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# Challenges in monitoring mobile populations - Applying Bayesian multi-site mark-recapture abundance estimation to the monitoring of a highly mobile coastal population of bottlenose dolphins

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**Challenges in monitoring mobile populations - Applying Bayesian multi-site mark-recapture abundance estimation to the monitoring of a highly mobile coastal population of bottlenose dolphins**

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3 **Challenges in monitoring mobile populations - Applying Bayesian multi-**  
4 **site mark-recapture abundance estimation to the monitoring of a highly**  
5 **mobile coastal population of bottlenose dolphins**  
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## Abstract

1. Monitoring the abundance of mobile and wide-ranging cetacean populations for conservation management is challenging, especially when the management is focused on static protected areas. Where abundance estimates are derived from mark-recapture data, such as photo-identification of naturally marked individuals, unpredictable movements of animals in and out of the survey area can reduce ‘capture’ probabilities and affect the precision and accuracy of resulting estimates.

2. Bayesian hierarchical log-linear likelihood was applied to photo-identification data collected in summer 2014 to derive a multi-site abundance estimate for a population of bottlenose dolphins, *Tursiops truncatus*, ranging widely throughout coastal waters of western Ireland. In addition, the effects of varying levels of sampling effort on the minimum detectable decrease in population size was examined.

3. The abundance of dolphins was estimated as 189 (coefficient of variation: 0.11, 95% highest-posterior density interval: 162–232). Over 50% of the well-marked dolphins encountered throughout the study were sighted in more than one distinct coastal area thus displaying high mobility. In addition, it was found that in order to detect a 25% decline in abundance within the six-year reporting period of the EU’s Habitats Directive would require biennial surveys.

4. Given that the Special Area of Conservation designated for these dolphins consists of two separate areas covering a substantial portion of the west coast of Ireland, the multi-site approach is appropriate for monitoring this population. It produces a precise estimate and is well-suited for sparse recapture data collected opportunistically at multiple sites, when the lack of resources prevent large scale surveys, or when concentrating surveys on smaller localized areas fail to capture the broad range and unpredictable occurrence of the animals. The Bayesian multi-site approach could be applied to the management of other wide-ranging marine or terrestrial taxa.

*Keywords:* coastal, mammals, monitoring, Special Area of Conservation, survey, modelling

## 1. Introduction

### 1.1. General background

Bottlenose dolphins (*Tursiops truncatus*) are widely distributed throughout tropical and temperate seas and found in pelagic oceanic environments, on the continental shelf, as well as in coastal inshore waters (Wells and Scott, 2009). Their minimum worldwide abundance is estimated to be approximately 600,000 individuals (Wells and Scott, 2009) and numbers in European Atlantic continental shelf waters have been estimated to be around 16,000 (Hammond et al., 2013) although results from recent aerial surveys suggests that there may be strong inter-annual variation in this area (Rogan et al., 2018). Whilst the bottlenose dolphin as a species is not considered to be globally endangered, some populations, especially those inhabiting coastal areas, are small and often genetically and/or geographically isolated (e.g. Caballero et al., 2012; Fernández et al., 2011; Louis et al., 2014; Mirimin et al., 2011; Nykänen et al., 2018). This puts them at risk of losing heterozygosity and genetic resilience due to genetic drift (Lacy, 1987) placing them at greater risk to local extinctions with increased vulnerability to anthropogenic pressures. The main threats to delphinids in coastal environments include pollutants such as xenobiotic chemicals (especially PCBs and DDTs), reduced prey availability due to coastal fisheries, habitat degradation, noise and disturbance from vessel traffic, entanglement and incidental bycatch, direct hunting, marine construction and anthropogenic noise (Jepson et al., 2016; Lusseau et al., 2009; Pirota et al., 2015; Williams et al., 2009; Williams et al., 2014). The sensitivity of bottlenose dolphins to these threats is further exacerbated by their position as apex predators in coastal ecosystems and by their low reproductive rates (Arso Civil et al., 2017; Baker et al., 2018a; Quick et al., 2014).

### 1.2. Conservation and monitoring requirements of bottlenose dolphins in Europe

The conservation of wild animal populations is often implemented through designation and management of protected areas that are considered to represent important habitats for foraging, breeding and other important activities (Palumbi, 2001; Reeves, 2000). This is usually followed by regular monitoring of some demographic parameters, such as abundance, survival or age structure of the individuals inhabiting these areas. In European waters, bottlenose dolphins are protected through Annexes II and IV of the European Union's Habitats Directive (European Economic Community, 1992), and the Member States are required to designate Special Areas of Conservation (SACs) as part of a European strategy to maintain or restore 'favourable conservation status' for the species. In practice, this means that in order to be classed as

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3 59 'favourable', the species (or population) should not decline from the reference level (defined  
4 60 by the Member States individually) by more than 25% over a six-year reporting period,  
5 61 alternatively, annual decline should not exceed 1%. In addition to the Habitats Directive, as top  
6 62 predators, bottlenose dolphins are included as one of the indicator species for 'Good  
7 63 Environmental Status' in European coastal waters in the Marine Strategy Framework Directive  
8 64 (MSFD; Council of the European Communities, 2008).

14 65 Some bottlenose dolphin populations have a strong site-fidelity to bays and estuaries (Bearzi  
15 66 et al., 2008; Connor et al., 2000; Ingram and Rogan, 2002; Read et al., 2003), and their  
16 67 conservation monitoring has been focused on discrete, local areas such the SACs. Recent  
17 68 studies have found that bottlenose dolphins using the coastal waters of Ireland belong to two  
18 69 genetically, demographically and socially distinct populations (Mirimin et al., 2011; Nykänen  
19 70 et al., 2018, 2019), with a resident population using the Shannon estuary, hereafter referred to  
20 71 as the 'Shannon population'. Residency is determined here by individuals occurring in the area  
21 72 at least 50% of the months in a given year over multiple years or decades, adapted from Rose  
22 73 et al. (2011). The second population, hereafter the 'west coast population', is more widely  
23 74 distributed using other coastal areas of western Ireland (Ingram et al., 2003; Oudejans et al.,  
24 75 2010). Consequently, two discrete SACs have been designated to ensure the protection of these  
25 76 populations; the Lower River Shannon Estuary SAC and the West Connacht Coast SAC (see  
26 77 inset map in Figure 1). While the area-based monitoring of the Shannon population has been  
27 78 successful, capturing majority of the individuals inhabiting the estuary (based on discovery  
28 79 curves) and producing precise abundance estimates (Englund et al., 2008; Ingram and Rogan,  
29 80 2003), this approach may not be suitable for more mobile and dispersed populations that have  
30 81 spatially and temporally variable use of large areas of habitat, presenting a challenge for  
31 82 monitoring. For example, on the east coast of Scotland, the effectiveness of the Moray Firth  
32 83 SAC, designated based on high site-fidelity exhibited by a population of bottlenose dolphins  
33 84 to the area, has recently been questioned due to the population extending their range to areas  
34 85 outside the SAC over the past decade (Wilson, 2016; Wilson et al., 2004). Similarly, in Irish  
35 86 waters, the range of the west coast population extends beyond the designated SAC.  
36 87 Conservation efforts may thus need to move away from area-based management and instead  
37 88 focus on populations whilst considering population dynamic processes such as dispersal  
38 89 (genetic and demographic) that affect the dynamics and the overall viability of populations.  
39 90 Moreover, in order to achieve efficient monitoring appropriate for the MSFD and to ensure that  
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91 effective conservation of dispersed coastal populations is achieved, it is crucial to design an  
92 appropriate monitoring strategy suitable for the population in question.

93 Compared to the Shannon estuary population that has been the focus of most research on  
94 bottlenose dolphins in Ireland (Baker et al., 2018a; 2018b; Berrow et al., 2012; Englund et al.,  
95 2008; Foley et al., 2010; Ingram and Rogan, 2002; 2003), much less is known about the west  
96 coast population. Preliminary studies identified a significant number of bottlenose dolphins  
97 inhabiting the waters off the west coast of Ireland (Ingram et al., 2001; 2003) with an estimated  
98 mean abundance of 171 dolphins using the waters around Connemara, Co. Galway (see Figure  
99 1) (Ingram et al., 2009). However, this estimate was based on surveys over a limited length of  
100 coast within the West Connacht Coast SAC and was relatively imprecise with a coefficient of  
101 variation (CV) of 0.28. Moreover, despite multi-annual re-sightings of individuals, it appears  
102 that these animals are highly mobile and have a large home range with encounters occurring  
103 throughout the west coast (Ingram et al., 2001; 2003). The widespread distribution together  
104 with unpredictable movements make monitoring the abundance of this population especially  
105 challenging. Therefore, one of the aims of this study was to provide an abundance estimate  
106 which could be used as a baseline for long-term monitoring. Further, the distribution and the  
107 rate of individual movements were investigated and some of the possible underlying factors  
108 driving the distribution explored and discussed. Finally, the effect of different levels of survey  
109 effort on the precision of the abundance estimate was quantified using a power analysis. This  
110 will help inform a cost-effective strategy for future monitoring that is sufficiently sensitive to  
111 changes in abundance to reliably detect population decline in a timely manner.

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## 113 **2. Methods**

### 114 *2.1. Data collection and photograph analysis*

115 Mark-recapture is widely applied in ecological studies to estimate the number of individuals in  
116 a population or the density of animals within a surveyed area (Otis et al., 1978). Individual  
117 bottlenose dolphins can be identified from naturally occurring markings (Würsig and Würsig,  
118 1977). These marks mostly consist of scars and nicks from interactions with conspecifics and  
119 they can be permanent, such as deep nicks or scars on the dorsal fin, or temporary, such as  
120 superficial scratches (Appendix 1). Heavily marked animals can be identified over periods of  
121 many years, whereas more superficial markings, such as tooth rake scars, may fade within a

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3 122 period of about a year reducing inter-annual re-sighting probabilities of less heavily marked  
4 123 individuals. In this study, identification photographs were taken of individual bottlenose  
5 124 dolphins encountered in schools during dedicated and opportunistic boat-based surveys. Here,  
6 125 a school is defined as “all dolphins within a 100m radius of each other” after (Irvine et al.,  
7 126 1981). Boat-based surveys were conducted along a 250km stretch of coastal waters in western  
8 127 Ireland (Figure 1) during the summer months (May–September) of 2014. Efforts were made to  
9 128 photograph the dorsal fins of all members of each encountered school.

15 129 The best quality photograph of each identifiable dolphin was selected from each encounter and  
16 130 assigned an image quality score of 1 to 4 (1 being the highest quality and 4 the poorest, see  
17 131 Appendix 2) with no consideration of the degree of marking of the individual dolphin. Each  
18 132 photographed individual was then assigned one of three grades of mark-severity (Appendix 1),  
19 133 and visually matched against the archived catalogue of dolphins identified during previous  
20 134 encounters. To minimise bias in capture probability resulting from identification errors,  
21 135 photographs of quality grade 4 were excluded from subsequent abundance estimation. Further,  
22 136 only the “well-marked” dolphins (M1, see Appendix 1), easily distinguishable and identifiable  
23 137 from both the left and the right side, were included in the analyses. For this study, the wider  
24 138 study area was divided into three discrete and geographically separated blocks where survey  
25 139 effort had been concentrated (see Figure 1). Photographs from encounters were compared  
26 140 within and between the blocks to establish whether individuals were seen across the whole  
27 141 study area during the study period.

## 38 142 *2.2. Abundance analysis*

39 143 Mark-recapture models that assume population closure (zero net migration and births and  
40 144 deaths) within a single defined area, are typically used in abundance estimation of dolphins  
41 145 with strong site-fidelity to specific areas (Berrow et al., 2012; Louis et al., 2015; Read et al.,  
42 146 2003; Wilson et al., 1999). However, when the animals are moving non-randomly into and out  
43 147 of the area within the sampling (survey) period, and the effective number of animals available  
44 148 for re-capture therefore changes, closed models become less applicable as the violation of  
45 149 population closure assumption can result in biased abundance estimates (Kendall, 1999).  
46 150 Bayes’ theorem, as opposed to traditional frequentist maximum likelihood (ML) based  
47 151 estimation, has recently become more widely applied in mark-recapture abundance estimation  
48 152 (Mäntyniemi and Romakkaniemi, 2002; Michielsens et al., 2006). It has been applied to a range  
49 153 of cetacean species (Beck et al., 2014; Cheney et al., 2013; Durban et al., 2010; Durban et al.,  
50 154 2005; Fearnbach et al., 2012; Moore and Barlow, 2011) due to its utility with sparse data and/or



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3 155 opportunistic data collection. In this study, due to the large combined coastal area surveyed,  
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5 156 Bayesian inference was applied to a model of hierarchical log-linear likelihood of counts of  
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7 157 identified dolphins across three discrete blocks, and a combined abundance estimate of  
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9 158 bottlenose dolphins using the entire survey area extending from Connemara to Donegal Bay  
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11 159 was derived (Figure 1). This method, developed by Durban et al. (2005), is well-suited for data  
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13 160 sets with low number of individual re-sightings and for situations when it is unfeasible to do  
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15 161 systematic surveys covering the entire population's range. The model also takes into account  
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17 162 different ranging patterns of individuals and geographical dependencies between multiple sites,  
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19 163 enabling the estimation of movement rates of animals between sampling locations. An  
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21 164 advantage of using Bayesian inference instead of traditional frequentist statistics is that prior  
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23 165 knowledge of the parameter (prior) distribution can be incorporated into the model to produce  
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25 166 a joint posterior distribution for the parameter in question. An example of this would be setting  
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27 167 a realistic maximum value to the prior for the abundance of all well-marked animals in an area.  
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29 168 This informative prior is then incorporated into the model to facilitate the convergence of  
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31 169 Markov Chain Monte Carlo (MCMC) chains.

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33 170 A contingency table of sighting histories of well-marked (M1) bottlenose dolphins was created  
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35 171 based on their presence or absence in each of the study blocks during a single survey season  
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37 172 (Table 1). The re-sightings of individuals among multiple sites therefore represented spatial,  
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39 173 rather than temporal, capture-recapture events (Durban et al., 2005). The model predicts the  
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41 174 number of animals not captured at any of the survey sites and incorporates this value to compute  
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43 175 the estimate of the overall abundance of well-marked animals across the entire study area. The  
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45 176 model also incorporates the proportion of well-marked individuals as a binomial sample of the  
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47 177 total number of animals seen; therefore, it predicts the total number of individuals (including  
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49 178 unmarked animals) in the study area (see Cheney et al., 2013). The model averaging and  
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51 179 prediction (Durban et al., 2005) were performed using MCMC sampling in WinBUGS software  
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53 180 (Lunn et al., 2000) with 100,000 burn-in followed by 100,000 iterations. Three independent  
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55 181 chains were run to confirm consistency between runs and inspected visually for convergence.

### 56 182 *2.3. Range of bottlenose dolphins encountered in 2001-2014*

57 183 In order to describe the extent of movements of bottlenose dolphins sighted on the west coast  
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59 184 of Ireland, the photographs taken in 2014 were supplemented with data collected over a longer  
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185 time period, in 2001-2013, and the range of the sighting latitudes were plotted for the 39 most  
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187 sighted ( $\geq 5$  times) well-marked dolphins. These were the same individuals that were included  
in the social structure analyses. In addition, the dependency of the sighting latitude range (the

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3 188 difference between the maximum and the minimum latitude) and the encounter frequency was  
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5 189 determined by calculating Pearson's correlation coefficient,  $r$ .

#### 6 7 190 *2.4. Analyses of social structure*

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9 191 To investigate whether this population of coastal dolphins could be divided into social clusters  
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11 192 reflecting site-fidelity to their sighting locations and/or geographic range, analyses of social  
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13 193 structure were performed in SOCPROG 2.4 compiled version (Whitehead, 2009a; 2009b).  
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15 194 Applying the 'gambit of the group' concept (Whitehead and Dufault, 1999), the rate at which  
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17 195 individuals were photographed within the same schools, was used as a proxy for social  
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19 196 association. Daily sampling periods were used to ensure the independence between the  
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21 197 sampling periods (Whitehead, 2008). The dataset was restricted to good quality photographs  
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23 198 (Q1-3, Appendix 2) of individuals with permanent and obvious markings (mark severity grade  
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25 199 M1, Appendix 1) in order to identify individuals over multi-annual periods, and only dolphins  
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27 200 photographed in at least five sampling periods (days) were included to reduce bias caused by  
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29 201 rarely seen individuals (Whitehead, 2009a). Social analyses included entire sighting histories  
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31 202 from 2001 up until 2014, the duration of photo-ID surveys of this population.

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33 203 The strength of association between pairs of individuals (i.e. dyads) was measured using the  
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35 204 half-weight association index (HWI). This index of co-occurrence takes values between 0  
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37 205 (never seen together) and 1 (always observed together), and is appropriate when not all  
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39 206 associates within a group have been identified (Cairns and Schwager, 1987). Standard  
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41 207 deviation (SD) and CV of the HWI were also calculated. A Monte Carlo permutation test  
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43 208 (Bejder et al., 1998; Whitehead, 1999) was used to test whether the observed association  
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45 209 patterns (real data) were different than expected from randomly associating individuals  
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47 210 (permuted data). The permutations were performed using 20,000 iterations with 1000 trials  
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49 211 per iteration. A higher SD of the observed association indices compared to the SD of  
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51 212 permuted data is considered as an indication of preferred and/or avoided associations between  
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53 213 the sampling periods (Whitehead, 2009a).

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55 214 The power of the analysis to capture a true representation of the social system was estimated  
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57 215 as the correlation of the observed and estimated association indices using the maximum  
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59 216 likelihood estimator (Pearson's correlation coefficient,  $r$ ). A measure of social differentiation,  
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217  $S$ , calculated as the CV of real association indices, was used to describe the variability in the  
218 social system, with values  $>0.5$  indicating a well differentiated society (Whitehead, 2009a).  
219 Standard errors (SEs) for  $r$  and  $S$  were calculated by bootstrapping with 100 replications. In

220 order to determine whether the population of bottlenose dolphins could be divided into clusters  
221 where association indices are higher among members of the same cluster than expected by  
222 chance, an eigenvector-based maximum modularity coefficient,  $Q$  (Lusseau, 2007; Newman,  
223 2004; 2006; Whitehead, 2009a), was calculated. This method accounts for different levels of  
224 gregariousness between the individuals (i.e. the average number of associates) with  
225 modularities greater than  $\sim 0.3$  considered to represent effective community divisions  
226 (Newman, 2004; Whitehead, 2009a). NetDraw (Borgatti et al., 2002) was used to visualize a  
227 social network diagram using the network statistics calculated in SOCPROG.

### 228 *2.5. Power to detect change in abundance*

229 Program TRENDS (Gerrodette, 1987, 1991) was used to conduct a power analysis in order to  
230 estimate the annual rate of decline in population abundance within a six-year period (as  
231 mentioned previously, six years is the reporting interval set in the Habitats Directive) that could  
232 be detected with the level of precision (here, the CV) achieved in this study. The precision that  
233 would be required to detect an annual decline of 1% in population size over the six-year period  
234 was also estimated, as identifying this rate of annual decline is one of the requirements of the  
235 Habitats Directive.

236 Further, the effect of different amounts of sampling effort (here, number of years between  
237 surveys) on the minimum detectable overall decline in population size was examined using a  
238 longer theoretical study period of 25 years and a range of CVs varying from 0.01 (very high  
239 precision) to 0.30 (low precision). Specifically, scenarios were tested when abundance surveys  
240 were conducted every six years (five years between surveys), every three years (two years  
241 between surveys), every two years (one year between surveys) or every year, over the 25-year  
242 period. In all the power analyses, the desired power was set to 80%, the probability of Type I  
243 and II errors to 0.05, and a one-tailed test was used, as the purpose was to detect a decrease and  
244 not a general change in abundance. A linear population model was used for a non-recovering  
245 population as in Fruet et al. (2015).

## 246 **3. Results**

### 247 *3.1. Data collection*

248 In 2014, 146 survey hours yielded six encounters with bottlenose dolphin schools around  
249 Connemara, seven around Mullet peninsula and eight in Donegal Bay (Figure 1). School size  
250 ranged from 9 to 95 with the largest schools encountered in Donegal Bay (median school size

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3 251 of 36). In total, nearly 10,000 photographs were analysed. From these, 169 new dolphin  
4 252 identifications from photographs obtained from either the left, the right, or both sides of the  
5 253 animal were added to the archive of dolphin images collected since 2001. Note that due to the  
6 254 fact that bottlenose dolphin markings can change over time and that some individuals are  
7 255 known only from one side, the number of identifications in the archive does not equal the  
8 256 number of individuals in the population, especially when considering the gaps in the years  
9 257 when photo-ID surveys were conducted. Nevertheless, 71 animals were matched to individuals  
10 258 identified from encounters made in previous years with seven identifications dating back to  
11 259 2001.

### 19 260 *3.2. Abundance and movements*

21 261 From the photographs taken during May–September 2014, a total of 91 well-marked dolphins,  
22 262 identified or identifiable from both sides, were included in the abundance analysis (Table 1).  
23 263 Forty-nine (54%) of these animals were seen in more than one study block, and eight (9%)  
24 264 were encountered in all the study sites. The highest overlap of individuals occurred between  
25 265 Mullet peninsula and Donegal Bay with 28 dolphins (31%) sighted in both of these areas.  
26 266 Donegal also had the highest number ( $n = 23$ ) of animals seen in only one of the three study  
27 267 sites. The average proportion of well-marked dolphins (to all dolphins, marked and unmarked)  
28 268 was 0.57 across all encounters in 2014. The Bayesian multi-site median abundance estimate of  
29 269 the total number of dolphins for the whole study area for the summer 2014 was 189 ( $CV =$   
30 270  $0.11$ ,  $95\%$  HPDI = 162–232). The non-significant P-value ( $P = 0.158$ ) from the closure test of  
31 271 Otis et al. (1978) suggested that the closure assumption was not violated.

### 41 272 *3.3. Range of bottlenose dolphins encountered in 2001-2014*

43 273 The range of sighting latitudes of the most sighted ( $\geq 5$  times) well-marked dolphins is  
44 274 presented in Figure 2a; it appears that while most of these animals were sighted from Donegal  
45 275 Bay to Connemara, with the distance between the areas of more than 250km (over water), there  
46 276 were four animals (IDs 1056, 1094, 1038 and 1049) that had even wider distribution having  
47 277 been sighted from Co. Cork to Donegal Bay between 2001 and 2014 with  $>500$ km between  
48 278 these sites. In contrast, there were also a number of individuals with much narrower latitudinal  
49 279 range, that were encountered only in two of the sites; two individuals were only encountered  
50 280 in Connemara and around the Mullet peninsula (IDs 1099 and 1244, Figure 2a), and 12  
51 281 individuals were only recorded around the Mullet peninsula and in Donegal Bay (for example,  
52 282 IDs 1444 and 1468) during 2001-2014. The range of the sighting latitudes was not dependent

283 on the number of times the animal was encountered (Pearson's  $r = 0.180$ ,  $P = 0.125$ , see  
284 Appendix 3).

### 285 *3.4. Social structure*

286 When including only good quality photographs of well-marked (M1) individuals encountered  
287 in at least five sampling periods, 39 bottlenose dolphins were included in the analyses of social  
288 structure. These data were collected during 51 encounters over 48 days in 2001-2014. The  
289 mean number of observations per dolphin was 7.21 (SE = 1.95) and the maximum number of  
290 times that an individual was encountered was 13. The individuals had, on average, 63  
291 associations with other individuals.

292 The mean HWI was 0.226, which did not differ significantly from the permuted random data  
293 (mean = 0.226,  $P > 0.05$ ). However, the SD (0.206) and CV (0.910) in the real data were  
294 significantly higher than in the random data (SD = 0.203,  $P < 0.001$ ; CV = 0.898,  $P < 0.001$ ),  
295 suggesting that individuals did not associate completely randomly but that short- or long term  
296 preferred companionships exist within the community (Whitehead, 2009a). Moreover, the  
297 proportion of non-zero elements was significantly larger ( $P < 0.01$ ) in the permuted data  
298 (proportion = 0.732) compared to the real data (proportion = 0.729) which suggests that some  
299 individuals may avoid others (Whitehead, 2009a).

300 The correlation coefficient ( $r$ ) between the true and estimated HWIs was 0.695 (SE = 0.042),  
301 indicating that the estimated association indices adequately represented the underlying social  
302 structure (Whitehead, 2009a). The estimate of social differentiation,  $S$ , was 0.633 (SE = 0.091),  
303 which indicates a well differentiated social system. However, a cophenetic correlation  
304 coefficient of 0.787 (less than the threshold of 0.8 for an effective social structure  
305 representation), combined with a maximum modularity ( $Q$ ) of 0.264 (below the cut-off value  
306 of 0.3), shows a lack of evidence for the existence of social clusters within the community  
307 (Whitehead, 2009a) and therefore insufficient evidence for spatial segregation between  
308 individuals (Figure 2b).

### 309 *3.5. Power to detect trends in abundance*

310 According to the power analysis, detecting an annual decline of 1% in the population  
311 abundance with 80% certainty over a six-year period could only be achieved with CV of  $\leq 0.01$   
312 whilst surveying every year. With the CV of 0.11 (the precision achieved in this study), on the  
313 other hand, an annual decline of 6% could be detected but only if surveys were conducted every  
314 year.

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3 315 When considering a longer theoretical sampling period of 25 years and using a CV of 0.11,  
4 316 abundance surveys would have to be conducted every other year in order to detect an overall  
5 317 25% decline (threshold in the Habitats Directive) in abundance (Figure 3). Surveying every  
6 318 three years with this level of precision would enable the detection of a decline of 26% and a  
7 319 survey frequency of every six years would only enable the detection of a larger 35% decrease  
8 320 in the population. On the other hand, if surveys were taking place every six years and the target  
9 321 was to detect the 25% decline, the CV around the estimate would have to be as low as 0.07  
10 322 (Figure 3).

## 18 323 **4. Discussion**

### 21 324 *4.1. Abundance and movements of bottlenose dolphins on the west coast of Ireland*

23 325 During summer 2014, the number of bottlenose dolphins using a 250km stretch of coastal  
24 326 waters between Connemara, Co. Galway and Co. Donegal on Ireland's west coast was  
25 327 estimated as 189 individuals (95% HPDI: 162–232). This estimate makes this the largest  
26 328 bottlenose dolphin population known to use Irish coastal waters, exceeding the numbers of  
27 329 animals estimated to inhabit the Shannon estuary (Berrow et al., 2012). Over 70 animals were  
28 330 matched with an existing catalogue with seven dolphins identified as far back as 2001. Such  
29 331 long-term re-identifications indicate that at least some of the animals using the coastal waters  
30 332 off the west and north-west of Ireland show a degree of site-fidelity, and it appears that the  
31 333 combined area between Connemara, Mullet peninsula and Donegal Bay form an important part  
32 334 of the home-range for a large number of bottlenose dolphins. While some of the members of  
33 335 this population were seen in only one of the coastal sites in 2014, several individuals exhibited  
34 336 high levels of mobility undertaking movements of over 250km during a single summer season.  
35 337 This high mobility presents challenges to the monitoring of the population, as wide-scale  
36 338 habitat use results in patchy temporal site occupancy with individuals and schools ranging  
37 339 freely over considerable distances around the Irish coast and further afield. Overall, the  
38 340 estimate derived in this study is remarkably similar to the cumulative number of animals ( $n =$   
39 341 179) identified around the Mullet peninsula in 2008-2009 (Oudejans et al., 2010) and to a  
40 342 previous abundance estimate of 171 (95% CI: 100–294) for dolphins using the waters around  
41 343 Connemara in 2009 (Ingram et al., 2009). However, the precision reached in this study ( $CV =$   
42 344 0.11) far exceeds the precision around the previous abundance estimate ( $CV = 0.28$ ), making  
43 345 the 2014 estimate more robust for monitoring purposes, as shown by the power analysis.  
44 346 Nevertheless, biennial surveys would be required to detect the 25% overall decline in the

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3 347 population, and even in this case, the precision would have to remain at or below the CV of  
4 348 0.11 which may not be realistic year after year.

6  
7 349 The impacts of anthropogenic habitat degradation on coastal dolphins require detailed  
8  
9 350 understanding of the demographic parameters of the populations and the ranging behaviour  
10  
11 351 and site-fidelity of individuals within the populations. Efficient and regular long-term  
12  
13 352 monitoring of abundance is thus a vital part of the management of protected areas designated  
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15 353 for bottlenose dolphin conservation. Studies in some other areas around the British Isles appear  
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17 354 to show a high degree of site-fidelity to a single confined area (e.g. Shannon estuary and Sound  
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19 355 of Barra) simplifying conservation management planning, but in other areas, such as the Moray  
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21 356 Firth, changes in habitat use and distribution of bottlenose dolphins have been reported over  
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23 357 the past 15 years (Arso Civil et al., 2019; Wilson et al., 2004). Similarly, the high degree of  
24  
25 358 mobility of the coastal population in this study presents challenges in designing effective spatial  
26  
27 359 management plans and implementing robust monitoring strategies. This study provides a  
28  
29 360 benchmark for long-term monitoring of the population and its use of the West Connacht Coast  
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31 361 SAC and illustrates how methods need to be adapted for monitoring more mobile populations.

32  
33 362 It is essential that bespoke monitoring strategies are designed to provide accurate and precise  
34  
35 363 data on the status of populations that are sensitive to changes in abundance, population viability  
36  
37 364 and survival rates. The Bayesian multi-site approach used here suits the transient behaviour of  
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39 365 the west coast bottlenose dolphin population and provides a precise and comprehensive  
40  
41 366 estimate of the abundance of animals in this large and variable habitat. A multi-site estimate is  
42  
43 367 likely to better reflect the true abundance of the population than previous localised estimates  
44  
45 368 due to the wider-scale sampling over a larger coastal area which increases the probability of  
46  
47 369 encountering more of these animals as reflected in the lower CV value obtained in this study.  
48  
49 370 Furthermore, it accounts for pseudoreplication of individuals sampled at different sites and is  
50  
51 371 robust to unpredictable and unknown inter-annual variability in the distribution or occupancy  
52  
53 372 of the animals. In contrast, a single site approach to monitoring this population could produce  
54  
55 373 biased and highly variable abundance estimates if sections of the population were not  
56  
57 374 encountered within a single site during a survey season. Further, it would be unfeasible to  
58  
59 375 survey the entire known coastal range used by these animals as part of a routine monitoring  
60  
376 strategy. With unpredictable and wide ranging movements of the animals, multi-site analysis  
377 of data enables simultaneous surveys of coastal areas by multiple research teams, and photo-  
378 identification surveys could be done opportunistically with help from a citizen science  
379 sightings network whilst maximising weather windows and keeping the costs low.

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3 380 The Bayesian multi-site approach assumes population closure with no births, deaths,  
4 381 immigration or emigration occurring in the area during the study period (Durban et al., 2005)  
5 382 as does more conventional closed maximum likelihood estimation frequently used in cetacean  
6 383 abundance studies (Bearzi et al., 2008; Brown et al., 2014; Gnone et al., 2011; Vermeulen and  
7 384 Cammareri, 2009). It is likely that although this assumption may be susceptible to violation  
8 385 due to the large scale of the animals' ranges, the inclusion of multiple sites over a broad  
9 386 geographical area should improve this model's performance. Furthermore, the short duration  
10 387 of the annual survey season (May–September in 2014) likely reduces the probability of  
11 388 migration of individuals out of the wider study area thus increasing the likelihood of effective  
12 389 closure of the sampled population. This is supported by the non-significant result of the closure  
13 390 test.

14 391 The bottlenose dolphins used the entire study area during 2014, with over half (54%) of all  
15 392 well-marked animals sighted in more than one of the three survey blocks, and 9% sighted in  
16 393 all of the study blocks with over 250km between the furthest sighting locations. Similarly,  
17 394 Cheney et al. (2013) found a large percentage of dolphins (58%) using more than one study  
18 395 site on the east coast of Scotland, however, the percentage of animals photographed in all of  
19 396 the sites was much smaller (only up to 1%) compared to this study, despite similar distances  
20 397 between the sites in both studies. In addition, up to 44% of the dolphins on the west coast of  
21 398 Scotland had similar long-range movements, with individuals ranging between the north and  
22 399 south of Skye (Cheney et al., 2013), even though the dolphins in the Sound of Barra did not  
23 400 exhibit movements outside this area. Some of the bottlenose dolphins in the present study that  
24 401 were encountered during surveys in 2014 had previously been recorded as far south as Co.  
25 402 Cork and appear to range widely around the west coast of Ireland and possibly beyond (Figure  
26 403 2a). For example, a dolphin that was encountered in Donegal Bay in the summer of 2014 had  
27 404 previously been photographed in the Moray Firth in 2001 and around the Scottish Hebrides in  
28 405 2004 (but is not one of the individuals regularly inhabiting these areas) (Robinson et al., 2012),  
29 406 thus providing further evidence of the long distance movements and transient behaviour of at  
30 407 least some of these animals. However, despite the large scale movements, the Irish west coast  
31 408 population appears to be genetically differentiated from the individuals sampled in east or west  
32 409 of Scotland (Nykänen et al., 2019).

#### 33 410 *4.2. Social structure*

34 411 Even though different individuals showed varied ranging patterns with some dolphins ranging  
35 412 over 500km and others encountered more locally, there was no evidence that the bottlenose



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3 413 dolphins occupying the waters of western Ireland form spatially segregated social clusters,  
4 414 unlike the social segregation previously documented between the coastal and offshore  
5 415 bottlenose dolphins (Oudejans et al., 2015). In fact, the west coast dolphins in this study seem  
6  
7 416 to lack social groupings altogether, and it appears that the community consists of fluid social  
8  
9 417 ties where individuals have a large number of associates, even though evidence of some short  
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11 418 and long term companions and non-preferred associates was found. Bottlenose dolphins  
12  
13 419 generally live in fluid “fission-fusion” societies (Connor et al., 2000), which means that  
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15 420 animals usually form small social groups whose composition can change rapidly within the  
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17 421 scale of a few hours. However, division into social clusters is common in some bottlenose  
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19 422 dolphin societies (e.g. Chilvers and Corkeron, 2002), and this clustering has been linked to sex  
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21 423 (Connor et al., 2011; Connor and Krützen, 2015; Frère et al., 2010; Smolker et al., 1992),  
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23 424 specialized foraging techniques (Chilvers and Corkeron, 2001; Daura-Jorge et al., 2012;  
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25 425 Krützen et al., 2005; 2014; Mann and Sargeant, 2003; Mann et al., 2008; Simões-Lopes et al.,  
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27 426 1998; Smolker et al., 1997) and differential ranging patterns and spatial segregation (Louis et  
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29 427 al., 2015; Lusseau et al., 2006). For example, Louis et al. (2015) found that individuals  
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31 428 belonging to a social cluster were mainly observed within a specific area of the wider Normano-  
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33 429 Breton Gulf, France. However, this clustering did not reflect genetic structuring as these  
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35 430 dolphins were part of the same genetic population (Louis et al., 2014), so at least some spatial  
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37 431 overlap is required to prevent genetic differentiation. In contrast, social separation was  
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39 432 accompanied by genetic isolation between two adjacent populations of bottlenose dolphins  
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41 433 occupying the Shannon estuary and the Irish west coast waters outside the estuary in a recent  
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43 434 study (Nykänen et al., 2018). However, the lack of social clustering found in the present study  
44  
45 435 may also be an artefact of the low number of re-sightings ( $n \geq 5$ ) compared to some other  
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47 436 studies; for example Frère et al. (2010) used a minimum of 30 identifications to estimate the  
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49 437 social system of female Indo-Pacific bottlenose dolphins, *Tursiops aduncus*.

#### 438 4.3. Monitoring populations rather than protected areas?

49 439 Most current marine conservation management requires the designation of some form of fixed  
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51 440 marine protected area (MPA). However, MPAs have been criticised for being too small and  
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53 441 failing to incorporate much of the range of the animals that they were designated for (Agardy  
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55 442 et al., 2011; Hooker and Gerber, 2004; Wilson, 2016). Furthermore, the size, distribution and  
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57 443 ranging behaviour of wild animal populations can alter as a consequence of changes in prey  
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59 444 density and distribution (Angerbjorn et al., 1999; Friedlaender et al., 2006; Walton et al., 2001),  
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445 habitat degradation or changes in environmental conditions linked to anthropogenic climate

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3 446 change (Harley et al., 2006; MacLeod et al., 2005; Parmesan and Yohe, 2003; Walther et al.,  
4 447 2002). Therefore, MPAs with static boundaries advocated for the conservation of marine top  
5 448 predators may not be the most appropriate method to protect mobile species. However, there  
6 449 are a few examples where designation of static MPAs have been linked to improved survival  
7 450 probability and increased population growth rate (Cheney et al., 2019; Gormley et al., 2012),  
8 451 or the MPA has been large enough to encompass the majority of the range of most of the  
9 452 animals (White et al., 2017).

15  
16 453 There have been calls for more dynamic MPAs where the boundaries can be adjusted in  
17 454 response to changing species distributions or site use (Hartel et al., 2015; Hooker et al., 2011;  
18 455 Hooker and Gerber, 2004). However, it is likely that shifting of MPA boundaries would present  
19 456 such logistical and economic difficulties that the managing bodies and stakeholders may be  
20 457 reluctant to adopt this strategy. An alternative strategy could be to protect multiple clearly  
21 458 defined areas within a population's range where specific anthropogenic threats represent 'risk  
22 459 hot-spots' where impacts can be closely monitored and mitigated. Another proposed approach  
23 460 has been the development of more comprehensive marine spatial plans and ecosystem based  
24 461 management (Agardy et al., 2011; Halpern et al., 2010; MacLeod et al., 2005; Wilson, 2016)  
25 462 emphasizing integrated protection of the ecosystem as a whole while acknowledging  
26 463 connectivity among systems (MacLeod et al., 2005). In this context, the Great Barrier Reef  
27 464 Marine Park in Australia has been described as a success story of a large scale network of  
28 465 MPAs with its integrated and adaptive management (McCook et al., 2010). In Europe, the  
29 466 MSFD, where bottlenose dolphins are listed as one of the indicator species of good  
30 467 environmental status of coastal habitats, and the Natura 2000 network of SACs designated for  
31 468 the species seem to be a step in the right direction due to the potential of a network of MPAs  
32 469 enhancing connectivity among populations. However, transnational co-operation in the  
33 470 monitoring of these areas is required since the individual Member States are responsible for  
34 471 reporting on the status of species only in their own national SACs, and mobile populations can  
35 472 have ranges extending beyond country boundaries.

36  
37 473 If protection were focused on a population instead of a protected area, this protection could  
38 474 extend over the population's entire range (Reeves, 2000) rather than arbitrary portions of the  
39 475 population's range lying within an MPA. SACs designated for bottlenose dolphins in Irish  
40 476 coastal waters were designated based on limited spatial data from wide-scale and patchy  
41 477 surveys, and it is highly likely that the current SAC designations do not encompass the entire  
42 478 ecological needs of this species in the coastal waters of western Ireland. Moreover, monitoring

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3 479 a population only within a designated area that covers only a portion of a population or species'  
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5 480 habitat could give a biased view of the status of the population, if its range has expanded to  
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7 481 other areas (Wilson et al., 2004) and a considerable part of the population is using areas outside  
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9 482 the SAC (Arso Civil et al., 2019). However, the authorities responsible for the assessment of  
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11 483 the conservation status of the Moray Firth SAC bottlenose dolphins have taken the recent range  
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13 484 expansion and high mobility of the individuals into account in the monitoring of the population  
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15 485 and with the advice that planned developments will need to be considered in assessments if  
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17 486 they have potential impacts on bottlenose dolphins anywhere within the population's range, as  
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19 487 they are likely to have a significant effect on the conservation objectives of the SAC (Arso  
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21 488 Civil et al., 2019; SNH Natura Casework Guidance, 2019). This provides a good example of  
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23 489 how governments can adjust previously set restricted management schemes under changing  
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25 490 conditions, and that successful monitoring of mobile populations requires data collection across  
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27 491 the population's range (Arso Civil et al., 2019).

#### 26 492 *4.4. Recommendations for future monitoring*

28  
29 493 The current approach to species conservation in the EU and other parts of the world is largely  
30  
31 494 reliant on fixed area based protection and monitoring. While this approach may be applicable  
32  
33 495 to some species in certain areas, this study provides an interesting contrast to studies of  
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35 496 populations with higher site fidelity to coastal sites and illustrates how monitoring methods  
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37 497 need to be adapted for more mobile populations.

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39 498 Regular monitoring with at least biennial surveying on multiple known key sites across the  
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41 499 population's range is recommended as the most appropriate monitoring strategy for the highly  
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43 500 mobile bottlenose dolphins in this study, and a similar approach could be applied to other  
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45 501 mobile marine and terrestrial populations worldwide. The multi-site approach maximises  
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47 502 sighting probabilities at selected high-use sites throughout a large part of the population's range  
48  
49 503 and produces accurate and precise estimates that are robust to temporary changes in ranging  
50  
51 504 behaviour whilst delivering a cost-efficient way to monitor the population. Moreover, it offers  
52  
53 505 great potential to be used as a tool for monitoring abundance in networks of connected MPAs,  
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55 506 such as the Natura 2000 network of SACs designed to protect species across the EU. Indeed,  
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57 507 evidence suggests that these coastal bottlenose dolphins have large ranges (Ingram et al., 2001)  
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59 508 extending beyond national boundaries (O'Brien et al., 2009; Robinson et al., 2012). Even  
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509 though genetic dispersal may be limited between the populations (Nykänen et al., 2019),

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3 510 increased transnational cooperation may be necessary for wide-scale monitoring in order to  
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5 511 deliver on shared obligations under the MSFD and to some extent also the Habitats Directive.  
6  
7 512 Clearly, further research is required to uncover the entire ranging patterns and year-round  
8  
9 513 habitat use of the bottlenose dolphins inhabiting coastal areas of western Ireland. Since  
10  
11 514 unpredictable weather conditions on the west coast make surveying difficult and even  
12  
13 515 unfeasible in the winter leading to data gaps in the populations' seasonal habitat use, photo-ID  
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15 516 surveys could be supplemented with other methods, such as passive acoustic monitoring  
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17 517 (PAM), to monitor temporal and spatial habitat use. In fact, preliminary PAM data suggests  
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19 518 that bottlenose dolphins use key sites (outside and within the SAC) on the Irish west coast year  
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21 519 round (Garagouni, 2019; Nykänen, 2016). Regular assessment of small mobile populations,  
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23 520 such as the one in this study, is imperative to ensure that any deterioration in the conservation  
24  
25 521 status of the population will be detected early, allowing for responsive mitigation measures to  
26  
27 522 be put in place in a timely manner.

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537

## 55 538 **Conflict of interest**

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58 539 The authors declare no conflict of interest.  
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899 **Tables**

900 Table 1. Contingency table of the counts of well-marked (M1) bottlenose dolphins present  
 901 (Y) or absent (N) in each of the study blocks Connemara, Mullet peninsula and Donegal Bay  
 902 in 2014.

| Count | Block     |                  |             |
|-------|-----------|------------------|-------------|
|       | Connemara | Mullet peninsula | Donegal Bay |
| 8     | Y         | Y                | Y           |
| 2     | Y         | Y                | N           |
| 13    | N         | Y                | N           |
| 6     | Y         | N                | N           |
| 28    | N         | Y                | Y           |
| 11    | Y         | N                | Y           |
| 23    | N         | N                | Y           |
| NA†   | N         | N                | N           |

903 † The missing value (NA) represents the number of individuals that were not seen in any of the study blocks  
 904 (i.e., “missed” well-marked dolphins)

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## 906 **Figure legends**

907 **Figure 1.** The three coastal ‘blocks’ (circled areas), Donegal Bay, Mullet Peninsula and  
908 Connemara surveyed during summer 2014. The red areas in the inset map show the location of  
909 the two bottlenose dolphin SACs in Irish waters, and the hatched area in the large map shows  
910 the extent of the West Connacht SAC. Triangles denote the location of encounters with  
911 bottlenose dolphin schools during the study period.

912 **Figure 2.** The 39 most sighted well-marked bottlenose dolphins and a) the geographic range  
913 of their sighting locations, and b) their social network diagram. The bottlenose dolphins were  
914 encountered at least on five occasions during the data collection period 2001-2014 on the west  
915 coast of Ireland. The individual ID numbers are given on the x-axis in figure a) and next to the  
916 circles in figure b). The outline of Ireland has been scaled to correspond with the sighting  
917 latitudes. The centre line in the boxplot and the bottom and top of the box represent the 50<sup>th</sup>,  
918 25<sup>th</sup> and 75<sup>th</sup> percentiles, respectively, and the whiskers the 5<sup>th</sup> and 95<sup>th</sup> percentile in figure a).  
919 The dots represent rarely visited latitudes. The data has been arranged by increasing median  
920 latitude. The length of the line in the network diagram in figure b) inversely represents the  
921 strength of the association between a dyad calculated as half-weight association index.

922 **Figure 3.** The effect of coefficient of variation (CV) on the minimum detectable decline in the  
923 abundance of a theoretical population with different survey frequency; surveys conducted  
924 every six years (five years between surveys), every three years (two years between surveys),  
925 every other year or annually, over a theoretical 25-year period.

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3 927 **Appendices**  
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6 928 **Appendix 1.** Examples of bottlenose dolphin fins showing the three grades of mark severity  
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8 929 used in photograph analysis. Each dolphin was graded from one to three as follows: (A) grade  
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10 930 M1 marks, consisting of significant fin damage or deep scarring that were considered  
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12 931 permanent; (B) grade M2 marking that consist of deep tooth rakes and lesions, with only minor  
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14 932 cuts present; (C) fin with grade M3 marks, having only superficial rakes and lesions. Grade  
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16 933 M1 (and to some extent, M2) are considered to last many years, enabling long-term  
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18 934 identification of these dolphins. In contrast, ‘superficial’ markings (grade M3), such as tooth  
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20 935 rakes may fade and heal within a relatively short period of time and inter-annual re-sighting  
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22 936 probabilities of these animals are likely to be reduced.

23 937 **Appendix 2.** Scoring criteria for the quality of bottlenose dolphin identification photographs.

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25 938 **Appendix 3.** Range of sighting latitude (difference between maximum and minimum latitude)  
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27 939 plotted against the number of times each individual well-marked bottlenose dolphin was  
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29 940 sighted on the west coast of Ireland 2001–2014. A Loess smooth curve is fitted through the  
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31 941 observations.  
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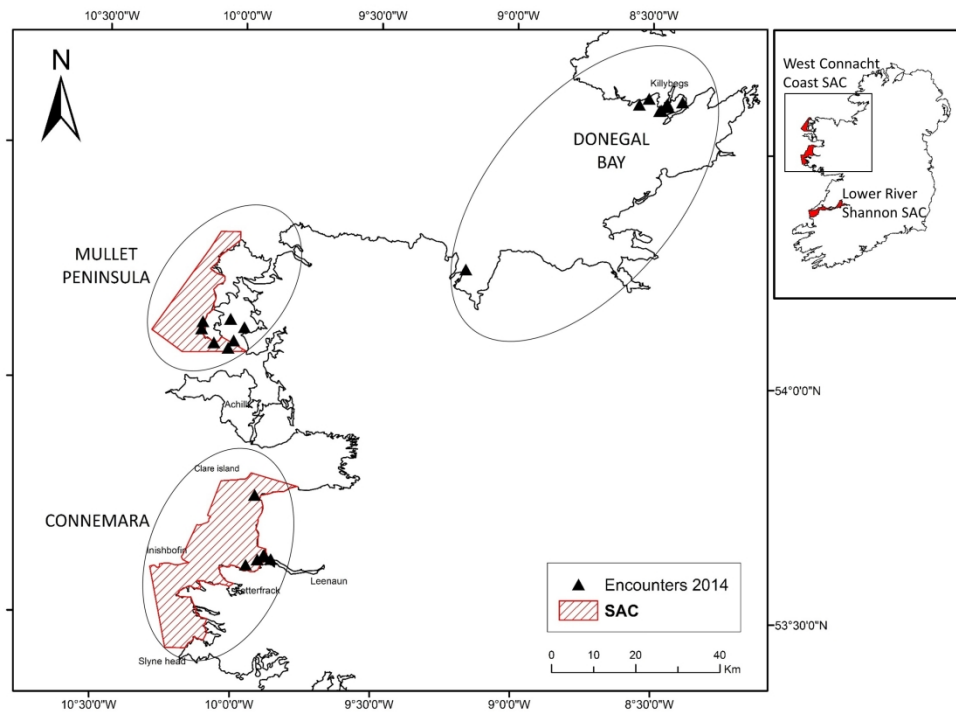


Figure 1. The three coastal 'blocks' (circled areas), Donegal Bay, Mullet Peninsula and Connemara surveyed during summer 2014. The red areas in the inset map show the location of the two bottlenose dolphin SACs in Irish waters, and the hatched area in the large map shows the extent of the West Connacht SAC. Triangles denote the location of encounters with bottlenose dolphin schools during the study period.

268x201mm (300 x 300 DPI)

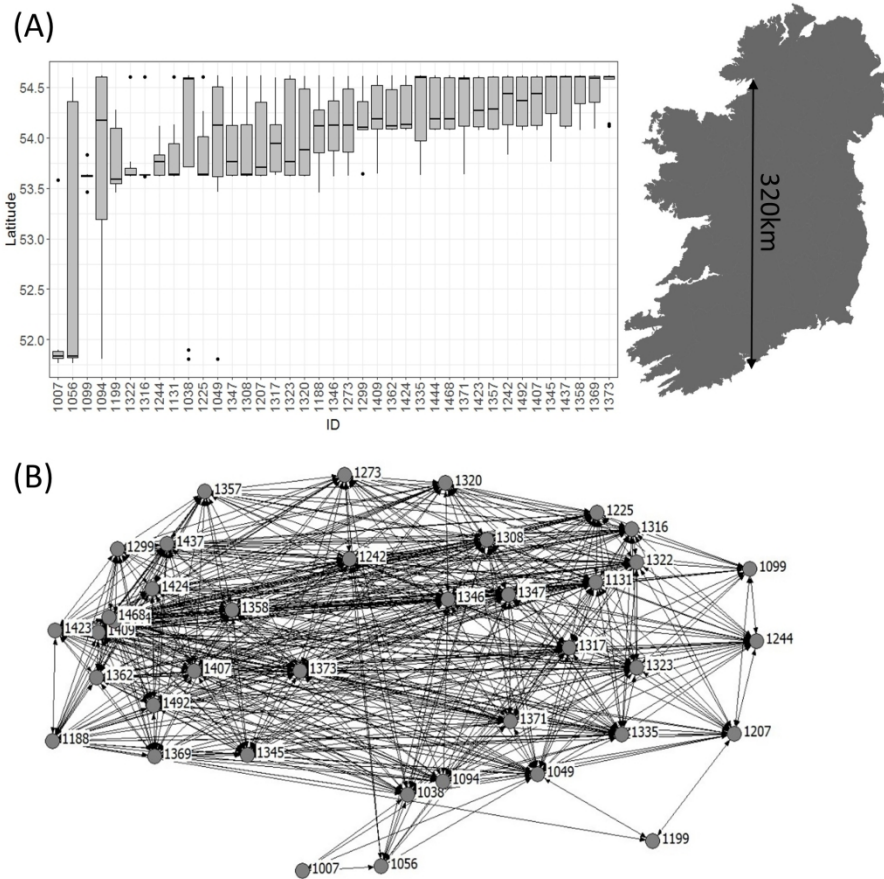


Figure 2. The 39 most sighted well-marked bottlenose dolphins and a) the geographic range of their sighting locations, and b) their social network diagram. The bottlenose dolphins were encountered at least on five occasions during the data collection period 2001-2014 on the west coast of Ireland. The individual ID numbers are given on the x-axis in figure a) and next to the circles in figure b). The outline of Ireland has been scaled to correspond with the sighting latitudes. The centre line in the boxplot and the bottom and top of the box represent the 50th, 25th and 75th percentiles, respectively, and the whiskers the 5th and 95th percentile in figure a). The dots represent rarely visited latitudes. The data has been arranged by increasing median latitude. The length of the line in the network diagram in figure b) inversely represents the strength of the association between a dyad calculated as half-weight association index.

208x201mm (300 x 300 DPI)



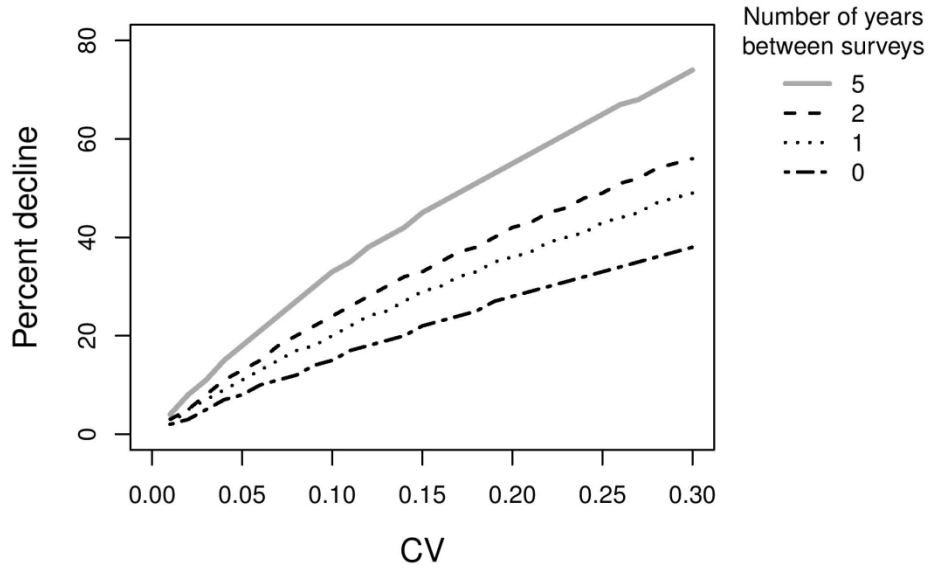


Figure 3. The effect of coefficient of variation (CV) on the minimum detectable decline in the abundance of a theoretical population with different survey frequency; surveys conducted every six years (five years between surveys), every three years (two years between surveys), every other year or annually, over a theoretical 25-year period.

172x119mm (300 x 300 DPI)