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1 Efficacy of toric intraocular lens implantation with high corneal
2 astigmatism within the United Kingdom’s National Health Service
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33 Abstract

34 **Objectives:** To determine the efficacy of toric intraocular lens (TIOL) implantation in cataract surgery
35 patients with high levels of pre-operative corneal astigmatism and ocular co-morbidities in a state
36 funded, National Health Service (NHS) hospital.

37 **Methods:** Retrospective cohort study involving consecutive cases of TIOL implantation in cataract
38 surgery with over 3.00DC of pre-operative corneal astigmatism. Subjects were implanted with the
39 Tecnis TIOL (Abbot Medical Optics) with capsular tension ring stabilisation using the Callisto system
40 (Carl Zeiss Meditec). Visual acuity and refraction were assessed at 4-6 weeks post-operatively.
41 Vector analysis was used to calculate the intended refractive correction, surgically induced refractive
42 correction (SIRC), correction ratio (CR), error of magnitude (EM) and error vector (EV).

43 **Results:** 66 eyes of 47 subjects aged 73.8 ± 11.9 were included. Eyes with ocular co-morbidities
44 included dry age-related macular degeneration (n=13), amblyopia (n=7), high myopia (n=7),
45 glaucoma (n=6), previous corneal transplantation (n=2), nanophthalmos (n=2) and corneal scarring
46 (n=1). Pre-operative corneal astigmatism was 4.25 ± 1.69 DC (range 3.00-12.00), post-operative
47 refractive astigmatism was 1.31 ± 1.05 DC (range 0.00-6.50DC) and post-operative unaided visual
48 acuity was 0.25 ± 0.19 LogMAR. Vector analysis demonstrated an SIRC of 4.08 ± 1.39 DC, $CR = 1.1 \pm 0.3$,
49 $EM = -0.4 \pm 1.0$ and EV of 1.23 ± 0.72 .

50 **Conclusions:** The results demonstrate the efficacy of TIOL implantation in patients with high corneal
51 astigmatism and provide strong evidence advocating their use in cataract surgery within a state
52 funded hospital eye service. Refractive astigmatism was significantly lower than the pre-operative
53 corneal astigmatism and a low error vector was achieved relative to the magnitude of correction.

54

55 [Introduction](#)

56 Cataract surgery is the most frequently performed surgical procedure in the National Health Service
57 (NHS), with approximately 350,000 cataract extractions performed in England and Wales per
58 annum.¹ Studies have demonstrated that around 11.% of patients have over 2.00D of corneal
59 astigmatism², this prevalence drops to around 2-4% when considering those with over 3.00D of
60 corneal astigmatic error.³ Wolffsohn and colleagues demonstrated that uncorrected astigmatic error
61 as low as 1.00D has a detrimental effect on both objective and the subjective perception of vision.⁴
62 Each dioptre of refractive astigmatism reduces the chances of spectacle independence⁵ and
63 increases the lifetime costs of refractive correction for an individual.⁶

64 Astigmatism correction at the time of cataract surgery can be achieved through manipulation of
65 corneal shape: An incision placed along the steep corneal meridian will have a flattening effect and
66 the larger the incision the greater the effect⁷. Higher magnitudes of flattening can be achieved by
67 applying limbal relaxing incisions⁸ or opposite clear corneal incisions.⁹ However, on-axis incisions
68 may be difficult or impossible to achieve in certain locations. Corneal relaxing incisions can result in
69 post-operative glare, diplopia and fluctuation of refractive error due to proximity of the incisions to
70 the corneal centre; furthermore, the technique requires pachymetry to be performed.¹⁰ Limbal
71 relaxing incisions entail risks such as exacerbating dry eye syndrome¹¹ as well acting as a potential
72 site for infectious keratitis.¹² All of these methods are influenced by surgical technique and are
73 dependent on a variable corneal healing response. Consequently, it is not feasible to rely on these
74 corneal techniques for correcting high levels of corneal astigmatism. Femtosecond laser incisions
75 promise a more reliable technique with a more precise wound architecture, but the costs of these
76 devices prohibit their widespread use within state funded systems such as the National Health
77 Service (NHS) in the United Kingdom (UK).

78 Toric Intraocular lenses (TIOLs) offer the opportunity to correct corneal astigmatism, without relying
79 on the healing response of the cornea. Several studies have demonstrated the efficacy of TIOLS on
80 subjects with low to moderate levels of corneal astigmatism.^{13 14} However few have demonstrated

81 their efficacy in populations with high levels of astigmatism. Moreover, the majority of studies
82 comprise of patient cohorts without any ocular co-morbidities and are not representative of
83 refractive outcomes of cataract surgery in state funded healthcare. Within the UK, the National
84 Institute for Health and Care Excellence (NICE) evidence based guidelines form the bases of
85 treatment recommendations within the NHS. The guidelines advocate the use of on-axis incisions
86 and limbal-relaxing incisions but not TIOLS citing a lack of evidence on the cost effectiveness of TIOL
87 use within the UK.¹⁵ Therefore, TIOLs are not commonly available within the NHS. The purpose of
88 this study was to assess the use of a TIOL implantation in a patients, typically presenting to the
89 cataract service of a NHS hospital serving a large population.

90 [Methods](#)

91 Since November 2016, the Royal Eye Infirmary - University Hospitals Plymouth NHS trust (UHPNHST)
92 has established a clear pathway to undertake cataract surgery with TIOL implant in patients with
93 pre-operative keratometric cylinder of more than 3.00DC. The cut off level was based on the
94 assumption that smaller degrees of astigmatism would be possible to manage with incisional
95 techniques. The relatively low prevalence of corneal astigmatism greater than 3.00DC, ensured that
96 demand for these TIOLs could be provided with a minimum impact on other clinical services. A
97 retrospective analysis was conducted on all patients who underwent TIOL implantation between
98 November 2016 and January 2019. This retrospective clinical audit was exempt from the UK National
99 Research Ethics Service approval (as per NHS Health Research Authority guidance) and instead
100 reviewed by a local institutional review board and given approval from the Clinical Effectiveness
101 department of UHPNHST. Permission was given to access patient data, which was anonymised prior
102 to data analysis. The study adhered to the tenets of the Declaration of Helsinki.

103 LogMAR visual acuity was performed using the Thomson Test Chart 2000 (Thomson Software
104 Solutions, Hatfield, Herts., UK) on patients referred to the cataract service. The pre-operative
105 refractive error was obtained from the subjective refractive prescription by an optometrist or
106 through objective auto-refraction. Each patient underwent corneal topography using a Pentacam

107 (Oculus, Wetzlar, Germany) or Topcon KR1W (Topcon, Tokyo, Japan) to rule out irregular corneal
108 astigmatism - a contraindication to TIOL implantation. A Zeiss IOLMaster 700 (Carl Zeiss, Meditec)
109 was used to obtain all other ocular biometry measurements according to the recommendations
110 within the Royal College of Ophthalmologists Cataract Surgery Guidelines 2010.¹⁶ The Tecnis Toric
111 Aspheric Intraocular lens (model ZCTXXX - Abbot Medical Optics) was used in all cases. This TIOL is a
112 foldable hydrophobic one piece lens made of a mid-index acrylic material (refractive index of 1.47).
113 TIOL power and orientation were chosen by inputting clinical values for axial length (AL),
114 keratometry values (K-values), anterior chamber depth and surgeon-induced astigmatism into the
115 manufacturers' online calculator (TECNIS® IOL Calculator Platform, Abbot Medical Optics).

116 On the day of surgery, all patients were consented for cataract surgery with TIOL implantation.
117 Alignment of the TIOL was assisted with the aid of the Zeiss Callisto Eye system (Carl Zeiss, Meditec).
118 This is a digitally assisted cataract surgery programme that utilises a preoperative image (captured
119 with the IOLMaster 700) with relevant biometry data for review in the operating room. The 'Z Align-
120 Toric assistant' allows the use of reference axes from the IOLMaster and the use of target axis in the
121 microscope eyepiece in order to provide markless alignment of TIOLS. All procedures were
122 performed by a single surgeon (NH) using a standard surgical approach, which involved a micro-
123 coaxial phacoemulsification through a temporal 2.2 mm clear corneal incision. A manual 5.5mm
124 capsulorhexis was performed with the aid of Callisto 'rhexis assistant' and a conventional 'stop and
125 chop" technique was used. The capsular bag was inflated with an ophthalmic viscosurgical device
126 (Healon - Abbott Medical Optics). A polymethyl methacrylate capsular tension ring (Ophtec) was
127 inserted to provide additional IOL rotational stability. The Tecnis TIOL was injected and aligned with
128 the marked steep meridian, as assisted by the Callisto system (Figure 1). Healon was aspirated from
129 inside the capsular bag, taking care to remove any remnants from behind the IOL. The IOL position
130 was rechecked after the anterior chamber was reformed and intracameral cefuroxime 1mg/0.1ml
131 (Aprokram – Thea Pharmaceuticals) was administered. Post-operatively all patients received

132 Dexamethasone 0.1%/Neomycin 0.35% eye drops (Maxitrol – Novartis Pharmaceuticals) to the
133 operated eye for 4 weeks. All patients attended a post-operative check on day 1 and at 4 weeks.

134 Outcome measures such as pre- and post-operative visual acuities (unaided and best-corrected),
135 refractions (obtained either by manifest subjective refraction or autorefractometry) and all
136 complications were recorded on Medisoft (Heidelberg Engineering GmbH). The minimum follow-up
137 of post-implantation refractive outcomes was 4 weeks. The predicted spherical equivalent (SE)
138 following TIOL implantation was compared with the post-operative refraction. Vector analysis was
139 used to calculate surgically induced refractive correction (SIRC), correction ratio (CR), error
140 magnitude (EM) and error vector (EV).

141 Results

142 Patient Demographics

143 Sixty-six eyes of forty-seven participants were included in the analysis for the study. 47% of
144 participants were male and the cohort had an average age of 73.8 ± 12.3 years. Patients with ocular
145 co-morbidities were included (Table 2). The magnitude of preoperative corneal keratometric
146 cylinder ranged from 3.00 to 12.00DC and the magnitude of pre-operative refractive cylinder ranged
147 from 1.50 to 10.25DC (Table 1; Figure 2a).

148 Mean spherical refraction

149 The mean post-operative mean spherical equivalent refractive error was -0.38 ± 0.69 D whilst the
150 target spherical equivalent was -0.33 ± 0.19 D. The mean accuracy of the spherical equivalent
151 refractive error was -0.08 ± 0.64 D with 94% within ± 1.00 D of the target refraction and 62% within
152 ± 0.50 D (Figure 2b).

153 Visual Acuity

154 The mean post-operative unaided vision was 0.25 ± 0.189 LogMAR with approximately 30% of
155 patients able to resolve 0.1LogMAR or better (Figure 2c) with two thirds of patients within European
156 driving standards unaided (0.3 LogMAR).

157

158 [Astigmatic correction](#)

159 The mean absolute magnitude of pre-operative corneal astigmatism and refractive cylinder was
160 $4.39\pm 1.69D$ (Figure 2a) and $4.69\pm 1.77D$ (Figure 2d). Following TIOL implantation the average
161 absolute magnitude of refractive astigmatism reduced to $1.34\pm 1.07D$.

162 The mean absolute magnitude of targeted astigmatic change was $3.74\pm 0.90D$ (Figure 2e) whilst the
163 actual achieved astigmatic change was $4.14\pm 1.39D$ (Figure 2f). This demonstrated a slight
164 overcorrection that increased as the target change increased (Figure 2g). The actual astigmatic
165 magnitude change was within 0.50DC of the target astigmatic magnitude change in 36% of cases,
166 within 1.00DC in 68% of cases, and within 1.50DC in 88% of cases (Figure 2g).

167 The results of the correction ratio highlights a slight overcorrection when examining the total group
168 (1.10 ± 0.26). The eyes were then categorised as with-the-rule (within 20 degrees of vertical; 37 eyes),
169 against-the-rule (within 20 degrees of horizontal; 19 eyes) or oblique (10 eyes). The correction ratio
170 were significantly different for the different categorisations (with-the-rule 1.20 ± 0.22 ; against-the-
171 rule 0.97 ± 0.27 ; oblique 0.99 ± 0.29) ($F_{6,876}$, $p=0.002$) and analysis with the Tukey post-hoc test
172 revealed an overcorrection of the with-the-rule eyes in comparison with both the against-the-rule
173 ($p=0.004$) and the oblique eyes ($p=0.45$) (Figure 2j).

174 The absolute magnitude of the difference vector ($1.27\pm 0.72D$) was relatively small given the overall
175 magnitude of astigmatic change (Figure 2i) with the refractive astigmatism angle of error greater
176 than 15 degrees in only 8% of eyes (Figure 2j).

177

178 [Discussion:](#)

179 This is the first study to evaluate the outcomes of TIOL implantation, specifically in subjects with
180 both high corneal astigmatism and ocular co-morbidities, as part of a defined care pathway within
181 an NHS trust. The results highlight the effectiveness of TIOL correction within this population as
182 demonstrated by a surprisingly accurate correction index of (1.10 ± 0.26). In a randomised controlled
183 trial (RCT) conducted by Holland et al.¹⁷ 90% of subjects implanted with a TIOL had a residual

184 refractive cylinder of 1.00DC or less. In comparison, the mean residual astigmatism in our study was
185 1.34 ± 1.07 DC. This can be attributed to the fact that a residual refractive astigmatic error was
186 expected given that emmetropia was not the target in all cases. The expected post-operative
187 residual astigmatism ranged from 0.01 to 6.84DC (0.65 ± 1.09 DC). Uncorrected visual acuity was also
188 lower, which is attributed to the presence of ocular co-morbidities. As such, these findings would be
189 representative of a typical NHS cohort; which may make our results potentially applicable to trusts
190 all over the UK.

191 Studies evaluating the efficacy of TIOL in high corneal astigmatism are relatively sparse and it is
192 difficult to compare results given differences in methodologies. Alio et al.¹⁸ conducted a prospective
193 study examining the effectiveness of TIOL in 21 eyes with corneal astigmatism greater than 2.25D. In
194 this study a slight under correction was achieved (target astigmatic change: 4.54 ± 2.72 D; Achieved
195 astigmatic change: 4.18 ± 1.39) as reflected by the correction index (0.91 ± 1.23). Both the post-
196 operative vision (0.65 ± 0.22 decimal) and refractive astigmatic cylinder (0.45 ± 0.63 DC) were better
197 than those in the present study. However, this discrepancy can be explained by the fact that the
198 authors excluded co-morbidities and that the expected residual refractive cylinder was lower than in
199 the present study. Similarly, Entabi et al.¹⁹ evaluated the use of T-flex TIOL in a prospective study on
200 33 eyes with corneal astigmatism greater than 2.00DC. The mean preoperative refractive cylinder of
201 2.94 ± 0.91 D was lower in the Entabi study, as was the target residual astigmatism. As such, it is
202 expected that these subjects would achieve better-unaided visual acuity and lower overall residual
203 refractive astigmatism. However, the study did not report the astigmatic outcomes according to the
204 standardized vector analysis first described by Alpíns^{20 21 22}, making it difficult to understand the
205 nuances of the astigmatic correction achieved in this study. Similarly, this type of reporting is only
206 partially utilised in the study by Visser et al.²³ which examined the use of four AcrySof TIOLs in 67
207 eyes with corneal astigmatism greater than 2.25DC (mean preoperative cylinder 4.02 ± 1.28 DC). In
208 concurrence with the present study, Visser et al. included eyes with ocular comorbidities finding
209 comparable mean residual refractive cylinder and achieved astigmatic change 4.14 ± 1.39 DC.

210 Our results demonstrated a significantly greater overcorrection in eyes categorised as having with-
211 the-rule astigmatism. This can be explained by the work of Koch et al. who demonstrated that
212 corneal power is overestimated in cases of with-the-rule astigmatism, when only the anterior
213 surface of the cornea is assessed. They proposed that the cornea should be assessed using a method
214 that includes posterior corneal curvature evaluation. If a device that calculates corneal astigmatism
215 from the anterior corneal surface is used, then the results should be adjusted using a nomogram.²⁴

216 Residual astigmatism significantly impacts on patients' visual acuity after cataract surgery.²⁵
217 Astigmatic correction during cataract surgery enables the possibility of spectacle independence at
218 distance. For the patient, the benefits are not only economic²⁶ but also practical. Spectacle
219 correction of astigmatism creates a meridional magnification, which when coupled with the
220 associated back vertex distance, produces retinal images that are both asymmetrically magnified and
221 distorted. The images produced can decrease spatial perception²⁷ with adaptation being particularly
222 challenging in the elderly population²⁸ – in whom the burden of cataract is prevalent. The correction
223 of corneal astigmatism during cataract surgery, brings an important advantage in that no significant
224 meridional magnification is induced due to a negligible vertex distance.²⁹

225 Conclusion:

226 We believe that our results may offer evidence for this visually rehabilitating treatment to those
227 with pre-existing compromised vision and hopefully resulting in a better quality of life. Previous
228 studies often excluded patients with pre-existing ocular co-morbidities; leaving a paucity of evidence
229 based management of these individuals, who constitute a considerable population undergoing
230 cataract surgery in the UK. The cost-benefit analysis of the widespread use of these IOLs may
231 potentially outweigh the use topography and need for IOL realignment but this requires further
232 inquiry. In conclusion, the results demonstrate the efficacy of TIOL implantation in patients with high
233 corneal astigmatism and provide further evidence advocating their use in cataract surgery within
234 NHS hospital eye services.

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Titles and legends to figures

Figure 1. TIOL alignment on the visual axis provided by IOL master with the Callisto Eye system (Carl Zeiss, Meditec).

Figure 2a: Pre-operative corneal keratometric astigmatism

Figure 2b: Spherical equivalent refractive accuracy

Figure 2c: Post-operative unaided visual acuity

Figure 2d: Post-operative refractive astigmatism

Figure 2e: Intended refractive correction

Figure 2f: Surgically induced astigmatism vector

Figure 2g: Intended refractive correction compared to surgically induced refractive correction

Figure 2h: Error vector

Figure 2i: Refractive astigmatism angle of error

Figure 2j: Correction index

		Mean	Range
Pre-Operative Refractive	Mean spherical equivalent	-0.83±5.00D	-13.13 to +8.50D
	J0	-0.83±4.39D	-8.88 to +8.32D
	J45	-0.27±2.17D	-4.97 to +6.89D
Pre-operative visual Acuity		0.52±0.28 LogMAR	0.18 to 1.6 LogMAR
Pre-Operative Corneal	Absolute keratometric cylinder power	4.38±1.69D	3.00 to 12.00D
	Pre-Operative Astigmatism	J0	-0.96±4.15
J45		-0.17±2.06	-4.95 to 5.08D

Table 1: Pre-operative corneal and refractive data

Co-morbidities	Number of eyes affected
Retinal	Dry age-related macular degeneration – 13 Retinoschisis – 1
Neuro-ophthalmology	Abducens nerve palsy – 1 Chronic external ophthalmoplegia – 1
Corneal	Fuchs endothelial dystrophy – 2 Corneal scarring – 1 Previous keratoplasty – 2
Glaucoma	Chronic narrow angle – 2 Chronic open angle – 4
Other	Amblyopia – 7 High myopia – 7 Nanophthalmos – 2

Table 2: ocular co-morbidities





















