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1 Remote Sensing of Tundra Ecosystems using High Spectral Resolution Reflectance:
2 Opportunities and Challenges

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29

30 **Abstract**

31 Observing the environment in the vast regions of Earth through remote sensing platforms
32 provides the tools to measure ecological dynamics. The Arctic tundra biome, one of the largest
33 inaccessible terrestrial biomes on Earth, requires remote sensing across multiple spatial and
34 temporal scales, from towers to satellites, particularly those equipped for imaging spectroscopy
35 (IS). We describe a rationale for using IS derived from advances in our understanding of Arctic
36 tundra vegetation communities and their interaction with the environment. To best leverage
37 ongoing and forthcoming IS resources, including NASA's Surface Biology and Geology
38 mission, we identify a series of opportunities and challenges based on intrinsic spectral
39 dimensionality analysis and a review of current data and literature that illustrates the unique
40 attributes of the Arctic tundra biome. These opportunities and challenges include thematic
41 vegetation mapping, complicated by low-stature plants and very fine-scale surface composition
42 heterogeneity; development of scalable algorithms for retrieval of canopy and leaf traits; nuanced
43 variation in vegetation growth and composition that complicates detection of long-term trends;

44 and rapid phenological changes across brief growing seasons that may go undetected due to low
45 revisit frequency or be obscured by snow cover and clouds. We recommend improvements to
46 future field campaigns and satellite missions, advocating for research that combines multi-scale
47 spectroscopy, from lab studies to satellites that enable frequent and continuous long-term
48 monitoring, to inform statistical and biophysical approaches to model vegetation dynamics.

49

50 **Plain Language Summary**

51 Remote sensing has a long history of characterizing the distribution and dynamics of vegetation
52 in a wide variety of biomes, including the Arctic tundra which is experiencing warming more
53 rapidly than the global average. Imaging spectroscopy (IS) - a rapidly advancing field of remote
54 sensing that measures reflected light in narrow, contiguous “colors” from satellites, aircraft, or
55 towers - has demonstrated great promise to “watch” how key land surface properties vary across
56 space and over time. Because they are vast, remote, and have relatively little infrastructure,
57 currently available IS data from the Arctic tundra are sporadic and intermittent. Hence, it has
58 been challenging to study and characterize these ecosystems across broad spatial scales and
59 through time. Furthermore, the climate and ecology of these ecosystems pose unique challenges
60 for employing and interpreting IS data. Inspired by a forthcoming NASA satellite-based IS
61 mission, we present an overview of the current opportunities and challenges for the use of
62 spectroscopy to study Arctic tundra, informed by novel measurements across a range of spatial
63 and temporal scales. We share recommendations for how researchers could leverage IS to
64 resolve pressing ecological questions and advance the design and sampling scheme of future
65 instruments and campaigns.

66

67 **Key Points**

- 68 • Imaging spectroscopy (IS) can help measure critical Arctic tundra properties,
69 physiological function, and temporal dynamics
- 70 • Upcoming IS satellite missions including NASA’s SBG will make imaging spectroscopy
71 data widely available for Arctic tundra regions
- 72 • To properly interpret IS data users must consider spectral complexity of tundra driven by
73 composition, sensitivity to climate, and phenology

74

75 **1. Introduction**

76 The Arctic tundra biome is of urgent and enduring scientific interest due to the rapid climatic
77 and environmental changes occurring in this domain (IPCC, 2021) and the broad implications for
78 ecosystems, Arctic people, and feedbacks to the global carbon cycle and climate system (Zhang
79 et al., 2018). Because Arctic tundra ecosystems are vast, remote, and have relatively little
80 infrastructure, it has been challenging to study and characterize them across large spatial scales
81 (10^4 km^2) and through time. Recent advances in imaging spectroscopy (IS)—remote acquisition
82 of spatially co-registered images in narrow, spectrally contiguous bands (Schaeppman et al.,
83 2009)—have enabled unprecedented characterization of terrestrial vegetation across a range of
84 biomes, and anticipated missions will soon enable regular and comprehensive spectral
85 monitoring (Ustin & Middleton, 2021). The Arctic environment poses unique challenges and
86 opportunities for the use of spectroscopy to help resolve uncertainties about the ecological
87 sensitivity of the tundra biome and its response to a changing climate.

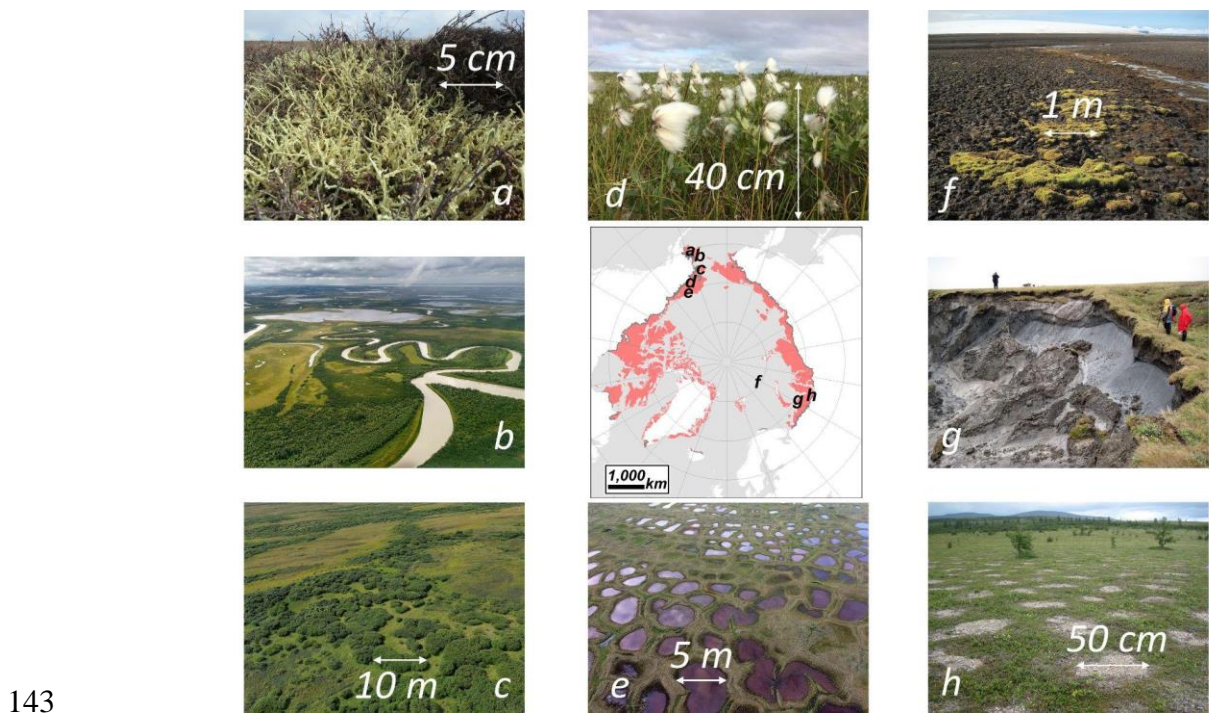
88 Recent years have seen the dramatic growth of spectral imaging studies in the Earth science
89 and global ecology communities. The rapid technical progress of these methodologies has led to
90 their designation as an integral part of the US National Aeronautics and Space Administration
91 (NASA) new Earth System Observatory (ESO) set to launch in the 2027–28 timeframe. The
92 Surface Biology and Geology (SBG) component of this observatory will include an imaging
93 spectrometer in the solar-reflected range (400 - 2500 nm), with coverage at biweekly intervals
94 and pixel size as fine as 30 m over the terrestrial and coastal aquatic areas of the globe.
95 Combining these data with similar missions launching around the same timeframe, such as the
96 European Space Agency (ESA) Copernicus Hyperspectral Imaging Mission for the Environment
97 (CHIME) instrument (Nieke & Rast, 2018), will enable even denser spatial and temporal
98 coverage. A key objective of the SBG mission is to use the solar-reflected spectrum to measure
99 global ecosystem traits and diversity at high spatial resolution (Ustin & Middleton, 2021).
100 Specific properties to be estimated from these data include plant traits, such as canopy nitrogen,
101 leaf mass per area, liquid water content, and the fractional coverage of photosynthetically active
102 (i.e., green) vegetation. By leveraging these data, specific plant functional types and canopy
103 structures can be identified and mapped at the regional scale (European Space Agency 2021).
104 With these new measurements, the forthcoming missions will provide the capacity to map
105 ecosystem properties across the entire Arctic with unprecedented fidelity and temporal frequency
106 - thereby serving as an important input to understanding Arctic ecosystem responses to a
107 changing climate.

108 SBG measurements will complement a long history of prior airborne and *in situ*
109 investigations of Arctic spectroscopy (e.g., Boreal Ecosystem-Atmosphere Study, BOREAS, and
110 Arctic Boreal Vulnerability Experiment, ABoVE). These spectral measurements are often paired

111 with ground-based measurements of ecosystem characteristics, including flux towers with eddy
112 covariance estimates of carbon dynamics. These local measurements and highly temporally
113 resolved flux datasets are spatially sparse, which introduces uncertainties when upscaling to
114 estimate Arctic productivity as a whole. Airborne observations, such as those from ABoVE, have
115 mapped spectral surface reflectance over broad spatial extents, enabling trait maps for
116 representative locales (Miller et al., 2019). These airborne data provide some capacity to fill the
117 spatial gaps between study sites and flux towers but represent snapshots for a single point in time
118 and therefore fall short of comprehensive temporal coverage (i.e., high frequency and long
119 durations). Traditional multispectral broad-band satellite remote sensing (e.g., Landsat, MODIS)
120 covers a broad spatial extent and multi-decadal period; however, these data cannot fully measure
121 the broad suite of ecosystem parameters at the spectral resolution required for robust analyses of
122 ecosystem structure, function, and responses (Beamish et al., 2020; Liu et al., 2017; Myers-
123 Smith et al., 2020; Ustin & Middleton, 2021). SBG will rely on a long history of precursor
124 investigations, but by combining imaging spectroscopy with spatiotemporal resolution akin to
125 Landsat, the acquired data promise a unique and substantial advance in our capacity to
126 understand Arctic ecosystems.

127 To realize this promise, SBG must overcome the challenges of spectroscopy in the Arctic
128 environment, primary among them spatiotemporal scaling. Tundra ecosystems exhibit a high
129 degree of sub-pixel heterogeneity in composition, structure, traits, and function that is consistent
130 across high-altitude spectral imaging platforms with spatial resolutions typically > 5 m (Lantz et
131 al., 2010; Niittynen et al., 2020). Underlying this heterogeneity is the small stature of most
132 tundra vegetation, with individual plant canopies occupying centimeters to a few meters of space
133 and characterized by compressed vertical structure (< 1 m). Vegetation cover in certain Arctic

134 regions is discontinuous with extensive exposed rock and soil. The widespread presence of
 135 permafrost and periglacial geomorphic features that produce fine-scale variation (< 0.1-10 m) in
 136 microtopography, soil moisture, and surface water exposure (e.g., ice-wedge polygons, frost
 137 circles, thermokarst features) contribute to this spatial heterogeneity of vegetation and terrain
 138 (Figure 1) (Li et al., 2021; Walker et al., 2003). Strong gradients in microclimate and topography
 139 yield a high degree of variance in physiological traits and function, even within individual
 140 species in close spatial proximity (Gamon et al., 2013; Kade et al., 2005). Thus, remote
 141 observations of tundra ecosystems usually integrate across a complex mixture of plant functional
 142 types, non-vegetated surfaces, and physiological traits.



144 **Figure 1.** Examples of heterogeneous vegetation and landforms in tundra landscapes. (a) Close-
 145 up of ground lichens in upland tundra, Izaviknek Hills, Alaska; (b) mosaic of shrublands,
 146 wetlands, and waterbodies, Yukon Delta, Alaska; (c) mosaic of tall deciduous shrubs and open
 147 tundra, Seward Peninsula, Alaska; (d) intermixed sedges and low shrubs, Alaska North Slope; (e)
 148 polygonal ground, Alaska North Slope; (f) High Arctic tundra dominated by mosses and
 149 cryptogamic crust, Franz Josef Land, Russia; (g) thaw slump and exposed ground-ice, Yugorskiy
 150 Peninsula, Russia; (h) frost boils in forest-tundra ecotone, northwestern Siberia. The extent of the

151 Arctic tundra biome is shown in red in the central map based on the Circumpolar Arctic
152 Vegetation Map (CAVM Team, 2003).

153

154 The composition of tundra includes significant coverage by both nonvascular and vascular
155 vegetation. Nonvascular vegetation types pose unique challenges, in that they have different
156 spectral signals than vascular plants (Hope & Stow, 1996; Stow et al., 1993) their spectra are
157 highly influenced by their moisture content (Bubier et al., 1997; Harris et al., 2005; Vogelmann
158 & Moss, 1993), and physiologically they behave differently than vascular plants (Green &
159 Lange, 1995; Tenhunen et al., 1995). Relationships between remotely-sensed spectra and plant
160 function have not yet been developed at spatial scales adequate to capture nonvascular plant
161 physiological responses and the mixed composition of vascular and nonvascular plants within
162 spectral footprints complicates interpretation of observations. Collectively, these issues suggest a
163 need for multi-scale methodologies for assessing the composition of tundra systems. One
164 approach is to collect collocated ground vegetation composition data and remotely sensed
165 spectral observations at varying spatial scales, and utilize their relationships to enable subpixel
166 vegetation cover retrieval (Thomson et al., 2021). Alternatively, spectral unmixing algorithms
167 parameterized by observations at finer scales than the spatial resolution of imagery can be used
168 to disentangle the sub-pixel contributions to a spatially integrated observation (Beamish et al.,
169 2017; Bratsch et al., 2016; Huemmrich et al., 2013). Such work will be critical to interpret
170 compositional effects on imaging spectroscopy observations from SBG - but present a major
171 opportunity for future work.

172 Meteorological conditions inherent to Arctic regions, such as high frequency cloud
173 occurrence, seasonal snow cover, and ephemeral surface water often preclude high quality
174 spatially contiguous or temporally continuous observations (Walther et al., 2016, 2018). The

175 limited snow- and ice-free period (including episodic snowfall events in the middle of the
176 growing season) constrains the number of clear observations of vegetation. Additionally, rapid
177 transitions and highly variable shoulder season weather restrict the utility of even high frequency
178 spaceborne observations to detect important phenological events (e.g., start-of-season and end-
179 of-season) (Karlsen et al., 2021; Parazoo et al., 2018; Vickers et al., 2020). Smoke from frequent
180 and extensive wildfires in the neighboring boreal forest biome can drift over the tundra biome for
181 substantial periods during the growing season of a given year, making interannual comparisons
182 challenging. Recent studies have successfully measured surface features under wildfire smoke
183 with optical depths exceeding unity (Brodrick et al., 2021), but the suitability of these
184 reflectances for vegetation analyses is unproven, and in practice even small amounts of smoke
185 can distort trait or species retrievals.

186 Illumination geometry at high latitudes also complicates remote sensing of Arctic tundra
187 (Buchhorn et al., 2016). High latitude regions experience extremes in daylength, from continuous
188 daylight in midsummer to continuous darkness in midwinter, the latter of which limits the
189 capacity for reflectance-based observations on the winter edge of shoulder seasons. The effects
190 of the continuous daily photoperiod of midsummer challenge assumptions established in the
191 temperate regions about the connections between spectral imaging observations and dynamic
192 physiological processes (e.g., accumulated stress). Overall, surface radiation is lower due to high
193 solar zenith angles and consequent scattering due to atmospheric path length, and photon
194 scattering at such angles complicates radiative transfer.

195 Existing IS data over the Arctic is sporadic in space and time. For example, since 2017
196 ABoVE (Miller et al., 2019) has collected a large amount ($> 1 \text{ E3 Tb}$) of airborne IS data over a
197 broad Arctic region in North America using NASA's Next Generation Airborne Visible Infrared

198 Imaging Spectrometer (AVIRIS-NG). While these data are of high value for characterizing
199 vegetation function, stress, and mapping functional traits (Gamon et al., 2019), the discontinuous
200 coverage (non-overlapping flight lines collected over a larger region) and the volume of data
201 (several Gb in size for an individual flight line) mean that, at present, an individual researcher is
202 often required to identify and download a number of different scenes, and therefore a large data
203 volume (> 1 Tb), to carry out a study. Some of these challenges will be exacerbated with
204 upcoming satellite IS missions such as SBG (Cawse-Nicholson et al., 2021) which will provide
205 voluminous datasets. More efficient usage of IS datasets for Arctic research will require new
206 data hosting and access methods to find, extract, and apply IS data without large bandwidth or
207 local storage requirements.

208 Here, we present a technical perspective - informed by empirical observations of spectral
209 variability - of the numerous ecological, geographic, and technical challenges associated with
210 spectroscopic observation of Arctic tundra ecosystems. We discuss how we may leverage our
211 understanding of spectral dynamics and characteristics to understand tundra ecology. We delimit
212 our region of interest based on the Circumpolar Arctic Vegetation Map (CAVM Team, 2003)
213 (see Fig. 1). First, we provide context for the degree of spectral complexity of the tundra biome
214 using a relative qualitative metric of the intrinsic spectral dimensionality from a series of
215 observations from airborne IS (Section 2). Next, we describe how attributes of the land surface in
216 the tundra biome (e.g., plant functional type and vegetation-substrate composition) impose
217 challenges for interpreting spectroscopy (Section 3). We then elaborate on how IS enables an
218 opportunity to achieve several common goals for advancing our understanding of the Arctic
219 tundra biome: long-term change detection, land cover and vegetation classification, retrieval of
220 biophysical properties, and phenological and diurnal change (Section 4). We conclude by

221 providing recommendations for Arctic tundra spectroscopy research (Section 5) by addressing
222 the following key questions:

- 223 1. How can we use spectral observations at a variety of spatiotemporal resolutions (e.g.,
224 from spaceborne, airborne, and surface-based instruments) to address inherent challenges
225 associated with IS and better understand Arctic tundra ecosystems?
- 226 2. How can our understanding of Arctic tundra ecology advise further research and the
227 development of new instruments and sampling designs?

228

229 **2. Dimensionality Analysis**

230 **2.1. Intrinsic Dimensionality and Relevance to Arctic Optical Diversity and Ecosystems**

231 Intrinsic dimensionality, the number of independent degrees of freedom in a dataset, has been
232 used to measure the information content of spectral catalogues (Cawse-Nicholson et al., 2021;
233 Thompson et al., 2017). The dimensionality indicates the diversity of different physical and
234 chemical properties present on the land surface. Here, we characterize the differences in intrinsic
235 dimensionality among different areas of the Arctic, as represented in the airborne ABoVE dataset
236 acquired by AVIRIS-NG over Alaska and northwestern Canada. AVIRIS-NG is considered an
237 imaging spectrometer, with 425 bands from 380 – 2510 nm sampled every 5 nm with spatial
238 sampling ranging from 0.3 to 4.0 m. Our dimensionality analysis demonstrates that spectral
239 diversity varies over short spatial scales (< 10 km) across the North American Arctic tundra
240 highlighting the advantage of a large-scale experiment such as ABoVE and the increased
241 information content provided by imaging spectrometers, as opposed to multispectral sensors.

242 **2.2. Dimensionality Analysis Approach**

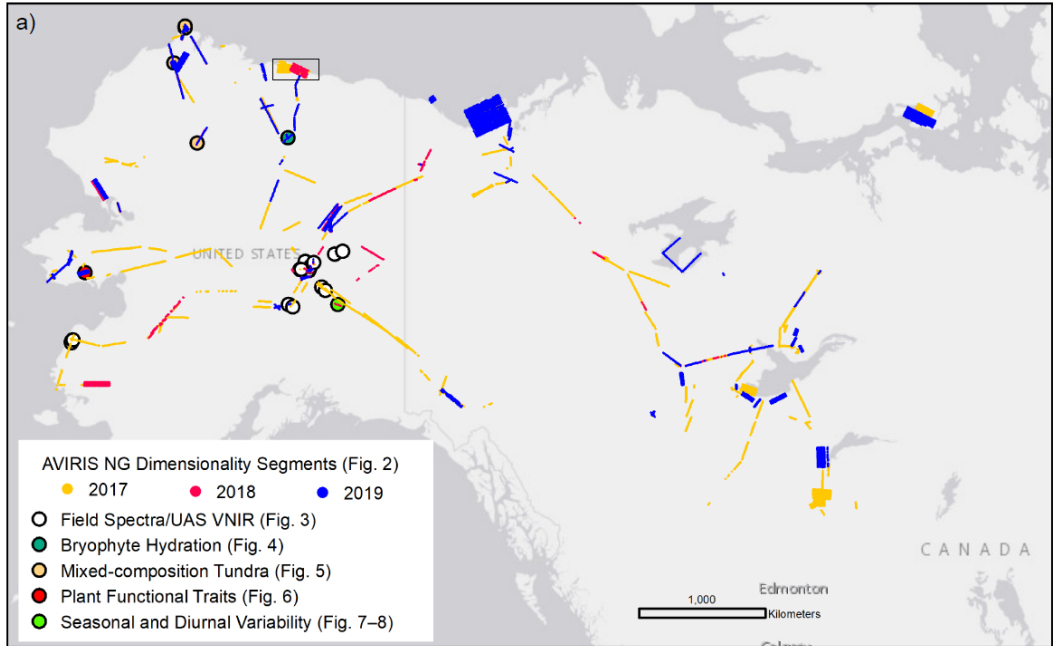
243 We analyzed the AVIRIS-NG dataset acquired during the growing season (June – August) of
244 2017, consisting of over 200 different flightlines, segmented at ~3 km intervals (600 x 600 pixels
245 at 5 m). The measured spectrum is calibrated to units of absolute radiance as in Chapman et al.
246 (2019). We estimated surface reflectance spectra using the approach of Thompson et al. (2018).
247 Finally, we calculated the intrinsic dimensionality of each segment independently using the
248 strategy of Thompson et al. (2017). Within each segment, the intrinsic dimensionality was
249 calculated from the image stack, cloud fraction and the mean and standard deviation of
250 Normalized Difference of Vegetation Index (NDVI) were summarized from the imagery, and the
251 central latitude and longitude were extracted. We plotted the frequency distribution of
252 dimensionality for the cloud-free segments, summarized by latitude and NDVI, to examine
253 trends and patterns in spectral dimensionality (Figure 2).

254 **2.3. Dimensionality Analysis Results and Implications**

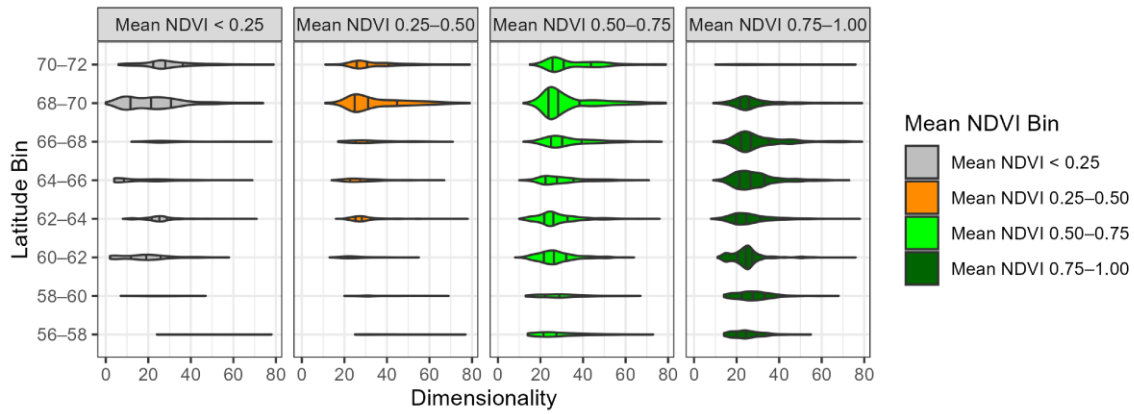
255 Dimensionality was calculated for a total of 14,519 segments, of which 12,626 were cloud-
256 free and used in subsequent analysis. Dimensionality values were positively skewed with a long
257 tail of high values. Generally, a broad range of dimensionality was observed across the gradient
258 of latitude and greenness. Above 62° N, segments with moderate NDVI values (0.25-0.75)
259 consistently had higher dimensionality than those with either low (< 0.25) or high (> 0.75)
260 NDVI. The lowest dimensionality values, < 20, were found mostly in the low NDVI category
261 corresponding to non-vegetated terrain and open water. These systems were optically less
262 diverse than the vegetated areas. Inconsistent observing conditions, such as solar angle and the
263 amount of atmospheric haze, affect the sensor's ability to resolve the subtlest features and
264 probably play some role in the broad spread of dimensionality values. Even excluding the largest

265 values, the modes of the distributions lie between 20 and 40. These numbers are broadly similar
266 to previous studies of midlatitude grassland and needleleaf biomes (Thompson et al., 2017).
267 However, a direct quantitative comparison with previous studies is inadvisable due to potential
268 differences in sensors and acquisition conditions, as well as the spatial resolution of both the
269 initial dataset and the analysis itself. Dimensionality analysis measures the information content
270 measurable from a single sensor above its noise level; it is a qualitative metric which gives a
271 sense of the spectral diversity within one particular study area. Because it depends on the
272 instrument sensitivity, it is less useful for comparisons between studies. Regardless, this analysis
273 indicates that different portions of the Arctic tundra exhibit spectral diversity that is considerably
274 larger than that which could be measured using multiband sensors.

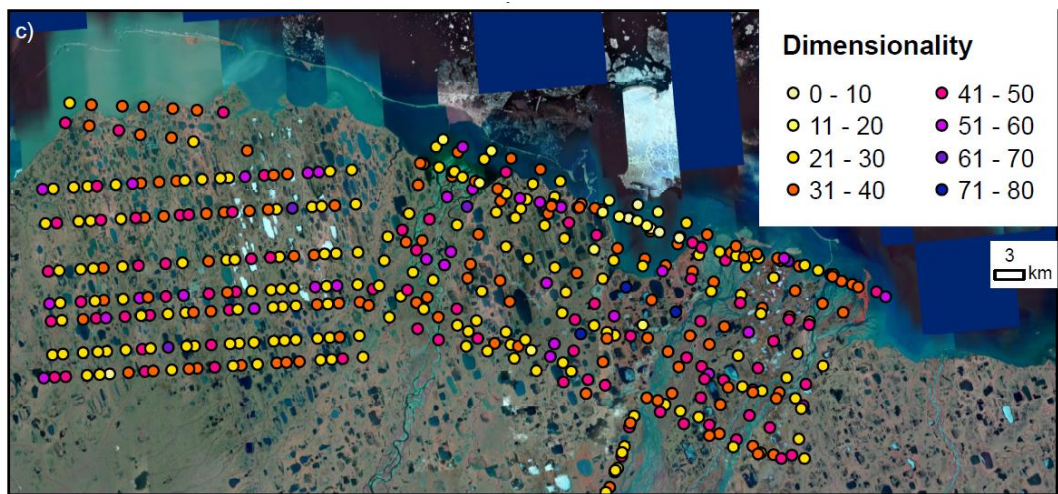
275 Unlocking the large amount of information available in these dimensions can provide new
276 insights into tundra characteristics and function and will be the focus of future studies.
277 Considering that this analysis was restricted to one segment size, it is quite likely that there is
278 even more information embedded in these spectra. Dimensionality analyses like this conducted
279 across a range of segment sizes and with coincident finer-grained data (< 5 m in this case)
280 provide an important opportunity. Such analyses may be necessary to understand the properties
281 of interest and heterogeneity across spatial scales within the mixture of non-vegetated and
282 vegetated surfaces in the Arctic.



283



284



285

286 **Figure 2.** Locations of AVIRIS-NG flight line segments used in this analysis and ground-based
287 measurements shown in Figures 3-8 are shown in the map (a). Frequency distribution from the
288 dimensionality analysis, binned by latitude and mean NDVI (b). Vertical bars in the violin plots
289 (b) indicate the inter-quartile range and median value. Map of AVIRIS-NG segments analyzed
290 laid over satellite imagery in the Sagavanirktok River area of Alaska (area denoted by a black
291 box in part (a)). Colors indicate the dimensionality ranges for the different segments.

292

293 **3. Spectral Characteristics of Tundra**

294 **3.1. Characteristics of Tundra Surfaces**

295 Lichens, bryophytes, and vascular plants occur in different proportions along gradients of
296 climate, soil properties, and landscape history in the Arctic (CAVM Team, 2003; Epstein et al.,
297 2008, 2020) and possess different physiologies and spectral reflectance patterns. This variability
298 poses unique challenges for remote sensing of tundra vegetation properties, but an understanding
299 of geographic patterns of vegetation structure and function can help interpret such
300 measurements. Walker et al. (2005) provide a framework to characterize the central tendencies
301 of Arctic tundra structure and composition by dividing the biome into five bioclimatic subzones
302 (A–E) distributed along gradients of summer temperature. The subzones range from the coldest
303 Subzone A, found in coastal areas of the High Arctic with persistent summer sea ice, to the
304 warmest Subzone E, generally found in continental areas near the northern limit of tree
305 establishment. Subzone A, occasionally termed “polar desert” (Matveyeva, 1998) is
306 characterized by discontinuous vegetation cover that is typically dominated by nonvascular
307 vegetation; shrubs and sedges are usually absent, vascular plant diversity is very low, and a large
308 proportion of the ground surface is unvegetated. In Subzone B, lichens and bryophytes dominate
309 the cover and shrubs are generally limited to only a few species (e.g., *Salix arctica*, *Dryas* spp.)
310 with a prostrate growth form (< 5 cm height). From Subzone C southward, vascular plants -

311 particularly shrubs - occur at greater abundances and species richness, and of higher stature. In
 312 Subzone E, vegetation is typically continuous and forms a multi-layered canopy, with shrubs
 313 commonly reaching heights of > 80 cm. Near the southern boundary of Subzone E, broadleaf and
 314 needleleaf trees are often present. The tundra-taiga ecotone (TTE) is typically a diffuse transition
 315 zone where trees first occur as isolated patches within the tundra matrix and become more
 316 abundant and spatially dense southward and at lower elevations. In North American and
 317 European ecotones, tree cover is generally dominated by evergreen species (e.g., *Picea*, *Pinus*),
 318 whereas deciduous needleleaf species (*Larix*) are dominant in Siberian TTE. Within each
 319 bioclimatic subzone, there is a great deal of heterogeneity in the relative abundance of plant
 320 functional types along landscape-scale gradients of moisture, topography, permafrost, and soil
 321 properties. Thus, IS applications must consider the relative abundance of plant functional types
 322 along both circumpolar-scale climate gradients and landscape-scale environmental gradients.

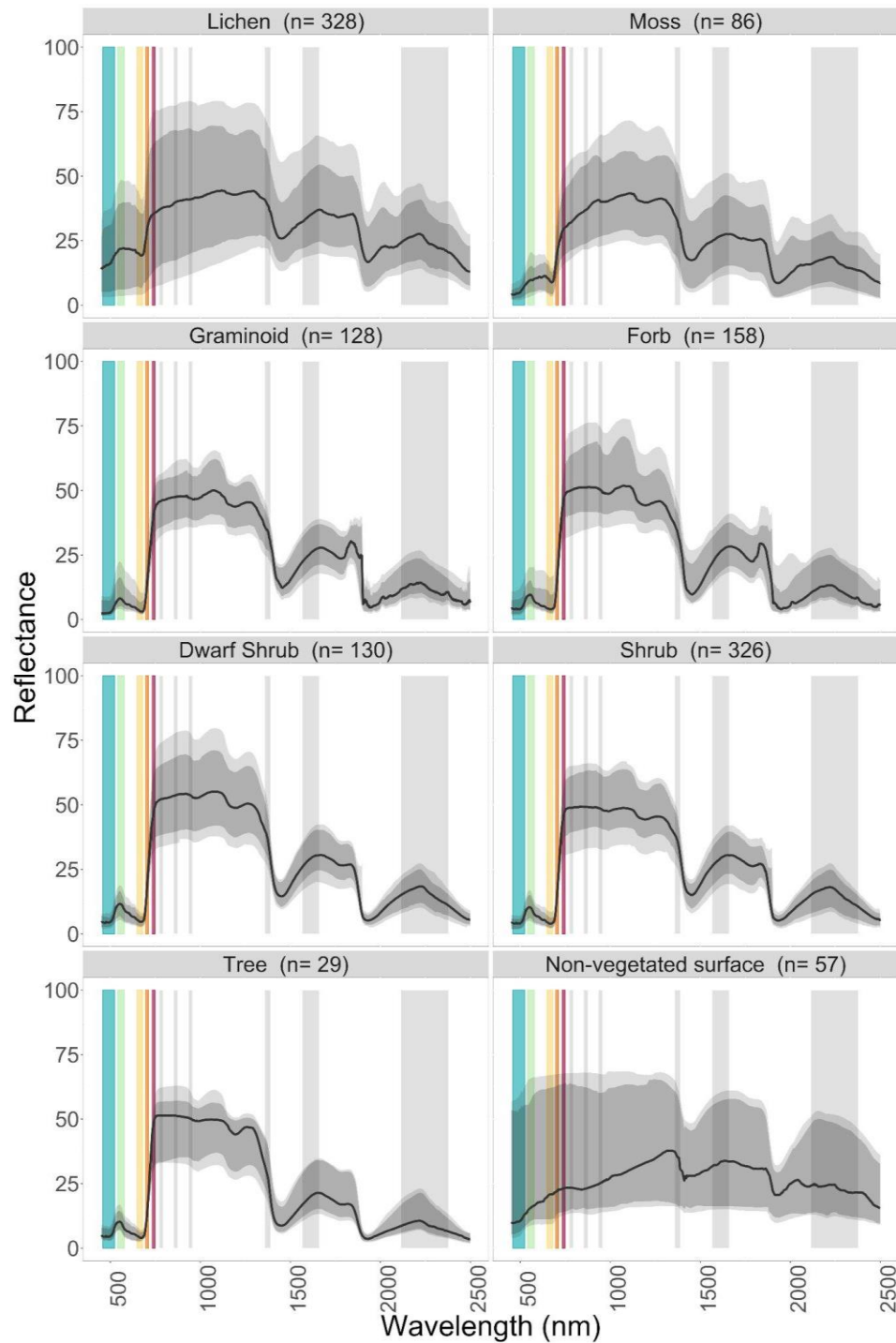
323 Furthermore, although plant functional types are expected to share suites of similar traits,
 324 within plant functional types there can still be enormous variation among traits that are important
 325 for ecosystem function (Table 1). This trait diversity corresponds to spectral variation within
 326 individual plant functional types in [\(Figure 3\)](#).

327 **Table 1.** Summary of heights, patch sizes, dominant taxa, and distributional patterns of plant
 328 functional types in Arctic tundra ecosystems and forest-tundra ecotones. For patch sizes,
 329 minimum values refer to typical individual plants, and maximum values refer to contiguous areas
 330 in which the functional type forms the top of the canopy.
 331

Functional type	Height (cm)	Patch size (m ²)	Description & distributional patterns
-----------------	-------------	------------------------------	---------------------------------------

Lichens	0–5	0.001–100	Diverse nonvascular plants consisting of fungal and algal symbionts, often distinguished by growth form (foliose, fruticose, crustose) or color group. Intermixed “reindeer lichens” (<i>Cladonia</i> spp.) and other fruticose taxa (e.g., <i>Flavocetraria</i> , <i>Alectoria</i> , and <i>Bryoria</i> spp.) can form extensive mats on undisturbed, well drained sites.
Bryophytes	0–5	0.001–100	Nonvascular plants including mosses and liverworts. Found throughout Arctic; common mesic taxa include branched “feathermosses” (e.g., <i>Hylocomnium splendens</i> , <i>Pleurozium schreberi</i>) and single-stemmed mosses (e.g., <i>Dicranum</i> and <i>Polytrichum</i> spp.). Peat mosses (<i>Sphagnum</i> spp.) can form continuous carpets in wet areas. Liverworts much less abundant, but form extensive cryptogamic crusts in High Arctic.
Graminoids	10–70	0.01–0.25	Sedges and grasses. Sedges common throughout tundra except in coldest parts of High Arctic. Tall cottongrass (<i>Eriophorum angustifolium</i>) and water sedge (<i>Carex aquatilis</i>) often dominate wet sites. Large areas of tussock tundra dominated by Arctic cottongrass (<i>Eriophorum vaginatum</i>) occur in Low Arctic on mesic soils. Grasses superficially resemble sedges and occur throughout Arctic, but cover is usually low; most common on floodplains and disturbed sites. Pendantgrass (<i>Arctophila fulva</i>) is a common marsh species.
Forbs	0–50	0.01–0.05	Diverse group of non-graminoid herbaceous flowering plants found throughout the Arctic, but cover is typically low. Common forbs include Arctic lupine (<i>Lupinus arcticus</i>), Arctic sweet coltsfoot (<i>Petasites frigidus</i>), and “cushion” plants such as purple mountain saxifrage (<i>Saxifraga oppositifolia</i>).

Deciduous shrubs	0–500	0.01–100	Multi-stemmed, broadleaf woody plants; common erect species include dwarf birch (<i>Betula nana</i>), diamondleaf willow (<i>Salix pulchra</i>), and bog blueberry (<i>Vaccinium uliginosum</i>). Dwarf shrubs such as Arctic willow (<i>Salix arctica</i>) occur throughout tundra biome except in coldest parts of High Arctic. Tall stands are restricted to warmer parts of Low Arctic, where typically found on floodplains (e.g., feltleaf willow <i>Salix alaxensis</i>) and mesic slopes (e.g., Siberian alder <i>Alnus viridis</i> ssp. <i>fruticosa</i>).
Evergreen shrubs	0–20	0.01–10	Widespread dwarf shrubs, except in High Arctic. Common species include entireleaf mountain-avens (<i>Dryas integrifolia</i>), mountain heather (<i>Cassiope tetragona</i>), lingonberry (<i>Vaccinium vitis-idaea</i>), and Labrador tea (<i>Ledum decumbens</i>).
Deciduous trees	150–1,000	1–25	The deciduous conifer, larch (<i>Larix</i> spp.), is the dominant tree in Siberian taiga-tundra ecotones. Poplar (<i>Populus balsamifera</i>) can occur on Low Arctic floodplains and south-facing slopes. Trees are typically widely spaced. Conifers typically have columnar growth form with small canopies; broadleaf trees often have larger canopies.
Evergreen trees	150–1,000	1–10	Evergreen conifers such as spruce (<i>Picea</i> spp.) are dominant in North American and European taiga-tundra ecotones.



332

333 **Figure 3.** Median (black), 75% (dark ribbon), and 90% (grey ribbon) quartiles of spectral
 334 reflectance for eight plant functional types from the Arctic tundra biome. Sample size (n) is
 335 shown parenthetically. Sentinel-2 bandpasses are indicated with colored vertical bars to illustrate
 336 the advantage of imaging spectrometers with contiguous bands over multispectral instruments.
 337 Spectra were collected in the field with leaf clip or contact probe and illumination source across
 338 Alaska between 2010-2019, primarily 2017-2019. Most of the data were collected with a
 339 Spectral Evolution PSR+3500 under AVIRIS-NG flight lines +/- 14 days of flight in most cases.

340 Spectra were collected at 1 nm resolution and trimmed to 450-2400 nm to remove sensor
341 artifacts.

342

343 **3.2. Lichens**

344 Lichens reach high diversity, cover, and biomass in certain tundra ecosystems and play a
345 significant role in biogeochemical and physical processes, such as land-atmosphere radiative
346 exchange, hydrological buffering, and nitrogen (N) cycling (Cornelissen et al., 2007). The genus
347 *Cladonia* (reindeer lichens) create dominant carpets across the Arctic that likely represent the
348 majority of lichen cover and biomass. Other genera do contribute significant biomass and cover,
349 such as *Cetraria*, *Flavocetraria* and *Stereocaulon* all which grow mostly upright and intermixed
350 with bryophytes, lichens and other plants. However, talus slopes and other rock surfaces are often
351 covered with very different genera (eg. *Rhizocarpon* and *Aspicilia*, both crustose or stain-like
352 growth forms that can cover boulders and talus fields), which creates complexity in estimating the
353 total cover of lichens. Lichens contribute substantial ground cover in periglacial environments,
354 stabilizing soils (Makoto & Klaminder, 2012). Albedo varies widely among lichen groups, with
355 implications for heat exchange with fractional cover variability (Aartsma et al., 2021). A large
356 fraction of biodiversity of terrestrial vegetation in the tundra is composed of lichen species. Most
357 caribou and reindeer survive in northern climates, in part, by eating mostly lichens throughout
358 winter months (Heggberget et al., 2002; Joly et al., 2007). A major opportunity for SBG to
359 enhance wildlife habitat mapping will be to use the unique spectral signatures to separate lichen
360 groups (Macander et al., 2020; Nelson et al., 2013; Petzold & Goward, 1988; Rees et al., 2004).

361 Physiological differences between lichens and vascular plants affect their spectral
362 reflectances. Lichens have more broadly different cellular structure than vascular plants. The
363 upper surfaces of most lichens, composed of fungal cells of one or sometimes two fungi (Spribille

364 et al., 2016), often with pigments, protect the next inner layer of cells, usually composed of the
365 photobiont (algae, cyanobacteria, or both). The upper cortical cells of lichens are usually dense
366 and have high concentrations of pigments produced by one or both fungi that are attributed to
367 photoprotection. These fungal pigments protect the algal photosynthesis machinery by dealing
368 with reactive oxygen species produced by high irradiance by dissipating excess energy as thermal
369 wavelengths (Beckett et al., 2021). Under the cortex, a thin layer of photobiont (algae,
370 cyanobacteria, or both) receives sufficient light for photosynthesis. The parts of the spectral
371 signature of lichens similar to vascular plants belies the presence of the photobiont(s). After the
372 photobiont, little if any light likely penetrates in the fungal structural backbone of a lichen body,
373 the medulla, which is often thick, white or pale. Amongst the > 12,000 species of lichens, there is
374 a diversity of mixtures of cortical cell structure, chemistry and photobiont that contribute to the
375 spectral signatures of lichens.

376 Lichens are spectrally variable both within and among species, but compared with vascular
377 plants, tend to have higher reflectance in the visible range and lower reflectance in the NIR
378 (Figure 3). Hundreds of compounds, many with pigments detectable in the visible range, can be
379 found across the diversity of tundra lichens. These complex molecules aid in differentiating
380 lichens from vascular plants but also make modeling lichens as a group difficult. However, most
381 mapping efforts have treated lichens as a monolithic group, focused on one relatively
382 homogenous color group (e.g., light) (Macander et al., 2020) or at most treated lichens in a few
383 color groups (Nelson et al., 2013). Lichen spectral signatures indicate high degrees of variability
384 within and among species (Kuusinen et al., 2020; Petzold & Goward, 1988; Rees et al., 2004).
385 Lichens have no true vascular tissue therefore hydration is based on short term meteorological
386 conditions (hourly) which in turn drives short term metabolic activity of lichens (Lange et al.,

387 1996). Nonvascular plants, including lichens and bryophytes (i.e., mosses, hornworts, and
388 liverworts), lack true vascular tissue (parenchyma) and therefore passively desiccate and
389 rehydrate (poikilohydry) (Walter, 1931). The hydration status of lichens greatly influences the
390 overall magnitude of reflectance as well as spectrum shape (Kuusinen et al., 2020; Rees et al.,
391 2004) but the difference between dry and wet lichen spectra varies both across wavelengths and
392 species. Water content can be estimated for lichens (Granlund et al., 2018) but uses wavelengths
393 beyond those proposed for SBG (i.e., > 5000 nm). A key challenge for SBG in the Arctic will be
394 accounting for water content in spectral profiles of the lichen (and bryophyte) mat since
395 photosynthesis and respiration are both tied to hydration. Rapid changes in hydration make
396 observations of productivity fleeting and unstable in non-vascular plants. To address the impact of
397 hydration state on the reflectance profiles of non-vascular plant communities, diurnal and
398 seasonal spectral measurements with high temporal density collocated with in situ moisture
399 probes are needed.

400 Lichens tend to be very small organisms but, in the tundra, can form confluent patches of
401 varying sizes (~100 m²) and mixtures of patches with different species and other organisms.
402 Studies of tundra with coincident imagery of different spatial resolutions suggest pixels smaller
403 than 3 m are needed to accurately classify patches (Räsänen & Virtanen, 2019) with a loss of 30%
404 absolute accuracy associated with declining resolution (2-20 m) (Virtanen & Ek, 2014). Another
405 key challenge for leveraging observations from SBG will be the fact that the composition of
406 surfaces in 30 m pixels will have a wide range of pure patch sizes, from centimeters to meters.

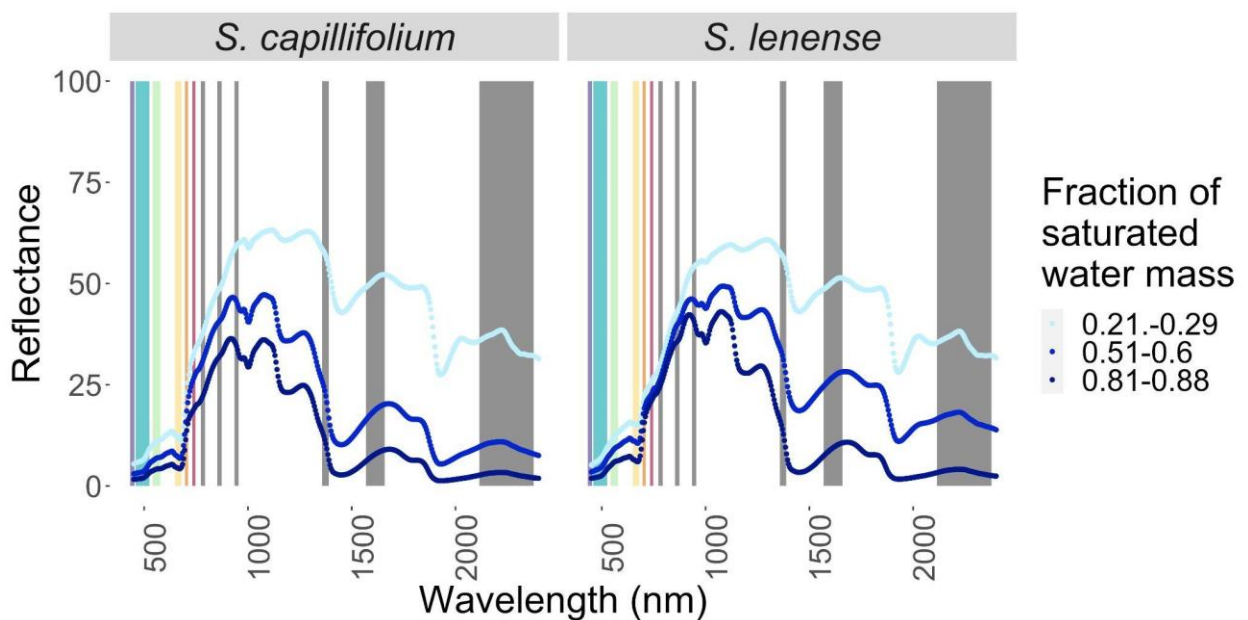
407 There are few measurements on the phenology of pure lichen patches. Measurements of
408 tundra mixtures with abundant lichens display limited seasonal variability (Gamon et al., 2013)
409 with spectral changes mostly associated with moisture status. This may be one of the few positive

410 features of lichens for remote sensing and SBG. To take advantage of this, SBG could use
411 observations after snow melt but before green up and then after leaf-off but before first snow to
412 observe lichen (and bryophyte) dynamics in more detail. At those times, non-vascular vegetation
413 would have less over-topping vegetation, reducing occlusion from nadir-viewing sensors.

414 **3.3. Bryophytes**

415 One of the main features of the tundra are the bryophytes, which can be found growing on
416 most surfaces and conditions, from fully immersed in water to exposed rock or bare soil.
417 Bryophytes (i.e., mosses, hornworts, and liverworts) usually appear as mats or patches of
418 miniature plants formed by multiple individuals. Bryophytes can form the primary understory
419 vegetation in many tundra plant communities, from wet, acidic bogs where *Sphagnum* spp.
420 Dominate to the fine matrix of moist tundra where numerous species of bryophyte form dense
421 mats interspersed with lichens and vascular plants. In wet environments, *Sphagnum* spp. Can
422 create large colonies (100 m²) with deep accumulation of senescent material storing carbon as
423 peat. In less hydric sites, *Hylocomium splendens* (stair step moss) and *Pleurozium schreberi* (big
424 red stem) are dominant. They have exceptional hydrologic and thermal buffering qualities and
425 are tied to the formation and stability of permafrost (Blok et al., 2011; Shur & Jorgenson, 2007).
426 Bryophytes such as *Polytrichum* spp. And *Ceratodon purpureus* can also form short-lived
427 (annual) but extensive colonies post-fire which aid in stabilizing carbon recovery. They are
428 crucial to carbon sequestration and storage, protecting the permafrost layer while also forming a
429 living layer beneath a sparse vascular plant canopy. Despite their obvious importance to Arctic
430 ecosystems, bryophytes have been largely neglected in remote sensing except for narrow cases
431 like *Sphagnum* spp. (Angela Harris & Bryant, 2009; Huemrich et al., 2013).

432 Bryophyte physiology differs vastly from vascular plants, primarily due to reduced-to-absent
 433 vascular tissue. By virtue of this, bryophytes can absorb large amounts of water (> 100% of dry
 434 mass), but are not able to actively regulate moisture content via a root system like vascular
 435 plants. Instead, bryophytes form colonies, sometimes only with one species but often with many
 436 species, which together determine hydration through water holding capacity of the living layer.
 437 As a result, bryophytes may hydrate or desiccate quickly. Similar to lichens, bryophyte hydration
 438 status is known to significantly influence spectral reflectance, with many changes observable in
 439 the visible to short-wave infrared spectra (Van Gaalen et al., 2007; Vogelmann & Moss, 1993)
 440 (Figure 4).



441
 442 **Figure 4.** Spectral signature at varying moisture saturation levels measured as a fraction of the
 443 saturated water mass of *S. capillifolium* (left) and *S. lenense* (right). Spectra for both species
 444 were collected at regular intervals using a SVC – HR-1024i with light source at 100% under a
 445 progressive drying experiment. Fraction calculated as mass of water in samples divided by total
 446 water mass ($\text{g H}_2\text{O at interval} * \text{g total H}_2\text{O}^{-1}$). Sentinel-2 bandpasses are indicated with colored
 447 vertical bars to illustrate the advantage of imaging spectrometers with contiguous bands over
 448 multispectral instruments.

449

450 In addition to spectral changes, metabolic activity of bryophytes is also significantly
451 influenced by moisture content with primary production decreasing as moisture decreases (Green
452 & Lange, 1995); however, decoupling of reflectance and productivity has been noted in
453 *Sphagnum* spp. And Pleurocarpous mosses, such as *Hylocomium splendens* and *Pleurozium*
454 *schreberi* with spectral indices such as NDVI returning to near-initial values within minutes after
455 rehydration, but primary production response lagging for more than 24 hours (May et al., 2018).

456 Given the generally low canopy cover across the arctic, bryophytes are likely driving spectral
457 reflectance of mixed pixels, making timing of data collection and awareness of moisture content
458 crucial for interpreting IS observations. For this reason, early and late summer provide
459 opportunities for IS of bryophytes. Though there are many lab studies of bryophyte physiology
460 (Green & Lange, 1995), the few studies scaling bryophyte spectral signatures for classification
461 and chemical analysis show promise for estimating water, N, C, and P (Thomson et al., 2021).
462 Translating bryophyte spectra to trait maps using remote sensing is an important opportunity to
463 better constrain ecosystem models (Wullschleger et al., 2014).

464 Bryophyte reflectance spectra differ from vascular vegetation by exhibiting a wider and taller
465 peak in the green to yellow, a gentler red edge, and a greater variability in the NIR (Figure 3).
466 Additionally, the SWIR region is very responsive to moisture content with large increases (> 2x)
467 in reflectance under drier conditions. Bryophytes also produce photoprotective compounds that
468 influence the spectral profiles. For example, many *Sphagnum* species under high light conditions
469 may develop photoprotective pigments that will affect their reflectance. Studies of open-growing
470 *Sphagnum* have shown that they are photo-inhibited in full sun and exhibit faster vertical growth
471 under lower (e.g., shaded) illumination (Harley et al., 1989; Murray et al., 1993). Little is known
472 about the variability of pigments among bryophytes species across the extent of the Arctic.

473 Reflectance measurements *in situ* indicate broad diversity both within and among bryophyte
474 species that will be further complicated by the impact of variable hydration status.

475 Though short in stature, bryophytes can form small but highly visible homogeneous patches
476 (~100 m²), carpets and hummocks. Bryophyte mixtures are very commonly intermixed with
477 vascular plants (dwarf shrubs and grass-like plants) and lichens, in the understory living-mat
478 matrix. The mixtures of patch sizes of each species and degree of heterogeneity combined with
479 vascular plant canopy cover make it challenging to separate them spectrally. Similar to lichens,
480 classification accuracy of bryophytes can be high if pixels are small (< 1 m) and there are
481 sufficient and appropriate bandpasses (Räsänen & Virtanen, 2019). For context, researchers found
482 that increasing to 20 m pixels reduced the absolute accuracy of their plant classification of
483 remotely sensed spectra by 50% compared to 2 m pixels (Thomson et al., 2021). Like lichens,
484 small patch sizes of bryophytes present a challenge for SBG that will need to be met with scaling
485 studies to understand within-pixel variation.

486 Bryophytes generally do not display strong seasonal patterns in their reflectance, although
487 there are few studies of pure bryophyte patch phenology. Vegetation classes with high fractional
488 cover by bryophytes do show some phenological variability but this is likely primarily due to the
489 non-bryophyte fraction in the vegetation class (Rautiainen et al., 2011). In the spring, following
490 snowmelt, bryophytes are green and photosynthetically active well before the deciduous vascular
491 plants begin greening up (Huemmrich et al., 2010). New annual growth of many bryophyte
492 species appears much lighter green than older growth. Bryophytes in shaded vs open areas also
493 show different chlorophyll and other pigment concentrations (Ninimets & Tobias, 2014).
494 Bryophyte reproductive structures develop annually in many species and these tissues display
495 apparent coloration distinct from the vegetative tissue. Bryophyte phenological variation may

496 occur at scales at which IS could be useful in detecting physiological changes relevant to
497 ecosystem processes.

498 **3.4. Vascular plants**

499 Living vascular plant tissue shows remarkable similarities as a group in the general shape of
500 spectral response, specifically characterized by a modest increase in reflectance in the green
501 (relative to blue and red) and a steep “red edge,” followed by a plateau across the NIR (Figure 3).
502 Variation in spectral profiles among vascular plants is often most notable in the inflection point
503 of the NIR and features of the SWIR, which in turn inform the derivation of many important
504 functional attributes (e.g., phenology, photoprotective pigmentation, water content, disease).
505 Spectral profiles are evolutionarily conserved (Meireles et al., 2020), which provides a basis for
506 assuming the ability to separate species using spectra. Reflectance profiles have recently been
507 used to separate species and even genotypes among co-occurring plants (e.g., *Dryas* sp., one of
508 the most common vascular plant genera in the Arctic) (Stasinski et al., 2021). This level of
509 distinction is likely beyond the capacity of SBG but points to the profoundly strong linkage
510 between vascular plants and their reflectance profiles.

511 Vegetation in the Arctic occurs largely in confluent mixtures, where the boundary between
512 an individual and group blurs. Viewing this problem in terms of pure patches of a single species
513 helps describe the challenge for remote sensing. Patch size varies by species across several
514 orders of magnitude, from individual plants (cm scale) to confluent forest or shrub canopies (10
515 m scale) or continuous patches of a single type (km scale) such as tussock tundra dominated by
516 *Eriophorum vaginatum*. Snow, wind and ice scour the landscape and force shrubs to form
517 thickets that can cover thousands of m² but change in size and shape across species of dominant

518 shrubs, like *Salix* spp. (willows) or *Alnus* spp. (Alder). The sparse distribution of trees presents
519 unique challenges to spectral remote sensing, particularly for coarse spatial resolution imagery
520 where tree crowns may be widely spaced and collectively constitute on average 30% of a 30 m
521 pixel (Montesano et al., 2016). In contrast, some regions of the TTE are characterized by clumps
522 of dense tree cover with minimal spacing between crowns across otherwise open tundra
523 vegetation. As with non-vascular plants, many vascular plant patches are smaller than the likely
524 pixel size of SBG (30 m). This underscores the need to measure features at high spatial and
525 spectral resolution with coordinated field campaigns to validate SBG pixels and fully utilize the
526 spectral resolution of SBG to estimate vegetation composition and function.

527 Vascular plants exhibit strong variation in phenology across groups, from fully dormant
528 species such as forbs that are absent aboveground or buried under snow in the winter to
529 persistent year-round tissues of evergreen trees and shrubs. The brief growing season results in
530 very rapid progression of plant phenological stages, which elicits the common perception by
531 observers that changes in reflectance are visually apparent at a daily time scale. Most studies
532 have focused on summer reflectance for peak photosynthetic activity, but imaging at other times
533 of year provides opportunities to characterize the important features of green up and senescence.
534 For most plants snowmelt defines the onset of annual growth and initiation of myriad
535 phenological processes including flowering and leaf-out. Characterizing differences in
536 phenology among plant functional types may help separate co-occurring plant groups with
537 similar reflectance profiles during peak summer (Beamish et al., 2017). Spatial variation in onset
538 of green up (earlier at lower latitudes, south facing aspects, and lower elevations) and senescence
539 (earlier at higher latitudes, north-facing aspects, and higher elevations) provides both a challenge
540 and an opportunity for SBG to capture the important spectral information about the biophysical

541 changes in tundra vegetation. In shoulder seasons when understory vegetation is buried under
542 snow but tree crowns protrude above the snowpack, lower albedo distinguishes these patches
543 from surrounding snow-covered tundra. However, to detect phenological events in sparsely treed
544 regions, indices that can account for background effects – namely the coincidence of snow with
545 vegetation phenology – are critical (see Section 4.4).

546 Vascular plants generally become increasingly important, more diverse, and larger with
547 decreasing latitude and altitude in the Arctic. By subzone C and south vascular plants become
548 more prevalent than nonvascular plants, with increasing diversity of growth forms/functional
549 types, graminoids, forbs, cushion plants, and deciduous and evergreen shrubs and trees that
550 represent general life history strategies characterized by specific traits (with many exceptions)
551 that influence ecosystem and spectral properties. For example, evergreen shrubs and trees are
552 characterized by long-lived leaves (years), low photosynthetic rates, low leaf nitrogen but high
553 leaf mass per unit leaf area (LMA), and tolerance to water stress. Forbs and deciduous shrubs
554 tend to have short-lived leaves (annual), high photosynthetic rates and leaf nitrogen contents, and
555 low LMA. Graminoids may span the entire spectrum.

556 Graminoids (mainly sedges) form a large component of boreal and tundra herbaceous
557 vegetation, ranging from dry ridges to wet areas and standing water. Reflectance profiles of
558 graminoids are broadly similar to other vascular plants with some distinctive features in the
559 SWIR and overall lower green values. However, fine-scale spatial mixtures (0.1-1 m) of living
560 and dead tissue in graminoid end members present a different spectral challenge for remote
561 sensing. Collecting clean graminoid spectral signatures in the field under controlled light
562 conditions is difficult due to the shape and size of the leaves. For this reason, most measurements

563 of graminoids in the field are taken with a larger FOV under ambient and therefore often have
564 dead leaves and stems that remain mixed in with living graminoid tissue thereby creating the
565 mixture of living and dead tissue in the spectral profiles for this group.

566 The tussock-forming sedge *Eriophorum vaginatum* (cottongrass) is a dominant species over
567 very large areas throughout the Arctic (0.9×10^6 km²; Oechel et al., 1993). Its unique tussock
568 growth form provides an unusual surface topography that introduces shadows and at low
569 observation angles may obscure vegetation on the opposite side. Cottongrass and many other
570 graminoid species also have predominantly vertically-oriented leaves that present a challenge for
571 top-down, nadir remote sensing because most of the leaf area is not apparent to the sensor;
572 further. Again, a key challenge for remote sensing of graminoids will be accounting the amount
573 of dead material in spectral profiles of these plants.

574 Forbs are the dominant vascular plants in snow banks and snow beds, where the annual
575 growing season is brief but water and nutrient supplies are high and present in dry to semi-
576 aquatic habitats throughout the Arctic. They are non-woody non-graminoids that typically
577 present only leaves and flowering stalks above the soil surface during the growing season. Forbs
578 show broad similarity to shrubs in their spectral profiles, but with more variability in the visible
579 range and more symmetrical variation about the median in the SWIR (Figure 3). Separating forbs
580 from other vascular vegetation may be a challenge for SBG but one opportunity may be during
581 the autumn, when the spectacular variation in pigments of Arctic tundra forbs and dwarf shrubs
582 becomes strikingly apparent.

583 The expansion of deciduous shrubs is one of the most apparent responses of tundra
584 ecosystems to climate warming. Deciduous shrub species have high environmental plasticity and
585 are unique among tundra plant functional types in the Low Arctic, because they can achieve

586 canopy heights of 2 m or more and greatly overtop other vascular plants. Therefore, the
587 development of upright, woody canopies in tundra landscapes strongly influences biophysical
588 processes throughout the year. Shrubs promote a strong positive winter feedback by trapping
589 drifting snow in the winter that insulates the soil; subsequently warmer soils allow faster
590 decomposition; decomposition releases nutrients that promote further shrub growth (Sturm et al.,
591 2005). In warmer parts of the Low Arctic, the large size attained by individual deciduous shrubs,
592 and their tendency to develop dense canopy patches in favorable landscape positions provides
593 opportunities for IS to sample a relatively pure spectral signal, which is otherwise not possible in
594 most tundra landscapes dominated by small, intermixed, low-statured plants (< 1 m). Deciduous
595 shrubs exhibit limited variation in the visible range and a notable plateau in the NIR (Figure 3).

596 Evergreen shrubs present a different set of challenges and opportunities for IS. In moist
597 acidic and dry tundra, dwarf evergreen shrubs are a major component of the vegetation, often as
598 an understory layer above bryophyte species (e.g., *Vaccinium vitis-idaea* L.). The evergreen
599 growth form is associated with low nutrient habitats where conservative use of nutrients is
600 favored. Evergreen shrubs retain leaves for 1-5 or more years (Shaver, 1981) and thus have the
601 potential to photosynthesize whenever conditions are able to sustain it, even under snow (Starr &
602 Oberbauer, 2003), especially during the shoulder seasons. Most evergreens produce
603 photoprotective pigments that protect the leaves during the cold season and strongly affect
604 spectral reflectance of these plants (explored further in section 4.2).

605 Even in otherwise tundra-dominated landscapes, trees can persist in sparse numbers across
606 the tundra domain. The primary example of this is along the tundra-taiga ecotone (TTE), which
607 is an often diffuse (rather than abrupt) transition between denser boreal forest tree cover to
608 tundra-dominated plant cover. Common tree genera of the TTE include a mix of evergreen

609 needleleaf (e.g., *Picea* and *Pinus*), deciduous needleleaf (e.g., *Larix*), and deciduous broadleaf
610 (e.g., *Betula* and *Populus*). Having more structural complexity than forbs, bryophytes, or lichens,
611 trees exhibit different effects on radiative transfer within canopies, particularly affecting multiple
612 scattering in the NIR and SWIR regions. For example, conifer needles have similar reflectance to
613 deciduous in the VNIR, but their IR reflectances are lower than deciduous due to morphological
614 characteristics of needles (Hovi et al., 2017). Observed and simulated radiative transfer of
615 conifer needles infer that part of the spectral differences between deciduous leaves may be due to
616 variation in leaf angle with both convex and flat leaf sides to their needles (J. Wang et al., 2020).
617 Conifer arrangement in shoots, and the presence of woody material in twigs and boles that alter
618 multiple scattering likely also differs between deciduous trees. Evergreen needleleaf trees in the
619 TTE tend to have exceptionally narrow crowns (maximum 1-2 m in diameter), and black spruce
620 (*Picea mariana*) can often have sparse foliage clustered at the top of the crown, especially in
621 regions where fire had caused non-lethal disturbance. Due to their upright structure and tendency
622 to be widely spaced in much of the TTE, the interaction of high solar zenith angles with tree
623 stems and canopies cast extended shadows on surrounding tundra vegetation. The vertical
624 distribution of foliage along narrow crowns causes problems for nadir viewing of trees in the
625 TTE to characterize gradients in foliar properties (Moorthy et al., 2008). In addition to the
626 structural complexity of trees, deciduous vs. evergreen species experience strong phenological
627 differences which may complicate interpretation of spectral information in mixed-forest stands
628 (Pierrat et al., 2021) (Section 4.4). As with shrub-dominated landscapes, understory tundra
629 vegetation may be obscured from measurement by nadir-viewing sensors in regions with denser
630 tree cover. Similar to the case of shrubs, encroachment of trees into tundra landscapes influences
631 biophysical processes such as snow distribution, wind patterns, and soil active layer depth (F. K.

632 Holtmeier & Broll, 2007). Characterization of geographic position, composition, and condition
633 of the TTE is important for detecting expansion or retreat of tree species across the tundra
634 domain (Holtmeier & Broll, 2019; Montesano et al., 2020; Stumberg et al., 2014).

635 Though lidar is often the primary tool for delineating the TTE and characterizing the
636 structure of trees in this zone, spectroscopy can provide valuable information on phenology,
637 physiological state, and heterogeneity among trees (Montesano et al., 2016a; Montesano et al.,
638 2016b). Spectroscopy is particularly useful for characterizing photosynthetic dynamics of trees in
639 the tundra domain since these individuals tend to be especially slow growing at the northern
640 range limit for their species distribution (hence limited structural change detectable by repeated
641 lidar campaigns) but contribute a substantial amount to landscape-scale carbon flux.

642 **3.5. Non-vegetated Surfaces**

643 The Arctic tundra is characterized by low leaf area and sparse vegetation cover, resulting in
644 other materials, such as snow, water, bare ground, and dead or burned material comprising
645 significant portions of the landscape. Each of these materials have unique spectral characteristics
646 which can confound retrievals of vegetation. Remote sensing instruments with fine to moderately
647 sized pixels (e.g., AVIRIS-NG ~ 5 m²) can capture multiple landscape components within a
648 single pixel, producing a mixed spectral signal that can be difficult to interpret. Our ability to
649 tease apart vegetation signals from these non-vegetated tundra landscape components is
650 important not only for understanding vegetation, but also for understanding the condition of the
651 landscape itself and its feedbacks on vegetation dynamics. An additional complication is that
652 many surfaces are non-vegetated for only part of the year due to snow pack, snow melt, or
653 flooding; at other seasons understory vegetation in the form of lichens, bryophytes, or biocrusts

654 becomes visible from above making the timing of signal retrievals an important component of
655 Arctic vegetation dynamics.

656 Remote sensing of the cryosphere has been a key focus of Arctic remote sensing. Snow, ice,
657 and permafrost are important drivers of tundra ecosystem structure and function, impacting
658 components such as the depth of the soil active layer, freshwater availability, and the formation
659 of important landscape features such as thermokarst lakes. Fresh snow has very high reflectivity
660 in the visible and near-infrared (> 80% between 400 – 900 nm, with values > 50% between 900 –
661 1200 nm), while clean ice, as from a glacier, has relatively high reflectivity (> 60% between 400
662 – 600nm, steadily decreasing to < 10% for 1000 – 1200 nm) (Tedesco, 2015). The reflectivity of
663 ice and snow is reduced over time as dirt accumulates and darkens the surface. Snow can
664 interfere significantly with vegetation spectral retrieval as snow can both accumulate over
665 vegetation canopies (i.e., obscuring direct visibility) and snow reflectance can saturate any
666 vegetative signal. The timing of snowmelt, a key driver of tundra phenology, can vary drastically
667 throughout the tundra (Kelsey et al., 2021), making snow dynamics both an important process to
668 study but also a confounding factor in vegetation remote sensing (further explored in Section
669 4.4).

670 Permafrost thaw in the Arctic tundra is one of the most concerning results of climate change
671 due to the biogeochemical feedbacks which drive increased greenhouse gas emissions. The
672 spatial dynamics of permafrost thaw are complex, involving interactions between multiple
673 processes including biogeochemical cycles, hydrology, and climate (Grosse et al., 2013).
674 Vegetation cover can provide insulation from summer warming, with different types of cover
675 providing varying levels of protection against thaw, which makes vegetation change detection an
676 important component of understanding permafrost thaw changes (Anderson et al., 2019).

677 Vegetation feedbacks between the permafrost and vegetation provide a key geophysical
678 connection for SBG in studying the Arctic because the high spectral resolution will allow
679 separation of more kinds of tundra attributes. However, permafrost features have highly
680 patterned features, often considerably finer scale than the 30 m resolution of SBG, requiring field
681 campaigns to describe patterns in the permafrost at higher spatial resolution. Permafrost thaw can
682 also impact vegetation cover through landscape transformation.

683 One of the most rapid and noticeable landscape features of permafrost thaw is the
684 development of thermokarst lakes (Grosse et al., 2013). Thermokarst lakes form from the
685 degradation of ice wedges in continuous permafrost areas, leaving standing water and unfrozen
686 ground, called taliks, underneath the lake. The presence of thermokarst lakes, which have been
687 forming in the Arctic since the Last Glacial Maximum, have been increasing and existing lakes
688 have been expanding. Thermokarst lakes increase the amount of standing water that is present in
689 the Arctic tundra. Standing water poses a challenge for tundra vegetation remote sensing. The
690 tundra is studded with thermokarst lake depressions that form due to the freeze-thaw cycle of
691 permafrost, and in the summer much of the tundra is covered with standing water. Water most
692 strongly interferes with the retrieval of vegetation reflectance in the visible range (400 – 700
693 nm), but it can also cause a reduction across the entire spectrum. This can potentially influence
694 vegetation signals retrieved from vegetation indices such as NDVI which use red reflectance
695 (~650 nm although this varies by sensor), or PRI which uses green (~ 531 nm). Liquid water
696 absorbs light in the NIR, reducing vegetation reflectance in that region, thus dampening
697 vegetation signals in pixels with standing water (Lang et al., 2015). Remote sensing instruments
698 with finer spatial resolution (< 30 m) can help to solve this problem by improving pixel purity.

699 The amount of vegetation cover varies significantly across the Arctic tundra due to
700 differences in topography and soil properties such as nutrient content (Liu et al., 2017). Exposed
701 bedrock and bare soil are common and bare soil can be intermixed with sparse vegetation cover.
702 Soil and rock spectra vary depending on the type and color of the substrate and moisture content.
703 Most dark colored soils are more strongly absorptive in the visible range than vegetation, but the
704 vegetation signal is more strongly reflective in the NIR than soil. As with water, interference in
705 the red and NIR can confound commonly used vegetation indices such as NDVI. Another
706 complication is senescent or dry vegetation, which can have a spectral signal similar to bare soil
707 (Liu et al., 2017). In the High Arctic, tundra vegetation can have a very brief growing season, so
708 it is important that remote sensing measurements have short revisit times (daily) to capture
709 phenological changes appropriately and tease apart vegetation from bare soil or litter.

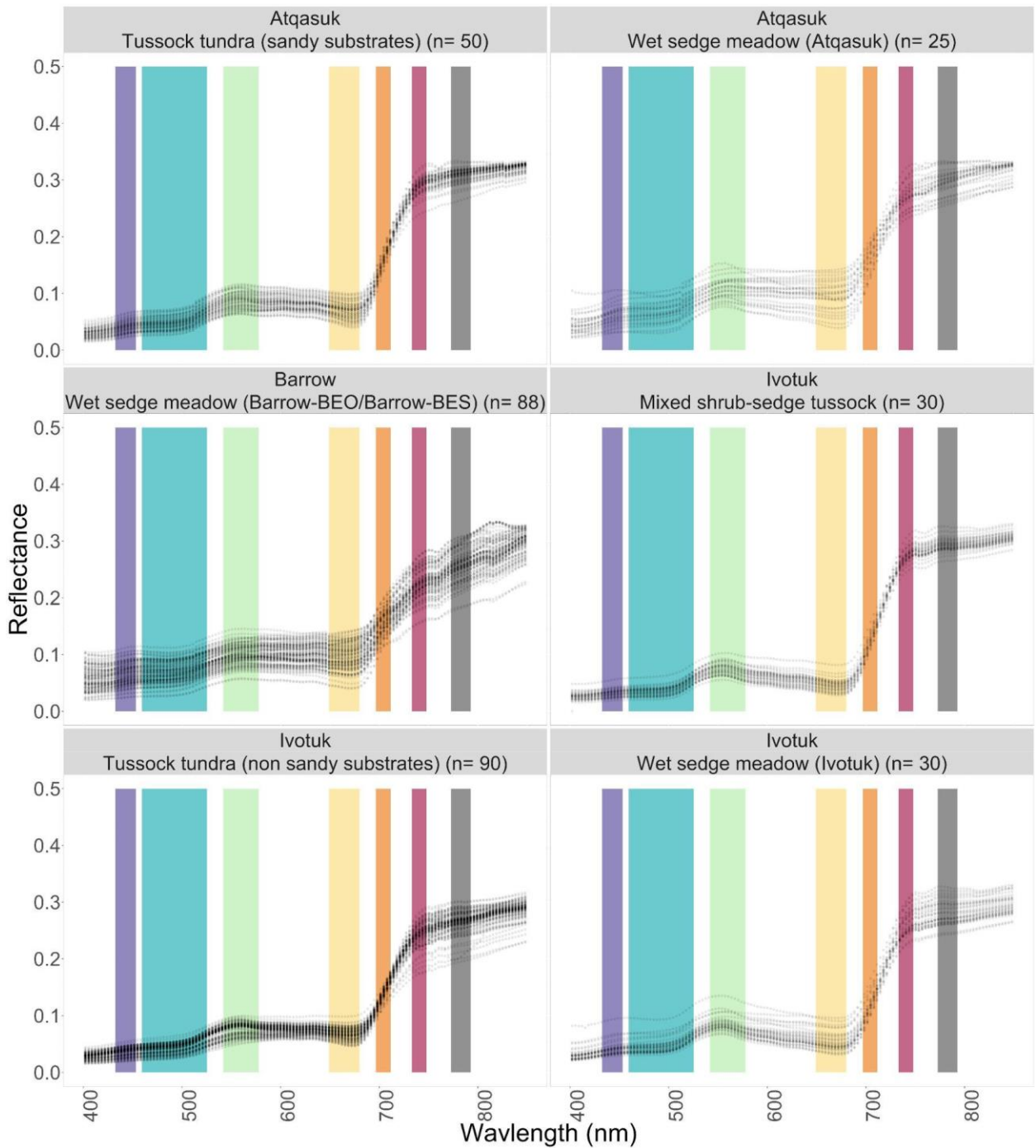
710 Tundra fires have a sparse historical record, but recent data and model projections indicate
711 that tundra fires will increase in frequency and severity under climate change (French et al.,
712 2015). Fire has become a growing concern as a source of tundra change. Spectrally, burned
713 vegetation reflectance is high in the shortwave NIR which can help distinguish it from green
714 vegetation, but bare soil which is exposed during burning can interfere with vegetation retrieval
715 (Boelman et al., 2011). Alternative vegetation indices have been proposed to assess burned
716 vegetation areas, but full spectral data will help to tease apart burned areas from green
717 vegetation.

718 **3.6. Mixed Composition Observations**

719 Although many tundra vegetation communities can often contain both vascular and non-
720 vascular species, the combined spectral signature can be distinct enough to allow for separability

721 among communities. For example, (Davidson et al., 2016) successfully distinguished among
722 eight different tundra vegetation communities including bryophyte-shrub, bryophyte-lichen, and
723 tussock-shrub utilizing the Blue (450-510 nm), Red (640-692 nm) and Red Edge (705-745 nm)
724 regions (Figure 5). Bratsch et al. (2016) distinguished among four tundra plant communities at
725 Ivotuk, Alaska (particularly early in the growing season), using Blue, Red, and Near-Infrared
726 bands. Both studies illustrate that it is possible to spectrally unmix Arctic plant communities, and
727 that utilizing high spectral resolution data (1 nm) may help us to create spectral targets that can
728 be teased apart from coarser spectral resolution datasets.

729



730

731 **Figure 5.** Spectral reflectance of mixed-composition pixels from representative tundra sites in
 732 Alaska. Figure adapted from (Davidson et al., 2016). Sample size (n) is shown parenthetically.
 733 Sentinel-2 bandpasses are indicated with colored vertical bars to illustrate the advantage of
 734 imaging spectrometers with contiguous bands over multispectral instruments. Dots are opaque to
 735 show the density of observations. Spectra were collected using a UniSpec DC Analysis System
 736 (PP Systems, Amesbury, MA, USA) with a spatial resolution of 50 cm.

737 **4. Uses of Spectroscopy for Tundra Studies**

738 **4.1. Long-term Vegetation Changes with NDVI**

739 Long-term satellite data has revealed “greening” of Arctic tundra since the 1970s based on
740 increases to NDVI derived from Landsat and AVHRR time-series data (Myers-Smith et al.,
741 2020; Wang & Friedl, 2019). While tundra greening remains the most common trend across the
742 Arctic, “browning”, represented by a decreasing trend in NDVI values, has occurred in various
743 regions and scales across the tundra (Myers-Smith et al., 2020). Greening and browning trends
744 were one of the first indications that the Arctic tundra was being significantly impacted by
745 climate change. Variations in greening/browning over different years have most commonly been
746 attributed to climate warming (e.g., Berner et al., 2020; Bhatt et al., 2021; Cooper, 2014),
747 herbivory by small mammals (Olofsson et al., 2012), and vegetation disturbance and subsequent
748 recovery after extreme warming events (Bokhorst et al., 2012). However, there are significant
749 limitations of the sensitivity of NDVI to high latitude ecosystem change (Huemmrich et al.,
750 2021). For example, recent evidence suggests that some of these changes’ impacts are fine-scale
751 in nature (i.e., < 5-30 m), making many common remote sensing platforms impractical for
752 studying these dynamics (Myers-Smith et al., 2020; Niittynen et al., 2020). Moving beyond
753 greening and browning into the shifting landscape of numerous other metrics unlocked by IS,
754 such as changes in land cover type and biophysical traits, will provide key insights into the
755 magnitude and nature of high latitude ecosystem change.

756 From the outset, advanced IS data collections, such as from SBG, should be organized and
757 calibrated to allow for future analysis of multi-year trends. In addition, improved land cover
758 descriptions from SBG will enhance the interpretation of the existing NDVI trend analyses by
759 establishing the capacity of different land cover types to respond to environmental change and

760 for that change to be reflected by observable changes in NDVI. Ground measurements collected
761 over extended time series will improve our understanding of the nature of spectral reflectance
762 change associated with measured land cover change and inform remote sensing needs.

763 **4.2. Land Cover and Vegetation Classification**

764 Surface reflectance data have long been used to classify and map vegetation types from
765 landscape to global scales. Accurate data identifying the distribution of and changes to land
766 cover types provide a significant opportunity for understanding Arctic environmental change.
767 Improved mapping and classification of circumpolar land cover and its changes will be key to
768 understanding the effects of global environmental change on Arctic ecosystems (Sections 4.2-
769 4.4). Overcoming the challenges associated with mapping land cover at appropriate levels of
770 thematic, spatial, and temporal detail will ultimately provide a significant advancement in our
771 understanding of Arctic ecosystems.

772 Mapping Arctic vegetation types at high spatial resolution and with sufficient thematic detail
773 has been challenging in part due to a relative sparsity of spectroscopic data. Global-scale land
774 cover maps, such as the MODIS land cover product (Sulla-Menashe et al., 2019), are typically
775 produced at a level of thematic detail that cannot distinguish between functionally distinct
776 landforms (e.g., low- versus high-centered polygons) and vegetation types (e.g., low versus tall
777 shrublands) present in Arctic tundra. Different arctic vegetation types are often combined into
778 simpler, but less effective classes, or are represented by inappropriate classes (e.g., “grassland”)
779 which do not reflect tundra ecosystem composition. The utility of land cover maps for tracking
780 Arctic environmental change hinges on improving land cover classification, as subtle changes in

781 vegetation properties, such as increased shrub abundance, do not necessarily involve a transition
782 from one class to another within a mapped pixel.

783 Moving beyond land cover types and into the mapping of plant functional types or finer
784 taxonomic groups (e.g., family or genus) from spectra may be possible at continental scales if IS
785 data with large spatial coverage (> 1000 km² such as the ABoVE airborne campaigns (Section 2)
786 and SBG) are harnessed and developed. Acquiring and applying more detailed spectroscopic
787 data for Arctic vegetation types will enable mapping with improved thematic detail, particularly
788 if they are analyzed in tandem with ancillary high spatial resolution datasets that capture
789 important environmental covariates such as topography (e.g., ArcticDEM) and edaphic
790 characteristics (e.g., seasonal inundation, snow depth and hardness, active layer thickness, depth
791 to water). Few studies have yet applied detailed IS data to map Arctic vegetation types (Smith et
792 al., 2021; Thomson et al., 2021), but an increase in available imagery may enable future work in
793 this area.

794 Land cover maps with classifications designed for Arctic vegetation types are typically
795 limited in spatial or temporal range (Chasmer et al., 2014; Greaves et al., 2019), precluding
796 comprehensive study of Arctic vegetation dynamics, or are coarse in spatial or temporal
797 resolution (e.g., gridded 1 km CAVM) (Raynolds et al., 2019), precluding accurate
798 characterization of the high level of spatial heterogeneity and temporal variability in Arctic
799 vegetation. Bartsch et al. (2016) suggested that a 30 m spatial grain, which is the proposed
800 spatial resolution for SBG, is sufficient for capturing many of the dynamics of Arctic land cover.
801 However, depending on whether species-level or functional type-level maps are being generated,
802 even higher spatial resolution (e.g., 3 m from Planet) may be insufficient to distinguish Arctic
803 vegetation except at broad thematic levels (e.g., trees vs. shrub vs. water). Therefore, the use and

804 further development of advanced subpixel mixture analysis will enable high accuracy vegetation
805 classifications with reasonable instrument spatial resolution and broad spatial coverage
806 (Thomson et al., 2021). Tapping the information content of higher spatial resolution data (e.g.,
807 Section 2) will be essential to preparing the algorithms and analysis pipelines to utilize a
808 spaceborne imaging spectrometer such as SBG that has a finer spectral resolution occurring at an
809 intermediate spatial resolution to map Arctic vegetation (Section 5).

810 Another key limitation to mapping vegetation in Arctic tundra is the lack of high-quality,
811 georeferenced training data. Existing observations are scattered across numerous countries, land
812 management agencies, and historical datasets. Disparate datasets often do not capture similar
813 levels of detail, and thus can be challenging to integrate. Land cover maps, and the algorithms
814 and data that go into producing them, are only as credible as the underlying training data.
815 Typically, land cover maps are trained on datasets of land cover type that are produced by visual
816 interpretation of very high spatial resolution imagery (e.g., using Google Earth), but the
817 availability of suitable (midsummer) imagery is extremely limited in the Arctic tundra (Section
818 1). Field data provide the most reliable source of georeferenced Arctic ground verification, but
819 they are inherently limited in scope and are spatially biased towards areas with a long history of
820 research (e.g., northern Alaska's Dalton Highway corridor). Airborne data (including UAS
821 observations) can bridge the scaling from field data to spatially extensive gridded datasets
822 (Assmann et al., 2020). This scaling will ultimately enable training of machine learning
823 algorithms to effectively map Arctic vegetation at continental scales.

824 Finally, the unique seasonal characteristics of the Arctic impose additional challenges on
825 mapping tundra vegetation at scale. Phenological differences can help to separate co-occurring
826 and spectrally similar plant functional types (Macander et al., 2017), but the phenology itself is

827 highly variable through space and time since it is sensitive to moisture status and interannual
828 variability in meteorologic conditions (Sections 4.4 and 4.5). Land cover mapping algorithms
829 may misinterpret spectral changes caused by interannual variation as real land cover change. The
830 brief snow-free season in the Arctic tundra may inhibit sufficient characterization of phenology-
831 driven spectral changes, which further reduces our ability to identify spurious change detection.
832 A sufficiently large and representative training dataset, as described above, will help prevent
833 vegetation mapping algorithms from misclassifying changes in moisture status and phenology
834 with changes in land cover in the Arctic Tundra.

835 **4.3. Retrieval of Biophysical Properties and Plant Traits**

836 The strong connection between IS and the biophysical properties of plant leaves and canopies
837 makes it possible to retrieve a host of important vegetation properties with spectroscopy (Serbin
838 & Townsend, 2020). Particularly, the mapping of plant functional traits, i.e., the morphological,
839 biochemical, phenological, and physiological attributes of leaves and canopies (Violle et al.,
840 2007), has been a priority and key focal area of study (Asner et al., 2015; Asner & Martin, 2008;
841 Cawse-Nicholson et al., 2021; Singh et al., 2015; Z. Wang et al., 2019, 2020) . These functional
842 traits, which are closely related to vegetation establishment, growth, and functioning, are key to
843 understanding vegetation responses to climate change, as well as process modeling of terrestrial
844 ecosystems (Gamon et al., 2019; Myers-Smith et al., 2019; Xu & Trugman, 2021; Zakharova et
845 al., 2019). For example, traits that describe leaf photosynthetic capacity (e.g., foliar pigments,
846 nitrogen, and V_{cmax}), biogeochemistry (e.g., ligno-cellulose, carbon, and macronutrients), and
847 water cycling (e.g., stomatal conductance) are important to characterize ecosystem carbon, water,
848 and energy cycling and response to climate change (Chapin, 2003; Myers-Smith et al., 2019;
849 Ollinger & Smith, 2005; Rogers et al., 2017; Tang et al., 2018; Woodward & Diament, 1991) .

850 Similarly, traits related to vegetation structure, such as leaf area and canopy height, are important
851 for determining ecosystem energy partitioning (e.g., through surface albedo and temperature), as
852 well as surface-atmosphere interactions (Aalto et al., 2018) that feedback to the global climate
853 system (Zhang et al., 2018).

854 In the Arctic, plant functional traits vary remarkably within and across plant species and over
855 space and time, controlled by the complex, fine-scale gradients (0.1-10 m) in climate,
856 topography, water, and nutrients (Andresen & Loughheed, 2021; Bjorkman et al., 2018; Black et
857 al., 2021; Chen et al., 2020; Thomas et al., 2020). In particular, traits that confer differing
858 competitive advantages, such as those related to plant size and resource economics (e.g., leaf
859 area, seed mass, height, LMA, N, LDMC) (Thomas et al., 2020), are highly sensitive to changes
860 in micro-environments, making them difficult to characterize with traditional field surveys
861 (Metcalf et al., 2018; Schimel et al., 2015). In addition, the photosynthetic capacity (V_{cmax} and
862 J_{max}) and response to environmental conditions of Arctic plants are significantly different from
863 the current assumptions in the process models used to forecast Arctic change (Rogers et al.,
864 2017).

865 Non-vascular plants which dominate large areas of the Arctic, have very different
866 biochemical attributes and possess morphologies that are not yet easily measured (Sections 3.2-
867 3.3) (Holt & Nelson, 2021). Water content varies in non-vascular plants based almost entirely on
868 environmental conditions since they do not actively conduct water, which greatly influences their
869 spectral signatures (Figure 4). Variable water content in the non-vascular ground layer visible to
870 remote sensing instruments presents a primary challenge and significant opportunity to
871 understand ecosystem function. Methods using a combination of VNIR, SWIR, and MIR show
872 promise for addressing water content in non-vascular plants (Granlund et al., 2018; Neta et al.,

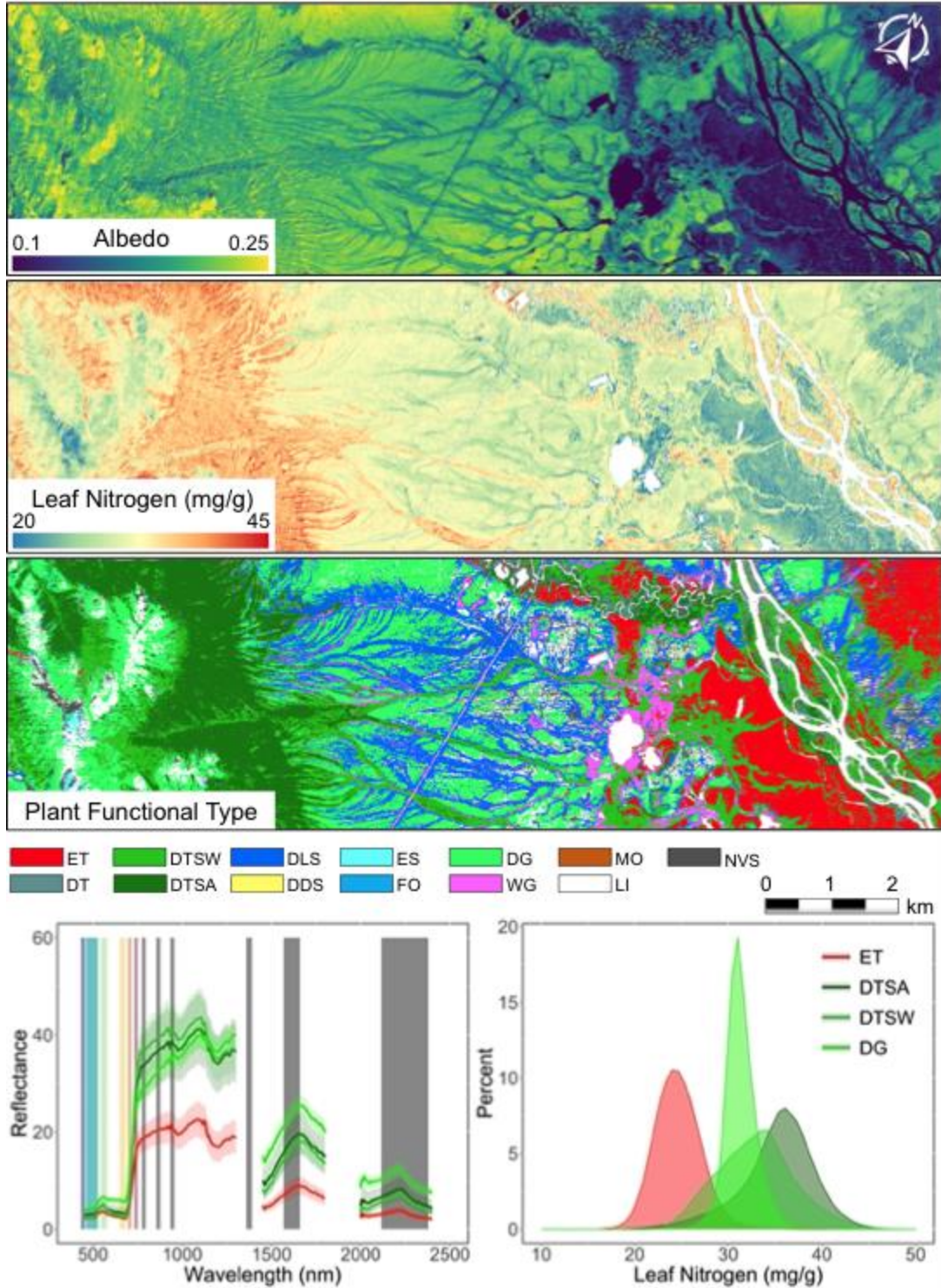
873 2010). Testing these estimations of water content at large spatial scales ($> 1 \text{ E4 km}^2$) remains a
874 challenge. Most traits in non-vascular plants exhibit different spectral responses from those of
875 vascular plants (Cornelissen et al., 2007), precluding direct use of existing trait retrieval
876 approaches developed for vascular plants. Recent work by Thomson et al. (2021) shows that
877 chemometric estimation in non-vascular plants using remote sensing is possible but there are
878 only a few species studied over a small area ($< 1000 \text{ km}^2$). Collectively these challenges have
879 created significant uncertainties in our understanding and modeling of Arctic ecosystems (Fisher
880 et al., 2018; Metcalfe et al., 2018; Myers-Smith et al., 2019). Developing algorithms to estimate
881 properties of non-vascular plants using spectra and remote sensing will enable more accurate
882 quantification of plant functional traits.

883 IS can provide a tool to spatially map a variety of plant functional traits across scales (e.g.,
884 from watershed to biome) which has been demonstrated in many other biomes (e.g., Asner &
885 Martin, 2008; Martin et al., 2008; Singh et al., 2015; Z. Wang et al., 2019, 2020). The launch of
886 SBG and other IS missions (e.g., EnMAP) will provide important data to further enable
887 spatiotemporal mapping of traits across the broader Arctic tundra biome (Cawse-Nicholson et al.,
888 2021). Simultaneously, spectral data from aircraft (e.g., Miller et al., 2019) and low-altitude,
889 near-surface platforms, including automated trams (Gamon et al., 2006; Goswami et al., 2011;
890 Healey et al., 2014), tower-mounted instruments (e.g., Drolet et al., 2014; Hilker et al., 2011),
891 and unoccupied aerial systems (Assmann et al., 2020; Cunliffe et al., 2021; Shiklomanov et al.,
892 2019; Yang et al., 2020), have increased in northern high latitudes. These diverse spectral
893 platforms are likely to revolutionize our means for collecting trait information, which could
894 usher a new paradigm in our understanding and modeling of Arctic vegetation dynamics. For
895 example, using traits derived at watershed and larger scales, the spatial variation in traits across

896 plant species, plant functional types (PFTs), communities, and even ecosystems can be easily
897 characterized (Figure 6). The drivers of spatial variation in plant traits can also be investigated in
898 combination with other core remote sensing datasets, such as topography, climate, soil
899 properties, and vegetation maps, which is a key to understanding plant responses to climate
900 change (Durán et al., 2019). In addition, as a critical uncertainty in process models (Rogers et al.,
901 2017), the spatial information on plant traits and biophysical properties inferred from IS could be
902 directly integrated with models to inform and improve predictions (Fer et al., 2018; Shiklomanov
903 et al., 2021), thereby reducing current predictive uncertainties (Dietze et al., 2014).

904 The high spatial heterogeneity in vegetation composition, structure, and abiotic environments
905 (Section 3) pose a challenge to retrieve plant traits using spectroscopy in the Arctic (Thomson et
906 al., 2021; Yang et al., 2021). Traditional radiative transfer model-based retrieval assumes the
907 underlying vegetation layer to be homogeneous (Jacquemoud et al., 2009), which is not met in
908 tundra landscapes. Empirical modeling that builds statistical relationships between field trait
909 observations and remote sensing spectra using machine learning or latent variable techniques is
910 a powerful alternative (Curran et al., 1997; Singh et al., 2015; Z. Wang et al., 2020; Wold et al.,
911 2001). However, to construct an empirical model, a plot-to-pixel connection is required. This
912 requirement can be easily met in forest or managed ecosystems where a single tree can occupy
913 one or multiple image pixels or a vegetation layer is homogenous across relatively large areas.
914 The Arctic poses challenges to plot-to-pixel connections given the high level of species mixing
915 in imagery pixels of > 5 m resolution, which, combined with the remote and meteorologically
916 harsh environment, restricts the collection of quantitative plot observations to develop trait
917 models.

918 Unoccupied Aircraft Systems (UAS) remote sensing collects spectral data at a very high
919 spatial resolution and has shown great promise to serve as an intermediate data source to connect
920 ground and high-altitude platforms (Thomson et al., 2021). In addition to the high spatial
921 heterogeneity, the common presence of non-vegetated surfaces (e.g., water, soil, rocks, and litter)
922 and their highly variable spectral characteristics (Section 3.5), present additional challenges to
923 the mapping of traits. Typically, non-vegetated surfaces can be excluded over the course of trait
924 model development and application in low-latitude ecosystems (e.g., Wang et al., 2019), but
925 non-vegetated surfaces are highly mixed with vegetation surfaces in the Arctic, which must be
926 accounted for in trait model development. Lastly, the short growing season and harsh
927 environment means that vegetation spectra and traits can change rapidly during the growing
928 season (Section 4.4). Therefore, trait models built from data collected at a certain time of year
929 may only be applicable to a narrow temporal window (e.g., < 1 month), as compared to low-
930 latitude ecosystems where vegetation growth peaks can persist for several months. SBG and
931 other time-series spectral platforms (e.g., PACE, CHIME, DESIS, EnMAP) hold great potential
932 to address this issue by facilitating the development of time-series models that capture seasonal
933 trait dynamics.



934

935 **Figure 6.** Example full shortwave (i.e., 350-2500 nm) albedo and leaf nitrogen map, spectral
 936 variation and trait distribution across main plant functional types or plant community types (Serbin
 937 et al., unpublished). Observations were collected from AVIRIS-NG at the Seward Peninsula, AK.
 938 The PFT spectra shown in the bottom-left panel are derived from AVIRIS-NG pixels that are at
 939 least 85% dominated by the (PFTs). Sentinel-2 bandpasses are indicated with colored vertical bars

940 to illustrate the advantage of imaging spectrometers with contiguous bands over multispectral
941 instruments. Evergreen tree (ET), deciduous tree (DT), deciduous tall shrub-alder (DTSA),
942 deciduous tall shrub-willow (DTSW), deciduous low shrub (DLS), deciduous dwarf shrub (DDS),
943 evergreen shrub (ES), forb (FO), dry graminoid (DG), wet graminoid (WG), moss (MO), and
944 lichen (LI).

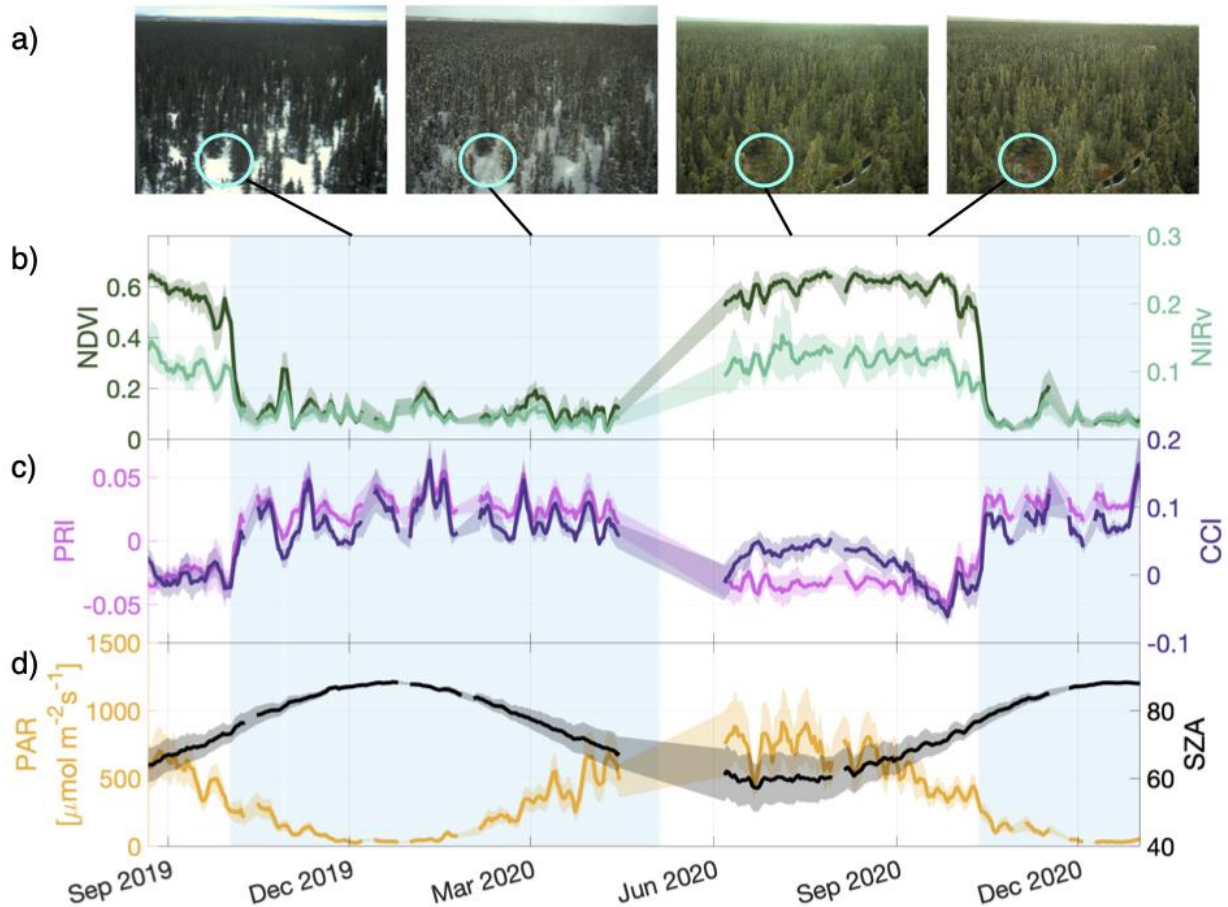
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946 **4.4. Phenology**

947 Phenological change in the tundra is characterized by rapid transition seasons with volatile
948 weather patterns. Snow cover over the winter months and along the transition seasons
949 complicates our ability to use remote sensing metrics to detect such phenological change.
950 Vegetation indices that track both chlorophyll content (e.g., NDVI, NIRv, and EVI) as well as
951 photosynthetic capacity (e.g., PRI and CCI) are all sensitive to the presence of snow cover and
952 emergent senescent vegetation (Gamon et al., 2013; Luus et al., 2017; Pierrat et al., 2021)
953 (Figure 7). Further, photosynthesis of Arctic tundra vegetation may occur under snow cover
954 (Parazoo et al., 2018; Starr & Oberbauer, 2003), thereby severely hindering the utility of
955 spectroscopy for even detecting primary productivity throughout the year. Reliance on these
956 measures without appropriate snow cover correction significantly inhibits their utility to
957 determine phenological change over winter and transition seasons. For many tundra species,
958 especially lichens, bryophytes, and evergreen shrubs and trees exhibiting limited intra-annual
959 biomass production, changes in structural indices such as NDVI, NIRv, and EVI may better
960 capture changes in snow on/off periods than actual changes in biomass (Figure 7) (Gamon et al.,
961 2013; Luus et al., 2017; Pierrat et al., 2021). Cold temperatures and the lack of liquid water can
962 force dormancy and limit photosynthesis, but if the vegetation remains green, changes in NDVI
963 may be nominal. Tundra species have been shown to acclimatize to winter conditions by
964 increasing the size of their pool of xanthophyll cycle pigments and by maintaining that pool

965 largely as antheraxanthin and zeaxanthin (Verhoeven, 2014), which manifests as an increase in
966 total carotenoid pigments, and can be captured by the CCI (Gamon et al., 2016; Wong et al.,
967 2020). In evergreen needleleaf trees, strong seasonal variation in photoprotective pigments can
968 be detected via PRI and CCI - attuned to variation in xanthophyll and bulk carotenoid pigments,
969 respectively (et al., 2016; Wong & Gamon, 2015b, 2015a). Strong linkages between sensitivity
970 of cessation of radial stem growth in TTE spruce trees to end-of-season meteorology is also
971 detectable by changes in PRI (Eitel et al., 2019, 2020). Similar investigations of PRI/CCI-growth
972 and photosynthesis relationships on (non-tree) tundra vegetation would help advance
973 understanding of Arctic tundra phenology. In addition, plant pigment composition serves as an
974 important indicator of the timing of autumn entry into this seasonally downregulated (*i.e.*,
975 dormant) state (Figure 7). Hence, phenological analysis of tundra vegetation requires integration
976 of multiple spectral metrics, preferably including narrowband measurements related to
977 photoprotective pigment variation, to isolate seasonal change in plant structural and functional

978 dynamics from confounding variation in snow cover.



979

980 **Figure 7.** a) Shows phenocam images from different points during the year with varying degrees
981 of snow cover on understory/tundra vegetation at NEON Delta Junction, AK. b)-c) Shows
982 commonly used vegetation indices (NDVI, NIRv, PRI, and CCI) measured from a tower-based
983 spectrometer system PhotoSpec (Grossmann et al., 2018) observing three understory tundra
984 targets at a 30-minute resolution. d) Shows daily average PAR and SZA. For b)-d), plotted is the
985 5-day moving mean of the measured quantity. Shaded error bars indicate the standard deviation
986 of diurnal variability. Shaded blue regions indicate the presence of snowfall on the understory as
987 determined visually from phenocam images.

988

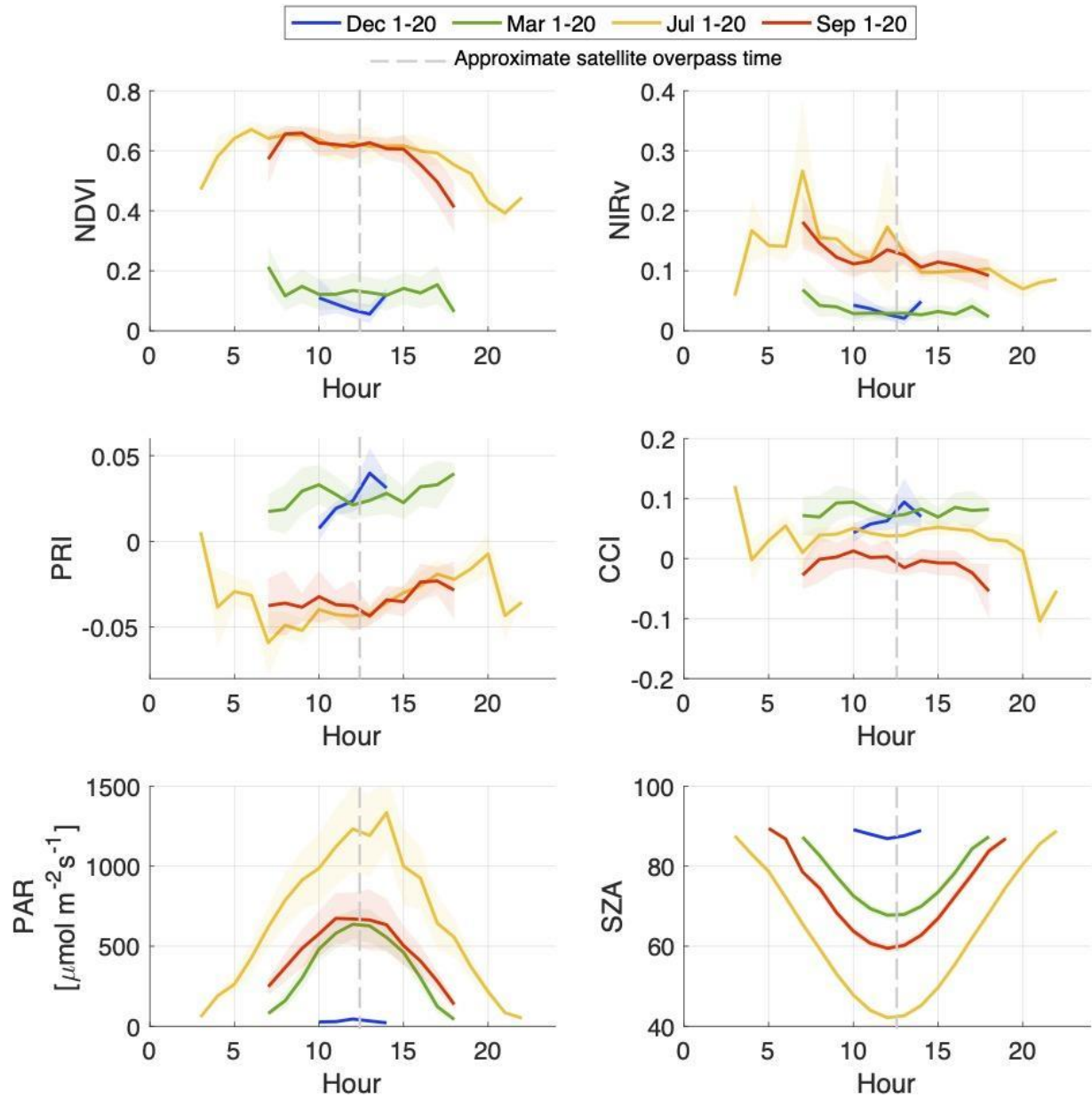
989 Many spaceborne instruments are temporally incompatible with the rapid phenological
990 progression of tundra within a compressed growing season. Historically, analyses of seasonal
991 change across the Arctic may leverage time series observations by the Landsat missions.

992 However, the 16-day revisit frequency precludes accurate detection of timing of important events
993 to quantify interannual variability in phenology. The similar temporal resolution (14-day revisit)
994 proposed for SBG may yield similar challenges for phenology applications. Furthermore, due to
995 the prevalence of cloud cover, infrequent observations reduce the opportunity for clear-sky
996 imaging resulting in seasonally sparse or irregular observations. Both these issues are made
997 apparent by tower-based observations (Figure 7), which enable continuous or high frequency
998 observations but lack the spatial coverage of spaceborne observations. Tower-based observations
999 in the boreal forest showed a 29 day difference in the timing of the springtime onset of
1000 photosynthesis between evergreen and deciduous tree species (Pierrat et al., 2021). Such
1001 temporal asynchrony - including among evergreen and deciduous tundra plants - may not be
1002 adequately captured by spatially and temporally coarse resolution spaceborne data. Thus, tower-
1003 based observations will play an integral role in understanding Arctic phenological change. Co-
1004 incident UAS observations can help bridge the spatiotemporal gap through repeated
1005 measurements at a lower temporal resolution than tower-based but at a much higher spatial
1006 range.

1007 **4.5. Diurnal variation**

1008 The primary intrinsic mechanisms driving diurnal changes in spectral reflectance are related
1009 to plant pigment composition, which regulate the efficiencies of photochemistry through dynamic
1010 changes in photoprotective pigment pools (xanthophylls, lutein) via sustained and rapidly
1011 reversible non-photochemical quenching (Adams et al., 2004), and hydration status for non-
1012 vascular vegetation. Dynamics among a cycling group of carotenoids, violaxanthin,
1013 antheraxanthin and zeaxanthin (V, A, and Z, respectively), known as the xanthophyll cycle, are
1014 especially informative in this regard (Demmig-Adams et al., 1996). During the photosynthetically

1015 active season, the state of the xanthophyll cycle responds to diurnal variation in incoming light via
1016 enzymatically regulated conversions between Z + A and V. These dynamics are often captured
1017 using spectral indices sensitive to changes at 531 nm (the photochemical reflectance index, PRI,
1018 (Gamon et al., 1992)). However, most other vegetation spectral changes are not associated with
1019 diurnal physiological dynamics; hence, these spectral indices (i.e., NDVI, NIR_v, and CCI) can
1020 remain relatively invariant (Figure 8) with the exception of changes in moisture status for non-
1021 vascular vegetation (Figure 4). Most spectral changes in the VIS-SWIR range throughout the
1022 course of the day are associated with changes in viewing-illumination geometries, as illustrated in
1023 subplots of NDVI, NIR_v, CCI in Figure 8.



1024

1025 **Figure 8.** Diurnal cycles of commonly used vegetation indices (NDVI, NIRv, PRI, CCI), PAR,
 1026 and SZA collected from PhotoSpec at NEON Delta Junction, AK for three vegetated understory
 1027 targets. Diurnal cycles are the average cycle over the 20 day period indicated and shaded error
 1028 bars are the standard deviation of measured quantities over the 20 day period.

1029

1030 The interaction of orbital mechanics with diurnal and seasonal variation in vegetation indices

1031 results in possible bias due to overpass timing of spaceborne instruments (Xiao et al., 2021). As

1032 shown in [Figure 8](#), some indices are diurnally invariant (e.g., NDVI, NIRv, and CCI) whereas
1033 PRI is not. Consistent observation in the morning versus afternoon may result in discrepancies in
1034 comparing observations from multiple instruments and platforms. Relying exclusively on
1035 observations from either morning or afternoon may obscure important diurnal processes at work
1036 that govern plant productivity (see discussion of xanthophyll cycle dynamics, above). On a
1037 seasonal basis, the extended diurnal photoperiod experienced by high latitudes provides an
1038 opportunity for higher frequency observation (i.e., multiple per day) of vegetation spectra by
1039 spaceborne instruments during the peak season; however, this potential advantage of deploying
1040 sensors that can collect multiple daily observations rapidly diminishes in the shoulder seasons
1041 and winter when photoperiod is abbreviated or non-existent (i.e., polar winter, [Figure 8](#)).
1042 Therefore, interpreting time series vegetation indices from Arctic tundra requires careful
1043 accounting for overpass timing, photoperiod, and all associated responses to diurnal physiology
1044 and viewing/ illumination geometry.

1045

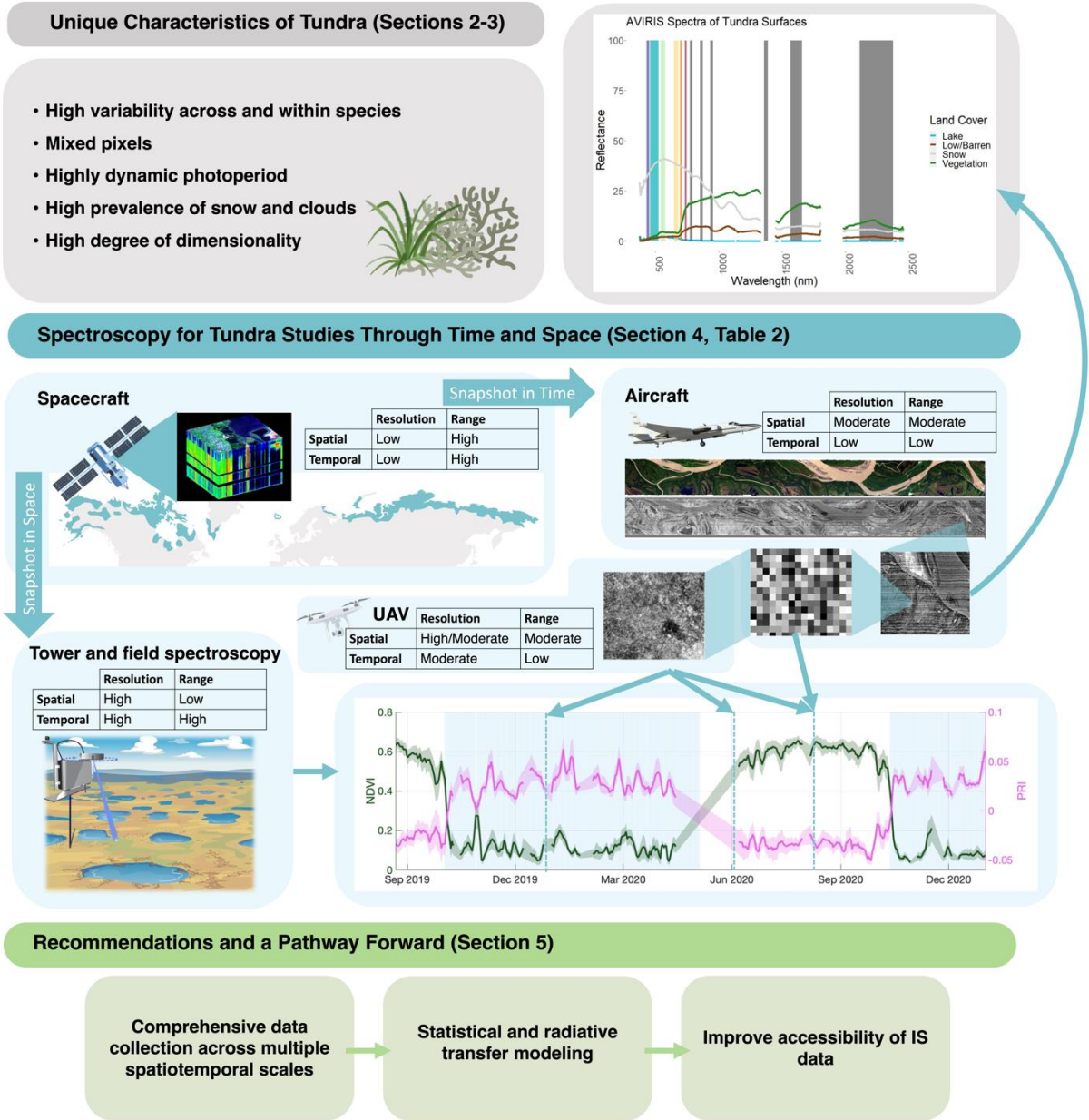
1046 **5. Recommendations**

1047 In ecosystems characterized by low accessibility and challenging terrain, including the Arctic
1048 tundra, remote sensing observations provide the only practical approach for observing,
1049 monitoring, and quantifying changes in vegetation structure, function, and distribution. However,
1050 to make the best use of these data and provide useful information for ecological research and
1051 specifically process modeling requires converting the remotely sensed observations (e.g., surface
1052 radiance or reflectance) to derived biophysical or functional quantities of interest (e.g., leaf area
1053 index, leaf functional traits). A range of approaches have been used to convert spectroscopic

1054 measurements to plant properties (Cawse-Nicholson et al., 2021; Gamon et al., 2019; Serbin &
1055 Townsend, 2020). However, the distinctive characteristics of the Arctic as described above
1056 requires different approaches that incorporate effective scaling to allow for mapping Arctic
1057 vegetation composition and function; developing these approaches remains a critical need and
1058 challenge.

1059 To address this challenge, we recommend that a multi-scale approach ([Table 2](#)), including a
1060 mix of observations from laboratory, field, and novel platform studies (e.g., UAS, tower-
1061 mounted, sensor network including SpecNet) is used in coordination with satellite overpasses
1062 when possible. These observations must then be assessed cohesively, together with appropriate
1063 statistical and radiative transfer modeling ([Figure 9](#), [Table 2](#)). Leaf-scale and controlled
1064 laboratory studies are often used to identify fundamental, underlying drivers of variation in leaf
1065 optical properties to aid in the development of algorithms for estimating leaf functional traits or
1066 evaluating leaf stress (e.g., Féret et al., 2011; Gamon et al., 1997; Hunt & Rock, 1989). However,
1067 such work has historically been limited in the Arctic in comparison with other ecosystems,
1068 suggesting that considerable uncertainty will remain through efforts to tie spectral observations
1069 to vegetation function. To efficiently address this issue, future spectroscopy campaigns should
1070 engage with laboratory and field studies to determine where multi-scale observations could be
1071 established.

1072



1073

1074 **Figure 9.** Framework for advancing understanding of Arctic tundra ecosystem properties and
 1075 dynamics through spectroscopy.

1076

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1078

1079 **Table 2.** Example recommended spectroscopic observations across spatial scales that, coupled
 1080 with spaceborne missions like SBG, would improve understanding of Arctic tundra ecology.

1081

Measurement type	Spatial scale	Temporal Scale	Purpose	Methods	Example Citations
Laboratory or leaf-level	<< 1 m	Snapshot	Variation in leaf-level optical properties; spectral response to stress (e.g., drydown); development of leaf-scale functional trait models	Collection of leaf and canopy spectra in controlled, manipulation environments and in situ; leaf spectroscopy; collection of leaf endmember spectra	(Hunt & Rock, 1989; Serbin et al., 2019; Stasinski et al., 2021)
Field spectroscopy	<1 m - 10 m Canopy	Snapshot	Variation in spectral profiles by species at different scales; developing scaling approaches	Near-surface, non-contact measurement of plant canopy reflectance	(Davidson et al., 2016; Karl Fred Huemmrich et al., 2013)
Tower or automated tram	100s of m Canopy - Landscape	Continuous (hourly) over seasons	Diurnal and seasonal variation; variation between species; developing scaling approaches	Repeated manual or automated measurement of plant canopy reflectance	(Hilker et al., 2011; Pierrat et al., 2021)
UAS	0.2 - 1 m Landscape	Snapshots	Fine-scale spatial information at landscape to watershed scales; scaling; seasonal variation	Collection of surface reflectance and other composition and structural information from unoccupied platforms	(Thomson et al., 2021; Yang et al., 2021)
Piloted aircraft	0.5 - 5 m Landscape	Snapshots every	Regional mapping and	Imaging spectroscopy	(Maguire et al., 2021;

	e - regional	few years	intermediate scale of observation		Singh et al., 2015)
Spacecraft	10 m - 8 km Regional - continental	Repeating daily - weekly	Regional to continental-scale mapping, monitoring of coarse spatial resolution phenological change	Imaging spectroscopy, change detection and time series analysis	(Puletti et al., 2016; J. A. Wang et al., 2020)

1082

1083 Given the strong seasonality of Arctic vegetation (see Section 4.4), additional consideration
1084 of the timescale of measurement and underlying phenomena are critical to mapping efforts.
1085 Coupled observations across spatial scales that can be conducted with high observation
1086 frequency across seasons will help resolve this challenge (Table 2). Further, both seasonal and
1087 interannual evaluations of change in the Arctic tundra must consider the constraints of winter in
1088 terms of both sampling design and physiological effects. The rapid seasonal progression (as
1089 discussed in Section 4.4) imposes tremendous challenges for benchmarking the onset of seasonal
1090 photosynthetic activity and tissue growth, quantifying sensitivity to shoulder season stress, and
1091 detecting legacy effects on productivity in subsequent seasons. In particular, the strong
1092 seasonality of photoprotective pigments in evergreens (see Section 3.4 and 4.4), which
1093 complicate interpretation of spectral reflectance, requires further research across the Arctic
1094 tundra domain to improve parameterization of models of primary production. Additionally,
1095 deciduous shrub species exhibit strong autumn leaf coloration with photoprotective pigments
1096 present (and chlorophyll content declining) during leaf senescence that may facilitate remotely
1097 sensed quantification of species cover values. For example the red autumn leaves of birch
1098 continue to actively photosynthesize even though chlorophyll pigments may be less evident by

1099 traditional greenness-based remote sensing (Patankar et al., 2013). Spectroscopy is well suited to
1100 address these challenges and could likely help disentangle the timing of vegetation responses
1101 among plant functional types.

1102 The use of optical remote sensing information over large regions (i.e., across continents) and
1103 through time (i.e., multiple decades) has increased considerably in recent years (Ustin &
1104 Middleton, 2021). This includes IS data in the Arctic (e.g., (Langford et al., 2019)), given the
1105 increased availability of these datasets (Miller et al., 2019). However, new approaches for access,
1106 use, and analysis of large IS datasets will be needed given the growing volume of remote sensing
1107 observations across scales. For example, fusing high volume data from novel UAS and ground-
1108 based platforms and expanded use of datasets across scenes and locations will greatly increase
1109 the overall volume of data for any given project. Seasonal weather conditions and sun-sensor
1110 geometry changes in the Arctic mean that a considerable fraction of data may have variable data
1111 quality over scenes or across scenes in a study area. Similarly, current methods for retrieval of IS
1112 data require manual search, collection and combining of data across different locations by end-
1113 users. To ease and expand use of IS data for Arctic researchers, it is recommended that data
1114 systems provide analysis-ready (e.g., geo-rectified and consistent atmospheric correction) and
1115 cloud-optimized data storage formats (e.g., cloud-optimized GeoTIFF). In addition, files should
1116 be accessible on storage buckets (i.e., basic container that stores bulk data, usually used for
1117 organizing combinations of similar datasets, e.g., S3 or Google cloud bucket) through cloud-
1118 based tools to facilitate rapid search, filter, and extraction of data across specific locations,
1119 regions and scenes. Similarly, it is recommended that cloud-based tools facilitate basic analyses,
1120 data transformation, subsetting, and application of mapping algorithms without downloading
1121 large volumes of IS datasets but instead the final derived products or results of the cloud pre-

1122 processing. For example, this could be facilitated through the use of a cloud storage location
1123 within Google Earth Engine (GEE) or GEE within the Python or R environments. By moving IS
1124 data access to the cloud would also facilitate easy combination with other remote sensing data or
1125 even multiscale observations, including UAS data. This would also reduce the data latency from
1126 collection to community use and allow more users to facilitate discovery of novel and important
1127 patterns in phenomena in the Arctic biome.

1128 We described important attributes of tundra ecosystems that impose challenges for
1129 conducting spectroscopy, including plant functional type and pixel-composition characteristics,
1130 intrinsic dimensionality, and capacity for land cover classification, change detection, time series
1131 observations, and characterizing biophysical properties. Future spectroscopy missions such as
1132 SBG would be well-advised to consider the challenges of complex biomes such as the Arctic
1133 tundra during mission development and especially for data product generation. To address these
1134 challenges, an optimized mixture of narrow and broad bands should be considered for SBG to
1135 accurately characterize Arctic vegetation.

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1161 **Data Availability Statements**

1162 Orthorectified radiances in Figure 2 from AVIRIS-NG's ABoVE campaign acquisitions are
1163 available with documentation from the ORNL DAAC
1164 (<https://doi.org/10.3334/ORNLDAAC/1569>). They were analyzed with the ISOFIT atmospheric
1165 correction codebase (<https://github.com/isofit/isofit>). This software is also available via the DOI

1166 <https://doi.org/10.5281/zenodo.4614338>). Data for Figure 2 are cited in the text with the
1167 references below:

1168

1169 AVIRIS-NG data citation:

1170 Miller, C.E., R.O. Green, D.R. Thompson, A.K. Thorpe, M. Eastwood, I.B. Mccubbin, W.

1171 Olson-duvall, M. Bernas, C.M. Sarture, S. Nolte, L.M. Rios, M.A. Hernandez, B.D. Bue, and

1172 S.R. Lundeen. 2019. ABoVE: Hyperspectral Imagery from AVIRIS-NG, Alaskan and Canadian

1173 Arctic, 2017-2019. ORNL DAAC, Oak Ridge, Tennessee, USA.

1174 <https://doi.org/10.3334/ORNLDAAC/1569>

1175

1176 ISOFIT citation:

1177 Thompson, David R., Vijay Natraj, Robert O. Green, Mark C. Helmlinger, Bo-Cai Gao, and

1178 Michael L. Eastwood. "Optimal estimation for imaging spectrometer atmospheric correction."

1179 Remote Sensing of Environment 216 (2018): 355-373. <https://doi.org/10.1016/j.rse.2018.07.003>

1180

1181 Reflectance spectra for Figure 3 are available on Github (<https://github.com/nelsopet/lecospec>)

1182 and are archived at the ORNL DAAC (<https://doi.org/10.3334/ORNLDAAC/1980>). These data

1183 will also be made available on EcoSIS (<https://ecosis.org/>).

1184

1185 Reflectance spectra and hydration data for bryophytes in Figure 4 will be archived at the Arctic

1186 Data Center by NCEAS repository (<https://www.nceas.ucsb.edu/arctic-data-center>)

1187

1188 Data for Figure 5 came from Arctic Vegetation Plots in Flux Tower Footprints, North Slope,
1189 Alaska, 2014. This dataset provides spectral, carbon flux, vegetation, environmental, and soil
1190 data collected from plots located in the footprints of eddy covariance flux towers along a 300 km
1191 north-south latitudinal gradient from Barrow, to Atkasuk, and to Ivotuk across the North Slope of
1192 Alaska in 2014. Within each of the five flux tower footprints, 1x1-m quadrats were placed
1193 subjectively within widespread habitat or micro-habitat types to map the dominant vegetation
1194 communities and site environmental factors. Specific attributes included species cover data and
1195 environmental, soil and spectral data (active layer thaw depth, moss layer depth, organic horizon
1196 layer depth, standing water depth, soil moisture status, vegetation height, LAI).

1197

1198 Data for Figure 5 are available at NASA's Earth Observing System Data and Information System
1199 (EOSDIS) (Registration required) <https://doi.org/10.3334/ORNLDAAC/1546> with additional
1200 information at https://daac.ornl.gov/ABOVE/guides/Flux_Tower_Zona_Veg_Plots.html. This
1201 dataset is openly shared, without restriction, in accordance with the EOSDIS Data Use Policy

1202

1203 Data for Figure 5 are cited in the text using the references below:

1204 Davidson, S.J., and D. Zona. 2018. Arctic Vegetation Plots in Flux Tower Footprints, North
1205 Slope, Alaska, 2014. ORNL DAAC, Oak Ridge, Tennessee, USA.

1206 <https://doi.org/10.3334/ORNLDAAC/1546>

1207

1208 Davidson, S.J., Santos, M.J., Sloan, V.L., Watts, J.D., Phoenix, G.K., Oechel, W.C. and Zona, D.

1209 (2016) Mapping Arctic Tundra Vegetation Communities Using Field Spectroscopy and

1210 Multispectral Satellite Data in North Alaska, U.S.A., *Remote Sensing*, 8(12), 978;

1211 <https://doi.org/10.3390/rs8120978>

1212

1213 Data presented in Figure 6 is available at the NGEE-Arctic data portal

1214 <https://doi.org/10.5440/1838174>

1215

1216 Data for Figure 6 are cited in the text using the references below:

1217 Serbin, S. and Yang, D. 2022. Maps of Arctic vegetation leaf nitrogen concentration, albedo and

1218 plant functional type (PFT) derived from imaging spectroscopy data, Council watershed, Seward

1219 Peninsula, Alaska, 2019. Next Generation Ecosystem Experiments Arctic Data Collection, Oak

1220 Ridge National Laboratory, U.S. Department of Energy, Oak Ridge, Tennessee, USA. Dataset

1221 accessed on 6 January, 2022 at <https://doi.org/10.5440/1838174>.

1222

1223

1224 Data archiving is underway for data presented in Figures 7 and 8 and will be made available at

1225 <https://zenodo.org/>. Data were collected and retrieved using PhotoSpec (Grossmann et al., 2018)

1226 installed at Delta Junction Alaska as part of NASA ABoVE project 80NSSC19M0129.

1227

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