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Author names and affiliations:
S. Zheng\textsuperscript{1,2}; M.H. Meylan\textsuperscript{3}; X. Zhang\textsuperscript{4}; G. Iglesias\textsuperscript{5,2}; D. Greaves\textsuperscript{2}

1 State Key Laboratory of Hydroscience and Engineering, Tsinghua University, Beijing, 100084, China
2 School of Engineering, Computing and Mathematics, University of Plymouth, Drake Circus, Plymouth PL4 8AA, UK
3 School of Mathematical and Physical Sciences, The University of Newcastle, Callaghan 2308, Australia
4 State Key Laboratory of Ocean Engineering, Shanghai Jiao Tong University, Shanghai, 200240, China
5 MaREI, Environmental Research Institute & School of Engineering, University College Cork, College Road, Cork, Ireland

Corresponding author
X. Zhang
E-mail: zhxt@sjtu.edu.cn

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Performance of a plate-wave energy converter integrated in a floating breakwater

S. Zheng1,2 M.H. Meylan3 X. Zhang1,∗ G. Iglesias6,2 D. Greaves2
1 State Key Laboratory of Hydroscience and Engineering, Tsinghua University, Beijing, 100084, China
2 School of Engineering, Computing and Mathematics, University of Plymouth, Drake Circus, Plymouth PL4 8AA, UK
3 School of Mathematical and Physical Sciences, The University of Newcastle, Callaghan 2308, Australia
4 State Key Laboratory of Ocean Engineering, Shanghai Jiao Tong University, Shanghai, 200240, China
5 MaREI, Environmental Research Institute & School of Engineering, University College Cork, College Road, Cork, Ireland
∗E-mail: zhxt@sjtu.edu.cn

Abstract: A plate-wave energy converter (pWEC) moored in front of a floating stationary breakwater is considered in this paper. The pWEC is composed of a submerged flexible plate with piezoelectric layers bonded to both faces of it. Hence the elastic motion of the plate excited by water waves can be transformed into useful electricity due to the piezoelectric effect. To evaluate the performance of the breakwater-attached pWEC in terms of wave power absorption and wave attenuation, a hydroelastic model based on linear potential flow theory and the eigenfunction matching method is developed with the electromechanical and the hydrodynamic problems of the pWEC coupled together. The pWEC can be either simply supported or clamped at the edge. A multi-parameter analysis is carried out with the employment of the present model. Effects of the width, submergence and edge types of the plate, together with the scale of the breakwater, incident wave width and draft, on wave power absorption and wave attenuation, are examined. As the pWEC moves towards a deeper position, the main peaks of the frequency response of the wave power absorption efficiency become lower and narrower. In contrast, its effect on wave attenuation is limited.

1 Introduction

Since the 1790s, many concepts for wave energy conversion have been proposed [1], the majority of which can be classified into five types: oscillating water column (OWC), overtopping device, point absorber, oscillating wave surge converter and raft-type device. Nevertheless, only a small range of wave energy converters have been tested at large scale and deployed in the sea [2]. The cost of power and survivability are two significant challenges that need to overcome to increase the commercial competitiveness of wave energy converters (WECs) in the global energy market.

To enhance the economics of the WECs and meanwhile improve their survivability, an effective way is to integrate them into coastal structures, such as breakwaters, jetties and piers or along sections of the coast, which would provide cost-sharing benefits, including construction, installation and maintenance [3,4].

So far, most of the studies associated with integrating WECs into coastal structures have been focused on the OWC, overtopping and point absorber concepts.

Evans & Porter [5] studied the performance of an onshore OWC device composed of a thin vertical surface-piercing lip in front of a vertical wall. Their theoretical studies demonstrated that the incident wave power could be captured efficiently by choosing proper submergence of the lip and the spacing distance between the lip and the wall. To investigate the performance of OWCs installed along a straight coast/breakwater, Martins-Rivas & Mei [6] and Zheng et al. [7,8] developed three-dimensional (3D) theoretical models and revealed that wave power extraction from the coast/breakwater integrated OWCs for a certain range of wave conditions can be significantly enhanced due to the constructive coast/breakwater reflection effect. He et al. [9] investigated the performance of a p-support OWC breakwater. They found that optimising power take-off damping for maximum power could lead to both satisfactory power extraction and wave transmission. Some other studies related to the integration of OWCs with coastal structures can be found in [10,11].

The hydrodynamic problem for the overtopping device is complicated. Most of the studies related to the integration of that device with coastal structures have been carried out using numerical simulation or/and physical model tests. An Overtopping BReakwater for Energy Conversion (OBREC) was designed to fully utilise traditional breakwaters and capturing wave energy [12]. Vicinanza et al. [13] carried out a series of physical tests of a 2-D OBREC model, discussed the wave loadings and average wave overtopping rate at its rear and front sides, and proposed a new design method for horizontal force on OBREC upper crown wall. Following their work [17], more recently, Contestabile et al. [18] completed the analysis on OBREC geometric parameter variation, with particular interest to the influence of the draft length, the reservoir width and the shape of the front ramp, and extended the overall knowledge on the device behaviour. The loading acting on the flat ramp was found to be greater than the curved ramp in almost all the tests by about 30 to 40%. Musa et al. [19] analysed the wave flow over the OBREC with the utilisation of FLOW 3D software and obtained a similar trend of the overtopping discharge when compared with the experimental data. Di Lauro et al. [20] performed numerical simulations based on the model IH2VOF, and studied the hydraulic performance and stability response of an OBREC device integrated into a vertical structure. The reflection coefficients of the OBREC were observed to be lower than those computed in front of the traditional breakwater. Comprehensive reviews associated with the OBREC can be found in [3,21].

The integration of point absorbers into coastal structures has also been the object of recent work. Schay et al. [22] studied the hydrodynamic performance of a heaving point absorber near a fixed vertical wall in regular and irregular seas with a Boundary Element Method (BEM)-based software. Ning et al. [23] proposed an integrated system of a vertical pile-restrained floating breakwater working under the principle of a point absorber. The experimental test demonstrated that the system’s capture width ratio was approximately 24%, whereas the transmission coefficient was lower than 0.50 with a proper power take-off damping force applied. More recently, Ning et al. [24], Zhao et al. [25] and Konispoliatis & Mavrokos [26] studied an array of point absorbers in front of a breakwater by using a BEM-based numerical code, physical testing and a theoretical model, respectively. Instead of focusing on the integration of point absorbers, the present paper considers the capture efficiency of a pWEC attached to a fixed breakwater.
absorbers into a conventional plane breakwater, Zhang & Ning [27] considered a novel breakwater with parabolic openings for wave energy harvesting. Their numerical studies showed that the reflected waves from the parabolic opening could travel towards a fixed focus position, stimulating wave power absorption of the point absorbers.

In addition to the five dominant types consisting of rigid bodies, there are some other WECs made from flexible structures, e.g., elastic plate [28] and bulge wave [29], which may offer improved performance/survivability and reduced cost compared with steel/concrete alternatives.

Zheng et al. [30, 31] proposed analytical models to study wave power absorption/dissipation of an array of floating/submerged porous elastic plates. Assuming the porosity of the elastic plates works as a simplified power take-off system, a profound potential of elastic plates was demonstrated for wave power extraction. The hydroelastica of a porous elastic plate in other circumstances, e.g., in two-layer fluids and in front of a vertical wall, was investigated by some other researchers [32, 33]. In fact, an elastic plate with piezoelectric layers bonded to both faces of the flexible substrate can extract energy from ocean waves [28]. Thanks to the piezoelectric effect, the tension variations at the plate-water interface of the plate WEC (pWEC) can be converted into a voltage, and the wave power is ultimately transformed into useful electricity. Renzi [28] developed a coupled hydro-electromechanical model, and evaluated the wave power absorption of a two-dimensional (2D) submerged stand-alone piezoelectric plate. Recently, this model was extended by Zheng et al. [34] to study the 3D hydroelastic problem of a rectangular stand-alone submerged piezoelectric pWEC. Buriani & Renzi [35] considered a submerged pWEC attached in front of a bottom-seated breakwater. It was demonstrated that the performance of the pWEC could be significantly improved due to the presence of the breakwater.

By contrast to the traditional bottom-seated breakwaters, floating breakwaters have less environmental impact since water and sediment are permitted to exchange between their seaside and leeside. Athanassoulis & Mamis [36] investigated a terminator-type piezoelectric system extracting electric energy from the direct impact of water waves impinging upon a vertical cliff, which could be formed by a floating breakwater. The wave power absorption of a cliff integrated piezoelectric system was reported to be as high as 30–50% for appropriate hydro/piezoelectric parameters. Liu & Huang [37] considered the integration of piezoelectric material with a floating vertical flexible membrane breakwater and developed a theoretical model to study the performance of the system. It was revealed that the proposed system was suitable only at sites where the variability in the wave period is low due to the sensitivity of the transmission coefficient and the output power density on wave periods. In this paper, we consider a floating breakwater integrated piezoelectric pWEC. A 2D theoretical model is developed based on linear potential flow theory and the eigenfunction matching method to study the hydrodynamic performance of the system in terms of wave power absorption and wave attenuation. The proposed model is first validated by comparing the present results with published data and then applied to examine the effect of the width, submergence and edge conditions (i.e., simply supported and clamped) of the pWEC and the width and draft of the breakwater on wave power absorption and wave attenuation.

The rest of this paper is organised as follows: Section 2 outlines the mathematical model for the hydroelastic problem. Convergence analysis and validation of the present theoretical model are given in Sections 3 and 4 respectively. The validated model is then applied to carry out a multi-parameter study on the performance of the floating breakwater integrated pWEC in terms of wave power absorption and wave attenuation, the results of which can be found in Section 5. Finally, conclusions are drawn in Section 6.

### 2 Mathematical model

A pWEC moored in front of a floating stationary breakwater subjected to regular waves of amplitude $A$ and angular frequency $\omega$ is considered (see Fig. 1). The waves propagate perpendicularly to the breakwater (i.e., wave crest-line is parallel with the breakwater). The pWEC is made from a flexible substrate with two piezoelectric layers bonded to both faces [28, 29]. The thicknesses of the substrate and the piezoelectric layers are much smaller compared with wavelength and water depth. Hence the pWEC may be assumed of negligible thickness in the hydrodynamic problem. The floating breakwater is assumed to be strictly constrained that its motion can be neglected, and it is considered stationary in the present work.

As shown in Fig. 1, Cartesian axes are chosen with the mean free–surface and front vertical wall of the breakwater coinciding with the planes of $z = 0$ and $x = 0$, respectively. $x$ and $z$ are measured in the direction of wave propagation and vertically upwards, respectively. The length of the breakwater and the pWEC in the $y$-direction is assumed to be far larger than a wavelength so that the hydroelastic problem can be treated as a 2D one. The sea bed is at $z = -h$, and the pWEC with a width $l$ is placed at $z = -d$. The width and draft of the breakwater are denoted by $l_0$ and $d_0$, respectively. The fluid domain is divided into five regions (Fig. 1), which we will use in the solution process, i.e., $\Omega_1$, the seaside outer region ($x \in (-\infty, -l_0), z \in [-h, 0]$); $\Omega_2$, the region above the pWEC ($x \in [-l_0, 0], z \in [-h, -d]$); $\Omega_3$, the region under the floating breakwater ($x \in [0, l_0], z \in [-h, -d_0]$) and $\Omega_4$, the leeside outer region ($x \in [l_0, \infty), z \in [-h, 0]$).

#### 2.1 Problem formulation

All amplitudes are assumed to be small enough that linear theory applies, and the fluid is assumed to be inviscid, incompressible and irrotational. It is further assumed that all motion is time-harmonic with the angular frequency $\omega$; hence the fluid velocity potential and the displacement of the plate about $z = -d$ may be expressed by

$$\Phi(x, z, t) = \text{Re}\{\phi(x, z)e^{-i\omega t}\},$$

and

$$\xi(x, t) = \text{Re}\{\xi(x)e^{-i\omega t}\},$$

where $\text{Re}$ denotes the real part. The functions $\phi(x, z)$ and $\xi(x)$ represent the time-independent parts of the complex velocity potential and the plate displacement, respectively.

Under the assumptions above, the spatial velocity potential satisfies the Laplace equation in the fluid with the boundary conditions

$$\frac{\partial \phi}{\partial z} = \frac{\omega^2}{g} \phi \quad \text{on} \quad z = 0, \quad x \in (-\infty, 0] \cup [l_0, \infty) \quad (3)$$

$$\frac{\partial \phi}{\partial z} = 0 \quad \text{on} \quad z = -h \quad (4)$$

$$\frac{\partial \phi}{\partial z} = 0 \quad \text{on} \quad z = -d_0, \quad x \in [0, l_0] \quad (5)$$

$$\frac{\partial \phi}{\partial z} = 0 \quad \text{on} \quad x = 0 \quad \text{and} \quad z \in [-d_0, 0] \quad (6)$$

$$\frac{\partial \phi}{\partial z} \bigg|_{z = -d_+} = \phi(x, 0) \bigg|_{z = -d_+} \quad \text{on} \quad x \in [-l, 0] \quad (7)$$

where $+$ and $-$ denote above and below the plate, respectively. The scattered wave field consists of outgoing waves only with a finite value at $|x| = \infty$.

Additionally, the time-independent spatial velocity potential, $\phi$, at the interface of the regions $\Omega_2$ and $\Omega_4$ should be coupled to the plate displacement function, $\xi$, in terms of both kinematic and
dynamic conditions [28].

\[ \partial_z \phi = -i \omega \xi \quad \text{on} \quad x \in [-l, 0], \quad z = -d, \quad (8) \]

\[ g \chi \left( 1 + \frac{\beta^2 \zeta \omega}{1 + \zeta \omega} \right) \partial_z^2 \xi - \omega^2 \gamma \xi = i \omega (\phi_+ - \phi_-) \]

\[ \quad \text{on} \quad x \in [-l, 0], \quad z = -d, \quad (9) \]

in which + and − denote above and below the plate, respectively;

\[ \chi = \frac{B'}{pg} \quad \beta = \frac{g'}{\sqrt{B' C'}}, \quad \zeta = \frac{C'}{g'}, \quad \gamma = \frac{I'_0}{\rho}, \quad (10) \]

where \( B' \) represents the flexural rigidity of the bimorph, \( g' \) is a piezoelectric coupling factor, \( C' \) denotes the electrical surface capacitance, \( g' \) is the surface density of the bimorph, \( I'_0 = \rho_0 d_0 + 2 \rho_0 d_p \), in which \( \rho_0 \) and \( \rho_0 \) denote the densities of the substrate and the piezoelectric layers, respectively, \( d_0 \) and \( d_p \) represent the thicknesses of the substrate and the piezoelectric layers, respectively, \( \rho \) is the fluid density. In this paper, the bimorph piezoelectric plate is characterised by \( d_0 = 0.01 \text{ m}, \quad d_p = 1.1 \times 10^{-3} \text{ m}, \quad \rho_0 = 1250 \text{ kg/m}^3, \quad \rho_p = 1780 \text{ kg/m}^3 \) (for details see [28] and [29]).

The two components as given above can be combined into

\[ \left[ \chi \left( 1 + \frac{\beta^2 \zeta \omega}{1 + \zeta \omega} \right) \partial_z^2 + \frac{\omega^2}{g} \right] \partial_z \phi + \frac{\omega^2}{g} (\phi_+ - \phi_-) = 0 \]

\[ \quad \text{on} \quad x \in [-l, 0], \quad z = -d, \quad (11) \]

which couples the electro-mechanical and the hydrodynamic problems at the plate-water interface.

We also need to apply edge conditions at the plate end. If the plate is simply supported, the edge conditions are

\[ \xi(0) = \partial_z^2 \xi(0) = \xi(-l) = \partial_z^2 \xi(-l) = 0 \quad (12) \]

and they read

\[ \xi(0) = \partial_z \xi(0) = \xi(-l) = \partial_z \xi(-l) = 0 \quad (13) \]

for a plate whose edge is clamped.

2.2 Expressions of the velocity potentials in different regions

2.2.1 Regions \( \Omega_1 \) and \( \Omega_4 \): The eigenfunction expansion in these regions is completely standard and follows from [38] and [39], and we only summarise the results here.

Region \( \Omega_1 \) \( \{ x \in (-\infty, -l), \quad z \in [-h, 0] \} \)

Expression of the spatial velocity potential in Region \( \Omega_1 \) may be written as

\[ \phi_1 = -\frac{i g A}{\omega} e^{ik x} Z_0(z) + \sum_{n=0}^{\infty} A_n e^{-ik_n x} Z_n(z), \quad (14) \]

where the first term on its right-hand side represents the undisturbed incident spatial velocity potential, \( \phi_1 \). \( A_n \) are the unknown coefficients to be determined. \( k_0 = k \in \mathbb{R}^+ \) and \( k_n \in i \mathbb{R}^+ \) for \( n = 1, 2, \ldots \) support the propagating waves and evanescent waves, respectively, and they are the positive real root and the infinite positive imaginary roots of the dispersion equation,

\[ \frac{\omega^2}{g} = k_n \tanh(k_n h); \quad (15) \]

The corresponding depth functions \( Z_n(z) \) are expressed as

\[ Z_n(z) = \frac{\cosh[k_n(z + h)]}{\cosh(k_n h)} \quad (16) \]

Region \( \Omega_4 \) \( \{ x \in [l_0, \infty), \quad z \in [-h, 0] \} \)

Expression of the spatial velocity potential in Region \( \Omega_4 \) can be expressed as

\[ \phi_4 = \sum_{n=0}^{\infty} B_n e^{ik_n x} Z_n(z), \quad (17) \]

where \( B_n \) are the unknown coefficients to be determined.

2.2.2 Region \( \Omega_2 \) \( \{ z \in [-h, 0], \quad x \in [-l, 0] \} \): In Region \( \Omega_2 \), the potential may be written as

\[ \phi_2(x, z) = \sum_{n=-\infty}^{\infty} (C_n e^{-\kappa_n x} + D_n e^{-\kappa_n x}) Y_n(z), \quad (18) \]

where \( C_n \) and \( D_n \) are the unknown coefficients to be determined;

\[ Y_n(z) = \begin{cases} -\sin(\kappa_n c) \left[ \kappa_n h \cos(\kappa_n z) + \frac{\omega^2}{g} h \sin(\kappa_n z) \right], & \text{on} \quad z \in [-d, 0) \\ \cos[\kappa_n (z + h)] \left[ \kappa_n h \sin(\kappa_n d) + \frac{\omega^2}{g} h \cos(\kappa_n d) \right], & \text{on} \quad z \in [-h, -d) \end{cases} \quad (19) \]

in which \( c = h - d; \kappa_n \) for \( n = -2, -1, 0, 1, 2, \ldots \) are the roots of the dispersion relation for the pWEC,

\[ \left[ \chi \left( 1 + \frac{\beta^2 \zeta \omega}{1 + \zeta \omega} \right) \kappa^4 - \frac{\omega^2}{g} \right] \left[ \kappa \sin(\kappa d) + \frac{\omega^2}{g} \cos(\kappa d) \right] \tan(\kappa) \]

\[ = -\frac{\omega^2}{g} \left[ \cos(\kappa d) - \frac{\omega^2}{g \kappa} \sin(\kappa d) \right] \tan(\kappa) + \sin(\kappa d) + \frac{\omega^2}{g} \cos(\kappa d) \right], \quad (20) \]
which can be derived by inserting Eq. (18) into Eq. (17).

Particularly, if $\beta = 0$ or $\zeta = 0$, the plate turns into a submerged elastic plate, for which the corresponding properties of the roots can be found in [11]. Once the roots for $\beta = 0$ or $\zeta = 0$ are determined, the roots of the dispersion relation for $\beta \neq 0$ and $\zeta \neq 0$ can then be derived by using the homotopy method, starting with the corresponding roots for the case of $\beta = 0$ or $\zeta = 0$ [42, 13].

2.2.3 Region $\Omega_3 \{ x \in [0, l_0], z \in [-h, -d_0] \}$: The velocity potential in the fluid domain under the breakwater, i.e., Region $\Omega_3$ can be expressed as

$$\phi_3(x, z) = E_0 x + F_0 + \sum_{n=1}^{\infty} (E_n e^{\beta_n x} + F_n e^{-\beta_n x}) \cos[\beta_n (z + h)],$$

where $\beta_n = \frac{n\pi}{h-d_0}$; $E_n$ and $F_n$ for $n = 0, 1, 2, \cdots$ are the unknown coefficients to be determined.

2.3 Continuity conditions

Continuity conditions at the interfaces of the adjacent regions and the side wall of the breakwater should be satisfied:

$$\phi_1|_{x=-l} = \phi_2|_{x=-l}, \quad z \in [-h, 0] \quad (22)$$

$$\phi_2|_{z=0} = \phi_3|_{z=0}, \quad z \in [-h, -d_0] \quad (23)$$

$$\phi_3|_{z=0} = \phi_4|_{z=0}, \quad z \in [-h, -d_0] \quad (24)$$

$$\partial_x \phi_1|_{x=-l} = \partial_x \phi_2|_{x=-l}, \quad z \in [-h, 0] \quad (25)$$

$$\partial_x \phi_2|_{z=0} = 0, \quad z \in [-d_0, 0], \quad \partial_x \phi_3|_{z=0} = 0, \quad z \in [-h, -d_0] \quad (26)$$

$$\partial_x \phi_4|_{z=0} = 0, \quad z \in [-d_0, 0], \quad \partial_x \phi_3|_{z=0} = 0, \quad z \in [-h, -d_0] \quad (27)$$

These continuity conditions, together with the edge conditions, can form a linear algebraic system after truncation of the infinite series of vertical eigenfunctions at $N$, and can be further employed to determine the unknown coefficients $A_n$, $B_n$, $C_n$, $D_n$, $E_n$ and $F_n$. The complicated deduction process of the formulas and calculation of these unknown coefficients are given in the Appendix, i.e., Section 8.

2.4 Free-surface elevation

The elevation of the air–water interface vertically deviating from the still water level, i.e., the free-surface elevation, is expressed as:

$$\eta_0(x) = \frac{i\omega}{g} \phi|_{z=0}. \quad (28)$$

2.5 Plate displacement

The displacement of the pWEC about $z = -d$ can be calculated in terms of $C_n$ and $D_n$ as

$$\xi = \frac{1}{\omega} \partial_x \phi|_{x=-d} = -\frac{1}{\omega} \sum_{n=-\infty}^{\infty} \kappa_n \sin(\kappa_n c) [\kappa_n h \sin(\kappa_n d)$$

$$+ \frac{\omega^2}{g} h \cos(\kappa_n d)](C_n e^{\kappa_n x} + D_n e^{-\kappa_n x}). \quad (29)$$

2.6 Hydrodynamic force acting on the plate

The vertical hydrodynamic force acting on the plate can be calculated by integrating the hydrodynamic pressure drop across the plate displacement $\xi$.

$$F_e = i \omega \rho \int_{-l}^{0} |(\phi_+ - \phi_-)|_{z=-d} dx$$

$$= \int \frac{i \omega \rho}{2} \sum_{n=2}^{\infty} \left[ C_n (1 - e^{-\kappa_n l}) - D_n (1 - e^{\kappa_n l}) \right]$$

$$\times \left[ h \sin(\kappa_n h) + \frac{\omega^2 h}{g \kappa_n} \cos(\kappa_n h) \right]. \quad (30)$$

2.7 Wave reflection and transmission

The wave reflection and transmission coefficients denoted by $R$ and $T$, respectively, can be calculated by

$$R = \frac{\omega}{gA} |A_0|, \quad T = \frac{\omega}{gA} |B_0|. \quad (31)$$

2.8 Wave power absorption

2.8.1 Direct method: Wave power absorption by the pWEC may be evaluated in a straightforward manner following [28, 35]

$$P_{ext} = \frac{\omega^2}{2} \frac{\rho^2 g^2}{1 + \omega^2} \int_{-l}^{0} |\partial_x^2 \phi|_{z=-d}^2 dx$$

$$= \frac{\rho g}{2} \frac{\beta^2 \chi \zeta}{1 + \omega^2} \int_{-l}^{0} |\partial_x^2 \phi|_{z=-d}^2 dx,$$

$$= \frac{\rho g}{2} \frac{\beta^2 \chi \zeta}{1 + \omega^2} \sum_{n=2}^{\infty} \sum_{m=2}^{\infty} \kappa_n \kappa_m \sin(\kappa_n c) \sin(\kappa_m c)$$

$$\times \left[ \kappa_n h \sin(\kappa_n d) + \frac{\omega^2}{g} h \cos(\kappa_n d) \right]$$

$$\times \left[ \kappa_m h \sin(\kappa_m d) + \frac{\omega^2}{g} h \cos(\kappa_m d) \right]$$

$$\times \left[ \frac{C_n C_m (1 - e^{-\kappa_n c} + \kappa_n c)^h}{\kappa_n + \kappa_m} - D_n D_m (1 - e^{\kappa_n c} - \kappa_n c)^h \right]$$

$$\times \left[ \frac{C_n C_m (1 - e^{-\kappa_n c} + \kappa_n c)^h}{\kappa_n - \kappa_m} - D_n D_m (1 - e^{\kappa_n c} - \kappa_n c)^h \right]$$

We introduce the relative absorbed power, i.e., wave power absorption efficiency

$$\eta_{ext} = \frac{P_{ext}}{P_{inc}} \quad (33)$$

denotes the incoming wave power.  

2.8.2 Indirect method: Apart from the direct method, an indirect method can be derived based on Green’s theorem

$$P_{ext} = \frac{\rho g}{4 \pi} \int_{X} \left[ \partial_x \phi_+^\ast - \partial_x \phi_-^\ast \right]_{x=X}$$

$$- \left[ \partial_x \phi_+^\ast - \partial_x \phi_-^\ast \right]_{x=-X} \partial_z dz, \quad (35)$$

where $X > \max \{l, l_0\}$. When $X \to \infty$, only propagating waves remain, and Eq. (35) can be rewritten as

$$P_{ext} = \frac{1}{4 \pi} \left[ \frac{\omega^2 A^2}{\sinh(2kh)} \right] \left( \frac{\sinh(2kh)}{2k} \right), \quad (36)$$
resulting in the corresponding wave power absorption efficiency

$$n_{ext} = 1 - R^2 - T^2,$$

which is in line with the energy conservation, indicating the incident wave power is absorbed, reflected and transmitted by the breakwater integrated pWEC.

3 Convergence analysis

Figure 2 illustrates the impact of the truncated cutoffs (i.e., in terms of $N$) on the frequency response of wave power absorption efficiency and wave transmission coefficient for a floating breakwater integrated pWEC with $l_0/h = 0.5$, $d_0/h = 0.5$, $l/h = 1.0$, $d/h = 0.2$, $\bar{\chi} = \chi/h = 4.78 \times 10^{-2}$, $\bar{\gamma} = \gamma/h = 1.258 \times 10^{-3}$, $\beta = 0.24$ and $\zeta = \zeta / h = 1.0$. In order to obtain the converged results, $N \geq 40$ is suggested. Hereinafter, $N = 40$ is adopted.

4 Model validation

When $d_0/h = 1.0$ (or $d_0/h \to 1.0$), the bottom of the floating breakwater touches (or approaches) the sea bed, making the system become (or work similar to) a pWEC mooring in front of a bottom-seated breakwater, the hydrodynamic problem of which has already been numerically studied by Buriani & Renzi [34]. Moreover, if either $\chi$ or $\gamma$ is large enough, the pWEC would work as a rigid plate, and the present hydrodynamic problem becomes the wave reflection by a vertical wall with a horizontal submerged rigid plate studied by Wu et al. [33]. Figure 3 presents a comparison of wave power absorption efficiency between the present results and those of [33]. Variation of the free-surface elevation at $x = 0$ and the vertical hydrodynamic force acting on the pWEC for $\bar{\chi} = \chi/h = 10^{5}$ versus pWEC width, together with the corresponding published data associated with a rigid plate placed in front of a vertical wall [34], are plotted in Fig. 4.

If the width of the pWEC is small enough, the floating breakwater integrated pWEC is expected to work as a single breakwater. Figure 5 illustrates the comparison of the wave reflection/transmission coefficients of a floating breakwater integrated pWEC with $l_0/h = 0.5$, $d_0/h = 0.5$, $l/h = 0.01$, $d/h = 0.2$, and those of a single isolated breakwater [33].

In addition to the above three extreme circumstances, a more general case with $l_0/h = 0.5$, $d_0/h = 0.5$, $l/h = 1.0$, $d/h = 0.2$ is examined, the wave power absorption efficiency of which is calculated by using both the direct and indirect methods, and the comparison between them is illustrated in Fig. 6.

The excellent agreement between the results shown in Figs. 3, 4, 5 and 6 gives confidence in the present model for solving the problem of wave interaction with a floating breakwater integrated pWEC.

5 Results and discussion

In this section, the validated model is adopted to study the effects of the pWEC width, pWEC submergence, breakwater width and breakwater draft, together with the type of the pWEC edge, on wave power absorption and wave attenuation of the floating breakwater integrated pWEC.

5.1 Effect of the plate edge type

Fig. 7 shows that the performance of the pWEC in terms of wave power absorption is dramatically influenced by the edge types. In the computed range of wave frequencies, i.e., $\omega^2 h/g \in [0.1, 2.5]$, there are three and four peaks of the $\eta_{ext} - \omega^2 h/g$ curves observed for the clamped and simply supported edge conditions, respectively. For the clamped edge condition, the peak values of $\eta_{ext}$ and the corresponding $\omega^2 h/g$ are $(0.22, 0.29)$, $(0.07, 0.71)$ and $(0.58, 1.51)$. Correspondingly, for the simply supported edge conditions, they are $(0.06, 0.20)$, $(0.01, 0.51)$, $(0.28, 1.14)$ and $(0.57, 2.26)$.

This may be explained from the point of view of resonance. The more strictly the plate edge is constrained, the larger the stiffness of the plate resulting in larger wave frequencies where peaks occur.

The transmission coefficient presents an overall decreasing trend with increase of the wave frequency (Fig. 7b). Several sudden drops of $T$ are observed at the frequencies where the peaks of $\eta_{ext}$ happen.

Figure 8 presents a snapshot of the system with the displacements of the pWEC and the free-surface elevation at the seaside and lee-side of the breakwater. The incident water waves have amplitude $A = 0.2$ m and period of $5 \text{s}$, corresponding to a wavelength of 36.58 m. The free surface in front of the pWEC is found to match seamlessly across Regions $\Omega_1$ and $\Omega_2$ defined in subsection 2.2 (Fig. 11). The clamping (i.e., Eq. (12)) and simply supported (i.e., Eq. (16)) edge conditions are correctly satisfied by the analytical solutions. On the plate, short-crested oscillations are created by a short, weakly damped progressive wave, which has also been reported by Renzi [28] for an offshore stand-alone pWEC. This can be explained from the view of the natural vibration frequencies of a beam with fixed ends and distributed mass, which are proportional to $l^{-2}$.

For most of the cases studied, the larger the wave frequencies where the peaks of the $\eta_{ext} - \omega^2 h/g$ occur, the larger the peaks in terms of both the peak value and bandwidth are observed. It is noted that $\eta_{ext} > 0.8$ can be achieved at specified wave frequencies for the cases with $l/h = 0.7, 1.3$ and 1.6.

Unlike the wave power absorption efficiency (Fig. 6), the wave transmission coefficients plotted in Fig. 9 are found to be rather insensitive to the change of pWEC width, unless the peaks of $\eta_{ext}$ occur, making the $T - \omega^2 h/g$ curves present a local inverted ‘N’ shape.

The integrated system has a dual-function of wave power absorption and wave attenuation. The effective frequency bandwidth simultaneously satisfied with the requested absorption efficiency and transmission coefficient may be of interest. In the computed range of wave frequencies, the non-dimensional frequency bandwidths with $\eta_{ext} > 0.3$ and $T < 0.3$ are 0.01 ($2.49, 2.50$), 0.20 ($1.71, 1.91$), 0.07 ($1.47, 1.53$), 0.27 ($2.17, 2.44$) and 0.20 ($1.88, 2.09$) for $l/h = 0.4, 0.7, 1.0, 1.3$ and 1.6, respectively. Hence, from the perspective of the effective dual-function, the $l/h = 1.3$ case may be the most promising among the five cases examined.

5.3 Effect of the plate submergence, $d$

The submergence of the plate is one of the key parameters affecting the roots of the dispersion equation for the pWEC (see Eq. (20)), and is expected to influence the hydroelastics of the pWEC further. The system with $d/h = 0.1, 0.15, 0.2, 0.25$ and 0.3 are selected as five cases to examine the effect of the pWEC submergence on wave power absorption and wave attenuation (Fig. 10).

As indicated in Fig. 10b, as $d/h$ increases, the main peaks of the $\eta_{ext} - \omega^2 h/g$ curve become lower and narrower. This could be because most wave power is concentrated at less than one-quarter of a wavelength below the water level, and the kinetic energy at a deeper position is less intensive than that at a shallower position. However, it should be noted that the other two peaks located at
The effect of the breakwater width on wave power absorption and wave attenuation is influenced by the change of the pWEC submergence. For wave frequencies ranging from 0.3 to 0.7, the main peak value of \( \eta_{ext} \) drops from 0.66 to 0.52 by 21.7%. Moreover, the bandwidth of the main peak is compressed.

For \( d_0/h = 1.0, \theta = 0 \), i.e., null horizontal fluid velocity, should be satisfied at \( x = 0 \) all over the water depth, i.e., the fluid particles below the pWEC are not allowed to pass through the plane of \( x = 0 \). As a comparison, for \( d_0/h < 1.0 \), the fluid particles under the pWEC are free to move across \( x = 0 \) for \( z \in [-h, -d_0] \), which may further liberate the motion of the pWEC and ultimately enhance wave power absorption.

5.4 Effect of the breakwater width, \( l_0 \)

The effect of the breakwater width on wave power absorption and wave attenuation is illustrated in Fig. [11]. As expected, the \( T - \omega^2 h/g \) curves descend along with the raise of breakwater width (Fig. [11b]). It is also expected that when the breakwater is wide enough, it will work like a bottom-seated breakwater and that no wave power will be transmitted to its leeside.

In contrast to the change of the breakwater width on wave attenuation, its influence on wave power absorption of the pWEC is found to be rather limited (Fig. [11b]). As \( l_0/h \) increases from 0.1 to 0.9, the peak value of \( \eta_{ext} \) around \( \omega^2 h/g = 1.5 \) decreases merely by 11.6% from 0.62 to 0.55. It should be emphasised that this is a ‘decrease’ change of \( \eta_{ext} \), although not too much, instead of ‘increase’, meaning that enlarging the breakwater width not only weakens wave attenuation but also suppresses wave power absorption of the pWEC.

6 Conclusions

In this paper, a pWEC moored in front of a floating breakwater is considered. The pWEC consists of a submerged flexible plate with piezoelectric layers bonded to both faces of it. The elastic motion of the plate can be transformed into useful electricity due to the piezoelectric effect. To evaluate the performance of the system, a 2D theoretical model is proposed based on linear potential flow theory and the eigenfunction matching method coupling the electromechanical and the hydrodynamic problems.

The theoretical model was first validated by comparing the present results with published data for different extreme cases, and an excellent agreement between them was achieved. The validated model was ultimately applied to explore the influence of the edge condition, width and submergence of the pWEC and the width and draft of the breakwater on wave power absorption and wave attenuation. The following conclusions may be drawn:

1. Wave power absorption of the pWEC is dramatically influenced by the edge types. There are three and four peaks of the \( \eta_{ext} - \omega^2 h/g \) curves in the computed range of wave frequencies for the clamped and simply supported edge conditions, respectively.

2. As the width of the pWEC increases, more peaks of the \( \eta_{ext} - \omega^2 h/g \) curves can be excited. As pWEC moves towards a deeper position, the main peaks of \( \eta_{ext} \) around \( \omega^2 h/g = 1.5 \) become lower and narrower. Varying the width or submergence of the pWEC, the influence on wave attenuation of the system is limited.

3. Wave transmission coefficient can be effectively reduced all over the examined wave frequencies by increasing either the width or the draft of the breakwater. Nevertheless, the change of the breakwater width plays a similar role as that of the breakwater width. More specifically, as \( d_0/h \) increases from 0.3 to 0.7, the main peak of \( \eta_{ext} \) drops from 0.66 to 0.52 by 21.7%. Moreover, the bandwidth of the main peak is compressed.

For \( d_0/h = 1.0, \theta = 0, \sigma = 0 \), i.e., null horizontal fluid velocity, should be satisfied at \( x = 0 \) all over the water depth, i.e., the fluid particles below the pWEC are not allowed to pass through the plane of \( x = 0 \). As a comparison, for \( d_0/h < 1.0 \), the fluid particles under the pWEC are free to move across \( x = 0 \) for \( z \in [-h, -d_0] \), which may further liberate the motion of the pWEC and ultimately enhance wave power absorption.

6.5 Effect of the breakwater draft, \( d_0 \)

The effect of varying the breakwater draft on wave absorption and wave attenuation is also investigated by examining five cases with \( d_0/h \) ranging from 0.3 to 0.7 with a step of 0.1 (Fig. [12]).

As demonstrated in Fig. [12], the variation of \( d_0/h \) plays a similar role as that of the breakwater width \( l_0/h \) on the performance of the floating breakwater integrated pWEC as plotted in Fig. [11] but in a more dramatic manner. More specifically, as \( d_0/h \) increases from 0.3 to 0.7, the main peak value of \( \eta_{ext} \) drops from 0.66 to 0.52 by 21.7%. Moreover, the bandwidth of the main peak is compressed.

For \( d_0/h = 1.0, \theta = 0, \sigma = 0 \), i.e., null horizontal fluid velocity, should be satisfied at \( x = 0 \) all over the water depth, i.e., the fluid particles below the pWEC are not allowed to pass through the plane of \( x = 0 \). As a comparison, for \( d_0/h < 1.0 \), the fluid particles under the pWEC are free to move across \( x = 0 \) for \( z \in [-h, -d_0] \), which may further liberate the motion of the pWEC and ultimately enhance wave power absorption.
Fig. 4: Variation of wave amplitude at \( x = 0 \) and the hydrodynamic wave force acting on the plate versus plate length, \( \omega^2 h/g = 1.44 \) (wavelength = 4.0\( h \)), \( d/h = 0.2, \bar{\chi} = \chi/h^4 = 10^4, \bar{\gamma} = \gamma/h = 1.258 \times 10^{-3}, \beta = 0.24 \) and \( \zeta = \zeta \sqrt{g/h} = 1.0 \): (a) \( |\eta_0|/A \); (b) \( |F_{\text{w}}|/p g l A \).

Fig. 5: Frequency response of the wave transmission coefficient and reflection coefficient, \( l_0/h = 0.5, d_0/h = 0.5 \). Lines: present results with \( l/h = 0.01, d/h = 0.2, \bar{\chi} = \chi/h^4 = 4.78 \times 10^{-7}, \bar{\gamma} = \gamma/h = 1.258 \times 10^{-3}, \beta = 0.24 \) and \( \zeta = \zeta \sqrt{g/h} = 1.0 \); Symbols: Zheng & Zhang [39].

Fig. 6: Frequency response of the wave power absorption efficiency, \( l_0/h = 0.5, d_0/h = 0.5, l/h = 1.0, d/h = 0.2, \bar{\chi} = \chi/h^4 = 4.78 \times 10^{-7}, \bar{\gamma} = \gamma/h = 1.258 \times 10^{-3}, \beta = 0.24 \) and \( \zeta = \zeta \sqrt{g/h} = 1.0 \).

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7 References

Fig. 7: Frequency response of the wave power absorption efficiency and wave transmission coefficient for different edge conditions, $l_0/h = 0.5$, $d_0/h = 0.5$, $l/h = 1.0$, $d/h = 0.2$, $\chi = \chi/h^4 = 4.78 \times 10^{-4}$, $\bar{\gamma} = \gamma/h = 1.258 \times 10^{-3}$, $\beta = 0.24$ and $\bar{\zeta} = \zeta \sqrt{g/h} = 1.0$: (a) $\eta_{ext}$; (b) $T$.

Fig. 8: Snapshot of the free-surface elevation and plate displacement at $t = 0$, $A = 0.2$ m, $h = 10$ m, $\omega^2 h/g = 1.61$ (wave period = 5 s), $l_0/h = 0.5$, $d_0/h = 0.5$, $l/h = 1.0$, $d/h = 0.2$, $\chi = \chi/h^4 = 4.78 \times 10^{-4}$, $\bar{\gamma} = \gamma/h = 1.258 \times 10^{-3}$, $\beta = 0.24$ and $\bar{\zeta} = \zeta \sqrt{g/h} = 1.0$: (a) clamped edge; (b) simply supported edge.
Fig. 9: Frequency response of the wave power absorption efficiency and wave transmission coefficient for different plate widths, $\eta_0/h = 0.5$, $d_0/h = 0.5$, $d/h = 0.2$, $\chi = \chi/h^4 = 4.78 \times 10^{-7}$, $\gamma = \gamma/h = 1.258 \times 10^{-3}$, $\beta = 0.24$ and $\zeta = \zeta \sqrt{g/h} = 1.0$: (a) $\eta_{ext}$; (b) $T$.

Fig. 10: Frequency response of the wave power absorption efficiency and wave transmission coefficient for different plate submergence, $l_0/h = 0.5$, $d_0/h = 0.5$, $l/h = 1.0$, $\bar{l} = \chi/h^4 = 4.78 \times 10^{-7}$, $\bar{\gamma} = \gamma/h = 1.258 \times 10^{-3}$, $\bar{\beta} = 0.24$ and $\bar{\zeta} = \zeta \sqrt{g/h} = 1.0$: (a) $\eta_{ext}$; (b) $T$.


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Fig. 11: Frequency response of the wave power absorption efficiency and wave transmission coefficient for different breakwater widths, \( d_0/h = 0.5, l/h = 1.0, d/h = 0.2, \chi = \chi/h^3 = 4.78 \times 10^{-7}, \tilde{\gamma} = \gamma/h = 1.258 \times 10^{-3}, \beta = 0.24 \) and \( \zeta = \zeta \sqrt{g/h} = 1.0 \): (a) \( \eta_{ext} \); (b) \( T \).

Fig. 12: Frequency response of the wave power absorption efficiency and wave transmission coefficient for different breakwater drafts, \( l_0/h = 0.5, l/h = 1.0, d/h = 0.2, \chi = \chi/h^3 = 4.78 \times 10^{-7}, \tilde{\gamma} = \gamma/h = 1.258 \times 10^{-3}, \beta = 0.24 \) and \( \zeta = \zeta \sqrt{g/h} = 1.0 \): (a) \( \eta_{ext} \); (b) \( T \).

8 Appendix Derivation process of the formulas and calculation for the unknown coefficients \( A_n, B_n, C_n, D_n, E_n \) and \( F_n \)

Here we take the case with simply supported edge condition as an example to show how to determine the unknown coefficients \( A_n, B_n, C_n, D_n, E_n \) and \( F_n \). Inserting the expression of the spatial potentials at different regions of the fluid domain, i.e., Eqs. (14), (17), (18) and (21), into the continuity conditions, which should be satisfied at the interfaces between each two adjacent regions, and the simply supported edge boundary conditions, i.e., Eqs. (22)-(27) and (12), gives:

\[
E_0|_0 + F_0 + \sum_{n=1}^{\infty} (E_n e^{\beta_n d_0} + F_n e^{-\beta_n d_0}) \times 
\cos[\beta_n (z + h)] = \sum_{n=0}^{\infty} B_n e^{ik_n l_0} Z_n(z), \quad z \in [-h, -d_0],
\]

\[
\frac{k \sqrt{gA}}{\omega} e^{-ikl_0} Z_0(z) - i \sum_{n=0}^{\infty} k_n A_n e^{ik_n l} Z_n(z) = \sum_{n=2}^{\infty} \kappa_n (C_n e^{-\kappa_n l} - D_n e^{\kappa_n l}) Y_n(z), \quad z \in [-h, 0],
\]

\[
\sum_{n=-2}^{\infty} \kappa_n (C_n - D_n) Y_0(z) = \begin{cases} 
0, & z \in [-d_0, 0], \\
E_0 + \sum_{n=1}^{\infty} \beta_n (E_n - F_n) \cos[\beta_n (z + h)], & z \in [-h, -d_0].
\end{cases}
\]

\[
\sum_{n=0}^{\infty} E_n B_n e^{ik_n l_0} Z_n(z) = \begin{cases} 
0, & z \in [-d_0, 0], \\
E_0 + \sum_{n=1}^{\infty} \beta_n (E_n e^{\beta_n d_0} - F_n e^{-\beta_n d_0}) \cos[\beta_n (z + h)], & z \in [-h, -d_0].
\end{cases}
\]
and be replaced by

\[
\sum_{n=-\infty}^{\infty} \kappa_n \sin(\kappa_n c) \left[ \kappa_n h \sin(\kappa_n d) + \frac{\omega^2}{g} h \cos(\kappa_n d) \right] \times (C_n e^{-\kappa_n l} + D_n e^{\kappa_n l}) = 0, \quad (44)
\]

\[
\sum_{n=-\infty}^{\infty} \kappa_n \sin(\kappa_n c) \left[ \kappa_n h \sin(\kappa_n d) + \frac{\omega^2}{g} h \cos(\kappa_n d) \right] \times (C_n e^{-\kappa_n l} + D_n e^{\kappa_n l}) = 0, \quad (45)
\]

If the plate is clamped at its edge, then Eqs. (46) and (47) should be replaced by

\[
\sum_{n=-\infty}^{\infty} \kappa_n^3 \sin(\kappa_n c) \left[ \kappa_n h \sin(\kappa_n d) + \frac{\omega^2}{g} h \cos(\kappa_n d) \right] \times (C_n e^{-\kappa_n l} - D_n e^{\kappa_n l}) = 0, \quad (48)
\]

and

\[
\sum_{n=-\infty}^{\infty} \kappa_n^3 \sin(\kappa_n c) \left[ \kappa_n h \sin(\kappa_n d) + \frac{\omega^2}{g} h \cos(\kappa_n d) \right] \times (C_n - D_n) = 0. \quad (49)
\]

The orthonormal properties of \( Z_n(z) \) and \( \cos[\beta_n(z + h)] \) can be used to help determine the unknown coefficients. Table 1 lists the multiplying terms and the corresponding intervals of integration for Eqs. (38)-(43).

After multiplying both sides of each equation among Eqs. (38)-(43) with the corresponding listed term, integrating vertically over the listed interval, and making some rearrangement, Eqs. (38)-(43) can be rewritten as

\[
A_r e^{i k_l l H_r^{(1)}} = \sum_{n=-\infty}^{\infty} (C_n e^{-\kappa_n l} + D_n e^{\kappa_n l}) J_n^{(1)} = \delta_{0,r} \frac{i g A}{\omega} e^{-ikl} H_0^{(1)}, \quad (50)
\]

\[
\sum_{n=-\infty}^{\infty} (C_n + D_n) J_n^{(2)} = \delta_{0,r} \tau F_0 H_0^{(2)}.(51)
\]

| Table 1: Multiplying terms and the corresponding intervals of integration for Eqs. (38)-(43). |
|---|---|---|---|---|---|---|
| multiplying term | interval of integration | \( Z_n(z) \) | \( \cos[\beta_n(z + h)] \) | \( \cos[\beta_n(z + h)] \) | \( Z_n(z) \) | \( Z_n(z) \) |
| \( \sum_{n=-\infty}^{\infty} B_n e^{i \kappa_n l} j_n^{(3)}(z) = -\delta_{0,r} (E_0 + F_0) H_r^{(2)} \) | \( (1 - \delta_{0,r}) (E_r + F_r) H_r^{(2)} = 0, \quad (52) \) | ik \( \tau \) \( e^{i k l l H_r^{(1)}} + \sum_{n=-\infty}^{\infty} \kappa_n (C_n e^{-\kappa_n l} - D_n e^{\kappa_n l}) J_n^{(1)}(z) \) | \( \delta_{0,r} \left( \frac{k g A}{\omega} e^{-ikl} H_0^{(1)} \right) \) | \( \sum_{n=-\infty}^{\infty} \kappa_n (C_n - D_n) J_n^{(3)}(z) = -\delta_{0,r} (E_0 + F_0) J_n^{(3)}(z), \quad (54) \) | ik \( \tau \) \( e^{i k l l H_r^{(1)}} + E_0 J_n^{(3)}(z) \) | \( \delta_{0,r} \) \( e^{-i k l l H_0^{(1)}} \) |
i.e., \((N + 1)\) terms \((n = 0, 1, \cdots, N)\) for \(A_n, B_n, E_n\) and \(F_n\) and \((N + 3)\) terms \((n = -2, -1, 0, 1, \cdots, N)\) for \(C_n\) and \(D_n\), resulting in \((6N + 10)\) unknown coefficients to be determined. After taking \((\tau = 0, 1, \cdots, N)\) in Eqs. (50)-(55) and with the simply supported edge conditions Eqs. (44)-(47) appended, a \((6N + 10)\) order complex linear equation matrix is obtained, which can be used to determine the exact same number of unknown coefficients. \(N\) should be chosen large enough to lead to accurate results. In all the theoretical computations as given in this paper, \(N = 40\) are used, unless otherwise specified. It should be noted that Eqs. (50), (53), and (54) with \(J_{\tau,n}^{(3)}\) for \(n = 0, 1, 2, \cdots\) replaced by 0, together with Eqs. (44)-(47), are the equations which can be used to solve the wave diffraction problem of a piezoelectric WEC submerged in front of a bottom-seated breakwater [35].