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# Time-resolved characterization of the mechanisms of toxicity induced by silica and amino-modified polystyrene on alveolar-like macrophages

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## Abstract

Macrophages play a major role in the removal of foreign materials, including nano-sized materials, such as nanomedicines and other nanoparticles, which they accumulate very efficiently. Because of this, it is recognized that for a safe development of nanotechnologies and nanomedicine, it is essential to investigate potential effects induced by nano-sized materials on macrophages. To this aim, in this work, a recently established model of primary murine alveolar-like macrophages was used to investigate macrophage responses to two well-known nanoparticle models: 50 nm amino-modified polystyrene, known to induce cell death via lysosomal damage and apoptosis in different cell types, and 50 nm silica nanoparticles, which are generally considered non-toxic. Then, a time-resolved study was performed to characterize in detail the response of the macrophages following exposure to the two nanoparticles. As expected, exposure to the amino-modified polystyrene led to cell death, but surprisingly no lysosomal swelling or apoptosis were detected. On the contrary, a peculiar mitochondrial membrane hyperpolarization was observed, accompanied by endoplasmic reticulum stress (ER stress), increased cellular reactive oxygen species (ROS) and changes of metabolic activity, ultimately leading to cell death. Strong toxic responses were observed also after exposure to silica, which included mitochondrial ROS production, mitochondrial depolarization and cell death by apoptosis. Overall, these results showed that exposure to the two nanoparticles led to a very different series of intracellular events, suggesting that the macrophages responded differently to the two nanoparticle models. Similar time-resolved studies are required to characterize the response of macrophages to nanoparticles, as a key parameter in nanosafety assessment.

**Keywords** Nanoparticles · Nanosafety · Macrophages · Cytotoxicity · Cell death mechanisms

## Introduction

Owing to their unique size-related properties, nanoparticles have been proposed as new tools in nanotechnology, including biotechnology and nanomedicine applications (Ferrari 2005; Buzea et al. 2007; Mamo et al. 2010; Blanco et al. 2011; Lobatto et al. 2011; Lam et al. 2018). To ensure a safe development and application of nanotechnologies, their

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potential effects on cells and organisms need to be evaluated carefully prior to their application (De Jong and Borm 2008; Bouwmeester et al. 2009; Xia et al. 2009; Oberdorster 2010; Rivera Gil et al. 2010; Klein et al. 2011, 2013; Deville et al. 2016).

Within this context, it is recognized that the analysis of cellular responses of macrophages to nanoparticles is a critical parameter for nanosafety assessment (Dobrovolskaia et al. 2008; Lanone et al. 2009; Gustafson et al. 2015; Wiemann et al. 2016; Bhattacharya et al. 2017; Boraschi et al. 2017). Macrophages are, in fact, in the first line of defense against pathogens, including environmental pollution (Figueiredo Borgognoni et al. 2018). They play a major role in the removal of foreign materials by phagocytosis and by mediating inflammatory responses. In this process, macrophages can engulf particulate matter including nanoparticles from the extracellular space very efficiently (Walkey et al. 2012). Indeed, *in vivo* distribution studies showed that after exposure to nanoparticles, regardless of the exposure route, within the tissues where nanoparticles distribute, macrophages are the cells which usually show the highest nanoparticle accumulation (Pouliquen et al. 1991; Briley-Saebo et al. 2004; Geiser et al. 2008). Similarly in nanomedicine, following intravenous administration, most nanoformulations are known to be rapidly sequestered by macrophages (Owens and Peppas 2006; Walkey et al. 2012), a factor limiting the efficiency of nanoparticle delivery in targeted applications (Wilhelm et al. 2016; Tavares et al. 2017). Additionally, tissue-specific macrophages can respond differently to pathogens and pollution (Gordon et al. 2014; Fejer et al. 2015). Thus, nanoparticles may elicit different toxic responses on the specific resident macrophages within the organs in which they distribute.

Selecting cell models reflecting appropriately the heterogeneity of tissue-specific macrophages is particularly challenging since macrophage models for *in vitro* studies are affected by several limits (Chanput et al. 2014; Andreu et al. 2017). Macrophages in fact can be best investigated using primary cells. This is because in many cases the genetic background of transformed macrophage cell lines is not well defined, and transformed lines may not reflect well the phenotype of the original macrophage type (Pan et al. 2009; Chanput et al. 2014; Andreu et al. 2017). Nevertheless, given the limited availability of primary macrophages, to have access to sufficient quantities of cells, *in vitro* studies often use immortalized macrophage cell lines [a classic example being differentiated human THP-1 monocytes (Lunov et al. 2011)], even if they are not always optimal for this purpose.

In the context of nanoparticle-induced toxicity, the lung and its resident phagocytes, the alveolar macrophages, are undoubtedly a relevant model, as the lung constitutes one of the major potential routes of exposure (Schlinkert et al. 2015; Wohlleben et al. 2016; Frijns et al. 2017). Recently,

murine Max Planck Institute (MPI) cells have been introduced as novel GM-CSF-dependent, continuously growing, non-transformed macrophages, derived from fetal liver. It has been shown that these cells faithfully reproduce the unique responses of alveolar macrophages, thus they can be used as a rather unique cell model for this type of macrophages (Fejer et al. 2013; Maler et al. 2017; Stichling et al. 2018). Furthermore, unlike to scarcely available alveolar macrophages harvested from bronchoalveolar lavage, this robust system provides unrestricted amounts of primary macrophages for detailed biochemical analysis. Given these unique features, we selected MPI cells to characterize in detail the response of alveolar-like macrophages to two well-characterized nanoparticle types, for which extensive information on the effect on other cell models is already available in literature (Bexiga et al. 2011; Shapero et al. 2011; Lesniak et al. 2012; Wang et al. 2013a, 2018; Ye et al. 2017).

One of the selected nanoparticles were 50 nm amino-modified polystyrene nanoparticles (NH<sub>2</sub>-PS), a well-known model to characterize the toxicity induced by (some) positively charged materials (Lv et al. 2006; Aillon et al. 2009; Bexiga et al. 2011; Wang et al. 2013a). While it is known that bare positive charges can cause direct damage to the cell membrane (Ruenraroengsak et al. 2012), once exposed to cells in a more realistic biological environment, NH<sub>2</sub>-PS nanoparticles—as most nanomaterials—are covered by proteins and biomolecules. The resulting corona–nanoparticle complexes, usually close to neutrality or slightly negative due to the adsorbed proteins, are then taken up by the cell, where they accumulate in the lysosomes (Bexiga et al. 2011; Wang et al. 2013a, b). Here, the degradation of the original corona protein layer around the nanoparticles can be accompanied by the swelling of the lysosomes and lysosomal rupture, resulting in the release of the lysosomal content into the cytosol and the initiation of apoptosis (Wang et al. 2013a, b). As a second nanoparticle model, 50 nm plain silica nanoparticles (SiO<sub>2</sub>) were selected. These are generally well tolerated by cells (Shapero et al. 2011; Lesniak et al. 2012; Ye et al. 2017) and are often considered biocompatible, although some studies suggest that also these nanoparticles can interfere with the physiological cellular behavior (Mohamed et al. 2011) and others indicate that they can elicit inflammatory responses in phagocytes (Park et al. 2011; Kusaka et al. 2014).

A series of assays to measure cellular metabolism, lysosomal alterations, ROS production, mitochondrial processes and apoptosis were combined to determine nanoparticle impact on the alveolar-like macrophages. Thus, a detailed time-resolved analysis on the different end-points selected was performed. The time-resolved approach allowed us to determine the sequence of cellular events following nanoparticle exposure and accumulation in the MPI macrophages

and in this way to characterize their responses to the two nanoparticle models.

## Materials and methods

### Cell culture

Max Planck Institute (MPI) cells were cultured as previously described in a complete cell culture medium consisting of RPMI 1640 medium (Gibco) supplemented with 10% heat-inactivated fetal bovine serum (FBS, Gibco), 10 µg/ml gentamycin (Gibco) and 20 ng/ml GM-CSF (Peprotech, Bio-Connect, Huissen, Netherlands) (Fejer et al. 2013). For routine sub-culturing, floating and adherent cells harvested with 1.5 mM EDTA (Merck Millipore, Darmstadt, Germany) in phosphate buffer saline (PBS, Gibco, Grant Island, USA) were combined, centrifuged, and resuspended in complete cell culture medium. Cells were seeded at a density of 20,000 cells/cm<sup>2</sup> and subcultured weekly. Cell culture medium was refreshed after 5 days. All experiments were performed with cells between passage 6 and passage 12.

### Nanoparticle dispersion preparation, characterization and exposure to cells

MPI cells were exposed to different doses of unlabeled 50 nm plain silica nanoparticles (SiO<sub>2</sub> nanoparticles, Kisker Biotech, Steinfurt, Germany) and 50 nm amino-modified polystyrene nanoparticles (NH<sub>2</sub>-PS nanoparticles, Bang Laboratories, Fishers, USA). Nanoparticle dispersions were prepared by diluting the concentrated stock dispersions in complete cell culture medium immediately prior to exposure to the cells. Dispersions were characterized by means of dynamic light scattering and zeta potential determination using a Malvern Zeta Sizer ZS (ZEN 3600, Malvern Instruments, Malvern, UK). Briefly, nanoparticles were diluted to 100 µg/ml in water, PBS and complete cell culture medium and measured at 20 °C immediately after dispersion or after 24 h of incubation at 37 °C and 5% CO<sub>2</sub>. Each sample was measured for a total of three measurements which contained each ten runs of 10 s. For each condition, three independent replicate dispersions were prepared and measured. The results are the mean and standard error of the mean (± SEM) of the results obtained from three independent dispersions. Cells were exposed to the nanoparticles by replacing the medium with the freshly prepared nanoparticle dispersions in complete cell culture medium.

### Flow cytometry assays on cellular functions

250,000 MPI cells were seeded in a 12-well plate and 48 h after seeding were exposed to different doses of SiO<sub>2</sub>

nanoparticles and NH<sub>2</sub>-PS nanoparticles in complete cell culture medium for the indicated times. Thereafter, floating and adhering cells harvested with 1.5 mM EDTA in PBS were combined and stained with various fluorescent markers. After staining, cells were washed two times by centrifugation and resuspended in PBS and cell fluorescence intensity was measured immediately using a CytoFLEX flow cytometer (Beckman Coulter, Brea, USA). LysoTracker Red, H<sub>2</sub>DCFDA, MitoSOX Red, BODIPY 581/591 Ceramide-11, TMRE, rhodamine-2 AM, Pacific Blue Annexin V/SYTOX AADvanced were used according to the manufacturer's guidelines (see Supporting Information for detailed information). For all assays, gates in the forward and side scattering double scatter plots were set to exclude cell debris. Cell doublets were excluded in the FSC-A versus FSC-H double scatter plots. A minimum of 20,000 cells were measured (unless specified). Then, the mean of the obtained fluorescence intensity distributions was calculated and the results were normalized by the mean fluorescence intensity of untreated cells. Every experiment was repeated at least two times to confirm the outcomes. The results of individual technical replicates of a representative experiment are shown together with their average.

### Measurement of cell viability and caspase 3/7 activity

To assess the viability and caspase 3/7 activation, 25,000 MPI cells were seeded in a 96-well plate and 48 h after seeding were exposed as described above to different doses of SiO<sub>2</sub> and NH<sub>2</sub>-PS nanoparticles in complete cell culture medium for the indicated times (100 µl). After the desired exposure time, the medium containing the nanoparticle dispersion was removed and the metabolic activity and caspase activity were measured with, respectively, a MTT (3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide) assay and a Caspase 3/7-Glo kit (Promega, Madison, USA). Detailed protocols are found in the Supporting Information.

### Quantitative real-time PCR (qPCR)

For qPCR, 500 000 MPI cells were seeded in a 6-well plate and 48 h after seeding, were exposed to different doses of nanoparticles as described above. Then, total RNA was extracted using Trizol RNA extraction (TRI Reagent Solution, Applied Biosystems, Landsmeer, Netherlands) and cDNA was synthesized from 1000 ng RNA using the Reverse Transcriptase System (Promega). qPCR was performed using SYBR Green (Roche Diagnostics, Almere, Netherlands) for CHOP and Beclin-1. Details are found in the Supporting Information.

## Results

### Nanoparticle characterization

Prior to exposure to cells, the selected NH<sub>2</sub>-PS and SiO<sub>2</sub> nanoparticles (nominal diameter of 50 nm) were characterized using dynamic light scattering and zeta potential measurements to determine their size distribution, stability and surface characteristics in water, PBS and under exposure conditions (Table 1). Overall the results confirmed that exposure to cell culture medium with serum led to biomolecule adsorption and corona formation, as reported for the same nanoparticles in similar studies (Kim et al. 2011; Shapero et al. 2011; Wang et al. 2013a, b, 2018). Importantly, characterization of the dispersions after 24 h incubation in the conditions used for cellular studies confirmed that the nanoparticle dispersions remained stable over the duration of the experiments with cells.

### Apoptosis and necrosis after exposure to nanoparticles

As a first step, to investigate how MPI macrophages responded to NH<sub>2</sub>-PS and SiO<sub>2</sub> nanoparticles, an Annexin V/SYTOX double staining was used to determine whether cell death was present and eventually to discriminate apoptotic

and necrotic cells. Annexin V staining allows to monitor phosphatidylserine appearance on the cell membrane, which is an early sign of apoptosis. SYTOX staining is used to detect an eventual increase in cell membrane permeability, as an indication of cell death (Fig. 1a, b, Supplementary Figure S1). In addition, activation of effector caspases 3/7 upon nanoparticle exposure (Fig. 1c) was also measured. Interestingly, Annexin V/SYTOX staining of cells exposed to NH<sub>2</sub>-PS nanoparticles for 24 h showed an increasing number of necrotic cells at increasing doses. Though SYTOX staining does not allow to discriminate primary and secondary necrosis, the absence of clear Annexin V staining at 24 h (also visible in the double scatter plots shown in Supplementary Figure S1) suggested that, as opposed to what is usually observed with these nanoparticles in other cell models (Bexiga et al. 2011; Wang et al. 2013a, b), in MPI macrophages exposure to NH<sub>2</sub>-PS nanoparticles led to cell death, but not via apoptosis. This is in agreement with previous observations of necrotic cell death induced by the same nanoparticles in RAW 364.7 macrophages (Hansjosten et al. 2018). On the contrary, SiO<sub>2</sub> nanoparticles displayed a clear apoptotic signature, with both an elevation of the percentage of apoptotic cells and increases in caspase 3/7 activity. Similar results were obtained—again—in RAW 364.7 macrophages (Wilhelmi et al. 2012).

As an additional control, fluorescently labelled variants of similar nanoparticles were used to confirm nanoparticle uptake

**Table 1** Nanoparticle characterization by dynamic light scattering and zeta potential measurements

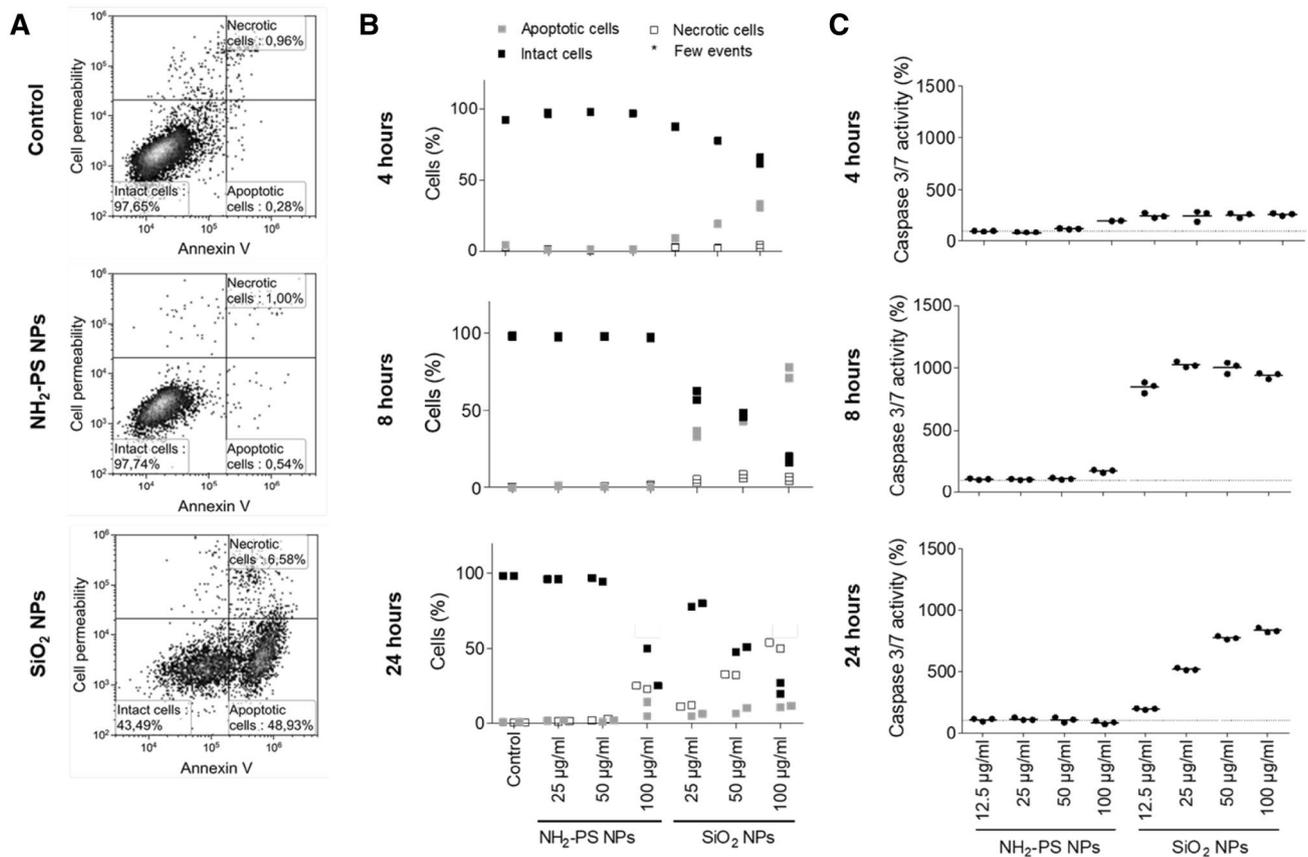
Sample	Medium	Diameter <sup>a</sup> (z-average, nm)	PDI <sup>b</sup>	Diameter <sup>c</sup> (nm)	Zeta potential (mV)
NH <sub>2</sub> -PS nanoparticles	0 h in H <sub>2</sub> O	56 ± 1	0.08 ± 0.02	–	35 ± 1
	24 h in H <sub>2</sub> O	57 ± 1	0.16 ± 0.01	–	28 ± 4
	0 h in PBS	103 ± 7	0.36 ± 0.01	–	18 ± 1
	24 h in PBS	75 ± 17	0.53 ± 0.24	–	24 ± 1
	0 h in CCM	–	–	161 ± 31	–8 ± 1
	24 h in CCM	–	–	141 ± 25	–9 ± 1
SiO <sub>2</sub> nanoparticles	0 h in H <sub>2</sub> O	69 ± 1	0.28 ± 0.01	–	–26 ± 1
	24 h in H <sub>2</sub> O	68 ± 1	0.18 ± 0.01	–	–23 ± 1
	0 h in PBS	83 ± 1	0.40 ± 0.02	–	–14 ± 1
	24 h in PBS	54 ± 1	0.23 ± 0.01	–	–19 ± 3
	0 h in CCM	–	–	101 ± 9	–9 ± 1
	24 h in CCM	–	–	155 ± 7	–9 ± 1

Z-average and polydispersity index (PDI) or average hydrodynamic diameter of 100 µg/ml 50 nm NH<sub>2</sub>-PS and SiO<sub>2</sub> nanoparticles after dispersion in water, PBS and complete cell culture medium supplemented with serum (CCM) (0 h) and after 24 h of incubation at 37 °C and 5% CO<sub>2</sub> (24 h). Either cumulant or CONTIN analyses were performed for measurements in buffer and CCM, respectively, to account for multimodal peaks which arise in CCM because of co-detection of the excess free proteins in solution. All values are reported as the mean and standard error of the mean (±SEM) of the results obtained from three independent replicate dispersions

<sup>a</sup>z-average hydrodynamic diameter extracted by cumulant analysis of the data

<sup>b</sup>Polydispersity index (PDI) from cumulant fitting of the data

<sup>c</sup>Average hydrodynamic diameter determined from CONTIN size distribution



**Fig. 1** Activation of cell death in MPI cells exposed to NH<sub>2</sub>-PS and SiO<sub>2</sub> nanoparticles. MPI cells were exposed for different times to different doses of nanoparticles, then Annexin V—SYTOX staining was used to monitor eventual presence of apoptotic cells and changes in cell membrane permeability. **a** Representative example of Annexin V—SYTOX double scatter plots after 8 h of exposure. **b** Percentage of intact cells (Annexin V-negative and no increased cell permeability), apoptotic cells (Annexin V-positive cells without increase in cell permeability), necrotic cells (Annexin V-positive cells with increased

cell permeability). 20000 cells were measured by flow cytometry (unless indicated) and the percentages in the different gated regions determined (see “Methods” for details). The results of two technical replicates are shown. For cells exposed to 100 µg/ml nanoparticles due to the strong toxicity, it was possible to measure only around 5000 cells (instead of 20000). **c** Activation of the effector caspases 3/7 upon nanoparticle exposure. The results of three technical replicates are shown, together with their mean (indicated with a line), normalized by the results in untreated control cells (dotted line at 100%)

by the MPI cells. Fluorescently labelled COOH-PS were used as an alternative source of polystyrene nanoparticles of comparable size (no fluorescent variant of the NH<sub>2</sub>-PS nanoparticles is commercially available). As expected, exposure to 50 nm fluorescently labelled SiO<sub>2</sub> nanoparticles and 40 nm fluorescently labelled carboxylated polystyrene COOH-PS confirmed that nanoparticle uptake in the macrophages was very high (Supplementary Figure S2). We then performed a detailed time-resolved analysis of the mechanisms leading to the observed cell death, to characterize further the differences in the response to the two materials.

### Nanoparticle impact on oxidative stress (cellular and mitochondrial ROS levels and lipid peroxidation)

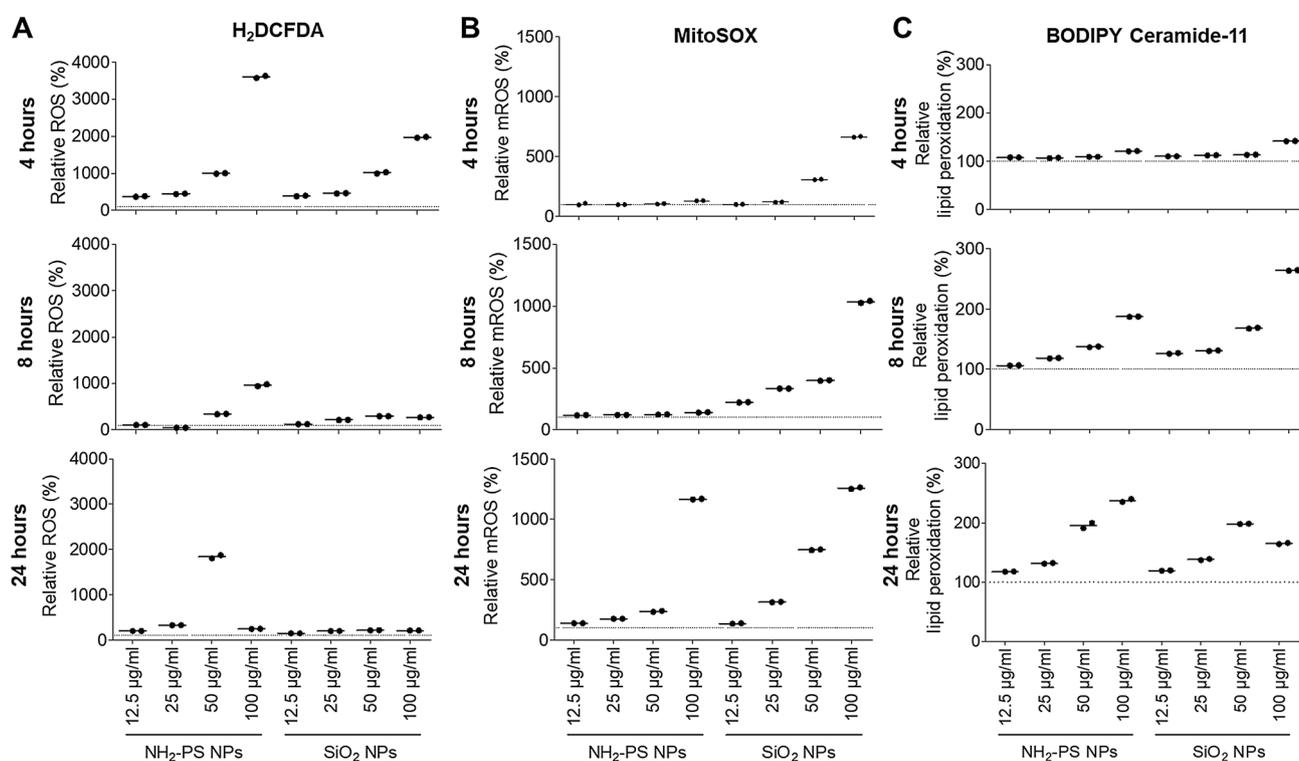
MPI cells were exposed to different concentrations of NH<sub>2</sub>-PS and SiO<sub>2</sub> nanoparticles to analyze impact on a series of cellular end-points at different times. Interestingly, flow cytometry analysis showed that cells exposed to SiO<sub>2</sub> nanoparticles displayed clear changes in their forward scatter (FSC, which is related to changes in cell size) and side scatter properties (SSC, which is related to changes in cellular

granularity (e.g. changes in vacuolization) already after only 4 h of exposure. No alterations in forward and side scatter were detected in cells exposed to NH<sub>2</sub>-PS nanoparticles (except at the highest dosage and longest exposure time) (Supplementary Figure S3). This alone was already, indicative of a distinct cell response to the two nanoparticle types.

We then examined eventual alterations in cellular ROS levels following exposure to the two nanoparticles. Alterations of ROS levels have often been observed upon exposure to nanoparticles. Some nanoparticles can trigger the direct generation of ROS on their surface (Buzea et al. 2007; Soenen et al. 2011). A part of similar direct ROS production, secondary ROS can also be generated as a consequence of the damage induced by nanoparticles on cell organelles, or via interaction with cell surface receptors and subsequent activation of intracellular signaling cascades (Soenen et al. 2011). Here, cellular ROS production was measured by H<sub>2</sub>DCFDA staining (Fig. 2a and Supplementary Figure S4). Already after 4 h of exposure, cellular ROS levels increased in cells exposed to

both nanoparticles, while at longer exposure times, they declined to—respectively—moderate and low levels, for cells exposed to, respectively, NH<sub>2</sub>-PS and SiO<sub>2</sub> nanoparticles. To determine whether the observed alterations in cellular ROS originated from mitochondrial ROS, cells were labelled with MitoSOX, which specifically measures superoxide production in the mitochondria (Fig. 2b and Supplementary Figure S5). In cells exposed to NH<sub>2</sub>-PS nanoparticles, mitochondrial ROS was detected only after 24 h, whereas SiO<sub>2</sub> nanoparticles induced mitochondrial ROS formation already after 4 h of exposure, with a steady increase over the following hours. These findings suggested that NH<sub>2</sub>-PS and SiO<sub>2</sub> nanoparticles might have distinct effects on mitochondrial function.

Increased ROS levels can also induce lipid peroxidation in the cell membrane, which in turn can activate proapoptotic molecules. Thus, lipid peroxidation was assessed using BODIPY Ceramide-11 staining (Fig. 2c and Supplementary Figure S6). Both NH<sub>2</sub>-PS and SiO<sub>2</sub> nanoparticles exhibited a similar increase in lipid peroxidation after 8 and 24 h of exposure.



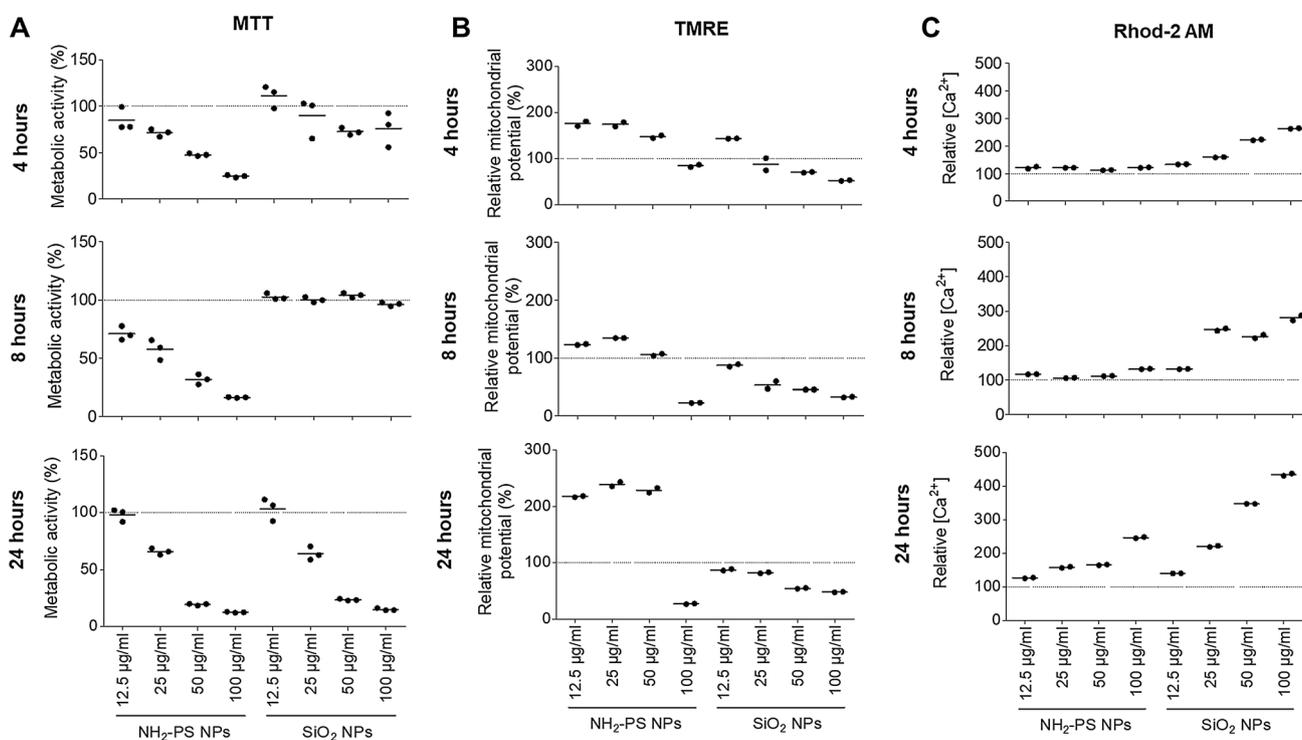
**Fig. 2** ROS production and lipid peroxidation in MPI cells after exposure to NH<sub>2</sub>-PS and SiO<sub>2</sub> nanoparticles. MPI cells were exposed to different doses of NH<sub>2</sub>-PS and SiO<sub>2</sub> nanoparticles for the indicated times, thus cellular ROS (a), mitochondrial ROS (b) and lipid peroxidation (c) were determined, using, respectively, an H<sub>2</sub>DCFDA assay, MitoSOX and by BODIPY Ceramide-11 (C11). The results of two

technical replicates are shown, together with their mean (indicated with a line), normalized by the results in untreated control cells (dotted line at 100%). Representative examples of the corresponding cell fluorescence intensity distributions are shown in Supplementary Figures S4–6

### Nanoparticle impact on mitochondria (metabolic activity, mitochondrial membrane potential and intracellular calcium)

Next, we characterized further nanoparticle impact on mitochondrial functions. Mitochondria play an important role in the regulation of cellular metabolism, apoptosis and immune responses, and are also in close functional contact with other cell organelles involved in the uptake of nanoparticles, such as endosomes and lysosomes (Auten and Davis 2009). Mitochondrial damage is involved in cell death mechanisms such as apoptosis, oxytosis, ferroptosis, necroptosis, among others (Tait and Green 2013; Thornton and Hagberg 2015; Neitemeier et al. 2017). To determine nanoparticle impact on mitochondrial functions, cellular metabolic activity (as measured by the MTT assay), mitochondrial membrane potential and intracellular calcium release were monitored over time. When MPI cells were exposed to  $\text{NH}_2\text{-PS}$  nanoparticles, the cellular metabolic activity declined very fast (Fig. 3a). On the other hand, in cells exposed to  $\text{SiO}_2$  nanoparticles, a decreased cellular metabolic activity was observed only after 24 h. The mitochondrial membrane potential was assessed by TMRE

(tetramethylrhodamine ethyl ester), a positively charged compound sequestered by the negatively charged mitochondria. Here, a loss in TMRE staining indicates a loss in mitochondrial membrane potential or membrane depolarization. Surprisingly, exposure to  $\text{NH}_2\text{-PS}$  nanoparticles for concentrations up to  $50\ \mu\text{g/ml}$  resulted in a steady increase of mitochondrial membrane potential (Fig. 3b and Supplementary Figure S7). On the contrary, for the higher  $\text{NH}_2\text{-PS}$  nanoparticle doses and in cells exposed to the  $\text{SiO}_2$  nanoparticles a gradual mitochondrial depolarization was observed over time, as expected when strong cell death is observed. In addition to mitochondrial membrane potential, intracellular calcium levels were also monitored. An increase in intracellular calcium can affect intracellular signaling pathways and trigger cell death (Duchen 2000; Finkel et al. 2015). Staining for intracellular calcium by the calcium indicator rhodamine-2 AM revealed that exposure to both  $\text{NH}_2\text{-PS}$  and  $\text{SiO}_2$  nanoparticles induced calcium accumulation. Interestingly, the effect was observed only after 24 and 4 h of exposure, respectively (Fig. 3c and Supplementary Figure S8), time frames consistent with the observed alterations in mitochondrial ROS production (Fig. 2b).



**Fig. 3** Effect of  $\text{NH}_2\text{-PS}$  and  $\text{SiO}_2$  nanoparticles on mitochondria in MPI cells. MPI cells were exposed to different doses of  $\text{NH}_2\text{-PS}$  and  $\text{SiO}_2$  nanoparticles for the indicated times, thus metabolic activity (a), mitochondrial membrane potential (b) and intracellular calcium levels (c) were monitored, respectively, by MTT assay, TMRE staining and with the calcium indicator rhodamine-2 AM. The results of

technical replicates are shown together with their mean (indicated with a line), normalized by the results in untreated control cells (dotted line at 100%). Representative examples of the corresponding cell fluorescence intensity distributions are shown in Supplementary Figures S7–8

## Nanoparticle impact on lysosomes

As a next step, we investigated whether lysosomal alterations were induced on the macrophages. It has been previously shown that lysosomal alterations play a major role in  $\text{NH}_2\text{-PS}$  nanoparticle-induced cell death in other cell models, with lysosomal swelling, followed by lysosomal rupture and release of the lysosomal content in the cytosol, ultimately activating apoptosis (Wang et al. 2013a, b). Interestingly, on MPI macrophages, LysoTracker staining showed no signs of lysosomal swelling following exposure to  $\text{NH}_2\text{-PS}$  nanoparticles (Fig. 4a, b). No changes in LysoTracker staining were detected also in cells exposed to  $\text{SiO}_2$  nanoparticles. Only at the later exposure times, a population with a decrease in LysoTracker Red staining started to appear. Although this result alone does not allow to fully exclude involvement of lysosomal injury in the mechanism of cell death, LysoTracker staining combined with the metabolic activity assays for the same conditions suggests that those cells are most likely dead or dying cells which cannot be stained by LysoTracker. Additionally, no evident sign of lysosomal swelling was observed also via imaging and immunostaining with LAMP1A (a general marker for the lysosomal compartment) (Fig. 4c and Supplementary Figure S9).

## Endoplasmic reticulum stress and autophagy

As a final step, we examined potential activation of ER stress and/or autophagy in response to the two nanoparticles, as previously observed with other systems (Cao et al. 2017; Wang et al. 2018). To assess whether ER stress or autophagy were involved, quantitative real-time PCR was performed to measure, respectively, CHOP and beclin-1 expression at different exposure times. CHOP expression in cells is usually low and increases when ER stress is present. High levels of CHOP will eventually result in apoptosis (Cao et al. 2017). Beclin-1 on the other hand is involved in the initiation of autophagy by forming the isolation membrane, which engulfs cytoplasmic components to make the autophagosome. High levels of beclin-1 will also result in the induction of apoptosis (Kang et al. 2011). Interestingly, exposure to  $\text{NH}_2\text{-PS}$  nanoparticles resulted in a strong increase of CHOP expression (Fig. 5a), indicative of ER stress induction. This is particularly surprising as the ER stress-related CHOP expression is commonly associated with the activation of apoptosis which here was not detected (Fig. 1) (Cao et al. 2017). On the contrary, no alteration of CHOP expression was observed in cells exposed to the  $\text{SiO}_2$  nanoparticles. Furthermore, no alterations in beclin-1 were observed in cells exposed to the two nanoparticles (Fig. 5b). Similarly, LC3A/LC3B staining of autophagosomes did not show any evident change in cells exposed to the two nanoparticles (Fig. 5c and Supplementary Figure S10). Thus, no clear

involvement of autophagy was observed by quantification of beclin-1 expression and LC3 staining.

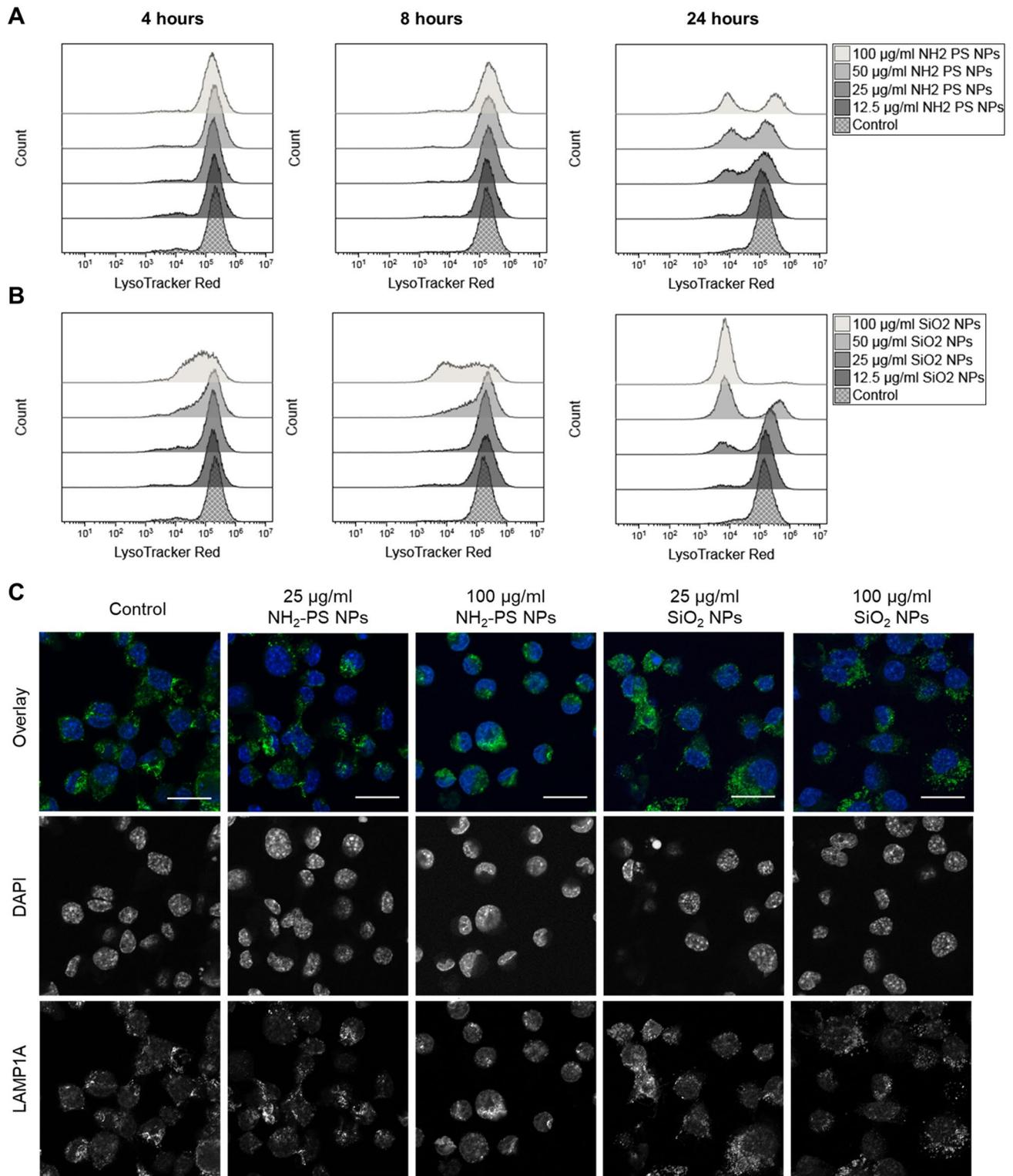
## Discussion

Nanoparticle accumulation in macrophages is commonly observed in the organs where they distribute, making nanoparticle impact on these cells a relevant parameter to be assessed in nanosafety. Given their unique features, including—among others—their high nanoparticle uptake efficiency, macrophages may respond to nanoparticles in different ways than what is usually observed in non-phagocytic cells.

Within this context, in this work, we studied in detail the response of MPI alveolar-like macrophages to two common nanoparticles, namely  $\text{NH}_2\text{-PS}$  and  $\text{SiO}_2$  nanoparticles, well-characterized models used in many nanoparticle studies. While the  $\text{NH}_2\text{-PS}$  are a good example to study toxicity induced by positively charged materials, for the  $\text{SiO}_2$  nanoparticles ambivalent toxic effects have been reported. In fact, silica nanoparticles are usually considered non-toxic (Bexiga et al. 2011; Shapero et al. 2011; Lesniak et al. 2012; Wang et al. 2013a, b, 2018; Ye et al. 2017). However, other investigations have demonstrated that  $\text{SiO}_2$  nanoparticle exposure can hamper cellular functioning (Wiemann et al. 2016; Mohamed et al. 2011; Lankoff et al. 2013; Kim et al. 2015).

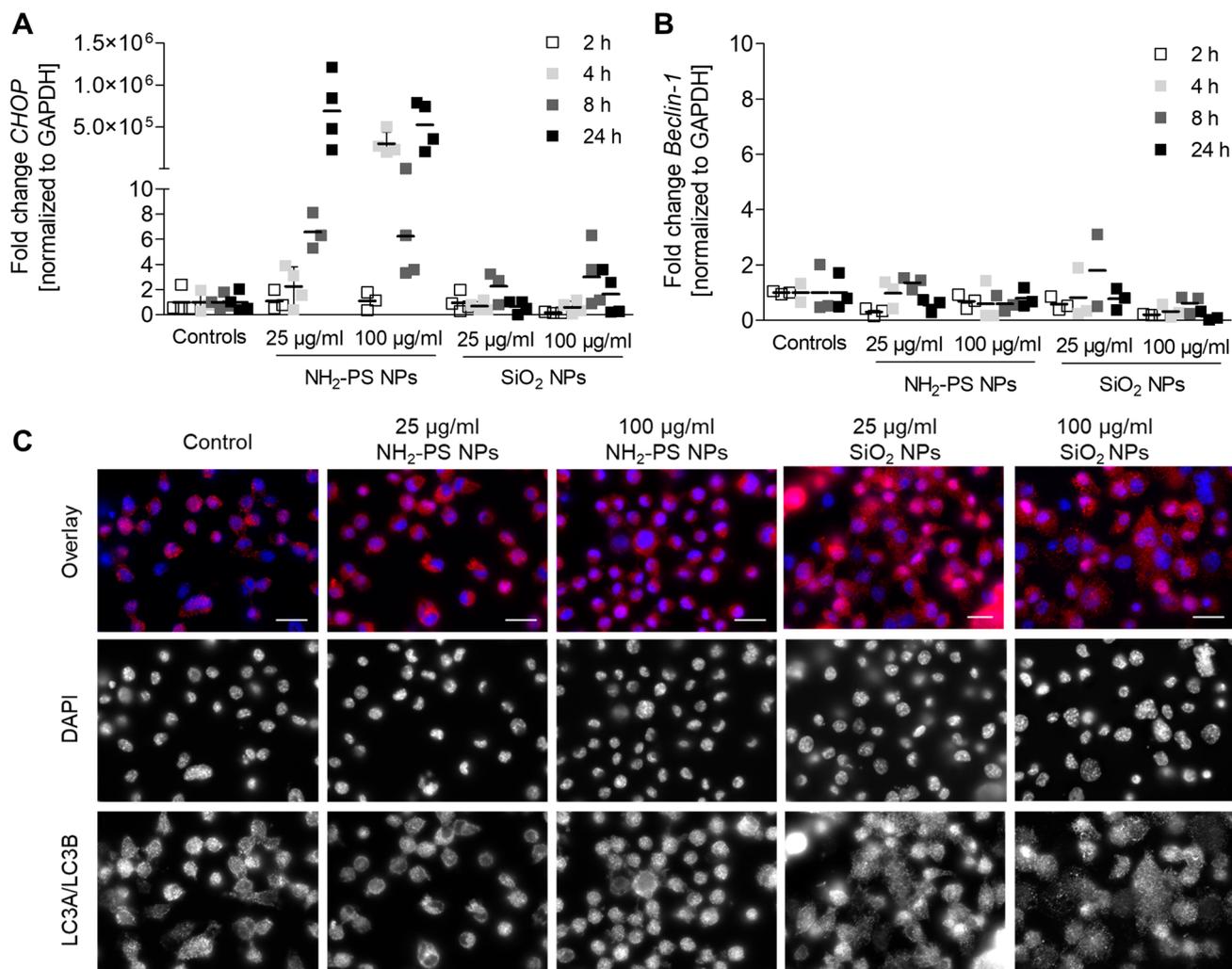
While for toxicological studies, the cell-delivered dose should be determined to take into account differences in the way different materials settle on adherent cells (Thomas et al. 2018), our aim here was to detect potential differences in the mechanisms of toxicity induced by the two nanoparticles. To this aim, a range of doses was selected to ensure cytotoxic responses were present, but not too strong, so that clear trends over time could be determined for the different selected endpoints and compared for the two materials. The time-resolved investigation allowed us to highlight unique features in the response of MPI macrophages to these two nanoparticle models.

While  $\text{NH}_2\text{-PS}$  nanoparticle-induced toxicity is usually driven by a strong impact on the lysosomes where the nanoparticles accumulate (Wang et al. 2013a, 2018), our results indicated that these nanoparticles showed a distinct behavior in MPI macrophages, without strong evidence of lysosomal swelling and of apoptosis. However, other investigations have shown the involvement of lysosomal injury in the mechanisms of toxicity induced by these nanoparticles in RAW264.7 macrophages (Xia et al. 2008; Hsia et al. 2019) and the observed loss of LysoTracker Red staining could also be interpreted as a sign of lysosomal malfunctioning, e.g. due to mild lysosomal membrane permeabilization (Wang et al. 2018). Clearly, exposure to  $\text{NH}_2\text{-PS}$  nanoparticles caused a fast increase in cellular ROS production,



**Fig. 4** Effect of NH<sub>2</sub>-PS and SiO<sub>2</sub> nanoparticles on lysosomes in MPI cells. LysoTracker Red was used to monitor eventual lysosomal alterations on MPI cells exposed for the indicated times to different doses of **a** NH<sub>2</sub>-PS and **b** SiO<sub>2</sub> nanoparticles. Representative examples of LysoTracker Red distributions are shown. **c** Confocal microscopy images of MPI cells after 4 h of exposure to NH<sub>2</sub>-PS and

SiO<sub>2</sub> nanoparticles. Green: LAMP1A stained lysosomes; blue: DAPI stained nuclei. Scale bar: 20 µm. Additional images at different exposure times are shown in Supplementary Figure S9. Overall the results suggest no evident alteration of the lysosomes after exposure to the nanoparticles (color figure online)



**Fig. 5** Effect of NH<sub>2</sub>-PS and SiO<sub>2</sub> nanoparticles on ER stress and autophagy in MPI cells. Eventual induction of ER stress (**a**) and autophagy (**b**) were monitored by measuring by RTqPCR the expression levels of, respectively, CHOP and Beclin-1 in MPI cells exposed for different times to different doses of NH<sub>2</sub>-PS and SiO<sub>2</sub> nanoparticles. The results are the gene expression levels of CHOP (**a**) and Beclin-1 (**b**), normalized by the expression levels of GAPDH in the same conditions. Data are expressed as fold increase compared to untreated control cells. The results obtained in four individual experiments are

shown, together with their average (indicated with a line). **c** Confocal microscopy images of MPI cells after 4 h of exposure to NH<sub>2</sub>-PS and SiO<sub>2</sub> nanoparticles. Red: LC3A and LC3B staining of autophagosomal membranes; blue: DAPI-stained nuclei. Scale bar: 10 µm. Additional images at different exposure times are shown in Supplementary Figure S10. Overall CHOP results suggested activation of ER stress in cells exposed to the NH<sub>2</sub>-PS, while Beclin-1 results and LC3 staining indicated no evident induction of autophagy in all conditions (color figure online)

followed at later times by mitochondrial ROS production and lipid peroxidation. In addition, NH<sub>2</sub>-PS nanoparticles strongly affected mitochondrial membrane potential, with an interesting hyperpolarization observed in cells exposed to the lower doses, and—perhaps more expected—a loss of mitochondrial potential at the higher doses. Mitochondrial hyperpolarization has been observed in different cells of the immune system under stress conditions, including activated Fas signaling (Banki et al. 1999; Beltran et al. 2002) and hypoxia (Gao and Wolin 2008). Increased cellular ROS production plays a critical role in this phenomenon (Galloway and Yoon 2012). Hyperpolarization itself can induce

ROS, and oxidative stress will finally impair the mitochondrial machinery causing mitochondrial depolarization, as seen here for cells exposed to the highest concentration of NH<sub>2</sub>-PS nanoparticles. Mitochondrial hyperpolarization also enhances mitochondrial superoxide production (Murphy 2009; Pak et al. 2013), however, cells exposed to NH<sub>2</sub>-PS nanoparticles displayed an increase in mitochondrial ROS only after 24 h of exposure and not at earlier times. It has been suggested that an initial hyperpolarization can be part of a defense mechanism to avoid apoptotic cell death. This has been supported by the observation that lymphocytes from patients with systemic lupus erythematosus have

hyperpolarized mitochondria which are resistant to apoptosis (Perl et al. 2012). Similar responses may be triggered here in cells exposed to the lower doses of the NH<sub>2</sub>-PS nanoparticles. Further research is required to fully confirm this interpretation.

Upregulation of the gene expression of CHOP was also observed in response to NH<sub>2</sub>-PS exposure, indicative of ER stress, which usually triggers apoptosis. However, surprisingly, regardless of the effect on mitochondrial membrane potential and indication of ER stress activation, no signs of apoptosis were detected. A possible interpretation of this series of events following NH<sub>2</sub>-PS nanoparticle exposure is that, given the high uptake efficiency of macrophages, these nanoparticles are still toxic to cells, but without the cells having the time to activate a clear apoptotic cell death (also without signs of clear swelling in the lysosomes where nanoparticles accumulate).

On the other hand, SiO<sub>2</sub> nanoparticle exposure caused cell death in MPI macrophages, characterized by classic apoptotic features such as increased mitochondrial ROS, mitochondrial depolarization, caspase activation and exposure of phosphatidylserine on the cell membrane. Similar results with SiO<sub>2</sub> nanoparticles were obtained on RAW 364.7 macrophages (Wilhelmi et al. 2012), and other studies where alterations in inflammatory responses or cellular functions in different types of immune cells were reported (Mohamed et al. 2011; Lankoff et al. 2013; Kim et al. 2015). Similar to NH<sub>2</sub>-PS nanoparticles exposure, also with these nanoparticles no evident lysosomal swelling was detected.

Additionally, while previous studies have observed that in some conditions exposure to silica can induce autophagy, here quantification of beclin-1 expression and LC3A/B staining did not show clear involvement of autophagy (Herd et al. 2011; Marquardt et al. 2017). A more precise analysis would be needed to fully elucidate the potential role of autophagy in the observed mechanisms of toxicity, e.g. by measuring the autophagic flux in the presence and absence of lysosomal inhibitors. Overall, these results clearly showed that the MPI cells responded in very different ways to the two selected nanoparticle models, with some interesting differences in comparison to what reported for the same materials in several other cell models. It would be important, in the future, to perform similar time-resolved studies using other macrophage models (for instance human monocyte-derived macrophages) and compare the observed responses to determine which model may provide data of higher relevance for humans.

Time-resolved approaches such as we show here can be used to determine the series of events triggered in cells following exposure to nanoparticles, and in this way determine the mechanisms involved and differences in response to different materials. Additionally, the inclusion of more representative routes for delivering the nanoparticles to the

model cells, e.g., by air–liquid interface exposure (Frijns et al. 2017), could be beneficial to evaluate more realistically the interaction of nanoparticles and cells.

On a broader perspective, given the heterogeneity of tissue-specific macrophages, it would be interesting to perform similar time-resolved studies on resident macrophages of different origin, representative of the different organs in which nanoparticles distribute. For such studies, the cell-delivered dose should be determined, to ensure that realistic doses are applied, corresponding to the tissue-delivered doses observed *in vivo*. This could contribute to determine tissue-specific responses, and in this way to screen *in vitro* for potential nanoparticle outcomes at organ level. Indeed, it has been proposed that macrophages could be used for first tier screening of nanoparticle-induced effects in the different organs in which they distribute (Wiemann et al. 2016; Bhattacharya et al. 2017). On a broader context, selecting the correct cell models is well-known to be a crucial step when evaluating nanoparticle (but not only) toxicity *in vitro*, and clearly it is even more so, when focusing on highly specialized cells such as the macrophages. Cell lines such as the MPI alveolar-like macrophages used for this study, preserving primary cell characteristics without the usual restrictions in cell availability of primary cells, could be extremely useful models for mechanistic nanosafety investigations.

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## Compliance with ethical standards

**Conflict of interest** The authors declare that they have no competing interests.

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