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# A catchment-scale perspective of plastic pollution

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10 Catchment-scale plastic pollution

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### 30 Abstract

Plastic pollution is distributed widely across the globe, but compared with marine 31 32 environments, there is only rudimentary understanding of the distribution and effects of plastics 33 in other ecosystems. Here, we review the transport and effects of plastics across terrestrial, 34 freshwater and marine environments. We focus on hydrological catchments as well-defined 35 landscape units that provide an integrating scale at which plastic pollution can be investigated. Diverse processes are responsible for the observed ubiquity of plastic pollution, but sources, 36 37 sinks and fluxes in river catchments are poorly quantified. Nevertheless, early indications are 38 that rivers are hotspots of plastic pollution, supporting some of the highest recorded 39 concentrations. River systems are also likely pivotal conduits for plastic transport among the 40 terrestrial, floodplain, riparian, benthic and transitional ecosystems with which they connect. 41 Although ecological effects of micro- and nano-plastics plastics might arise from a variety of physical and chemical mechanisms, understanding of their nature, severity and scale is 42 43 restricted and lacks consensus in comparison to macro-plastic research. Furthermore, whilst 44 individual-level effects are often graphically represented in public media, knowledge of the 45 extent and severity of the impacts of plastic at population, community and ecosystem levels is 46 limited. Given the potential social, ecological and economic consequences, we call for more comprehensive investigations of plastic pollution in ecosystems to guide effective management 47 48 action and risk assessment. This is reliant on (i) expanding research to quantify sources, sinks,

49 fluxes and fates of plastics; (ii) improving environmentally relevant dose-response 50 relationships for different organisms and effect pathways, (iii) scaling up from studies on 51 individual organisms to populations and ecosystems, where individual effects are shown to 52 cause harm; and (iv) improving biomonitoring through developing ecologically relevant 53 metrics based on contemporary plastic research.

### 54 1. Introduction

55 Plastic waste production across the globe has reached approximately 6300 million metric tons (MT), most (79%) of which has been disposed of to land-fills and more widely into the 56 57 surrounding environment (Geyer et al., 2017). The annual flow of plastic pollution to the 58 world's oceans is estimated to be 4.8–12.7 MT, a large proportion of which comes from sources 59 on land and is transported by rivers or wind (Jambeck et al., 2015). Plastic pollution is 60 comprised of a variety of different organic polymers and is invariably categorised based on particle size: nano (<1 µm), micro (0.01–5 mm), meso (5–25 mm) and macro (>25 mm). Once 61 62 in situ within ecosystems, degradation and fragmentation processes make the identification and removal of these plastic particles difficult. Recent reviews and theoretical models have, 63 64 however, indicated a large number of potential sources, fluxes and sinks of plastics across the 65 wider environment (Alimi et al., 2018; Browne et al., 2011; de Souza Machado et al., 2018a; Horton et al. 2017a; Wagner et al., 2014). A more detailed understanding of the sources, fluxes 66 67 and effects of these anthropogenic pollutants, and a more comprehensive quantification of their fate, is now required urgently to determine the risks to people and ecosystems across the globe 68 69 (de Souza Machado et al., 2018a; Horton & Dixon, 2017; Nizzetto et al., 2016a).

Large production volumes, long-term environmental persistence and potential ecological
effects have meant that plastic pollution has received increasing attention (Thompson et al.,

72 2009). The variety of plastic sizes (microns to metres) and characteristics (e.g. shape, physical 73 and chemical properties) make this group of pollutants particularly diverse (Rochman, 2015). 74 In turn, the diversity and ubiquity of plastic particles within natural systems, mean there is a 75 wide variety of ways in organisms can interact with, become entangled in, or ingest plastic 76 pollution (e.g. Cole et al., 2013; Foekema et al., 2013; Lusher et al., 2013, 2015a; Hall et al., 77 2015). Although existing information indicates the potential for effects across biological 78 communities and human populations (Halden, 2010), our understanding of the effects of plastic 79 pollution on people and ecosystems remains constrained. Furthermore, despite widely 80 identified interactions between organisms and plastics, a comprehensive mechanistic 81 understanding of effect pathways remains limited, with a few notable exceptions (e.g. ingestion 82 and energy reserve depletion: Wright et al., 2013a). Further to this, existing dose-response 83 relationships for effect pathways are relative restricted and are often limited in either their 84 taxonomic breadth or utility (e.g. unrealistic concentrations and/or plastic characteristics: 85 Phuong et al., 2016). Notable exceptions are presented by recent studies, where existing 86 predicted no effect concentrations for microplastics have been collated – covering a number of 87 plastic types and size categories, as well as incorporating a range of aquatic organisms (Burns 88 & Boxall, 2018; Everaert et al., 2018).

In this review, we critically evaluate existing evidence for the fluxes and effects of plastic pollution from a catchment-scale perspective. We focus particularly on freshwater systems as highly connected networks through which plastics are transported from sources in terrestrial environments to marine ecosystems. Throughout the manuscript we aim to: (i) synthesise existing knowledge regarding the fluxes and effects of plastic pollution across hydrological catchments; (ii) highlight emerging areas that require further research; and (iii) identify improvements to aid the development and integration of catchment-scale research.

96 2. Fluxes of plastics through hydrological catchments

97 Hydrologically defined river catchments offer valuable units in which to consider the sources,
98 fluxes and fates of plastic pollution (Fig 1). This is because the transport of plastics often
99 follows hydrologically pathways, and hydrological pathways are determined clearly by
100 topography, surface morphology and drainage patterns (Bracken et al., 2013).

101 Once released into the environment, plastics reach across all ecosystems and ecotypes across 102 the globe (Geyer et al., 2017). Plastic particles are widespread, even in areas considered to have 103 little to no human influence, such as the deep sea, Arctic sea ice and remote uninhabited islands 104 (Lavers & Bond, 2017; Peeken et al., 2018; Van Cauwenberghe et al., 2013). Along their 105 movement from source to sink, plastics interact with their physical, chemical and biological 106 environment in ways that depend on the characteristics of the plastic (size, shape, polymer type, 107 etc.) so that it is not practical to consider 'plastics' as a singular form of pollution. Nevertheless, 108 for the purposes of this discussion, we highlight existing theoretical and empirical evaluations 109 of the flux and effects of a broad group of 'plastics' across ecosystems.



**Fig. 1. Conceptual diagram of plastic fluxes across the compartments of hydrological catchments.** Specific pathways, indicated by black arrows, are further discussed within the main body of text. Grey arrows represent theoretical fluxes that have yet to be investigated in detail (see *Underrepresented ecosystems*).

110 The movement of plastic across and between compartments of river catchments is analogous to other catchment-scale processes involving fluxes, transformations and storage (Horton & 111 112 Dixon, 2017). It has been theoretically suggested that microplastic particles behave in a similar 113 manner to other particulate matter with similar characteristics (e.g. density, size and shape), such that movement of these particles resembles the flux of others (e.g. sediment/soil particles, 114 115 fine and coarse organic matter (Nizzetto et al., 2016a). In reality, however, it is likely that the 116 unique diversity of shape, density, size, or surface complexity of plastic particles, limits the 117 accuracy and utility of existing models to predict plastic movement across and within ecosystems. Furthermore, the behaviour of larger particles of plastic (meso to macro) within 118

ecosystems remains poorly understood. The processes responsible for transporting these larger
particles are likely similar to those transporting microplastics, yet operate at larger scales,
involve more energy and occur less frequently. As a result of these complications, there
remains insufficient data to accurately parameterise and validate empirical transport models
for plastic pollution.

124 While movement of plastic between atmospheric, terrestrial and freshwater systems appears to 125 multidirectional, marine systems are generally perceived to act as sinks for plastics, with 126 limited outfluxes (Browne et al., 2011). However, a significant amount of plastic is transported 127 through river catchments (Lebreton et al., 2017). While this is likely to be the main source of marine plastics (Nizzetto et al., 2016a), little is known about the residence time of plastics in 128 129 freshwaters, which could also trap significant amounts of material. Quantification of all the 130 pathways from land to sea remains limited (but see Clark et al., 2016; Galloway et al., 2017), 131 yet is key to supporting the estimation of ecological risk across systems.

132 The characteristics of hydrological catchments are like to maintain important implications for the flux of plastic pollution across the landscape. Features such as topography, hydrology and 133 134 land use, are likely responsible for altering the mass balance of plastics within catchments – 135 influencing both the diversity and volumes of plastic emitted from sources, the nature and magnitude of transport processes, as well as the likelihood of temporary storage across 136 137 ecosystems within the wider hydrological catchment. Limited information exists at the 138 catchment-scale, however, existing studies investigating plastic pollution across terrestrial, 139 freshwater, atmospheric and marine systems provide a basis for understanding catchment-scale 140 transport of plastic pollution.

### 141 2.1. Terrestrial systems

Several sources of plastic pollution are associated with human activities across the terrestrialenvironments present within hydrological catchments (de Souza Machado et al., 2018a; Hurley

144 & Nizzetto, 2018). Plastic pollution stems from a wide array of activities, creating a patchwork 145 of point and diffuse sources across catchments, with both rural and urban soils are considered 146 to be contaminated by plastic particles (Nizzetto et al., 2016b). Intensive agricultural practices 147 distribute plastics across rural regions through the degradation of machinery, diffuse littering, application of sewage sludge as a fertiliser (Zubris & Richards, 2005) and plastic mulching 148 149 (Steinmetz et al., 2016). The redistribution of sewage sludge is particularly interesting, 150 transporting plastics associated within urban activities across some rural landscapes (Horton et 151 al., 2017a; Zubris & Richards, 2005). The flux of plastics from this activity is potentially 152 important considering that 80–90% of plastics entering sewage treatment are stored in sludge (Talvitie et al., 2017), and a large amount of MPs (4196–15385 MP kg<sup>-1</sup> dry mass) remain 153 154 post-treatment of biosolids (Mahon et al., 2017). Within Europe, Nizzetto et al. (2016b) 155 estimated that 125–180 t of microplastics per million inhabitants are added to agricultural soils 156 as a result of sewage sludge application. Urban land use and associated activities also provide 157 several different sources of plastic pollution (Ballent et al., 2016; Nizzetto et al., 2016b). In 158 particular, loss during waste disposal, industrial spillage and release from landfills provide significant inputs of plastic (Lechner & Ramler, 2015; Sadri & Thompson, 2014). The large 159 160 production of plastics in terrestrial systems, limited land area and range of distribution 161 processes may result in a greater environmental concentration within these ecosystems, compared to marine environments (Horton et al., 2017a). 162

The flux and storage of plastic within terrestrial systems have been catalogued theoretically, but there are few field data. Once in terrestrial ecosystems, plastics accumulate in soils and can be ingested by soil-dwelling organisms (Rillig, 2012; Rillig et al. 2017a). Existing empirical data indicate that plastics are incorporated into earthworm casts (Huerta Lwanga et al., 2017), and also that polyethylene microbeads (0.71–2.8 mm) reach down into the subsurface through earthworm burrows (Rillig et al., 2017b). Concentration of plastic in soils varies: river 169 floodplains across Switzerland revealed relatively low concentrations of microplastics (0– 170 55.5 mg kg<sup>-1</sup>, Scheurer & Bigalke, 2018), but more heavily contaminated industrial soils (300– 171 67500 mg kg<sup>-1</sup>) have been observed from samples collected in Australia (Fuller & Gautam, 172 2016). The lightweight nature of plastic material, means that in terrestrial systems, particles are 173 more easily transported by wind and weather events (Zylstra, 2013), diffusing their distribution 174 across catchments.

175 Plastics stored in terrestrial systems may subsequently be re-mobilised and subsequently 176 transported within or across catchments (Dris et al., 2015a; Duis & Coors, 2016; Wagner et al., 177 2014). Although empirical assessments are absent from the literature, soil erosion during heavy rainfall is likely to increase the flux of plastic particles from soils to river systems (Bläsing & 178 179 Amelung, 2018). In particular, landfills in low lying areas prone to flooding, present a significant source of plastics into freshwater ecosystems (Brand et al 2018). In some cases, as 180 181 during flood events, plastics may even return to land, however the flow of plastics out of terrestrial systems appears dominant and drives the global plastic cycle (see de Souza Machado 182 183 et al., 2018a).

### 184 2.2. Atmospheric systems

185 Plastic, as a result of its lightweight characteristics, can be suspended and transported within the atmosphere at both the catchment and regional scale (Dris et al., 2016; Prata, 2018). Plastics 186 enter the atmospheric system through a variety of pathways across catchments, including 187 188 combustion of waste plastic, wind erosion of various media, urban dust (including tyre wear 189 particles, paint particles and synthetic fibres) (Lee et al., 2016; Unice et al. 2012) and diffuse 190 litter (Dris et al., 2016). The majority of plastic observed in atmospheric systems falls into the 191 micro- and nano- size classes, nevertheless, larger particles may be suspended in the atmosphere if they support a suitable set of characteristics (e.g. disposable plastic bags and 192 balloons). Significant concentrations of plastic are observed within the lower atmosphere (0.3– 193

1.5 MPs m<sup>-3</sup>), yet compared to indoor air these values are relatively low (1–60 MPs m<sup>-3</sup>) (Dris 194 195 et al., 2017). Polyurethane, polypropylene and polystyrene microplastic particles were identified in atmospheric fallout, at concentrations between 175 to 313 MP m<sup>-2</sup> day<sup>-1</sup> in 196 Dongguan city (Cai et al., 2017). Similar concentrations of microplastic were also observed 197 using passive samplers in Paris; 2–355 MPs m<sup>-2</sup> day<sup>-1</sup> (Dris et al., 2016). The fallout of these 198 199 particles is, in turn, responsible for the accumulation of particles in 'street dust'. For example, 'street dust' collected from sites across Tehran exhibited 88-605 microplastics per 30 g of dust 200 201 (Dehghani et al. 2017). The atmosphere therefore appears to store and transport plastic, and 202 while there is limited evidence of long-range atmospheric flows of plastic, microplastic 203 pollution occurs in remote environments such as alpine lakes (Free et al., 2014). The storage 204 and transportation of plastics in the atmosphere is likely temporally variable; influenced by the 205 prevailing meteorological conditions at different time scales. Thus, it is unlikely that the 206 atmosphere provides a long-term store of plastics, instead acting as a temporary store, as well 207 as a potential short- and long-distance transport pathway.

### 208 2.3. Freshwater systems

Freshwater ecosystems include a diverse assemblage of running, standing, surface and underground waterbodies. Running waters act as conduits connecting terrestrial and marine systems, providing an important long-range transport mechanism, as well as storage opportunities in some benthic or riparian habitats (Horton & Dixon, 2017). Standing waters, including lakes and ponds, may also act as accumulators and stores of plastic (Vaughan et al., 2017). The role of freshwaters in the transport of plastics across catchments is thus highly dependent upon the characteristics of the waterbody.

The sources of plastic entering freshwater ecosystems are varied and spatially heterogeneous,
ranging from diffuse inputs stemming from run-off to point sources such as Wastewater
Treatment Works (WwTWs) and Combined Sewer Overflows (CSOs) (Horton et al., 2017a).

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219 Domestic sewage collects a variety of plastic types, including synthetic wet wipes, microbeads 220 (Duis & Coors, 2016) and polymer fibres from the laundering of synthetic textiles (Napper & 221 Thompson, 2016). WwTWs effectively remove the vast majority of both large and small 222 plastics from raw influent (95–99%), yet these point sources remain an important contributor 223 of smaller microplastic particles to freshwater ecosystems (Murphy et al. 2016; Talvitie et al., 224 2017). These contributions from treated effluent, however, are spatially variable in response to variable removal efficiencies across WwTWs (Siegfried et al., 2017). Microplastics removed 225 226 during treatment are also not completely disconnected from entering the environment, with the 227 retention of plastics in sludge (Mahon et al., 2017) and the potential for subsequent re-228 application across catchments. Further sources of micro- and macro-plastic identified within 229 existing literature include, diffuse urban pollution, stormwater drains (Horton et al., 2017b), 230 combined sewage overflows and litter (Horton et al. 2017a). The combined effects of urban pollution sources have been shown to generate enhanced concentrations of plastics within 231 232 freshwater systems, for example the highly populated Lake Erie maintains far greater concentrations of microplastic particles (43,000 MP km<sup>-2</sup>) in comparison to lakes in proximity 233 to less populated regions, e.g. Lake Huron (6,541 MP km<sup>-2</sup>) and Lake Superior (12,645 234 MP km<sup>-2</sup>) (Eriksen et al., 2013). As a result of the ubiquity of point and diffuse sources of 235 236 plastic pollution within freshwaters, it is not surprising that plastic has been widely identified within a range of freshwater habitats (Free et al., 2014; Horton et al., 2017b). Data from 237 238 freshwater systems, thus far, indicate that these systems are important hotspots of plastic 239 pollution, holding some of the highest concentrations of (micro)plastics recorded in either 240 water and sediments across the globe (Hurley et al., 2018; Mani et al., 2015).

River systems act as conduits, connecting terrestrial, riparian, floodplain and transitional
ecosystems within their catchments. Theoretical and modelling assessments support the
notions of particle transfer across habitats, but also under certain conditions significant storage

244 (see Nizzetto *et al.*, 2016a). The retention and transport of plastics are a product of particle 245 characteristics (density and dimensions) and environmental characteristics (flow regime) 246 (Nizzetto et al., 2016a). Within river systems plastics may pool in benthic sediments 247 (Castañeda et al., 2014) or be transferred along an altitudinal gradient towards marine 248 ecosystems (Lebreton et al., 2017; Mani et al., 2015). This transport may occur throughout the 249 water column, with significant transport observed both on the surface (Dris et al., 2015b; Aaron 250 Lechner et al., 2014) and subsurface (Morritt, Stefanoudis, Pearce, Crimmen, & Clark, 2014) 251 of river systems.

252 The interaction between storage and flux processes is highlighted in a recent study by Hurley 253 et al. (2018), which indicates the significant mobilisation and removal of sedimentary 254 microplastics in response to high flow events. In this example,  $0.85 \pm 0.27$  tonnes of plastic was removed from a single catchment during an individual flood event (Hurley et al., 2018). 255 256 Similar flood events may also be responsible for distributing plastics onto floodplains. The net 257 or total flux of plastics from terrestrial sources, through hydrological networks to marine systems however remains poorly understood. It is, however, estimated that global river 258 259 networks are responsible for transferring 1.15-2.41 MT of plastic pollution to marine 260 environments (Lebreton et al., 2017). This estimate, however, is based solely upon surface 261 transport and does not account for suspended and bedload transport. As a result, the mass of 262 plastic transported through river systems are likely to be underestimated, with the combination 263 of surface and subsurface transport more likely accounting for a greater proportion of the total 264 4.8–12.7 MT estimated entering marine environments per year (Jambeck et al., 2015).

### 265 2.4. Marine systems

Oceans are often considered the end-point of plastic fluxes from hydrological catchments
(Horton & Dixon, 2017). As highlighted previously, it is estimated that fluxes of plastics from
rivers provide a major input of macro- and micro-plastics into marine environments across the

269 globe (Lebreton et al., 2017; UNEP, 2016). With 50% of the global population residing within 270 31 km of the coast (Small & Cohen, 2004), direct inputs of plastics are also likely to be 271 significant. Finally, industrial activity, such as commercial fishing, contributes to the total 272 plastic burden within marine ecosystems (Lusher et al., 2015b). In most cases these activities 273 release macro-plastics, such as netting and plastic sheeting, which then degrades to form 274 microplastic particles when exposed to physical, chemical or biological processes (e.g. 275 Davidson, 2012). The potential variety of plastic sources generates a widespread distribution 276 of plastics in the marine environment, yet heterogeneity exists with accumulation zones and 277 plastic hotspots (Lusher, 2015). Plastic transport processes are widespread and heterogeneous 278 within the marine environment (Browne et al., 2011). Ocean and wind circulation currents, 279 ranging from small-scale vertical mixing to large-scale oceanic gyres, appear responsible for 280 the observed patchiness of plastic distribution within marine systems (Kukulka et al., 2012; 281 van Sebille et al., 2015). In coastal regions, local hotspots may also be generated by the influx 282 of plastics from river systems (Frias et al., 2014).

283 Although not commonly appreciated, plastics are also transported out of marine and coastal 284 ecosystems to terrestrial and atmospheric environments through wind and wave action (e.g. 285 storm surges) (Horton et al., 2017a). These transport pathways redeposit plastic to coastal/terrestrial systems. For example, a large proportion of plastic litter present across 286 287 coastal regions is derived from marine environments, transported and deposited through wave 288 action (Browne et al., 2011). The suspension of plastic by aeolian processes is responsible for 289 transferring particles from marine to atmospheric systems, with microplastics potentially 290 aerosolised alongside the sea surface microlayer (Wright & Kelly, 2017). Plastic particles will 291 also settle through the water column and become incorporated in marine sediments (Van 292 Cauwenberghe et al., 2013). The rate at which this process occurs is influenced by 293 amalgamation within faecal pellets (Cole et al., 2016) or incorporation into algal structures

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(Long et al., 2015). The accumulation of plastic in benthic sediments provides a temporary
store which may be remobilised by physical and biological processes, although there is limited
research on the mechanisms of plastic transport in marine systems (Martin et al., 2017).

### 297 2.5. Underrepresented ecosystems

There are several ecosystems where the occurrence of plastics remains largely unexplored. In particular, groundwater and cryosphere ecosystems, as well as riparian ecotones have received relatively limited attention. Yet the potential for these ecosystems to significantly influence the storage and flux of plastics is not negligible.

302 Within the cryosphere, the remobilisation of plastics resulting from increasing melt-rates, may provide a significant source of plastics to other ecosystems. Existing research demonstrates 303 high concentrations of plastic debris (40-250 MP L<sup>-1</sup> melted ice) stored in Arctic sea-ice 304 305 (Obbard et al., 2014; Peeken et al., 2018). The release of plastic from sea ice is likely an important contributor to the flux of plastic within marine systems. As an example, the net 306 melting of sea ice between 2011 and 2016 is estimated to have released  $7.2-8.7 \times 10^{20}$  MP in 307 308 the size range of 0.011-5 mm (Peeken et al., 2018). Within glacierised hydrological 309 catchments, patterns of continuing deglaciation may lead to a significant release of plastic, 310 however, little is known about the distribution of plastic contamination across these compartments of the cryosphere. 311

Groundwater systems provide important stores and transfer pathways of pollutants, e.g. pesticides (Toccalino et al., 2014), so it is likely that these systems would store and transport micro- and nano-plastics (Rochman, 2018). While interstitial pore space within rock strata, hydrologic connectivity and subsurface flow paths, limit particle sizes, it is likely that some systems like karsts may also transport or store larger particle sizes. The relative contribution of groundwater to the total flux of plastic pollution, is likely relatively restricted due to pore size restrictions. 319 Riparian ecotones, as the main interface between terrestrial and freshwater systems, are also 320 obvious points for transfer and storage. Recent studies have used citizen science techniques to 321 quantify the levels of macroplastic litter along riverbanks and riparian zones, observing an average of  $0.54 \pm 1.2$  litter items m<sup>-2</sup> across Germany (Kiessling et al., 2019). Riparian zones 322 likely provide temporally variable effects on the storage and transfer of plastic pollution. For 323 324 example, during floods plastics are prone deposition above the bank, namely if the riparian 325 vegetation increases retention. River level (water height), velocity, vegetation type, coverage 326 and roughness, are here key regulating factors in the storage, release or transport of plastics in 327 riparian ecosystems.

### 328 3. Biological retention and cycling of plastics across catchments

329 Plastics are transported, ingested, cycled and sometimes retained by biota. Biological 330 interactions such as ingestion also alter the physical and chemical properties of these plastics, 331 which in turn influences the movement (flux and storage) of plastic between ecosystems. As 332 an example, as plastics are incorporated into faecal pellets, phytoplankton aggregates or biofilm matrices, the otherwise buoyant plastic particles gain a propensity to sink, leading to increased 333 deposition in sediments (Cole et al., 2016; Long et al., 2015; Rummel et al., 2017). The 334 335 aggregation of particles as a result of egestion may subsequently alter the distribution of 336 plastics whilst also increasing their bioavailability to organisms feeding on faecal material 337 (Ward & Kach, 2009). Once in food webs, plastic particles may be retained through cycling 338 between trophic levels, moving upwards through the food web as a consequence of predation (e.g. Nelms et al., 2018) and re-entering the basal resources through egestion. The residence 339 time of plastic particles within the biological component of food webs is unknown. Higher 340 341 plants may also retain plastic, with significant aerial accumulation, in the branches and foliage of plants in both terrestrial and riparian systems, as well as entangled in subterranean and 342 343 subaquatic plant material. The storage of plastics in the biotic components of ecosystems,

ultimately however, is restricted with the majority of plastic particles likely to return to the
environments from which they were sequestered, through a series of processes including
egestion and decomposition (Wright et al., 2013b).

347 Organisms may also facilitate the transport of plastics across habitats and ecosystems. For 348 example, the dispersal of some organisms across the landscape may act to redistribute plastics 349 at a range of spatial scales, from microhabitats to continents. Across short distances, organisms 350 such as worms and collembolans may transport plastics via ingestion, attachment and active 351 transport (Maaß et al., 2017). Recent studies have also indicated the ability of mosquitos (Culex 352 pipiens; Linnaeus 1758), to transport microplastics (2 and 15 µm) from aquatic to terrestrial and atmospheric systems (Al-Jaibachi et al., 2018). For micro-organisms, transport may be 353 354 relatively localised, yet larger organisms (e.g. cetaceans) may facilitate long distance transport. 355 Such processes are likely responsible for distributing plastic across the landscape and 356 potentially generating plastic pollution in regions previously unaffected by non-biological 357 fluxes of plastics. These processes, however, are unlikely to be significant relative to 358 redistribution by physical processes (e.g. winds and tides). The interaction between organisms and plastic transport is an emergent field of research, requiring further attention. 359

### 360 4. Ecological effects of plastics

Ecological impacts on biota from exposure to plastic may stem form an array of mechanisms.
While current literature predominantly reports physical impacts on biota or ecosystem function,
chemically-related effects, facilitated by the adsorption properties of plastic surfaces, are also
likely (Fig. 2).

One of the largest bodies of observational evidence for the lethal effects of plastic pollution lies in records of entanglement and external physical damage. Although the majority of information available implicates large plastic items, for example fishing nets and rope (e.g. (Jacobsen et al., 2010), these physical effects also pose a problem for small organisms. For

example, zooplankton exposed to microplastic fibres  $(1.7 \times 10^4 - 5.4 \times 10^5 \text{ fibres } \text{L}^{-1})$ , were 369 observed with antennal and carapace deformities resulting from external damage (Ziajahromi 370 371 et al., 2017). The concentrations utilised within this study, however, do not represent 372 environmentally relevant concentrations. Observations in terrestrial systems have also 373 identified the lethal effects of entanglement on American crow (Corvus brachyrhynchos; 374 Brehm, 1822) nestlings (Townsend & Barker, 2014). The effects of entanglement, however, occur at the individual level, and there remains limited evidence to suggest that these 375 376 potentially lethal impacts support significant effects across populations. Furthermore, the 377 effects of plastic exposure on sensitive tissues have generally been carried out at concentrations exceeding those observed within natural environments (Phuong et al., 2016). 378



**Fig. 2. Observed and predicted mechanistic effects of microplastic exposure in natural environments.** Potential mechanistic effects are determined from theoretical and empirical studies, as well as perceived mechanisms of action which have yet to be investigated. Bold effects and responses are those that have been investigated within the literature.

379 The ingestion of plastic has also been a focus of existing research with the severe effects (e.g. 380 reduced growth and mortality) of plastic blockages in the digestive tracts of organisms 381 attracting attention (Derraik, 2002; Gall & Thompson, 2015). These effects are observed across 382 the biosphere, although they have so far been infrequently recorded on a small number of 383 individuals. A range of more subtle effects, however, may be generated by plastic ingestion. 384 The ingestion of plastic maintains the potential to generate reductions in the adsorption of 385 nutrients by the organism (based on reduced uptake of nutrients and intake of actual food 386 items), alterations in the gut microbiota and also reduce the energy budget of organisms leading 387 to several subsequent impacts, including reduced feeding, decreased activity, reduced reproductive output and eventually mortality (see Wright et al., 2013a; Au et al., 2015; Watts 388 389 et al., 2015; Zhu et al., 2018). Thus far, exposure to a range of plastic types, sizes and shapes, 390 has generated relatively limited adverse effects on aquatic organisms, including fish and 391 invertebrates (Foley et al., 2018). As a specific example, a battery of six freshwater 392 invertebrates exhibited limited responses in growth, reproduction and survival to polystyrene microplastics (20-500 µm) at concentrations of 0-40% sediment dry weight (Redondo-393 394 Hasselerharm et al. 2018). However, the complexity of plastics make effects difficult to predict 395 as the shape, size and type of polymer can influence particle toxicity. For example, microfibers 396 have been shown to have a greater adverse effect than microbeads due to entanglement and 397 carapace damage in water fleas (Ceriodaphnia dubia; Richard, 1894) (Ziajahromi et al., 2017). 398 In addition to physical effects, plastics can also leach toxic compounds, generating effects 399 within organisms that come into contact with plastics. Plastics are complex compounds with a 400 variety of added chemicals (plasticisers, hardeners, flame retardants, surfactants and synthetic 401 dyes) to give them their specific properties. Over time these plasticisers leach out and can often 402 act as toxic or endocrine disrupting chemicals within the environment (Hermabessiere et al.,

403 2017). A wide range of toxic compounds have been identified as plastic additives, including

404 bisphenol a (BPA), nonylphenol, polybrominated flame retardants and phthalates
405 (Hermabessiere et al., 2017). These leachates have been shown to negatively affect
406 development in the early life stages of invertebrates (Nobre et al., 2015), whilst also generating
407 reproductive abnormalities in a range of organisms (Browne et al., 2007).

408 Plastics may act as vectors within the environment, facilitating the enhanced transport of 409 persistent organic pollutants (POPs) and other chemicals through biotic and abiotic 410 components of ecosystems (Ziccardi et al., 2016). The "vector effect" has predominantly been 411 portrayed as detrimental, with a range of harmful substances adsorbed to the surfaces of plastics 412 (Koelmans et al., 2016) and the possibility to potentiate the toxicity of other chemicals, e.g. triclosan (Syberg et al., 2017). The role of microplastics in organic chemical bioaccumulation, 413 414 however, is unclear. While previous studies have shown increased bioaccumulation of 415 chemicals when adsorbed to plastics (Bakir et al., 2014a, 2014b), recent evidence suggests that 416 the role of microplastics in chemical transfer to organisms may be negligible when compared 417 to other natural organic matter (Koelmans et al., 2016). Further to this, only a small fraction of 418 contaminants appear to adsorb to the surface of common microplastics (polyethylene and 419 polypropylene), with only hydrophobic compounds shown to consistently absorb to particles 420 (Seidensticker et al., 2018). Other studies have indicated that the presence of plastics during 421 contaminant exposure maintains variable effects. For example, polystyrene microplastics (0.4-422 1.33 mm) under provided a "cleaning" mechanism, whereby pollutants, in this case PCBs, are 423 transferred from the tissues of the organisms to the microplastic particles (Koelmans et al., 424 2013). In another study, the addition of polyamide microplastic particles (15-20 µm) to 425 experimental chambers reduced the aqueous concentrations of BPA, leading to a reduction in 426 the levels immobilisation of Daphnia magna (Straus, 1820) in comparison to exposure to only BPA (Rehse et al., 2018). The degree to which chemicals sorb to plastics is also highly variable 427 428 and dependent upon the environmental conditions (e.g. salinity, temperature, pH and organic

matter), chemical characteristics and plastic type (Teuten et al., 2009). Although other
substrates may provide a greater influence on the bioaccumulation of pollutants, the sorption
of pollutants to plastics may enable the transfer of pollutants over greater distances compared
to organic pollutants associated with denser sediment particles (Nizzetto et al., 2016).

The surface of plastics provides a suitable substrate for colonisation by microbial and 433 434 invertebrate communities (McCormick et al., 2016; Reisser et al., 2014). Within urban river 435 systems, plastics have been identified as a unique and important substrate for the colonisation 436 of aquatic microbial biofilms (McCormick et al., 2014). Similar findings have been presented 437 within marine systems, with diatoms, phytoplankton and cyanobacteria colonising plastic particles suspended within the water column (Oberbeckmann et al., 2016; Reisser et al., 2014; 438 439 Zettler et al., 2013). While in some instances the microbial communities on these plastic particles maintained comparable species richness and evenness to communities present on 440 441 natural substrates (Zettler et al., 2013), other studies (e.g. McCormick et al. 2014) demonstrated 442 that microbial communities inhabiting microplastic particles maintained a different taxonomic 443 structure to those present in the water column and on suspended organic matter. An increasing 444 body of research has also identified the colonisation of plastic particles by harmful microbes, 445 which could lead to further deleterious effect upon organisms interacting with these particles 446 (Keswani et al., 2016). For example, the ingestion of these particles may expose organisms to 447 a range of adverse effects derived from harmful microbes and lead to long-range transport of 448 these microbes to regions that would not normally be found (Kirstein et al., 2016; Viršek et al., 449 2017). Further to this, recent studies have indicated that the intense interactions within 450 microbial communities on microplastic particles enables the increased plasmid transfer between phylogenetically-diverse bacteria, potentially facilitating the spread of antibiotic 451 452 resistance across aquatic systems (Arias-Andres et al., 2018).

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453 While individual-level effects are widely demonstrated for macro- and in some cases micro-454 plastics, evidence for population and food web level effects remains restricted. As highlighted 455 by Koelmans et al. (2017), a range of issues currently limit our understanding of the ecological 456 risks resulting from exposure to plastic pollution. The majority of current individual-level 457 assessments suffer from three dominant limitations; (i) the absence of ecologically relevant 458 metrics, (ii) a limited understanding of organism-plastic encounter rates for given exposure 459 concentrations, and (iii) the restricted development of dose-response relationships across 460 suitable concentration ranges. As a result, the individual-level and in some cases population 461 effects identified within contemporary experimental assessments are not directly applicable to natural systems. Developing an improved mechanistic understanding of the effects of plastic 462 463 pollution, as well as following lessons learnt in previous environmental toxicology assessments 464 (e.g. non-monotonic relationships, mixture effects, indirect effects) is likely to improve our 465 understanding of the ecological risks posed by plastic pollution.

466

## 5. Understanding plastic-biota links

The mechanisms through which plastic exposure effects occur are strongly dependent upon the characteristics of plastic particles, including size, shape, colour and polymer type (Lambert et al., 2017). As an example, polyvinyl chloride is generally more toxic than polyethylene and polypropylene, due to the greater toxicity of its additives and subsequent leachates (Lithner et al., 2012). The diversity of physical and chemical characteristics exhibited by plastic particles, throughout their lifecycle and as they degrade in natural systems, means that the potential ecological effects resulting from plastic pollution are extremely variable.



Fig. 3. Conceptual relationship between the organism-to-plastic size ratio and the dominant effects derived from direct interactions between organisms and plastic pollution at these scales. These general relationships are independent of actual size, yet bounded by the maximum sizes of both plastic particles and organisms across the globe. Examples of potential effects at different size ratios are presented in red boxes. Bold text indicates the nature of organism-plastic interactions, *italic text* indicates indirect effects.

474 The relationship between organisms and plastic size appears particularly important in 475 determining the nature and severity of ecological effects (Fig. 3). Plastics significantly larger 476 than the target organism can provide a novel substrate for colonisation for the smaller 477 organisms (as described for microbial communities (Reisser et al., 2014) and invertebrates 478 (Davidson, 2012)), or become a cause for entanglement and associated effects for larger 479 organisms (Gall & Thompson, 2015). Plastics of large, yet ingestible size classes present the potential for gastrointestinal blockages (Gall & Thompson, 2015). Finally, particles that are 480 ingestible in size, yet too small to present physical risks (e.g. digestive blockages and 481 482 entanglement) propose a large range of potential effects, including the leaching of toxic chemicals directly to organisms (e.g. Teuten et al., 2009). These general rules provide a good 483 indication of the potential effects of different plastic particles, however, it should be noted that 484 485 organisms are able to interact with all sizes of plastic pollution, with wide range of possible

486 effects not detailed above. Furthermore, a range of indirect effects are also presented by 487 particles of various sizes (Fig. 3). As an example, chemicals from macro-plastics leach into the 488 surrounding environment, providing the potential to indirectly affect organisms through the 489 uptake and subsequent effects.

Thus far, the observed effects of plastic pollution are mainly limited to the size classes utilised in experimental manipulations ( $0.04-500 \mu m$ ) (Foley et al., 2018) or the size classes observed in fatalities in natural systems (0.3-10 m) (Jacobsen et al., 2010). Thus, the nature, mechanisms and severity of effects across the spectrum of plastic sizes is unknown. Further research investigating the interactions between organism size, plastic characteristics and ecological effects is important for developing a comprehensive knowledge of ecological risks posed by plastic pollution.

### 497 6. Plastic pollution in a social and economic context

Plastic presents a number of societal benefits, and has promoted a range of technological advances. However, increasing awareness of potential environmental impacts, predominantly focused on marine systems (Thompson, 2017), is also highlighting potential knock-on effects across a range of economic sectors, including the water industry, tourism and fishing. Data are geographically restricted, yet indicate the potential for widespread socio-economic effects of plastic pollution.

Fishing activity (commercial and recreational), in particular, is negatively impacted by plastic debris, reducing and damaging catches (Thompson, 2017); for example 86% of Scottish fishing vessels surveyed had incurred restricted catches as a result of marine litter (Mouat et al., 2010). Furthermore, entanglement within marinas and harbours appears a significant problem, with 70% of surveyed marinas and harbours reporting that users had experienced incidents with litter (Mouat et al., 2010). Contamination of fish stocks may also provide a significant economic cost, although concentrations of plastic within individual fish is relatively low (e.g. 511 1–2 pieces per organism: Foekema *et al.*, 2013; Lusher *et al.*, 2013). Nevertheless, the negative
512 perception of this contamination by consumers may be enough to affect the marketability of
513 commercial organisms (GESAMP, 2016).

Another economic sector significantly impacted by plastic pollution is tourism. Public perceptions of plastic pollution is likely to influence where people choose to visit. For example, visitors to coastal regions cited the presence of litter as a factor influencing the locations they visited (Brouwer et al., 2017). To mitigate the negative effects of litter local authorities implement cleaning operations, which within the UK is estimated to cost £15.5 million annually (Mouat et al., 2010). The combination of removal costs and potential reductions in tourism present a major concern the tourism industry.

Expenses are also incurred through increased research and development relating to water treatment methods, damages to equipment and blockages of infrastructure. In particular, cosmetic wipes have been shown to cause problems – blocking sewage infrastructure and generating private and public effects (Drinkwater & Moy, 2017). The net costs of plastics to the water industry are, however, difficult to calculate as removal and blockages occur alongside other problematic items (e.g. fat, grease and organic pollutants).

527 Human health is potentially impacted by plastic pollution. Beach litter has been shown to cause 528 physical harm (Werner et al., 2016), nevertheless, the vast majority of these incidents relate to 529 metal and glass as opposed to plastic. Psychological effects of plastic litter are also observed with negative effects on the 'restorative value' generated by visiting a polluted habitat (Wyles 530 531 et al., 2016). The health of individuals may also be affected by any of the suite of effects 532 highlighted in the previous section *Ecological effects of plastic*. This includes the transport of 533 potentially harmful microbes and chemicals (see Keswani et al., 2016), as well as the physical effects of plastic ingestion. More work is nevertheless required to detail the specific health 534 535 risks to human populations generated by global plastic pollution.

### 536 7. Plastic pollution as an agent of global change

537 The relative impact of plastic pollution on ecosystems in comparison to other global stressors 538 is poorly understood. Contextualising the effects of plastic pollution within a multi-stressor 539 environment is an important development and to date, the importance of plastic effects in 540 comparison to urbanisation, habitat fragmentation, other pollutants, increased temperatures, 541 hydrological changes and invasive species, for example, is unknown. Within the terrestrial 542 environment, nevertheless, recent investigations across soil ecosystems, plastics have been 543 identified as a potential agent of global change, altering the function of soils (water retention, 544 microbial activity, soil structure and bulk density) and affecting their role in the function of the 545 wider environment (de Souza Machado et al., 2018b). Furthermore, microplastics have been 546 shown to potentiate the effects of other xenobiotic pollutants, in this case the antimicrobial 547 chemical triclosan (Syberg et al., 2017). The interactions between other stressors and plastic 548 pollution therefore provides the potential to generate negative effects across natural 549 ecosystems. Future mitigation and management strategies will require a better understanding 550 of the relative importance of global pressures, and also their interactions.

### 551 **8.** Future research at the catchment-scale

Understanding the movement of plastic through hydrological catchments is an important step 552 553 in determining the source to sink dynamics of plastics within natural systems. This review 554 highlights that catchment-scale assessments are currently limited to theoretical assessments, 555 but also provides a framework to structure future investigations, with hypotheses already 556 generated by theoretical models. Supporting existing studies with comprehensive field-based 557 and experimental datasets is the logical next step in developing a comprehensive body of 558 research assessing catchment-scale transport and effects of plastic pollution. To date, empirical 559 studies have focused on individual ecosystems providing an analysis of plastic distribution and 560 plastic-organism interactions. Catchment scale assessments are an important next step for research. Detailed below, are several important developments required to facilitate the advanceof catchment-scale investigations.

Methods for tracing plastic transport processes. Contemporary empirical assessments are not able to elucidate the sources and pathways of plastic particles, as once particles enter the environment tracing sources becomes problematic. Furthermore, the longer particles are exposed to physical, chemical or biological processes, the more their transformation exacerbates difficulties identifying sources. Novel methods of tracing plastics have yet to be developed, yet using tracer studies to support existing models will allow for directed research projects attempting to bridge current knowledge gaps.

570 Hotspots and sinks of plastic pollution. Knowledge surrounding the distribution of plastic pollution across catchments is limited. Understanding where and how high plastic 571 572 concentrations arise in space and time is required for assessments detailing how plastic concentrations may vary across hydrological catchments. The importance of such 573 574 developments is further emphasised by a recent study which identified the highest global concentration of microplastics recorded within riverine sediments (517,000 MP m<sup>-2</sup>) (Hurley 575 576 et al., 2018). Assessments of heterogeneity are required at a range of spatial scales, from local 577 patch-dynamics at centimetre to metre scales, to comparisons between entire habitats and ecosystems. Understanding spatial variation and potential sinks of plastic will allow for an 578 579 improved understanding of transport processes leading to the deposition of plastics across the 580 landscape, and importantly provide more accurate risk maps for biota.

**Quantification of source contributions.** Although estimates exist for the net contribution of plastic from specific ecosystems, e.g. freshwater (Lebreton et al., 2017) and terrestrial (Horton et al., 2017a) systems, the importance of specific sources in contributing to these plastic burdens across these environments is poorly understood. Further study of plastic sources, in particular diffuse contributions, is required to better resolve the source-flux-sink nexus within 586 catchments, detailed in previous sections. Developing more accurate methods of quantification, 587 designed to detect low concentrations of plastic and nano-plastics will enable the detection of 588 a wider range of plastics (e.g. tyre dust), allow for an improved understanding of plastic 589 pollution across catchments and bridge the current gap between estimated inputs of plastic into 590 catchments and measured environmental concentrations. Through investigating the 591 characteristics and concentration of plastics released from each potential source, a mixing-592 model type assessment can be used to understand the entrance and flux of plastics within 593 catchments (Fahrenfeld et al., 2018). Further to this, determining the specific contributions 594 from sources will enable targeted mitigation, ultimately aimed at preventing the entrance of 595 plastics into the natural environment.

596 Determining the applicability of catchment assessments. Catchment-scale assessments are 597 dependent upon catchment characteristics, including but not limited to: size, relief, land cover, 598 water quality, hydrological connectivity and geomorphological features. The degree to which 599 plastic studies within individual catchments are applicable across the wider landscape is 600 unknown. To answer this question, multiple catchment assessments are required to determine 601 the relative importance of catchment-specific processes (e.g. hydrological flow paths, subsurface characteristics and catchment geology) in comparison to more generalisable 602 603 characteristics (e.g. land cover, population density, human activities). An understanding of the 604 importance of processes at a range of spatial and temporal scales, is also required in order to 605 appreciate the extent to which relationships are applicable across catchments.

### 606 9. Conclusions

Our understanding of the effects of macro-plastics within ecosystems indicates the potential
negative effects of these pollutants. Knowledge regarding the nature and severity of effects
derived from smaller plastic particles, at environmentally relevant concentrations, however,
remains restricted. The array of mechanistic effects identified by studies nevertheless indicate

611 the potential for adverse effects within natural systems. The significant potential for effects coupled with recent research indicating the relative global ubiquity of plastics provides a 612 613 perceivable risk to a range of ecosystems. In spite of this, we are only starting to understand 614 the fluxes and pools of plastics within a range of ecosystems. This knowledge is nonetheless 615 fundamental for mitigating existing and future plastic pollution. It is apparent that further 616 research is required to better understand the interactions between plastic pollution and organisms in many ecosystems. Furthermore, a comprehensive understanding of potential 617 618 ecological risks presented by plastics remains absent with a range of potential adverse effects 619 remaining unexplored. The existing ecological risk presented by plastic pollution is estimated 620 to continue into the future as a result of predicted increases in production of plastics, the 621 significant persistence of plastic particles and the degradation of existing plastic pollution 622 generating increases in micro- and nano-plastic concentrations across the globe.

### 623 Conflicts of interest

624 The authors declare no conflicts of interest.

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