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# Physical and Numerical Modeling of Infragravity Wave Generation and Transformation on Coral Reef Platforms

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Key Points

- Infragravity (IG) wave motion on reef platforms (generation mechanism and energy level) is strongly affected by fore reef slope
- On most natural coral reef systems with steep fore reefs (> 1:20) the breakpoint-forced long wave mechanism of IG generation is dominant
- IG wave height across reef platforms depends on incident wave height, fore reef slope, water depth across the reef and wave period

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# Physical and numerical modelling of infragravity wave generation and transformation on coral reef platforms

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**Abstract** Wave transformation across reef platforms strongly controls sediment transport processes and coral reef island morphodynamics with infragravity (IG) waves playing an important contributing role. A small-scale (1:50) laboratory experiment and proto-type numerical modelling are used to explore the characteristics of IG wave motion on coral reefs. The slope of the fore reef is the key factor controlling the mechanism of infragravity wave generation. Steep slopes (> 1/10) are dominated by landward and seaward propagating breakpoint-forced long waves (BFLWs), whereas incoming and then released bound long waves (BLWs) become increasingly important for slopes < 1/20. The BFLW mechanism is the more effective generator of IG energy and the most energetic IG motion (normalised by incident wave motion) is generated on reef platforms with a fore reef slope > 1/6. The water level relative to the reef platform  $h_{reef}$  is also a key factor and the largest IG waves are generated for a ratio between  $h_{reef}$  and offshore significant wave height  $H_{s,o}$  of -0.25 to 0.75, i.e., when most waves break across the reef slope and a fully saturated surf zone extends across the reef platform. An island on the reef platform substantially increases the contribution of IG waves to the total wave spectrum, but increased reef surface roughness reduces IG importance. Under the most optimal conditions, the IG wave height averaged across the platform is 20–30% of the incident offshore wave height. The geomorphic influence of IG waves is considered most significant for reef platforms with energetic waves breaking on the steepest fore reefs.

## 1. Introduction

Fringing reefs and coral atolls are ubiquitous in the tropical seas and are generally characterized by a steep fore reef, a narrow reef crest region and a sub-horizontal reef platform. The elevation of the reef platform is usually around mean low tide level; therefore, the platform may be emerged at low tide and submerged during high tide (Young, 1990; Beetham et al., 2015). Beaches composed of reef-derived carbonate sediments may be present on these reef platforms and, in the case of coral atolls, these beaches may form islands, referred to as ‘cay’ and ‘motu’ for sand and gravel islands, respectively (McLean, 2011; Kench, 2013). Whether vegetated or unvegetated, reef islands typically have low elevations, rarely rising more than 4 m above reef platform surface (Smithers, 2011; Kench, 2013), but, despite their limited size and low elevation, reef islands provide the only land for habitation in mid-ocean atoll settings. The accumulation of islands on reef surfaces is controlled by wave and current deposition of carbonate sediments and their shorelines undergo dynamic adjustments to the normal range of changes in incident wave processes (Kench and Brander, 2006; Kench et al., 2017). However, the islands are considered vulnerable to extreme storms and water levels on the short time scale (Hoeke et al., 2013; Quataert et al., 2015), and sea-level rise over longer time scales (Sheppard et al., 2005; Storlazzi et al., 2015; 2018; Beetham et al., 2017). Empirical and numerical models are in development to simulate storm processes and sea-level rise on coral reef platforms to assess wave-driven inundation and hazards on reef islands (Grady et al., 2013; Storlazzi et al., 2011, 2015, 2018; Pearson et al., 2017). Ocean waves acting on the reef platform are the key factor for reef island geomorphology and dynamics (Kench and Brander, 2006), and these predictive models must, therefore, be based on a thorough quantitative understanding of the wave transformation processes across the reef platforms.

22  
23 Sediment transport processes across coral reef platforms are governed by hydrodynamic processes operating over a  
24 range of time scales. Most of the sediment transport is generated by flows directly or indirectly generated by ocean  
25 waves, i.e., wave orbital velocities and nearshore currents, respectively (Kench, 1998; Ogston et al., 2004; Vila-Concejo  
26 et al., 2013). Tidal currents can also play a significant role, especially when channels are present (Kench and McLean,  
27 2004), but the main role of the tide is to modulate the ocean-wave processes (Young, 1990; Brander et al., 2004). At  
28 low tide almost all wave breaking will take place across the fore reef, limiting wave energy on the reef platform,  
29 whereas at high tide waves typically break at the reef crest and continue to transform across the reef platform. Wave  
30 energy dissipation across the fore reef and the reef platform due to wave breaking is very effective due to the shallow  
31 water depths and by the time incident waves reach the shoreline they are very much reduced in height (Péquignet et  
32 al., 2011; Lowe et al., 2005). However, breaking-induced energy dissipation across the reef platform generates  
33 radiation stress gradients which, in turn, are responsible for elevated water levels in the form of wave set-up (Gourlay,  
34 1996a, b; Jago et al., 2007; Vetter et al., 2010; Becker et al., 2014). Wave set-up can be very significant and Péquignet  
35 et al. (2009) recorded a super-elevated water level across a reef platform in excess of 1 m during storm wave conditions  
36 ( $H_s = 4$  m). Such elevated water levels are important in their own right, but the increased water depth due to wave  
37 set-up also enables relatively large waves to propagate across the reef platform and, in combination with runup  
38 (Pearson et al., 2017), potentially increases the risk of inundation.

39  
40 In between the tidal water fluctuations operating on a time scale of hours and incident waves at time scales of seconds,  
41 infragravity waves or long waves, which represent water fluctuations on a time scale of 30 s to 5 min, are also of  
42 importance. Following early work by Hardy and Young (1996) and Lugo-Fernandez et al. (1998), the role of infragravity  
43 waves, henceforth IG waves, to reef platform water-level dynamics has recently been explored in a number of field  
44 investigations (Ford et al., 2013; Péquignet et al., 2014; Beetham et al., 2015), laboratory experiments and numerical  
45 modelling (Nwogu and Demirbilek, 2010; Torres-Freyermuth et al., 2012; Yao et al., 2012; van Dongeren et al., 2013;  
46 Ma et al., 2014; Pomeroy et al., 2015; Shimozono et al., 2015). In particular, the field studies of Péquignet et al. (2009)  
47 and Pomeroy et al. (2012) have shown that incident waves and mean nearshore currents accounted for only a small  
48 part of the total observed surface elevation and flow variance in the region between the reef crest and the shoreline  
49 of two fringing reefs. Instead, the bulk of the water level variability was found to be contained within the IG frequency  
50 band. Cheriton et al. (2016) also studied wave dynamics on a fringing reef and found that during a storm event with a  
51 maximum offshore significant wave height of 6 m and peak wave periods of 16 s, the infragravity wave energy at the  
52 shoreline represented a significant wave height in excess of 1 m. Depending on the wave length of the IG waves, the  
53 reef platform width and the water depth across platform, resonant wave conditions may prevail that can significantly  
54 enhance the energy level of the IG-band water motion (Gawehn et al., 2016), and therefore the potential for coastal  
55 inundation and damage (Roeber and Bricker, 2015).

56  
57 Generally, two mechanisms for the generation of IG waves are considered, both related to wave groups. (1) Bound  
58 long waves (BLW) are in near anti-phase ( $180^\circ$  out of phase) with the wave groups (Longuett-Higgins and Stewart,  
59 1962) and as they propagate towards the shoreline in shallow water, energy is transferred from the short waves to  
60 the long waves (Janssen et al., 2003). It is commonly assumed that the BLW is released by short-wave breaking and  
61 continue to propagate to the shore as a free wave. However, Baldock (2012) argues that the BLW reduces in amplitude  
62 due to short-wave breaking and will only be released from the wave groups once the waves are in shallow water. (2)  
63 Breakpoint-forced long waves (BFLW) are related to the time-varying wave set-up modulated by wave groups  
64 (Symonds et al., 1982), causing the wave breakpoint to oscillate at the wave group frequency and act as an IG wave  
65 generator. According to the BFLW mechanism two IG waves are generated, both originating at the wave breakpoint:  
66 a set-up wave propagating to the shore (in phase with wave groups) and a set-down wave travelling out to sea (in anti-  
67 phase with wave groups). Once generated and/or released, these waves can dissipate energy through bed friction  
68 (Henderson and Bowen, 2002; de Bakker et al., 2014), transfer energy to other frequencies (Henderson et al., 2006;  
69 Inch et al., 2017), break (van Dongeren et al., 2007) and/or reflect to set up (partially) standing wave patterns (Guzá  
70 and Thornton, 1985). Field evidence of both IG wave mechanisms has been documented (BLW: List, 1991; Masselink,  
71 1995; Inch et al., 2017; BFLW: Pomeroy et al., 2012; Contardo and Symonds, 2013)

72  
73 Despite the widely acknowledged importance of IG waves for reef hydrodynamics, there has been limited work to  
74 investigate the origin of the IG motion in reef environments. Baldock (2012) proposed a useful framework to enable  
75 an evaluation of the relative importance of the two mechanism through a surf beat similarity parameter  $\xi_{surfbeat}$ , which  
76 combines the normalised bed slope with the wave steepness:

$$\xi_{surfbeat} = \beta_{norm} \sqrt{\frac{H_b}{L_o}} \quad (\text{Eq. 1})$$

where  $L_o$  is the short-wave deep-water wave length,  $H_b$  is the wave height at the breakpoint and  $\beta_{norm}$  is the normalised bed slope as proposed by *Battjes et al.* (2004):

$$\beta_{norm} = \frac{h_x}{\omega_{low}} \sqrt{\frac{g}{h_b}} \quad (\text{Eq. 2})$$

where  $h_x$  and  $h_b$  are the beach slope and the depth at breaking, respectively,  $\omega_{low}$  is the radian long-wave frequency, and  $g$  is the gravitational acceleration. Small and large values of  $\xi_{surfbeat}$  favour the BLW and BFLW mechanism, respectively, with a  $\xi_{surfbeat}$  value of 0.05–0.1 separating the two IG wave regimes (*Baldock*, 2012, his Table 1; *Contardo and Symonds*, 2013, their Table 2). Only a few studies have applied this concept to reef environments and this work has suggested that on coral reef environments with their steep fore reefs, the BFLW mechanism is favoured (*Péquignat et al.*, 2009; *Pomeroy et al.*, 2012).

This paper investigates the characteristics of IG wave motion on coral reef platforms using a small-scale (1:50) laboratory experiment complimented by proto-type numerical modelling using the wave-resolving (non-hydrostatic) version of the XBeach model. The physical model results are investigated in their own right, but are also used to help validate the numerical model. XBeach is then used to explore further the relevant parameter space to gain further insights into the generation mechanism and the characteristics of IG wave motion across coral reef platforms. The specific objectives of this research are to: (1) assess the relative importance of the BLW and BFLW mechanism of IG generation of coral reef platforms for different reef morphologies, especially the effect of the foreshore slope; (2) investigate the role of coral reef islands in affecting the IG wave motion across the reef platform; and (3) identify the conditions most conducive for generating energetic IG motion across coral reef platforms.

## 2. Methodology

The approach followed in this paper is to reproduce in a small-scale laboratory setting, as well as in a numerical model, conditions of a ‘real’ coral reef platform. The location used here as the proto-type is the uninhabited gravel island (motu) of Fatato, located along the outer rim of the Funafuti atoll, Tuvalu (Figure 1a). Fatato extends c. 900 m alongshore, with a maximum across-shore width of 90 m (Figure 1b). The double-ridged island is characterised by a steep and narrow ocean beachface (c. 12°) with an elevated berm (3.5 m above mean sea level), a vegetated central basin (1.5–2.0 m above MSL) and lower elevation lagoon side berm (Figure 1c). Funafuti is one of the higher elevation atolls in the Pacific and this is attributed to a historic sea-level high stand.

The ocean beach is comprised primarily of pebble-to-cobble size material, while the lagoon shoreline is composed of coarse sand (*Kench et al.*, 2017). Boulder size deposits on the reef flat, beach face and central depression show evidence of historic high energy and wave overtopping events, indicating that storms and/or swell exposure have played an important role in the formation and maintenance of the motu’s geomorphology. The ocean reef flat at Fatato is c. 90 m wide and exhibits a range of morphological features. The inner section is characterised by a semi-continuous zone of cemented rubble. Central and outer sections of the reef flat are comprised of smooth reef pavement covered in crustose coralline algae with encrusting corals present on the seaward reef crest. The ocean-facing fore reef at Fatato has a slope of 27° (1:2) and is characterised by a distinct spur and groove system. The leeward (lagoon) reef flat is c. 130 m wide and is relatively smooth and devoid of large morphological features such as boulder deposits.

Funafuti Atoll has a semi-diurnal, predominantly micro-tidal regime (spring tide range = 2.0 m; neap tide range = 0.5 m) and at spring high tide the water level is 1.1 m above MSL. Located on the southeast side of Funafuti, Fatato is exposed to the prevailing easterly trade wind system. The island shoreline is oriented at 143° and is directly exposed to waves approaching between 60° and 214°, with the eastern and southern tips of Funafuti Atoll shadowing direct wave approach from the northeast and southwest (Figure 1a). Mean incident wave conditions on the eastern rim of Funafuti are characterised by offshore significant wave height  $H_{s,o}$  of 1.3 m, significant wave period  $T_s$  of 11 s, with a peak direction  $D_p$  of 114° (*Bosserelle et al.*, 2016). Larger waves persist through the winter months (June to October) with mean  $H_{s,o} = 1.5$  m, max-monthly  $H_{s,o} = 2.3$  m and mean  $D_p = 123^\circ$ . Summer months (November to April) are associated with smaller wave heights (mean  $H_{s,o} = 1.2$  m) that approach the atoll from the east. The largest waves that impact Funafuti are generated by regional tropical cyclone activity (between December and April) that produces

128 significant wave heights of 3–4 m with periods of 10–14 s, and such conditions occur every 3–5 years (Bosselle *et al.*,  
129 2016).

130  
131 *Figure 1 here*

## 132 133 2.1. Small-Scale Laboratory Modelling

134 Experimental tests to study reef platform hydrodynamics were undertaken in the Tilting Flume (length = 20 m; width  
135 = 0.6 m; depth = 1 m) at the COAST (Coastal, Ocean and Sediment Transport) lab at the University of Plymouth, UK  
136 (<https://www.plymouth.ac.uk/research/institutes/marine-institute/coast-laboratory>). The laboratory reef platform  
137 was constructed to a geometric 1:50 scale and Froude scaling was used to maintain hydrodynamic similitude for a  
138 balance between the inertia and gravitational terms (please note that this does not work if bottom drag is  
139 dynamically important). The reef platform (8 m long and 0.6 m wide), was constructed out of marine plywood and  
140 consisted of a horizontal reef platform resting 0.47 m above the flume floor with a 1:2.3 fore reef and back reef  
141 slope measuring 1.13 m long (Figure 2). Quartz sand of a median sediment size  $D_{50}$  of 0.35 mm was glued to the  
142 surface of the reef platform and slopes to represent surface roughness ( $k_s = 3D_{50} \approx 1$  mm). The reef platform was  
143 positioned with the fore reef slope located 9 m from the face of the (absorbing) piston-type wave paddle. For the  
144 tests with an island present, the latter was constructed out of medium-size quartz sand ( $D_{50} = 0.35$  mm),  
145 representing medium-size gravel at the prototype ( $D_{50} = 18$  mm). The island was shaped using a wooden template  
146 with the same cross-shore profile as that surveyed across Fatato (Figure 1c). The reef island had two ridges, a width  
147 of almost 3 m and a maximum height of 8 cm, representing 150 m and 4 m at the prototype.

148  
149 *Figure 2 here*

150  
151 Wave transformation across the reef platform without a reef island was measured during Test Series A – C, whereas  
152 Test Series E was conducted with the island placed on top of the reef platform (Table 1). Test Series A – C consisted of  
153 18 x 12-min tests with the reef platform exposed to significant wave heights  $H_{s,o}$  of 0.04, 0.06 and 0.08 m, representing  
154  $H_{s,o}$  of 2, 3 and 4 m at the prototype, and peak wave periods  $T_p$  of 1.4 and 2.3 s, representing  $T_p$  of 9.9 and 16.3 s at the  
155 prototype. The still water level relative to the reef platform  $h_{reef}$  was 0, 0.02 and 0.04 m, representing 0, 1 and 2 m at  
156 the prototype, and considered low tide, mid-tide and high tide. All wave conditions were irregular and generated using  
157 a JONSWAP wave steering signal specified by  $H_s$  and  $T_p$  using a peak enhancement factor  $\gamma$  of 3.3 (i.e., narrow-banded  
158 spectrum). The water levels across the reef platform were recorded at a frequency of 32 Hz using an across-reef array  
159 of 15 capacitance wire wave probes. The capacitance wires were calibrated at the start of each day of testing, and  
160 they were zeroed at the start of each wave test.

161  
162 *Table 1 here*

## 163 164 2.2 Proto-type XBeach modelling

165 Numerical modelling of the reef platform hydrodynamics was conducted at the proto-type using the phase-resolving  
166 (i.e., non-hydrostatic) variant of the widely used and open-source XBeach model (Roelvink *et al.*, 2009;  
167 <https://oss.deltares.nl/web/xbeach/>). XBeach models were set-up in 1D (depth-averaged, cross-shore transect) mode  
168 using the GUI provided in XBeach-G, the gravel variant (sediment size > 2 mm) of XBeach (Masselink *et al.*, 2014). The  
169 cross-sectional shape of the coral reef platform and reef island was exactly the same as that in the small-scale  
170 laboratory experiment, but at the proto-type scale (Figure 2). Likewise, the modelled wave and water-level conditions  
171 were identical to the laboratory conditions, but also at a proto-type scale. The numerical model was run using default  
172 parameters of the non-hydrostatic XBeach model and model duration was 83 min.

173  
174 In addition to replicating the conditions in the laboratory, an additional series of XBeach models was set-up to simulate  
175 a typical storm condition ( $H_{s,o} = 2.5$  m;  $T_p = 12.5$  s), but with variable water levels (-6, -4, -2, -1, 0, +1, +2, +4, +6 m) and  
176 fore reef gradients (1:1, 1:2, 1:3, 1:4, 1:5, 1:6, 1:7, 1:8, 1:10, 1:15, 1:20). The purpose of these model runs was to  
177 extend the parameter space represented by the small-scale laboratory experiment and provide further insights into  
178 the relative importance of the different IG wave generation mechanisms. Finally, the numerical model was also used  
179 to investigate the IG wave height across the seaward part of the reef platform as a function of wave conditions, water  
180 level and fore reef slope. A very large number (c. 4000) of model runs were run with the boundary conditions selected  
181 randomly from a realistic range of values. The runs were all set up with a 200-m wide reef platform rising above a  
182 water depth of 50 m to ensure that incident waves at the boundary are in relatively intermediate water depths, with  
183 no island on the platform, and a relatively gently-sloping (1:10) back-slope leading into an open lagoon to avoid strong

184 reflections. For each model run, the slope of the fore reef was selected randomly between 1/50 and 1/1; the water  
 185 level  $h_{reef}$  was selected randomly between -3m to +3m relative to the level of the reef platform; the offshore wave  
 186 height  $H_s$  was selected randomly between 1 and 6 m, a corresponding peak period  $T_p$  was selected based on wave  
 187 steepness (randomly varying between 0.003 and 0.05), and a uniform bed friction value of  $c_f = 0.002$  was used  
 188

## 189 2.3 Analysis

190 For the data analysis, the length of time series analysed was 10 min for the physical model data and 60 min for the  
 191 numerical modelling data, whereby the first 2 and 23 min, respectively, were considered 'spin-up time' as by the end  
 192 of this period a quasi-steady wave set-up profile had established. To quantify the incident (INC) and infragravity (IG)  
 193 wave heights, each water surface elevation time series  $\eta_t$  was separated into  $\eta_{t,INC}$  and  $\eta_{t,IG}$  using a simple Fourier filter  
 194 with a frequency cut-off corresponding to a period of  $2T_p$ . The significant wave height  $H_s$  was computed as  $H_s = 4\sigma$ ,  
 195 where  $\sigma$  is the standard deviation associated with the relevant water surface elevation time series. Wave set-up and  
 196 set-down across the reef morphology was computed as the mean of  $\eta_t$ .  
 197

198 The generation mechanism of infragravity waves across the reef platform was investigated using cross-correlation  
 199 analysis between wave groups and IG wave motion following *List (1986)*, *Masselink (1995)* and *Pomeroy et al. (2012)*.  
 200 Here, the wave group time series  $A_t$  is estimated using *List (1991)*, which involves high-pass filtering the data, taking  
 201 the modulus of the time series and low-pass filtering the data (the final step of multiplying the time series by  $\pi/2$   
 202 was not carried out). The high-pass filter used was  $1/(2T_p)$  Hz, which corresponds to the spectral valley evident in all spectra  
 203 from outside the breaker zone, but the low-pass filter used was  $1/(4T_p)$  Hz as the vast majority of the IG energy in the  
 204 wave spectra was at frequencies lower than this value and only very limited amounts of energy were present at  $1/(4T_p)$   
 205  $- 1/(2T_p)$  Hz. For the cross-correlation analysis, the time series of the IG wave motion  $\eta_{t,IG}$  was obtained by low-pass  
 206 filtering  $\eta_t$  using the same low-pass filter of  $1/(4T_p)$  Hz.  
 207

208 Cross-spectral analysis was performed to investigate the correlation in the frequency domain. The spectra were  
 209 computed from time series of 19200 points (collected at 32 Hz) for the laboratory data and 4096 points for the  
 210 numerical model data (collected at 1 Hz) using the segment-averaging or Welch method. The time series were  
 211 subdivided into 8 non-overlapping, Hanning-tapered segments, resulting in 16 degrees of freedom. The confidence  
 212 limits of the spectra are related to the degrees of freedom through the Chi-squared distribution (e.g., *Beauchamp and*  
 213 *Yuen, 1979*):

$$214 \quad S(j) \frac{\nu}{\chi_{\nu, \alpha/2}^2} \leq S(j) \leq S(j) \frac{\nu}{\chi_{\nu, 1-\alpha/2}^2} \quad (\text{Eq. 4})$$

215 where  $S(j)$  are the spectral estimates and  $j$  refers to the frequency index,  $\nu$  are the degrees of freedom and  $\alpha$  is the  
 216 confidence level. For a significance level of 95% ( $\alpha = 0.05$ ) and  $\nu = 16$ , the associated confidence band is  $[0.55S(j)-$   
 217  $2.32S(j)]$ . The significance level of the (squared) coherence,  $K_{sig}^2$  was determined following *Thompson (1979)* as:

$$218 \quad K_{sig}^2 = 1 - \alpha^{1/(\nu/2)-1} \quad (\text{Eq. 5})$$

219 which for  $\alpha = 0.01$  and  $\nu = 16$  results in  $K_{sig}^2 = 0.48$ . The confidence limits of the phase are dependent on the coherence,  
 220 the degrees of freedom and the required confidence level, and were computed using the technique outlined in *Jenkins*  
 221 *and Watts (1968; their Fig. 9.3)*. As an indication, for 16 degrees of freedom and a coherence of 0.5, the 95% confidence  
 222 interval for the phase is  $\pm 22^\circ$ .  
 223

224 The IG signal is likely to contain incoming (shoreward-propagating) and outgoing (seaward-propagating) components.  
 225 The method by *Guza et al. (1984)* is used here to decompose the XBeach modelled infragravity signal into incoming  
 226 and outgoing components using time series of water surface elevation and cross-shore current velocity and assuming  
 227 shallow water waves:

$$228 \quad \eta_{t,IG,in} = \frac{\eta_{t,IG} + u_{t,IG} \sqrt{h/g}}{2} \quad (\text{Eq. 6})$$

$$229 \quad \eta_{t,IG,out} = \frac{\eta_{t,IG} - u_{t,IG} \sqrt{h/g}}{2} \quad (\text{Eq. 7})$$

230 where  $\eta_{t,IG,in}$  and  $\eta_{t,IG,out}$  are the incoming and outgoing IG signals, respectively,  $\eta_{t,IG}$  and  $u_{t,IG}$  are the low-pass  
 231 filtered water surface elevation and cross-shore current time series, respectively,  $h$  is the mean water depth and  $g$  is  
 232 gravitational acceleration.  
 233

## 234 3. Results

### 235 3.1 Measurements of wave transformation across reef platform

236 Wave transformation across the reef platform is compared for the same wave and water-level conditions ( $H_{s,o} = 0.08$   
237 m,  $T_p = 1.4$  s and  $h_{reef} = 0.04$  m), but with and without a reef island present (runs #E4 and #C4, respectively; Figure 3).  
238 In the time series of the water surface elevation (Figure 3 – left panels), individual waves and wave groups can be  
239 traced across the reef platform. The offshore wave groups seaward of the reef platform become ‘bulges’ of water on  
240 the reef platform. A dramatic change in wave characteristics at the reef edge is apparent; the incident wave height  
241  $H_{s,INC}$  decreases and the infragravity wave height  $H_{s,IG}$  increases as soon as the incident waves start to break (Figure 3  
242 – right panels). As a result, the water motion on the reef platform becomes dominated by IG motion, with over 50%  
243 of the variance at IG frequencies. Such spatial pattern in hydrodynamics is very similar to previous laboratory  
244 experiments (e.g., *Ma et al.*, 2014, their Figure 2), as well as field experiments (e.g., *Beetham et al.*, 2016, their Figures  
245 2 and 3).  $H_{s,INC}$  across the reef is virtually identical for the runs with and without a reef island, but  $H_{s,IG}$  is more than  
246 twice as large in front of a reef island (0.03–0.05 m compared to 0.015–0.02 m). Across the fore reef, where most of  
247 the waves are breaking, a small wave set-down is apparent, whereas wave set-up prevails across the reef platform  
248 (Figure 3 – lower-right panel). Wave set-up across the reef platform in the presence of an island is significantly larger  
249 (c. 0.01 m) than without an island (c. 0.005 m), most likely due to the partitioning of momentum into setup and mean  
250 flow across the platform (*Symonds et al.*, 1995). Without an island, the wave set-up is limited to the seaward part of  
251 the reef platform and decreases across the landward part of the reef platform, whereas wave set-up remains high in  
252 front of the island. This is similar to the laboratory results of *Ma et al.* (2014, their Figure 2, atoll reef) and *Yao et al.*  
253 (2012, their Figures 4–7, fringing reef), respectively, and the field results of *Jago et al.* (2007, their Figure 8).

254  
255 *Figure 3 here*

256  
257 The summary statistics of the key hydrodynamic parameters for all 18 model runs without a reef island present (test  
258 Series A–C), and averaged across the middle of the reef platform ( $x = 3.6 - 6.8$  m; wave gauges 7 – 12), reveal a strong  
259 dependency of these parameters on the offshore wave height  $H_{s,o}$  and the still water depth across the reef platform  
260  $h_{reef}$  (Figure 4). Wave set-up increases with offshore wave height and decreasing water depth, and reaches a maximum  
261 value of 0.017 m (21% of the offshore wave height).  $H_{s,INC}$  increases with both offshore wave height and water depth,  
262 and attains a maximum of 0.029 m.  $H_{s,IG}$  increases with offshore wave height and decreasing water depth, and the  
263 maximum value is 0.020 m (25% of the offshore wave height). The percentage infragravity energy increases both with  
264 decreasing offshore wave height and water depth, and reaches a maximum value of 82%. As commonly found in field  
265 settings, the water motion on the reef platform is very strongly modulated by the tide, with incident wave dissipation  
266 and relative infragravity wave energy maximised at low tide levels (e.g., *Young*, 1990; *Brander et al.*, 2004; *Beetham*  
267 *et al.*, 2015).

268  
269 *Figure 4 here*

270  
271 Comparison between co-located time series of wave groups  $A_t$  and that of the infragravity wave motion  $\eta_{t,IG}$  reveals  
272 that these two signals are strongly correlated (Figure 5, upper panels), with correlation coefficients in excess of 0.7  
273 (Figure 5, lower panels). Seaward of the reef platform (at  $x = 10$  m), there is a strong wave group signal and a weak IG  
274 wave signal, and  $\eta_{t,IG}$  is in anti-phase with  $A_t$  (Figure 5, lower-left panel). Cross-correlation analysis further reveals that  
275 the IG signal lags 3–15 s behind the wave groups. Across the reef platform ( $x = 6.8$  m), there is a weak wave group  
276 signal and a strong IG wave signal, and  $\eta_{t,IG}$  is in-phase with  $A_t$ , with the maximum correlation at zero lag (Figure 5,  
277 lower-middle panel). The IG wave motion across the reef platform has a stronger correlation with the wave groups  
278 seaward of the reef platform, than with the wave groups on the reef platform (Figure 5, lower-right panel), suggesting  
279 breakpoint forcing of the IG wave motion. The lag associated with the maximum correlation is just over 2 s, and this  
280 corresponds roughly to the travel time of the waves from  $x = 10$  m to  $x = 6.8$  m computed using linear wave theory  
281 (just under 3 s). The key characteristic of the cross-correlation function between  $A_t$  and  $\eta_{t,IG}$  is the abrupt switch from  
282 an anti-phase correlation seaward of the reef platform to an in-phase correlation across the reef platform, similar to  
283 that found in the laboratory by *Janssen et al.* (2003, their figure 3), and in the field by *Pomeroy et al.* (2012, their Figure  
284 5) and *Gawehn et al.* (2016, their Figure 5).

285  
286 *Figure 5 here*

287  
288 Wave spectra of the water surface elevation and cross-correlation functions between  $A_t$  and  $\eta_{t,IG}$  were computed for  
289 three different runs (#A4, #C5 and #E4) using all wave gauge data to map the spatial evolution of the IG signal across  
290 the reef platform (Figure 6). Wave forcing for all runs was with  $H_{s,o} = 0.08$  m. Run #A4 was selected as it had the

291 strongest cross-correlations due to the low water level ( $h_{reef} = 0$  m) and the long wave period ( $T_p = 2.3$  s), whereas runs  
292 #C5 and #E4 were selected as they represent identical hydrodynamic conditions ( $h_{reef} = 0.02$  m;  $T_p = 1.4$  s), but enable  
293 comparison between absence and presence of a reef island, respectively. All runs show a rapid transition from  
294 incident-wave dominated water motion across the fore reef to infragravity-dominated water motion across the reef  
295 platform (Figure 6, upper panels). The vast majority of the IG energy is at frequencies  $< 0.1$  Hz and there is often a  
296 broad peak around 0.05 Hz.

297  
298 *Figure 6 here*

299  
300 The cross-correlations between the co-located  $A_t$  and  $\eta_{t,IG}$  (Figure 6, middle panels) appear to show the presence of a  
301 bound long wave, as there is a negative correlation between  $A_t$  and  $\eta_{t,IG}$  across the fore reef, although the maximum  
302 negative correlation does not occur at zero lag. In contrast, the correlations across the reef platform show an in-phase  
303 relationship between  $A_t$  and  $\eta_{t,IG}$ , i.e., the largest wave groups coincide with the crests of the IG waves. The cross-  
304 correlations involving the 'offshore'  $A_t$  and  $\eta_{t,IG}$  across the model domain shows shoreward-propagating IG motion  
305 across the reef platform for runs without island (#A4 and #C5), as illustrated by the increasing lag across the reef  
306 platform of the maximum positive correlation (Figure 6, lower panels). For the run with a reef island present (#E4), the  
307 incoming IG wave is observed, but there is also an outgoing IG wave resulting from reflection at the island shoreline  
308 (Figure 6, lower-right panel). For the high tide and short-period wave run without an island present (#C5), there is also  
309 a weak signal associated with an outgoing IG wave reflected and 180° phase-shifted off the back reef slope (Figure 6,  
310 lower-mid panel).

311  
312 The progressive nature of the IG wave motion across the reef platform in the absence of an island and the  
313 superposition of an incoming and outgoing IG wave when an island is present is confirmed by cross-spectral analysis  
314 between time series of  $\eta_t$  from different locations ( $x = 7.4$  and 5.6 m) on the platform (Figure 7). The normalised  
315 spectra show a dramatic transformation of wave energy from the incident to the infragravity frequencies across the  
316 2-m stretch of reef platform, regardless of whether there is an island present (#C5) or not (#E4) (Figure 7, upper  
317 panels). In the absence of an island, the  $\eta_t$  time series at the two locations on the reef platform are coherent at the  
318 95% confidence level across practically the whole IG domain (frequencies  $< 0.35$  Hz), but in the presence of an island,  
319 the coherence  $K_{sig}^2$  fluctuates widely across the frequency domain, frequently dipping below the 95% confidence level  
320 (Figure 7, middle panels). The latter is diagnostic of a standing wave structure, with  $K_{sig}^2$  minima representing nodes  
321 near the wave gauge location(s). The progressive versus standing nature of the IG wave motion across the reef  
322 platform is even better illustrated by the phase spectra (Figure 7, lower panels). The phase spectrum for run #C5  
323 (without island) shows a linear phase change with frequency, indicative of progressive wave motion, whereas the  
324 phase spectrum for run #E4 (with island), and taking into account 95% confidence levels for the phase angles of better  
325 than 20° given the high  $K_{sig}^2$  values, shows the water motion in-phase (0°) for frequencies  $< 0.07$  Hz, in anti-phase  
326 ( $\pm 180^\circ$ ) for up to frequencies of 0.35 Hz.

327  
328 *Figure 7 here*

### 329 **3.2 Validation of XBeach numerical model**

331 The physical model results of Test Series B (mid-tide) were used to validate the XBeach model through comparison of  
332 the across-reef variability in wave conditions and water level (Figure 8). The numerical model was run at the prototype  
333 scale and the small-scale laboratory results were scaled up by a geometric scaling factor of 50. The prototype wave  
334 conditions for the runs used in the validation are  $H_{s,o} = 2, 3$  and 4 m, and  $T_p = 16.3$  s (equivalent to runs #B2, #B4 and  
335 #B6). There is overall very good agreement between the physical and numerical model results of the incident wave  
336 height  $H_{s,INC}$  and wave set-up profile; even the reflection of the incident waves off the reef edge leading to a reduction  
337 in  $H_{s,INC}$  between  $x = 500$  and 600 m (due to the nodal structure) is reproduced (Figure 8, upper panels). The infragravity  
338 wave height  $H_{s,IG}$  is well-predicted for the least energetic wave condition (#B2;  $H_s = 2$  m), but over-predicts  $H_{s,IG}$  for the  
339 fore reef and the first part of the reef platform, and under-predicts  $H_{s,IG}$  for the second part of the reef platform for  
340 the more energetic runs (#B4 and #B6;  $H_s = 3$  and 4 m) (Figure 8, middle panels). For the most energetic wave condition,  
341 the difference between measured and predicted  $H_{s,IG}$  is always less than 25%. The modelled wave set-up profile also  
342 fits the measurements quite well for the less energetic wave conditions (#B2 and #B4), but significantly under-predicts  
343 the set-down across the fore reef (by c. 50%) and over-predicts the wave set-up across the seaward part of the reef  
344 platform (by c. 30%) for the most energetic wave conditions (#B6) (Figure 8, lower panels).

345  
346 *Figure 8 here*



347

348 The numerical model results were also used to validate the cross-correlation between the wave group signal  $A_t$  and  
349 the IG wave motion  $\eta_{t,IG}$  for the up-scaled physical model forcing conditions of runs #A4, #C5 and #E4. The model  
350 results are presented in Figure 9 and are directly comparable to the physical model results shown in Figure 6. Note  
351 that the numerical model domain extends significantly further seaward than the physical model domain; the solid  
352 circle in the lower panels of Figure 9 represents the prototype-equivalent position of the seaward-most wave gauge  
353 in the physical model experiment. The physical and numerical model results are remarkably consistent and highlight  
354 the following salient features: (1) rapid transition from incident to infragravity wave energy at the fore reef and the  
355 domination of IG energy across the reef platform (Figure 9, upper panels); (2) a weak incoming IG wave seaward of  
356 the reef platform (BLW: negative correlation between  $A_t$  and  $\eta_{t,IG}$ ) (Figure 9, middle panels); (3) a strong outgoing IG  
357 wave (BFLW: negative correlation between  $A_t$  and  $\eta_{t,IG}$ ) and incoming IG wave (BFLW: positive correlation between  $A_t$   
358 and  $\eta_{t,IG}$ ), both originating at the reef edge (9, lower panels); (4) an outgoing IG wave originating at the reef island  
359 (reflection of the incoming BFLW: positive correlation between  $A_t$  and  $\eta_{t,IG}$ ) (Figure 9, lower-right panel); and (5) an  
360 outgoing IG originating at the back of the reef (reflection of the incoming BFLW off the back reef slope with 180° phase-  
361 shifting (negative correlation between  $A_t$  and  $\eta_{t,IG}$  (Figure 9, lower-mid panel).

362

363 *Figure 9 here*

364

365 The main discrepancies between the wave statistics derived from the laboratory measurements and the numerical  
366 model results are attributed to the very challenging hydrodynamic conditions that arise in the breaker zone due to the  
367 extremely steep slope of the fore reef (1:2.3). Calibrating the model, e.g., through modifying the parameters in the  
368 roller model or the drag coefficient, may result in improved model performance. The two key differences in the  $A_t -$   
369  $\eta_{t,IG}$  cross-correlations between the physical and numerical model are the generally stronger correlations in the  
370 numerical model results (as expected, since the numerical model is a simplified representation of reality) and the  
371 absence of a clear outgoing BFLW seaward of the fore reef in the physical model results (because the seaward-most  
372 wave probe is located too close to the reef platform to be able to detect the outgoing BFLW). The numerical model  
373 performance is considered sufficiently good for the model to be used to investigate in more detail the IG wave  
374 generation mechanisms and extend the parameter space beyond conditions that were experienced in the laboratory  
375 experiment.

376

### 377 3.3 Numerical experiments

378 The physical model results were obtained for a fore reef slope of 1:2.3 and water depths over the reef platform  $h_{reef}$   
379 of 0, 0.02 and 0.04 m (proto-type  $h_{reef} = 0, 1$  and 2 m). XBeach was used to explore the influence of the fore reef slope  
380 and  $h_{reef}$  on the IG wave characteristics and generation mechanism by conducting a large number of model simulations  
381 with constant wave conditions ( $H_{s,0} = 2.5$  m and  $T_p = 12.5$  s), but varying fore reef slope and  $h_{reef}$  (refer to Section 2.2).  
382 The cross-correlation function between the lowpass-filtered wave envelope  $A_t$  at the seaward model boundary ( $x =$   
383 1000 m) and the infragravity wave signal  $\eta_{t,IG}$  at each of the model grid points for a subset of this modelled data is  
384 shown in Figure 10.

385

386 *Figure 10 here*

387

388 The key feature of Figure 10 is the fundamental difference in the  $A_t - \eta_{t,IG}$  cross-correlation ‘signature’ between the  
389 steepest (1:1) and gentlest (1:20) slope. For the steepest slope, and irrespective of  $h_{reef}$ , the cross-correlation switches  
390 practically instantly from negative to positive at the seaward edge of reef platform (blue-to-red at reef edge in Figure  
391 10, left panels). Whether this reflects a transition from BLW into BFLW or represents the BFLW overpowering the BLW  
392 is unclear. In addition, a strong outgoing BFLW also originates at the reef edge. For the gentlest slope and large water  
393 depth across the reef platform ( $h_{reef} \geq 4$  m), the BLW continues to propagate across the reef platform, whilst at the  
394 same time developing a leading crest (blue-next-to-red across the reef platform in Figure 10, upper-right panels). A  
395 similar signature was reported by *Pomeroy et al.* (2012; their Figure 10), which they, following *Baldock* (2006, his Figure  
396 5), interpret as the development of an elevated surface elevation in front of the wave group as a result of ‘dynamic  
397 setup’. For the gentlest slope and MSL below the reef platform ( $h_{reef} < 0$  m), the dynamic set-up appears to develop  
398 earlier and across the fore reef (Figure 10, lower-right panels). The cross-correlation signature for the intermediate  
399 slope (1:10) has elements of both the BLW and the BFLW (Figure 10, middle panels). It is also worth noting that a  
400 significant outgoing BFLW is present in all cross-correlations shown in Figure 10.

401

The cross-correlations are useful in that the different IG wave forcing mechanism can be identified, but the strength of the cross-correlations is not necessarily related to the energy and therefore importance of the IG wave motion. To address the actual IG wave energy, as well as help identify the importance of the BLW versus BFLW mechanisms, the IG wave signal was partitioned into an incoming and outgoing IG wave using *Guza et al.* (1984; Eqs 6 and 7), and the transformation of the incoming, outgoing and total IG wave signal across the model domain is plotted in Figure 11. The wave transformation pattern for the total IG wave motion is somewhat confusing due to its cross-cross pattern (Figure 11, second row of panels), but once the IG signal is divided into its two components (Figure 11, third and fourth row of panels), a clear picture emerges that confirms the interpretations of the cross-correlation plots: both the BLW and BFLW mechanism are valid, but which mechanism is dominant depends on the fore reef slope, with the steeper slope favouring the BFLW and the gentler slope the BLW mechanism. What Figure 11 adds to this discussion is that the IG energy across the reef platform, as well as the outgoing energy seaward of the platform, is largest for the steepest fore reef. The average significant IG wave height across the reef platform (based on  $H_s = 4\sigma$ , where  $\sigma$  is the standard deviation associated with  $\eta_{t,IG}$ , for lowpass filter of  $1/4T_p$ ) for fore reef slopes of 1:1, 1:10 and 1:20 is 0.41, 0.30 and 0.29 m, respectively. Similarly, the average maximum orbital velocity of the IG wave motion across the reef platform (based on  $U_m = 2\sigma$ , where  $\sigma$  is the standard deviation associated with  $u_{t,IG}$ , for lowpass filter of  $1/4T_p$ ) for the same fore reef slopes is 0.50, 0.34 and 0.33  $\text{m s}^{-1}$ , respectively. It seems, therefore, that the role of IG waves to impact coastal flooding, as well as contribute to sediment transport processes, increases with increasing fore reef slope.

Figure 11 here

XBeach was further used to model the incident and infragravity wave characteristics across reef platforms for varying fore reef slopes, wave conditions and water levels. As outlined in Section 2.2, a very large number (c. 4000) model runs were carried out with the boundary conditions selected randomly from a wide parameter space ( $H_{s,o} = 1\text{--}6$  m,  $T_p = 4\text{--}20$  s,  $h_{reef} = -3$  to  $+3$  m, wave steepness 0.003–0.05, fore reef gradient = 1:1–1:50) to determine which factors are most important in controlling the infragravity wave height across the reef platform. The runs were all set up with a 200-m wide reef platform and for 21 points across the reef platform (every 10 m), the water level time series was used to obtain the significant infragravity wave height by integrating the variance  $\sigma^2$  over the wave spectrum from  $0.05/T_p$  to  $0.5/T_p$ , and  $H_{s,IG} = 4\sigma$ . These 21 estimates of  $H_{s,IG}$  were subsequently averaged across the 200-m wide reef platform to obtain the reef-averaged IG wave height  $\langle H_{s,IG} \rangle$ .

To combine the model results for the widely varying forcing conditions, both  $\langle H_{s,IG} \rangle$  and  $h_{reef}$  are normalised by the offshore significant wave height  $H_{s,o}$ . The data are then plotted as a function of fore reef slope and  $h_{reef}/H_{s,o}$  in Figure 12, with the size and colour of the symbols proportional to  $\langle H_{s,IG} \rangle/H_{s,o}$ . The results show that there appears to be a ‘sweet spot’, characterised by a fore reef slope  $> 1/6$  and  $h_{reef}/H_{s,o}$  between  $-0.25$  and  $+0.75$ , where  $\langle H_{s,IG} \rangle/H_{s,o}$  is maximised with values of 0.2–0.3 (rectangle in Figure 12, upper panel). The mechanism for IG generation for such conditions is the BFLW and the significantly smaller values for  $\langle H_{s,IG} \rangle/H_{s,o}$  for the gentler fore reef gradients are attributed to the BLW mechanism. For  $h_{reef}/H_{s,o} < -0.25$ , the reef platform is only affected by swash action, whereas for  $h_{reef}/H_{s,o} > 0.75$  a significant part of the incident waves are breaking/shoaling on the horizontal shore platform, inhibiting BFLW generation. There is also a significant effect of  $T_p$  on the results: within the ‘sweet spot’ region,  $\langle H_{s,IG} \rangle/H_{s,o}$  values for long-period waves are 20–40% larger than for short-period waves (Figure 12, lower panels).

Figure 12 here

#### 4. Discussion

Combining the results of a small-scale laboratory experiment and numerical modelling using a phase-resolving (i.e., non-hydrostatic) wave model (XBeach), new insights are obtained into the dynamics of infragravity waves across (coral) reef platforms. The key factor that controls the mechanism of IG wave generation is the slope of the fore reef. For slopes in excess of 1:10, the cross-correlation signature between wave groups and IG wave motion clearly demonstrates the generation of an incoming and outgoing BFLW, as predicted by *Symonds et al.* (1982). For smaller fore reef slopes, the release of the BLW (*Longuett-Higgins and Stewart, 1963*) is more dominant, as demonstrated by a shoreward propagating set-down wave across the reef platform. Distinguishing between the two mechanisms is made difficult by the development of the dynamic set-up across the fore reef slope (*Baldock, 2006*), but a clear difference between the BFLW and BLW mechanism is the generation of an energetic outgoing IG wave in the former case.

457 Previous research (*Baldock, 2012; Contardo and Symonds, 2013*) suggests that a value of the  $\xi_{surfbeat}$  parameter (Eqs. 1  
458 and 2) of 0.05–0.1 separates the two IG wave regimes. Inserting Eq. (2) into Eq. (1) yields:

$$459 \quad \xi_{surfbeat} = (1/\sqrt{2\pi})(T_{IG}/T_p)\sqrt{\gamma}h_x \quad (\text{Eq. 8})$$

460 where  $T_{IG}$  and  $T_p$  are the infragravity and incident wave period, respectively, and  $\gamma$  is the breaker criterion  $H_b/h_b$ .  
461 Assuming a typical IG-wave period  $T_{IG}$  of 4 times the incident-wave period  $T_p$  and an irregular breaker criterion of  $H_b/h_b$   
462 = 0.5, Eq. 8 reduces to  $\xi_{surfbeat} \approx 1.13h_x$ , and  $\xi_{surfbeat}$  is independent of the incident wave height or period. The XBeach  
463 model results suggest that the BFLW mechanisms dominates when the fore reef slope  $h_x > 0.1$ . Inserting this value into  
464 the simplified equation for  $\xi_{surfbeat}$  results in a value of 0.11, which is close to the upper bound suggested by *Baldock*  
465 (2012) and *Contardo and Symonds* (2013) for separating the BLW from the BFLW mechanism. A BFLW mechanism for  
466 IG wave generation in coral reef environments, with their characteristic steep fore reef slopes, has also been argued  
467 for by *Péquignet et al.* (2009) and *Pomeroy et al.* (2012). In the latter study, the dominance of breakpoint forcing in  
468 generating IG waves across reef platforms was concluded based on comparing XBeach model runs with and without  
469 the BLW and BFLW mechanism. However, the XBeach-modelled cross-correlation between the incident short-wave  
470 envelope  $A_t$  and the IG wave time series at all locations  $\eta_{t,IG}$  presented by *Pomeroy et al.* (2012; their Figure 10), and  
471 based on their field conditions with a fore reef slope of 1/20, shows evidence of both the BLW and BFLW mechanism  
472 of IG wave generation. The BFLW mechanism is more effective in generating IG energy than the release of the BLW  
473 and this is demonstrated by the larger amounts of infragravity energy across reef platforms with steeper fore reefs  
474 compared to those with gentle slopes (Figure 12). This dependency of IG wave height on fore reef slope has also been  
475 demonstrated by *Quataert et al.* (2015; their Figure 4). Both our results and those of *Quataert et al.* (2015) suggest  
476 that the largest (relative) infragravity wave heights occur for fore reef slopes ranging from 1/2 to 1/5. The agreement  
477 is not surprising as the same numerical model was used, but the significance is that most natural fore reef slopes tend  
478 to be ranging from 1/10 to 1/20 (cf. *Quataert et al.*, 2015; their Table 1); therefore, the importance of the BLW  
479 mechanism of IG generation should not be excluded for natural coral reef settings.

480

481 In the previous sections, IG wave heights on the reef platform have been computed using a 1D model, with a smooth  
482 bed and no presence of an island on the reef flat. While this modelling approach closely resembles the set-up of the  
483 physical model experiment described in Section 2.1, a 1D approach necessarily assumes alongshore-uniform  
484 hydrodynamics, which in the case of the XBeach model implies infinitely long-crested waves. This assumption may be  
485 expected to influence the IG wave energy presented in Section 3, as directional wave spreading is known to affect  
486 infragravity wave dynamics on open coasts (e.g., *Guza and Feddersen, 2012*). Furthermore, although the physical  
487 model experiments were carried out with a smooth plywood bottom, natural coral reefs may be substantially rougher,  
488 leading to greater wave energy loss across the reef platform. Finally, analysis of the physical model experiments has  
489 shown that the presence of an island on the reef flat substantially affects infragravity wave heights on the reef flat.

490

491 To address these issues, 107 combinations of wave height, period, water level and fore reef slope that span the range  
492 of conditions simulated by the 1D XBeach model (termed ‘reference’ simulations; Section 2.2) were selected. These  
493 conditions were subsequently re-simulated in three separate sensitivity analyses (termed ‘sensitivity’ simulations) to,  
494 respectively, investigate the effect of 2D modelling to include directional wave spreading, the presence of an island,  
495 and the importance of increased bed roughness, on the main conclusions found in Section 3.

496

497 In the directional wave spreading sensitivity analysis, the sensitivity simulations were run using the non-hydrostatic  
498 XBeach model in 2DH (depth-averaged, cross-shore and alongshore) mode. The model bathymetry was kept  
499 alongshore uniform and identical to the equivalent simulations in the 1D models, but the effect of directional wave  
500 spreading is inherently included within the model domain. The directional spreading sensitivity models were set up  
501 with an alongshore width to include at least three offshore wave lengths and an alongshore grid resolution equal to  
502 that of the cross-shore resolution at the offshore boundary. Cyclic boundary conditions were imposed on the lateral  
503 boundaries of the model to remove lateral boundary wave-shadowing effects. The directional wave spreading  
504 sensitivity simulations were forced using the same water levels and wave spectral conditions as in the equivalent 1D  
505 simulations, where the directional wave spreading at the model boundary was 24° and the main wave angle was  
506 perpendicular to the reef. Note that, although the 1D simulations do not account for directional wave spreading within  
507 the model domain, both the 1D and 2DH models account for directional spreading in the computation of the BLW  
508 imposed on the model boundary. Both the 1D and 2DH simulations are therefore forced by the same incident BLW  
509 conditions. All other model parameters in the sensitivity simulations were kept identical to the reference simulations.

510

511 In the island and bed roughness sensitivity analyses, the sensitivity simulations were run using a 1D XBeach model. In  
512 the case of the island sensitivity simulations, the model bathymetry was modified to include a 1:8 beach slope at the

end of the 200 m coral reef platform, where the height of the beach was set such that no wave overtopping over the beach took place. In the bed roughness sensitivity simulations, the reef profile of the reference simulations was used, but the bed friction was increased to a uniform value  $c_f = 0.1$  (cf. *Pearson et al.*, 2017).

Figure 13 shows the absolute (top panels) and relative (bottom panels) difference in simulated IG wave height between the reference simulations ('Ref' in the figure) and sensitivity simulations ('Sen' in the figure), where the relative difference  $\Delta H_{rel,Sen-Ref}$  is defined as:

$$\Delta H_{rel,Sen-Ref} = \frac{\langle H_{IG,Sen}/H_{s,o,Sen} \rangle - \langle H_{IG,Ref}/H_{s,o,Ref} \rangle}{\langle H_{IG,Ref}/H_{s,o,Ref} \rangle} \quad (\text{Eq. 9})$$

where the angle brackets indicate averaging over all output points and the subscripts  $_{Sen}$  and  $_{Ref}$  refer to the sensitivity and equivalent reference simulations, respectively.

Figure 13 (left panels) demonstrates that the relative difference in IG wave height in the directional wave spreading sensitivity simulations is relatively modest, but that in general lower infragravity wave heights occur in the 2DH simulations than in the 1D simulations (mean  $\Delta H_{rel,Sen-Ref}$  of -14% and -2% for wave periods less than 12 s and greater than 12 s, respectively), which may be expected considering the absence of directional wave spreading within the domain of the 1D simulations. The figure furthermore shows that the largest relative difference between the 1D and 2DH simulations occurs for  $h_{reef}/H_{s,o}$  of about -1.1, where IG waves on the reef platform in the 1D simulations are substantially larger (>60%) than those in the 2DH simulations. This difference may be a result of the fact that IG waves are unable to spread laterally in the 1D simulations, and are therefore forced to go over the reef crest. Interestingly, the 2DH simulations appear to show slightly (0–19%) larger IG wave heights for  $h_{reef}/H_{s,o}$  values between 0 and 1 than the 1D simulations. This relative water depth corresponds somewhat to the 'sweet spot' found in Section 3.3, indicating that alongshore variations in water level due to directional wave spreading may be increasing the BFLW generation mechanism at these water depths. Encouragingly, the difference between the 1D and 2DH simulations remains relatively small for shallow fore reef slopes (1/50–1/25), where the lack of wave spreading may conceivably affect energy transfer to the infragravity wave in the 1D simulations via the BLW generation mechanism, indicating that this model limitation in the 1D simulations is unlikely to greatly affect the results found in Section 3.

Figure 13 here

The results of the island sensitivity simulations in Figure 13 (centre panels) show that the presence of an island on the reef substantially increases the contribution of IG waves to the total wave spectrum on the reef platform. For conditions in which IG waves are greater than 10% of the offshore wave height (i.e.,  $h_{reef}/H_{s,o} > 0.5$ ), the presence of an island leads to approximately 50% (for wave periods less than 12 s) to 100% (for wave periods greater than 12 s) greater IG wave heights on the reef platform, which is qualitatively in line with the findings of the physical model experiment in Section 3.1. Interestingly,  $\Delta H_{rel,Sen-Ref}$  is relatively insensitive to changes in  $h_{reef}/H_{s,o}$  within this range. While the modelled increase in IG wave height, and difference in increase between short and long-period incident-band waves, may in general be representative for natural coral reef island systems, it is important to note that both are likely to be sensitive to the length of the reef platform fronting the island, and hence potential for standing waves to occur.

Finally, the results of the bed roughness sensitivity simulations are presented in Figure 13 (right panels). The figure shows that high values of bed roughness ( $c_f = 0.1$ ) greatly reduce (14–97%) IG wave height on the coral reef platform. This reduction is slightly greater in simulations with shorter-period incident-band waves (periods less than 12 s) than in simulations with longer-period incident-band waves. Importantly, however, the results show that the effectiveness of bed roughness in damping the IG wave height on the reef platform is dependent on the water depth, with generally decreasing damping with increasing relative water depths over the platform up to  $h_{reef}/H_{s,o}$  values of 0.25–0.5, followed by constant, or even increasing damping for  $h_{reef}/H_{s,o}$  values greater than 0.5. These results mean that although IG waves on rough reef platforms may be expected to be smaller than those presented in Section 3.3, less damping of the IG waves will occur at  $h_{reef}/H_{s,o}$  values of 0.5, than for lower (and partly also for greater) relative water depths. This change in damping effect for varying relative water depth maintains the 'sweet spot' for IG wave generation found in Section 3.3.

566 Once the IG waves are generated and/or released near the seaward edge of the reef platform, they will be subjected  
567 to bed friction and are likely to decrease in absolute importance as they propagate shoreward, especially for wide and  
568 rough reef platforms (e.g., *Pearson et al., 2017*). Nevertheless, despite the shoreward-propagating IG waves  
569 experiencing substantial frictional dissipation that limit the amount of IG energy reaching the shoreline, the rate of IG  
570 wave decay is considerably smaller than for the short waves (*Pomeroy et al., 2012*) and the presence of IG wave motion  
571 has significant implications for geomorphic processes on coral reef platforms and island dynamics. First, long wave  
572 motions propagating across reef surfaces provide important controls on current and circulation processes across  
573 platforms. Second, velocities associated with these wave types are sufficient to entrain and transport detrital sand-  
574 size materials on reef surfaces and govern vectors of sediment transport (e.g., *Pomeroy et al., 2015*). Third, results also  
575 confirm the importance of long period wave motions as primary processes on reef surfaces that control island  
576 inundation at contemporary sea levels (e.g., *Beetham et al., 2016; Cheriton et al., 2016*). Notably, results indicate that  
577 fore reef slope influences wave propagation behaviour and consequently, susceptibility to island overtopping by long  
578 period wave motions. All these geomorphic implications are expected to be most significant for coral reef platforms  
579 with the steepest fore reefs and the narrowest and smoothest platforms, and under energetic wave conditions.

## 581 **Conclusions**

582  
583 A small-scale (1:50) laboratory experiment, complimented by proto-type numerical XBeach modelling, was conducted  
584 to investigate the characteristics of infragravity (IG) wave motion on coral reefs and quantify the important factors  
585 controlling IG wave height across the platform.

586  
587 Despite the small-scale of the laboratory experiment, the results confirm across-platform wave transform trends  
588 previously demonstrated in field studies (e.g., incident wave dissipation, IG wave generation, wave set-up across the  
589 reef platform). The small-scale laboratory results were also successfully validated using the phase-resolving (i.e., non-  
590 hydrostatic) XBeach model run at the proto-type scale, allowing further numerical exploration beyond the laboratory  
591 simulations. The 1D numerical model results were replicated using a 2DH model, forced with the same boundary  
592 conditions. The 2DH model generally predicts lower IG wave heights, but the relative difference in IG wave height is  
593 modest, especially when the reef platform is submerged, confirming the wider applicability of the 1D numerical model  
594 results to real world coral reef environments.

595  
596 Using cross-correlation analysis between the incident short-wave envelope  $A_t$  and the IG wave time series at all  
597 locations  $\eta_{t,IG}$ , the slope of the fore reef is identified as the key factor controlling the mechanism of infragravity wave  
598 generation. For steep slopes ( $> 1/10$ ), IG wave motion is dominated by landward and seaward propagating breakpoint-  
599 forced long waves (BFLWs) and there is no evidence of the bound long wave (BLW) propagating onto the reef platform.  
600 For more gentle slopes ( $1/20$ ), evidence for the BFLW mechanism remains, but BLWs can also be observed travelling  
601 from the fore reef onto the reef platform. For increasingly gentle slopes, it is inferred that BLWs become the dominant  
602 mechanism for IG wave generation.

603  
604 The BFLW mechanism is a more effective generator of IG energy than the BLW mechanism, and the most energetic IG  
605 motion (normalised by incident wave motion) is generated on reef platforms with a fore reef slope  $> 1/6$ . The still  
606 water level relative to the reef platform  $h_{reef}$  is also a key factor and the most energetic IG wave motion is generated  
607 for a ratio between  $h_{reef}$  and offshore incident wave height  $H_{s,o}$  between -0.25 and 0.75, i.e., when most waves break  
608 across the reef slope and a fully saturated surf zone extends across the reef platform. The presence of an island on the  
609 reef platform substantially increases the contribution of IG waves to the total wave spectrum on the reef platform,  
610 but increased reef surface roughness reduces IG importance. Under the most optimal conditions, the IG wave height  
611 averaged across the reef platform is 20–30% of the incident offshore wave height, and the geomorphic influence of IG  
612 waves is most significant for coral reef platforms with energetic waves breaking on the steepest fore reefs.

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622

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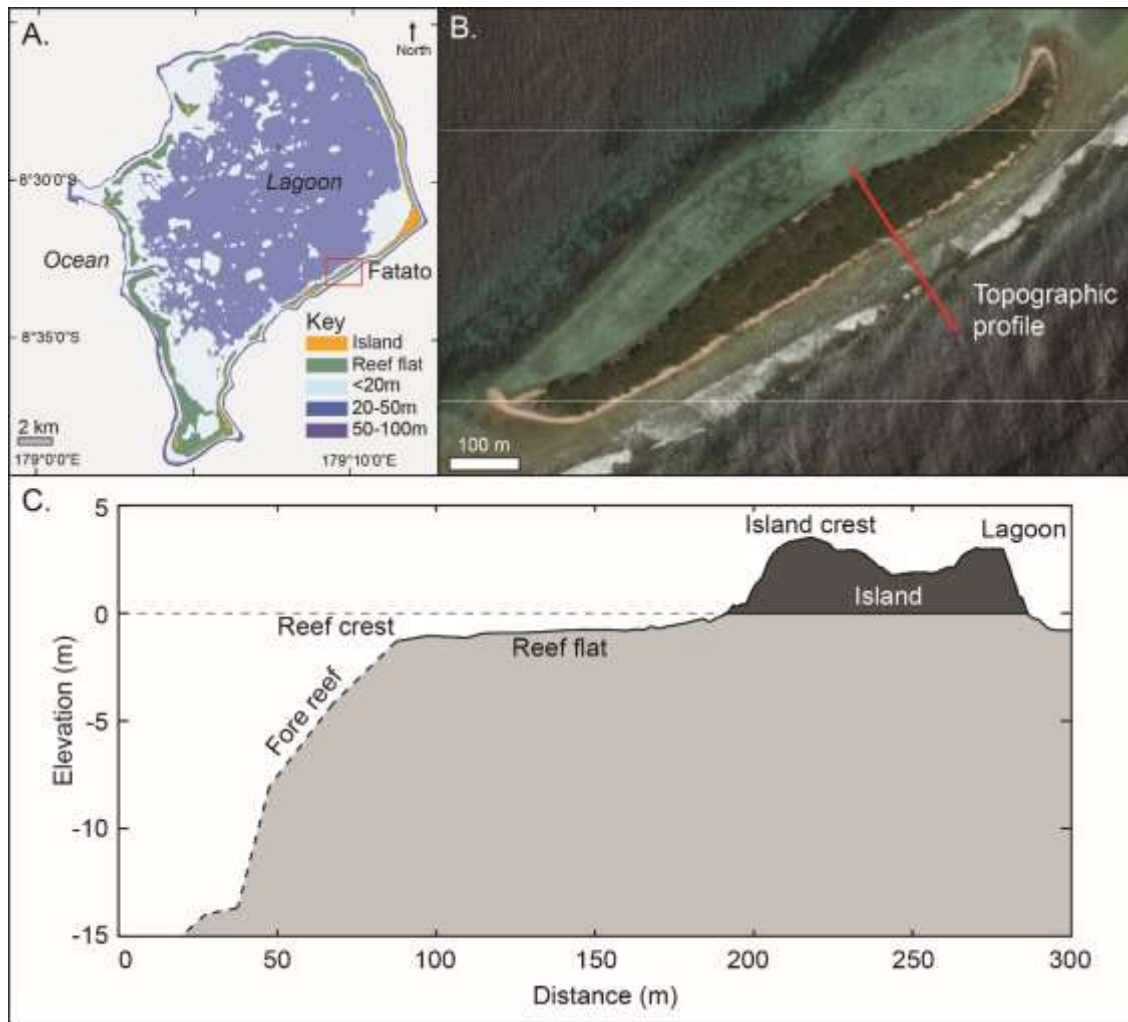


781 **Table 1** – Summary of physical model experiment.  $H_s$  = significant wave height;  $T_p$  = peak wave period;  $h$  = water  
782 depth in the flume;  $h_{reef}$  is water depth across the reef platform; and  $D_{run}$  is run duration. Proto-type values are:  $H_s$  =  
783 2, 3 and 4 m;  $T_p$  = 9.9 and 16.3 s; and  $h_{reef}$  = 0, 1 and 2 m.

784

Run#	$H_s$ (m)	$T_p$ (s)	$h$ (m)	$h_{reef}$ (m)	$D_{run}$ (min)
<b>Series A is low tide runs – reef platform only</b>					
A1	0.04	1.4	0.47	0	12
A2	0.04	2.3	0.47	0	12
A3	0.06	1.4	0.47	0	12
A4	0.06	2.3	0.47	0	12
A5	0.08	1.4	0.47	0	12
A6	0.08	2.3	0.47	0	12
<b>Series B is MSL runs – reef platform only</b>					
B1	0.04	1.4	0.49	0.02	12
B2	0.04	2.3	0.49	0.02	12
B3	0.06	1.4	0.49	0.02	12
B4	0.06	2.3	0.49	0.02	12
B5	0.08	1.4	0.49	0.02	12
B6	0.08	2.3	0.49	0.02	12
<b>Series C is high tide runs – reef platform only</b>					
C1	0.04	1.4	0.51	0.04	12
C2	0.04	2.3	0.51	0.04	12
C3	0.06	1.4	0.51	0.04	12
C4	0.06	2.3	0.51	0.04	12
C5	0.08	1.4	0.51	0.04	12
C6	0.08	2.3	0.51	0.04	12
<b>Series E is sea-level rise response runs – with reef island</b>					
E1	0.06	1.4	0.51	0.04	30
E2	0.06	1.4	0.52	0.05	90
E3	0.06	1.4	0.53	0.06	90
E4	0.08	1.4	0.51	0.04	90
E5	0.08	1.4	0.52	0.05	90
E6	0.08	1.4	0.53	0.06	420

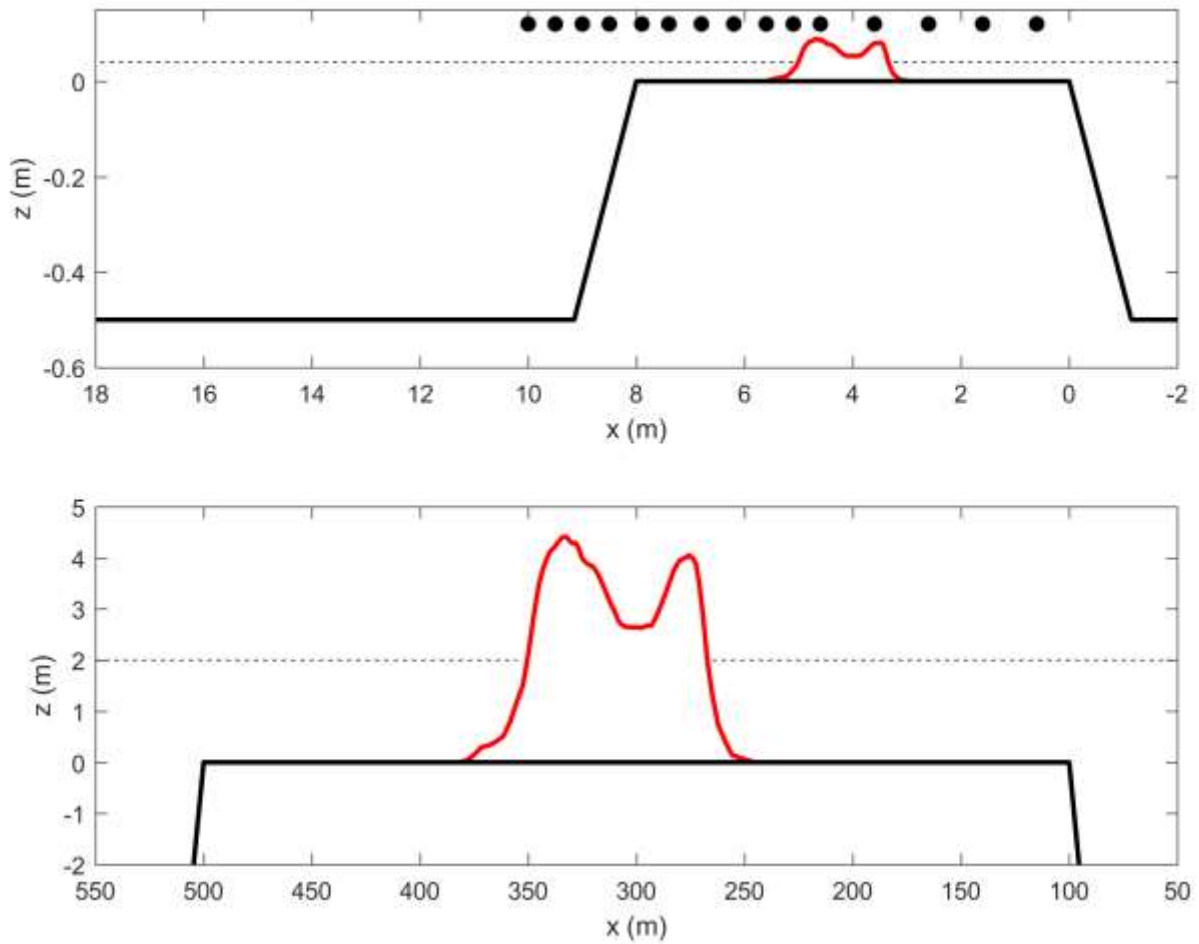
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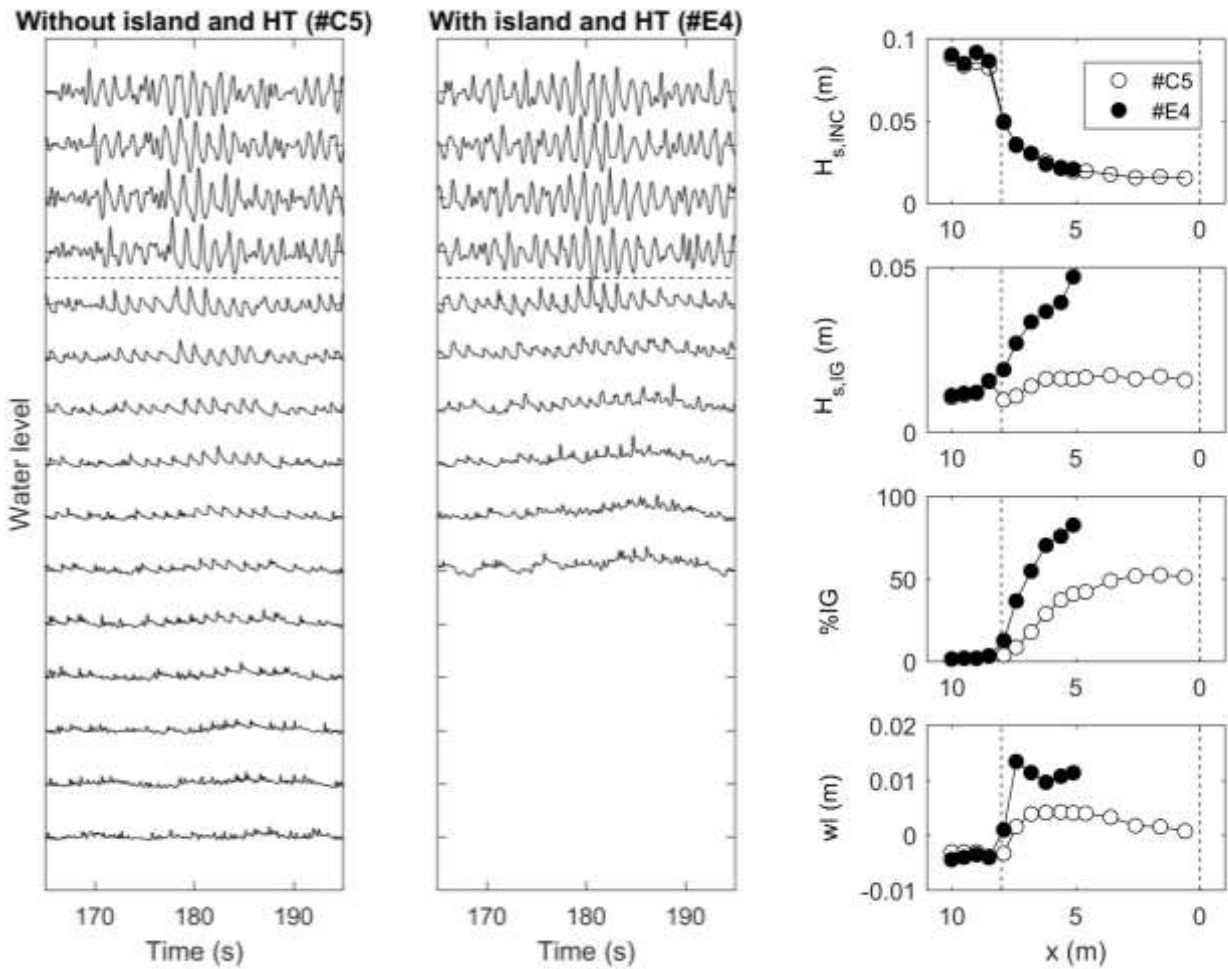
**Figure 1** – (a) Geographical location of the gravel reef island of Fatato, Tuvalu, in the Pacific Ocean. (b) Aerial photograph of Fatato with the location of the topographic profile shown in (c). The dimensions and morphology of the coral reef platform and island in this paper are based on that of Fatato. Elevation is relative to mean sea level.

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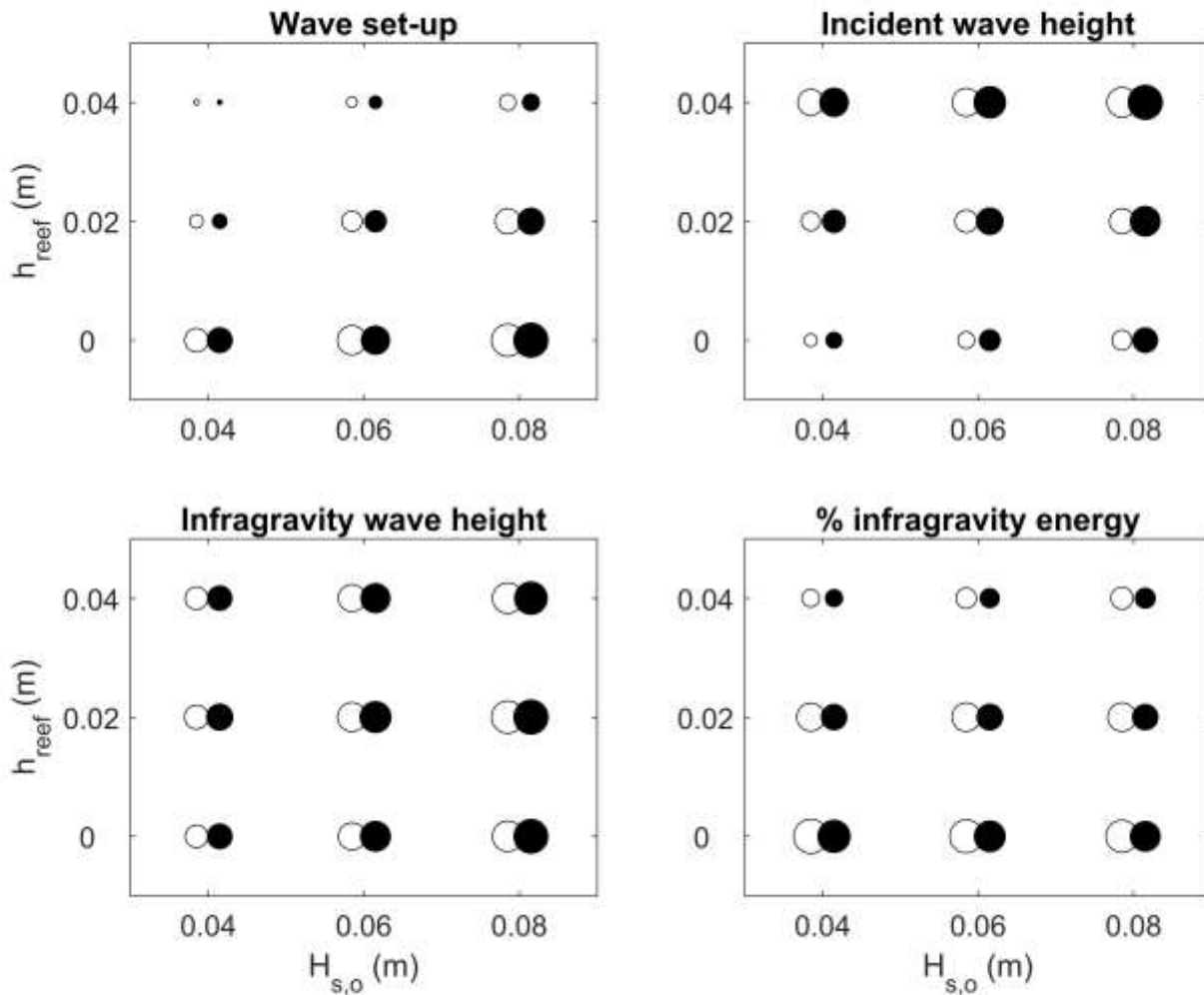
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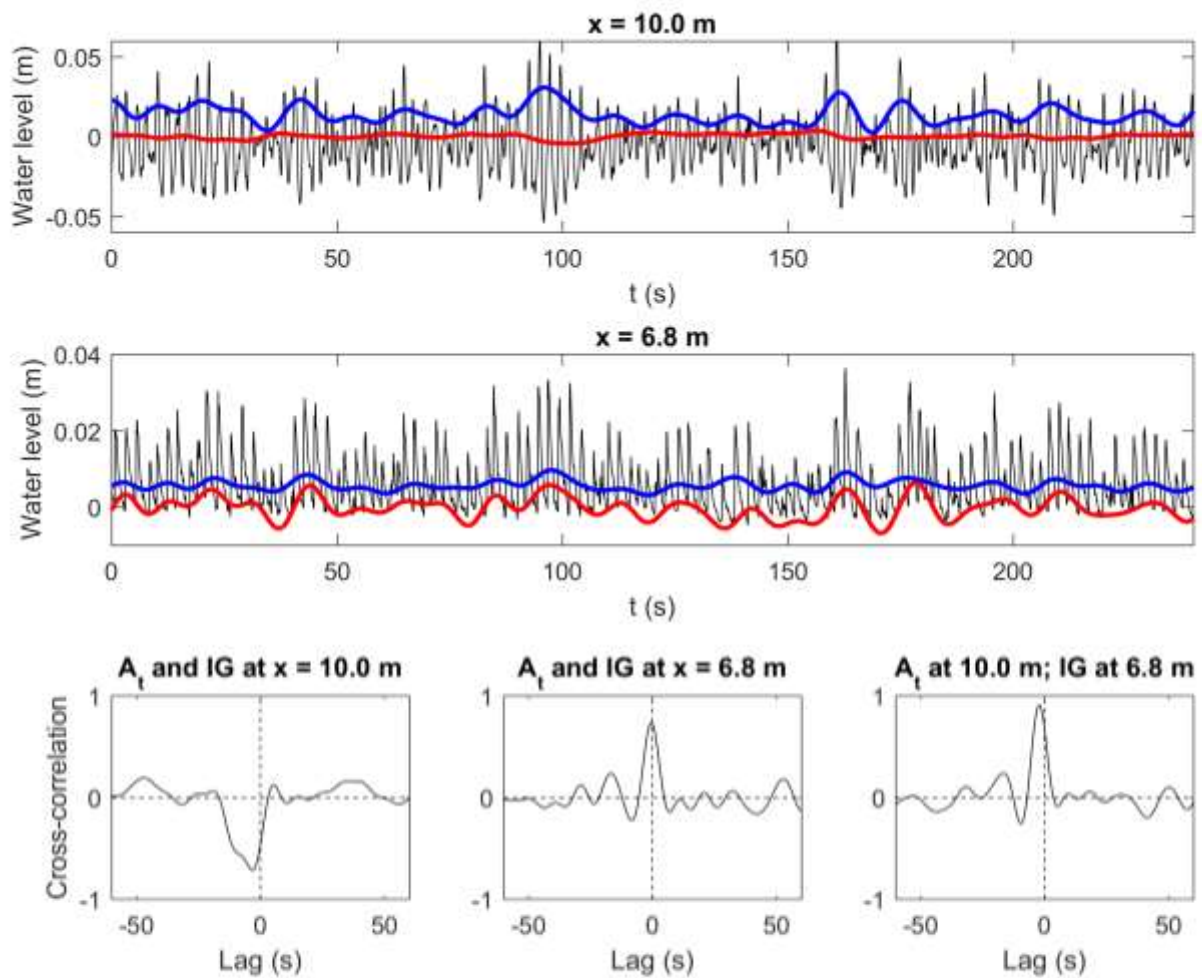
**Figure 2** – Upper panel shows cross-section of reef platform and island for the 1:50 scale laboratory experiment. The wave paddle is located at  $x = 18$  m; the wave gauges are indicated by the red circles and the mean high tide level is represented by the horizontal dashed line (0.04 m). Lower panel shows zoomed in section of the reef platform and island at the proto-type scale used for the XBeach numerical modelling. The offshore and onshore boundaries of the model are at  $x = -1000$  m and  $x = 0$  m, respectively, and the water depth away from the reef platform is 25 m.



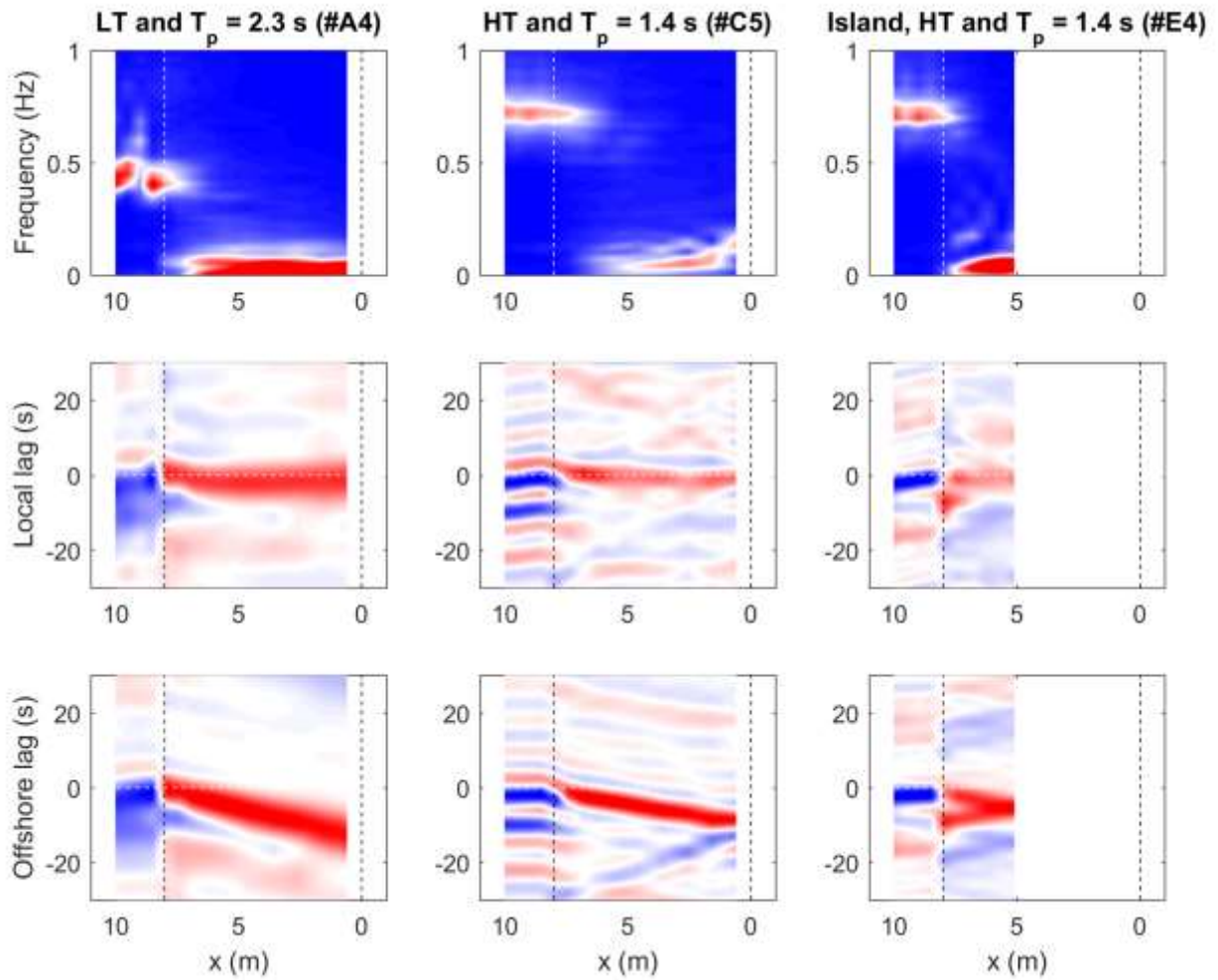
**Figure 3** – Left and middle panel shows 30-s time series of water level for the 15 wave gauges during run #C5 (without island) and #E4 (with island), with conditions  $H_s = 0.08$  m;  $T_p = 1.4$  s;  $h_{reef} = 0.04$  m. The time series are offset by 0.12 m and stacked from the seaward-most (top) to the landward-most (bottom) gauge. At each location, the plotted time series are relative to the local MSL (i.e., wave set-up removed), and the horizontal dashed line represents the seaward edge of the reef platform. Right panels shows 10-min across-reef summary statistics for run #C5 (white circles) and run #E4 (black circles) of, from top to bottom, significant incident wave height  $H_{s,INC}$ , significant infragravity wave height  $H_{s,IG}$ , percentage of infragravity wave energy %IG and mean water level  $wl$ . The vertical dashed lines represent the edges of the reef platform and the separation between incident and infragravity wave energy was at a frequency of 0.1 Hz.



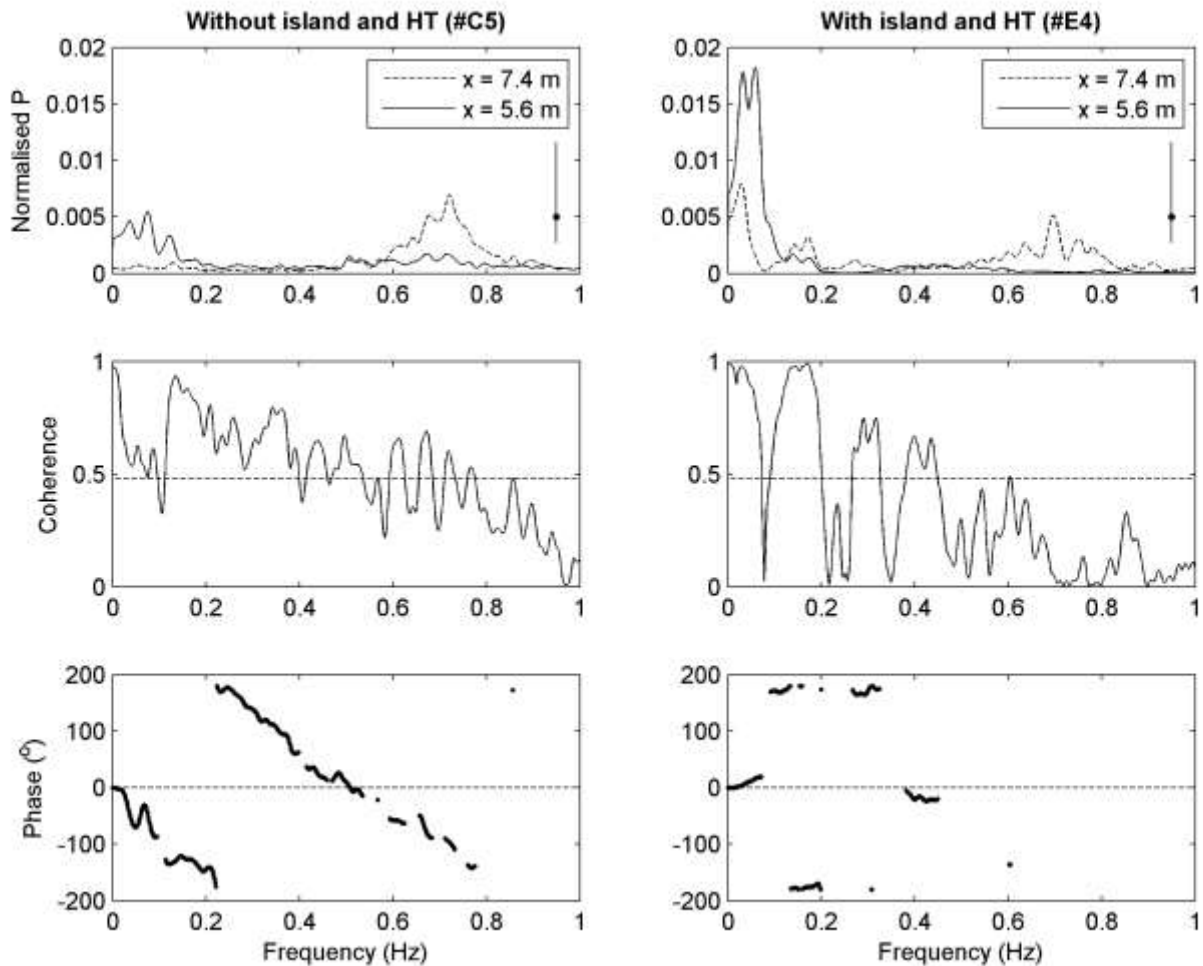
**Figure 4** – Summary statistics for key hydrodynamic variables for all runs in Series A, B and C with values averaged across the middle of the reef platform, from  $x = 3.6\text{--}6.8$  m (wave gauges 7–12). Data are plotted as a function of the (offshore) significant wave height  $H_{s,0}$  and the water depth across the platform  $h_{\text{reef}}$  with the size of the circles scaled by the value of the parameter plotted. Maximum parameter values, representing maximum size of the symbols, are: wave set-up = 0.017 m; incident wave height = 0.029 m; infragravity wave height = 0.020 m; and % infragravity energy = 82%. White and black circles represent runs with peak wave period  $T_p$  of 1.4 and 2.3 s, respectively.



**Figure 5** – Correlation between wave groupiness and IG wave motion. Two upper panels shows 4-min time series of  $\eta_t$  (thin black line),  $A_t$  (thick blue line) and  $\eta_{t,IG}$  (thick red line) collected on the fore-reef ( $x = 10$  m) and reef platform ( $x = 6.8$  m) during run #B4. Three lower panels show the cross-correlation function between, from left to right,  $A_t$  and  $\eta_{t,IG}$  at  $x = 10$  m;  $A_t$  and  $\eta_{t,IG}$  at  $x = 6.8$  m; and  $A_t$  at  $x = 10$  m and  $\eta_{t,IG}$  at  $x = 6.8$  m.

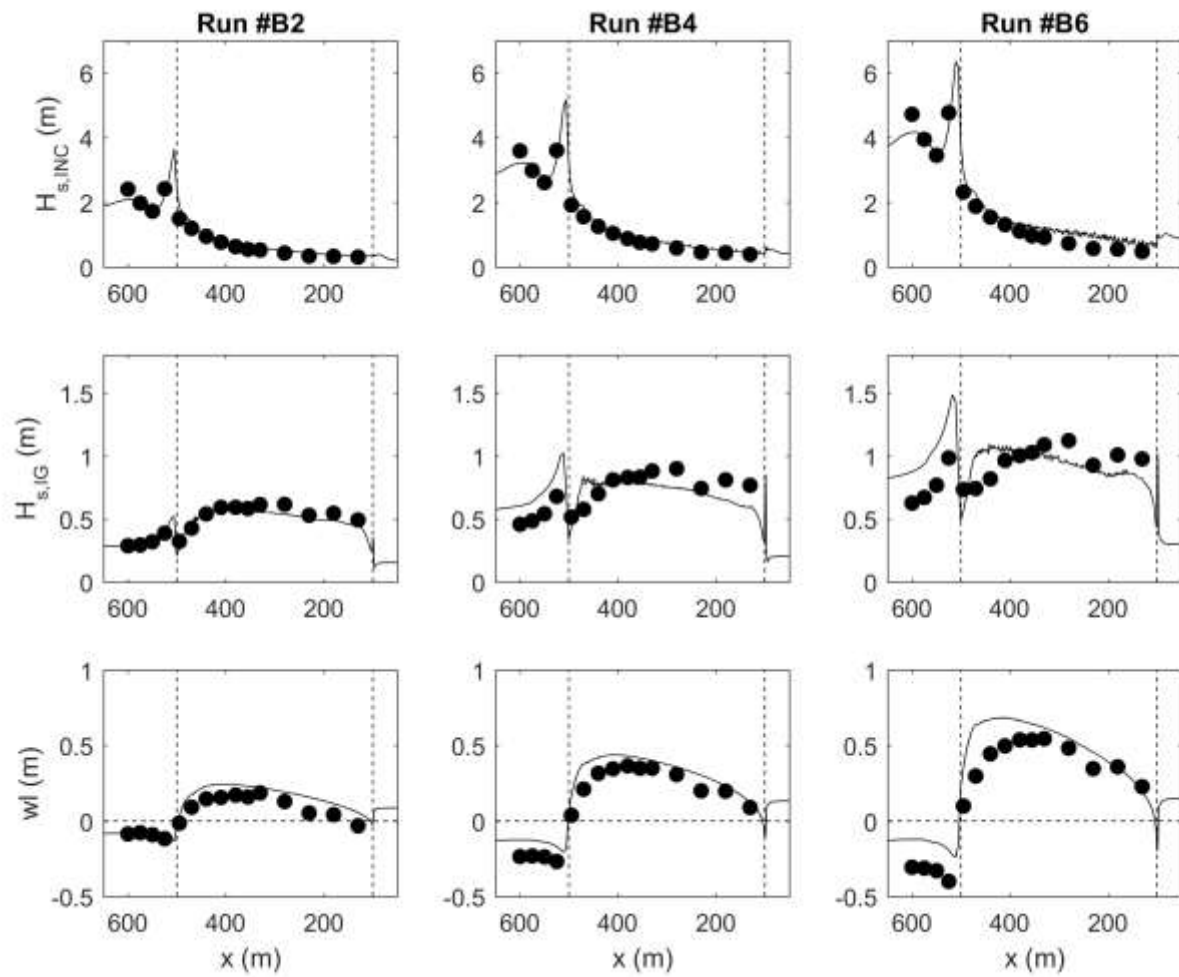


**Figure 6** – Characteristics of the measured infragravity wave signal across the reef platform for run #A4 (without island, low tide and  $T_p = 2.3$  s; left panels), run #C5 (without island, high tide and  $T_p = 1.4$  s; middle panels) and run #E4 (with island, high tide and  $T_p = 1.4$  s; right panels). Upper panels show the normalised wave spectrum (normalised by the total variance). Middle panels show the cross-correlation function between the lowpass-filtered wave envelope  $A_t$  and the infragravity wave signal  $\eta_{t,IG}$  for each of the wave gauges (infragravity frequency cut-off =  $1/(4T_p)$  Hz). Bottom panels show the cross-correlation function between  $A_t$  of the seaward-most wave gauge ( $x = 10$  m) and  $\eta_{t,IG}$  at each of the wave gauges. The vertical dashed lines in all panels represent the edges of the reef platform. The colour axis in the cross-correlation panels runs from -0.75 (dark blue) to 0.75 (dark red).

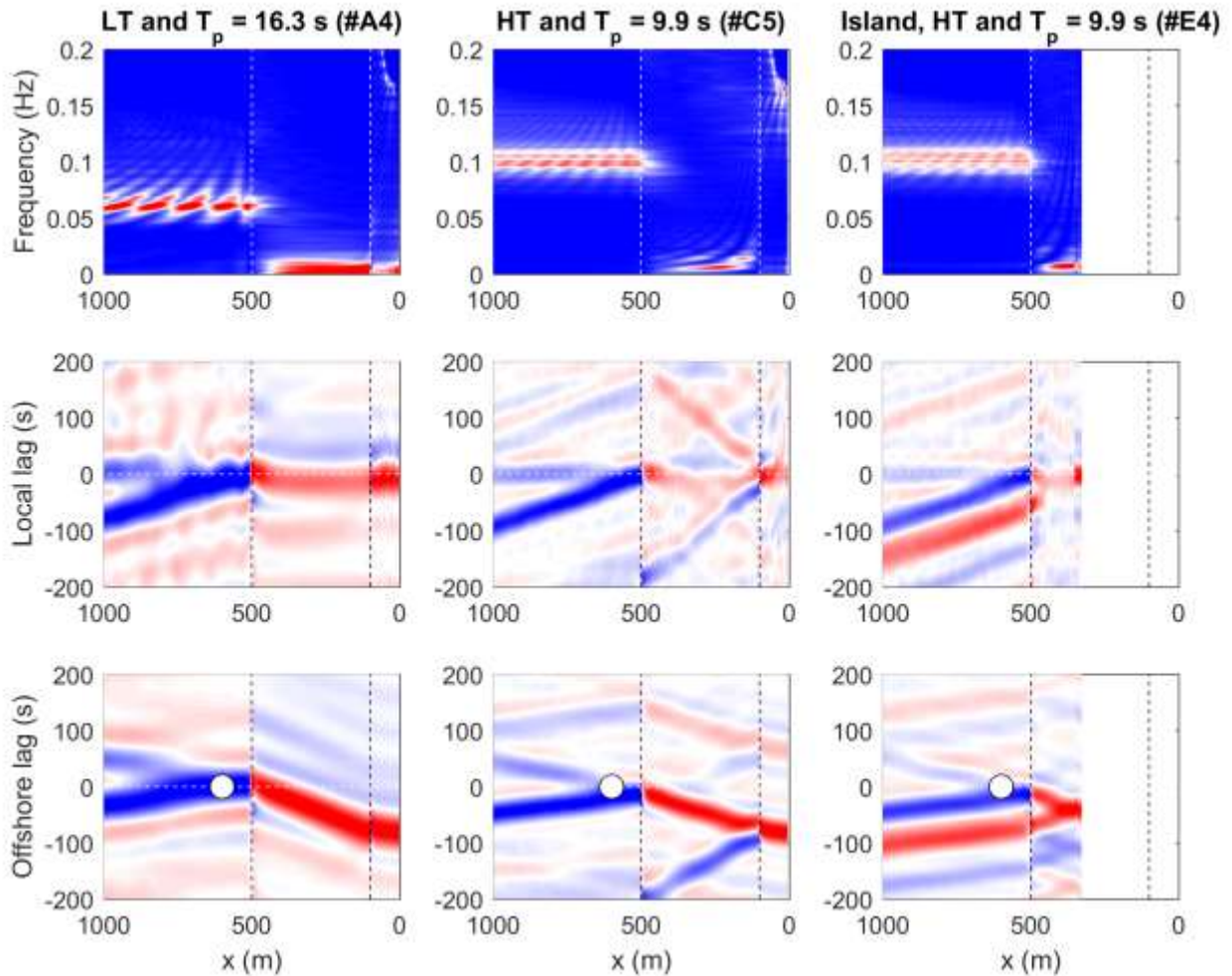


**Figure 7** – Cross-spectral analysis between wave time series recorded at  $x = 7.4$  m and  $x = 5.6$  m for run C5 (without island; left panels) and for run E4 (with island; right panels). Top panels show normalised spectra (normalised by the total variance); middle panels show squared coherence spectra; and bottom panels show phase spectra. The spectra were computed for 16 degrees of freedom and the vertical line in the normalised spectra represent the 95% confidence levels of spectral estimates, while the horizontal dashed line in the squared coherence spectra shows the 1% significance level computed according to *Thompson (1979)*. In the phase spectra only values are plotted for which the squared coherence exceeded the 1% significance level.

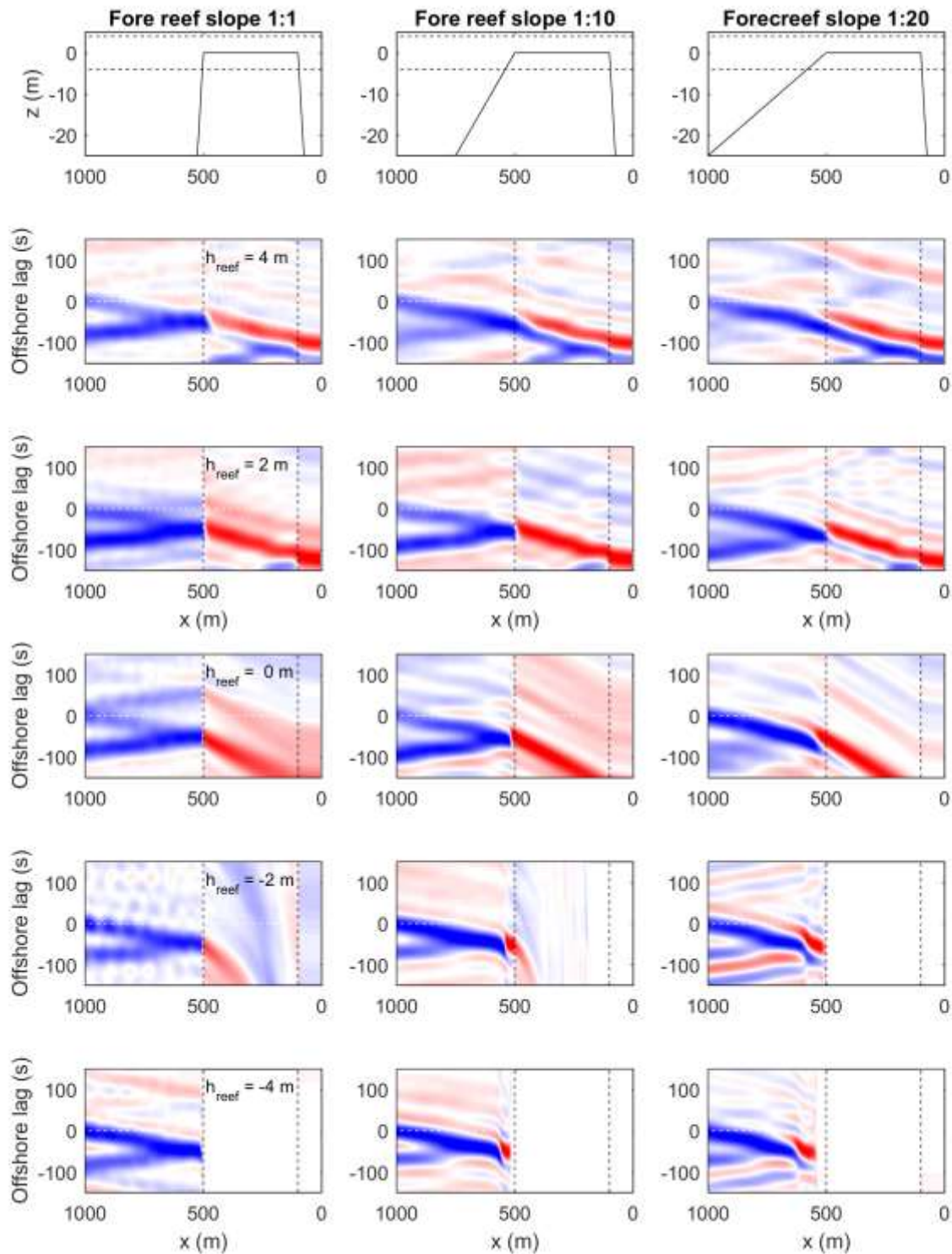




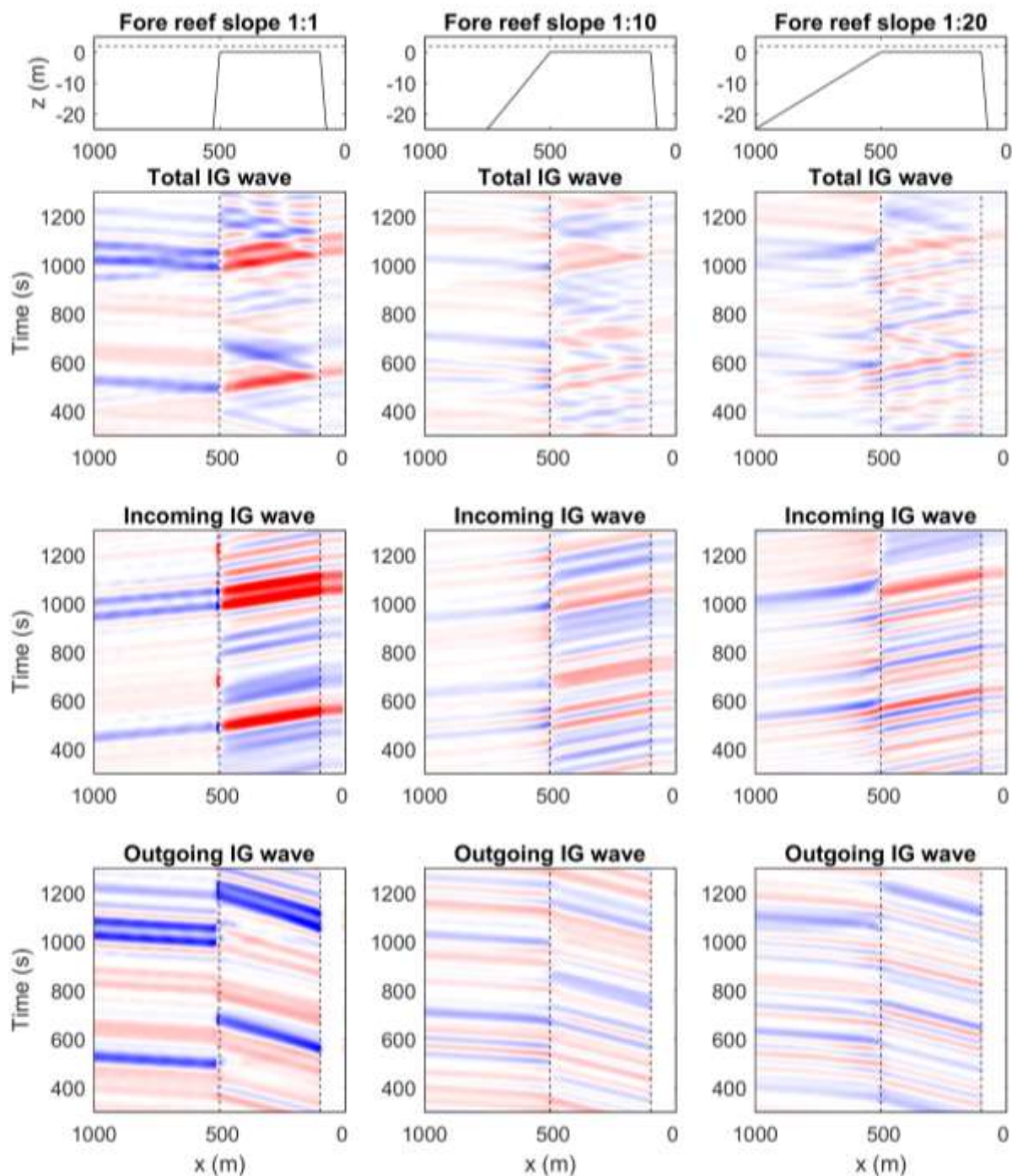
**Figure 8** – Comparison of wave heights and water levels between small-scale physical model results (black circles) and proto-type XBeach modelling results (black solid line) for the long-period runs of Series B (mid-tide). From left to right, the results represent runs B2 ( $H_{s,o} = 2$  m), B4 ( $H_{s,o} = 3$  m) and B6 ( $H_{s,o} = 4$  m), and the following parameters are plotted from top to bottom: significant incident wave height  $H_{s,INC}$ , significant infragravity wave height  $H_{s,IG}$ , and mean water level  $wl$ . The vertical dashed lines represent the edges of the reef platform.



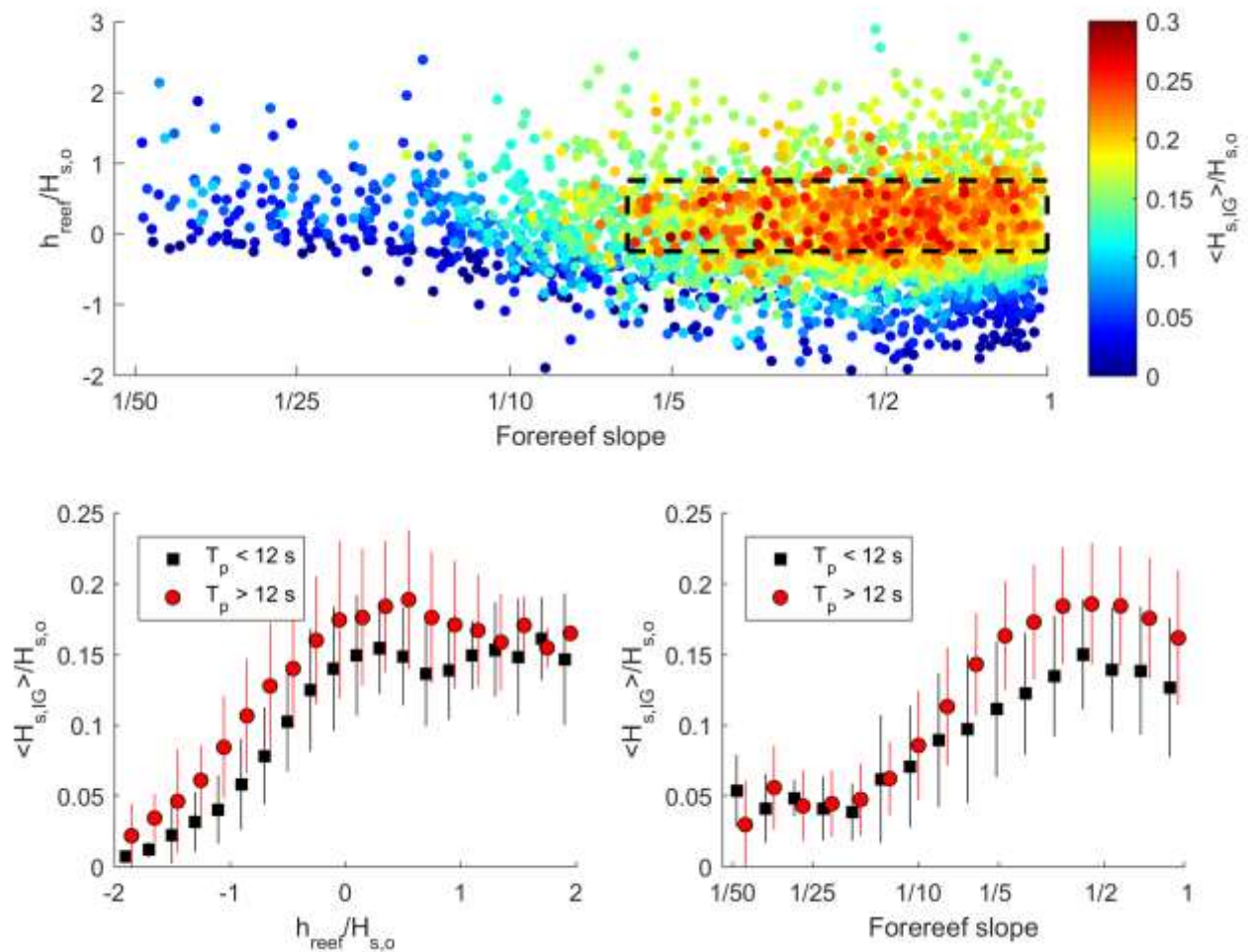
**Figure 9** – Characteristics of the XBeach-modelled infragravity wave signal across the reef platform for run #A4 (without island, low tide and  $T_p = 16.3$  s; left panels), run #C5 (without island, high tide and  $T_p = 9.9$  s; middle panels) and run #E4 (with island, high tide and  $T_p = 9.9$  s; right panels). Upper panels show the normalised wave spectrum. Middle panels show the cross-correlation function between the lowpass-filtered wave envelope  $A_t$  and the infragravity wave signal  $\eta_{t,IG}$  for each of the wave gauges (infragravity frequency cut-off =  $1/(4T_p)$  Hz). Bottom panels show the cross-correlation function between  $A_t$  at  $x = 600$  m (marked with white circle) and  $\eta_{t,IG}$  at each of the model grid points. The vertical dashed lines in all panels represent the edges of the reef platform. The colour axis in the cross-correlation panels run from -0.75 (dark blue) to 0.75 (dark red).



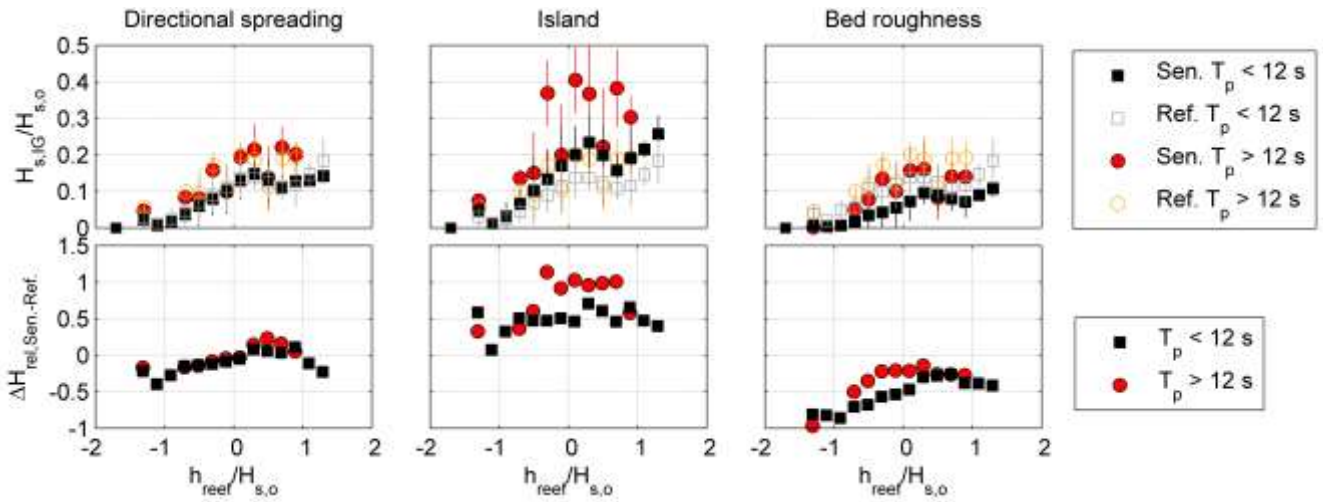
**Figure 10** – Characteristics of the XBeach modelled infragravity wave signal for reef platforms with varying gradients of fore reef: 1:1 (left panels); 1:10 (middle panels) and 1:20 (right panels). Forcing conditions are  $H_{s,o} = 2.5$  m;  $T_p = 12.5$  s; and  $h_{reef}$  varies, from top to bottom in 2-m increments, from 4 m (high tide + 2 m) to -4 m (low tide - 4 m). Upper panel shows reef morphology and the extreme water levels; all other panels show the cross-correlation function between the lowpass-filtered wave envelope  $A_t$  at the seaward model boundary ( $x = 1000$  m) and the infragravity wave signal  $\eta_{t,IG}$  at each of the model grid points for different water levels. The vertical dashed lines in all panels represent the edges of the reef platform. The colour axis in the cross-correlation panels runs from -1 to 1.



**Figure 11** – Propagation of incoming and outgoing infragravity waves across the reef platform for fore reef slopes of 1:1 (left panels), 1:10 (middle panels) and 1:20 (right panels). Upper panels shows reef morphology for the three different fore reef slopes and the 2-m water level for which the wave transformation was modelled; other panels show the spatial-temporal evolution of, from top to bottom, the total, incoming and outgoing IG wave motion. The incoming and outgoing IG components of  $\eta_t$  were obtained using a lowpass filter of  $4T_p$  and the method of *Guza et al. (1984; Eqs 6 and 7)*. The vertical dashed lines in all panels represent the edges of the reef platform. The colour axis in the time series runs from -0.6 to 0.6 m; thus, dark blue and red ‘stripes’ represent IG wave troughs and crests, respectively.



**Figure 12** – Modelled characteristics of the infragravity wave signal averaged across the reef platform for c. 4000 XBeach runs with varying fore reef slopes, wave conditions and water levels. Upper panel shows the relative infragravity wave energy  $\langle H_{s,IG} \rangle / H_{s,o}$  (proportional to colour of symbols; see colour bar) averaged across the reef platform as a function of fore reef slope and relative water level  $h_{reef} / H_{s,o}$ . Lower-left and lower-right panels show mean and standard deviation associated with  $H_{s,IG} / H_{s,o}$  computed for distinct bins of  $h_{reef} / H_{s,o}$  and fore reef slope, respectively, divided into short- and long-period waves, using a peak period  $T_p$  of 12 s to separate the two groups of waves.



**Figure 13** – Modelled characteristics of the infragravity wave signal averaged across the reef platform for three sensitivity analyses with 107 XBeach runs each. Left panels compare 2DH with 1D model simulations; middle panels compare model simulations with an without an island on the reef platform; and right panels compare low-roughness ( $c_f = 0.002$ ) and high-roughness ( $c_f = 0.1$ ) simulations. The upper panels show mean and standard deviation associated with  $\langle H_{s,IG} \rangle / H_{s,o}$  in sensitivity simulations (filled symbols; ‘Sen’) and equivalent reference simulations (open symbols; ‘Ref’) computed for distinct bins of  $h_{reef} / H_{s,o}$  and divided into short- and long-period waves, using a peak period  $T_p$  of 12 s to separate the two groups of waves. The bottom panels show the relative difference in  $\langle H_{s,IG} \rangle / H_{s,o}$  between the sensitivity and reference simulations computed for distinct bins of  $h_{reef} / H_{s,o}$ . Note that due to the fact that only a subsection of all the 1D simulations was carried out in the sensitivity simulations, not all  $h_{reef} / H_{s,o}$  bins contain comparative data.

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