

2020-01-07

# Parkin deficiency perturbs striatal circuit dynamics

Baaske, MK

<http://hdl.handle.net/10026.1/15289>

---

10.1016/j.nbd.2020.104737

Neurobiology of Disease

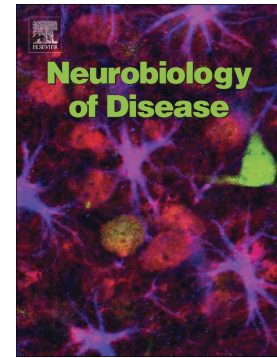
Elsevier BV

---

*All content in PEARL is protected by copyright law. Author manuscripts are made available in accordance with publisher policies. Please cite only the published version using the details provided on the item record or document. In the absence of an open licence (e.g. Creative Commons), permissions for further reuse of content should be sought from the publisher or author.*

Parkin deficiency perturbs striatal circuit dynamics

Magdalena K. Baaske, Edgar R. Kramer, Durga Praveen Meka,  
Gerhard Engler, Andreas K. Engel, Christian K.E. Moll



PII: S0969-9961(20)30012-7

DOI: <https://doi.org/10.1016/j.nbd.2020.104737>

Reference: YNBDI 104737

To appear in: *Neurobiology of Disease*

Received date: 18 August 2019

Revised date: 16 December 2019

Accepted date: 5 January 2020

Please cite this article as: M.K. Baaske, E.R. Kramer, D.P. Meka, et al., Parkin deficiency perturbs striatal circuit dynamics, *Neurobiology of Disease*(2020), <https://doi.org/10.1016/j.nbd.2020.104737>

This is a PDF file of an article that has undergone enhancements after acceptance, such as the addition of a cover page and metadata, and formatting for readability, but it is not yet the definitive version of record. This version will undergo additional copyediting, typesetting and review before it is published in its final form, but we are providing this version to give early visibility of the article. Please note that, during the production process, errors may be discovered which could affect the content, and all legal disclaimers that apply to the journal pertain.

# Parkin deficiency perturbs striatal circuit dynamics

Magdalena K. Baaske<sup>1,2,3\*</sup>, Edgar R. Kramer<sup>4,5</sup>, Durga Praveen Meka<sup>4</sup>,

Gerhard Engler<sup>1</sup>, Andreas K. Engel<sup>1</sup>, Christian K.E. Moll<sup>1\*</sup>

<sup>1</sup>Department of Neurophysiology and Pathophysiology, University Medical Center Hamburg-Eppendorf, 20246 Hamburg, Germany

<sup>2</sup>Institute of Neurogenetics, University of Lübeck, 23538 Lübeck, Germany

<sup>3</sup>Department of Neurology, University of Lübeck, 23538 Lübeck, Germany

<sup>4</sup>Center of Molecular Neurobiology, 20251 Hamburg, Germany

<sup>5</sup>Institute of Translational and Stratified Medicine, University of Plymouth, Plymouth, PL6 8BU, UK

\*corresponding authors

## Correspondence:

Magdalena K. Baaske

Department of Neurology

University of Lübeck

Ratzeburger Allee 160

23538 Lübeck

Germany

Magdalena.Baaske@neuro.uni-luebeck.de

Dr. Christian Moll

Department of Neurophysiology and Pathophysiology

University Medical Center Hamburg-Eppendorf

Martinistr. 52

20246 Hamburg

Germany

c.moll@uke.de

Phone: +49 40 74105 7044

## Abstract

Loss-of-function mutations in the parkin-encoding PARK2 gene are a frequent cause of young-onset, autosomal recessive Parkinson's disease (PD). Parkin knockout mice have no nigro-striatal neuronal loss but exhibit abnormalities of striatal dopamine transmission and cortico-striatal synaptic function. How these predegenerative changes observed in vitro affect neural dynamics at the intact circuit level, however, remains hitherto elusive. Here, we recorded from motor cortex, striatum and globus pallidus (GP) of anesthetized parkin-deficient mice to assess cortex-basal ganglia circuit dynamics and to dissect cell type-specific functional connectivity in the presymptomatic phase of genetic PD.

While ongoing activity of presumed striatal spiny projection neurons and their downstream counterparts in the GP was not different from controls, parkin deficiency had a differential impact on striatal interneurons: In parkin-mutant mice, tonically active neurons displayed elevated activity levels. Baseline firing rates of transgenic striatal fast spiking interneurons (FSI), on the contrary, were reduced and the correlational structure of the FSI microcircuitry was disrupted. The entire transgenic striatal microcircuit showed enhanced and phase-shifted phase coupling to slow (1-3Hz) cortical population oscillations. Unexpectedly, local field potentials recorded from striatum and GP of parkin-mutant mice robustly displayed amplified beta oscillations (~22Hz), phase-coupled to cortex. Parkin deficiency selectively increased spike-field coupling of FSIs to beta oscillations.

Our findings suggest that loss of parkin function leads to amplifications of synchronized cortico-striatal oscillations and an intrastriatal reconfiguration of interneuronal circuits. This presymptomatic disarrangement of dynamic functional connectivity may precede nigro-striatal neurodegeneration and predispose to imbalance of striatal outflow accompanying symptomatic PD.

**Keywords:** genetic parkinsonism, striatum, fast spiking interneuron, synchrony, beta oscillations

## Introduction

Understanding early functional changes and adaptive mechanisms is a central aspect of modern research for Parkinson's disease (PD) and key to the development of anti-parkinsonian treatment strategies at a preclinical level. During recent years, extensive studies in different genetic model systems have complemented conventional toxin-based dopamine depletion approaches and significantly advanced our pathophysiological understanding of familial PD from a molecular level to human clinical practice (Corti et al., 2011; Klein and Westerberger, 2012).

The prototypical example for genetically driven PD with autosomal-recessive inheritance is a loss-of-function mutation in the PARK2 gene encoding for parkin, a cytosolic ubiquitin E3 ligase (Kiatla et al., 1998; Lucking et al., 2000). While age of onset is earlier, compared to patients with idiopathic PD, the rate of disease progression in parkin-mutant patients is slowed (Khan et al., 2002; Pavese et al., 2009) and dystonia is a frequent feature (Grunewald et al., 2013). Parkin is required for efficient mitochondrial quality control and defective mitophagy is a candidate pathogenic mechanism for progressive nigro-striatal neurodegeneration in patients with parkin-associated PD (Scarffe et al., 2014). While much laboratory work is centered on the elucidation of patho-molecular mechanisms along the parkin pathway (Arkinson and Walden, 2018; Panicker et al., 2017), the consequences of parkin deficiency at a systems level are less clear. More recently, however, two separate lines of research have revealed important insights into the pathophysiology of parkin-associated PD.

Imaging studies in parkin mutation carriers provided evidence for a genetically driven presynaptic dopaminergic deficit early in the disease course (Hilker et al., 2001) as well as subcortical and cortical changes in D2-receptor binding (Scherfler et al., 2004). Moreover, heterozygote asymptomatic mutation carriers showed a mild pathology of the nigro-striatal pathway (Hilker et al., 2001), an impaired sensorimotor information processing at a cortical level (Baumer et al., 2007; Schneider et al., 2008) and enhanced cortico-striatal connectivity (Buhmann et al., 2005). Moreover, similar to advanced idiopathic PD (Brown et al., 2001; Levy et al., 2000), network activity along the sensorimotor cortico-subthalamic axis of advanced parkin-associated PD is characterized by enhanced coupling in the beta frequency range (15-30 Hz) (Moll et al., 2015).

A second line of experimentation evolved in genetic small animal models, which do not typically display dopaminergic neurodegeneration and only partly reproduce clinical features of the disease (Dawson et al., 2010). Knockout models of the 3 proteins parkin, PINK1 and DJ-1 associated with autosomal recessive PD share a common pathway to regulate mitochondrial function (Dawson et al., 2010) and express only non-existent to mild behavioural changes (Goldberg et al., 2003; Itier et al., 2003; Madeo et al., 2014). Parkin knockout mice at the age of 3-6 months are characterized by the absence of nigro-striatal cell loss (Meka et al., 2015) and overt motor impairment (Itier et al., 2003; Oyama et al., 2010; Rial et al., 2014). Consequently, parkin knockout mice are (1) characterized by the absence of the pathological hallmark of PD – the loss of dopaminergic neurons – and (2) the absence of overt motor deficits, two features that resemble the early, presymptomatic or premanifest disease stage of PD. Dopaminergic cell loss as the pathological hallmark of PD is thought to be preceded by axonal loss of nigro-striatal projections

and most likely occurs from a “dying back “ axonopathy (Chu et al., 2012; Dauer and Przedborski, 2003; Kordower et al., 2013; O'Malley, 2010; Raff et al., 2002), indicating that first neurodegenerative changes in PD take place at the nigro-striatal synapse before loss of dopaminergic cell bodies in the substantia nigra pars compacta.

Parkin knockout mice hence open unique windows to study adaptive network changes at an early, premanifest disease stage without overt neurodegeneration.

In keeping with the interaction of parkin with proteins involved in synaptic vesicle metabolism (Sassone et al., 2017), parkin knockout mice show mild alterations of nigro-striatal dopamine transmission—consisting of increased intraneuronal dopamine metabolism with increased oxidative stress in the striatum, reduced levels of dopamine and vesicular monoamine transporters (Itier et al., 2003) and decreased evoked dopamine release (Oyama et al., 2016).

Furthermore, previous in vitro research has identified impaired dopamine metabolism and dysfunctional cortico-striatal synapses as pathophysiological hallmarks of parkin-associated (and other forms of monogenic) parkinsonism (Kitada et al., 2009; Madeo et al., 2012; Martella et al., 2009).

How these two lines of research, however, converge at a mesoscopic network level is hitherto unclear—as the consequences of parkin deficiency have not been investigated at a systems electrophysiology level. We therefore set out to determine whether and how loss of parkin functions affects neuronal activity in cortex-basal ganglia circuits in vivo. To this end, we employed multi-electrode recordings in vivo to study adaptive electrophysiological circuit-level changes in parkin mutant mice.

## Materials and Methods

The present study was designed to characterize the impact of parkin mutations on neuronal activity along the cortico-striato-pallidal circuitry. To this end, we studied two groups of male parkin knockout mice ( $\text{parkin}^{-/-}$ ,  $n=8$ ) and control littermates ( $\text{parkin}^{+/+}$ ,  $n=4$ ) at a mean age of  $160 \pm 15$  days. In both experimental groups one animal died during anesthesia prior to electrophysiological recording sessions. For final analysis, the  $\text{parkin}^{-/-}$  group consisted of 7 animals, while the control group consisted of 3 animals. Our mutant mice obtained an exon 5 deletion of the parkin gene (Itier et al., 2003) and loxP conditional alleles flanking exon 12 of the ret gene (Kramer et al., 2006; Meka et al., 2015). Thus, all animals carried floxed alleles of Ret ( $\text{Ret}^{\text{flx/lx}}$ ) (Kramer et al., 2007; Kramer et al., 2006; Meka et al., 2015). Parkin mutant mice were investigated at the age of 5-6 months. As described previously (Itier JM et al. 2003; Meka DP et al. 2015), parkin mutant mice at this age do not exhibit noticeable dopaminergic cell loss in the midbrain. Two examples of tyrosine hydroxylase (TH) stained sections with corresponding stereological TH-positive cell counts are shown in supplementary Figure 1. Parkin mutants at the age of 5-6 months may, however, display an impaired dopamine metabolism and altered striatal synaptic plasticity (Itier et al., 2003; Kitada et al., 2009; Oyama et al., 2010; Periquet et al., 2005; Rial et al., 2014). Thus, parkin mutant mice at this age are a good model to investigate how early adaptive functional changes occurring at the cortico-striatal synapse translate into micro- and macrocircuit changes at a systems level, in the absence of overt dopaminergic cell loss (i.e., at an early, premanifest or presymptomatic disease stage). All experiments were approved by the Hamburg state authority for animal welfare (BUG-Hamburg) and were performed in accordance with the guidelines of the German Animal Protection Law.



### *Animal preparation*

Anesthesia was induced in a chamber filled with isoflurane. Following an initial injection of medetomidine (2  $\mu\text{g}/10\text{g}$  body weight i.p.), mask ventilation with  $\text{O}_2$  and isoflurane (1-1.5 %) was initiated using a small animal ventilator (Inspira, Harvard Apparatus Inc., Holliston, MA) (Savola and Virtanen, 1991; Zuurbier et al., 2002) and mice were then mounted in a custom-built small animal platform equipped with a stereotactic manipulator (Kopf Instruments, Tujunga, CA). Appropriate anesthesia plane was ascertained by the absence of tail and foot pinch reflexes, lack of movements and a continuous monitoring of vital parameters (body temperature, electrocardiogram, spontaneous breathing). Next, a craniotomy was performed on the left side of the skull (0.5-4mm lateral to midline, -2.5-+2.5mm anterior and posterior to bregma, respectively). Following the completion of surgery, target anesthesia level was achieved by lowering isoflurane concentration to  $0.8\pm 0.1\%$ , complemented by low dose medetomidine ( $0.1\mu\text{g}/10\text{g}$  bodyweight). For termination of the experiment mice received a lethal overdose of ketamine prior to perfusion with 4% paraformaldehyde. Brains were removed from the skull and kept in a storage solution (30% sucrose for 2-3 days at  $4^\circ\text{C}$ ).

### *Histology and stereological cell counting*

Tissue preparation and histological staining of dopaminergic (DA) neurons in the substantia nigra pars compacta (SNpc) and the ventral tegmental area (VTA) was performed according to the protocols of Meka (Meka et al., 2015) and Kramer et al. (Kramer et al., 2007). Midbrain cell count was performed for the right hemisphere by stereology (StereoInvestigator, MicroBrightField) and automatic fiber counting (Metamorph, Molecular Devices). To avoid counting biases, investigators were

blinded to the genotype. The genotype was confirmed post-mortem with PCR. The left hemisphere was used to visualize recording sites. Brains were cut into 50  $\mu\text{m}$  coronal sections with a freezing microtome (Leica Instruments GmbH) and fixed on slides. Sections were either stained with Nissl staining or Acetylcholinesterase (ACH) staining using standard protocols. Lesions and electrode trajectories were visualized using a microscope (Carl Zeiss Microimaging), photographed using AxioVision Software and merged using Fiji Software (Schindelin et al., 2012). An example visualization of recording sites is shown in supplementary Figure 2.

#### *Data acquisition and experimental sessions*

We recorded ongoing neuronal activity from 8 independently moveable microelectrodes (glass coated tungsten electrodes; tip size  $<5\mu\text{m}$ ; tip impedances  $670\pm240\text{ k}\Omega$ ; Alpha Omega, Nazareth, Israel) arranged in a 2x4 array (inter-electrode distance 0.8mm). During the course of the experiment, the microdrive was repositioned multiple times to cover a large extent of striatal tissue. Following the stereotactic positioning of the multi-electrode array, individual microelectrodes were lowered to the cortical surface in a stepwise fashion under microscopic guidance (EPS, Alpha Omega, Nazareth, Israel). Functional somatotopic mapping (somatosensory responses) at the cortical entry site allowed for a precise identification of the recording position and matching with atlas coordinates (Paxinos and Franklin, 2004). A single microelectrode was positioned as cortical reference within primary motor cortex. Depth of this cortical electrode was tailored towards robust unit activity and high signal noise ratio in superficial pyramidal cell layer II/III of M1, respectively. M1 was identified by both verification of atlas coordinates and the absence of brisk somatosensory responses to stroking the animals skin surface in

different body parts. In contrast, the precise cortical entry position of neighbouring microelectrode tracks—lowered through somatosensory cortices—could reliably be verified by somatotopically distributed tactile responses.

The remaining 7 electrodes were lowered to the target structures in the caudate/putamen complex (CPu) and lateral globus pallidus (LGP), respectively. The final classification of the recording site was based on a combination of a) atlas coordinates, b) cortical mapping results, c) a stereotypical activity-depth profile of neuronal activities together with characteristic discharges of specific cell types (e.g., sparse spiking of presumed spiny projection neurons at a striatal level, tonic high frequency discharges of neurons within the LGP) along the trajectory, and d) post mortem verification of reference lesions at different depth levels. Recordings of uncertain location were excluded from further analysis. Supplementary Figure 2 illustrates the electrode configuration and a verification of recording sites.

All signals were referenced against a silver wire positioned subcutaneously near the nose. Signals were pre-amplified, amplified ( $\times 5000$ ) and analogue-filtered (Multi Channel Processor; Alpha Omega). Spiking activity was extracted from the broadband signal (hardware filter settings 1Hz-12.5kHz) using a digital bandpass-filter between 160Hz and 6kHz and digitized with a sampling rate of 25kHz. Local field potentials (LFP) were obtained by applying a low-pass filter at 500Hz with a sampling rate of 1562.5Hz.

### *Spike detection and sorting*

All spike train analyses were carried out in Matlab (R2014b, MathWorks, Natick, MA). Spike detection and sorting was performed using the waveclus-toolbox (version 2.0) written by Quiroga et al. (Quiroga et al., 2004). Threshold for spike detection was

set at 4 times the standard deviation of the background noise of the bandpass-filtered signal. Action potential waveforms were aligned to the positive peak of the waveform. In this study, only well isolated single unit activity (SUA) was considered for further analysis. The selection criteria for SUA were twofold: signal to noise ratio had to be  $>2$  and the summed standard deviation over the waveform's main rise divided by the vertical length of the main rise had to be  $<3$ , according to the criteria described by Tankus and colleagues (Tankus et al., 2009). Recordings with obvious drifts or artefacts were excluded from further analysis. Furthermore, units with less than 100 spikes were generally excluded from further analysis.

#### *Spike train analysis*

As a first step of SUA analysis, we characterized the statistical spike train properties by calculating the interspike interval (ISI) distribution and the mean firing rate (defined as  $1/\text{mean ISI}$ ). To assess the regularity of neuronal discharges, we calculated both the global and local coefficient of variation (CV) of the interspike intervals. The local CV2 scales between 0 and 2, a value of 1 indicating Poisson-like properties and smaller values indicating more regular spiking (Holt et al., 1996). Given the inevitable activity fluctuations associated with prolonged recording durations, we selected the CV2 measure as a more accurate estimate of discharge regularity compared to CV. Neuronal group discharges ('bursts') were assessed with the Poisson surprise method described by Legendy et al. (Legendy and Salcman, 1985) and a surprise value of  $S=5$ .

#### *Classification of striatal neurons*

The differentiation of striatal neuronal subtypes was a core aim of the present study. To this end, we used a multidimensional physiological parameter space to cluster our dataset, as described previously by Yamin et al. for the mouse striatum (Yamin et al., 2013). More specifically, we used both peak to peak width and half valley decay time (HDT) to separate presumed FSIs with short spike waveforms (likely corresponding to GABAergic interneurons) and presumed tonically active neurons (TANs, corresponding to striatal cholinergic interneurons) with long lasting afterhyperpolarizations (Bennett et al., 2000; Berke et al., 2004; Lansink et al., 2010; Sharott et al., 2009; Wilson and Goldberg, 2006) from presumed spiny projection neurons of the striatum. These waveform measures were complemented by the proportion of ISIs longer than 2s, which has proven valuable in separating phasically active from tonically active neuronal striatal neuronal subpopulations (Schmitzer-Torbert and Redish, 2008; Yamin et al., 2013).

Unsupervised hierarchical clustering (McGarry et al., 2010) of the data was then performed using Ward's algorithm ('Exploratory Data Analysis' toolbox (Martinez and Martinez, 2005; Martinez et al., 2011)). Clustering results were visualized in a dendrogram and the number of groups was determined according to the results of the corresponding Mojena plot (Mojena, 1977). The quality of cluster separation was then assessed by calculation of the cophenetic correlation coefficient and a silhouette analysis (McGarry et al., 2010). Clustering performance was comparably good in both groups under study (mean silhouette values: parkin<sup>-/-</sup> group, 0.72; control group, 0.73; average cophenetic correlation coefficient for the parkin<sup>-/-</sup> group, 0.79; control group, 0.82). A cut-off value of 0.2 was used for the silhouette analysis in order to remove units with ambiguous cluster affiliation from further analysis (Kaufman and Rousseeuw, 1990; Martinez and Martinez, 2005). Therefore 38/290 (13%) of SUAs in

the control group and 107/749 (14%) in the parkin<sup>-/-</sup> group were excluded from further analysis.

### *Correlation analysis*

For statistical reasons, and in contrast to the descriptive spike train analyses above (firing rate, CV2, burst analysis), we only included single units with >300 spikes for all correlation analyses. Cross-correlations of simultaneously recorded SUA were computed in a time window of  $\pm 2$  s with a bin size of 0.02 s (FieldTrip (Oostenveld et al., 2011)). For statistical testing, ISIs of both spike trains were shuffled 100 times using the global shuffling algorithm of Rivlin-Etzion (Rivlin-Etzion et al., 2006). In order to correct for firing rate distortions, the mean null hypothesis was then subtracted from the true correlation. The null hypothesis was defined by the cross-correlation of the two shuffled spike trains, and the mean null hypothesis was derived from the mean of 100 cross-correlations of the shuffled spike trains. A t-score was calculated by dividing the corrected true correlation by the sum of the mean of all 100 standard deviations of the null hypotheses and the mean of the mean null hypothesis (Sharott et al., 2009). Cross-correlograms were considered significantly modulated when >3 neighboring bins reached the significance criterion of  $\pm 2$ SD within a time window of  $\pm 0.25$  s. The main peak of the cross-correlation was identified (defined as absolute value of positive or negative peak exceeding a minimum of  $> 2$ SD within a central time window  $\pm 1.5$ s) and its time lag determined. In case both negative and positive peaks had similar heights, the peak closest to the central bin was chosen. The peak duration of cross-correlograms were determined by assessing zero-crossings next to the main peak. To further elaborate on the temporal relationships of correlated spiking, we calculated a symmetry index as in Adler et al. (Adler et al., 2013). This

symmetry index, defined as the difference of significant lags ( $>2SD$ ) in the positive time range minus significant lags in the negative time range divided by their sum, was calculated for all t-scores in a central time window  $\pm 0.5s$ .

### *LFP power analysis*

Prior to spectral analysis, LFPs were down-sampled to 1000Hz and digitally band-pass filtered at multiples of 50 Hz to remove line noise with a second order notch filter. Moreover, we applied a linear phase low-pass filter at 200 Hz designed by the Parks-McClellan algorithm. All filtering procedures were applied by performing zero phase forward and reverse digital filtering. Power spectral estimates were calculated by using a multi-taper spectral approach (time-bandwidth parameter  $nw=3.5$ , 6 Slepian tapers,  $nfft=2000$ ), resulting in a spectral resolution of 0.5 Hz. For group comparison, absolute LFP power was normalized by the summed high spectral frequency content between 155 and 195 Hz (Lobb et al., 2013) and relative LFP power was retrieved by normalizing each spectrum by the total power between 1 and 80 Hz. In case more than 1 electrode was positioned within the recording structure (CPu, LGP) at a given time, power was averaged for all simultaneous recorded LFPs in the same anatomical structure before calculation of the group mean. Relative power was computed for five different frequency bands: delta (1.5-3.5Hz), theta (4-8.5Hz), alpha (9-19.5Hz), beta (20-30Hz) and gamma (30.5-80Hz; excluding the line noise region,  $50\pm 2Hz$ ) and corrected for multiple comparisons using the false discovery rate. Delta- and beta frequency bands proved to be of specific interest in the context of this study. First, the high power values in the delta frequency band reflected the anesthesia-related low-frequency components. Secondly, mutant mice exhibited a distinct peak in the beta frequency range. Time-Frequency plots were

constructed using the Chronux toolbox (Mitra and Bokil, 2008). For visualization purposes, we normalized the power spectra by dividing each spectrum by  $1/f^{1.5}$  as described by Feingold and colleagues (Feingold et al., 2015).

### *LFP coherence analysis*

Coupling strength was determined by calculating the coherence  $Coh_{ij}(f)$  of two simultaneously recorded LFP signals  $i$  and  $j$  from different electrodes at a specific frequency  $f$ . This calculation is based on the cross-spectrum  $S_{ij}(f)$ , estimated using Welch's averaged periodogram with a resolution of 0.5 Hz. The coherency  $C_{ij}(f)$  can

then be expressed as follows:  $C_{ij}(f) \equiv \frac{S_{ij}(f)}{(S_{ii}(f)S_{jj}(f))^{1/2}}$  (Nolte et al., 2004). To

account for variance across recordings, coherency was transformed as suggested:

$\widetilde{C}_{ij}(f) \rightarrow \frac{C_{ij}}{|C_{ij}|} \arctanh(|C_{ij}|)$  and the transformed coherence  $Coh_{ij}$  is then defined as

the absolute value of the transformed coherency:  $Coh_{ij} = |\widetilde{C}_{ij}(f)|$  (Nolte et al., 2004).

### *Spike-LFP phase locking*

Furthermore, we were interested in the precise temporal relationship between ongoing striatal and pallidal single cell discharges and population activities within and across these structures, respectively. Therefore, we calculated phase locking values of individual SUAs to simultaneously recorded LFPs from motor cortex, CPu and LGP. As a first step, LFPs were aligned to spike timestamps by downsampling to 1kHz. Similar to LFP power, phase locking of units to LFP was specifically assessed in the delta- and beta- frequency bands, respectively. To this end, LFPs were bandpass-filtered (Parks-McClellan filter) and Hilbert-transformed (Lachaux et al., 1999; Le



Van Quyen et al., 2001). As a measure of phase locking strength to LFP, mean phase and the vector length was derived from instantaneous spike field phases for each neuron (Sharott et al., 2012; Sharott et al., 2009). The significance of phase locking was determined by shuffling surrogate spike trains 1000 times (local shuffling of all ISIs in segments of 10s) and recalculation of the corresponding vector length. A neuron was considered significantly phase locked if the Raileigh statistic showed a non-uniform distribution with  $p < 0.05$  and more than 95% of the vectors obtained from the 1000 shuffled spike trains were smaller than the original one (Sharott et al., 2009). LFPs were averaged at each depth in analogy to the spectral analysis described above. To discard possible influences of different discharge rates, we corrected for the number of spikes with a permutation procedure by 100 times randomly selecting 100 instantaneous spike-field phases for the calculation of the vector length.

#### *Experimental design and statistical analysis*

A description of critical variables (number of recorded neurons, recordings and available data pairs) is provided in the results section. Due to non-uniformity of the data distribution, non-parametric statistical tests were generally applied. For pairwise comparisons, the Mann-Whitney U test (MWUt) was used. For comparison of categorical variables, Fisher's exact test was used. For comparison of circular data (phase angles) we employed Williams-Watson-F test (WWFt) (Berens, 2009). P values were corrected with the false discovery rate (FDR) for multiple comparisons (Benjamini and Hochberg, 1995). We corrected unless otherwise stated for each hypothesis for the number of compared neuron types, usually if available for 5 neuron types (4 striatal neuron types and 1 pallidal neuron type referred as LFP SUA). Significance level for all statistical tests was set at  $p < 0.05$  (individual p-values are

given in the text). All given p-values in the results section are FDR-corrected, unless otherwise stated. All results are presented as mean $\pm$ SD. Statistical analysis has been performed in MATLAB 2014b (Mathworks) and associated toolboxes, bar graphs and box plots have been created using Graphpad Prism. Whiskers of box plots show 5-95 percentile, outlier are for overview reasons not shown.

## Results

### *Description of the dataset and anesthesia*

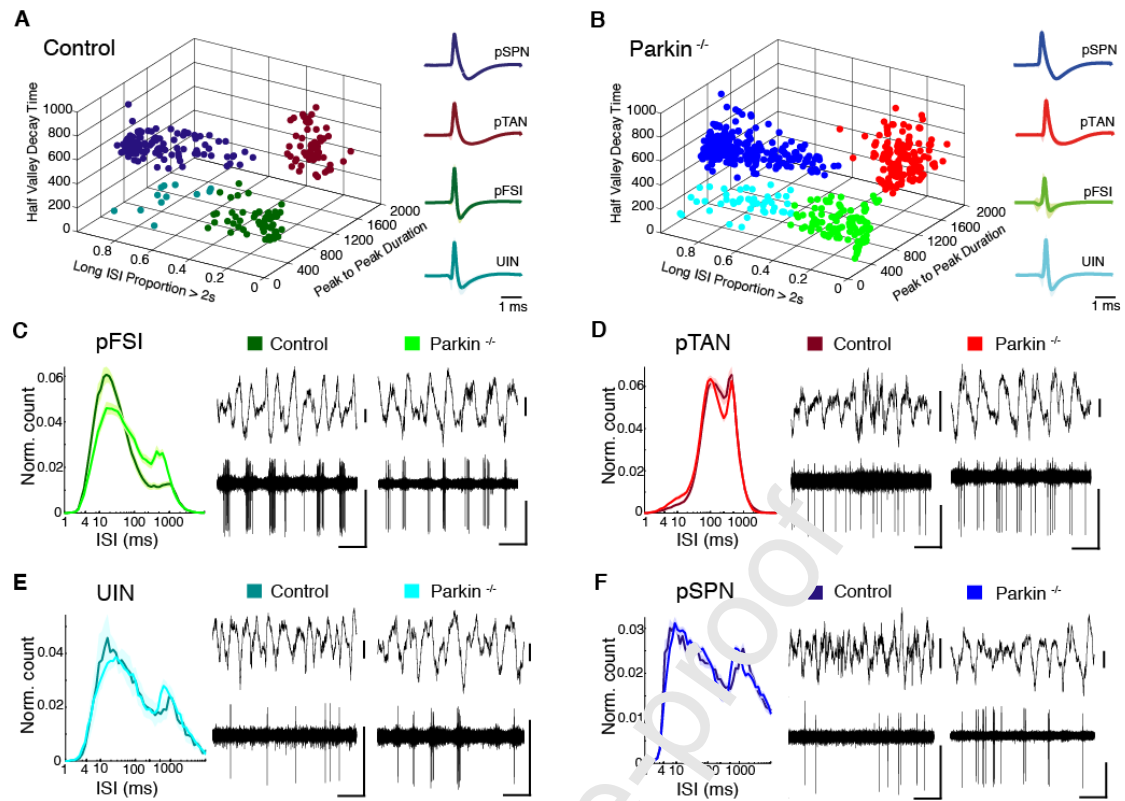
We recorded ongoing neural activity simultaneously from motor cortex (henceforth referred to as Cx; 274 recordings), caudate putamen complex (CPu; 283 recordings) and lateral globus pallidus (LGP; 145 recordings) of isoflurane-anesthetized parkin mutant mice and a cohort of age-matched controls. Mean recording duration was similar for both groups (ctrl, 549 $\pm$ 245s vs. parkin<sup>-/-</sup>, 564 $\pm$ 237s; MWUt [n=228/474], p=0.69). Power spectra of local field potentials were characterized by a large peak in the delta frequency band during anaesthesia. Importantly, global slow wave population dynamics did not differ between knockouts and controls at a cortical level, neither for absolute (MWUt, [n=84/190], p=0.68) nor relative (MWUt, [n=84/190], p=0.06) power measures (Supplementary Figure 3 A and B). In addition, the whole frequency content of cortical LFPs between 1-80 Hz was not significantly different between the groups (MWUt, [n=84/190], p=0.83) (Supplementary Figure 3 C).

### *Classification of different striatal neuronal subpopulations*

A total of 1039 striatal single units, recorded along the whole anterior-posterior and medial-lateral axis of the CPu, passed our inclusion criteria (see materials and methods) and formed the starting point for further differentiation into different cell

types. Similar to previous studies (Berke et al., 2004; Schmitzer-Torbert and Redish, 2008; Sharott et al., 2009; Yamin et al., 2013) we used a combination of waveform duration and discharge parameters to disentangle the physiological profile of different striatal neuronal subpopulations. As visualized in 3D scatterplots (based on peak-to-peak duration, half valley decay time and proportion of long ISIs of sorted single neurons), a similar pattern of three distinct clusters emerged both in the control group (Figure 1A; n=242) and the cohort of parkin mutant mice (Figure 1B; n=642). Established criteria (Wilson et al., 1990; Kawaguchi, 1995; Bennett and Wilson, 1999; Berke et al., 2004; Mallet et al., 2005; Schmitzer-Torbert and Redish, 2008; Sharott et al., 2009; Lansink et al., 2010; Adler et al., 2013; Prosperetti et al., 2013; Yamin et al., 2013; Thorn and Graybiel, 2014) allowed a robust allocation of these clusters to striatal neuronal subpopulations of spiny projection neurons (pSPN), fast spiking interneurons (pFSI) and tonically active neurons (pTAN). The prefix ‘p’ stands for *putative*, reflecting the inherent uncertainty of relating single cell recordings to a particular neuronal subtype in the absence of post-hoc verification (labelling). As expected, pSPNs outnumbered all other neuron types and accounted for ~40% of all classified neurons in both experimental groups (ctrl, n=121; parkin<sup>-/-</sup>, n=324). pSPNs were characterized by a high proportion of long ISIs (indicating a phasic discharge mode), largely contributing to their low ongoing discharge rate, and an intermediate waveform duration (Figure 1F). pTANs, likely corresponding to the population of striatal cholinergic interneurons, made up ~20% of the total sample (ctrl, n=63; parkin<sup>-/-</sup>, n=154). The distinguishing features of this cell class were the absence of long ISIs (>2s) together with long spike waveforms (Figure 1D). In contrast, the short and rather symmetric action potential waveforms were an outstanding feature of pFSIs, presumably corresponding to the parvalbumin-positive

fast firing subpopulation of striatal GABAergic interneurons (Berke et al., 2004; Lansink et al., 2010; Sharott et al., 2009; Tepper and Bolam, 2004; Wiltchko et al., 2010). Notably, this short-waveform-based cluster scattered along the whole 'long ISI proportion >2s'-axis in both groups under study, with a substantial fraction of cells with short waveforms exhibiting discharge attributes similar to pSPNs. In agreement with the classic distinction between phasically active neurons and those with much higher discharge rates (Schmitzer-Torbert and Redish, 2008) and to comply with a similar subdifferentiation recently suggested by Yamin et al. (Yamin et al., 2013), we further subdivided this cluster at a cut-off of 0.5 based on the bimodal distribution of the discharge parameter 'proportion of long ISIs > 2s'. Thus, highly active cells with short spike waveforms (<50% of spikes spent in long ISIs) constitute the cluster of pFSIs (ctrl, n=56; parkin<sup>-/-</sup>, n=117; Figure 1C). A smaller fraction of cells with short spike waveforms firing sporadically (>50% of spikes spent in long ISIs) were designated as unidentified neurons (UIN; ctrl, n=12; parkin<sup>-/-</sup>, n=47; Figure 1E).

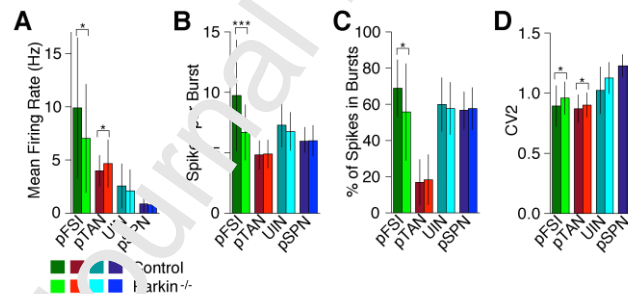


**Figure 1.** Classification of putatively different striatal neuron types in control and parkin<sup>-/-</sup> mice based on electrophysiological and waveform parameters. (A, B) Left side, In both control (A) and parkin<sup>-/-</sup> mice (B), multidimensional clustering reveals 4 putatively different subclasses of neurons, with each dot representing a single unit. In this and all following panels, a specific colour is assigned to each neuron type with dark colors generally representing control data and bright colors representing data from parkin mutant mice (blue: pSPN, red: pTAN, green: pFSI, cyan: UIN). Right side, Mean waveform  $\pm$  std shading for each population from control (A) and parkin<sup>-/-</sup> mice (B). Note similar clustering results in A and B for both experimental groups. (C-F) Left panels, normalized mean ISI histogram for each neuronal subpopulation in control (dark) and parkin<sup>-/-</sup> group (bright).  $\pm$  SEM shading on a logarithmic x-axis. pTANs exhibit a uniform distribution, reflecting their unique tonic discharge pattern. Right panels, exemplary raw data traces for each putative neuron group from controls (left) and mutants (right) with simultaneously recorded cortical LFP for pFSI (E), pTAN (D), UIN (E), pSPN (F). Horizontal scale bars: 1s, vertical scale bars: 0.05 mV. Control mice and parkin deficient mice reveal similar neuronal subclasses and share an identical data distribution. Supplementary Figure 4 reveals an identical spatial sampling for neurons recorded in the healthy and parkin mutant striatum, respectively.

Because numbers of FSIs or Parvalbumin-positive neurons express a decreasing gradient from lateral to medial in the striatum (Gerfen et al., 1985) we in addition analyzed the spatial distribution of depicted neuron types in different sections of the striatum shown in supplementary Figure 4.

### *Parkin deficiency alters key firing properties of striatal pFSIs*

To gain insight into the pathophysiological relevance of parkin mutations on neural activity within the striatal microcircuitry, we tested for changes in the ongoing discharge characteristics of each neuronal subtype. Figure 2 provides an overview on quantitative comparisons of firing rates, bursting characteristics and discharge regularity (as measured by the local coefficient of variation of ISIs, CV2) of striatal interneurons and projection neurons in controls and parkin<sup>-/-</sup> mice.



**Figure 2.** Striatal neuronal discharge properties in controls and parkin<sup>-/-</sup> mice. (A-D) Bar graphs represent mean values  $\pm$  std of mean firing rate (A), spikes per burst (B), % of spikes in bursts (C) and CV2 (D) for all neuronal subpopulations. Color code as above. Pairwise comparisons revealed significant differences for the discharge rates of putative striatal interneurons (pFSIs, pTANs) but not putative projection neurons (pSPNs) after correction for multiple comparisons (A). Decreased spiking of parkin mutant pFSIs is reflected by significantly fewer spikes per burst and a reduced amount of spikes contained within bursts, respectively (B, C). Higher values for the local coefficient of variation (CV2) indicate that mutant interneuronal discharge (pFSIs/pTANs) is significantly more irregular compared to controls (D). \*  $p < 0.05$ , \*\*\*  $p < 0.001$ .

Consistent with the absence of obvious motor symptoms in parkin mutant mice, discharge rates of pSPNs were similar in both experimental groups (ctrl,  $0.9 \pm 0.8$  Hz vs. parkin<sup>-/-</sup>,  $0.8 \pm 0.8$  Hz; MWUt [n=121/324],  $p=0.46$ ). While firing rates of UINs were also similar in controls and parkin<sup>-/-</sup> mice (ctrl,  $2.6 \pm 2.1$  Hz vs. parkin<sup>-/-</sup>,  $2.1 \pm 2.0$  Hz; MWUt [n=12/47],  $p=0.38$ ), the presence of parkin mutations had a significant impact on the discharge characteristics of pFSIs. We found a significant reduction (~30%) in the discharge rate of pFSIs in parkin<sup>-/-</sup> mice (ctrl,  $9.9 \pm 6.6$  Hz vs. parkin<sup>-/-</sup>,  $7.0 \pm 5.1$  Hz; MWUt [n=56/117],  $p=0.017$ ). This effect was likely driven by a reduced intra-burst spike count of mutant pFSIs (ctrl vs. parkin<sup>-/-</sup>,  $9.8 \pm 2.3$  vs.  $6.7 \pm 1.8$  spikes/burst; MWUt,  $p=3.7 \times 10^{-6}$ ) and significantly fewer spikes contained in bursts (ctrl vs. parkin<sup>-/-</sup>,  $68.9 \pm 15.6\%$  vs.  $55.8 \pm 26.7\%$ ; MWUt,  $p=0.038$ ). pTANs showed an opposing trend: Their firing rate was slightly, but significantly, elevated in mutant mice when compared to controls (ctrl,  $4.0 \text{ Hz} \pm 1.4 \text{ Hz}$  vs. parkin<sup>-/-</sup>,  $4.7 \text{ Hz} \pm 2.2 \text{ Hz}$ ; MWUt [n=63/154],  $p=0.04$ ). In addition to these changes in firing rate and burst structure, spike train irregularity (CV2) was also selectively increased in mutant pFSIs (control,  $0.9 \pm 0.2$  vs. parkin<sup>-/-</sup>,  $1.0 \pm 0.1$ ; MWUt,  $p=0.0499$ ) and pTANs (ctrl,  $0.8 \pm 0.1$  vs. parkin<sup>-/-</sup>,  $0.9 \pm 0.1$ , MWUt,  $p=0.0499$ ), but not pSPNs (ctrl,  $1.2 \pm 0.1$  vs. parkin<sup>-/-</sup>,  $1.2 \pm 0.1$ , MWUt,  $p=0.771$ ). We conclude that, in a preclinical stage, mutations in the parkin gene are associated with excitability changes in local striatal interneuronal populations, with discharge properties of projection neurons being unaltered.

#### *Correlation structure of the striatal microcircuit under isoflurane anesthesia*

We next sought to understand the consequences of altered interneuronal excitability on the correlation structure of the striatal microcircuitry. To this end, we assessed the

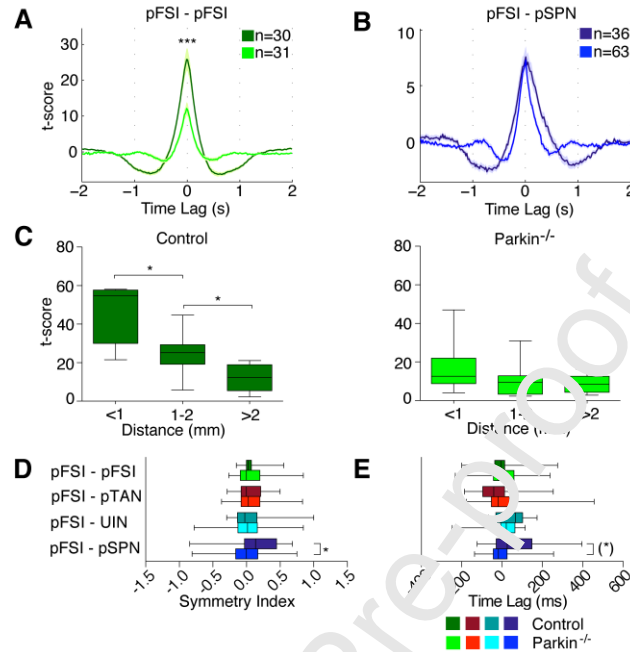
coupling strength between all recorded pairs of striatal neurons by calculating rate-normalized cross-correlation functions for all neuronal subtypes. In general, striatal single cell activity was highly correlated in both groups under isoflurane anesthesia (~70% of all cross-correlograms were significantly modulated according to the criteria described in the methods section), and displayed synchronized slow (~1-2 Hz) oscillatory burst discharges with a marked predominance (~90%) of positive correlations. The mean pair-wise correlation strength did not differ significantly between knockouts and controls in any of the tested groups (supplementary Table 2), with the notable exception of pFSI pairs.

#### *Disruption of the local striatal pFSI circuitry*

In line with the tight electrotonic and electrochemical coupling described for this cell type (Kita et al., 1990; Koós and Tepper, 1999; Fukuda, 2009), neuronal activity of simultaneously recorded pFSIs in control animals was highly positively correlated. In contrast, pFSI-pFSI synchrony was generally weaker in *parkin*<sup>-/-</sup> mice (t-score of ctrl,  $29.5 \pm 14.8$  vs. *parkin*<sup>-/-</sup>,  $15.2 \pm 8.5$ , MWUt [n=30/31],  $p=9.1e^{-5}$ , Figure 3A). We then partitioned pFSI pairs by distance to study their correlations as a function of intercellular distance (Figure 3C). Nearby pFSI pairs in the healthy striatum were positively correlated with high magnitude. In agreement with the intrastriatal short-range connectivity profile recently described for FSIs (Straub et al., 2016), pFSI-pFSI synchrony showed a marked distance-dependent decay (<1 mm vs. 1-2 mm, MWUt [n=7/16],  $p=0.0056$ ; 1-2 mm vs. >2mm, MWUt [n=16/8],  $p=0.0037$ ; Figure 3C, left panel). In contrast, local pFSI coupling in mice with *parkin* mutation was strongly reduced and the spatial structure of correlated FSI firing absent (<1 mm vs. 1-2 mm,



MWUt [ $n=14/18$ ],  $p=0.13$ ; 1-2 mm vs.  $>2$ mm, MWUt [ $n=18/9$ ],  $p=0.94$ ; Figure 3C, right panel).



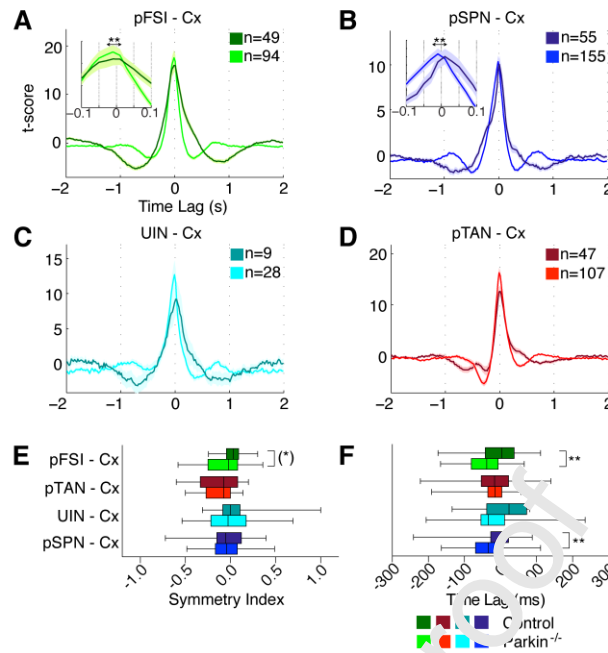
**Figure 3.** Cross-correlation analysis of pFSIs. (A) Rate normalized and t-scored cross-correlation histogram of pFSI-pFSI pairs for control animals (dark green) and parkin<sup>-/-</sup> mice (bright green). Shading is SEM. Synchronization strength is significantly weaker in parkin mutant mice. (B) Rate normalized and t-scored cross-correlation histogram of pFSI-pSPN pairs for controls (dark blue) and parkin deficient mice (bright blue). Maximum t-score is not different, but note that the characteristic asymmetric shape of the central peak is absent in the parkin<sup>-/-</sup> group. Cross-correlation histograms for all remaining pairs of neuronal subtypes are shown in supplementary Figure 5. (C) Mean t-score plotted as a function of distance between simultaneously recorded and cross-correlated pFSI pairs. Left panel, In controls, the average t-score diminishes progressively as a function of intercellular distance, indicating a physiological pattern of strong local and weak distant coupling among pFSIs, respectively. Right panel, This distance dependent decay of pFSI-pFSI coupling strength is absent in parkin mutant mice. (D, E) Temporal relationship of pFSI discharges with other striatal subpopulations of neurons. (D), Symmetry index of the center peak of cross-correlation histograms between pFSIs and other neuronal subclasses. Note the asymmetric distribution for pFSI-pSPN pairs in controls but not parkin mutant mice. (E) Time lag of the center peak of cross-correlation histograms between pFSIs and other

neuronal subclasses. For mutant pFSI-pSPN pairs, the average time lag is shifted towards negative values. Thus, the physiological spiking sequence of pFSIs preceding pMSN discharges seen in control animals is absent in mice with a mutation in the parkin gene. (A-E) While pFSIs always serve as the reference cell, the color code in B,E and F refers to the identity of the trigger cell (pFSI-pFSI ctrl: n=36, parkin<sup>-/-</sup> n=41; pFSI-pTAN ctrl: n=41, parkin<sup>-/-</sup> n=46; pFSI-UIIN ctrl: n=8, parkin<sup>-/-</sup> n=14, pFSI-pSPN ctrl: n=36, parkin<sup>-/-</sup> n=63). Whiskers of the box plots show the 5-95 percentile. (\*)  $p < 0.05$  before correction with FDR, \*  $p < 0.05$ , \*\*\* $p < 0.001$  after correction. Detailed descriptive statistics for all available auto- and cross-correlations are given in supplementary Table 1 and 2.

Given the powerful inhibitory influence of bursting FSIs on striatal outflow (Friedman et al., 2017; Lee et al., 2017; O'Hare et al., 2017), globally reduced activity and correlation levels among FSIs should have an effect on the functional interaction between pFSIs and pSPNs. In awake non-human primates, cross-correlograms between these two cell classes are asymmetric (Adler et al., 2013), with fast activation of FSIs and delayed spiking of SPNs in response to common input. As depicted in Figure 3B, asymmetric pFSI-pSPN coupling was indeed present in healthy controls, but absent in transgenic animals. Histogram peaks were significantly shifted toward positive values in control animals—indicating trigger cell (pFSI) discharge before the reference cell (pSPN)—but symmetrically distributed around zero in mutant mice (symmetry index of ctrl,  $0.18 \pm 0.33$  vs. parkin<sup>-/-</sup>,  $-0.03 \pm 0.33$ ; MWUt [n=36/69],  $p=0.0215$ ; Figure 3D). Furthermore, center peaks of pFSI-pSPN cross-correlograms were shifted towards earlier firing of pFSIs (i.e., prior to pSPNs) in the control group but not in parkin transgenic mice (time lag of ctrl,  $76 \pm 148$  ms vs. parkin<sup>-/-</sup>,  $-2 \pm 80$  ms; MWUt [n=36/69], before correction:  $p=0.0091$ , after FDR correction:  $p=0.0816$ ; Figure 3E). Taken together, these findings suggest that parkin deficiency leads to weaker interneuronal synchrony among striatal pFSIs and a selective timing disruption within the striatal FSI-SPN microcircuit.

### *Cortico-striatal cross-correlations*

Previous in vitro studies have identified the cortico-striatal synapse as an important functional locus for changes in parkin deficient mice (Kitada et al., 2009; Martella et al., 2009; Madeo et al., 2012). To examine alterations along the cortico-striatal axis as a possible mechanism behind the observed timing disruptions of the mutant pFSI microcircuitry, we calculated spike train cross-correlation functions between simultaneously recorded multiunit clusters in motor cortex and striatal single units. Significant features were detected in 590 of 648 (91%) cross-correlograms. Of these, the vast majority (544/590, 92%) had broad positive central peaks at around zero lag (Figure 4A-D), demonstrating slow oscillatory covariation of firing rates (i.e., synchronized bursting) in cortex and striatum. We noted a slight, but non-significant asymmetry of the central peak in cross-correlograms constructed from cortex-pFSIs pairs in controls compared to knockouts (symmetry index of ctrl,  $0.02 \pm 0.14$  vs. parkin<sup>-/-</sup>,  $-0.07 \pm 0.29$ ; MWUt [n=49/102], before correction  $p=0.03$ , FDR-corrected  $p=0.112$ ; Figure 4A,E). However, we observed a significant lead of cortical multiunits over both pSPNs and pFSIs in parkin mutant mice, but not in healthy controls (time lag of Cx-pSPN pairs in ctrl,  $15 \pm 81$  ms vs. parkin<sup>-/-</sup>,  $-32 \pm 77$ ms; MWUt [n=56/165],  $p=0.0010$ ; Figure 4B,F; time lag of Cx-pFSI pairs in ctrl,  $-6 \pm 72$  ms vs. parkin<sup>-/-</sup>,  $-39 \pm 78$  ms; MWUt [n=49/102],  $p=0.0019$ ; Figure 4A,F).

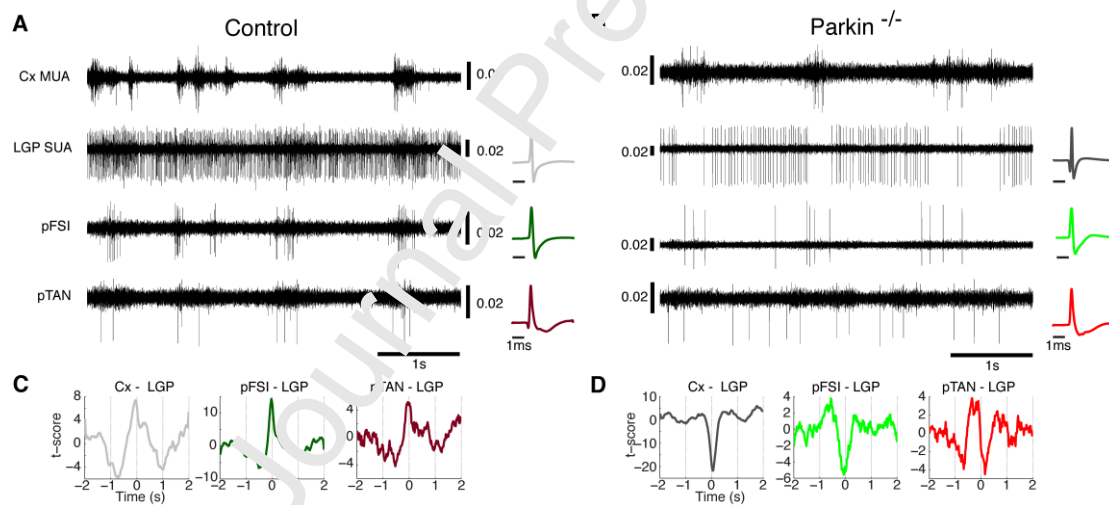


**Figure 4.** Cross-correlations between striatal neurons and cortical multiunits. (A-D) T-scored cross-correlation histograms constructed from pairs of positively correlated striatal single units and multiunits recorded in motor cortex. Insets in A and B highlight the significant time shift difference of pFSI-Cx and pSPN-Cx pairs between the experimental groups. Note that negatively correlated pairs are shown in supplementary Figure 5. Further descriptive statistics are given in supplementary Table 2. (E, F) Temporal relationship between motor cortical multiunits and different striatal cell types. (E) The mean symmetry index for pFSI-Cx pairs is shifted towards negative values in the parkin<sup>-/-</sup> group. Note that this difference is statistically significant only before correction for multiple comparisons. (F) Compared to controls, mutant peak time lags of cross-correlogram histograms derived from pairs of mutant motor cortical multiunits and pFSIs and pSPNs are shifted significantly towards negative values. (A-F) Color code as in previous figures with striatal cells as reference. Brighter and darker colors refer to group data from parkin mutants and healthy controls, respectively. Whiskers of the box plots show the 5-95 percentile. (\*)  $p < 0.05$  before correction with FDR, \*\*  $p < 0.01$ .

#### *Unit cross-correlations along the cortico-striato-pallidal axis*

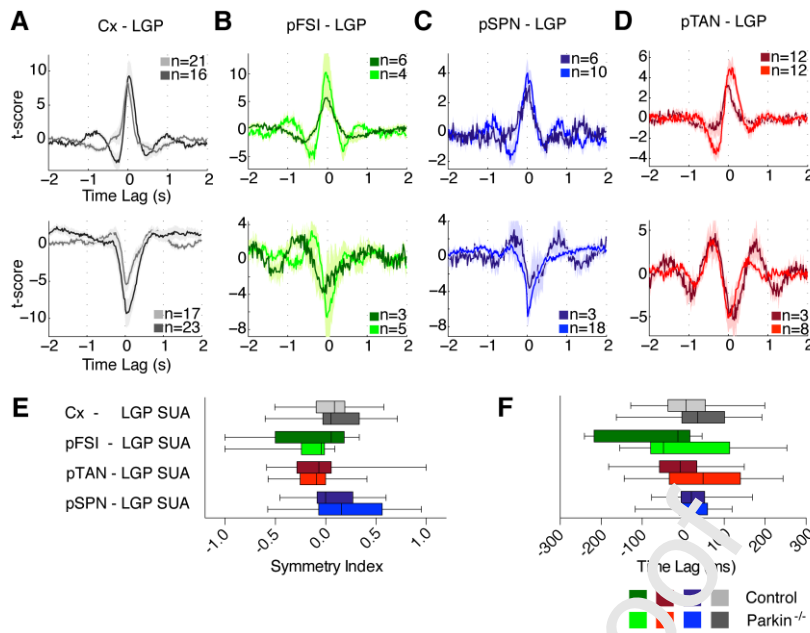
Given these intrastriatal microcircuit changes in parkin mutant mice, we next sought to determine consequences of these alterations on downstream activity in the LGP. Compared to controls, the average discharge rates of single LGP units was slightly,

but not significantly, elevated in the *parkin*<sup>-/-</sup> group (ctrl,  $24 \pm 16$  Hz; *parkin*<sup>-/-</sup>,  $31 \pm 20$  Hz; MWUt [n=55/55], before correction  $p=0.044$ , FDR-corrected  $p=0.07$ ) (Figure 6). Likewise, neither bursting behaviour nor discharge regularity differed between the two experimental groups (percentage of spikes in bursts, ctrl,  $18.9 \pm 14.6\%$  vs. *parkin*<sup>-/-</sup>,  $16.8 \pm 15.1\%$ ; MWUt [n=54/53],  $p=0.56$ ; CV2, ctrl,  $0.6 \pm 0.2$  vs. *parkin*<sup>-/-</sup>,  $0.6 \pm 0.2$ ; MWUt [n=55/55],  $p=0.39$ ). In agreement with previous observations (Goldberg et al., 2003; Magill et al., 2006; Walters et al., 2007; Zold et al., 2007; Mallet et al., 2008, 2012; Abdi et al., 2015; Karain et al., 2015), rhythmic LCP bursting was tightly coupled to both cortical and striatal discharges at slow-wave frequencies, indicating a highly synchronized, oscillating cortico-striato-pallidal circuit (Figure 5).



**Figure 5.** Illustrative examples exhibiting different coupling modes of unit activities along the cortico-striato-pallidal axis during isoflurane anesthesia. Raw traces are taken from a recording in a control (A) and a *parkin* mutant animal (B), respectively. In both panels, a cortical multiunit (Cx MUA), is depicted together with pallidal single units (LGP SUA) and two types of striatal interneurons (pFSI and pTAN). Vertical scale bars for the individual units are given in mV. Mean action potential waveforms of the unit are shown on the right. Positive and negative rate covariations are readily discernible. (C, D) Cross-correlation histograms of the units shown in (A) and (B). Both positive and negative coupling patterns were similarly observed in both experimental groups (see results).

Moreover, 77 out of 97 (81.3%) Cx-LGP pairs were significantly modulated with approximately equal proportions of positive and negative correlations (resulting from pallidal neurons exhibiting in-phase and anti-phase spiking with cortical units, respectively) in both experimental groups (Figures 5 and 6, supplementary Table 2). It is of note that pallidal neurons also exhibited slow oscillatory in-phase and anti-phase synchrony with both putative striatal projection neurons (Figure 6C) and interneuron populations (Figure 6B and D). The timing of pallido-striatal spiking as assessed by cross-correlation analysis did not differ between the experimental groups (Figure 6E and F). The point to be noted from the symmetry-analysis depicted in Figure 6E is that, in both groups, cross-correlogram peaks constructed from pairs of pallidal neurons and striatal interneurons (pFSI/pTAN) were generally shifted towards negative values, while central peaks derived from cortico-pallidal and SPN-pallidal pairs were shifted towards positive values.



**Figure 6.** Temporal relationships between pallidal single units and different striatal neuron types and motor cortical multiunits. (A-D) Mean t-scored cross-correlogram histograms with SEM shading for all pairs of Cx-LGP (A), pFSI-LGP (B), pTAN-LGP (C) and pSPN-LGP (D). Upper and lower panels show group averages for positively and negatively correlated pairs, respectively. Note similar proportions of phase and anti-phase correlated Cx-LGP pairs in both groups. In all panels, spiking of LGP single units is triggered on cortical and striatal unit activities, respectively. (E) Symmetry index for center peaks of all cross-correlation histograms shown in A-D. In both experimental groups, symmetry indices derived from cross-correlated pairs of pallidal neurons and pSPNs are positive, whereas those derived from cross-correlated LGP units and striatal interneurons are shifted towards negative values. Thus, pallidal spiking generally lagged striatal outflow activity but preceded striatal interneuronal discharges. Similar temporal relationships can be seen in the lag analysis of cross-correlation center peaks (F). Colour code as noted above. Whiskers of box plots show 5-95 percentile. For detailed descriptive statistics, the reader is referred to supplementary Table 2.

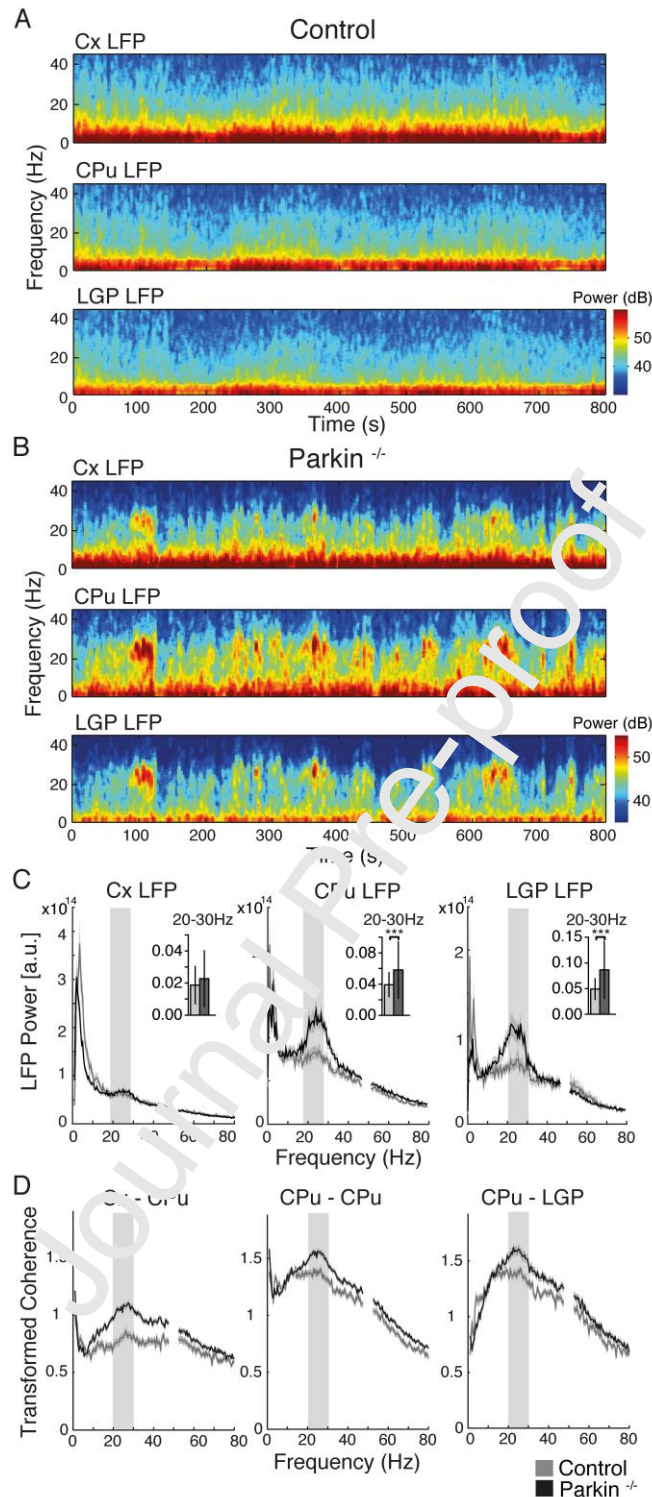
Thus, under conditions of isoflurane anesthesia, spiking of cortex and SPNs precedes LGP units, the latter at the same time leading spiking of striatal interneurons. While the overall proportion of significantly modulated striato-pallidal cross-correlograms was not different between the two groups (ctrl, 33 out of 89 (37%) vs. parkin<sup>-/-</sup>, 57 out

of 117 (49%), Fisher's exact test,  $p=0.12$ ) we noticed a higher prevalence of negative striato-pallidal cross-correlations among the group of parkin mutant mice (ctrl, 9 out of 33 pairs (27%) vs. parkin<sup>-/-</sup>, 31 out of 57 (54%); Fisher's exact test,  $p=0.016$ ). Thus, under general anesthesia with isoflurane, a large-scale cortex-basal ganglia network is highly synchronized and tuned to the prevalent slow wave rhythm of the cortex. While knockout animals exhibited cell-specific abnormalities within intrastriatal interneuronal microcircuits, spiking activity along the striato-pallidal pathway (pSPNs to LGP) was not altered in parkin mutant mice.

*Parkin-deficiency is associated with amplified beta oscillations in striatal and pallidal LFPs*

We next asked whether parkin deficiency might also alter global population network dynamics (as reflected in local field potentials (LFPs)) within and across the corresponding regions. Figures 7A and B show representative time-frequency transformed records of ongoing LFPs measured simultaneously in Cx, CPu and LGP of a control and a parkin mutant mouse, respectively.





**Figure 7.** Local field potentials reveal enhanced synchronized beta oscillations in striato-pallidal circuits of parkin mutant mice. (A,B) Example time-frequency transformed LFP power of simultaneous recordings from motor cortex, CPu and LGP from a control (A) and parkin<sup>-/-</sup> mouse (B). (C) Mean absolute 1/f normalized group power spectra of LFP recordings in motor cortex (Cx, left, ctrl: n=84, parkin<sup>-/-</sup>: n=190), CPu (middle, ctrl: n=86, parkin<sup>-/-</sup>: n=196) and LGP (right, ctrl: n=56, parkin<sup>-/-</sup>: n=87)

+/- SEM shading of control (grey) and parkin<sup>-/-</sup> mutant mice (black). Insets depict relative power in the beta-frequency range (20-30 Hz; mean +/- std). Note that beta power is significantly elevated in CPu and LGP, but not motor cortex, of parkin deficient animals. (D) Transformed group coherence (+/- SEM) between LFP pairs (Cx-CPu (left) ctrl: n=79, parkin<sup>-/-</sup>: n=180, CPu-CPu (middle) ctrl: n=81, parkin<sup>-/-</sup>: n=185 and CPu-LGP (right) ctrl: n=61, parkin<sup>-/-</sup>: n=97). Beta band coherence is significantly enhanced along the entire mutant cortico-striato-pallidal axis. \*\*\* $p < 0.001$ .

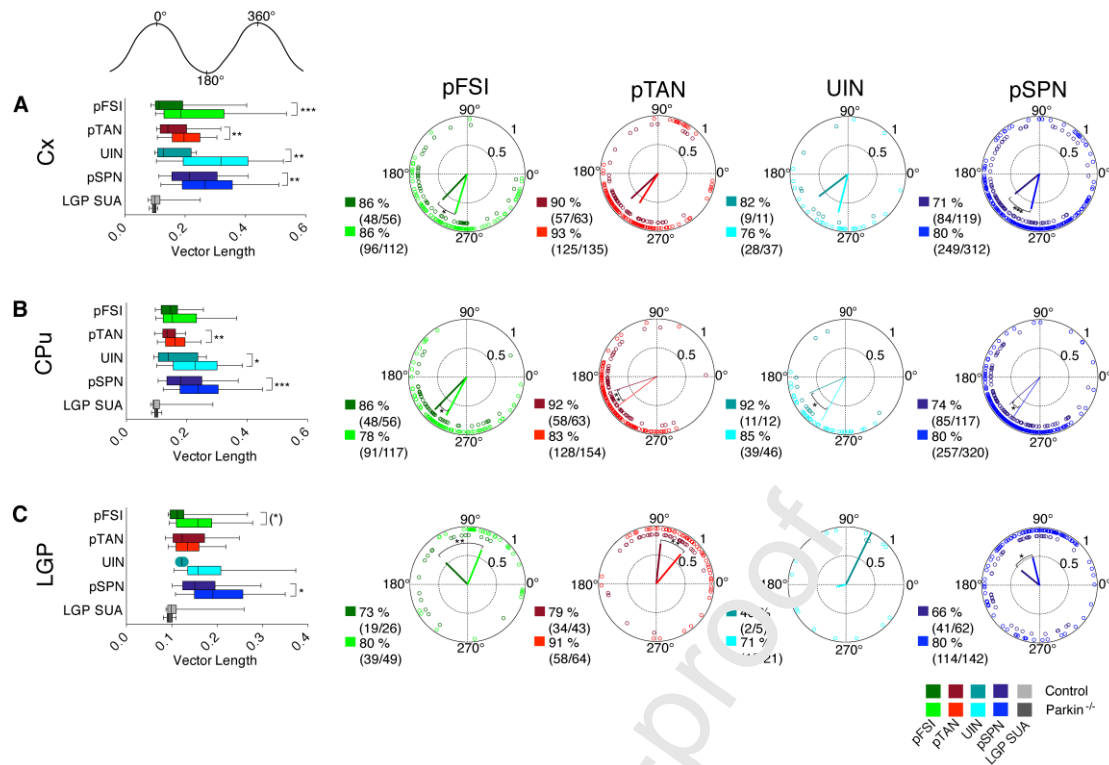
In both experimental groups, LFP power spectral density was dominated by a large peak in the slow-wave frequency band in all three structures (Figure 7C). Unexpectedly, and in contrast to the control group, a prominent second peak emerged in ongoing striatal and pallidal, but not cortical, LFPs of parkin mutant mice in the beta frequency band (mean peak frequency,  $23 \pm 3$  Hz; Figure 7C). A quantitative comparison revealed that motor cortex LFP power in the beta-frequency band was similar (relative power between 20 and 30 Hz, MWUt [n=84/190],  $p=0.28$ ; absolute beta power; MWUt [n=84/190],  $p=0.68$ ) in parkin<sup>-/-</sup> mutant mice compared to controls. In contrast, a significant beta peak was consistently (6 out of 7 animals) found in the averaged absolute and relative power spectra LFPs recorded from CPu and LGP of parkin deficient mice, respectively (comparison of absolute beta power for CPu of ctrl vs. parkin<sup>-/-</sup>; MWUt [n=86/196],  $p=1.46e^{-08}$ ; LGP, MWUt [n=56/87],  $p=4.49e^{-05}$ ; comparison of relative beta power for CPu of ctrl vs. parkin<sup>-/-</sup>; MWUt [n=86/196],  $p=6.73e^{-05}$ ; LGP, MWUt [n=56/87],  $p=0.0001$ ; Figure 7C). The spectrograms depicted in Figure 7B demonstrate the waxing and waning dynamics of prominent ongoing beta oscillatory episodes, which emerge synchronously along the cortico-striato-pallidal route of parkin mutant mice. Their burst-like appearance is reminiscent of the fine temporal structure of exaggerated beta oscillations within the human parkinsonian basal ganglia circuit (Moll et al., 2015; Tinkhauser et al., 2017;

Sharott et al., 2018). Cortico-striato-pallidal network synchronization was next assessed by computing coherence spectra of simultaneously recorded LFPs within and across the recorded structures. Mean transformed coherence in the slow wave frequency range did not differ between the experimental groups along the cortico-striatal axis (Cx-CPu MWUt [n=79/180],  $p=0.29$  and CPu-CPu pairs MWUt [n=81/185],  $p=0.62$ ) but showed decreased coherence for CPu-LGP (MWUt [n=61/97],  $p=2.87e^{-05}$ ) and Cx-LGP (MWUt [n=57/78],  $p=0.0006$ , (not shown)) pairs in the parkin<sup>-/-</sup> group. Figure 7D demonstrates that intra- and interstructural coherence spectra of knockouts peaked at beta frequencies (peak frequency,  $25 \pm 2\text{Hz}$ ), with significantly stronger synchrony in the beta frequency range compared to controls (comparison of beta-band (20-30Hz) LFP coherence between ctrl and parkin<sup>-/-</sup>; Cx-CPu, MWUt [n=79/180],  $p=9.55e^{-13}$ ; CPu-CPu, MWUt [n=81/185],  $p=5.83e^{-06}$ ; CPu-LGP, MWUt [n=61/97],  $p=7.93e^{-06}$ ; Cx-LGP (not shown), MWUt [n=57/78],  $p=7.88e^{-12}$ ). Together, these data show that—at the LFP level—parkin deficiency leads to the emergence of amplified beta oscillations in striato-pallidal circuits that are coherent with cortex, resembling a cardinal electrophysiological signature of chronic dopaminergic denervation in toxin-based animal models (Avila et al., 2010; Beck et al., 2016; Brazhnik et al., 2012; Lemaire et al., 2012; Mallet et al., 2008a; Mallet et al., 2008b; Sharott et al., 2005) and patients with Parkinson's disease (Bronte-Stewart et al., 2009; Brown et al., 2001; Kuhn et al., 2008; Levy et al., 2002a; Levy et al., 2002b; Moll et al., 2015; Moshel et al., 2013; Sharott et al., 2018; Sharott et al., 2014; Williams et al., 2002).

*Parkin deficiency perturbs striatal spike-LFP relationships and leads to amplified coupling of pFSIs to ongoing beta oscillations*

Given that parkin deficiency produced an electrophysiological phenocopy of the dopamine-denervated cortico-basal ganglia network, we finally sought to examine how amplified population synchrony might reshape the phase coupling of spikes to LFP oscillations. Based on the results of the power spectral density analysis, we focused our analysis on two dominant frequency bands: slow wave frequency (1.5-3.5 Hz) and beta frequency (20-30 Hz). In line with previous observations (Sharott et al., 2009, 2012), the vast majority of striatal neurons (~80%) in both genotypes exhibited significant phase coupling of their spikes to cortical slow waves. However, parkin mutations were generally associated with a significantly strengthened spike coupling to ongoing cortical slow wave oscillations, irrespective of striatal cell type (comparison ctrl vs. parkin<sup>-/-</sup>; pFSI, MWUt, [n=48/96],  $p=6.57\text{e-}06$ ; pTAN MWUt, [n=57/125],  $p=0.001$ ; UIN, MWUt, [n=9/28],  $p=0.006$ ; pSPN, MWUt, [n=84/249],  $p=0.004$ ; Figure 8A). pFSI and pSPN spiking in the parkin knockout occurred at a later phase of the cortical LFP compared to controls, in keeping with the results of the cortico-striatal unit cross correlation analysis (comparison ctrl vs. parkin<sup>-/-</sup>; pFSI, WWFt [ $F(1,142)=8.11$ ],  $p=0.01$ ; pSPN, WWFt [ $F(1,331)=23.21$ ],  $p=1.11\text{e-}05$ ; Figure 8A). In accord with observations in a toxin-based animal model of PD (Lemaire et al., 2012), the intrastriatal phase preference of all striatal subtypes of neurons was significantly shifted in knockout animals (comparison ctrl vs. parkin<sup>-/-</sup>; pFSI, WWFt  $F(1,137)=4.65$ ,  $p=0.03$ ; pTAN, WWFt  $F(1,184)=10.23$ ,  $p=0.004$ ; UIN, WWFt,  $F(1,48)=7.73$ ,  $p=0.0097$ ; pSPN,  $F(1,340)=7.94$ ,  $p=0.0085$ ; Figure 8B). Interestingly, coupling of mutant pSPNs and pFSIs to pallidal LFPs was also significantly enhanced, although this was not significant for pFSIs after correction for multiple

comparisons (comparison of ctrl vs. parkin<sup>-/-</sup>, pSPN, MWUt [n=41/114],  $p=0.0089$ ; pFSI, MWUt [n=19/39],  $p=0.0276$  before correction, FDR-corrected  $p=0.7$ ; Figure 8C). Figure 8C also demonstrates that—in contrast to the forward shift in the preferred phase in relation to cortical and striatal LFPs observed in knockouts, respectively—spikes of mutant pSPNs and pFSIs (as well as pTANs) were shifted backward (i.e., occurred at an earlier phase of the pallidal LFP). Overall, genotype had no effects on phase coupling of pallidal spikes to cortical, striatal and pallidal slow waves, neither on locking-strength (MWUt, all  $p > 0.05$ ), nor phase preference (WWFt, all  $p > 0.05$ ).



**Figure 8.** Low-frequency phase locking of units to LFP. In both experimental groups, all striatal neuron subclasses of neurons and LGP single units were strongly and significantly phase locked to the cortical (A, top row), striatal (B, middle row) and pallidal (C, bottom row) LFPs filtered in the low frequency range of slow wave activity dominating under isoflurane anesthesia (i.e. between 1.5-3.5 Hz). (A-C), Left panels, pairwise comparisons of the vector length (a rate-normalized indicator of phase locking strength) for all neuron types and neurons that were significantly phase-locked to LFP activities, respectively. Note the significant stronger phase locking of all striatal neurons to cortical LFPs in mice with parkin deficiency. A subset of mutant striatal neuron types (pSPNs, UIN and pTANs) were also stronger locked to striatal LFPs compared to controls. Spike-field-locking of main striatal outflow (pSPNs) to pallidal LFPs was significant higher for parkin deficient mice, while it was for pFSI only significant before correction for multiple comparisons.

The circular plots in right panels show mean phase angles for neurons that were significantly locked to LFPs from motor cortex (A), CPu (B) and LGP (C), respectively. A dot represents the mean phase angle of an individual neuron. Vector length is derived from the variance of all mean angles. A phase angle of 180° corresponds to the negative peak of the LFP oscillation. For each group, both percentage and absolute number of significantly locked neurons are stated. Note the significant phase shift of

almost all striatal neuron types with respect to the negative peak of ongoing slow wave LFP oscillations in the *parkin*<sup>-/-</sup> group.

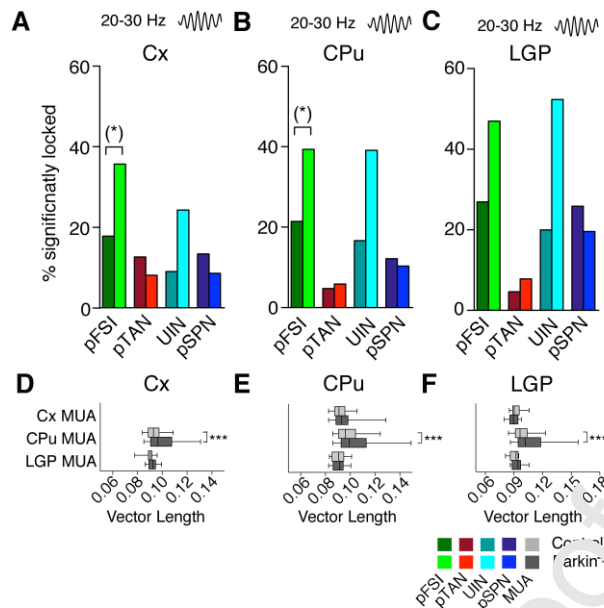
As above, darker and brighter colors in all panels represent data from controls and *parkin*<sup>-/-</sup> mice, respectively. Whiskers of box plots denote the 5-95 percentile. (\*)  $p < 0.05$  before correction, \* $p < 0.05$ , \*\*  $p < 0.01$ , \*\*\*  $p < 0.001$ .

Finally, our finding of exaggerated beta oscillations in striatal and pallidal LFPs of *parkin* mutant mice raised the possibility that these rhythms could also be represented in the spike trains of individual striatal or pallidal neurons. Phase-locking strength of all subtypes of striatal neurons, however, was not different between the two groups (MWUt, all  $p > 0.05$ ; supplementary Figure 7). Notably, however, we observed an almost doubled proportion of pFSIs that were significantly phase-locked to cortical and striatal beta-oscillations in the *parkin*<sup>-/-</sup> group—although the statistics were significant only before correction for multiple comparisons (ctrl, 10 out of 56 pFSI/Cx-LFP pairs (18%) vs. *parkin*<sup>-/-</sup>, 40 out of 112 (36%); Fisher's exact test,  $p = 0.02$ ; FDR-corrected  $p = 0.08$ ; ctrl, 12 out of 56 pFSI/CPu-LFP pairs (21%) vs. *parkin*<sup>-/-</sup>, 46 out of 117 (39%); Fisher's exact test,  $p = 0.03$ ; FDR-corrected  $p = 0.1$ ; Figure 9A-C).

Compared to single unit spike trains, recordings from local clusters of neighbouring neurons around the electrode tip may be more susceptible to changes in high-frequency oscillations (Fries et al., 2001) and coherence with the LFP (Zeitler et al., 2006). We therefore conducted the same set of analyses as above for multiunit signals recorded from the corresponding structures. Despite the lack of strong effects of genotype on the strength of striatal single-unit entrainment to beta oscillations, *parkin* mutations were associated with a significantly stronger phase-locking of striatal *multiunits* to beta-band oscillations recorded from cortex, CPu and LGP (CPu-

MUA/Cx-LFP; MWUt [n=196/531],  $p=6.26e^{-08}$ ; CPu-MUA/CPu-LFP; MWUt [n=259/671],  $p=2.36e^{-05}$ ; CPu-MUA/LGP-LFP; MWUt [n=151/301],  $p=3.44e^{-06}$ ; Figure 9D-F). This was accompanied by a significant increase in the percentage of striatal multiunits significantly phase-locked to beta-band oscillations of LFPs from all three structures (Fisher's exact test, all  $p < 0.05$ , exact values are shown in supplementary Table 3). Notably, neither coupling prevalence (see supplementary Table 3) nor coupling strength (Cx-MUA/CPu-LFP; MWUt [n=55/104],  $p=0.13$ ; Cx-MUA/LGP-LFP; MWUt [19/34],  $p=0.20$ ; LGP-MUA/Cx-LFP; MWUt [n=10/22],  $p=0.17$ ; LGP-MUA/LGP-LFP; MWUt [n=5/9],  $p=0.62$ ) of cortical and pallidal multiunits to beta oscillatory activities in the LFP was different between controls and knockouts in any structure.





**Figure 9.** Phase locking of unit activities to LFP oscillations in the beta frequency range (20-30Hz). (A-C), Percentage of significantly locked striatal neuronal subpopulations to bandpass-filtered (20-30Hz) LFPs recorded in motor cortex (A), CPu (B) and LGP (C). Note that the proportion of pFSIs and UINs significantly locked to beta oscillations is nearly doubled in the parkin<sup>-/-</sup> group. However, the higher prevalence of beta-locked pFSIs in mutants compared to controls reached significance only before correction for multiple comparisons. The corresponding bar graphs of vector length and circular plots are shown in supplementary Figure 7 (D-F), Mean vector length for phase locking of Cx-MUA, CPu-MUA and LGP-MUA to cortical (D), striatal (E) and pallidal beta oscillations (F). Note that the phase locking strength of CPu-MUA to all available LFPs is selectively enhanced. Whiskers of boxplots show 5-95 percentile (\*)  $p < 0.05$  before FDR-correction, \*\*\*  $p < 0.001$

## Discussion

Here, we characterized the striato-pallidal circuit in the parkin deficient mouse model *in vivo*. We show that—in the absence of overt nigral degeneration—mutations in the parkin gene lead to a re-arrangement of striatal microcircuits and predispose to an electrophysiological phenotype characteristic of advanced PD. Our results suggest that exaggerated oscillatory activities in the beta frequency band (20-30Hz) in striato-pallidal circuits may precede cell loss of dopaminergic neurons in the substantia nigra and may thus emerge earlier in the disease process than previously thought - which has implications for pathophysiological concepts and biomarker development.

The two major findings of this study describe adaptive changes in cortico-striato-pallidal circuits preceding nigro-striatal cell loss and phenotypic alterations. First, parkin deficiency leads to a distinct re-arrangement of the striatal interneuronal circuitry with reduced firing dynamics of FSIs. Second, the presence of parkin mutations predisposes to a focal electrophysiological phenocopy of advanced PD, restricted to striato-pallidal circuits. To the best of our knowledge, this is the first demonstration of exaggerated beta oscillations in a genetic mouse model of premanifest PD.

### *Parkin deficiency leads to disruption of the striatal microcircuit*

Among the different striatal circuit elements investigated in this study, the population of striatal FSI stands out prominently. Parvalbumin-containing FSIs comprise only  $\approx 1\%$  of all neurons in the rodent striatum (Luk and Sadikot, 2001) but form a key population of striatal interneurons exerting a potent inhibitory influence upon striatal outflow (Koos and Tepper, 2002; Mallet et al., 2005; Planert et al., 2010). Moreover,

the FSI circuitry is subject to powerful and convergent input from cortex (Parthasarathy and Graybiel, 1997; Ramanathan et al., 2002). The correlational structure of cortico-striatal input, in turn, is the critical driver and organizer of activity within the striatal microcircuit (Hjorth et al., 2009; Sharott et al., 2009). A deficit of FSI-mediated feed-forward inhibition will therefore alter the integration of cortical inputs within the striatal microcircuit. Previous research has shown that acute blockade of dopamine receptors reduces ongoing discharge rates of striatal FSIs (Wiltschko et al., 2010; Yael et al., 2013), but has no effect on FSI connectivity (Gittis et al., 2011a)—indicating that the aberrant FSI spiking dynamics observed in our study may not only be due to altered dopamine receptor signaling but also to local circuit rearrangements. In this context, it is of note that dopamine depletion shifts the connectivity pattern (Gittis et al., 2011a; Salin et al., 2009) and alters task-related ensemble activity of striatal FSIs (Fernandez et al., 2013). Furthermore, sub-acute remodeling of striatal FSI circuitry has been demonstrated following dopamine depletion (Gittis et al., 2011a) and presynaptic properties of GABAergic interneurons are changed (Barroso-Flores et al., 2015). Cross-correlation analyses revealed that local FSI-FSI coupling was reduced (Figure 3A) and the spatial organization of FSI-FSI correlations disrupted (Figure 3C) in parkin deficient animals. In the light of stronger phase-locking of mutant pFSIs to cortical slow waves (Figure 8A), this result is somewhat surprising. Interestingly, however, phase-locking of FSIs to local (striatal) population oscillations at slow frequencies was not different between the two groups (Figure 8B). This incongruence is remarkable, the more so, as all other neuronal elements in the mutant striatum exhibit enhanced tuning not only to cortical, but also to striatal slow wave activity. We speculate that this divergence of results could be explained by the unique orchestration of local striatal FSI connectivity

through both chemical and electrical synapses (Galarreta and Hestrin, 2001; Koos and Tepper, 1999). According to this proposition, reduced inter-FSI coupling may point at deficient local coupling co-occurring with strengthened long-distance coupling and a stronger sensitivity of these inhibitory interneurons to cortical network activities through plastic changes at the cortico-striatal synapse (Bolam et al., 2000; Fino et al., 2005; Fino et al., 2007; Fino et al., 2010; Graybiel, 2005; Parthasarathy and Graybiel, 1997; Ramanathan et al., 2002). Future studies could therefore specifically address the question of altered gap junction coupling within the parkin mutant striatal FSI circuitry (Damodaran et al., 2015; Damodaran et al., 2014; Hjorth et al., 2009).

Furthermore, the asymmetric profile of FSI-SPN coupling was absent in transgenic animals, suggesting SPNs escape from FSI-mediated feed forward inhibition. In this context, the increased discharge rate of transgenic TANs may play a role since bath application of acetylcholine has been shown to reduce FSI-SPN conductance (Koos and Tepper, 2002). Modeling studies have suggested that the level of inter-FSI coupling determines both the overall level of striatal spiking (Hjorth et al., 2009) and the balance between direct and indirect pathway activity (Damodaran et al., 2014). Under physiological conditions FSIs show the fastest responses to cortical stimulation compared to other striatal circuit elements (Mallet et al., 2005; Sharott et al., 2012) and exert a stronger inhibitory influence on direct pathway SPNs compared to indirect pathway SPNs (Gittis et al., 2010). In parkin<sup>-/-</sup> mice, we noted an absence of the physiological delay between pSPN with respect to pFSI spiking described in the healthy striatal microcircuit (Adler et al., 2013; Sharott et al., 2009). However, precise spike timing between cortex and striatum may be of ultimate importance to the regulation of cortico-striatal synaptic plasticity (Fino and Venance, 2010). In keeping with the notion of impaired cortico-striatal plasticity observed in slices of parkin<sup>-/-</sup>

mice (Kitada et al., 2009; Madeo et al., 2012; Martella et al., 2009), our results provide first *in vivo* evidence for altered cortical-striatal transmission due to parkin deficiency. Given that heterozygous PINK1 knockout mice express an endophenotype with impaired striatal synaptic plasticity (Madeo et al., 2014) and non-manifesting heterozygous human parkin and PINK1 mutation carriers show abnormal motor network recruitment during complex finger movements (van Nuenen et al., 2009) future laboratory experiments should probe the effects of heterozygous parkin mutations on the striatal microcircuit *in vivo*. Finally, reduced FSI activity in premanifest parkin<sup>-/-</sup> mice may be of clinical significance since focal dystonia is a common clinical sign in parkin-associated PD preceding the onset of parkinsonian symptoms (Elia et al., 2014) and selective inhibition of striatal FSIs in mice has been demonstrated to evoke dystonic posturing (Gittis et al., 2011b).

Elevated striatal levels of acetylcholine in PD (DeBoer et al., 1993; Ikarashi et al., 1997), symptom improvement through anticholinergic medication (Pisani et al., 2007) and the reversion of hypokinetic motor symptoms by inhibition of striatal cholinergic interneurons (Ztaou et al., 2016) highlight the critical importance of the striatal cholinergic tone in PD. Our finding of elevated activity levels of TANs (presumed cholinergic interneurons) complements recent evidence from toxin- based models (Chen et al., 2018; Prosperetti et al., 2013) and extend this notion to the presymptomatic disease stage in a genetic model of parkinsonism.

Motor deficits in Parkinson's disease are commonly related to over-activity along the indirect pathway (Albin et al., 1989; Kravitz et al., 2010; Wichmann and DeLong, 1996). In contrast to toxin-based rodent models of PD (Kita and Kita, 2011; Mallet et al., 2008a; Sharott et al., 2017; Tseng et al., 2001)— neither striato- nor pallidofugal neuronal output was significantly altered in our study. While we were not able to

discriminate direct and indirect pathway SPNs, the unaltered net striato-pallidal outflow may provide a parsimonious explanation for the absence of motor signs.

On the other hand, it is well established that dopamine depletion leads to enhanced synchrony in large-scale cortico-basal ganglia networks (Burkhardt et al., 2007; Tseng et al., 2001). Consistent with previous reports in 6-OHDA depleted rats (Lemaire et al., 2012), all striatal neuronal elements (including pSPNs) displayed increased phase coupling and phase-shifted phase locking to delta oscillations in cortical and striatal LFPs, respectively.

#### *Unaltered pallidal outflow in parkin deficient mice*

In contrast to the described cortico-striatal connectivity changes in parkin mutant animals, and in contrast to the abnormal circuit-wide network synchronization of pallidal activities described in toxin-based animal models of PD (Karain et al., 2015; Mallet et al., 2012; Raz et al., 2001; Zold et al., 2007a; Zold et al., 2007b) we found no evidence for further downstream propagation of altered spiking activities along the striato-pallidal axis. Resting discharge properties of healthy and mutant striatal projection neurons were not different in our study—and this was also mirrored by comparable pallidal firing rates between the two experimental groups. Furthermore, we observed an equal prevalence of positive and negative cortico-pallidal cross-correlations in both groups, which is congruent with results obtained in a toxin-based model of early PD (Zold et al., 2007b) and further suggestive of an unaltered pallidal outflow in parkin mutant mice. Decorrelated GP activity may thus be a key mechanism preventing the dissemination of enhanced synchronous rhythmic activities (originating upstream) along the entire cortico-basal ganglia-thalamo-cortical circuit (Bar-Gad et al., 2003; Edgerton and Jaeger, 2011; Heimer et al., 2002; Nini et al.,

1995). Hitherto, the relationships between the spiking of different striatal neuronal subpopulations and pallidal neurons have, to the best of our knowledge, not been described *in vivo*. Therefore, the observation of both distinct timing relations (pallidal outflow lagged spiking of striatal projection neuron, but preceded striatal interneuronal discharges) and complex in- and out of phase firing (with a higher prevalence of negative striato-pallidal cross-correlations in the parkin group) are novel and generally reflect the intricate orthodromic and antidromic connectivity dynamics inherent to the anatomically complex striato-pallidal subcircuit (Mallet et al., 2012; Mastro et al., 2014). On the one hand, the altered prevalence may be taken as an indication for altered striato-pallidal dynamics in the presence of parkin mutations. On the other hand, a possible sampling bias and the small sample size preclude further-reaching conclusions. Further studies could specifically address these and related issues in the future.

#### *Enhanced synchronized striato-pallidal beta oscillations in parkin deficient mice*

Enhanced beta oscillatory activity and synchronization along the cortico-basal ganglia loop have been consistently implicated to PD in humans (Bronte-Stewart et al., 2009; Brown et al., 2001; Kuhn et al., 2005; Sharott et al., 2014) as well as toxin-based animal models (Avila et al., 2010; Bergman et al., 1994; Brazhnik et al., 2012; Mallet et al., 2008b; Sharott et al., 2005; Tachibana et al., 2011).

The finding of exaggerated beta oscillations in the striatum and GP of parkin-deficient mice was unexpected. Both subcortical recordings in patients with advanced PD and previous work in toxin-based animal models of PD have linked the emergence of synchronized beta oscillations to late stages of severe dopamine depletion and presence of parkinsonian motor impairment, respectively (Leblois et al., 2007;

Quiroga-Varela et al., 2013). However, the results from our study tentatively suggest that pathologically enhanced oscillatory activity in BG loops may arise earlier than previously thought. It is conceivable that parkin mutations trigger hypersynchrony in the beta frequency range focally triggered in striato-pallidal circuits by mutations in the parkin gene prior to the onset of overt neurodegeneration and the development of parkinsonian motor alterations, respectively. This finding supports the idea that early synaptic and plastic rearrangement of striatal neuronal circuits may be involved in the generation of these oscillations (Degos et al., 2009; Mallet et al., 2008b). Thus, not only extent of dopamine deficiency (as measured by overt degeneration of dopaminergic neurons), but also slow and protracted predegenerative functional disintegration of the nigro-striatal circuit may be of fundamental importance for the emergence of what could be called the prototypical electrophysiological phenotype of PD. It is conceivable that exaggerated beta oscillatory activities in the striatum of parkin deficient mice result from an altered balance between cortico-striatal synchronization and FSI-mediated intra-striatal feedforward inhibition. It is of note, that an imbalance between these two key elements of striatal information processing was recently identified as potential mechanism for low frequent oscillatory activities and resting tremor to occur following pharmacological manipulation (Oran and Bar-Gad, 2018). Additional factors—such as a dysfunction of the highly energy dependent fast spiking interneurons (Kann, 2016) due to impaired mitochondrial function following parkin loss—could also contribute directly to the functional re-organization of the striatal microcircuit observed in our study.

The critical role of functional disintegration of the nigro-striatal pathway, eventually resulting in aberrant dopaminergic signalling at striatal synapses, may be beyond dispute. However, the question where (within the cortico-basal ganglia network) the



parkinsonian electrophysiological phenotype (i.e., amplified beta oscillatory activity) emerges at first, is still unresolved. In their review of own data from MPTP-treated non-human primates, Deffains and Bergman convincingly demonstrate that indeed excessive oscillatory activities may be found within STN neurons, but may be largely absent at the level of striatal output neurons (Deffains and Bergman, 2019; Deffains et al., 2016). This finding is also in line with recordings from the human subthalamic nucleus in patients undergoing deep brain stimulation surgery (Bronte-Stewart et al., 2009; Brown et al., 2001; Kuhn et al., 2008; Levy et al., 2002b; Moshel et al., 2013; Sharott et al., 2014). However, the common denominator of electrophysiological investigations in both the MPTP model and neurosurgically treated PD patients is the advanced disease stage, characterized by substantial loss of dopaminergic neurons. In contrast to the massive structural disintegration of the nigro-striatal pathway underlying the observed electrophysiological phenomena in these studies, our observation of striato-pallidal beta synchrony is related to a much earlier disease stage. Therefore, the views that both input nodes of the basal ganglia circuitries (striatum and STN) may be important for network-synchrony to emerge and occur may not be mutually exclusive. It may rather be speculated that early synaptic changes in the striatal microcircuit (initially driven by functional deficits of the nigro-striatal pathway) may critically influence spike timing of individual striatal output neurons, which may then trigger downstream resonance phenomena at the level of GP and STN. In this scenario, oscillatory activities may auto-perpetuate and resonate in sub-circuits like the GP-STN network (Bevan et al., 2002; Plenz and Kital, 1999) and hyperdirect loops between cortex and STN (Nambu et al., 2002) respectively, at later disease stages. According to this proposition, striatal microcircuit changes may be

critical for the initial emergence but not for the maintenance of the parkinsonian electrophysiological phenotype seen in and characteristic of later disease stages.

Supportive of this idea, in our study, elevated beta power was detected in CPu and LGP, but not motor cortex, although cortico-striatal and cortico-pallidal coherence in this particular frequency range was enhanced. A possible explanation may be a more efficient transmission of cortical activity to downstream basal ganglia nuclei in conditions with low dopamine, leading to increased coherence, but not necessarily to elevated power at a cortical level (Brazhnik et al., 2012). On the other hand, a striatal origin of beta oscillatory activity with lack of permeation through the basal ganglia loop to cortex is also conceivable. This concept is supported by a study showing that pharmacological cholinergic manipulation in the striatum led to the spatially confined emergence of beta oscillatory activity within the striatum—but sparing cortex (Kondabolu et al., 2016; McCarthy et al., 2011). Moreover, the model of McCarthy and colleagues predicts that indirect pathway SPNs may generate rhythmicity, in line with recent findings in vivo (Sharott et al., 2017).

### *Conclusions*

In summary, we described a distinct electrophysiological intra-striatal circuit reorganization together with striato-pallidal beta hypersynchrony triggered by mutation in the parkin gene. This genetically driven striato-pallidal circuit dysfunction partly resembled the electrophysiological phenotype of advanced PD and may thus reflect an early PD disease stage characterized by structurally intact but functionally compromised nigro-striatal dopaminergic circuits.

Complementary to acute toxin-based animal models of PD, genetic PD models allow for a perspective shift towards early and long-term predegenerative adaptation and

compensation, as multifocal network changes develop over extended periods of time. We suggest that parkin<sup>-/-</sup> mice may be a suitable model to further investigate origin and spatio-temporal evolution of pathological oscillations in premanifest stages of PD.

**Acknowledgements:** This work was supported by a grant of the German Research Council (SFB 936, projects A2/A3 and C8 to A.K.E. and C.K.E.M., respectively; KR3529/4-1 to E.R. K.) and the town of Hamburg (Lexi to F.K.K.). The authors would like to thank Dorrit Bystron for her excellent technical support, Doris Lange for preparation of histological specimen, Prof. Alexis Price and Dr. Olga Corti for providing parkin knockout mice and Dr. Edgar Galindo-Leon for advice on data analysis.

**Conflicts of interests:** The authors declare no competing financial interests.

## Literature

- Adler, A., et al., 2013. Different correlation patterns of cholinergic and GABAergic interneurons with striatal projection neurons. *Front Syst Neurosci.* 7, 47.
- Albin, R. L., et al., 1989. The functional anatomy of basal ganglia disorders. *Trends Neurosci.* 12, 366-75.
- Arkinson, C., Walden, H., 2018. Parkin function in Parkinson's disease. *Science.* 360, 267-268.
- Avila, I., et al., 2010. Beta frequency synchronization in basal ganglia output during rest and walk in a hemiparkinsonian rat. *Exp Neurol.* 221, 307-19.
- Bar-Gad, I., et al., 2003. Functional correlations between neighboring neurons in the primate globus pallidus are weak or nonexistent. *J Neurosci.* 23, 4012-6.
- Barroso-Flores, J., et al., 2015. Diverse Short-Term Dynamics of Inhibitory Synapses Converging on Striatal Projection Neurons: Differential Changes in a Rodent Model of Parkinson's Disease. *Neural Plast.* 2015, 573543.
- Baumer, T., et al., 2007. Sensorimotor integration is abnormal in asymptomatic Parkin mutation carriers: a TMS study. *Neurology.* 69, 1976-81.
- Beck, M. H., et al., 2016. Short- and long-term dopamine depletion causes enhanced beta oscillations in the cortico-basal ganglia loop of parkinsonian rats. *Exp Neurol.* 286, 124-136.
- Benjamini, Y., Hochberg, Y., 1995. Controlling the False Discovery Rate - a Practical and Powerful Approach to Multiple Testing. *Journal of the Royal Statistical Society Series B-Methodological.* 57, 289-300.
- Bennett, B. D., et al., 2000. Intrinsic membrane properties underlying spontaneous tonic firing in neostriatal cholinergic interneurons. *J Neurosci.* 20, 8493-503.
- Berens, P., 2009. CircStat: A MATLAB Toolbox for Circular Statistics. *Journal of Statistical Software.* 31, 1-21.
- Bergman, H., et al., 1994. The primate subthalamic nucleus. II. Neuronal activity in the MPTP model of parkinsonism. *J Neurophysiol.* 72, 507-20.
- Berke, J. D., et al., 2004. Oscillatory entrainment of striatal neurons in freely moving rats. *Neuron.* 43, 882-96.
- Bevan, M. D., et al., 2002. Move to the rhythm: oscillations in the subthalamic nucleus-external globus pallidus network. *Trends Neurosci.* 25, 525-31.
- Bolam, J. P., et al., 2000. Synaptic organisation of the basal ganglia. *J Anat.* 196 ( Pt 4), 527-42.
- Brazhnik, E., et al., 2012. State-dependent spike and local field synchronization between motor cortex and substantia nigra in hemiparkinsonian rats. *J Neurosci.* 32, 7869-80.
- Bronte-Stewart, H., et al., 2009. The STN beta-band profile in Parkinson's disease is stationary and shows prolonged attenuation after deep brain stimulation. *Exp Neurol.* 215, 20-8.
- Brown, P., et al., 2001. Dopamine dependency of oscillations between subthalamic nucleus and pallidum in Parkinson's disease. *J Neurosci.* 21, 1033-8.
- Buhmann, C., et al., 2005. Motor reorganization in asymptomatic carriers of a single mutant Parkin allele: a human model for presymptomatic parkinsonism. *Brain.* 128, 2281-90.

- Burkhardt, J. M., et al., 2007. Synchronous oscillations and phase reorganization in the basal ganglia during akinesia induced by high-dose haloperidol. *Eur J Neurosci.* 26, 1912-24.
- Chen, H., et al., 2018. Neuronal activity pattern defects in the striatum in awake mouse model of Parkinson's disease. *Behav Brain Res.* 341, 135-145.
- Chu, Y., et al., 2012. Alterations in axonal transport motor proteins in sporadic and experimental Parkinson's disease. *Brain.* 135, 2058-73.
- Corti, O., et al., 2011. What genetics tells us about the causes and mechanisms of Parkinson's disease. *Physiol Rev.* 91, 1161-218.
- Damodaran, S., et al., 2015. Desynchronization of fast-spiking interneurons reduces beta-band oscillations and imbalance in firing in the dopamine-depleted striatum. *J Neurosci.* 35, 1149-59.
- Damodaran, S., et al., 2014. Synchronized firing of fast-spiking interneurons is critical to maintain balanced firing between direct and indirect pathway neurons of the striatum. *J Neurophysiol.* 111, 836-49.
- Dauer, W., Przedborski, S., 2003. Parkinson's disease: mechanisms and models. *Neuron.* 39, 889-909.
- Dawson, T. M., et al., 2010. Genetic animal models of Parkinson's disease. *Neuron.* 66, 646-61.
- DeBoer, P., et al., 1993. Differential effect of systemic administration of bromocriptine and L-dopa on the release of acetylcholine from striatum of intact and 6-OHDA-treated rats. *Brain Res.* 608, 198-203.
- Deffains, M., Bergman, H., 2019. Parkinsonism related beta oscillations in the primate basal ganglia network - Recent advances and clinical implications. *Parkinsonism Relat Disord.* 59, 2-8.
- Deffains, M., et al., 2016. Subthalamic, not striatal, activity correlates with basal ganglia downstream activity in normal and parkinsonian monkeys. *Elife.* 5.
- Degos, B., et al., 2009. Chronic but not acute dopaminergic transmission interruption promotes a progressive increase in cortical beta frequency synchronization: relationships to vigilance state and akinesia. *Cereb Cortex.* 19, 1616-30.
- Edgerton, J. R., Jaeger, D., 2011. Dendritic sodium channels promote active decorrelation and reduce phase locking to parkinsonian input oscillations in model globus pallidus neurons. *J Neurosci.* 31, 10919-36.
- Elia, A. E., et al., 2014. Isolated limb dystonia as presenting feature of Parkinson disease. *J Neurol Neurosurg Psychiatry.* 85, 827-8.
- Feingold, J., et al., 2015. Bursts of beta oscillation differentiate postperformance activity in the striatum and motor cortex of monkeys performing movement tasks. *Proc Natl Acad Sci U S A.* 112, 13687-92.
- Fino, E., et al., 2005. Bidirectional activity-dependent plasticity at corticostriatal synapses. *J Neurosci.* 25, 11279-87.
- Fino, E., et al., 2007. Effects of acute dopamine depletion on the electrophysiological properties of striatal neurons. *Neurosci Res.* 58, 305-16.
- Fino, E., et al., 2010. Distinct coincidence detectors govern the corticostriatal spike timing-dependent plasticity. *J Physiol.* 588, 3045-62.
- Fino, E., Venance, L., 2010. Spike-timing dependent plasticity in the striatum. *Front Synaptic Neurosci.* 2, 6.
- Fries, P., et al., 2001. Modulation of oscillatory neuronal synchronization by selective visual attention. *Science.* 291, 1560-3.
- Galarreta, M., Hestrin, S., 2001. Electrical synapses between GABA-releasing interneurons. *Nat Rev Neurosci.* 2, 425-33.

- Gerfen, C. R., et al., 1985. The neostriatal mosaic: compartmental distribution of calcium-binding protein and parvalbumin in the basal ganglia of the rat and monkey. *Proc Natl Acad Sci U S A.* 82, 8780-4.
- Gittis, A. H., et al., 2011a. Rapid target-specific remodeling of fast-spiking inhibitory circuits after loss of dopamine. *Neuron.* 71, 858-68.
- Gittis, A. H., et al., 2011b. Selective inhibition of striatal fast-spiking interneurons causes dyskinesias. *J Neurosci.* 31, 15727-31.
- Gittis, A. H., et al., 2010. Distinct roles of GABAergic interneurons in the regulation of striatal output pathways. *J Neurosci.* 30, 2223-34.
- Goldberg, M. S., et al., 2003. Parkin-deficient mice exhibit nigrostriatal deficits but not loss of dopaminergic neurons. *J Biol Chem.* 278, 43628-35.
- Graybiel, A. M., 2005. The basal ganglia: learning new tricks and loving it. *Curr Opin Neurobiol.* 15, 638-44.
- Grunewald, A., et al., 2013. Next-generation phenotyping using the parkin example: time to catch up with genetics. *JAMA Neurol.* 70, 1186-91.
- Heimer, G., et al., 2002. Dopamine replacement therapy reverses abnormal synchronization of pallidal neurons in the 1-methyl-4-phenyl-1,2,3,6-tetrahydropyridine primate model of parkinsonism. *J Neurosci.* 22, 7850-5.
- Hernandez, L. F., et al., 2013. Selective effects of dopamine depletion and L-DOPA therapy on learning-related firing dynamics of striatal neurons. *J Neurosci.* 33, 4782-95.
- Hilker, R., et al., 2001. Positron emission tomographic analysis of the nigrostriatal dopaminergic system in familial parkinsonism associated with mutations in the parkin gene. *Ann Neurol.* 49, 367-76.
- Hjorth, J., et al., 2009. Gap junctions between striatal fast-spiking interneurons regulate spiking activity and synchronization as a function of cortical activity. *J Neurosci.* 29, 5276-86.
- Holt, G. R., et al., 1996. Comparison of discharge variability in vitro and in vivo in cat visual cortex neurons. *J Neurophysiol.* 75, 1806-14.
- Ikarashi, Y., et al., 1997. Relations between the extracellular concentrations of choline and acetylcholine in rat striatum. *J Neurochem.* 69, 1246-51.
- Itier, J. M., et al., 2003. Parkin gene inactivation alters behaviour and dopamine neurotransmission in the mouse. *Hum Mol Genet.* 12, 2277-91.
- Kann, O., 2016. The interneuron energy hypothesis: Implications for brain disease. *Neurobiol Dis.* 90, 75-85.
- Karain, B., et al., 2014. Rat globus pallidus neurons: functional classification and effects of dopamine depletion. *Synapse.* 69, 41-51.
- Kaufman, L., Rousseeuw, P. J., 1990. Finding groups in data an introduction to cluster analysis. Wiley, New York etc.
- Khan, N. L., et al., 2002. Progression of nigrostriatal dysfunction in a parkin kindred: an [<sup>18</sup>F]dopa PET and clinical study. *Brain.* 125, 2248-56.
- Kita, H., Kita, T., 2011. Role of Striatum in the Pause and Burst Generation in the Globus Pallidus of 6-OHDA-Treated Rats. *Front Syst Neurosci.* 5, 42.
- Kitada, T., et al., 1998. Mutations in the parkin gene cause autosomal recessive juvenile parkinsonism. *Nature.* 392, 605-8.
- Kitada, T., et al., 2009. Impaired dopamine release and synaptic plasticity in the striatum of parkin-/- mice. *J Neurochem.* 110, 613-21.
- Klein, C., Westenberger, A., 2012. Genetics of Parkinson's disease. *Cold Spring Harb Perspect Med.* 2, a008888.

- Kondabolu, K., et al., 2016. Striatal cholinergic interneurons generate beta and gamma oscillations in the corticostriatal circuit and produce motor deficits. *Proc Natl Acad Sci U S A.* 113, E3159-68.
- Koos, T., Tepper, J. M., 1999. Inhibitory control of neostriatal projection neurons by GABAergic interneurons. *Nat Neurosci.* 2, 467-72.
- Koos, T., Tepper, J. M., 2002. Dual cholinergic control of fast-spiking interneurons in the neostriatum. *J Neurosci.* 22, 529-35.
- Kordower, J. H., et al., 2013. Disease duration and the integrity of the nigrostriatal system in Parkinson's disease. *Brain.* 136, 2419-31.
- Kramer, E. R., et al., 2007. Absence of Ret signaling in mice causes progressive and late degeneration of the nigrostriatal system. *PLoS Biol.* 5, e39.
- Kramer, E. R., et al., 2006. Cooperation between GDNF/Ret and ephrinA/EphA4 signals for motor-axon pathway selection in the limb. *Neuron.* 50, 35-47.
- Kravitz, A. V., et al., 2010. Regulation of parkinsonian motor behaviours by optogenetic control of basal ganglia circuitry. *Nature.* 466, 622-6.
- Kuhn, A. A., et al., 2008. High-frequency stimulation of the subthalamic nucleus suppresses oscillatory beta activity in patients with Parkinson's disease in parallel with improvement in motor performance. *J Neurosci.* 28, 6165-73.
- Kuhn, A. A., et al., 2005. The relationship between local field potential and neuronal discharge in the subthalamic nucleus of patients with Parkinson's disease. *Exp Neurol.* 194, 212-20.
- Lachaux, J. P., et al., 1999. Measuring phase synchrony in brain signals. *Hum Brain Mapp.* 8, 194-208.
- Lansink, C. S., et al., 2010. Fast-spiking interneurons of the rat ventral striatum: temporal coordination of activity with principal cells and responsiveness to reward. *Eur J Neurosci.* 32, 494-508.
- Le Van Quyen, M., et al., 2001. Comparison of Hilbert transform and wavelet methods for the analysis of neuronal synchrony. *J Neurosci Methods.* 111, 83-98.
- Leblois, A., et al., 2007. Late emergence of synchronized oscillatory activity in the pallidum during progressive Parkinsonism. *Eur J Neurosci.* 26, 1701-13.
- Legendy, C. R., Salzman, M., 1985. Bursts and recurrences of bursts in the spike trains of spontaneously active striate cortex neurons. *J Neurophysiol.* 53, 926-39.
- Lemaire, N., et al., 2012. Effects of dopamine depletion on LFP oscillations in striatum are task- and learning-dependent and selectively reversed by L-DOPA. *Proc Natl Acad Sci U S A.* 109, 18126-31.
- Levy, R., et al., 2002a. Dependence of subthalamic nucleus oscillations on movement and dopamine in Parkinson's disease. *Brain.* 125, 1196-209.
- Levy, R., et al., 2000. High-frequency synchronization of neuronal activity in the subthalamic nucleus of parkinsonian patients with limb tremor. *J Neurosci.* 20, 7766-75.
- Levy, R., et al., 2002b. Synchronized neuronal discharge in the basal ganglia of parkinsonian patients is limited to oscillatory activity. *J Neurosci.* 22, 2855-61.
- Lobb, C. J., et al., 2013. In vivo electrophysiology of nigral and thalamic neurons in alpha-synuclein-overexpressing mice highlights differences from toxin-based models of parkinsonism. *J Neurophysiol.* 110, 2792-805.
- Lucking, C. B., et al., 2000. Association between early-onset Parkinson's disease and mutations in the parkin gene. *N Engl J Med.* 342, 1560-7.



- Luk, K. C., Sadikot, A. F., 2001. GABA promotes survival but not proliferation of parvalbumin-immunoreactive interneurons in rodent neostriatum: an in vivo study with stereology. *Neuroscience*. 104, 93-103.
- Madeo, G., et al., 2012. Aberrant striatal synaptic plasticity in monogenic parkinsonisms. *Neuroscience*. 211, 126-35.
- Madeo, G., et al., 2014. PINK1 heterozygous mutations induce subtle alterations in dopamine-dependent synaptic plasticity. *Mov Disord*. 29, 41-53.
- Mallet, N., et al., 2005. Feedforward inhibition of projection neurons by fast-spiking GABA interneurons in the rat striatum in vivo. *J Neurosci*. 25, 3857-69.
- Mallet, N., et al., 2012. Dichotomous organization of the external globus pallidus. *Neuron*. 74, 1075-86.
- Mallet, N., et al., 2008a. Parkinsonian beta oscillations in the external globus pallidus and their relationship with subthalamic nucleus activity. *J Neurosci*. 28, 14245-58.
- Mallet, N., et al., 2008b. Disrupted dopamine transmission and the emergence of exaggerated beta oscillations in subthalamic nucleus and cerebral cortex. *J Neurosci*. 28, 4795-806.
- Martella, G., et al., 2009. Enhanced sensitivity to group II mGlu receptor activation at corticostriatal synapses in mice lacking the familial parkinsonism-linked genes PINK1 or Parkin. *Exp Neurol*. 215, 388-95.
- Martinez, W. L., Martinez, A. R., 2005. Exploratory data analysis with MATLAB. Chapman & Hall/CRC, Boca Raton, FL.
- Martinez, W. L., et al., 2011. Exploratory data analysis with MATLAB. CRC Press, Boca Raton, FL.
- Mastro, K. J., et al., 2014. Transgenic mouse lines subdivide external segment of the globus pallidus (GPe) neurons and reveal distinct GPe output pathways. *J Neurosci*. 34, 2087-99.
- McCarthy, M. M., et al., 2011. Striatal origin of the pathologic beta oscillations in Parkinson's disease. *Proc Natl Acad Sci U S A*. 108, 11620-5.
- McGarry, L. M., et al., 2010. Quantitative classification of somatostatin-positive neocortical interneurons identifies three interneuron subtypes. *Front Neural Circuits*. 4, 12.
- Meka, D. P., et al., 2015. Parkin cooperates with GDNF/RET signaling to prevent dopaminergic neuron degeneration. *J Clin Invest*.
- Mitra, P. P., Bokil, H., 2008. Observed brain dynamics. Oxford University Press, Oxford.
- Mojena, R., 1977. Hierarchical Grouping Methods and Stopping Rules - Evaluation. *Computer Journal*. 20, 359-363.
- Moll, C. K., et al., 2015. Synchronized cortico-subthalamic beta oscillations in Parkin-associated Parkinson's disease. *Clin Neurophysiol*.
- Moshel, S., et al., 2013. Subthalamic nucleus long-range synchronization-an independent hallmark of human Parkinson's disease. *Front Syst Neurosci*. 7, 79.
- Nambu, A., et al., 2002. Functional significance of the cortico-subthalamo-pallidal 'hyperdirect' pathway. *Neurosci Res*. 43, 111-7.
- Nini, A., et al., 1995. Neurons in the globus pallidus do not show correlated activity in the normal monkey, but phase-locked oscillations appear in the MPTP model of parkinsonism. *J Neurophysiol*. 74, 1800-5.
- Nolte, G., et al., 2004. Identifying true brain interaction from EEG data using the imaginary part of coherency. *Clin Neurophysiol*. 115, 2292-307.



- O'Malley, K. L., 2010. The role of axonopathy in Parkinson's disease. *Exp Neurobiol.* 19, 115-9.
- Oostenveld, R., et al., 2011. FieldTrip: Open source software for advanced analysis of MEG, EEG, and invasive electrophysiological data. *Comput Intell Neurosci.* 2011, 156869.
- Oran, Y., Bar-Gad, I., 2018. Loss of Balance between Striatal Feedforward Inhibition and Corticostriatal Excitation Leads to Tremor. *J Neurosci.* 38, 1699-1710.
- Oyama, G., et al., 2010. Impaired in vivo dopamine release in parkin knockout mice. *Brain Res.* 1352, 214-22.
- Panicker, N., et al., 2017. Activation mechanisms of the E3 ubiquitin ligase parkin. *Biochem J.* 474, 3075-3086.
- Parthasarathy, H. B., Graybiel, A. M., 1997. Cortically driven immediate-early gene expression reflects modular influence of sensorimotor cortex on identified striatal neurons in the squirrel monkey. *J Neurosci.* 17, 2477-91.
- Pavese, N., et al., 2009. Nigrostriatal dysfunction in homozygous and heterozygous parkin gene carriers: an 18F-dopa PET progression study. *Mov Disord.* 24, 2260-6.
- Paxinos, G., Franklin, K. B. J., 2004. *Mouse brain in stereotaxic coordinates.* Elsevier Academic, Amsterdam.
- Periquet, M., et al., 2005. Proteomic analysis of parkin knockout mice: alterations in energy metabolism, protein handling and synaptic function. *J Neurochem.* 95, 1259-76.
- Pisani, A., et al., 2007. Re-emergence of striatal cholinergic interneurons in movement disorders. *Trends Neurosci.* 30, 545-53.
- Planert, H., et al., 2010. Dynamics of synaptic transmission between fast-spiking interneurons and striatal projection neurons of the direct and indirect pathways. *J Neurosci.* 30, 5049-507.
- Plenz, D., Kital, S. T., 1999. A basal ganglia pacemaker formed by the subthalamic nucleus and external globus pallidus. *Nature.* 400, 677-82.
- Prosperetti, C., et al., 2013. Acute nigro-striatal blockade alters cortico-striatal encoding: an in vivo electrophysiological study. *Exp Neurol.* 247, 730-6.
- Quiroga, R. Q., et al., 2004. Unsupervised spike detection and sorting with wavelets and superparamagnetic clustering. *Neural Comput.* 16, 1661-87.
- Quiroga-Varela, A., et al., 2013. What basal ganglia changes underlie the parkinsonian state? The significance of neuronal oscillatory activity. *Neurobiol Dis.* 58, 242-8.
- Raff, M. C., et al., 2002. Axonal self-destruction and neurodegeneration. *Science.* 296, 868-71.
- Ramanathan, S., et al., 2002. Synaptic convergence of motor and somatosensory cortical afferents onto GABAergic interneurons in the rat striatum. *J Neurosci.* 22, 8158-69.
- Raz, A., et al., 2001. Activity of pallidal and striatal tonically active neurons is correlated in mptp-treated monkeys but not in normal monkeys. *J Neurosci.* 21, RC128.
- Rial, D., et al., 2014. Behavioral phenotyping of Parkin-deficient mice: looking for early preclinical features of Parkinson's disease. *PLoS One.* 9, e114216.
- Rivlin-Etzion, M., et al., 2006. Local shuffling of spike trains boosts the accuracy of spike train spectral analysis. *J Neurophysiol.* 95, 3245-56.
- Salin, P., et al., 2009. Changes to interneuron-driven striatal microcircuits in a rat model of Parkinson's disease. *Neurobiol Dis.* 34, 545-52.

- Sassone, J., et al., 2017. The synaptic function of parkin. *Brain*. 140, 2265-2272.
- Savola, J. M., Virtanen, R., 1991. Central alpha 2-adrenoceptors are highly stereoselective for dexmedetomidine, the dextro enantiomer of medetomidine. *Eur J Pharmacol*. 195, 193-9.
- Scarffe, L. A., et al., 2014. Parkin and PINK1: much more than mitophagy. *Trends Neurosci*. 37, 315-24.
- Scherfler, C., et al., 2004. Striatal and cortical pre- and postsynaptic dopaminergic dysfunction in sporadic parkin-linked parkinsonism. *Brain*. 127, 1332-42.
- Schindelin, J., et al., 2012. Fiji: an open-source platform for biological-image analysis. *Nat Methods*. 9, 676-82.
- Schmitzer-Torbert, N. C., Redish, A. D., 2008. Task-dependent encoding of space and events by striatal neurons is dependent on neural subtype. *Neuroscience*. 153, 349-60.
- Schneider, S. A., et al., 2008. Motor cortical physiology in patients and asymptomatic carriers of parkin gene mutations. *Mov Disord*. 23, 1812-9.
- Sharott, A., et al., 2012. Relationships between the firing of identified striatal interneurons and spontaneous and driven cortical activities in vivo. *J Neurosci*. 32, 13221-36.
- Sharott, A., et al., 2018. Spatio-temporal dynamics of cortical drive to human subthalamic nucleus neurons in Parkinson's disease. *Neurobiol Dis*. 112, 49-62.
- Sharott, A., et al., 2014. Activity parameters of subthalamic nucleus neurons selectively predict motor symptom severity in Parkinson's disease. *J Neurosci*. 34, 6273-85.
- Sharott, A., et al., 2005. Dopamine depletion increases the power and coherence of beta-oscillations in the cerebral cortex and subthalamic nucleus of the awake rat. *Eur J Neurosci*. 21, 1413-22.
- Sharott, A., et al., 2009. Different subtypes of striatal neurons are selectively modulated by cortical oscillations. *J Neurosci*. 29, 4571-85.
- Sharott, A., et al., 2017. A Population of Indirect Pathway Striatal Projection Neurons Is Selectively Entrained to Parkinsonian Beta Oscillations. *J Neurosci*. 37, 9977-9998.
- Tachibana, Y., et al., 2011. Subthalamo-pallidal interactions underlying parkinsonian neuronal oscillations in the primate basal ganglia. *Eur J Neurosci*. 34, 1470-84.
- Tankus, A., et al., 2009. An automatic measure for classifying clusters of suspected spikes into single cells versus multiunits. *J Neural Eng*. 6, 056001.
- Tepper, J. M., Bolam, J. P., 2004. Functional diversity and specificity of neostriatal interneurons. *Curr Opin Neurobiol*. 14, 685-92.
- Tseng, K. Y., et al., 2001. Cortical slow oscillatory activity is reflected in the membrane potential and spike trains of striatal neurons in rats with chronic nigrostriatal lesions. *J Neurosci*. 21, 6430-9.
- van Nuenen, B. F., et al., 2009. Heterozygous carriers of a Parkin or PINK1 mutation share a common functional endophenotype. *Neurology*. 72, 1041-7.
- Wichmann, T., DeLong, M. R., 1996. Functional and pathophysiological models of the basal ganglia. *Curr Opin Neurobiol*. 6, 751-8.
- Williams, D., et al., 2002. Dopamine-dependent changes in the functional connectivity between basal ganglia and cerebral cortex in humans. *Brain*. 125, 1558-69.

- Wilson, C. J., Goldberg, J. A., 2006. Origin of the slow afterhyperpolarization and slow rhythmic bursting in striatal cholinergic interneurons. *J Neurophysiol.* 95, 196-204.
- Wiltchko, A. B., et al., 2010. Opposite effects of stimulant and antipsychotic drugs on striatal fast-spiking interneurons. *Neuropsychopharmacology.* 35, 1261-70.
- Yael, D., et al., 2013. Haloperidol-induced changes in neuronal activity in the striatum of the freely moving rat. *Front Syst Neurosci.* 7, 110.
- Yamin, H. G., et al., 2013. Parallel processing of environmental recognition and locomotion in the mouse striatum. *J Neurosci.* 33, 473-84.
- Zeitler, M., et al., 2006. Assessing neuronal coherence with single-unit, multi-unit, and local field potentials. *Neural Comput.* 18, 2256-81.
- Zold, C. L., et al., 2007a. Nigrostriatal lesion induces D2-modulated phase-locked activity in the basal ganglia of rats. *Eur J Neurosci.* 25, 2131-44.
- Zold, C. L., et al., 2007b. Distinct changes in evoked and resting globus pallidus activity in early and late Parkinson's disease experimental models. *Eur J Neurosci.* 26, 1267-79.
- Ztaou, S., et al., 2016. Involvement of Striatal Cholinergic Interneurons and M1 and M4 Muscarinic Receptors in Motor Symptoms of Parkinson's Disease. *J Neurosci.* 36, 9161-72.
- Zuurbier, C. J., et al., 2002. Hemodynamics of anesthetized ventilated mouse models: aspects of anesthetics, fluid support, and strain. *Am J Physiol Heart Circ Physiol.* 282, H2099-105.

## Highlights

- Multisite in-vivo recordings in cortex and basal ganglia of parkin knockout mice
- Abnormal intrastriatal reconfiguration of interneuronal circuits in mutant mice
- Exaggerated beta oscillations (22 Hz) along the mutant striato-pallidal loop
- ‘Parkinsonian’ electrophysiological phenotype without nigro-striatal cell loss