

2020-07

Recovery of an urbanised estuary: Clean-up, de-industrialisation and restoration of redundant dock-basins in the Mersey

Hawkins, SJ

<http://hdl.handle.net/10026.1/16255>

10.1016/j.marpolbul.2020.111150

Marine Pollution Bulletin

Elsevier BV

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1 **Recovery of an urbanised estuary: clean-up, de-industrialisation and restoration of**
2 **redundant dock-basins in the Mersey**

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4 Hawkins, S.J.^{a,b,c,d}, O'Shaughnessy, K.A.^{b,e*}, Adams, L.A.^b, Langston, W.J.^b, Bray, S.^{f,g}, Allen,
5 J.R.^d, Wilkinson, S.^{d,h}, Bohn, K.^{a,j}, Mieszkowska, N.^{b,i} and Firth, L.B.^c

6
7 ^aOcean and Earth Science, University of Southampton, National Oceanography Centre,
8 Southampton, SO17 3ZH, United Kingdom

9 ^bThe Marine Biological Association of the UK, The Laboratory, Citadel Hill, Plymouth, PL1
10 2PB, United Kingdom

11 ^cSchool of Biological and Marine Sciences, University of Plymouth, Plymouth, PL4 8AA,
12 United Kingdom

13 ^dDepartment of Environmental and Evolutionary Biology, University of Liverpool, Liverpool,
14 L69 7ZB, United Kingdom

15 ^eSchool of Geography, Earth and Environmental Sciences, University of Plymouth, Plymouth,
16 PL4 8AA, United Kingdom

17 ^fSchool of Biological Sciences, Life Sciences Building 85, University of Southampton, SO17
18 1BJ, United Kingdom

19 ^gAQASS Ltd Unit 16, Sidings Industrial Estate, Netley Abbey, Southampton, SO31 5QA,
20 United Kingdom

21 ^hJoint Nature Conservation Committee, Peterborough, PE1 1JY, United Kingdom

22 ⁱSchool of Environmental Sciences, University of Liverpool, Liverpool L69 3BX, United
23 Kingdom

24 ^jNatural England, Nottingham, NG2 4LA, United Kingdom

25
26 *Corresponding author: oshaug3@gmail.com

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28 Declarations of interest: none

29

30 **Abstract**

31 For much of the 20th century, the Mersey in North West England was one of the worst
32 polluted estuaries in Europe. Water from a range of polluting industries plus domestic sewage
33 was discharged into the Mersey Catchment and Estuary. Recovery came through a concerted
34 clean-up campaign and tightening environmental regulations, partly driven by European
35 Commission Directives, coupled with de-industrialisation from the 1970s onward. Recovery of
36 oxygen levels in the Estuary led to the return of a productive ecosystem. This led to
37 conservation designations, but also concerns about transfer of pollutants to higher trophic
38 levels in fish, birds and humans. As part of urban renewal, ecosystems in disused dock basins
39 were restored using mussel biofiltration and artificial de-stratification, facilitating commercial
40 redevelopment and creation of a tourist destination. The degradation and recovery of the
41 Mersey from peak-pollution in the mid-20th century is put in the context of wider environmental
42 change and briefly compared to other systems to develop a hysteresis model of degradation
43 and recovery, often to novel ecosystems.

44

45 **Keywords:** Conservation, pollution, contamination, disused docks, Liverpool, biodiversity

46

47 **Introduction**

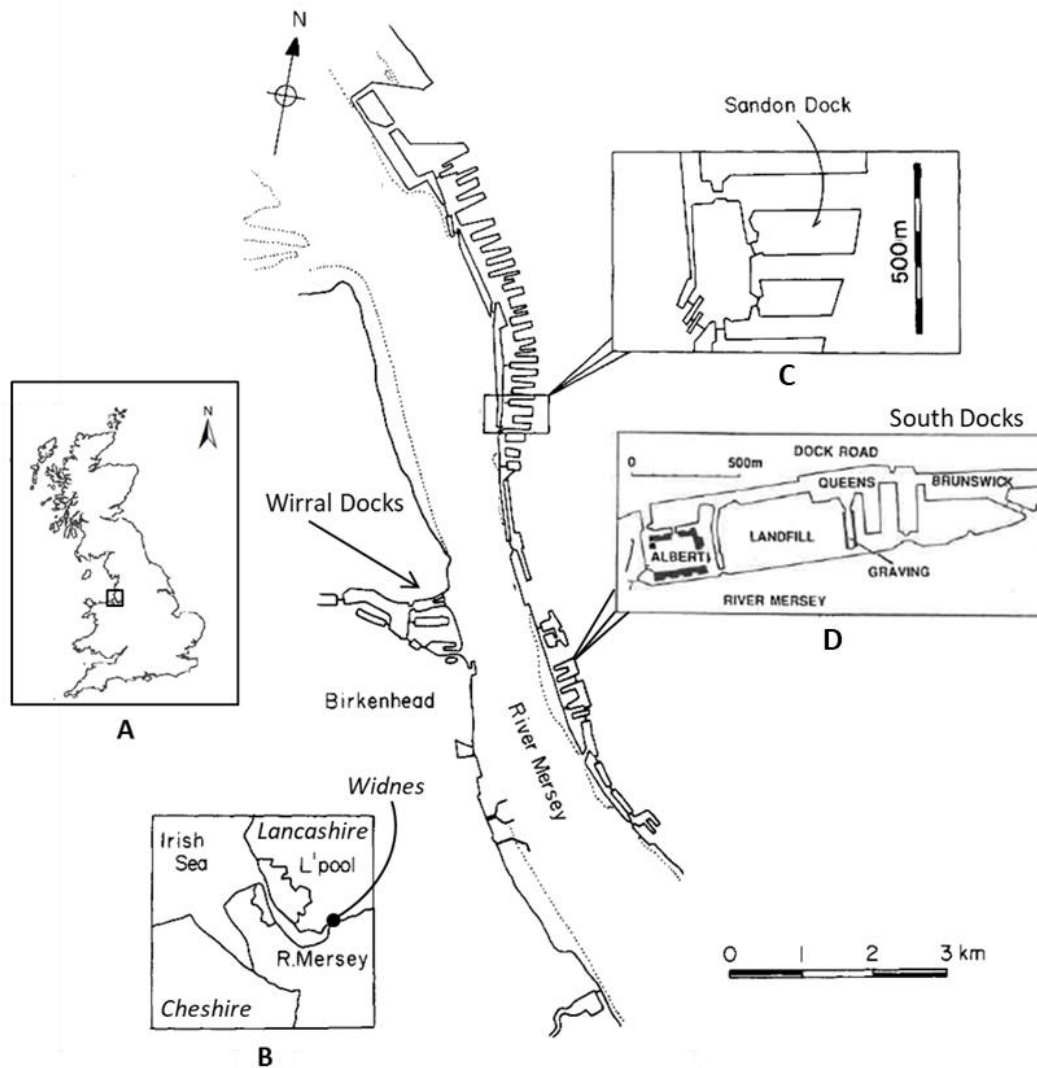
48 From the 1930s to 1980s, the Mersey Estuary had the reputation of being one of the most
49 polluted estuaries in the United Kingdom and Europe (Clark, 1989; NRA, 1995; Jones, 2000).
50 Its catchment drained the industrial heartlands of Lancashire and Cheshire, especially the
51 urban conglomerations of Manchester and Liverpool (Fig. 1), which grew rapidly throughout
52 the 18th, 19th and early 20th centuries, peaking before the Second World War (Fig. 2). Thus the
53 estuary was fed by highly polluted rivers, canalised rivers and canals including the Manchester
54 Ship Canal (Porter, 1973). All of these waterways were used as open sewers and as conduits
55 for much industrial waste with little treatment and regulation (Porter, 1973). The freshwater

56 stretches were particularly foul. A report made in 1874 on a survey of the River Mersey in
57 1869 under the direction of three Commissioners appointed by Queen Victoria reported:

58

59 *“When taking samples at Throstlenest Weir below Manchester at 5 a.m. on 21 July 1869,*
60 *we saw the whole water of the River Irwell, there 46 yards wide, caked over with a thick*
61 *scum of dirty froth, looking like a solid sooty crusted surface. Through this scum here*
62 *and there, at intervals of 6 to 8 yards, heavy bursts of bubbles were continually breaking,*
63 *evidently rising from the bottom and, where every yard or two of the scum was cleared*
64 *away, the whole surface was seen shimmering and sparkling with a continuing*
65 *effervescence of smaller bubbles rising from various depths in the midst of the water,*
66 *showing that the whole river was fermenting and generating gas. The air was filled with*
67 *the stench of this gaseous emanation many yards away. The temperature of the water*
68 *was 76 °F (24 °C) and that of the air 54 °F (12 °C).”* (report quoted in NRA, 1995).

69



70

71 Figure 1. Map of the outer estuary of the River Mersey showing the North, Central and South
 72 Dock complexes. Inset map A. shows the location of the Mersey Estuary (black box) within
 73 Great Britain. Inset map B. shows the location of the River Mersey within North West England
 74 with approximate locations of Cheshire, Lancashire and Widnes indicated. "L'pool" represents
 75 Liverpool. Inset map C. shows Sandon Dock within the North Dock complex. Inset map D.
 76 shows the docks within the South Dock. Map is modified from Russell et al. (1983) and
 77 Hawkins et al. (1992a).

78

79 Textiles, coal mining, soap and detergent manufacturing, ship-building, glass-making, the
 80 chemical industry, petro-chemicals, car factories, tanneries, food-processing, sugar refining
 81 and much else were on the banks of the rivers in the catchment, the canalised lower reaches
 82 (Manchester Ship Canal) and the Estuary and its associated docks (Ritchie-Noakes, 1984).
 83 Much of the UK's growing chemical industry was located on the interface of the Cheshire salt-
 84 fields and Lancashire coal-fields on the banks of the Mersey Estuary (Allison, 1949; Ritchie-

85 Noakes, 1984). There was also much domestic sewage, both partially-treated and raw,
86 discharged to the rivers and Estuary (Porter, 1973; Jones, 2000). Recovery of the highly
87 polluted waterway eventually came through a concerted clean-up campaign, on top of a
88 century of tightening environmental regulations, in part latterly spurred-on by Directives from
89 the European Commission (NRA, 1995). De-industrialisation also made a major contribution
90 as some heavy industries were privatised (i.e., coal, power generation, ports, car-making,
91 shipbuilding), and along with those already in the private sector, down-sized or shut down as
92 they became increasingly redundant, uncompetitive or environmentally undesirable (e.g.,
93 putting lead in petrol/gasoline; Needleman and Gee, 2013).

94 We describe the recovery of the Mersey from peak-pollution in the mid-20th century by
95 summarising unpublished data and published work, much of which is in the grey literature,
96 often from now-defunct government agencies. This is prefaced by a brief history of the
97 development of the Mersey catchment in terms of industry and population, describing how this
98 led to pollution of the Estuary. We illustrate how metal pollutants have peaked historically and
99 how levels have subsequently declined in response to stricter environmental standards and
100 de-industrialisation. We then provide a similar description of persistent organic compounds.
101 Domestic sewage pollution rose in parallel with industrialisation and is considered alongside
102 nutrient enrichment. Many of the industries of the Mersey also supplied organic waste to the
103 river, contributing to Biological Oxygen Demand (BOD), and hence, very low oxygen levels.
104 Recovery from hypoxic and occasionally anoxic conditions, following sewage treatment, was
105 critical to the recovery of the Estuary, eventually leading to conservation designations,
106 especially for birds. We then consider how, with the Estuary recovering, pollutants began to
107 pass from the productive benthos to higher trophic levels leading to bird mortalities and
108 concerns about contamination of angler-caught fish.

109 In parallel to clean-up and recovery of the Mersey Estuary, pioneering work using
110 biofiltration and artificial de-stratification helped restore ecosystems of redundant Liverpool
111 dock basins as part of urban renewal programmes. This work is topical because of the recent
112 resurgence in interest in using biofiltration in restoring degraded areas (e.g., the Billion Oyster

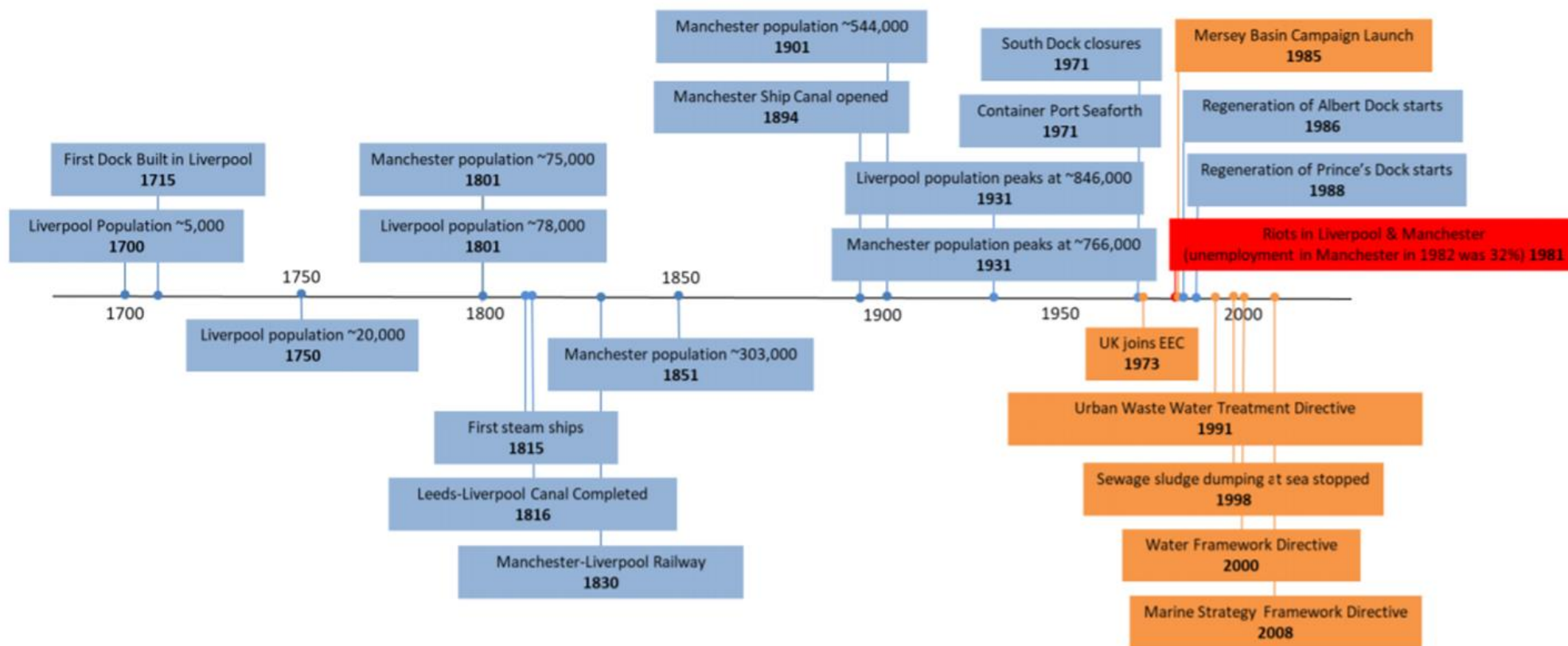
113 Project in New York; Billion Oyster Project, 2019). Finally, the recovery of the Mersey and
114 restoration of docks is put in the broader context of global environmental change, emphasising
115 that local and regional pollution needs to be managed in relation to other local, regional and
116 global drivers (see also Hawkins et al., 2017).

117

118 **Development and decline in the Mersey Catchment in North West England**

119 The North West of England was a key area of industrial development in the 18th and 19th
120 centuries (Figs. 1, 2; Allison, 1949; Ritchie-Noakes, 1984). The juxtaposition of Lancashire's
121 coal with Cheshire's salt provided the core ingredients for power and chemical industries, as
122 well as being exported themselves as commodities (Allison, 1949; Ritchie-Noakes, 1984). The
123 development of the first commercial enclosed dock basin in the modern world in Liverpool in
124 the early 1700s (Porter, 1973; Ritchie-Noakes, 1984), and subsequent port expansion
125 facilitated the triangular trade between England (salt and manufactured goods), Africa (slaves)
126 and the Americas (sugar, tobacco, cotton), leading to rapid growth of Liverpool in the 18th
127 century (Allison, 1949; Ritchie-Noakes, 1984). A network of canals (e.g., Bridgewater, Leeds-
128 Liverpool, Trent and Mersey, Macclesfield, Shropshire Union) and navigable rivers (Rivers
129 Mersey, Dee and Weaver) in the 18th century facilitated onward transfer of imports – especially
130 raw materials for manufacturing such as cotton – into the hinterland of the Mersey and Weaver
131 catchments, including industrial Manchester, its satellite towns and beyond to the north
132 Midlands, Yorkshire and North Wales (Ritchie-Noakes, 1984). Exports of finished goods
133 flowed in the opposite direction (Ritchie-Noakes, 1984). This was accelerated by rail links in
134 the early and mid-19th century, epitomised by the first passenger railway link in the world
135 between the burgeoning industrial town of Manchester and the port town of Liverpool in the
136 1830s (Kellett, 2012). In the late 19th century, Manchester became a port in its own right with
137 the building of the Manchester Ship Canal (incorporating part of the River Mersey; Struthers,
138 1993; Williams et al., 2010), which prompted further industrial growth along its tidal and
139 freshwater reaches. The ship canal was also treated as an open sewer by many industries
140 along its banks (Porter, 1973; Jones, 2000; Burton, 2003).

141



142

143 Figure. 2 History of the development of the Mersey from 1700s to 2000s, with key developments driving pollution in blue boxes, steps in urban
144 regeneration in orange boxes and major civil unrest in 1981 shown in red.

145 Populations in Liverpool and Manchester, plus their satellites, grew rapidly from
146 humble beginnings in 1700, reaching around 75,000 in both towns by 1800, around
147 300,000 in 1851 and 750,000 by 1901 (Fig. 2; Ritchie-Noakes, 1984; Jones, 2000). A
148 second wave of chemical, textile and light and heavy engineering industries flourished
149 in the second half of the 19th century and continued to grow in the first half of the 20th
150 century (Ritchie-Noakes, 1984; Jones, 2000). The population of the region peaked in
151 the 1930s and has been slowly declining since; especially with the flight to suburbs
152 following post World War II reconstruction, as both the centres of Manchester and
153 Liverpool were heavily bombed (Adey, 2016; The History Press, 2019).

154 Post-war, many industries declined after a short boom in the 1950s and early 1960s
155 (Jones, 2000). Thus, rapid de-industrialisation occurred, accelerating in the 1980s and
156 1990s, with privatisation of many nationalised industries (i.e., cars, ship building, steel,
157 rail, utilities), which in many instances resulted in their closure (Hudson and Sadler,
158 1990; Hudson et al., 1992). Textiles in particular declined rapidly in Lancashire in the
159 1960s and 1970s in the face of cheaper global competition (Walsh, 1991). Coal mining
160 ceased in the mid-1980s following de-nationalisation (Glyn and Machin, 1997). There
161 was a sharp decline in the chemical industry and ship building (Lorenz, 1991). The
162 decline and closure of many of these old 'dirty' industries occurred in parallel with
163 tighter environmental standards (MECG, 1995; NRA, 1995). Social deprivation from
164 mass unemployment led to major unrest in both Liverpool and Manchester (Fig. 2). In
165 response, major urban renewal schemes were funded by central government (Law and
166 Grime, 1993; Williams et al., 2010), leading to re-purposing and redevelopment of
167 disused docklands in both port cities. Such renewal was supported by research on
168 active water quality restoration and management of the Salford Docks in Greater
169 Manchester (Hendry et al., 1993; Law and Grime, 1993; Williams et al., 2010), being
170 summarised for the Liverpool Docks below. Prompted by greater environmental
171 awareness, new legislation evolved, and new institutions were formed (e.g., the
172 National Rivers Authority, subsequently the Environment Agency) to enforce stricter

173 standards and monitor the environment. From the early 1980s onwards, environmental
174 directives from the European Economic Community, the European Community and
175 eventually the European Union drove much change throughout Europe, greatly
176 influencing domestic policy in the UK (NRA, 1995; Byatt, 1996; for comments on the
177 consequences of Brexit for the marine environment, see Hawkins (2017)). Surprisingly,
178 very little formal monitoring of the Mersey Estuary was undertaken before the 1960s
179 (Jones, 2000). The history of scientific research and environmental monitoring in the
180 region are considered in the following sections.

181

182 **Chemical Contamination**

183 *Background inputs and data sources*

184 Since the advent of the Industrial Revolution in the early 18th century, the Mersey
185 Estuary and its catchment has been subjected to chemical wastes from cotton and silk
186 production, port activities, metal ore refining, slag dumping, bleaching, dying and
187 printing of textiles, soap and margarine manufacture, and various chemical processes,
188 including caustic soda production and petrochemical refining (Porter, 1973; Langston
189 et al., 2006). The increase in both industrial and urban development resulted in
190 increases in sewage discharges with the accompanying loadings of pollutants, peaking
191 just before the commencement of the post-industrial era some fifty to sixty years ago.
192 Much of the pollution load became entrained in sediment as a result of physical,
193 chemical and biological processes. Despite the recovery process, this contaminant
194 burden has continued to impinge on the ecological status of the Estuary, highlighted
195 in recent years because of its designation as nationally/internationally important habitat
196 for conservation of saltmarsh plants, invertebrates, fish and birds following recovery of
197 the Estuary (Langston et al., 2006).

198 Published information on water and sediment quality for the Estuary, and
199 consequences for biota in terms of bioaccumulation and biological condition was
200 heavily reliant on data collected between the late 1970s and early years of the current

201 century by the Environment Agency (EA) and its predecessors and subcontractors,
202 including the Marine Biological Association of the UK (MBA). The latter undertook a
203 series of axial surveys, reviewed published literature and unpublished reports, and
204 interrogated data sets provided by the EA in order to produce a status report on the
205 Estuary (Langston et al., 2006). Despite the paucity of recent data, the main
206 conclusions are still relevant.

207 Major initiatives at the end of the 20th century such as the Mersey Basin Campaign,
208 coupled with changing industrial practices, have led to improved water quality (NRA,
209 1995). The threat of harmful sewage and eutrophication-induced dissolved oxygen
210 depletion in the upper estuary is now much reduced as a result. However, the long-
211 term contaminant legacy in the Mersey was reflected in fine sediment loadings, and
212 depth profiles in undisturbed sediment cores reflected the timeline of historical inputs
213 (Fig. 3). Thus, sediments now represented a source as well as a sink for contaminants,
214 with the Estuary remaining one of the most contaminated in the UK; establishing
215 precise links between cause and effects on loading was, however, difficult since many
216 chemicals present co-vary displaying comparable distributions.

217

218 *Metals*

219 Metals have been toxicologically important in the Mersey because of the wide range
220 of inputs from chemical industries and Waste Water Treatment Works (WWTWs; Fig.
221 3). Depth profiling and dating in cores can reveal the past history of metal inputs and
222 illustrate how deposited sediment could be a secondary source for bioaccumulation
223 following re-suspension events – whether anthropogenic (e.g., dredging; Fig. 3, 4), or
224 natural (e.g., migration of the main channel or erosion which could be enhanced in the
225 future by climate-induced sea level rise).

226 Between-core comparison of contaminant profiles is made difficult because of
227 differences in granulometry and accretion rates. Nevertheless, estimates of sediment
228 chronologies in undisturbed cores from Ince and Widnes Warth (an older saltmarsh

229 established at least 120 years ago) clearly demonstrated a sharp rise in metals at
230 depths corresponding to the late 19th/early 20th centuries. This rise was associated with
231 the advent of major industrial processes such as smelting (Arsenic (As), Copper (Cu)),
232 production of chlorine (Mercury (Hg)), and galvanising/paint products (Zinc (Zn); Fig.
233 3; NRA, 1995; Fox et al., 1999). Commencement of anthropogenic enrichment of Cu,
234 Zn and Lead (Pb) at depth was also evident in sediment cores at Garston (located
235 approx. mid-Estuary; Ridgway et al., 2012). Most cores showed evidence of lowered
236 concentrations in uppermost horizons, indicative of recent declines in pollution. Hence,
237 incorporation of Hg into recently deposited sediments (top 10 cm) has been falling as
238 a result of regulatory measures and industry closures, though most recent values may
239 still exceed 1 µg/g; compared to pre-industrial levels of approximately 0.2 µg/g (Pope
240 et al., 1998; Vane et al., 2009; Ridgway et al., 2012). An estimated 135 tonnes of Hg
241 were held in sediments of the Ince Banks, which were subject to erosion, and as such,
242 represented a potential 'new' input to the Estuary.

243 Data from the 1970s and 1980s showed that metal concentrations were elevated
244 (NRA, 1995; Fox et al., 1999), and may have contributed to adverse biological effects
245 (NRA, 1995). Since then, dissolved metals have declined in tidal waters of the Mersey
246 and seldom posed an acute threat (risk of Environment Quality Standards failure is
247 medium to low), although concentrations were still above background and increased
248 consistently upstream (dominated largely by the freshwater loading of the River
249 Mersey). Highest concentrations in sediments were associated with fine fractions
250 deposited intertidally in the inner Estuary, with inputs derived from metal and chemical
251 industries past and present.

252 Despite significant recent improvements, Hg, Cu, Zn and to a lesser extent,
253 Chromium (Cr), Cadmium (Cd) and Pb still represent a potential concern (particularly
254 in sediments) – at least in terms of chronic, in-combination effects, if not from acute
255 toxicity. Elevated concentrations of Hg, Zn and Pb have sometimes exceeded
256 Probable Effects Levels (PEL) in surface sediments of the mid-upper estuary.

257 Birds are vulnerable to the bioaccumulation of pollutants because they occupy a
258 higher trophic level (Burger and Gochfeld, 2004). Between 1979 - 1983 lead levels
259 were a particular concern, following extensive mortality amongst over-wintering
260 estuarine birds (Bull et al., 1983). Even after de-industrialisation and clean-up efforts
261 began, invertebrates in the Estuary were still exposed to a cocktail of metals and
262 persistent organic pollutants from industrial effluents and input from the mixed sewers
263 (Burton et al., 2002), which were biomagnified up the food chain (Bull et al., 1983).
264 This resulted in a major bird kill in the middle reaches of the Mersey in 1979, with
265 smaller mortalities occurring in 1980 and 1981, in which approximately 2,500 waders,
266 gulls and wildfowl died (Bull et al., 1983; Wilson et al., 1986; NRA, 1995). Mortalities
267 were attributed to bioaccumulation of alkyl lead compounds, released into the Estuary
268 via the Manchester Ship Canal from the Associated Octel plant at Stanlow that
269 manufactured them as an additive for use in petrol (Bull et al., 1983; Wilson et al.,
270 1986). This plant eventually closed in 1984 when lead in petrol was phased out
271 following global legislation (Lovei, 1998; Needleman and Gee, 2013).

272 Results of long-term MBA bioaccumulation surveys indicated that total Pb levels in
273 Mersey biota dropped significantly following identification of the problem, cessation of
274 alkyl lead production and removal of Pb from petrol (Langston et al., 2006). Since 1987
275 there has been a 'steady state' in biota at reduced Pb levels (Pope et al., 1998).

276 Much of the Hg in the inner estuary originated from the Castner-Kellner plant near
277 Runcorn, which used a flowing mercury cathode in the production of caustic soda and
278 bleach. Concentrations > 6 µg Hg/g were recorded in surface sediment in the early
279 1980s – exceptionally high for estuarine deposits (Langston et al., 2006). Hg has a
280 strong affinity for fine-grained organic-rich particulate matter, which provides an
281 integrated record of contamination history and a source of accumulation by deposit
282 feeders and other infauna, representing a pathway to waders which feed upon them
283 (Wilson et al., 1986; Einoder et al., 2018). As with Pb, there have been substantial
284 declines in Hg body burdens in benthic organisms and fish, particularly during the early

285 1980s, following implementation of control measures; since then, Hg bioaccumulation
286 appears to have attained a quasi-steady state (Pope et al., 1998). In view of the
287 toxicological and regulatory importance of Hg, and the large quantities locked in
288 sediments and saltmarshes, updates and characterisation of sources, distributions and
289 bioaccumulation would be useful (along with that of other metals; see Table 1 for a
290 summary of studies on metal contaminants in the Mersey).

291 As a general rule, metal contamination once associated with fine sediment, tends
292 to be dispersed over a large area in this tidally dynamic estuary, leading to a degree
293 of homogeneity in surface mud concentrations, rather than reflecting the position of
294 point sources. Many metals thus showed similar distributions, largely a function of
295 grain size and organic content (Pope et al., 1998).

296 With the possibility of biological effects in mind, data for metals in intertidal
297 sediments may be compared with sediment guidelines (Threshold and Probable
298 Effects levels; TELs and PELs). Levels of most metals were moderate throughout the
299 Estuary, and for As, Cu, Cr and Nickel (Ni), most values fell between the TEL and PEL
300 values (effects may occur) and seldom exceeded the upper threshold where effects
301 would be expected. Pb, Zn and especially Hg, however, exceeded PEL values at a
302 number of sites, particularly within the mid- and upper sections of the Estuary; outside
303 the mouth of the Estuary, levels dropped noticeably (Langston et al., 2006). It is
304 stressed that these are guideline assessments only. Where sediments exceeded the
305 PEL, it was generally by a relatively small margin, rather than by orders of magnitude.
306 Effects due to these metals would largely be chronic rather than acute. Furthermore,
307 many of these comparisons were based on data that were almost 20 years old and
308 may not be representative of conditions now.

309 Metal bioaccumulation data in invertebrates and fish recorded that body burdens of
310 Hg, Pb, As and Zn were declining in the region, mirroring the trends in sediment
311 loadings in response to extensive clean-up measures and declining industry (Fig. 3;
312 NRA, 1995; Pope et al., 1998). However, changing conditions in the sediment (e.g.,

313 pH, redox) can sometimes cause a dramatic and unpredictable increase in
314 bioavailability of metals such as Ag, Cu and Hg to infauna, even though overall
315 sediment loadings remain unchanged (e.g., Langston et al., 1994; Pope et al., 1998;
316 Wang et al., 2015; Tack, 2016). This aspect of the legacy of sediment-bound
317 contaminants is poorly understood.

318 Fish are not renowned bioaccumulators of metals compared with many
319 invertebrates, other than perhaps for Hg. Nevertheless, long term monitoring of Cd, Pb
320 and Hg in the common dab (*Limanda limanda*) at two sites in Liverpool Bay revealed
321 a decreasing trend in Pb and Hg between 2007 - 2012, consistent with trends in the
322 Estuary, but an increase in Cd in Burbo Bight samples (Nicolaus et al., 2016). There
323 are few statutory Environmental Assessment Criteria (EAC) for fish, though temporary
324 guidelines (OSPAR) exist for Cd and Pb in bivalves and Hg in fish muscle. Compared
325 to these guidelines there were no exceedances for Hg or Cd; Pb exceedances in
326 Morecambe Bay dab could be linked to the elevated Pb levels observed in the Mersey
327 Channel, although as yet there is no clear justification to link any deleterious effect on
328 fish to specific metals or mixtures.

329

330 *Persistent Organic Pollutants*

331 Most reports on hydrocarbon (HC) contamination in the Mersey related to past
332 transient oil spill incidents, although in addition to shipping, sources also included river-
333 borne discharges (including road runoff and licensed and unlicensed discharge to
334 sewers), diffuse discharges from industrialised areas, oil production sites (e.g.,
335 Stanlow and Ellesmere Port refineries) and the atmosphere (pyrogenic Polycyclic
336 Aromatic Hydrocarbons (PAHs) from traffic and burning of fossil fuels; Langston et al.
337 2006). One of the more significant oil spill incidents occurred in 1989, when a fractured
338 refinery pipeline spilled over 150t of crude oil into the Estuary at Ellesmere Port (Hall-
339 Spencer, 1989; Davies and Wolff, 1990), and though raising concerns, effects on HC
340 levels in sediments were found to be minimal due to the elevated background levels

341 already present here (approx. 400 µg/g; Davies and Wolff, 1990). Despite apparent
342 reductions since (Rogers, 2002), total HC in tidal waters of the Mersey were amongst
343 the most elevated in the UK (up to 30 - 40 µg/l; Kirby et al., 1998), mirroring the
344 enrichment in sediments – notably those in organic rich intertidal muds at the margins
345 of the Estuary. These contained up to 3766 µg/kg total PAH, which sometimes
346 exceeded sediment quality guidelines and Probable Effects Thresholds (Ridgway et
347 al., 2012). The composition of PAHs suggested a mixed source profile due to a
348 combination of pyrogenic PAHs (dominated by a high proportion of high molecular
349 weight PAHs), supplemented by lower levels of petrogenic components of varying
350 composition, coupled with tidally-driven re-suspension of historically contaminated
351 sediments (Rogers, 2002).

352 Organic contaminants such as PAHs, PCBs and DDT residues from historical
353 inputs, as with metals, have sometimes appeared enriched in subsurface layers in
354 dated Mersey sediment cores, possibly correlated with organic content of fine particles
355 or slow deposition rates (Vane et al., 2007; Ridgway et al., 2012). Nevertheless,
356 profiles in dated saltmarsh cores at Ince and Widnes reflected peak DDT inputs in the
357 mid-1960s following their initial manufacture twenty years earlier. Similar timescales
358 were evident for PCBs which peaked around 1970, before subsequently declining in
359 more recent sediments following the ban on manufacture and sales in 1977 (Fox et
360 al., 2001). A consolidated sediment core at Garston also reflected the initiation (at 0.8
361 m), peak (at 0.5 m) and subsequent decline in PCB use. Across the Estuary at
362 Ellesmere Port, however, a uniform down-core distribution of PCBs was indicative of
363 more extensive vertical sediment mixing. Similar variance in core profiles attributable
364 to mixing dynamics has been observed for PAHs and Hg (Vane et al., 2007; Vane et
365 al., 2009). Thus, as with metals, subsurface peaks in loadings of organic contaminants
366 may represent only temporary immobilisation. Natural erosion (tidal/storm-induced)
367 and dredging can re-expose these layers; which act both as a sink and source of
368 legacy contaminants, still potentially available to organisms.

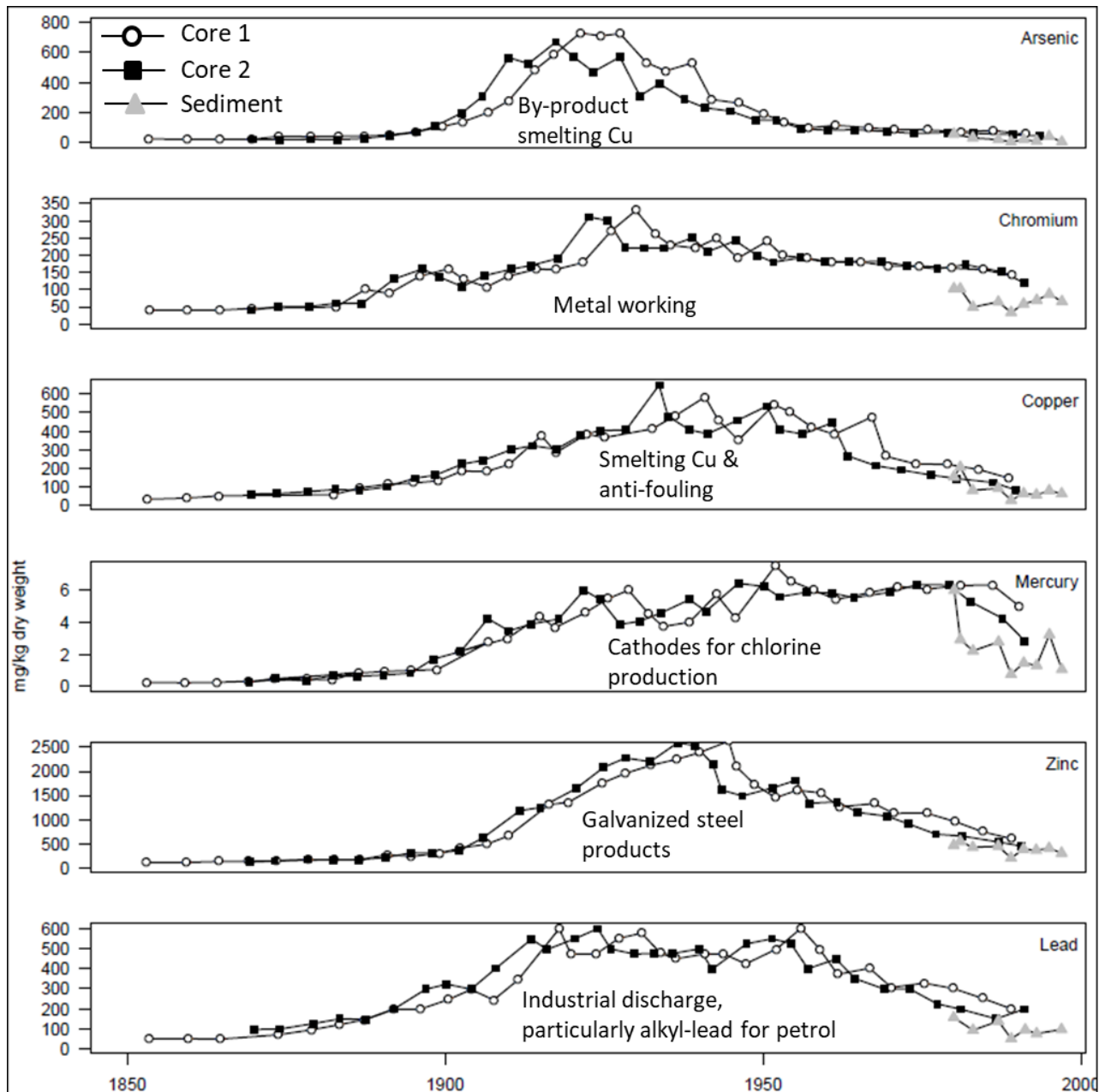
369 PCB concentrations in fine sediments from the Mersey Estuary ranged from 36 -
370 1406 ng/g (mean 123 ng/g). These values were 30-fold higher than those in Liverpool
371 Bay, and higher than most UK estuaries with comparable industrial backgrounds,
372 which was a concern in the context of OSPAR ecotoxicological guidelines (Vane et al.,
373 2007; Ridgway et al., 2012). PCBs in *L. limanda* sampled at two sites in Liverpool Bay
374 exceeded OSPAR Ecotoxicological Assessment Criteria and were among the highest
375 in the UK (Nicolaus et al., 2016); but over a five-year period up to 2012, exhibited a
376 downward trend. As with metals, there is as yet no evidence to link PCBs, in isolation,
377 with any specific effect, though they are capable of immunosuppression and
378 reproductive impairment (Nicolaus et al., 2016).

379 Concentrations and risks from other measured water-borne contaminants appeared
380 to be mostly low, although few sites have been monitored comprehensively. TBT in
381 tidal waters have in the past (data for 2004) exceeded the Environmental Quality
382 Standard (EQS) benchmark (2 ng/l), widely, with highest values upstream. Sources
383 included the Manchester Ship Canal, docks and shipyards, and the River Mersey itself.
384 Sediment hotspots in docks and mid-upper estuarine sites such as Stanlow (0.4 - 2.41
385 µg/g), were often above action limits for safe disposal (0.1 µg/g, lower limit; 1 µg/g,
386 upper limit; CEFAS, 2005). Remobilisation of these sediments must be considered a
387 continuing issue to the biological condition of the Mersey given that TBT
388 concentrations in biota often exceeded OSPAR Ecotoxicological Assessment Criteria
389 (0.001 - 0.01 µg/g dry weight for mussels) and the fact that TBT has a long half-life,
390 particularly in anoxic sediment. The threat of TBT as an endocrine disruptor is
391 diminished by the fact that highly sensitive gastropods are not a major component of
392 the Mersey ecosystem (although imposex has been observed in the past in dog-whelks
393 from Hilbre Island near the mouth of the Estuary in Liverpool Bay; Langston et al.,
394 2006). Within sedentary invertebrate communities, however, high levels of intersex
395 severity and frequency have been observed in clams, *Scrobicularia plana*, from the
396 Estuary (W.J. Langston, unpublished data), contrasting with the low levels of intersex

397 in *S.plana* typical of uncontaminated sites (Langston et al., 2007). Causes of this
398 reproductive anomaly are not yet known. Vitellogenin induction and intersex levels in
399 male flounder from the Mersey were elevated in the 1990s, raising concerns over links
400 between endocrine disruption and environmental quality (Lye et al., 1997; Kirby et al.,
401 2004). The influence of hormone-containing sewage wastes and the presence of
402 ubiquitous persistent organic compounds such as alkylphenols (considered by the EA
403 as being at medium or high risk of EQS failure in the Mersey) are both possible causes.
404 However, time series data indicated declining levels of egg-yolk protein in male
405 flounder (Kirby et al., 2004).

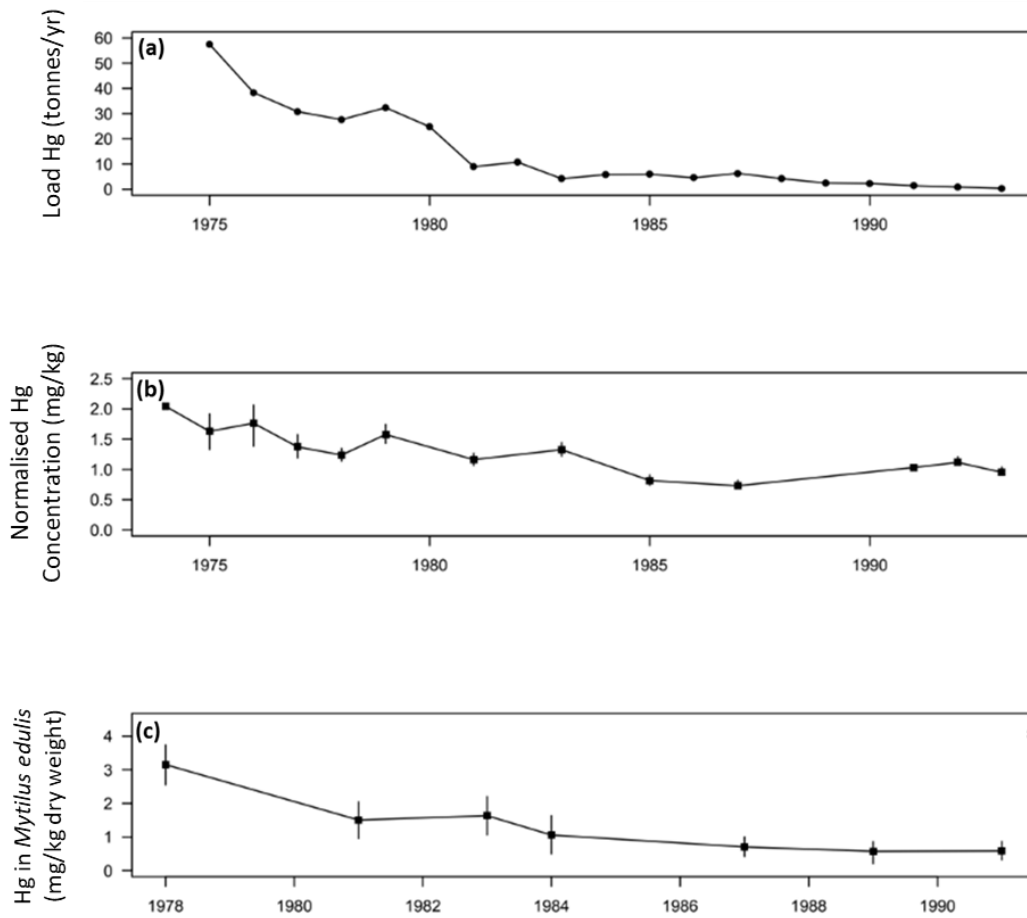
406 Other forms of biological effects monitoring in fish (metallothionein, ethoxyresorufin-
407 O-deethylase (EROD), DNA adducts, bile metabolites, pathology and disease
408 prevalence) indicated that the Mersey displayed moderate-to-high level responses
409 when compared with other UK estuaries (in line with chemical contamination).
410 Nevertheless, ecological surveys suggested that, whilst abundance may be low in
411 some areas, the diversity of invertebrate and fish communities has increased in the
412 post-industrial-era, including some re-colonisation upstream, and a substantial
413 increase in birds in the mid-1990s coincided with improved water quality. However, the
414 overall favourable trend in bird numbers has been marred subsequently by an
415 increasing number of British Trust for Ornithology (BTO) Alerts (often contrasting with
416 both regional and national trends); causes of declines in bird numbers, and possible
417 links to changing water quality, require investigation. Despite the lowered risk of acute
418 toxic effects, the Mersey Estuary remains chronically contaminated over much of its
419 area (generally increasing upstream), and it is possible that combined pressures and
420 remobilisation of legacy contaminants could impair performance of sensitive species
421 and benthic communities. Given the scarcity of recent biological response information
422 and water quality data, a programme of harmonised chemical and biological effects
423 monitoring should be re-instigated at the earliest opportunity.

424



425

426 Figure 3. Historical concentration of metals in two dated cores (open circles and black
 427 squares) from Widnes Warth (1850s - 1990s). Recent levels of metals in surface
 428 sediment (grey triangles) from Widnes Warth are included (1980 - 1996). Sources of
 429 each contaminant are also included as text within the graph. Historical core data were
 430 sourced from work done by The Industrial Ecology Centre, Liverpool University and
 431 The Westlakes Research Institute in Cumbria, and published in Fox et al. (1999). More
 432 recent surface sediment data were sourced from Pope et al. (1996).



433

434 Figure 4. (a) Load of Mercury to estuary from Chlor-Alkali Plants. (b) Mercury in
 435 sediments. (c) Mercury in *Mytilus edulis* (lower reaches of the Estuary). Modified from:
 436 NRA (1995). Source: Langston et al. (2006).

437
438

Table 1. Summary of selected published and grey literature on metal pollutants in the Mersey Estuary and adjacent coast of inner Liverpool Bay.

Focal topic	Location	Period	Reference
(A) Water			
Entire estuary			
Nickel	Upper, middle, lower	early 1970s, early 2000s	Abdullah and Royle (1973); Langston et al. (2006)
Zinc	Upper, middle, lower	early 1970s, early 2000s	Abdullah and Royle (1973); Langston et al. (2006)
Lead	Upper, middle, lower	early 1980s, early 2000s	Riley and Towner (1984); Langston et al. (2006)
Mercury	Upper, middle, lower	1980s-1990s, early 2000s	Harland et al. (2000); Langston et al. (2006)
Arsenic, Boron, Cadmium, Chromium, Copper, Iron	Upper, middle, lower	1980s-1990s, early 2000s	Langston et al. (2006)
Docks			
Copper, Lead, Zinc	Sandon Docks	1980s	Hawkins et al. (1992b)
(B) Sediment			
Entire estuary			
Silver, Iron, Selenium, Arsenic, Tin, Cadmium, Manganese, Chromium, Nickel	Upper, middle, lower	1980s-1990s	Langston (1986); Pope et al. (1996); Environment Agency (1997); Langston et al. (2006)
Zinc, Copper	Upper, middle, lower	1980s-1990s	Langston (1986); NRA (1995); Pope et al. (1996); Environment Agency (1997); Langston et al. (2006)
Mercury, Lead	Upper, middle, lower	1980s-1990s	Langston (1986); NRA (1995); Pope et al. (1996); Environment Agency (1997); Fox et al. (1999); Langston et al. (2006)
Caesium, Americium, Copper	Upper	early 1990s	Fox et al. (1999)
Arsenic, Chromium	Upper	early-mid 1990s	NRA (1995); Fox et al. (1999)
Mercury	-	early 2000s	Vane et al. (2009)
Docks			
Lead, Copper, Zinc	Sandon, Collingwood, South Docks	1970s, 1980s	James and Gibson (1980); Environmental Services Ltd. (1988); Hawkins et al. (1992b)
Iron	Collingwood	1970s	James and Gibson (1980)
Cadmium, Mercury	South docks	late 1980s	Environmental Services Ltd. (1988)

(C) Organisms**Entire estuary**Silver, Cadmium, Chromium,
Copper, Iron, Manganese, Nickel,
Lead, Zinc, Mercury, Arsenic, Tin,
Selenium

Upper, middle, lower

1980s-1990s

Langston (1986); Langston et al. (1995, 2006); NRA (1995);
Pope et al. (1996)**Flatfish**

Mercury

Middle, lower

1980s-early 2000s

Edwards (1994); NRA (1995); CEFAS (2005); Langston et al.
(2006)Cadmium, Arsenic, Zinc, Copper,
Chromium

Middle, lower

early 1990s

Edwards (1994)

Lead

Middle, lower

early 1990s

Edwards (1994); NRA (1995)

Roundfish

Mercury, Lead

Middle, lower

early 1990s

Edwards (1994); NRA (1995)

Cadmium, Arsenic, Zinc, Copper,
Chromium

Middle, lower

early 1990s

Edwards (1994)

Eel

Mercury, Lead, Cadmium

1990s

NRA (1995); Langston et al. (2006)

Docks**Mussels**

Lead, Copper, Zinc

Sandon Dock

1980s

Russell et al. (1983); Hawkins et al. (1992b)

Cadmium, Mercury, Arsenic

Sandon Dock

1980s

Russell et al. (1983)

Sea squirts

Lead, Copper, Zinc

Sandon Dock

1980s

Hawkins et al. (1992b)

Fish

Lead, Copper, Zinc, Cadmium

Sandon, Preston
Docks

late 1980s

Hawkins et al. (1993)

Eels

Mercury

Albert Dock

1980s

Johnston et al. (1991); Langston et al. (2006)

440

441 **Sewage pollution, Biological Oxygen Demand (BOD) and Dissolved Oxygen**
442 **Levels**

443 *“The whole of the sewage is still thrown into the river, much of it indeed, into the*
444 *basins and all of it at such points as to act very prejudicially on the health of the*
445 *town”* - The Borough Engineer of Liverpool, 1848 (cited in NRA, 1995; Jones,
446 2006).

447 In response to the cholera epidemic in the rapidly growing and crowded town of
448 Liverpool in the 1840s and 1850s, sewers were installed which then discharged raw
449 sewage into the Estuary and also into the dock basins themselves (Porter, 1973;
450 Jones, 2006). As the towns of North West England rapidly grew, they all developed
451 systems that discharged raw sewage into the Mersey and its tributaries, the
452 Manchester Ship Canal (post 1894) and directly into the Mersey Estuary itself (Porter,
453 1973; Hendry et al., 1993; Jones, 2006). Additionally, various industries discharged
454 their waste into combined sewers including effluents with high BOD (e.g., tanning,
455 sugar refining, brewing, soap manufacture, food processing; Porter, 1973). With the
456 rapid growth in population of North West England, the sewage of around 2.5 million
457 people found its way directly or indirectly into the Mersey (Jones, 2006), mostly subject
458 to only preliminary treatment - if at all - from around 83 outfalls into the estuary itself,
459 49 of which were in the Narrows (25 from Liverpool and Bootle and 24 from the Wirral;
460 Porter, 1973). In the 1930s, the Water Pollution Research Board (1938) estimated that
461 80,000 kg per day of organic carbon entered the estuary as sewage – nearly 68% of
462 the total organic carbon load, with tannery effluents being the next biggest input (13%;
463 Water Pollution Research Board, 1938; Porter, 1973). This load led to the lowering of
464 oxygen in the upper reaches of the estuary above Widnes, but levels were generally
465 above 60% in the middle and lower estuary (Porter, 1973). Thus, the estuary was
466 already severely polluted.

467 Matters worsened after the Second World War. Diversification of industry with
468 government backing led to considerable growth of food processing (especially animal
469 and vegetable fats and oils), paper and board production all discharging BOD loading
470 into the Estuary, plus unsightly faecal material and large balls of fat or grease fouling
471 the foreshore (Mersey and Weaver River Authority, 1971; Porter, 1973; Alexander,
472 1982; Burton, 2003; see Fig. 2 for a list of significant events affecting water quality in
473 the Mersey). Regular and systematic monitoring of the Estuary was given impetus by
474 the foundation of the Mersey and Weaver River Authority in 1965. Reporting on the
475 state of the estuary in the late 1960s showed much lower oxygen levels than in the
476 1930s, with levels less than 40% in the middle reaches and less than 50% even in the
477 outer Estuary (Mersey and Weaver River Authority, 1971). During this period there
478 was no control of discharge pre-dating 1960 into tidal waters, with consents at that
479 time only being required for new discharges (Porter, 1973). Since then, greater
480 environmental awareness and tightening national and European legislation, plus de-
481 industrialisation (Porter, 1973; Jones, 2006; O'Hara, 2017), has led to reduction in
482 BOD and ammonia, as well as improvements in oxygen levels entering the estuary
483 over Howley Weir (Fig. 5a; NRA, 1995). The BOD loading has been steadily reduced
484 with much sewage increasingly being subject to treatment leading to lower BOD and
485 higher oxygen levels (Fig. 5b; Jones, 2006). This has been driven by government
486 policy and investment supported by institutional change starting with a patchwork of
487 river boards being aggregated into the North West Water Authority (NNWA) in the early
488 1970s, with responsibilities for both sewage dispersal and water quality management
489 and regulation in rivers and estuaries (Jones, 2000). With subsequent privatisation of
490 water utilities in the late 1980s to form North West Water PLC, the water authority's
491 combined role as sewage discharger ("poacher") and regulator ("gamekeeper") was
492 split with the formation of National Rivers Authority (Burton, 2003) with responsibilities
493 out to 3 nautical miles from the coast for monitoring and enforcement. The Environment
494 Agency (EA) was formed in 1996 through subsequent mergers with other

495 environmental regulatory bodies as a consequence of the Environment Act (1995). By
496 the early 2000s virtually no raw sewage was entering the Mersey Estuary; additionally
497 organic waste from industry was much reduced (Jones, 2006). Very low oxygen levels
498 that were apparent on spring tides in the mid and upper reaches of the Estuary in the
499 1970s, still apparent in the upper reaches in the mid-1990s, had now disappeared
500 (Jones, 2006; Langston et al., 2006).

501

502 **Nutrients in the Mersey**

503 Inadequate sewage treatment and discharges from sewer overflows all
504 contributed to excess nutrients in the Mersey as well as oxygen demand (NRA,
505 1995). It is widely recognised, however, that diffuse urban and agricultural runoff are
506 additional nutrient sources leading to further impacts on river catchments, not least
507 the Mersey (e.g., Rothwell et al., 2010), possibly leading to eutrophication.

508 Earlier research placed the nutrient loading in the Mersey – and influence outward
509 into Liverpool Bay – providing context against which to judge later improvement (see
510 Jones, 2006). One of the earliest references to raising nutrient levels appears to be
511 related to farming which increased in intensity from the mid-19th century and led to
512 “*nitrates, phosphates and drainage from cow sheds*” (Burton, 2003). Abdullah and
513 Royle (1973) noted that during data collection in 1970 - 1971 to establish mixing
514 within Liverpool Bay, there was a marked input of nutrients and “chemical salts”, but
515 that at that time little was known of the chemical composition of Mersey waters.
516 However, the work clearly showed high levels of silicates and nitrates strongly
517 associated with the input of a plume from the Mersey into Liverpool Bay. Further
518 work by Foster et al. (1978) considered dissolved ammonia in Liverpool Bay, and
519 data collected during a cruise in 1975 showed a northerly transport of effluents
520 associated with industrial, agricultural and domestic sources. Foster et al. (1978)
521 noted that although other waters discharged into Liverpool Bay (Ribble and Alt
522 Estuaries and River Dee), the Mersey was clearly identified as the “*major contributor*

523 *of dissolved ammonia to Liverpool Bay*”, presumably reflecting the reducing
524 environment with low oxygen levels.

525 Rothwell et al. (2010) showed that monitoring sites for nutrient loading on the
526 Mersey River Catchment and basin were highly variable in land cover with some at >
527 40% arable and others at > 60% urban. Their research highlighted that the Mersey
528 Catchment was highly “*flashy*” with low permeability; thus runoff was ejected
529 relatively rapidly into Liverpool Bay. The highest mean nitrate and phosphate levels
530 were recorded in the freshwater part of the Mersey Basin. Using regression
531 modelling, Rothwell et al. (2010) showed that arable land explained 40% of variance
532 in mean nitrate; whereas for phosphate, variance was not well explained with only
533 23% of variance explained, and of that circa 15% was attributable to urban land
534 cover. They noted, however, that some of the highest site levels recorded were
535 associated with point source sewage discharges in more urban areas; thus, whilst
536 arable land was the major factor, despite efforts to control point source discharges,
537 high associated nitrate and phosphate levels were still recorded until relatively
538 recently. Rothwell et al. (2010) commented that more work was required to consider
539 nutrient input to rivers in the region (including the Mersey), particularly in the face of
540 growing housing pressure and sewage treatment needs.

541 The Mersey Basin Campaign was begun in 1985 as a cooperation between
542 government bodies, water companies and other partners, with nutrients being a
543 specific target in efforts to improve water quality (Jones, 2000). In a review of marine
544 dead zones, Diaz and Rosenberg (2008) commented that work to improve and
545 eliminate dead zones included the Mersey, through management of nutrients. This
546 view was based largely on work by Jones (2000, 2006) who noted that in 1999 the
547 Mersey Basin Campaign “*won the inaugural prize as the World’s Best River*
548 *Management Initiative*” (Jones, 2006). However, as Jones (2000) stated, there was
549 no room for complacency despite the notable improvements in nutrient levels and
550 other pollutants and broadly within this period analysis of mid 1990s data showed

551 that the Mersey still had one of the highest nutrient loads of 93 sites considered
552 (Nedwell et al., 2002).

553 Encouragingly, subsequent work has shown a decrease in ammonium, dissolved
554 inorganic phosphate and nitrite in the Mersey. But the relative contribution of nitrate
555 from the Mersey to Liverpool Bay had increased, probably reflecting higher oxygen
556 levels. Nitrate was also correlated with freshwater inflow, suggesting run-off from
557 agricultural land in the catchment, although not as strongly as the River Thames
558 (Greenwood et al., 2019). Testing in relation to the Water Framework Directive
559 (WFD) and OSPAR targets showed that the mouth of the Mersey and Liverpool Bay
560 plume “*passed the OSPAR DIN [dissolved inorganic nitrogen] assessment, but*
561 *exceeded the WFD salinity-normalized threshold*”.

562 Greenwood et al. (2019) reported that the general improvement in freshwater
563 nutrient loading (for the Mersey and Thames) was associated with improved
564 phosphorus stripping at sewage treatment works, but also highlighted that efforts to
565 reduce nutrients had been less effective for DIN. It was concluded that “*effective*
566 *measures*” were needed to target DIN reduction.

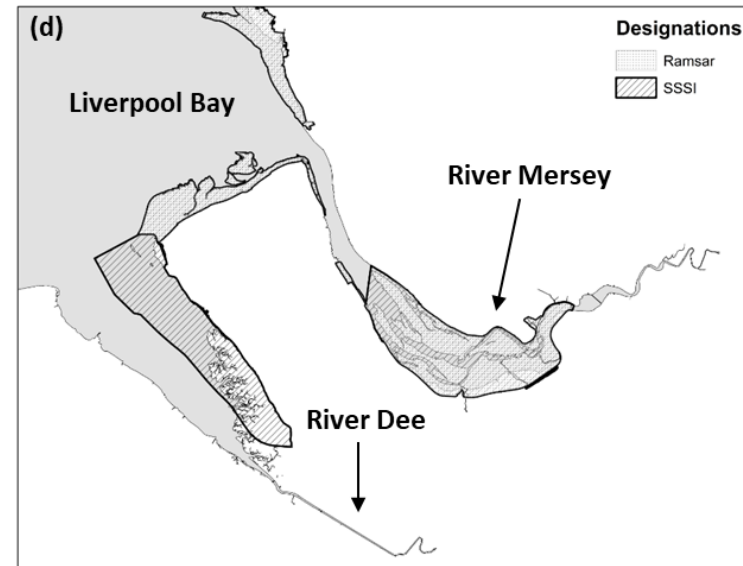
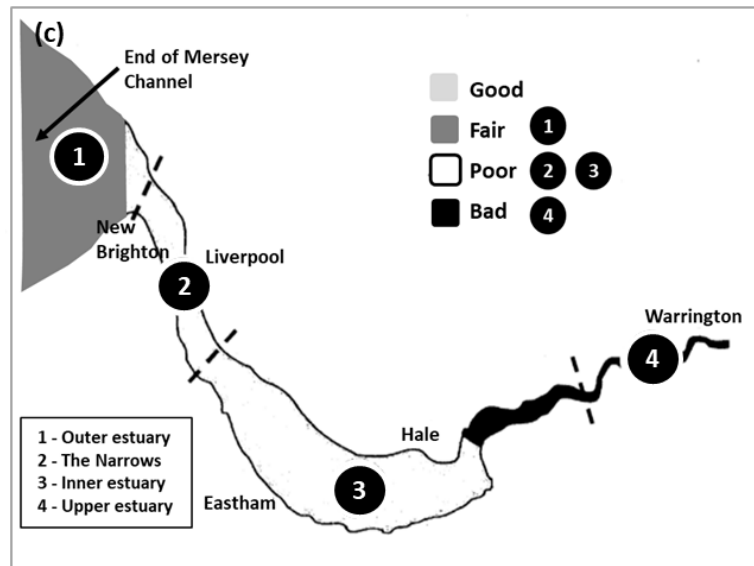
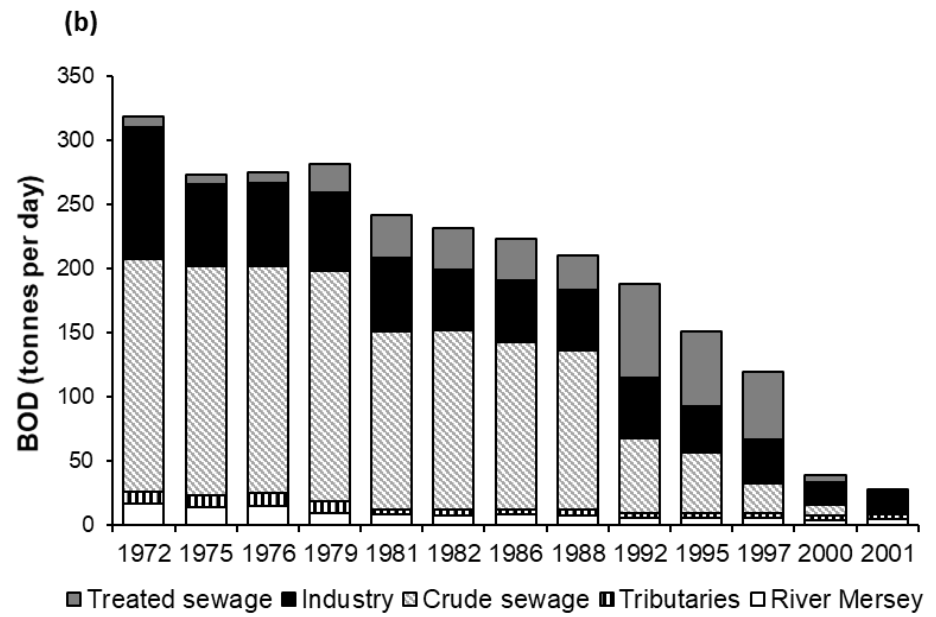
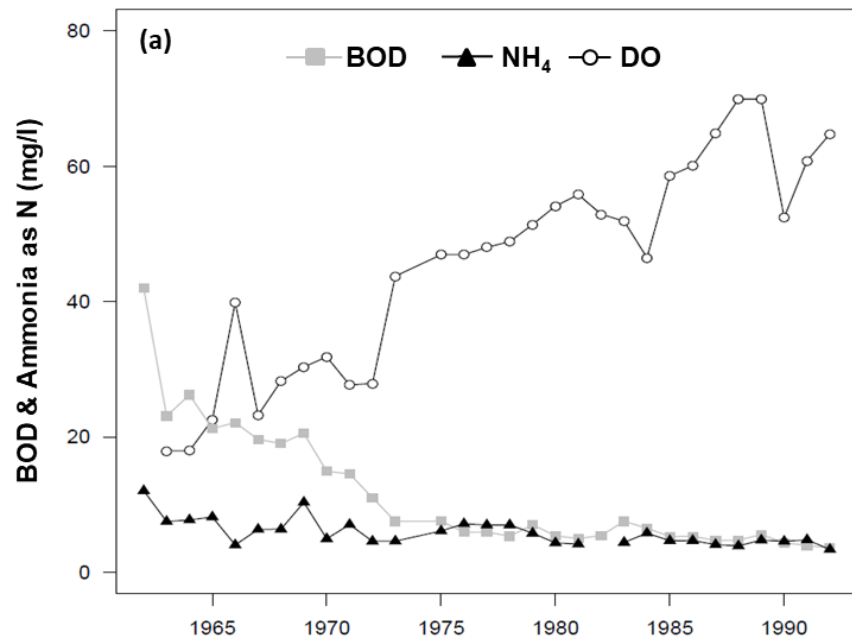
567 Upstream of the narrows, high levels of nutrients did not lead to excessive
568 phytoplankton blooms typical of eutrophic waters because of the turbidity of the
569 Estuary caused by sediment load and tidal re-suspension (NRA, 1995). Plankton
570 blooms were, however, reported from the middle reaches of the Estuary, occurring
571 on neap tides when suspended sediment load and concomitant light attenuation
572 declined. These conditions temporarily allowed healthy phytoplankton growth, with
573 both ammonia and silicate levels significantly declining and with dissolved oxygen
574 becoming supersaturated (NRA, 1995). Clearly, the nutrient-rich water of the Mersey
575 presented problems for enclosed dock basins, with lower sediment loads and
576 stratification leading to extreme blooms of phytoplankton as described in a later
577 section (Allen et al., 1992; Wilkinson et al., 1996; Wanstall, 1997). The nutrient plume

578 from the Mersey no doubt contributed to algal blooms in Liverpool Bay (Jones and
579 Haq, 1963) and the high nutrient status of the Irish Sea (Allen et al., 1998).

580

581 **Recovery of benthos, fish and birds plus pollutants at higher trophic levels**

582 Invertebrate communities in intertidal sediment in the Mersey were frequently
583 studied during the late 19th and early 20th centuries (Herdman, 1895; Herdman, 1920;
584 Fraser, 1932; Bassindale, 1938); yet no extensive ecological studies were made again
585 until the early 1970s (Mills, 1998). Although there have been many studies, it is difficult
586 to compare results because survey methods, taxonomic expertise, site locations,
587 sediment type (e.g., mud, sand, stone) and analyses differed among studies. For
588 example, Bassindale (1938) found 68 species among his sampling sites, which
589 numbered just over 100 and spanned the inner, middle and outer Estuary. During the
590 same time period, Fraser (1932), however, found fewer than 20 species at his 23
591 sampling sites that spanned approximately 1 mile located in the middle of the Estuary.
592 Forty years later, Ghose (1979) recorded 135 species from intertidal sampling sites
593 located in the inner, middle and outer Estuary. Results from Ghose (1979) and more
594 recent surveys (ERL, 1993; Environment Agency, 2002), in combination with a review
595 of studies done by the NRA in 1989, suggested that the invertebrate fauna was
596 recovering as a result of declines in anoxia with decreased BOD loads from the 1970s
597 (Fig. 5a; Holland, 1989; NRA, 1995; Jones, 2006). Moreover, with de-industrialisation
598 and the subsequent decline of input of metals into the Estuary, body burdens of metals
599 in benthic invertebrates have dropped since the 1980s, with concentrations of metals
600 in biota reaching a 'steady state' condition in the 1990s (Pope et al., 1998; Langston
601 et al., 2006).



603 Figure 5. (a) Improvements in water quality at Howley Weir (top of Mersey Estuary).
604 (b) BOD load discharges to the Estuary. (c) Water quality assessment conducted by
605 the Department of the Environment and the National Water Council (1990s). Dashed
606 lines and numbers (1-4) delineate the different sections of the Mersey. (d)
607 Conservation Designations for the Mersey Estuary. Ramsar sites are wetland areas
608 designated as internationally important under the Ramsar Convention. SPA = Special
609 Protection Area and is a designation under the European Union Directive on the
610 Conservation of Wild Birds (79/409/EEC) adopted in 1979. SSSI = Site of Special
611 Scientific Interest, which were set up by the National Parks and Access to the
612 Countryside Act 1949 and represents a protected area in the UK. Source: modified
613 and updated from NRA (1995).
614

615 Better oxygenation also encouraged the return of fish into the upper and middle
616 reaches (Wilson et al., 1988; Environment Advisory Unit, 1991; Fielding, 1997).
617 Historically the Mersey had supported rich fisheries in the 18th and early to mid-19th
618 centuries (Cunningham and Lankester, 1896; Dunlop, 1927; Wilson et al., 1988; NRA,
619 1995), and along with the other estuaries entering Liverpool (Rivers Dee and Ribble)
620 and Morecambe Bays (Rivers Wyre and Lune), has been a rich nursery ground for
621 many juvenile fish such as herring, plaice and gadoids (Hardy, 1995; Natural England,
622 2012). In particular, salmonids were once a common migrant into the Mersey, relying
623 heavily on its freshwater catchment for spawning (Jones, 2006). Although poor water
624 quality drove all salmonids from the Mersey catchment at the peak of pollution in the
625 20th century, in 2001, a single salmon was caught at Woolston Weir – the first in the
626 Mersey in nearly 50 years (Jones, 2006). Since then, salmonids have been frequently
627 observed in the Mersey during spawning season (Ikediashi et al., 2012).

628 Trawl surveys of the Mersey were initiated in the 1980s with the aim of recording
629 fish species and temporal trends in the estuary (Hering, 1998). This trawling
630 programme recorded 40 species – up from the 25 species recorded in the mid-1970s
631 from cooling water intake screens at Runcorn and Ince (D'Arcy and Pugh-Thomas,
632 1978; D'Arcy and Wilson, 1978). In the early 1990s, data obtained from surveys made
633 by ERL (1992) were used to compare the fish assemblage of the Mersey to other large
634 estuaries in the UK. Their study found that the structure of the Mersey fish assemblage
635 was similar to that of comparable UK estuaries (Elliott and Dewailly, 1995), indicating
636 the Mersey was once again becoming a healthy estuary.

637 In part, the eutrophic nature of the Estuary with considerable organic inputs must
638 have fuelled production of invertebrates. In turn, large numbers of birds began to return
639 to the estuary with growing populations (Fig. 6; MECG, 2019), making the Mersey an
640 important destination for overwintering (e.g., Dunlin, Redshank, Teal) and resident
641 wildfowl (e.g., Cormorant, Grey Heron; Thomason and Norman, 1995; Lawson et al.,
642 2015; Ross-Smith et al., 2015). Water quality assessments (Fig. 5c) and various

643 conservation designations followed (Fig. 5d) because of the large numbers of birds
644 that began to use the estuary (Fig. 6). In particular, the sand and mudflats of the
645 Estuary provided critical feeding grounds, while the adjacent saltmarshes, sand dunes
646 and grasslands acted as essential breeding or roosting habitat for many species of
647 birds (MECG, 1995; NRA, 1995). The Mersey is particularly critical for waterfowl of
648 Arctic, Subarctic and temperate regions during the non-breeding winter season (the
649 Mersey accounts for approx. 10% of total wintering population in the British Isles), as
650 well as for populations needing a resting staging post during travel from the Arctic to
651 Europe or even as far south as Africa (MECG, 1995; NRA, 1995). The Mersey Estuary
652 was classified as a SPA (Special Protection Area) under the European Union Directive
653 on the Conservation of Wild Birds, as well as a SSSI (Site of Special Scientific Interest;
654 Fig. 5d) in 1995 and 2004, respectively (Natural England, 2019).

655 Estuarine birds are sensitive to external factors and are therefore good indicators
656 of the health of the Estuary and changes in climate. For example, during the cold
657 winters of the mid-1960s, 1970s and early 1980s it is suspected by the authors that
658 shorebirds preferred milder west coast estuaries to the harsher east coast, especially
659 during North Atlantic Oscillation negative winters typified by colder, continentally driven
660 weather of the 1960s to early 1980s (Kendall et al., 2004). As a result, the Mersey saw
661 greater populations of shorebirds during these years.

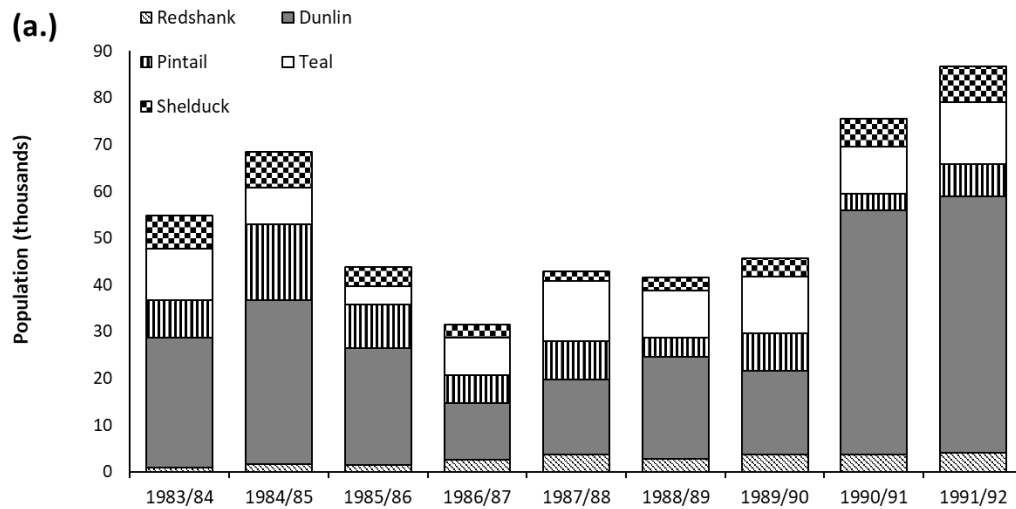


Figure 6. (a) Use of the Mersey Estuary by birds (1983 - 1992) prompting Conservation Designations. These data were obtained after the 1979/80/81 mass mortality of birds in the middle reaches of the Estuary. Source: NRA (1995). (b) Recent populations of the same birds from 1995 - 2011. The solid blue lines represent the moving averages of the observations. The authors suspect the decline in populations is attributed to changes in local climate. Source: Natural England (2015).



663 As fish gradually returned to the Mersey starting in the 1960s (NRA, 1995), there was
664 greater recreational fishing for eels and flounders in the middle and upper reaches of
665 the Estuary, in addition to that in the lower reaches for a variety of species including
666 dab, cod and whiting (Wilson et al., 1988; NRA, 1995; Collings et al., 1996). There
667 were concerns, however, that health risks associated with the consumption of fish from
668 the Estuary were possible (NRA, 1995; Leah et al., 1997a, b; Matthiessen and Law,
669 2002). Thus in May 1991, the Ministry of Agriculture, Fisheries and Food (MAFF)
670 issued a warning to anglers advising against consumption of fish from the polluted
671 rivers of the estuary (Edwards, 1994; NRA, 1995). Surveys of metals in angler-caught
672 eels and flounder (Fig. 7) showed high levels of metals approaching or exceeding the
673 then EQS levels set by the European Commission (NRA, 1995; Collings et al., 1996;
674 Jones, 2000).

675 Concerns were also expressed about persistent organic compounds such as
676 dichlorodiphenyltrichloroethane (DDT), Bisphenol A (BPA), polychlorinated biphenyls
677 (PCBs) and tributyltin chloride (TBT), which have been suggested to be linked to birth
678 defects, reproductive dysfunction, endocrine disruption and changes in hormones and
679 the immune system (Grun et al., 2006; Carwile et al., 2009; Nicolopoulou-Stamati et
680 al., 2013; Darbre, 2015). Many of these compounds are insecticides and pesticides
681 (e.g., TBT, PCBs, DDT) that leach from anti-foulants or run off agricultural land and
682 across impermeable urbanised surfaces into receiving rivers and estuaries (Fernandez
683 et al., 1999; Chau, 2005; Guan et al., 2009). Although many of these are no longer in
684 production due to regulations that emerged in the 1970s (e.g., DDT, PCBs) and 1980s
685 (e.g., TBT), they are environmentally persistent compounds, and therefore may still be
686 present in the flora and fauna of the Mersey Estuary (Darbre, 2015; US EPA, 2018).
687 These compounds may be transferred to fish through the food chain as well as through
688 other media such as sediment and water (NRA, 1995; Lopes et al., 2012; Darbre, 2015;
689 US EPA, 2018). In fact, a study from the early 1990s found that levels of DDT and

690 PCBs in fish and shellfish from the Mersey Estuary were still elevated, with higher
 691 levels detected in the inner estuary (NRA, 1995).

692

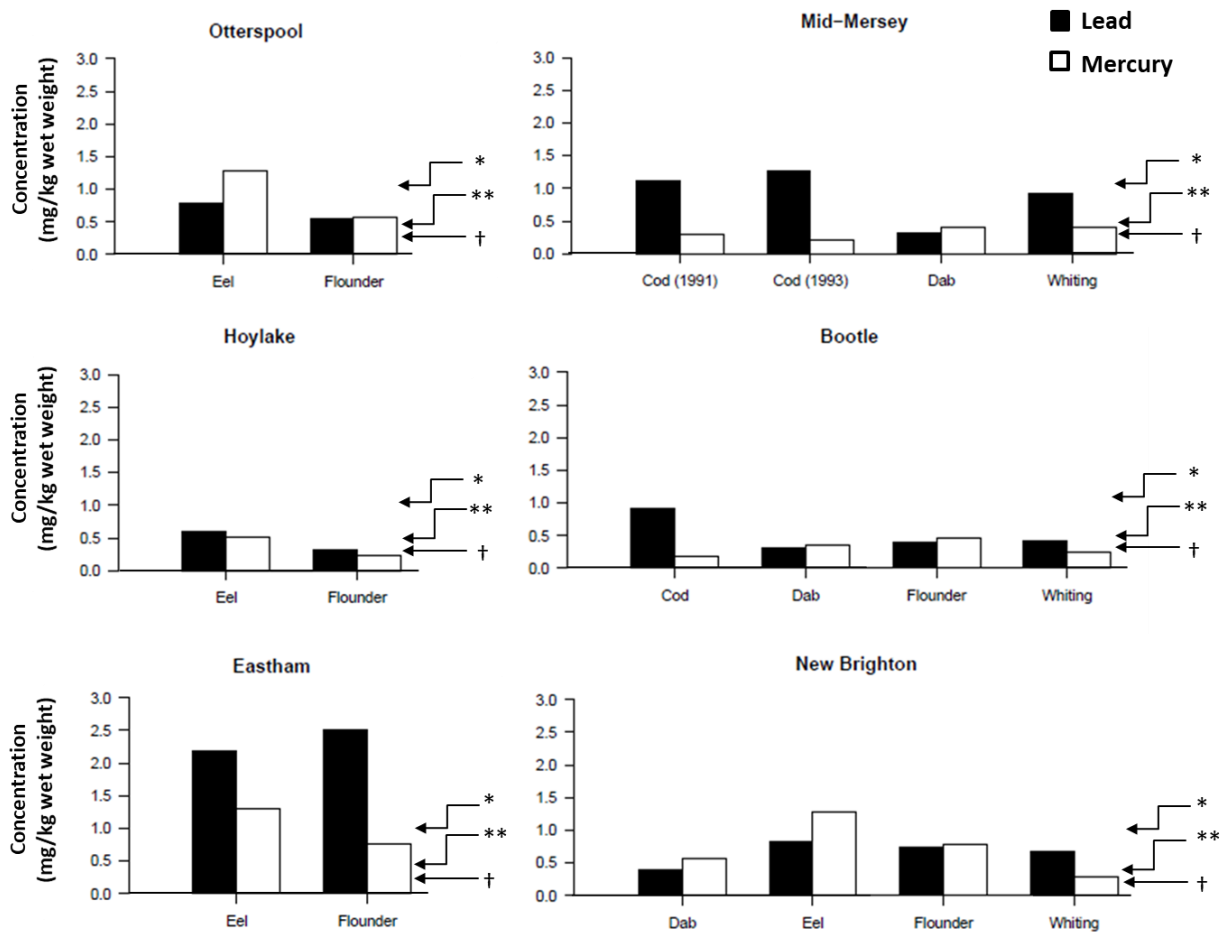


Figure 7. Lead and mercury in angler caught fish. The Environmental Quality Standard (EQS) for *eels is 1.0 mg/kg of mercury; **fish is 0.5 mg/kg of mercury; and †eels and fish is 0.3 mg/kg of lead. The map shows Mersey Estuary sampling sites depicted in the graphs above. Figure modified from NRA (1995), with data from Edwards (1994).

693

694

695

696 **Restoring disused docks**

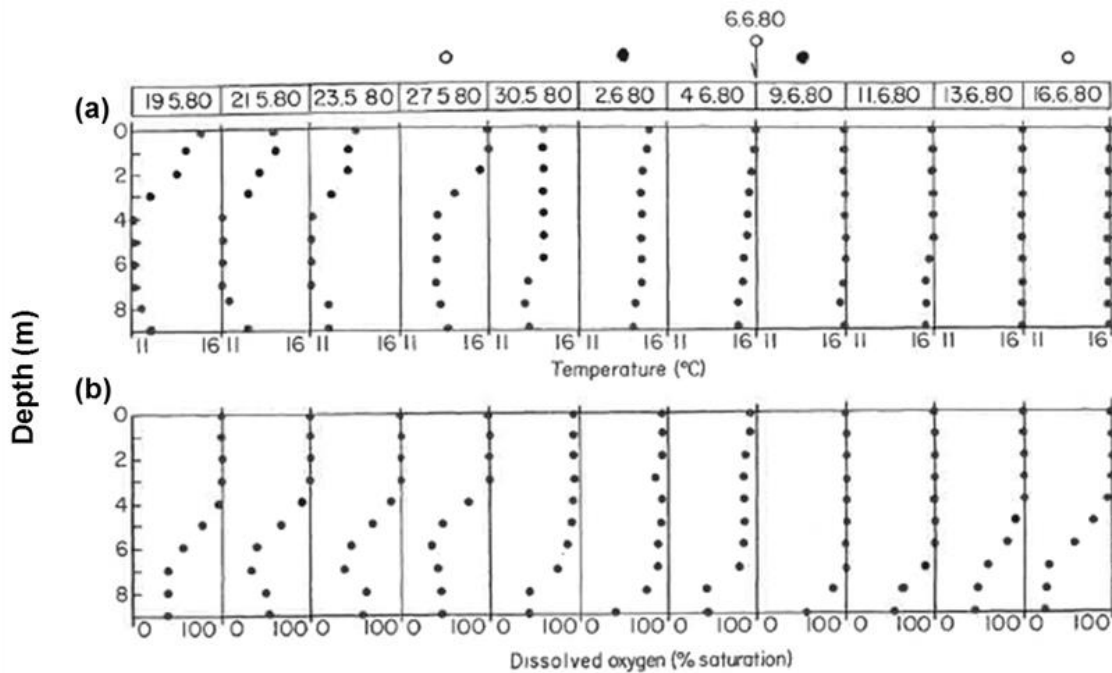
697 The growth of the global shipping trade in the 16 - 17th centuries resulted in
698 development of major commercial maritime docks in harbour cities worldwide, and the
699 associated modification and destruction of natural shoreline habitats (Hawkins et al.,
700 1999a, b; Chou, 2006). Here we use the example of the Liverpool, UK docks – the
701 world's first mercantile dock system from the early 18th century (Ritchie-Noakes, 1984;
702 Hawkins et al., 1999b) – to describe ecological rehabilitation efforts.

703 The first enclosed dock basin was built in Liverpool in 1710 to combat the large tidal
704 range of around 10 m (Allison, 1949; Ritchie-Noakes, 1984; Hawkins et al., 1999b).
705 Eventually Liverpool had > 100 docks that stretched 10 km from the sea up the River
706 Mersey during its peak in the early 20th century (Ritchie-Noakes, 1984; Hawkins et al.,
707 1999b). These hard artificial structures replaced soft sediment and salt marsh
708 (Hawkins et al., 1992a; 1999b). Many enclosed dock basins built in British macro-tidal
709 estuaries fell into decline and disuse from the 1970s with the onset of containerisation
710 (McConville, 1977; Hawkins et al., 1992a). Following building in Liverpool of a
711 container terminal at Seaforth at the entrance to the Mersey Estuary, the South Dock
712 system was abandoned in the 1970s (Ritchie-Noakes, 1984). The dock gates were left
713 open and the docks silted up (Hawkins et al., 1992a; Hawkins et al., 1999a). When the
714 gates were restored and water re-introduced as a precursor to urban renewal schemes
715 in the mid-1980s (Hawkins et al., 1999a), the docks had stagnant and oxygen-poor,
716 heavily-polluted shallow water (Hendry et al., 1988a; Allen et al., 1992, 1995; Hawkins
717 et al., 1992a). Some of the docks only had intermittent exchange of water with the
718 outer estuary on spring tides (Hawkins et al., 1992a).

719 Such disuse provided opportunities to test novel ecological engineering (eco-
720 engineering) approaches for urban waterfront regeneration with ecological and
721 societal benefits (Hawkins et al., 1992a). But urban renewal was retarded by eutrophic,
722 anoxic, smelly, polluted waters with unsightly algal blooms and reduced biodiversity
723 that were aesthetically displeasing (Russell et al., 1983; Allen et al., 1992; Hawkins et

724 al., 1992a; Hawkins et al., 1999a). Thus, interventions were undertaken with the aim
725 of improving water quality to increase biodiversity and ecosystem functioning (i.e.,
726 biofiltration, nutrient cycling) and create an environment amenable to urban renewal.

727 The first lessons learnt came from an experimental salmonid farm established in
728 the 1970s in Sandon Dock. This tested the potential for disused docks to be used for
729 aquaculture as part of an early diversification effort by the Mersey Dock and Harbour
730 Company (Russell et al., 1983; Hawkins et al., 1992b; Hawkins et al., 1999b). An airlift
731 water circulation and aerator system was installed to promote oxygenation and mixing
732 of the water column (Fig. 8; Russell et al., 1983). Although the salmonid farm failed
733 due to a red-tide event when dinoflagellate resting stages were re-suspended (Russell
734 et al., 1983; Hawkins et al., 1992a), the water circulation/aerator improved water
735 quality significantly to allow for colonisation of the dock walls by mussels and their rope
736 cultivation using natural settlement (Russell et al., 1983; Hawkins et al., 1992a, b; Allen
737 and Hawkins, 1993). In addition, there was substantial colonisation of the docks by a
738 diverse benthic biological community (Russell et al., 1983; Hawkins et al., 1992b;
739 Hawkins et al., 1999b). The dense mussel population in Sandon was probably
740 facilitated by the artificial water circulation to act as a biofiltration system at all depths
741 in the dock leading to clear water (Russell et al., 1983; Hawkins et al., 1992b).



742

743 Figure 8. The effects of air-lift pump mixing on (a) temperature and (b) dissolved
 744 oxygen depth profiles in Sandon Dock. Sampling dates are given above. Empty circles
 745 above the profiles represent when pump was turned on, while dark circles denote when
 746 pump was switched off. Temperature range was 11 - 16 °C, while oxygen saturation
 747 range was 0 - 100% Figure was obtained from Russell et al. (1983).
 748

749 To improve water quality in the Albert Dock complex in the South Docks, an airlift
 750 pump for mixing was installed in the mid-1980s on advice of the University of Liverpool
 751 on the basis of experience in Sandon Dock. Mussels were subsequently
 752 experimentally transplanted into the former Graving Dock in the South Docks to trial a
 753 biofiltration system (Allen et al., 1992; Allen and Hawkins, 1993). Initially, 600 kg of
 754 mussels contained within mesh-tubing were purchased from a mussel fishery in the
 755 Menai Strait, North Wales and suspended from buoyed lines in the Graving Dock,
 756 which at the time, supported very low abundances of filter feeders (Allen and Hawkins,
 757 1993). Fortunately, however, a large natural settlement of mussels occurred in the
 758 Albert Dock and many others in the South Dock complex during extensive locking of
 759 water during the Tall Ships Race of 1988 (Allen et al., 1992; Hawkins et al., 1999a).
 760 The progress of this initial colonising cohort can be seen in Fig. 9: density decreased
 761 as biomass increased and stabilised (Fig. 9a); the dominant cohort was still apparent
 762 five years later. Subsequent recruitment to the dock walls was much slower,

763 presumably due to intraspecific competition for space and possible filtration of larvae.
 764 Dense settlement still occurred on new material put in the docks, such as floating
 765 pontoons.
 766

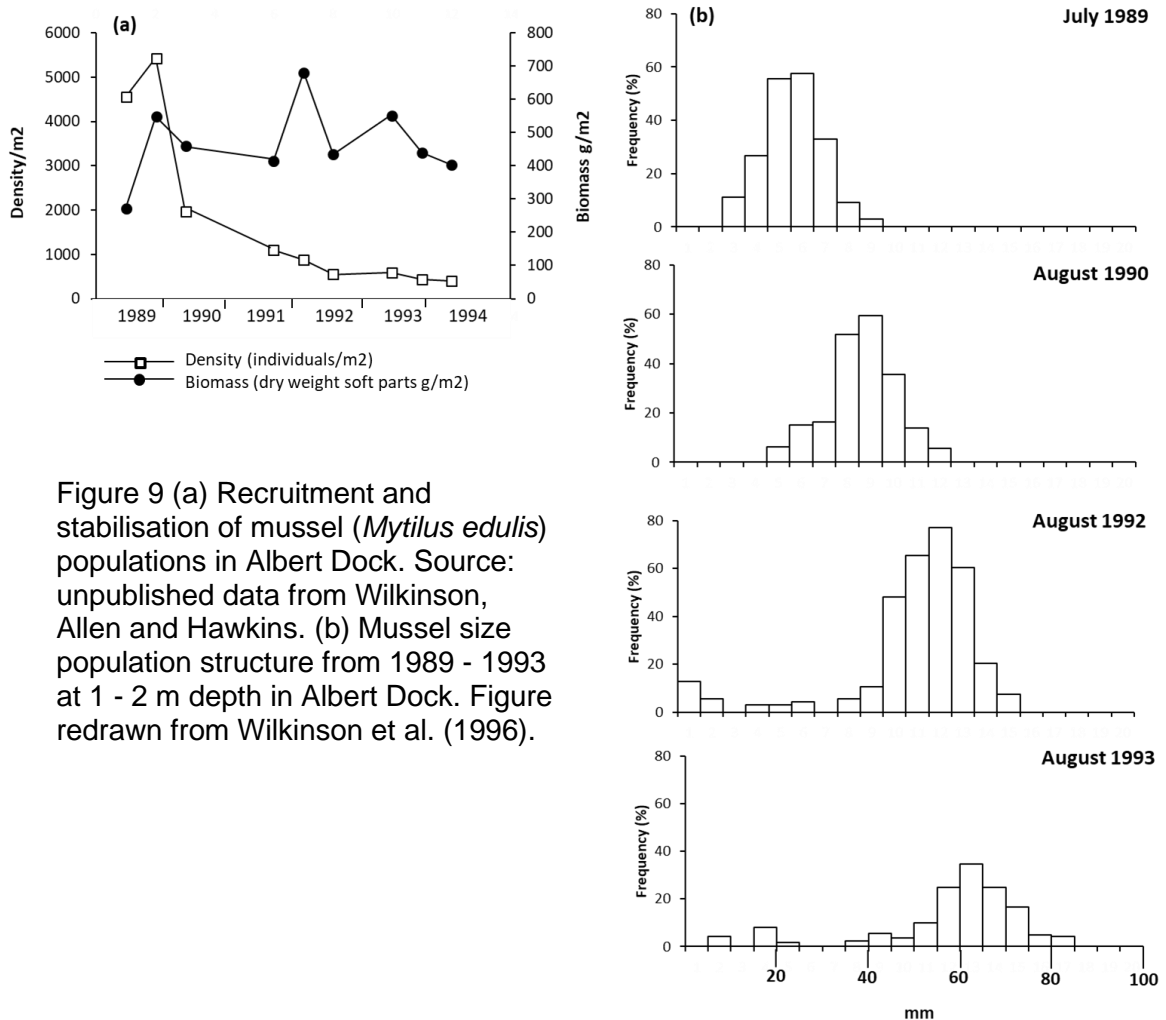
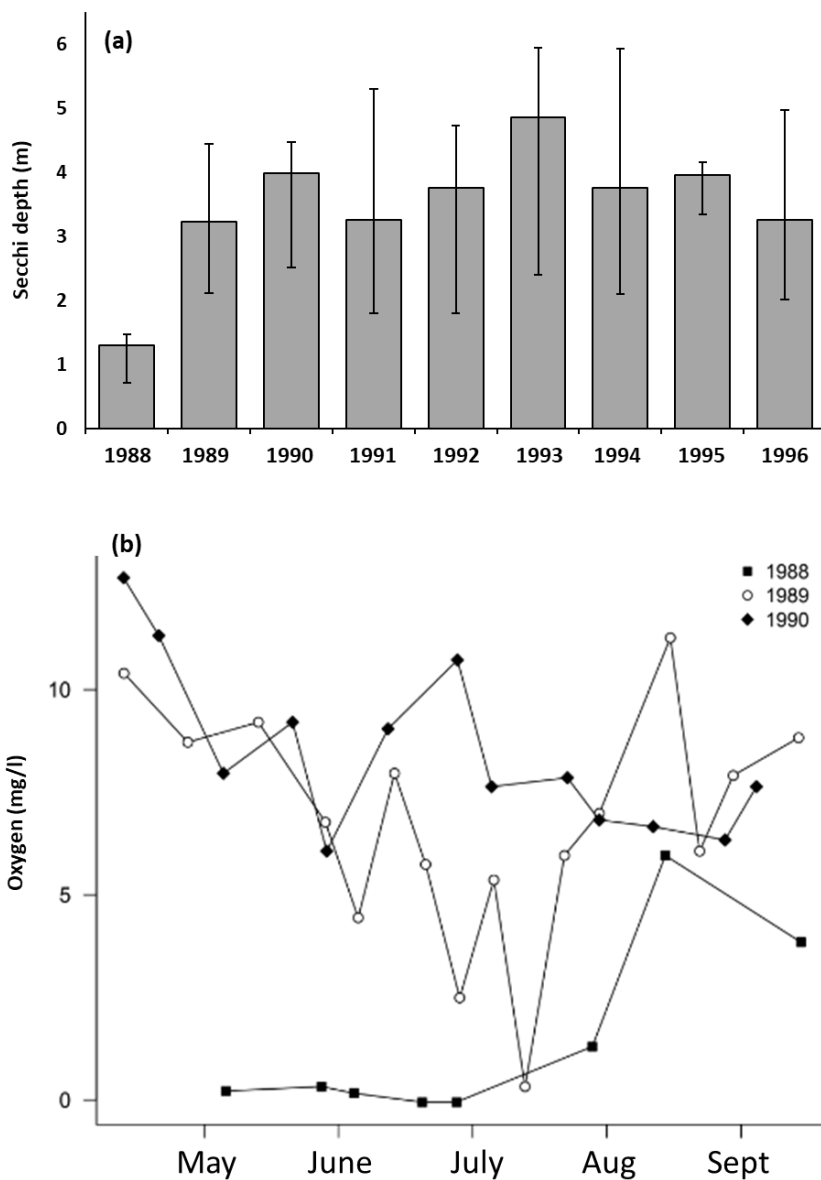


Figure 9 (a) Recruitment and stabilisation of mussel (*Mytilus edulis*) populations in Albert Dock. Source: unpublished data from Wilkinson, Allen and Hawkins. (b) Mussel size population structure from 1989 - 1993 at 1 - 2 m depth in Albert Dock. Figure redrawn from Wilkinson et al. (1996).

767
 768

769 The increase in abundance of mussels led to rapid filtration of the water in the dock
 770 (measured as the time taken for one dock volume of water to pass through the mussel
 771 population), with the fastest filtration rate estimated to be in the Albert Dock, at 1 - 3
 772 days (Allen et al., 1992; Allen and Hawkins, 1993; Hawkins et al., 1999a).
 773 Subsequently, water clarity improved markedly owing to a decline in phytoplankton
 774 biomass; this was attributed to increase in biofiltration, controlling populations of
 775 phytoplankton (see also Dame et al., 1980; Officer et al., 1982). The dock was also

776 oxygenated throughout the water column by artificial mixing breaking down any
 777 thermocline formation. Ultimately, the combination of artificial mixing via airlift pump
 778 and natural biofiltration by mussels led to significant water quality improvements in
 779 both the Sandon and South Dock complexes (Fig. 10; Russell et al., 1983; Allen et al.,
 780 1992).
 781



782
 783 Figure 10. (a) Water clarity (Secchi depth) in Albert Dock between June and August
 784 1988 - 1996. Redrawn from Hawkins et al. (1999b). (b) Oxygen concentrations in Albert
 785 Dock, showing improvement over time (1988 - 1990).

786

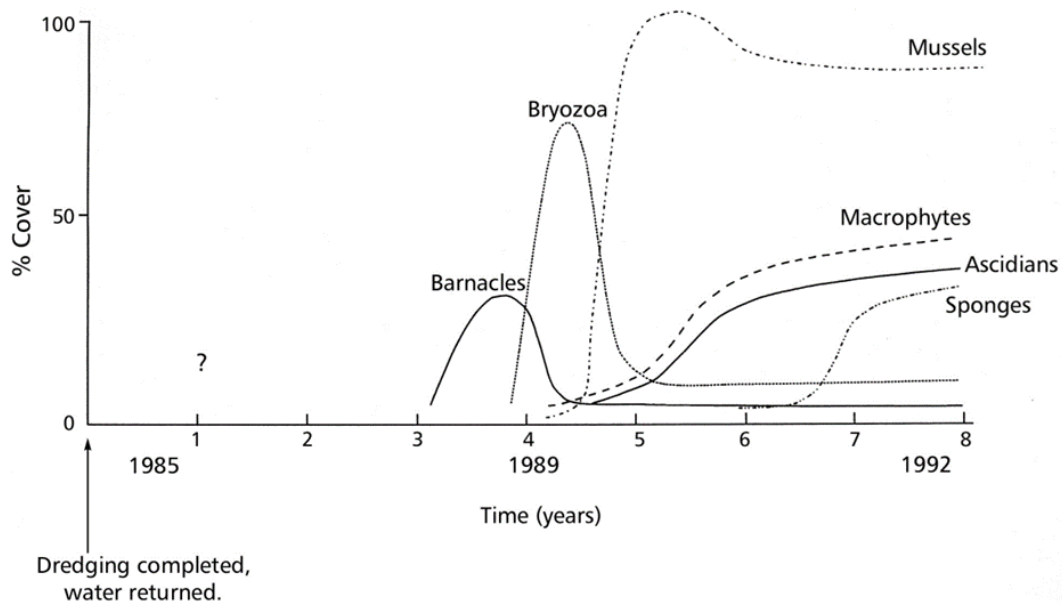
787 Considerable improvements in water quality coupled with a large cover of dense
788 mussels allowed a diverse assemblage of benthic invertebrates to colonise the dock
789 walls (Fig. 11; Hawkins et al., 1993; Wilkinson et al., 1996; Fielding, 1997). Barnacles
790 and bryozoans were followed by mussels from natural settlement, which not only
791 helped improve water quality but also provided complex habitat for associated fauna
792 and flora, including tunicates and sponges, as well as smaller organisms such as
793 amphipods and polychaetes (Allen et al., 1995; Wilkinson et al., 1996). De-
794 stratification of the water column in the docks allowed algae to live deeper in clearer
795 water, and bivalves and other benthic invertebrates were able to colonise the dock
796 walls at all depths down to the sediment (Hawkins et al., 1993; Allen et al., 1995).
797 There was, however, less colonisation of the sediments that still consisted of glutinous,
798 anoxic mud. Overall, a diverse but totally novel community resulted.

799 With a rich assemblage of benthic organisms in the docks and high oxygen levels,
800 fish returned to the area. The assemblage of fish caught in docks reflected those in the
801 Estuary – with locking in and out, the dock system acted as a giant fish trap. Those
802 caught or observed in the docks include migratory fish in passage through estuaries
803 (e.g., eels from freshwater to the ocean; salmonids from the ocean to freshwater),
804 resident fish (e.g., sticklebacks), fish that spend most of their time in estuaries but
805 migrate to the sea to spawn (e.g., flounder), typical inshore species that stray into the
806 outer reaches of estuaries (e.g., dab, cod) and those using estuaries as nursery
807 grounds (e.g., herring, sprat, gadoids). Over time, surprisingly diverse fish species
808 were caught in multi-mesh gill nets (Table 2). The most noteworthy being the sea trout
809 (*Salmo trutta*), an indicator species providing evidence of clean-up of the estuary and
810 catchment (Salmon & Trout Conservation of the UK, 2019).

811 In 2012, a follow-up survey was made to establish whether a stable ecosystem was
812 present in the docks. Despite a slight reduction in salinity due to connection with the
813 freshwater Leeds-Liverpool Canal to promote recreational boating, the assemblages
814 were remarkably stable and were still dominated by mussels (Firth et al., unpublished).

815 A few starfish (*Asterias rubens*) had settled naturally in Albert Dock but were in
 816 insufficient numbers to have much impact on the mussels. A diverse and stable
 817 ecosystem with high quality clear water had persisted for over 20 years.

818 Due to the significant ecological improvements, the Albert Dock Complex has
 819 successfully been developed for luxury housing, museums and office space, now
 820 being a major English tourist attraction and are frequently used for water sports
 821 including swimming (Hawkins et al., 1992a). The docks also serve as 'artificial
 822 lagoonoids' (Allen et al., 1995), supporting diverse and abundant biological
 823 communities in addition to providing habitat for some endangered lagoon species (see
 824 Table 3 for a summary of studies done on the flora and fauna of the Mersey Estuary
 825 and dock complexes).



826

827 Figure 11. Colonisation of major biotic groups on the walls in the Albert Dock
 828 following dredging and refilling with water. Dredging and replacement with water
 829 occurred between 1981 - 1985. Water quality remained relatively poor until a dense
 830 natural settlement of mussels occurred in late summer and autumn of 1988. '?'
 831 represents no data. Figure from Hawkins et al. (1999b), which was modified from
 832 Allen (1992).

833 Table 2. List of species of fish caught in the South Docks, Liverpool in the late 1980s - mid-1990s following substantial improvements in water
 834 quality due to restoration work initiated in the 1980s.

Species	Common name	Reference
<i>Trisopterus luscus</i> (Linnaeus, 1758)	Bib	Allen (1992); Fielding (1997)
<i>Gadus morhua</i> Linnaeus, 1758	Atlantic Cod	Allen (1992); Zheng (1995); Fielding (1997)
<i>Limanda limanda</i> (Linnaeus, 1758)	Common Dab	Allen (1992); Zheng (1995); Fielding (1997)
<i>Platichthys flesus</i> (Linnaeus, 1758)	European Flounder	Allen (1992); Zheng (1995); Fielding (1997)
<i>Melanogrammus aeglefinus</i> (Linnaeus, 1758)	Haddock	Fielding (1997)
<i>Clupea harengus</i> Linnaeus, 1758	Atlantic Herring	Allen (1992); Fielding (1997)
<i>Pleuronectes platessa</i> Linnaeus, 1758	European Plaice	Allen (1992); Zheng (1995); Fielding (1997)
<i>Pollachius pollachius</i> (Linnaeus, 1758)	Pollack	Fielding (1997)
<i>Taurulus bubalis</i> (Euphrasen, 1786)	Long spined sea scorpion	Allen (1992); Zheng (1995); Fielding (1997)
<i>Salmo trutta</i> Linnaeus, 1758	Sea Trout	Zheng (1995); Fielding (1997)
<i>Myoxocephalus scorpius</i> (Linnaeus, 1758)	Short spined sea scorpion	Fielding (1997)
<i>Solea solea</i> (Linnaeus, 1758)	Common Sole	Allen (1992); Fielding (1997)
<i>Sprattus sprattus</i> (Linnaeus, 1758)	European sprat	Allen (1992); Fielding (1997)
<i>Chelidonichthys lucerna</i> (Linnaeus, 1758)	Tub Gernard	Fielding (1997)
<i>Merlangius merlangus</i> (Linnaeus, 1758)	Whiting	Allen (1992); Zheng (1995); Fielding (1997)
<i>Anguilla anguilla</i> (Linnaeus, 1758)	European Eel	Allen (1992); Zheng (1995)
<i>Chelon labrosus</i> (Risso, 1827)	Thick-lipped grey mullet	Allen (1992); Zheng (1995)

<i>Ciliata mustela</i> (Linnaeus, 1758)	Five bearded rockling	Allen (1992)
<i>Gasterosteus aculeatus</i> Linnaeus, 1758	Three-spined stickleback	Allen (1992); Fielding (1997)
<i>Pomatoschistus microps</i> (Krøyer, 1838)	Common Goby	Allen (1992); Fielding (1997)
<i>Syngnathus rostellatus</i> Nilsson, 1855	Pipefish	Allen (1992); Fielding (1997)

835

836 Table 3. Summary of selected published and grey literature on (A) benthos, (B) fish and (C) plankton in the Mersey Estuary and adjacent coast
 837 of inner Liverpool Bay. A dash (-) in any column represents unknown information. Mixed bottom sediment includes sand, silt and gravel. MSC =
 838 Manchester Ship Canal.

Focal topic	Location	Period	Reference
(A) Benthos			
<i>Entire estuary</i>			
soft sediment fauna	Upper and lower	1930s	Fraser (1932); Bassindale (1938)
	Upper and lower	1970s	Miller-Moore (1975); Moore (1978); Ghose (1979); Pugh-Thomas (1980)
	Upper, middle, lower	1980s	NNWA; Bamber (1988); Holland (1989); NRA (1995)
	Upper and lower	early 1990s	ERL (1993)
	Upper, middle, lower	early 2000s	Environment Agency (2002)
salt marsh fauna	Upper	1980s	Yasin (1987)
mixed bottom sediment fauna	Upper	1930s	Fraser (1932)
Docks			
fouling flora and fauna	Sandon, Preston Docks	1980s	Russell et al. (1983); Conlan et al. (1992)
	Sandon, South, Graving, Queens, Albert, Princes Docks	late 1980s-early 1990s	Allen (1992); Hawkins et al. (1992a); Allen et al. (1995); Wilkinson et al. (1996); Wilkinson et al. (unpublished)
	Upper MSC, South Docks	mid 1990s-early 2000s	Fielding (1997); Nash et al. (2003)
soft sediment fauna	Collingwood Docks	1970s	James and Gibson (1980)
	South Docks	late 1980s-early 1990s	Allen (1992); Allen et al. (1995)
	MSC Turning Basin, South Docks	1990s-mid 2000s	Fielding (1997); Nash et al. (2003); Williams et al. (2010)
	Upper MSC	1998 - 2000	Nash et al. (2003)
filtering fauna (mussels)	South Docks	late 1980s-early 1990s	Allen (1992); Allen et al. (1992); Hawkins et al. (1992a); Hawkins et al. (1992b)
mixed bottom sediment fauna	Collingwood Docks	1970s	James and Gibson (1980)
experimental mussel culture	Sandon Docks	late 1970s	Hawkins et al. (1992a); Hawkins et al. (1992b)
(B) Fish			

Entire estuary			
nektonic and demersal	upper, middle, lower	late 1980s-early 1990s	Environment Advisory Unit (1991); Collings et al. (1996)
flounder	upper	Late 1800s; 1920s	Cunningham and Lankester (1896); Dunlop (1927)
Docks - subtidal			
nektonic and demersal	MSC	Late 1970s	D'Arcy and Pugh-Thomas (1978); D'Arcy and Wilson (1978)
	Preston, Sandon Docks	1980s	Russell et al. (1983); Conlan et al. (1988); Hendry et al. (1988b)
	South Docks	late 1980s-mid 1990s	Allen (1992); Allen et al. (1995); Zheng (1995); Fielding (1997)
	Mid-upper MSC	1977 - 1987	Wilson et al. (1988)
	Upper MSC, MSC Turning Basin	late 1990s-mid 2000s	Nash et al. (2003); Williams et al. (2010)
	South docks	early 1990s	Fielding (1997)
	-	early 2010s	APEM (2014)
experimental salmonid farm	Sandon Docks	late 1970s	Hawkins et al. (1992a); Hawkins et al. (1992b)
(C) Plankton			
Entire estuary			
zooplankton	Liverpool Bay	1970s-1980s	Williamson (1975a)
phytoplankton	Liverpool Bay	1970s-1980s	Sharples (1972); Burrows (1975); Voltolina et al. (1986)
Docks			
phytoplankton	Preston, Graving, Albert, Queens Docks	late 1980s-early 1990s	Conlan et al. (1988); Allen (1992); Conlan et al. (1992)
	Preston Dock	late 1980s	Conlan et al. (1992)
	South, Central and Wirral Docks	1990s	Fielding (1997); Wanstall (1997)
zooplankton	Saldon, Albert, Queens, Princes, South Docks	early-mid 1990s	Wilkinson et al. (1996); Fielding (1997); Williams et al. (2010); Wilkinson et al. (unpublished)
	Saldon Dock	mid 2000s	Williams et al. (2010)

840 **Overview and synthesis**

841 Recovery of the Mersey has been influenced by wider contextual changes and far
842 field impacts (Fig 12a). Atmospheric inputs of nitrogen and nutrient enrichment of the
843 catchment due to agricultural intensification can both lead to eutrophication (Bennett
844 et al., 2001; Ulén et al., 2007; Oberholster et al., 2019) in addition to the nutrient
845 loading from sewage treatment (Lapointe and Clark, 1992; Braga et al., 2000). There
846 were also impacts in Liverpool Bay such as dumping of sewage sludge, industrial
847 waste and dredge aggregate in the 1970s (Hawkins et al., 1999a) which tended to
848 have primarily localised impacts (*“Out of sight, Out of mind”*; Department of the
849 Environment, 1972). At the Irish Sea scale, much over-fishing has occurred, in turn,
850 influencing the spawning stock biomass of fish using the inshore waters and estuaries
851 of Liverpool and Morecambe Bays as nursery grounds (e.g., plaice, herring, gadoids;
852 The Irish Sea Study Group, 1990). Superimposed on these regional scale impacts are
853 the pervasive effects of climate fluctuations (e.g., greater use of west coast than east
854 coast by migratory birds during the colder winters of the 1960s, 1970s and early 1980s;
855 see Williamson, 1975b). Additionally, there are effects from more recent warming
856 driven by anthropogenic climate change, such as northern cold water species such as
857 herring (*Clupea harengus*) and cod (*Gadus morhua*) doing less well once warming
858 began from the late 1980s (Planque and Frédou, 1999; Drinkwater, 2005; Fogarty et
859 al., 2008). There is now recreational fishing for the warmer-water sea bass
860 (*Dicentrarchus labrax*) at the mouth of the Mersey, unheard of before the 1990s
861 (Hawkins, pers. obs.). Fish such as flounder (*Platichthys flesus*) have been shown to
862 respond to climate fluctuations in terms of phenology (Sims et al., 2004), with evidence
863 of declines further south in their range (Martinho et al., 2010; Morais et al., 2011;
864 Jokinen et al., 2015).

865 Furthermore, society is adapting to climate change, especially rising and stormier
866 seas, by building sea defences to protect property and coastal infrastructure such as
867 roads and railways (Airoldi et al., 2005; Firth et al., 2016). The shoreline of the mouth

868 of the Mersey has numerous sea defences built since the 1980s (Millard et al., 1990).
869 These not only created new rocky habitat for marine life (Moschella et al., 2005), but
870 also had impacts on the soft sediment community creating a mosaic of coarse and
871 muddy habitat patches (Martin et al., 2005). These defences have recently been
872 shown to provide habitat for the southern warm-water reef-building worm *Sabellaria*
873 *alveolata* (Firth et al., 2015), which is listed under the EU Habitats Directive and is a
874 UK Biodiversity Action Plan Habitat. This species was formerly very common on Hilbre
875 Island (Frost et al., 2004) and along the North Wales coast, but disappeared in the
876 1960s after the extremely cold winter of 1962/1963 (Crisp, 1964; Cunningham et al.,
877 1984). It was observed to have re-colonised Hilbre Island and the North Wales coast
878 in the early 2000s, probably using the sea defences on the Wirral as stepping-stones.
879 It has even been observed living on dumped tyres and supermarket trolleys on the
880 west side of the Mersey Estuary (Firth et al., 2015).

881 Recovery of the Mersey needs to be put in the wider context of regional and global
882 change, as well as extensive local modification of coastal habitat by land-claim,
883 residential development and construction of port installations (such as container
884 terminals at Seaforth) and other transport infrastructure – plus the proliferation of
885 renewable energy via offshore wind generation in Liverpool. Thus recovery will never
886 occur to the pre-industrialisation state (Hawkins et al., 1999b), because of extensive
887 changes in coastal morphology due to development and wider regionally and globally
888 driven change, as well as the creation of “Novel Ecosystems” never before seen in
889 nature (Hobbs et al., 2006; Morse et al., 2014; Bulleri et al., *in press*), such as the
890 redundant dock basins (Hawkins et al., 1992a, 1999a; Allen et al., 1995), which are
891 now the focus of tourism, residential and amenity use.

892 The above caveat aside, it is worth looking at a conceptual model of the hysteresis
893 of degradation and recovery of the Mersey (Fig. 12a) and trying to generalise about
894 the underlying processes and key targets for monitoring and management (Fig. 12b).
895 Since Neolithic times, the Estuary would have been impacted by land-use changes

896 (Cowell, 1999) as agriculture was developed. The Estuary has a long history of
897 artisanal fishing and land-claim for agriculture by draining marshes and some
898 polderisation to form grazing meadows. In this respect, the adjacent Dee and Ribble
899 Estuaries have suffered far more than the Mersey (The Irish Sea Study Group, 1990).
900 Once docks were installed and population rapidly expanded with world trade and
901 industrialisation of the catchment and hinterland, the whole Mersey Estuary became
902 polluted from the early 19th century onwards, culminating in widespread hypoxia in the
903 upper and middle reaches in the 1950s - 1970s (Jones, 2000; Jones, 2006). In parallel
904 with ecosystem collapse (Fig. 12b), many other pollutants were present. Water quality
905 could be monitored by gross indicators (BOD, oxygen, water clarity; Jones, 2000).
906 Once widespread episodic anoxia was dealt with by sewage treatment, recovery was
907 rapid, occurring in parallel with stricter environmental regulations (NRA, 1995) and de-
908 industrialisation, especially closure of older, dirtier mills, plants and factories. This was
909 reflected in declining contaminant burdens. Sub-lethal effects included trophic-transfer
910 to charismatic wildlife leading to kills (birds; Bull et al., 1983; Wilson et al., 1986) and
911 recreationally exploited fish led to human health concerns (Leah et al., 1997; Allen et
912 al., 1999). At this stage in recovery of any system, molecular and cellular indicators
913 coupled with surveys to examine population and community ecology are required (see
914 similar work in Hong Kong; Hodgkiss and Chan, 1983; Xu et al., 2004). Once recovery
915 gathers pace, continued vigilance is essential. For example, endocrine disruptors in
916 the 1970s and 1980s (e.g., TBT in antifouling paints; Alzieu, 2000; Morcillo and Porte,
917 2000; Grun et al., 2006) and possible effluents from sewage treatment plants derived
918 from pharmaceuticals (Kinney et al., 2006; Zhou et al., 2009) such as birth control pills
919 (Körner et al., 2001) can have lasting effects on organisms and ecosystems (Jobling
920 et al., 1998) plus consequences for human health (Howard, 2003; Malchi et al., 2014;
921 but see Cunningham et al., 2009). Such vigilance will manage risks via the human food
922 chain.

923 Once recovery is underway, active management of pollution is still required to keep
924 on top of emerging pollutants such as nano-materials (e.g., in food packaging; Moore,
925 2006) and flame retardants (e.g., Tetrabromodiphenyl ether,
926 Hexabromocyclododecane; Darbre, 2015). Moreover, pollution needs to be
927 considered in the wider context of conservation and integrated coastal zone
928 management aided by marine spatial planning and an ecosystem-based approach. In
929 the Mersey, the national, European and international conservation designations place
930 priority on maintenance of ecological status and continued supply of ecosystem
931 services to society (NRA, 1995).

932 Ultimately, the Mersey Estuary as a whole consists of a range of natural and novel
933 ecosystems; the latter include totally artificial and highly modified shorelines on both
934 sides of the Narrows and into Liverpool on the Wirral and Lancashire shores. The
935 docks are an exemplar of a completely novel ecosystem maintained by a combination
936 of artificial de-stratification (in Albert Dock) with natural biofiltration by mussels and
937 other filter feeders in the whole South Docks complex (Allen, 1992; Hawkins et al.,
938 1992b; Allen and Hawkins, 1993). In addition to being considered as urban coastal
939 'cubist lagoonoids' providing habitat for rare lagoonal species from a EU priority
940 habitat at risk (Allen et al., 1995), the docks also fulfil an important role in urban nature
941 conservation by providing a window on the marine world for the local population
942 (Hawkins et al., 1992a). High quality water aids amenity use, water sports and boosts
943 the attractiveness of the tourist-hubs that the Albert Dock Complex provides. High
944 quality water thus enhances commercial and residential use plus tourism (Hawkins et
945 al., 1992a).

946 Similar trajectories have been observed in other degraded estuaries such as the
947 well-studied Thames (for reviews see Wheeler, 1979; Andrews, 1984; Attrill, 1998).
948 The Thames estuary has been heavily modified by embankments, weirs and bridges
949 in its upper reaches since the Middle Ages (Attrill, 1998). Proliferation of enclosed dock
950 basins has followed since the 18th century down to the middle reaches of the estuary

951 and beyond, along with rapid industrialisation and huge population growth leading to
952 massive untreated sewage discharge (Andrews, 1984). Extensive land-claim has
953 occurred in the outer estuary. Inevitably water quality and ecosystems were severely
954 degraded along with major impacts on public health. The River Thames in London was
955 known as an “open sewer” from the late 1800s. But from the early 1960s to the late
956 1970s, the Thames ecosystem was able to recover through the modernisation of
957 sewage treatment works (Andrews, 1984). From the early 1900s up to the mid-1960s,
958 fish did not enter the inner Thames due to its severely polluted state (Wheeler, 1979).
959 In the late 1800s, pollution in the Thames generally moved east to the Barking area,
960 where sewage sludge built up along the banks of the river. To address this, by 1891,
961 sewage solids were transferred by ships to the sea, resulting in marked increases in
962 dissolved oxygen in the estuary. But following the First World War, the Thames
963 ecosystem was again in decline as a consequence of a rise in population in London.
964 Over the next few decades, sewage treatment works were constructed along the
965 Thames, and in 1954 and 1959 a primary sedimentation plant and a modern diffused
966 air activated sludge plant were constructed at Beckton. Finally, in 1964, Crossness
967 works was completely rebuilt with the then largest mechanized aeration plant in the
968 UK (Andrews, 1984). Since the opening of the aeration plant in 1964, the Thames has
969 not experienced anaerobic conditions (Wood, 1980). Extensive improvements to
970 sewage treatment lead to a decrease in pollution load discharge to the estuary of
971 nearly 80%, with a trend of increasing dissolved oxygen levels from 1960-1980. As a
972 result, the macrofaunal community stabilised in the late 1970s (Andrews, 1984).
973 Flounder (*Platichthys flesus*) and eel (*Anguilla anguilla*) were the first to recolonise the
974 Thames (Andrews and Rickard, 1980). A significant increase in commercial fishermen
975 on the Thames was observed in the early 1980s (Andrews and Rickard, 1980). The
976 Thames ecosystem has also been influenced by wider climatic and environmental
977 fluctuations (Attrill, 1998; Power et al., 2000). The brackish salinity of much of the
978 London docks system has, however, meant that water quality improvement by

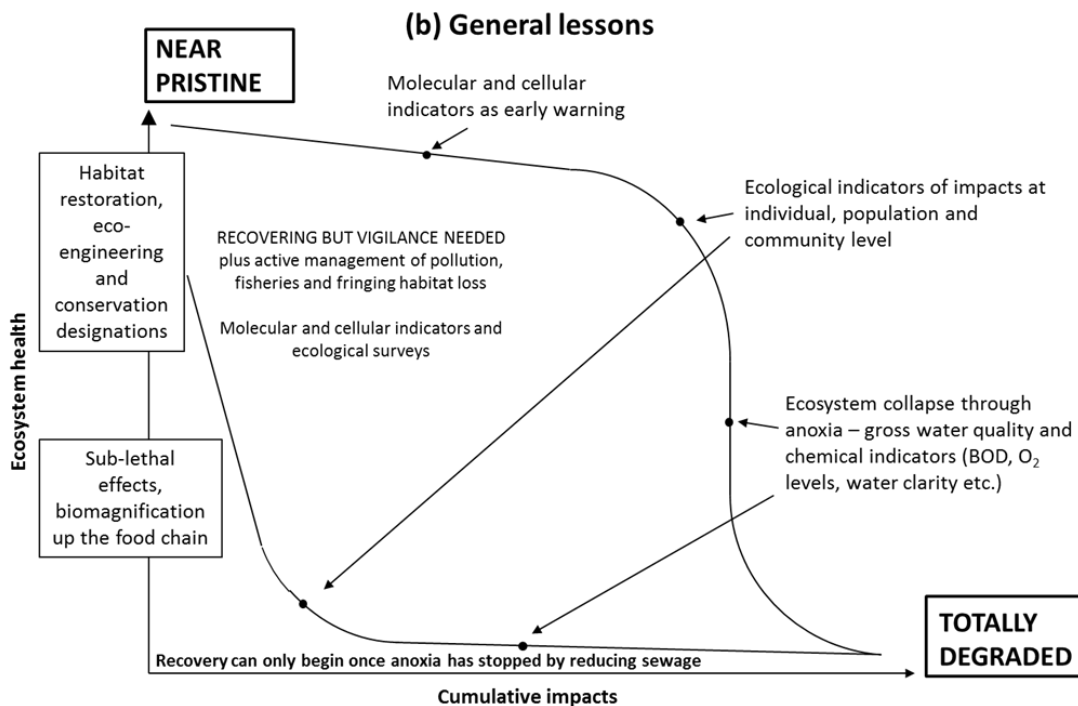
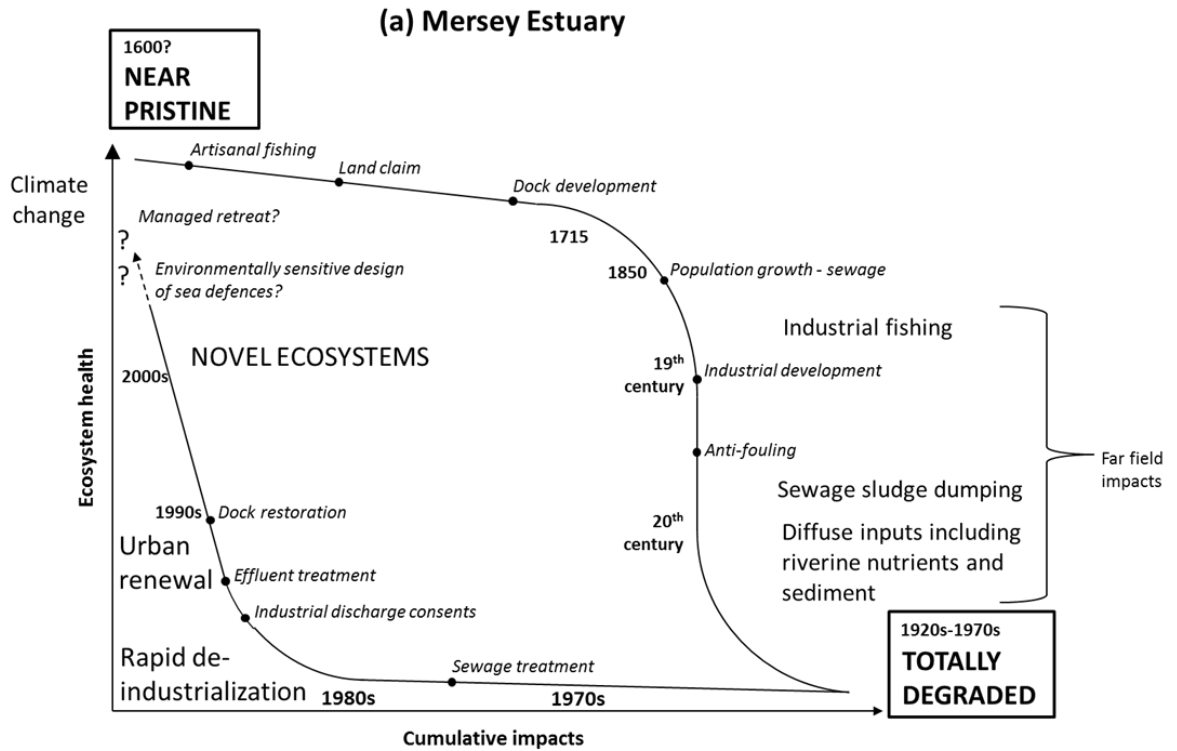
979 biofiltration by benthic bivalves was not possible as none could live there (as in Preston
980 Docks on the upper Ribble Estuary in the North of England (Conlan et al., (1992)).

981 San Francisco Bay, USA provides an excellent example of a highly modified estuary
982 which has suffered from rapid urban development, canalisation (Kondolf, 2000), much
983 sewage input leading to eutrophication and harmful algal blooms (Cloern, 2001), as
984 well the intensive agriculture in its catchment leading to changes in sediment and
985 freshwater input (Luoma and Cloern, 1982; Cloen and Jassby, 2012). Similar to the
986 Mersey (and many large estuaries globally), San Francisco Bay provides vital habitat
987 for resident and migratory fish (Leitwein et al., 2017; Cloen and Jassby, 2012) and
988 shorebirds and ducks (Takekawa et al., 2001). As a result of The Clean Water Act of
989 1972 passed by the US Congress, The Bay ecosystem has since recovered to some
990 extent (Jaworski, 1990; Hornberger et al., 2000; Cloen and Jassby, 2012). Interestingly
991 it has been pushed to an alternative clear water state by the intensive filter feeding by
992 a proliferating invasive bivalve (*Corbula amurensis*), which whilst improving water
993 quality by removing phytoplankton (Greene et al., 2011), has considerably disrupted
994 the ecosystem – perhaps the ultimate example of a novel estuarine ecosystem.

995 The Mersey, along with the Thames, illustrates the intrinsic capability of marine and
996 estuarine ecosystems to recover (Hawkins et al., 1999b; Hawkins et al., 2002;
997 Thompson et al., 2002), once pressures have been removed. This is mainly due to
998 their open nature with supply of planktonic propagules and mobile juvenile and adult
999 fish and other nekton from adjacent unimpacted areas (Geist and Hawkins, 2016).
1000 Rehabilitation or restoration can speed recovery in more enclosed areas such as
1001 lagoons or docks by both physical (bottom-up; e.g., mixing) and biological (top-down;
1002 e.g., biofiltration) interventions (Hawkins et al., 2002) if conditions allow. Thus, the aims
1003 of the Mersey Basin Campaign have largely been realised. The only missed
1004 opportunity has been that the final stages of recovery have not been monitored in
1005 detail; resources dried-up and were directed elsewhere as the problem was seen to
1006 have been solved. Therefore, a real chance has been missed to intercalibrate means

1007 of assessing pollutants at different levels of biological organisation from molecules and
1008 cells through individuals, to populations and communities up to whole ecosystems (see
1009 comments in Hawkins et al., 2002).

1010 Nonetheless, the Mersey has shown considerable resilience and recovery powers
1011 despite what 300 years of industrial and urban development has thrown at it. Along
1012 with the Mersey, most highly modified estuaries worldwide – given their extensive
1013 fringing habitat and upstream catchment modification and far field impacts such
1014 overfishing and climate change plus invasive species – will never return to near pristine
1015 states. Hence the hysteresis loop in Fig. 12a has been not closed. However, clean-up
1016 will enable recovery. Targeted restoration and rehabilitation can also put back
1017 biodiversity, functioning but novel ecosystems with their services, in the midst of
1018 teeming conurbations.



1019

1020 Figure 12. Hysteresis of degradation and recovery: (a) the Mersey Estuary and (b)
 1021 generalised for any heavily impacted enclosed system with comments on processes
 1022 and monitoring strategies.

1023

1024

1025 **Referees**

- 1026 1. Peter Jonas peterandlynn@blueyonder.co.uk
1027 2. Rudolf Wu – The Education University of Hong Kong rudolfwu@eduhk.hk
1028 3. Andrew Wither – National Oceanography Centre, Liverpool awith@noc.ac.uk

1029

1030 **Funding**

1031 Work was supported in the 1970s and 1980s by Mersey Dock and Harbour Company,
1032 as well as the Merseyside Development Corporation, and the UK Nature Conservancy
1033 Council in the 1980s and 1990s. Work by W J Langston was supported by the National
1034 Rivers Authority and the Environment Agency. Recent work was supported by Esmée
1035 Fairbairn Foundation (Urbane project) and English Nature in the 2000s and 2010.
1036 Write up was supported by a bursary from the MBA to KAO.

1037

1038 **Acknowledgements**

1039 Much of the early work on Sandon Dock was started by Dr Ken O'Hara of the University
1040 of Liverpool. Hugh Jones, Keith White and George Russell also played a key role in
1041 the work on Sandon and in the South Docks. The late Dr Rick Leah of Liverpool
1042 University led much of the work on pollution in the Estuary

1043

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