on the north-eastern edge of the channel (Figure 1), presents one of these natural

103 wake features, characterised by diverse hydrodynamic features throughout the tidal cycle.
 104 Submerged during high water slack, Walter’s Rock generates localised boils, a shallow upwelling
 105 region during the ebbing tide (on the eastern side) as well as vortices and pronounced shear lines
 106 during peak tidal flows extending both into the nearshore shallows and towards the mid-channel.
 107 The latter has been shown to present a tidally predictable foraging location for surface-foraging
 108 terns, with the highest number of seabirds recorded during the flood tidal cycle (Lieber et al., 2019).



109 **Figure 1.** Map showing the study location within the Narrows, a dynamic tidal channel located in
 110 Strangford Lough, Northern Ireland, UK. **a)** Overview map showing the study area within the
 111 Narrows, highlighted by the red circle. **b)** Location of the survey area, including vantage point (VP)
 112 locations (Points 1-4) with associated elevation above sea level shown in metres, and UAV take-off
 113 location (Point 5) on the eastern shore of the Narrows. The island symbol within the survey (not to
 114 scale) represents the location of Walter’s Rock.

115 *2.2 Land-based vantage point surveys*

116 VP surveys (Points 1-4, Figure 1) were carried out from the western shore of the tidal channel
117 (Audley's Castle, 54°22'47"N, 005°34'19"W) to record the abundance (use of the term abundance
118 within this study refers to counts of individuals) and distribution of seabirds within the study area
119 (Figure 1), using telescopes (Swarovski ATS/STS HD 80mm) fitted with 20-60x magnification zoom
120 lenses. Surveys were carried out by two independent observers from four VP locations, all within 1.5
121 km of the survey area. For each survey, VP observers were situated at different locations, these
122 locations varied in elevation above sea level: 20 m, 18 m, 4 m, and 1 m (Points 1-4, Figure 1; see also
123 Supplementary Table 1). Different elevations were used to investigate how VP elevation may
124 influence the comparison of counts made of surface-foraging seabirds in flight from VP surveys to
125 those made from UAV transects. Land-based surveys consisted of scans between 4 and 10 minutes
126 in duration as we aimed to ensure that the VP scan length matched that of the UAV transect survey
127 as closely as possible. The length of scans was also influenced by the number of seabirds present
128 within the study area. Vantage point survey scans such as these are often referred to as 'snapshot'
129 scans as they provide instantaneous counts of birds and their locations (Jackson and Whitfield, 2011;
130 Robbins, 2017).

131 During each scan, seabird positions were located by triangulation using bearing and distance from
132 the observer. The bearing of each sighting was measured using a handheld compass. The distance of
133 a bird or group of birds from the observer was measured using a graduated rangefinder, as
134 described by Heinemann (1981). However, as the horizon was obscured by land at this site
135 graduated rangefinders were modified from those outlined by Heinemann (1981). Rangefinders
136 were created for each vantage point, taking into account the distance from the VP and a number of
137 given locations on the opposite shoreline to ensure that rangefinders were positioned correctly for
138 any given angle of the survey area. The accuracy of graduated rangefinders was ensured by

139 calibrating each rangefinder using known distances along the shoreline. Additionally, rangefinders
140 for VP 1 (20 m above sea level) were also calibrated using the UAV (see section 2.6 below).

141 Before each scan, the following variables were recorded: sea state (Beaufort scale), cloud cover (%
142 cover), tidal state (ebb or flood), sea surface glare (scale of 1-4, with 1 being “None” and 4 being
143 “Severe”) (Supplementary Table 1), and the bearings of surface glare from the observer. Scans were
144 not performed during precipitation and were limited to when sea state was 3 or lower on the
145 Beaufort scale and visibility was higher than 1.5 km. As the location of each VP was less than 1.5 km
146 from the study area, all seabirds, both on the water surface and in flight could be identified to
147 species level with the exception of common tern *Sterna hirundo* and Arctic terns *Sterna paradisaea*
148 which were combined as ‘Commic’ terns. Seabird behaviour was also recorded following
149 Camphuysen et al. (2004).

150 2.3 Uncrewed aerial vehicle transects

151 To compare the VP-derived abundance and distribution of terns with UAV observations, concurrent
152 parallel-line UAV transects were performed across the survey area using either a DJI Mavic Pro
153 recording 4k video at 24fps or DJI Phantom 3 Advanced recording 2k video at 30fps (Figure 1). The
154 UAV was operated by a CAA (Civil Aviation Authority) approved pilot and programmed to fly six
155 consecutive transect lines using either the AutoPilot v.4.7.191 or the Litchi v2.6.6 autonomous flight
156 application (Supplementary Table 2). The transects were performed at altitudes of approximately 74
157 m (SD=1.30 m) for the Mavic Pro and 61 m (SD=1.09 m) for the Phantom 3 (giving a pixel ground
158 resolution of 2.5 cm and 3.8 cm respectively for mean altitudes) to obtain the same spatial coverage
159 with the differing camera fields of view (Supplementary Table 2). Each survey (n = 64) resulted in a
160 total flight path of 2082 m. The survey times ranged from 04:25 min to 07:22 min, depending on the
161 wind speed, with an average survey time of 04:55 min. The transect lines were planned such that the
162 field of view (FOV) from adjacent lines (line spacing = 88 m) would overlap by about 10% to ensure
163 complete coverage (Supplementary Figure 1). As this may introduce the possibility of “double-

164 counting” seabird sightings within areas that have previously been covered by the UAV as it
165 progresses along a consecutive transect line, see section 2.5 below on how overlap was accounted
166 for during post-processing. All missions were completed in accordance with local regulations and
167 flown by the same qualified (UK Civil Aviation Authority) pilot. To minimise the potential impact of
168 the UAV upon species behaviour UAV flights were carried out following best practice
169 recommendations (Hodgson and Koh, 2016). This included maintaining reasonable distance from
170 birds during flight (UAV flights were flown at an altitude > 60 m above-surface level), using a
171 relatively small and quiet UAV (Kuhlmann et al., 2022), ensuring that the vertical ascent of the UAV
172 was made before travelling over the survey area and avoiding sporadic flight movements. The take-
173 off and landing site situated on the Eastern shore of Walter’s Rock is marked as Point 5 in Figure 1
174 ($54^{\circ}23'03.8\text{N}$, $005^{\circ}33'24.1\text{W}$). While the VP surveys were performed from the opposite shoreline,
175 this location was chosen as it allowed maximum coverage of the survey area given the 500 m limit
176 from the pilot. Finally, the UAV camera was calibrated in the laboratory using a standard
177 checkerboard method and video sequences post-processed using MATLAB (R2017b; Mathworks).

178 *2.4 UAV video data processing to detect/count seabirds*

179 A custom-built Graphical User Interface (GUI) named *TernTagger* was built in MATLAB and was used
180 to count seabirds on a frame-by-frame basis. For this, the video file was opened in the GUI, and
181 individual frames were reviewed by a video observer to manually ‘tag’ seabirds, thereby creating a
182 mark which generated an associated species ID and a local coordinate (accurate to ~1 m, compared
183 to VP distribution data which had lower precision as distances were assigned to 100 m bands).
184 Where possible, seabirds were tagged when passing the centroid of the UAV’s Field of View (FOV) to
185 reduce parallax error (Supplementary Figure 1). As it was possible to easily go between frames or
186 speed up or slow down the video using the GUI, this facilitated accurate marking of even highly
187 mobile individual birds. All three tern species present at the site were marked as ‘terns’, and other
188 species of birds identified where possible. Sun glare (recorded on a scale of 1-3, with 1 being “None”

189 and 3 being “Severe”) was apparent in some of the surveys but did not prevent the video observer
190 from marking moving birds, such as the terns, as they would move in and out of sun glare areas,
191 allowing species identification. Following the tagging, video local coordinates of tagged seabirds
192 were converted to latitude and longitude in decimal degrees with the associated timestamp using
193 the instantaneous recorded GPS position of the UAV, its flight altitude, and the camera calibration
194 information.

195 *2.5 Post-processing of seabird counts accounting for transect overlap*

196 In order to limit possible “double-counting” of seabird sightings, we accounted for line transect
197 overlap (10%) using the following approach. Rather than simply identifying (and excluding) bird
198 locations within the 10% overlap region between two lines, we constructed a spatiotemporal
199 approach using the evolving area of coverage (Supplementary Figure 2). Birds were only excluded if
200 they were located within the overlap between the current field of view (FOV) and the combined area
201 of the previous fields of view up to an along-track distance (d) behind the centre of the current FOV
202 (Supplementary Figure 2). This distance, d , was set to be equal to the diagonal dimension of the
203 current FOV determined by the UAV camera and altitude (Supplementary Figure 2). This method is
204 preferable to a fixed time delay to allow for the variable flight-speed of the UAV that is dependent
205 upon the wind. It can be seen that the combined area of overlaps is irregular in shape at the end of
206 each transect line, ensuring that double-counting is minimised in these regions where the UAV
207 changes velocity.

208 *2.6 Using the UAV to calibrate VP graduated rangefinders*

209 Graduated rangefinders used by land-based VP observers (see section 2.2 above) at 20 m elevation
210 were calibrated by undertaking UAV flights using the DJI Phantom 3. For these calibration flights, the
211 UAV was flown at 10 m altitude to 7 calibration points at various distances from the land-based
212 observers (610 m, 700 m, 800 m, 900 m, 950 m, 1000 m, 1100 m). At each point, the UAV hovered to
213 allow land-based observers enough time to ensure graduated rangefinders were correct.

214 2.7 Statistical analysis

215 While all seabird species observed at the site were recorded, terns *Sternidae* (common terns, Arctic
216 terns and Sandwich terns *Sterna sandvicensis*) accounted for a significant proportion of both the VP
217 and UAV observations (0.86 and 0.83 respectively; Supplementary Table 3 and Supplementary Table
218 4). Therefore, all analyses herein are focused on these three tern species combined (all of which
219 were in flight) (Supplementary Table 5 and Supplementary Table 6).

220 To investigate 'tidal coupling' i.e. where the abundance and distribution of seabirds varies with tidal
221 state/the ebb-flood tidal cycle (Zamon, 2003), we calculated an average flood/ebb index for each
222 concurrent survey conducted (taking into account the start and end time of each survey method).
223 Flood/ebb index (hereafter referred to as tidal index) is a cyclic variable defined over each flood/ebb
224 cycle based on tide height. Values of:

- 225 • $> 0 - < 0.5$ represent the ebb tidal current.
- 226 • $> 0.5 - < 1$ represent the flood tidal current.
- 227 • 0 and 1 represent high water slack.
- 228 • 0.5 represents low water slack.

229 Tidal state refers to the tidal phase, where ebb at the study site is a southeast flow and flood is a
230 northwest flow of water.

231 To compare the abundance of terns detected by each survey method, the number of individuals
232 counted within VP surveys were modelled as a function of those counted within UAV transects using
233 a generalised linear mixed effect model (GLMM) with a Poisson distribution in the R package *lme4*
234 (Bates et al., 2015). The response variable was the VP survey count of terns. The explanatory
235 variable UAV count was included as a fixed effect, while survey ID and elevation of the VP were
236 treated as random effects. To assess the absolute agreement between the number of terns counted
237 by both survey methods within the same survey the intraclass correlation coefficient (ICC) and its

238 associated uncertainty was calculated using a two-way random effects model based on single unit
239 rating in the R package *irr* (Gamer et al., 2019) and the results were interpreted following the
240 guidelines given by Koo and Li (2016).

241 To investigate the potential influence of detection parameters upon the abundance of terns
242 detected by each survey method, the number of individuals counted within VP surveys and UAV
243 transects were modelled separately as a function of explanatory variables using GLMMs with a
244 Poisson distribution in the R package *lme4* (Bates et al., 2015). The response variable was the
245 VP/UAV survey count of terns. The explanatory variables tidal state (included as a factor with two
246 levels: ebb or flood), cloud cover, sea state (to account for the potential impacts of sea surface
247 roughness on the detectability of seabirds), glare, VP observer ID, and elevation of the VP were
248 included as fixed effects, while survey ID was treated as a random effect. The explanatory variables
249 VP observer ID and elevation of the VP were not included within the UAV model described above as
250 these variables relate only to the VP data. Collinearity of fixed effects was assessed by calculating
251 variance inflation factors (VIF), ensuring each was below three, which was the case for all fixed
252 effects apart from cloud cover within the UAV model as an interaction was found between cloud
253 cover and glare. As a result, cloud cover was removed from this GLMM. Model selection was
254 performed using a multi-model inference approach, based upon Akaike Information Criterion (AIC)
255 values (Burnham and Anderson, 2002). All combinations of explanatory variables were tested in a
256 series of 65/8 candidate models for the VP/UAV data respectively (Supplementary Table 7 and
257 Supplementary Table 8). The model with the lowest AIC score was selected as the most
258 parsimonious model based on the delta of the corrected Akaike's Information Criterion ($\Delta AICc$),
259 calculated using the dredge function in the *MuMIn* package in R (Barton, 2020). Parameter estimates
260 and 95% confidence intervals were then presented for the most parsimonious models. If 95%
261 confidence intervals did not overlap with zero, this supported the importance of the explanatory
262 variable.

263 To compare counts of terns detected by each survey method as a function of the tidal cycle, the
264 number of terns were modelled separately as a function of tidal index using generalised additive
265 models (GAMs) using *glmmTMB* (Brooks et al., 2017). Poisson distributions were used as non-linear
266 relationships were expected given the Strangford Lough Narrows has previously been shown to
267 present a tidally predictable foraging location for surface-foraging terns (Lieber et al., 2019). Tidal
268 index was included as a cyclical, non-linear explanatory variable and the number of knots was
269 constricted to seven to avoid over-fitting. Differences in tern abundance across tidal index were
270 tested for significance ($p < 0.05$) using chi-squared tests for each survey method (VP surveys were
271 modelled separately for each observer). VP observers were modelled separately to ensure a one-to-
272 one comparison with terns detected by UAV transects over the tidal cycle. All modelling was
273 performed in R (version 4.0.1, R Development Core Team) using the *lme4* (Bates et al., 2015),
274 *glmmTMB* (Brooks et al., 2017) and *MuMIn* (Barton, 2020) packages for GLMMs and the *mgcv*
275 package for GAMs (Wood, 2017). Data collected from all VP survey elevations were included within
276 these analyses.

277 To assess the similarity in tern distributions gained from the VP surveys and UAV transects, we
278 estimated 50% and 95% utilization distributions (UDs; Fieberg and Kochanny, 2005) for terns
279 detected by each survey method during different tidal states (ebb or flood currents). Only data
280 collected from concurrent surveys when at least one land-based observer was positioned at higher
281 VP survey elevations (18 and 20 m above sea level, $n = 62$) were used to remove any bias due to
282 elevation. Additionally, if both VP observers were positioned at higher elevations for the same
283 survey ($n = 12$), only data from the VP observer located at the highest elevation were retained to
284 ensure a one-to-one comparison, i.e. comparing one VP observer with one UAV transect survey.
285 Kernel density estimation was conducted using the R package *adehabitatHR* (Calenge, 2006). Kernel
286 density estimates were evaluated on 800 m x 500 m grids using a cell size of 1 m² and smoothing
287 parameters (h) were estimated using the *ad hoc 'href'* method. The extent of overlap between the
288 distribution of terns detected by VP surveys (UD₁) and UAV transects (UD₂) during different tidal

289 states were estimated using the *kerneloverlap* function to give Bhattacharyya's affinity (BA), which
290 ranges from 0 (no overlap) to 1 (complete overlap) (Bhattacharyya, 1943; Fieberg and Kochanny,
291 2005).

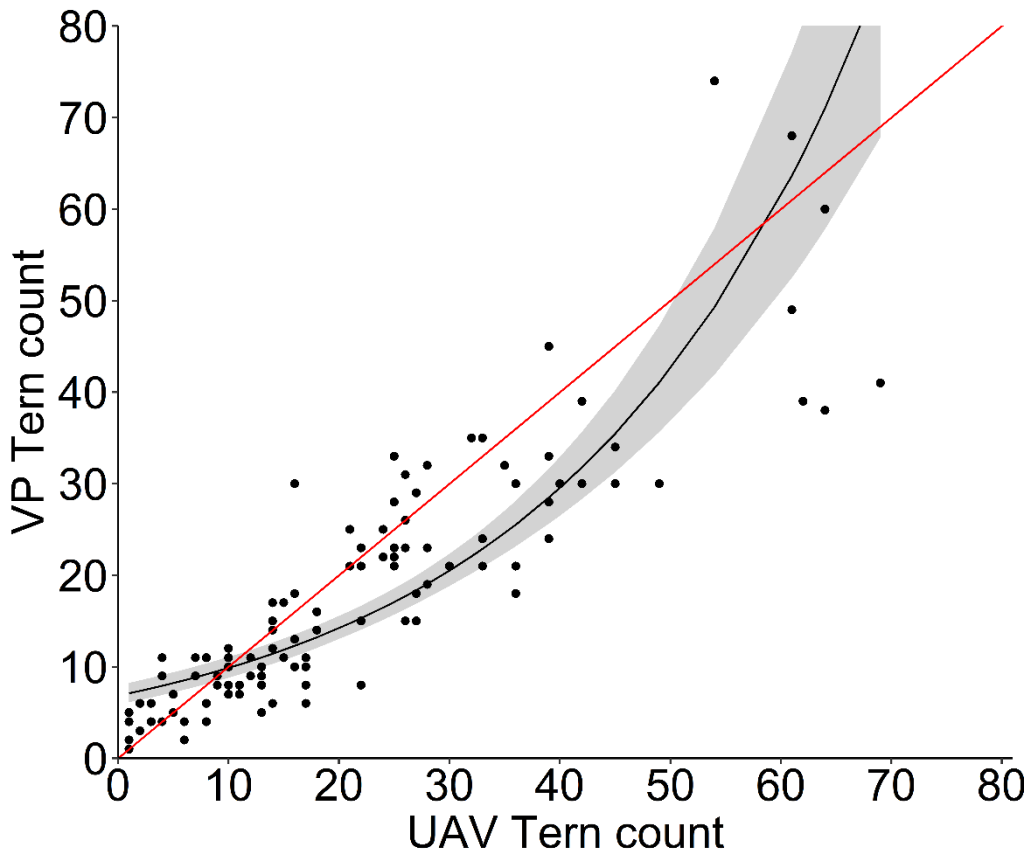
292 **3. Results**

293 *3.1 Comparing seabird counts*

294 There was a positive relationship between the number of terns counted within VP surveys and the
295 number of terns counted within UAV transects (Figure 2; Table 1) (also see Supplementary Figure 3).
296 The absolute agreement between the number of terns counted by both survey methods within the
297 same survey was also found to be good (i.e. intraclass correlation coefficient was > 0.75 ; Table 2)
298 (Koo and Li, 2016). The positive relationship between the number of terns counted within VP surveys
299 and the number of terns counted within UAV transects was not linear, with generally higher
300 numbers of terns detected by the UAV than the land-based observers, particularly when the number
301 of terns detected in the survey area was greater than 20 (Figure 2). The number of terns detected
302 within concurrent VP surveys and UAV transects were more closely matched at lower abundances
303 (Figure 2). When considering the potential influence of explanatory variables upon the abundance of
304 terns detected by VP surveys, the most parsimonious model selected sea state, tidal state and
305 observer ID as having the greatest explanatory power (Table 3; Supplementary Table 7;
306 Supplementary Figure 4). 95% confidence intervals supported the importance of each of these
307 explanatory variables (Table 3) (apart from 'Sea State^{1,3}' which represents the comparison between
308 sea state 1 and 3 on the Beaufort scale). There was no support for cloud cover, elevation of the VP
309 and surface glare in explaining any variation in the number of terns counted during VP surveys.
310 When investigating the potential influence of explanatory variables upon the abundance of terns
311 detected by UAV surveys, the most parsimonious model selected sea state and tidal state as having
312 the greatest explanatory power (Table 3; Supplementary Table 8; Supplementary Figure 5). 95%
313 confidence intervals supported the importance of each of these explanatory variables (Table 3)

314 (apart from 'Sea State^{1,3'} which represents the comparison between sea state 1 and 3 on the
 315 Beaufort scale). There was no support for surface glare explaining any variation in the number of
 316 terns counted during UAV transects.

317



318

319 **Figure 2.** Generalised linear mixed effect model outputs showing a positive relationship between the
 320 number of terns counted within vantage point surveys and the number of terns counted within
 321 concurrent UAV transects (\pm SE). The red line represents a 1:1 linear relationship.

322 **Table 1.** Parameter estimates showing the relationship between the number of terns counted within
 323 vantage point surveys and the number of terns counted within uncrewed aerial vehicle transects
 324 with standard error and 95% confidence intervals. Effects are slope estimates from the model and
 325 important variables have 95% confidence intervals that do not overlap with zeros, shown in bold.

Parameter	Effect	se	95% confidence intervals	
			Lower	Upper
Intercept	1.924			
UAV tern count	0.037	0.003	0.032	0.041

326

327 **Table 2.** Intraclass correlation coefficient estimates with 95% confidence intervals and F test values
 328 based on a single unit rating, 2-way random effects model measuring absolute agreement in the
 329 number of terns counted within vantage point surveys and the number of terns counted within
 330 concurrent UAV transects.

	Intraclass Correlation	95% confidence intervals		F Test With True Value 0			
		Lower	Upper	Value	df1	df2	Sig
Single measures	0.86	0.76	0.91	14.9	37.1	110	<0.01

331

332 **Table 3.** Final parameter estimates showing the relationships between the number of (A) terns
 333 counted within vantage point surveys and (B) terns counted within uncrewed aerial vehicle transects
 334 and supported explanatory variables with standard error and 95% confidence intervals. Effects are
 335 slope estimates from the most parsimonious models (Supplementary Table 7 and Supplementary
 336 Table 8 respectively). Important variables have 95% confidence intervals that do not overlap with
 337 zeros, shown in bold.

Parameter	Effect	se	95% confidence intervals	
			Lower	Upper
(A) Vantage Point				
Intercept	2.453			
Observer	0.176	0.049	0.080	0.273
Tidal State Flood	0.554	0.158	0.245	0.864
Sea State ^{1,2}	-1.557	0.339	-2.221	-0.893
Sea State ^{1,3}	0.061	0.208	-0.347	0.468
(B) UAV				
Intercept	2.505			
Tidal State Flood	0.453	0.185	0.0895	0.816
Sea State ^{1,2}	-1.833	0.404	-2.625	-1.042
Sea State ^{1,3}	-0.220	0.248	-0.706	0.265

338

339

340

Sea State^{1,2} represents the comparison of two sea state levels: 1 and 2 on the Beaufort scale.
 Sea State^{1,3} represents the comparison of two sea state levels: 1 and 3 on the Beaufort scale.

341 *3.2 Comparing ecological relationships*

342 Significant variation was observed in the number of terns across the tidal index (ebb-flood cycle) for
 343 each survey method (Table 4; Figure 3). A similar pattern in tern numbers across tidal index was
 344 observed from the VP surveys and UAV transects, with the highest number of terns observed during
 345 flood tides (Figure 3; Supplementary Figure 6).

346

347 **Table 4.** General-additive model (GAM) outputs of the number of terns recorded across the tidal
 348 index from vantage point surveys and UAV transect surveys. Vantage point survey counts are
 349 modelled separately for each observer; VP1 = Observer 1 and VP2 = Observer 2. Differences in tern
 350 counts across tidal index were tested for significance ($p < 0.05$) using chi-squared tests (χ^2) for each
 351 survey method. Estimates, standard errors (Std. error), z-values (z), estimated degrees of freedom
 352 (EDF), p-values, adjusted R-squared and the deviance explained are also shown.

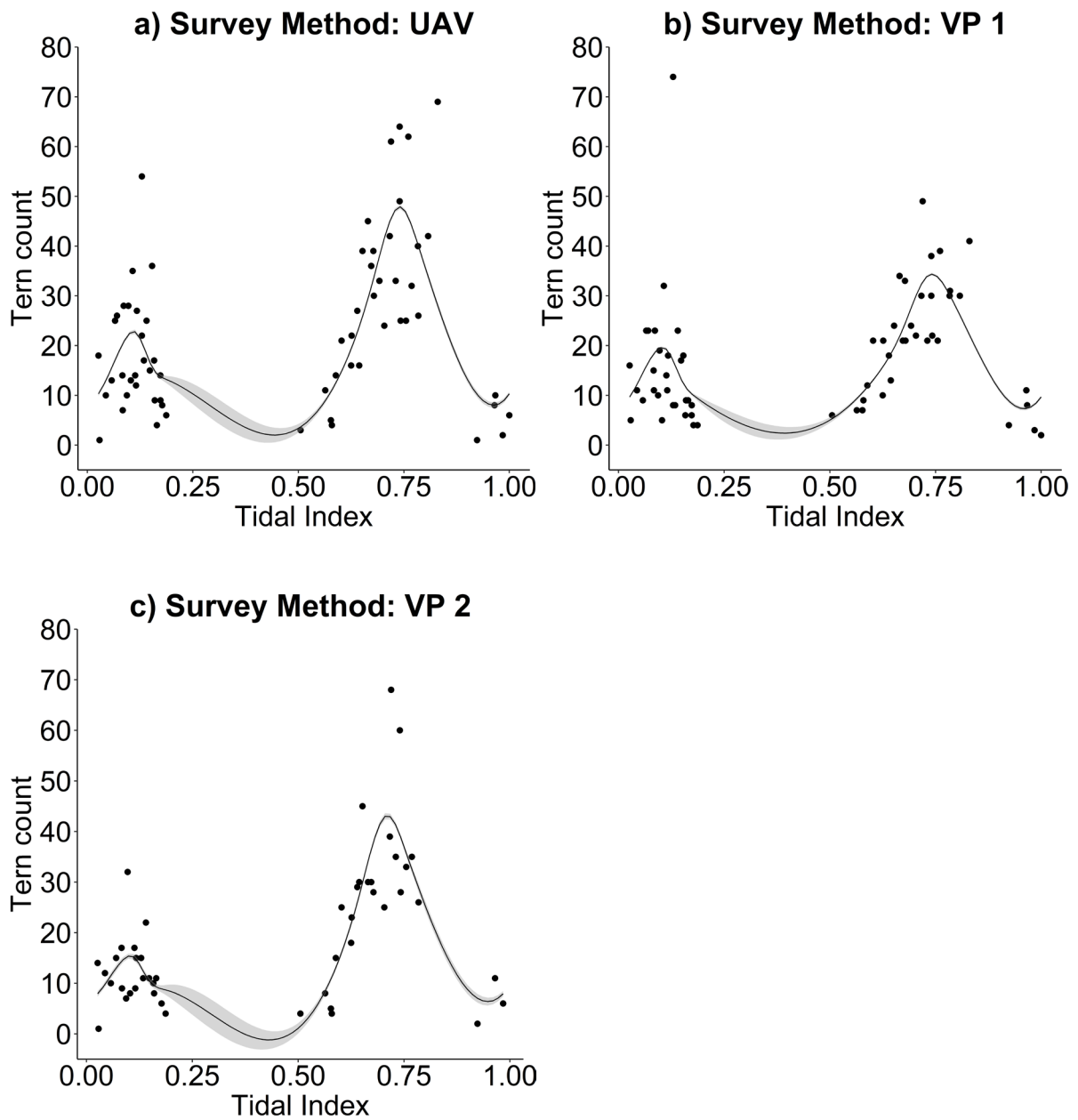
353

Number of terns recorded across tidal index from:	Estimate	Std. error	z	EDF	χ^2	p- values	R ² (adj.)	Deviance explained (%)
UAV transect surveys	2.975	0.031	94.81	5.891	369.9	< 0.01	0.539	61.5
VP surveys, VP1.	2.776	0.034	81.64	5.844	216.3	< 0.01	0.377	51.4
VP surveys, VP2.	2.765	0.039	70.09	5.958	307.8	< 0.01	0.66	72.8

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359 **Figure 3.** Response curves (\pm SE) from generalised additive models (GAMs) showing predicted tern
 360 counts detected by each survey method with raw data overlaid **a)** UAV transects ($n = 64$), **b)** VP
 361 1/Observer 1 ($n = 63$), and **c)** VP 2/Observer 2 ($n = 48$) as a function of tidal index (0/1 = High water
 362 slack, 0.5 = Low water slack).

363 *3.3 Comparing the distribution of terns*

364 The distribution of terns detected within VP surveys and UAV transects indicated that the highest
 365 tern density occurred within the near-shore shallows during the ebb tide (Figure 4c) and extended

366 into the main channel during the flood tide (Figure 4b). However, tern distributions recorded by UAV
367 transects showed more of a difference between the ebb and flood tide (Figure 4; Supplementary
368 Figure 7 and 8). The overlap between VP survey and UAV transect 50% UD_s was lower than 95% UD_s
369 (BA, Table 5). Overlap indices also indicated better concordance between the 95% distribution
370 estimates made for all data and 95% distribution estimates made during the flood tide compared to
371 95% distribution estimates made during the ebb tide (Table 5). The overall similarity between 95%
372 UD_s during the ebb tide (BA = 0.69) were moderate, while 95% UD_s made during the flood tide (BA =
373 0.83) indicated a high overall similarity (BA > 0.8).

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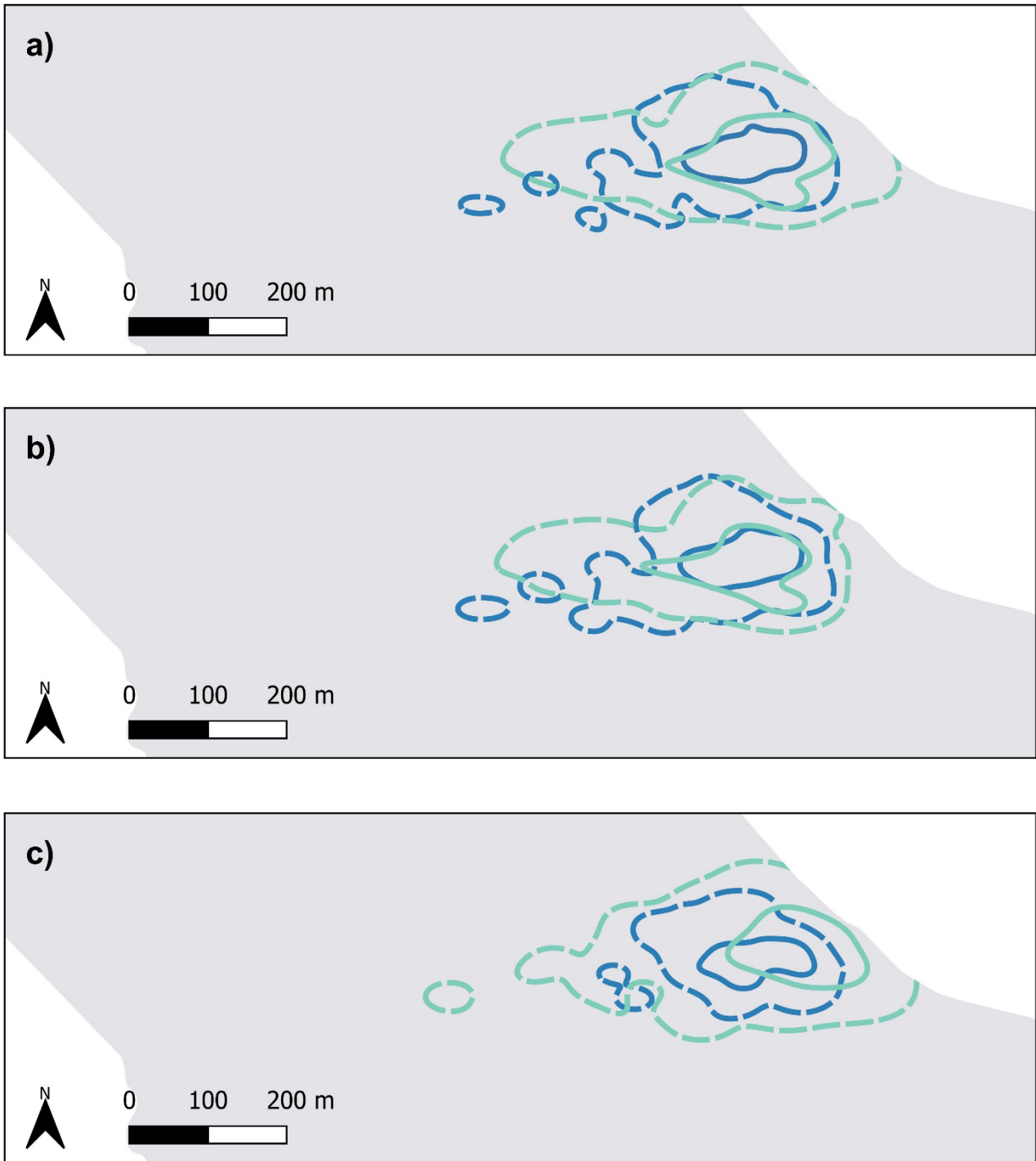
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384 **Figure 4.** Utilization distributions (UDs) of terns detected within concurrent vantage point surveys
 385 (blue) and UAV transects (light blue) at 95% (dotted lines) and 50% (solid lines) carried out when
 386 vantage point observers were at high elevations (18 and 20m above sea level, $n = 62$). **a)** tern
 387 distributions detected within all surveys, **b)** tern distributions detected during the flood tide and, **c)**
 388 tern distributions detected during the ebb tide.

389 **Table 5.** Estimated overlap (Bhattacharyya’s affinity, BA) between tern utilization distributions (UDs)
 390 estimated using data from concurrent vantage point surveys (UD₁) and UAV transects (UD₂), for data
 391 collected from concurrent surveys when at least one land-based observer was positioned at higher
 392 VP survey elevations (18 and 20 m above sea level) and for flood and ebb tides. For each UD, we give
 393 kernel smoothing parameters (*h*) estimated using the *ad hoc* ‘href’ method.

UD(%)	UD ₁	UD ₂	BA	<i>h</i>
50	VP, terns	UAV, terns	0.38	19.44 ^{UD1} , 24.93 ^{UD2}
	VP, terns, Flood	UAV, terns, Flood	0.42	21.74 ^{UD1} , 24.81 ^{UD2}
	VP, terns, Ebb	UAV, terns, Ebb	0.22	19.82 ^{UD1} , 29.20 ^{UD2}
95	VP, terns	UAV, terns	0.79	19.44 ^{UD1} , 24.93 ^{UD2}
	VP, terns, Flood	UAV, terns, Flood	0.83	21.74 ^{UD1} , 24.81 ^{UD2}
	VP, terns, Ebb	UAV, terns, Ebb	0.69	19.82 ^{UD1} , 29.20 ^{UD2}

394

395 **4. Discussion**

396 This study takes a crucial first step towards evaluating the effectiveness of UAVs for use in EIAs. We
 397 analysed concurrent VP surveys and UAV transects to quantitatively compare at-sea abundance and
 398 distribution of surface-foraging seabirds in flight within a tidal stream environment. By comparing
 399 these two survey approaches, we found that both yielded comparable counts of seabirds at the site
 400 of interest, while fine-scale distributions differed. The UAV offered a better perspective of seabird
 401 habitat use in relation to visible surface-flow features and could therefore be regarded as beneficial
 402 to assess seabird-environment interactions during EIAs. Within this study no behavioural disturbance
 403 (such as evasive flying/diving behaviours away from the UAV or alarm calling) was recorded by land-
 404 based observers. Therefore, it is not thought that the abundances/distributions reported were
 405 affected by the presence of the UAV.

406 *4.1 Comparing seabird counts*

407 Our results show that both VP surveys and UAV transects perform similarly when recording counts
408 of surface-foraging terns. A positive relationship was found between the number of terns counted
409 within VP surveys and the number of terns counted within UAV transects. However, generally higher
410 numbers of terns were detected by the UAV, particularly when the number of terns present within
411 the survey area was higher; this means the number of terns detected by both survey methods were
412 more closely matched at lower abundances (< 20 birds). This difference could be driven by potential
413 “double-counting” of seabirds within UAV transects due overlap between UAV parallel transect lines
414 and seabird movement across transect lines (see point 1 below). However, it is also likely that the
415 difference in numbers counted at higher abundances (20-70 birds) was due to the flux of birds
416 entering the survey area at once. This may suggest that particular attention should be paid to ensure
417 appropriate training measures are in place for VP observers to ensure accurate counts of birds
418 where abundance may be high, or birds are in flocks (see points 3 and 4 below) whilst at the same
419 time recording distance and bearing information. Previous studies comparing counts of ground
420 nesting seabirds also indicate that observers in the field typically record lower counts than those
421 from UAV surveys (Hodgson et al., 2016). This difference is usually due to ground nesting birds being
422 obscured due to the oblique angle of observers; the oblique angle of land-based observers could
423 also explain the difference in counts seen within our study (see point 3 below). However, there is
424 also evidence indicating the converse is possible in ground nesting birds (i.e. where counts of ground
425 nesting birds made by observers in the field are higher than those from UAV surveys) (Chabot et al.,
426 2015). As we do not know the true number of birds within the survey area during each survey, given
427 the differences in the number of birds counted by both methods at higher tern abundances, it is not
428 possible based on this single study to determine which survey method may be more reliable.
429 Therefore, we outline below many potential reasons for the differences between VP and UAV counts
430 of surface foraging terns within this study in the hope that these will aid the selection of survey
431 techniques for EIAs and provide a better understanding of the application of UAVs for use in EIAs.

432 1. “Over- and -undercounting”. In environments where individuals are targeting a
433 feature and are therefore not moving at random, it is possible that individuals may be
434 counted more than once within UAV transects due to the overlap in the field of view
435 between UAV parallel transect lines. Conversely, mobile individuals which move out from
436 the area covered by the UAV may be missed and not be counted. Although we implemented
437 a spatiotemporal approach to account for the potential “double-counting” of seabirds within
438 UAV transects (overall 337 sightings were removed within the 10% overlap of transects) this
439 approach did not account for seabird movement (for example, terns actively foraging within
440 the survey area) and how this may introduce duplicates into the UAV data. It is important to
441 note that the level of overlap / decision to include overlap between parallel transect lines
442 should be based upon the scale of surveys and required spatial coverage (within this study
443 overlap between UAV transect lines was deemed necessary to ensure complete coverage of
444 the survey area).

445 2. False positives and perception bias. It is possible that UAV counts were generally
446 higher than VP survey counts due to potential false positives. It is also important to note that
447 only one reviewer manually assessed the UAV video, therefore we did not quantify this
448 possible perception bias. Although not the case in this study, it is important to note when
449 counting birds from digital imagery that the birds may be difficult to distinguish from the
450 whitecaps created by hydrodynamic features present within dynamic nearshore
451 environments, resulting in false positives (Edney and Wood, 2021; Thaxter and Burton,
452 2009).

453 3. ‘Viewshed’. The difference in the counts of terns recorded by VP surveys and UAV
454 transects could be due to the difference in perspective of the survey area (i.e. UAVs give a
455 ‘bird’s eye view’ of the survey area while land-based observers view the survey area at an
456 oblique angle). As terns were often aggregated in high numbers within the survey area, birds
457 may have occluded one another, resulting in terns being missed by land-based observers.

458 4. VP scan protocol. Traditionally VP surveys consist of systematic scans of the survey
459 area carried out by observers with the aim of recording all birds within the scanned area,
460 within a snapshot in time. However, as terns were often aggregated in high numbers in this
461 study, VP observers may have missed terns flying through/transiting through the area when
462 focused on counting or calculating the bearing and distance of seabirds. This would not have
463 been the case for the UAV.

464 We also investigated the potential influence of detection parameters upon the abundance of terns
465 detected by each survey method. Parameter estimates showing the relationship between the
466 number of terns counted within VP surveys and explanatory variables highlighted the particular
467 importance of VP observer, sea state, and tidal state on the number of terns predicted by the model.
468 The importance of VP observer could be expected as VP observers did not follow the UAV or each
469 other when scanning the survey area, meaning observers may be focused on different areas at
470 different times. Similar differences in the number of birds counted by observers have previously
471 been found (Spear et al., 2004; Van Der Meer and Camphuysen, 1996) and the importance of
472 including the identity of each observer within modelling of observation data to account for variation
473 between individuals has previously been highlighted (Robbins, 2017). Therefore, EIAs may also
474 benefit from trial VP surveys as common practice, such surveys should be undertaken by multiple
475 observers at the same time and elevation to ensure that counts are comparable before fieldwork
476 commences.

477 Parameter estimates showing the relationship between the number of terns counted within UAV
478 transects and explanatory variables highlighted the particular importance of sea state and tidal state
479 on the number of terns predicted by the model. As this was also the case for the VP surveys it is
480 important to understand how these variables may influence the count of terns by both survey
481 methods. Sea state is usually an important parameter influencing the ability of land-based observers
482 to detect birds on the water (Waggitt et al., 2014). However, due to the 'bird's eye view' of UAV

483 transects it is possible that that some species/individuals may be difficult to distinguish from the
484 whitecaps created by hydrodynamic features present within high-energy environments (as
485 mentioned in point 2 above). A higher number of terns were recorded at lower sea-states for both
486 methods; however, it is important to acknowledge the small sample sizes associated with sea state
487 (Supplementary Figure 4 and Supplementary Figure 5). The influence of tidal state upon the number
488 of terns counted by both survey methods is less intuitive as tidal state itself is not known to
489 influence the ability of either survey method to detect birds. Therefore, it is likely that the
490 importance of tidal state on the counts of terns by both VP surveys and UAV transects is due to its
491 influence on the number of terns using the site over the ebb-flood tidal cycle (changes in tern
492 abundance and distribution with the ebb-flood cycle are discussed in sections 4.2 and 4.3 below).
493 Yet, as certain tidal states have been found to correlate with high numbers of terns (i.e. during the
494 flood tide) it is possible that this increase in individuals resulted in biases in the number of terns
495 counted by both survey methods as previously discussed. Although sea surface glare has the
496 potential to impact counts of seabirds carried out by both VPs and UAVs, glare was not highlighted
497 as an important variable when explaining the number of terns counted by either of these survey
498 methods in this study. Within our study, glare was present within 4.7% of VP surveys (covering a
499 small portion of the survey area), while a larger percentage of the UAV transects were influenced by
500 glare (53%). However, when looking at the UAV video, UAV frames always contained an area free
501 from glare. The glare was limited to one corner of the frame, such that birds could be reliably
502 identified with the human eye as they moved between areas of glare and areas unaffected by glare.

503 *4.2 Comparing ecological relationships*

504 Comparison of counts recorded by VP surveys and UAV transects showed a similar pattern in the
505 number of terns recorded across the ebb-flood tidal cycle (tidal index), with the highest number of
506 terns observed during the flood tide. This is an important comparison in terms of assessing the
507 effectiveness of UAVs for use in EIAs as it indicates that although the number of terns recorded often

508 differed by survey method, the same ecological relationships were detected when investigating site
509 use of terns. Previous studies carried out within the Strangford Lough tidal channel also recorded the
510 highest number of surface-foraging terns during the flood tide (Lieber et al., 2019).

511 *4.3 Comparing the distribution of terns*

512 Finally, we assessed the similarity of tern distributions detected by VP surveys and UAV. The
513 distribution of terns detected, particularly by UAV transects indicated that the highest tern density
514 occurred within the near-shore shallows during the ebb tide and extended into the main channel
515 during the flood tide. This change in foraging location by terns is consistent with the change in
516 discrete hydrodynamic features present within the survey area in relation to the tidal cycle. There
517 are shallow upwelling regions generated by Walter's Rock during the ebbing tide and pronounced
518 shear lines extending towards the mid-channel generated during peak tidal flows, a pattern
519 previously found by Lieber et al. (2019). The differences found here in distributions estimated from
520 the VP surveys and UAV are likely to be due to differences in the accuracy of seabird locations
521 obtained by both survey methods. VP surveys within this study mapped the location of seabirds
522 according to distances estimated from land-based observers using graduated rangefinders; this
523 introduces error as birds are effectively assigned to distance bands (Supplementary Figure 8;
524 Borchers et al., 2010). Another potential reason for this difference in distribution (particularly the
525 difference seen in Figure 4c) is that increasing distance is likely to influence the ability of land-based
526 observers to correctly assign an individual or group of birds into distance bands. As a result, VP
527 surveys may benefit from the use of UAV transect surveys as an additional survey tool to identify
528 fine-scale distributions of seabirds. The use of a laser rangefinder such as a Vector Ornithodolite also
529 has the potential to increase the precision of seabird positions obtained from VP surveys (Largey et
530 al., 2021); however, this method requires validation for use in tidal stream environments (Cole et al.,
531 2019).

532 *4.4 General UAV performance and applicability*

533 While VP surveys and UAV transects were found to produce similar counts of surface-foraging
534 seabirds in flight, future EIAs of tidal stream developments may benefit from the use of UAV
535 transects carried out alongside traditional VP surveys to accurately identify the fine-scale
536 distributions of seabirds or to assess seabird interactions with the environment and/or renewable
537 energy structures. Fine-scale spatial information is crucial for assessing the potential for interactions
538 between seabirds and developments (for example, precise spatial information of seabirds is required
539 to assess collision risk with tidal stream turbine developments; Isaksson et al., 2020). Not only this
540 but the increased accuracy of spatial data recorded within UAV transects also allows seabird
541 distribution to be directly linked to fine-scale hydrodynamic features. This is of particular importance
542 as seabirds may target specific hydrodynamic features associated with tidal stream turbines, which
543 in turn has the potential to increase the risk of underwater collision with moving parts of tidal
544 stream turbines. UAVs also have mission repeatability and produce a permanent record of the
545 imagery collected, allowing data to be referred back to in future.

546
547 Despite these potential benefits, the application of UAVs for use in EIAs will not be without
548 challenges. It is important to consider conditions which may prevent the collection of useful UAV-
549 derived data, such as, strong winds, precipitation, and high sun angles. High sun angles may
550 introduce sun glint into the UAV imagery, particularly during the middle part of the day, making
551 targets of interest hard to identify. It is also important to consider the costs associated with using
552 UAVs, such as the initial cost of the UAV (including additional batteries and upgraded camera if
553 required), the cost of a UAV pilot and the costs associated with the subsequent time spent
554 identifying and counting birds from the UAV imagery (within this study the time taken to process
555 UAV imagery was approximately 10 hours and 40 minutes). However, the additional costs associated
556 with the use of UAV surveys alongside traditional VP surveys may be justified where more detailed
557 data on seabird distributions/fine scale habitat use are required. Another important consideration
558 when assessing UAVs as an effective tool for the impact assessment of tidal stream developments is

559 the size of the area of interest as UAVs are limited by battery time and the obligation to maintain
560 direct unaided visual contact with the UAV, known as visual line of sight (VLOS; up to 500 m
561 horizontally from the remote pilot). However, it is possible to seek permission of the CAA to extent
562 or go beyond this range.

563 The last consideration that should be taken into account when evaluating UAVs as an effective tool
564 for EIAs carried out within nearshore tidal stream environments are the types of data that can be
565 collected. UAVs are not able to record seabird behavioural data within transect surveys; instead, the
566 collection of behavioural data would require separate 'focal follows' / UAV hovers (Lieber et al.,
567 2021). This is an important consideration when choosing appropriate survey techniques for impact
568 assessments within tidal stream environments as the collection of behavioural information (diving
569 behaviour specifically) is crucial for the assessment of collision risk with underwater turbines. Lastly,
570 it is crucial to recognise that survey techniques and technology used must be chosen based on the
571 seabird species or family of interest as VP survey are able to carry out species identification to a
572 higher degree of accuracy. Within this study, the UAV flight height and integrated camera used for
573 data collection in this study did not allow for the reliable identification of birds on the water surface
574 (i.e. auks *Alcidae*). Therefore, UAV flight height would have to be lowered in order to give the
575 desired pixel resolution for all birds on the water surface to be identified. However, flying the UAV at
576 lower altitudes may cause disturbance and affect species' behaviour (e.g. flights and alarm calling)
577 (Brisson-Curadeau et al., 2017; Rush et al., 2018). Alternatively, using a UAV with a higher resolution
578 camera would also increase the opportunity to identify birds on the water surface.

579 **5. Conclusion**

580 As marine renewable energy developments continue to become more widespread, it is increasingly
581 important to understand the potential role emerging technologies/platforms may play in
582 environmental impact assessments or improving understanding of how seabirds may interact with
583 installed renewable installations. Uncrewed aerial vehicles are increasingly being used in ecological

584 studies and although there is a growing body of research assessing the efficiency of UAV-based
585 methods, there is a disconnect between research and the use of UAV-derived data for ecological
586 management and monitoring. This in part is due to a lack of clear guidelines on how to plan and
587 successfully execute UAV flights, but also due to a lack of knowledge as to the capability of this
588 emerging platform to provide data comparable to traditional land-based methods. This is also due to
589 a lack of understanding within some research communities about the EIA process and types of
590 information that may be required.

591 To our knowledge, this study provides the first comparison of at-sea abundance and distribution of
592 seabirds within a tidal stream environment collected from concurrent VP and UAV surveys.
593 Therefore, this study takes a crucial first step towards understanding the effectiveness of UAVs
594 compared to traditional VP surveys for its use in EIAs within dynamic nearshore tidal stream
595 environments. This study suggests that it is methodologically and logistically feasible to assess
596 seabird abundance and distribution within nearshore areas using off-the-shelf UAVs (e.g. DJI
597 consumer models). However, the selection of specific survey techniques should firstly be based upon
598 the specific needs of a monitoring task and questions to be addressed. For example, when delivering
599 broad site characterisation, VP surveys or boat/aircraft line transects may be appropriate due to the
600 limited area UAVs are able to cover (due to battery time) and the ability of VP surveys to more easily
601 identify individuals to species-level. However, if the questions of interest are to understand fine-
602 scale habitat associations, particularly at operating devices then UAVs may be more appropriate due
603 to greater spatial resolution of data and ability to gather data across multiple conditions, seasons,
604 times of day without costs/resources becoming prohibitive. Lastly, it is important to recognise that
605 survey techniques should be chosen based on the target species and its behaviour, the area of
606 importance, survey length, project budget, and the surrounding conditions of the proposed
607 anthropogenic development. With the above considerations in mind, we suggest, in agreement with
608 Callaghan et al. (2018) and Lyons et al. (2019), that UAVs represent a useful complementary tool,
609 rather than an alternative approach to traditional land-based surveys for use in EIAs.

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