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Are older people any different from younger people in the way they want to interact with robots? Scenario based survey

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Journal on Multimodal User Interfaces

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Journal on Multimodal User Interfaces

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--Manuscript Draft--

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Abstract:	<p>Background Numerous projects, normally run by younger people, are exploring robot use by older people. But are older any different from younger people in the way they want to interact with robots? Understanding older compared to younger people's preferences will give researchers more insight into good design. We compared views on multi-modal human-robot interfaces, of older people living independently, with students and university staff.</p> <p>Methods We showed 96 participants aged under 65 and 18 aged 65+, six videos presenting different scenarios, including interfaces both working properly and failing, for an older man interacting with a robot by speech and touch screen tablet. Participants were asked about the interfaces they might use and why, using self-completed questionnaires with mainly open-ended questions.</p> <p>Results People over 65 were more like people under 21 than those aged 22-64 (78%, 67%, 47% respectively) in preferring speech over tablet for robot-human interaction. But reasons for doing so may differ, for example, hearing and eyesight impairment Vs speaking while hands full. Older participants were more likely (83% Vs 55%) to want a robot in the house than those under 65. Older people were as familiar with tablets and smart speakers as younger people, but less likely to use smart phones. Some younger people suggested interacting with robot via their smart phone, and while not at home. Answers to similar questions about preferences for robot interaction varied according to position in the questionnaire.</p> <p>Conclusions User-centred design of human-robot interfaces should include open questions to understand people's preferences, should account for question wording and order in interpreting user preferences, and should include people of all age ranges to better</p>	

	<p>understand interface use. Older people's technology needs have differences and similarities to the younger people who are likely carrying out the research. Our sample of older people were more like people under 21 than those aged in between in for preference of robot-human interaction, and more willing to have a robot in the home than younger people. Differences may come from a more home based lifestyle and difficulties with vision, hearing, or dexterity rather than lack of interest in technology.</p>
<p>Response to Reviewers:</p>	<p>Jean-Claude Martin Editor-in-Chief Journal on Multimodal User Interfaces</p> <p>Dear Dr Martin, Thank you very much for your response to our submission and the reviewers' comments. We have addressed each of these as below. We attach a revised paper that we hope will now be suitable for publication in the journal.</p> <p>Yours sincerely</p> <p>Ray Jones on behalf of the authors.</p> <p>Reviewer #1</p> <p>1. Most of the works in the literature are concerned with designing novel interfaces for human-robot interaction or developing novel skills for robots. Indeed, more large-scale user studies are needed to understand target users' preferences and enhance robot's acceptance in applications ranging from elderly care to healthcare and education. The work presented in this paper fills in this gap and describes a user study that involves 96 participants from different age groups. Particularly, this paper aims at investigating the differences between young and elderly people during their interactions with a robot, across two different interaction interfaces (speech vs. tablet). I believe discussions in this paper will be beneficial to the researchers working in the areas of human-robot interaction and social robotics. Thank you.</p> <p>2. However, as already mentioned by the authors, the major issue is the imbalanced number of participants. This puts the validity of derived conclusions into question. The number of 65+ participants is very small (18) as compared to the rest of the participants (96). This user study does not involve interacting with robots. The participants only view video clips and then fill in a questionnaire. I believe making the data balanced could have been possible. While we agree that the study is somewhat limited by the relatively small number of older people from one retirement block, recruiting older participants in large numbers was/is quite difficult. The statistical tests carried out, of course, 'work' (i.e. are quite valid) with unbalanced numbers; chi squared tests were used except when cells had expected numbers less than 5, in which case we used a Fisher exact test. (The methods did state this). Nevertheless, we have added a sentence to 'limitations' in discussion.</p> <p>3. It is not clear why this questionnaire is selected, and how the questions are relevant to the research questions that the paper aims to address. The questionnaire was not 'selected'. It was designed by the team to explore communication preferences for users with care robots and how preferences varied by age and use of other technologies. The questionnaire is now attached as Appendix x. We added to methods that "We designed a presentation and questionnaire...".</p> <p>4. For Table 1, when performing the chi-square test, it is not clear whether younger and older age groups are considered in the same group. The result of the chi square test for Table 1 was presented in the text ($\chi^2=6.8$; 2df; $p=.03$). As this was a 3X2 table we thought it should be clear to the reader that by stating 2df (degrees of freedom) the chi square test was carried out as the table stands – ie without any further combination of groups. We are not sure what further steps we could take to make this clearer. If yes, why. (It was not). In general, the paper suffers from how the results are presented. In tables, percentages are provided only - it is not clear whether these results are statistically significant. In the tables we have reported both numbers and percentages. The statistical tests and significance were reported in the text in each case. Also, how should the reader interpret the values presented for chi-square test, e.g., 1df, 2df? The authors should elaborate on how they perform these tests. As stated and illustrated above the degrees of freedom were reported in the text. We think it would be repetitive</p>

to add this to the table – most journals prefer this in the text with the raw figures and percentages in the tables – but if the editor would like us to repeat the test data in the table we will do so. We presume that reviewer is not suggesting that we need to explain a chi-squared or Fisher test to the readers of the journal?

5. More explanation is needed for each question answered by the participants. For instance, what do the authors aim to examine by comparing first and second question on robot interaction (see Table 4)? We apologise for the lack of clarity. We have included a copy of the questionnaire as an Appendix and have added further explanation to the text in the methods section on the questionnaire– that this explores the validity of the questions – by asking them using different wording in different parts of the questionnaire. And have expanded our commentary on this validity check in the results and discussion.

Reviewer #2: SUMMARY

This paper presents the results of a survey that has been conducted with 114 people aged 18-92 to investigate interactive modality preferences when using a service robot. The main finding is that age itself is not necessarily a factor for preferences, but that service robots should instead offer enough options to better suit their users' constraints and preferences.

GENERAL COMMENTS

This paper covers an important subject, and it is a fact that hypotheses are often built with strong assumptions about age and technology acceptance. It is also generally well written, although I noted some mistakes and I had some questions and remarks on parts of the methodology (see specific comments below).

However, it is not entirely clear what is novel about this paper. There have been many studies done on the acceptance of robots by elderly users, investigating many dimensions of interactivity. For instance, in [Heerink2006], it has been observed that elders were more comfortable with more sociable robots. The same authors also published a toolkit for measuring acceptance [Heerink2009]. More recently, a literature review has been published on matters of concerns of robots and older people [Frennert2014], noting for instance that the fact that elderly users are rarely involved with the design of social robots might be an important factor with their perceived lack of interest, and the authors reach many similar conclusions that are made in the submitted paper.

I would thus suggest that the authors better situate their work within the existing social robots literature so that its novelty will be better understood in a new revision of their paper.

Thank you. We have revised the introduction and discussion to better situate our work in the literature and tried to make clearer the novelty of our paper. The novelty of our paper is that we present evidence about preferences for human-robot interaction that show that older people are more like younger people in their preference for voice over tablet, but that there may be different reasons for their choices. Our work, adds to the conclusion by Frennert that the perceptions of older people need to be re-examined and perhaps redefined in order to fairly represent who they are.

SPECIFIC COMMENTS

(Page numbering starts with the full page abstract, so the Background section starts on p. 2)

P.3, lines 33, 36, 55: I believe Fig. 1 and 2 were interchanged in the text. Thank you. Yes the figures had been uploaded in the wrong order. We have corrected that.

P.3, line 46, end of paragraph: Missing period (After "opportunistic samples"). Thank you. Added.

P.3, line 48: "... participants in robot research will [be] influenced by ...".
Thank you. Changed.

P.4, line 1-2: Does it mean that each group did not see the same robot in real life ?
Wouldn't this have an impact on perceived capabilities ?
The opinions of our participants were gathered after they had seen the same standard video – so the capabilities of the robot that they saw in action (on the video) were the same – it was the same robot. In one setting we had a Robot-Era robot to try to attract attention to seek participants to our stand – this was the same robot as in the video, but it was not functioning in real life. In the older people's apartment block we had given a demonstration of Pepper and Nao on a couple of occasions to raise interest in robotics, but in this case the data collection again followed the viewing of the standard video. Nevertheless, we have added a further note to the Limitations.

P.5, line 55: How was remote operation categorized as in Table 3, even though a "phone" was not mentioned? Maybe remote operation should be categorized differently, as it could be operated by a (remote) tablet, laptop or any other device. That was classified as 'voice' – the implication was that the user would be able to speak and for that voice command to be relayed to the robot wherever it was in the house. So although 'remote' (ie without the robot being in front of them) our interpretation of what the respondent intended was that it would not be using a phone.

P.6, Table 4: Is the "tablet" category" strictly referring to the tabled fixed to the robot ? Also, I am not sure how "All 3 options preferred" should be interpreted compared to "Voice and Tablet", did the participants responded depending on the tasks they had in mind, i.e. some task would be better with voice only, others with tablet only, and a third set with both ?
The video seen before answering the questionnaire showed the man using the tablet from the Robot-Era robot. It is possible that respondents may have been imagining using another (e.g. their own) tablet computer. But we do not think this really matters or confuses the answers. The table still shows the preference for tablet and voice interaction. All three has been clarified in the table.

P.7, lines 1-14: There have been studies done on the impact of gender on robot perceptions that the authors might be interested in, for instance [Tay2014].
Thank you. We have now added reference to Tay et al's work in this context.

P.8, lines 5-6: "Just under [half?] (51, 45.5%) use voice ..."
Thank you. Corrected.

P.8, line 52: "... older people preferred to use tablet[s] because ..."
Thank you. Corrected.

P.9, line 1: "... low pitch and very [high?] pitch voices ..."
Thank you. Corrected.

REFERENCES

[Tay2014] Tay, B., Jung, Y., & Park, T. (2014). When stereotypes meet robots: the double-edge sword of robot gender and personality in human-robot interaction. *Computers in Human Behavior*, 38, 75-84.

[Heerink2006] Heerink, M., Krose, B., Evers, V., & Wielinga, B. (2006, September). The influence of a robot's social abilities on acceptance by elderly users. In *Robot and Human Interactive Communication*, 2006. ROMAN 2006. The 15th IEEE International Symposium on (pp. 521-526). IEEE.

[Heerink2009] Heerink, M., Krose, B., Evers, V., & Wielinga, B. (2009, September). Measuring acceptance of an assistive social robot: a suggested toolkit. In *Robot and Human Interactive Communication*, 2009. RO-MAN 2009. The 18th IEEE International Symposium on (pp. 528-533). IEEE.

[Frennert2014] Frennert, S., & Östlund, B. (2014). seven matters of concern of social robots and older people. *International Journal of Social Robotics*, 6(2), 299-310.

Thank you. In rewriting our introduction and discussion we have made use of your suggested references.

Reviewer #3:

This paper presents a study seeking to compare how different age groups aspire to interact with robots through a scenario based approach, with a particular focus on HRI with elders. At the moment, I believe this paper needs quite a bit more work before it can be deemed suitable as a journal publication.

There is a lack of introduction and related research review; a lot of references appear first time in the discussion.

In line with your comment and the first comment of Reviewer #2, we have revised the introduction and discussion, to better set the scene and to show how our study fits with the literature.

Regarding the section "The need for this research", the paper needs to provide examples of these quantitative studies that are criticized. Why is the terminology "subjects" criticized so heavily here? The authors should also mention the qualitative studies that have actually been carried out in this field, e.g.:

Dorsten, A. M., Sifford, K. S., Bharucha, A., Mecca, L. P., & Wactlar, H. (2009). Ethical perspectives on emerging assistive technologies: insights from focus groups with stakeholders in long-term care facilities. *J Empir Res Hum Res Ethics*, 4(1), 25-36. doi: 10.1525/jer.2009.4.1.25

Pino, M., Boulay, M., Jouen, F., & Rigaud, A. S. (2015). "Are We Ready for Robots That Care for Us?" Attitudes and Opinions of Older Adults Towards Socially Assistive Robots. *Frontiers in Aging Neuroscience*, 7. doi: 10.3389/fnagi.2015.00141

Wu, Y.-H., Fassert, C., & Rigaud, A.-S. (2012). Designing robots for the elderly: Appearance issue and beyond. *Archives of Gerontology and Geriatrics*, 54(1), 121-126. doi: 10.1016/j.archger.2011.02.003

Frennert, S. (2016). Older people meet robots: Three case studies on the domestication of robots in everyday life. (Doctoral dissertation), Lund University, Lund, Sweden.

As noted above we have substantially revised both introduction and discussion. Our criticism of the terminology of subjects has been dropped and replaced by discussion of Frennert et al's 2014 review.

The method of qualitative analysis is not described. The authors use arbitrary wording, such as "some of the participants" or "most of the participants", although it is not clear what sort of analysis was conducted here (quantitative or qualitative?). Example quotes are chosen seemingly at random, and it is very difficult to follow. I don't see how this study was more open-ended than any other study, as participants could really only consider the use of tablet, voice or smartphone. The questionnaire should probably be included in an appendix.

We have included a copy of the questionnaire as an Appendix. As can be seen from the questionnaire most of our questions were asked in open format rather than asking people to select from a pre-defined list. This gave our respondents the chance to answer in their own way.

Based on their answers we coded the data – content analysis – into categories. These categories were then used in quantitative analysis. The example quotes were used to illustrate the different categories.

.

In the first paragraph of the discussion, the authors are criticizing how researchers cite Czaja and Sharit. There needs to be evidence provided for such claims.

In the major revision of our discussion this has been removed.

In the third paragraph of the discussion, the authors mention that "their study, like

many others..." What studies exactly? Also, the authors imply that they are using UCD, but provide no references to UCD, or describe their approach explicitly.

If we understand the reviewer correctly, they are referring to the sentence "Our study, like many others, demonstrated the importance of context, question wording and order in obtaining real insight into user preferences." We have now added references to examples of questionnaire design and elicitation of user needs and preferences.

Limitations: Expand how it could have impacted the results.

The project that the authors are part of can be found through a search engine, so the paper was not blinded very well for review.

We offer our apologies if we took insufficient steps to anonymise our work.

Specific comments for improvement:

There should be an Introduction heading.

Editor – is that correct? We have a background section. Do we need in addition before that an introduction?

Line 4: should read "one in seven people"

Thank you. Corrected.

Line 6: Kismet does not use speech, I believe. Also, touch is not representative of the Nao robot's interaction capabilities. Overall, the human-robot interaction section is weak.

This has been reviewed and revised.

Line 36 - 37: One does not "order shopping", rephrase.

Changed.

Line 39: Should read "the user's house"

Corrected. Thank you.

There is quite a bit of language editing necessary in the paper going forward. The authors would benefit from proofreading once the manuscript is finalized.

Thank you. The resubmitted paper has been proof read.

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Are older people any different from younger people in the way they want to interact with robots? Scenario based survey

Abstract

Background

Numerous projects, normally run by younger people, are exploring robot use by older people. But are older any different from younger people in the way they want to interact with robots? Understanding older compared to younger people's preferences will give researchers more insight into good design. We compared views on multi-modal human-robot interfaces, of older people living independently, with students and university staff.

Methods

We showed 96 participants aged under 65 and 18 aged 65+, six videos presenting different scenarios, including interfaces both working properly and failing, for an older man interacting with a robot by speech and touch screen tablet. Participants were asked about the interfaces they might use and why, using self-completed questionnaires with mainly open-ended questions.

Results

People over 65 were more like people under 21 than those aged 22-64 (78%, 67%, 47% respectively) in preferring speech over tablet for robot-human interaction. But reasons for doing so may differ, for example, hearing and eyesight impairment Vs speaking while hands full. Older participants were more likely (83% Vs 55%) to want a robot in the house than those under 65. Older people were as familiar with tablets and smart speakers as younger people, but less likely to use smart phones. Some younger people suggested interacting with robot via their smart phone, and while not at home. Answers to similar questions about preferences for robot interaction varied according to position in the questionnaire.

Conclusions

User-centred design of human-robot interfaces should include open questions to understand people's preferences, should account for question wording and order in interpreting user preferences, and should include people of all age ranges to better understand interface use. Older people's technology needs have differences and similarities to the younger people who are likely carrying out the research. Our sample of older people were more like people under 21 than those aged in between in for preference of robot-human interaction, and more willing to have a robot in the home than younger people. Differences may come from a more home based lifestyle and difficulties with vision, hearing, or dexterity rather than lack of interest in technology.

Keywords: older people; human robot interaction; communication preferences; user-centred design.

Background

Use of robots with older people: An ageing population is a major concern for health care in the UK as in many other countries. Given that by 2040 one in seven people will be aged over 75 [1], social robots have been proposed as assistants for older people [2]. Robots can be programmed with caring and empathetic behaviours, helping users in various tasks, or entertainment to help reduce loneliness [3].

Human-robot interaction (HRI): HRI is a challenging problem because recognizing social norms and determining socially acceptable behaviours by robots are still far from perfect [4]. To make social HRI natural it is important for robots to understand verbal and non-verbal social cues, as do humans [5]. In the last decade, HRI researchers have studied various human-inspired interaction models in social robots. For example, in Breazeal (2003), the use of robot facial expressions was investigated in communication between the Kaspar robot and an autistic child [6-7]. Natural language for HRI has been studied by others [8-9]. Smart phone/tablet based interaction has been used to enhance human-robot interaction in projects such as Maggie [10], and the widely available Pepper robot uses voice and tablet for interaction [11].

Recent and current studies: Governments and funding bodies around the world are supporting projects in care-robotics, such as HOBBIT [12], MARIO [13], ENRICHME [14], and Robot-Era [15]. Projects such as HOBBIT aim to support independent living for older people by providing physical and cognitive assistance. The goal of HOBBIT is to develop companionship between users and robots by letting them take care of each other, so that users finally accept the robot in their household [16]. The European project ENRICHME offered an intelligent robotic system that interacted with and monitored older people in a smart home environment [14]. If the robot noticed any emergency, it could contact the care assistant or family members for immediate assistance.

In the Robot-Era project, the robot offered various services to users, such as helping them with shopping, cleaning the house, laundry, ordering food, calling friends and family, and organizing events [15]. Robot-Era used three different robots (domestic, condominium, and outdoor), each developed for specific tasks. For example, users interacted with the domestic robot to order groceries. The outdoor robot went to the shop, collected the order, and brought it back to the condominium robot, who transferred it back to the domestic robot and to the user's house [15]. Users could send their commands to the robot by using a tablet computer's graphical user interface and by talking to the robot (speech recognition).

HOBBIT, focused on joint tasks between the older person and the robot, fall detection and prevention such as picking up objects and monitoring users to detect falls. The system had a multimodal user interface using graphical user interface on the attached tablet located in front of the robot, speech recognition, and a gesture recognition interface [16].

Most projects described above used multimodal user interfaces and smart environments (e.g. sensors in the home environment) for their users, so that users could choose their preferred options to communicate with systems.

Assumptions about older people: However, Frennert et al, in their 2014 review [3], studied 31 key publications in detail, identifying seven matters of concern. These concerns were: (1) the role of robots in older people's lives; (2) factors affecting older people's acceptance of robots; (3) lack of mutual inspiration in the development of robots for older people; (4) robot aesthetics; (5) ethical implications of using robots in caring for older people; (6) robotic

1 research methodology; and (7) technical determinism versus social construction of social
2 robots [17]. They concluded that older people are often not present in the development of
3 robots and that their matters of concern are not identified in the design process. Instead,
4 they are ascribed general needs of social robots due to societal changes such as ageing
5 demographics and demands from the healthcare industry. They argued that older people
6 seem to be plagued with stereotypical views such as that they are lonely, frail and in need of
7 robotic assistance. They concluded that the perceptions of older people need to be re-
8 examined and perhaps redefined to fairly represent who they are, and that more research on
9 older people as social robotic users was needed.

10 Assessing usability: There is, of course, a considerable body of work assessing the usability
11 of robots [3, 18-20]. For example, Heerink and colleagues developed a 'toolkit' using a
12 quantitative approach to evaluate users' acceptance of assistive social robots for elderly
13 care environments. Such a toolkit helps to know whether a robot is acceptable for its
14 intended audience. [21-22]
15
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17

18 The need for this research: Our study had a more limited, but different aim, to explore
19 preferences in mode of interaction by age. We wanted to inform the design of a robot system
20 at the start. Like the findings of Frennert et al, [21-22] but more anecdotally, we had been in
21 conversations that seemed to jump between the extremes (a) that older people are very
22 different from younger people, or that (b) they are just the same. We were designing an
23 interface for a robot system and needed evidence about older people's preferences but we
24 wanted to know, given that robot designers and researchers were generally younger, if and in
25 what way, older people's preferences were different from those of younger designers.
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29 Although, most studies claim to be user-centred, researchers sometimes use closed questions
30 with pre-defined options that do not allow respondents to explain or qualify their answers.
31 There are few studies investigating the reasons for the choices made by users in this context
32 [23].
33

34 We report here a study that was part of a larger project, <anonymised> [24]. The aim of
35 <anonymised> is to develop a hierarchical system integrating an existing robotic platform with
36 a domestic system, smart objects, a virtual community and an activity centre. <anonymised>
37 aims to provide additional monitoring of older people, living alone and independently in their
38 homes, who may be at risk of physical or cognitive decline. Integrating an activity centre and
39 a virtual community in <anonymised> should promote activities and socialization through
40 connection to peers.
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Methods

Aim, design and setting

We aimed to understand the communication preferences for users with care robots and how preferences varied by age and use of other technologies. We designed a presentation and questionnaire and undertook a cross-sectional survey in two settings: (i) a university, (ii) a managed apartment block for older people.

Ethical approval

We received ethical approval from the Faculty of Technology Ethical Committee (18/03/2017). All participants were given an information sheet, had the purpose explained verbally, signed a consent sheet, and were anonymous.

Samples and data collection methods

Younger participants were recruited at a health care event for students and staff at <anonymised> University (Figure 1: left). Middle-aged participants were recruited by personal invitations over one week, data collection taking place in University offices, libraries and coffee shops. Older participants were recruited during a one-day visit at a sheltered housing block in <anonymised> (Figure 1: right) for people aged 65 and over. At this location, residents live independently in their own apartments but have a communal lounge.

From previous studies, we expected to recruit between 15-20 older people at the apartment block. An overall sample size of 95 would give 80% power to find a difference between 40% and 60% preferring one mode over the other at the 95% level so we aimed to recruit at least 95 to the sample. We recruited as many as was possible in the health care event, then recruited further staff until we were comfortably past an adequate sample size. In total we recruited 114 participants aged 18-92 via three opportunistic samples.

It is well known that the perceptions of participants in robot research will be influenced by their prior exposure to robots in literature or entertainment media [25]. Others have used videos to help ground expectations [26], so we showed participants a more realistic version of the robot with both successful and failed interactions with a robot to get them to think about the reality of human robot interaction. We showed six short videos to all participants of an older man interacting with a robot (Metralabs SCITOS G5 platforms [27] using either speech or a touchscreen tablet (Figure 2). The actor was an older man (one of the authors (RJ)). Videos ranged in length from 24 seconds to 58 seconds. In all videos, the older man was trying to order food using tablet and voice, in the first two videos successfully, but then having difficulties with the interface failing, so switching modalities (videos 3-6). In the University, we had a Robot-Era robot on display (Figure 1) and in the sheltered housing, we actively demonstrated use of Pepper.

<Figures 1 and 2 about here>

The questionnaire

After showing the videos, participants were asked to complete a questionnaire (12 questions: 7 open, 5 closed) (Appendix), asking about their choices of multimodal interface in such situations. The first six questions related to the videos of human robot interaction and the second six questions asked more general questions on their views on robots and technology. Questions about preference for voice or tablet were asked twice – first in the context of the

shown videos and second in the more general context of human robot interaction, after asking about their use of other technologies. Asking twice was a validity check on responses.

Analysis

We compared preferences for robot interfaces via crosstabs and chi-squared or Fisher exact tests by age group, gender, work status (student, working, retired), and by current use of technology.

Results

Participants' choice of interaction with the robot after showing them the video

When asked just after seeing the videos, just over half (64 (56.1%)) preferred to use speech and 50 (43.9%) to use the tablet interface (Table 1). The middle working-aged group (22-64) preferred the tablet and the older and younger age groups preferred speech ($\chi^2=6.8$; 2df; $p=.03$). There was no difference between men and women, nor by work status, in preference for using speech or tablet.

Table 1: Preference for mode of interaction (first question) by age group

Age groups	Interaction Preferences		Total
	Speech	Tablet	
21 and under	16 (66.67%)	8 (33.33%)	24 (100%)
22-64	34 (47.22%)	38 (52.78%)	72 (100%)
65 +	14 (77.78%)	4 (22.22%)	18 (100%)
Total	64 (56.1%)	50 (43.9%)	114 (100%)

Participants' reasons for their preferred mode of interaction varied; Table 2 gives examples by age group. Some participants preferred speech to avoid 'typing' but others preferred using the tablet to avoid issues of reliability with speech. Others thought that, despite the risk of failures, the speech interface was more naturally engaging than the tablet. For participants aged under 65, reasons for using speech or tablet were mostly related to engagement or reliability. University staff included a number for whom English was not their first language and they preferred tablet to speech for more reliable interaction. Five older people cited issues such as physical problems, for example, some commented that because of their trembling fingers they cannot use the tablet properly, others because of impaired hearing preferred to use the tablet. None of the younger participants cited such physical problems in using the interface. Some participants mentioned that if their hands are busy doing some work and unable to use the tablet, they would probably interact with the robot using speech.

Table 2: Examples of participants' comments supporting their preferences for speech or tablet by age

Age Group	Example comments
Under 21	<ul style="list-style-type: none"> • Speech as it is easy to use if you are busy and unable to use tablet quick enough. It also means you can do it without going to the machine • Speech, as may not always have hands free. However, I believe I would use a mix of both. • Preferably speech, still involves some form of human interaction, tablet would be too complicated to locate area of choice. There is a greater risk of error and technical difficulties. • Speech, easier to do, not involve moving, not involve understanding to use a tablet
22-64	<ul style="list-style-type: none"> • Depends. If I had my mobile next to me I would use that as it is there. If the robot was here i would use speech • Tablet, speech recognition is often very poor especially with my Irish accent • Tablet, quicker and avoids speech issues • I would prefer to use the tablet as it would be easier, I often find my accent isn't recognised by voice operated devices
65 and over	<ul style="list-style-type: none"> • Speech but slower • Tablet - could not hear robot, voice not clean • Speech, I'm very slow with tablet because of dexterity • Tablet because I am deaf. but possibly both

Participants' choice of interacting with the robot after asking about other devices

Participants were asked a second time for their personal preferences of interacting with a robot, after asking them about their use of personal devices and not in response to our videos. By framing the question slightly differently and in a slightly different context we were able to test the robustness of their views about interfaces. Again, this was an open-ended question. Most people (68/114) suggested at least two modalities of interaction (e.g. voice and tablet, tablet and other). Only 7 people suggested interacting by tablet alone and 39 voice alone. Table 3 shows modalities suggested (either singly or in combination) by age group. Most people (97/114) included voice as a means of communication either alone (39) or in combination, whereas only about half (62/114) mentioned tablet either on its own or in combination with another modality.

Nine people aged under 65 mentioned possible use of mobile phone as a means of interaction particularly when out of the house: *...through pictures or contacting through mobile phones (if not at home and you want the heating on ready or you want to make sure door is locked if you're out somewhere)*. Remote access to the robot was suggested by another although not mentioning phone and when within the house: *...why does speech have to be on the robot, it could be in each room of the house in the background to command the robot*. Other responses picked up on the suggested use of subtitles in an earlier question. *"Subtitles would help, as for some such as elderly may find it difficult to understand what the robot is saying."* Other suggestions included making gestures or touching the robot (as opposed to a screen). Reasons for participants' choices included 'situational' and voice being hands free: *I think voice command is good if you are busy doing other things however personally I would use a tablet or phone most of the time.*

Table 3: Participants' preferences to interact with the robot, by age group.

Age group	Interface	Total
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	Tablet	Voice	Phone	
21 and under	14 (58.3%)	22 (91.7%)	5 (20.8%)	24
22-64	44 (61.1%)	62 (86.1%)	4 (5.6%)	72
65+	4 (22.2%)	13 (72.2%)	0 (0.0%)	18
Total participants	62	97	9	114

(Participants answered in free text and may have suggested several forms of interface that they would use.)

Comparison between first and second question on robot interaction

The questionnaire asked for preference between voice and tablet in two parts of the questionnaire (Q1 and Q9). Fewer people seemed to prefer tablet in responding to the second question (Table 4). Only 28 people remained with their binary choice earlier in the questionnaire: 23 who remained voice only and 5 who remained tablet only. Five people changed from only voice to tablet and 21 changed from only tablet to voice.

Table 4: Comparison of the responses between two similar questions of preferences to communicating with a robot

Second question (Q9), after answering questions about other devices	First question (Q1), asked after watching videos		Total
	Speech Preferred	Tablet Preferred	
Tablet only (or tablet and laptop or desktop)	5 (7.8%)	3 (6.0%)	8 (7%)
Voice or voice and phone (not tablet)	23 (36.0%)	21 (42.0%)	44 (38.6%)
Voice & Tablet	29 (58.0%)	21 (42.0%)	50 (43.9%)
Voice Tablet or phone	2 (3.1%)	1 (2.0%)	3 (2.6%)
Did not answer	5 (7.8%)	4 (8.0%)	9 (7.9%)
Total	64 (56.1%)	50 (43.9%)	114 (100%)

Preference for type of voice

Most participants did not directly answer a question about what type of voice the robot should have but instead indicated that there should be choice. Example of responses include: “*should have a program where you can choose whether to have male or female voices. and to be more caring and polite.*”, “*should have both female and male so user can decide, would be more personal if the robot was to use your name*”, “*I think being able to acknowledge the users*

name and general manners would be better. it makes it better to communicate and would make a better companion for someone.” Among the 41.6% who chose male or female, most (30/36) favoured a female voice. Younger people were more likely to want to have control over the voice options ($\chi^2=49.07$, 4df; $p = .006$).

Robots for household use in the future

Older people were more likely to want a robot for their household compared to those aged under 65 (83.3% Vs 55.2%; $\chi^2=4.98$; 1df; $p=.026$). The most popular use of a household robot was for household tasks (43 out of 146 responses, 29.45%), like vacuum cleaning the floor, and personal tasks, like reminding them to take their medicine. Some (mostly younger) people suggested robots could help communicate with other people, organize email etc. Some participants were concerned about the price that made them unsure of owning a robot.

Current device use

All 24 participants under 21 used a smart phone but only 7 (43.75%) of those over 65 (Table 5). Similarly, most people under 65 used a personal computer but only 9 aged over 65. Tablet computers, on the other hand were used just as much by older people as by other age groups. The middle-aged groups were less likely than younger and older age groups to have tried smart speakers like Amazon Echo or Google Home. There were big differences by age in the number of devices used; nobody under the age of 21 had used just one device whereas nearly half those aged 65+ used just the one device ($\chi^2= 75.11$, 4df; $p < .001$).

Table 5: The use of technological devices by age group

Devices	21 & under	22-64	65+	Total
Personal Computer	23 (95.83%)	71 (95.95%)	9 (56.25%)	103 (91.15%)
Tablet	15 (62.50%)	50 (67.57%)	10 (62.50%)	75 (66.37%)
Smart Phone	24 (100%)	69 (93.24%)	7 (43.75%)	100 (88.5%)
Amazon Echo or Google Home	4 (16.67%)	4 (5.41%)	2 (12.50%)	10 (8.85%)
Total people	24 (100%)	72 (100%)	17 (100%)	113 (100%)

Note: one participant did not respond so analysis is based on 113.

Voice commands on current devices and preference for robot interaction

Most smart phones, tablets, and modern computers have the option of voice commands, so we asked participants if they knew if their devices had voice technology. The majority (63%) said they did but a quarter did not know including a large proportion (30%) of working age participants. Just under half (51, 45.5%) used voice commands either frequently or sometimes. There was no difference between age group. Those who currently use voice were

1 neither more nor less likely to prefer voice for human-robot interaction. Reasons for not using
2 voice included: simple 'habit' (*I've never felt the need, I don't come across situations where I think 'I*
3 *wish I had Siri' plus id get frustrated when it took misinterpreted what I was asking*); problems with the
4 technology (*Really poor technology to understand voices, voice is one of the most complex subjects*
5 *on earth and I don't believe effective voice communication with machines will ever exist*): and concerns
6 about privacy (*I usually use my phone in public so don't want to speak online. I have grown up without*
7 *voice automated items so I often don't think of it. Sometimes it can't understand my accent.*). On the
8 other hand users of voice found it (*faster than using typing and encourages more natural*
9 *communication*) and (it's easier to use and if you are busy Siri knows your voice so you do not even
10 have to touch the device.)
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13 Discussion

14 Attitudes to technology and preferences in human-robot interaction are changing all the time.
15 We aimed to understand how communication preferences in interacting with care robots varied
16 by age, as young and middle-aged robot designers often make assumptions about older
17 people. Our study was to inform the early stage of design of a new robot system so we were
18 asking questions based on scenarios rather than exploring or observing interactions with an
19 actual system. However, this meant we could try to standardise the experience and context of
20 the questions we asked. We did this by creating a video of someone interacting with a robot;
21 to provide realism the interaction sometimes worked and sometimes failed. Finally, in our
22 questionnaire we asked similar questions in different ways after introducing other ideas that
23 may influence preferences for human-robot interfaces.
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26 The preferences of our sample of older people (aged from 65 to over 90) were more like people
27 under 21 than those aged 22-64, in preferring speech over tablet for robot-human interaction.
28 Older people were more willing to have a robot in the home and just as familiar with tablets
29 and smart speakers. Others have found that older people are the most inclined to believe that
30 robotics can contribute something to their personal happiness and are the most positive in
31 believing that robots can help regain independence in situations where they can no longer
32 fulfill daily tasks [28].
33

34 Our study was in line with national statistics [29] that older people are less likely to use smart
35 phones. This may reflect a more home based lifestyle and difficulties with hearing or dexterity
36 rather than lack of interest in technology. Our older participants were very willing to use new
37 technologies but, for example, some older people preferred to use tablets because of poor
38 hearing while other preferred voice because of poor eyesight.
39

40 If questionnaires are to be used as part of user-centred design, it is important to include open
41 questions to understand why people prefer one interface to another and to have validity
42 checks. Our study demonstrated the well-known impact of question order, wording, and
43 context on how respondents answer questionnaires [30-32]. The wording of our first question,
44 even though using an open rather than check box response mainly elicited a binary choice of
45 voice or tablet. The wording used on the second occasion we asked, coming after questions
46 about the use of other interfaces such as Siri, encouraged a more nuanced response.
47

48 Various studies [33-35] show the effects of ageing towards people's preferences on low pitch
49 and very high pitch voices so we asked about the type of voice that robots should have. Our
50 participants had no strong preference for male or female voice for the robot but wanted to be
51 able to choose. Younger people were more likely to want to have such control. Tay et al had
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1 found that preference for the gender of robot voices is likely to align with the stereotype for
2 that particular robot role [36].

3 Whether participants use speech in their own desktops, laptops, or mobiles or not, many
4 wanted to communicate with robots by using speech commands. The reason could be that
5 the personal robots have a full physical embodiment that would help participants to perceive
6 them as physically present, leading to a general wish of communicating via voice as if they
7 were talking with another person [37-38]. While security was an issue for some users in using
8 speech options on mobile, such as Siri and Cortana, younger participants appeared not to
9 have such concerns communicating with the robot using speech at home. Most people
10 wanted to control the way robots will communicate with them, for example, to choose from
11 different voices and to call them by their names.
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14 In conclusion, our findings have implications for the field of social robot companions for
15 healthcare and social care. For example, the study shows that the provision of multimodal
16 systems (speech, tablet) for human-robot interaction is beneficial both to satisfy individual
17 preferences related to expertise and ease in using technologies, as well as age-related
18 preferences for accepting robots in people's own homes. Another important lesson learned is
19 the involvement of users and stakeholders in the early stages of the systems design. The
20 method used here directly engaged with the typical population of users, at different ages.
21 Further extension of such an approach might want to consider direct engagement with other
22 stakeholders, such as family doctors and the national health system, social services, carers
23 and family members. Moreover, an important future step is the testing of social robot platforms
24 with elderly users in their home or care settings, to better gauge, and manage, the sometimes
25 high expectation of the users and caregivers and the relatively limited capabilities of current
26 social robotic systems.
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31 Limitations

32 Our data in the three main age categories were collected in slightly different ways and so the
33 views offered may have been influenced by our method of data collection. The older people
34 all had a Pepper demonstrated to them to give them an idea of what a modern robot could
35 do, prior to showing the standard video. Many University students and staff had seen
36 demonstrations of Pepper at various times but we cannot guarantee that they had seen
37 examples of 'modern robots' other than the robot in the video. Our study is also somewhat
38 limited by the relatively small number of older people from one retirement block. Although, of
39 course the statistical tests we used are perfectly valid for unequal numbers of older and
40 younger people, the study would have been stronger with a larger sample of older people
41 from more than one location.
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47 Abbreviations

48 None
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50 Figures

51 Figure 1: Left hand side: three participants at the <anonymised> University health
52 care event. Right hand side: Participants completing the questionnaire at the older
53 people's residence.
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58 Figure 2: The user communicating with the robot using speech (top) and tablet
59 computer (bottom).
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Declarations

- Ethics approval and consent to participate: We received ethical approval from the Faculty of Technology Ethical Committee (18/03/2017). All participants were given an information sheet, had the purpose explained verbally, signed a consent sheet, and were anonymous.
- Consent for publication: All authors have approved the manuscript. Participants included in the photos have given consent for their use.
- Availability of data and material: The datasets used and/or analysed during the current study are available from the corresponding author on reasonable request.
- Competing interests: none
- Funding: <removed to anonymise> were funded by the <anonymised> project.
- Authors' contributions: This sub project of <anonymised> was designed by RJ, MB, MR. Data collection was carried out by <removed to anonymise>.
- Acknowledgements: <removed to anonymise>.

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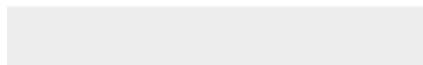
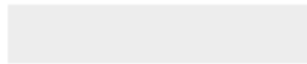




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Supplementary Material

Appendix - questionnaire- for revised paper 3 feb.docx





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