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# Autoxidation of the sea ice biomarker proxy IPSO <inf>25</inf> in the near-surface oxic layers of Arctic and Antarctic sediments

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Autoxidation of the sea ice biomarker proxy IPSO<sub>25</sub> in the near-surface oxic layers of Arctic and Antarctic sediments Jean-François Rontani<sup>a\*</sup>, Lukas Smik<sup>b</sup>, Simon T. Belt<sup>b</sup> <sup>a</sup> Aix Marseille Univ, Université de Toulon, CNRS/INSU/IRD, Mediterranean Institute of Oceanography (MIO) UM 110, 13288 Marseille, France <sup>b</sup> Biogeochemistry Research Centre, School of Geography, Earth and Environmental Sciences, University of Plymouth, Drake Circus, Plymouth, Devon PL4 8AA, UK \* Corresponding author. Tel.: +33-4-86-09-06-02; fax: +33-4-91-82-96-41. *E-mail address*: jean-francois.rontani@mio.osupytheas.fr (J.-F. Rontani) 

### **Abstract**

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Over the last decade or so, the mono- and di-unsaturated highly branched isoprenoid (HBI) lipids IP<sub>25</sub> (Ice Proxy with 25 carbon atoms) and IPSO<sub>25</sub> (Ice Proxy for the Southern Ocean with 25 carbon atoms) have emerged as useful proxies for sea ice in the Arctic and Antarctic, respectively. A more complete understanding of their respective proxy signatures, however, requires more detailed knowledge of their stability in the water column and in sediments. In the current study, we focused on the autoxidation of IPSO25, first by performing laboratorybased oxidation reactions on a purified sample and characterizing products based on detailed mass spectral analysis. We then analysed for the same oxidation products in near-surface sediments retrieved from the Arctic and the Antarctic, and some suspended organic matter from the Antarctic. Our data show that IPSO<sub>25</sub> is susceptible to partial autoxidation within the oxic layers of Arctic and Antarctic sediments, while the same processes appear not to be so important in the water column. Although the number of primary autoxidation reactions identified in sediments was not as large as in laboratory experiments, there was evidence for their subsequent modification by biotic degradation. Quantifying the extent of degradation of IPSO<sub>25</sub> and IP<sub>25</sub> in sediments, and thus the impact of such process on the use of these biomarkers as paleo sea ice proxies, remains challenging at this stage, since most of the primary oxidation products do not accumulate, likely due to to secondary biodegradation reactions. Some interesting differences in reactivity were also observed between IPSO<sub>25</sub> and IP25 present in the same Arctic sediments. This suggests that factors other than environmental control may influence the IPSO<sub>25</sub>/IP<sub>25</sub> ratio (i.e. DIP<sub>25</sub>) in Arctic sediments.

**Key words**: IPSO<sub>25</sub>; Degradation; Autoxidation; Arctic and Antarctic sediments; Biotic and

abiotic interactions; IP<sub>25</sub>; DIP<sub>25</sub>.

### 1. Introduction

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C<sub>25</sub> and C<sub>30</sub> highly branched isoprenoid (HBI) alkenes (commonly exhibiting between one and six double bonds) are ubiquitous biomarkers found in a wide range of marine and lacustrine sediments (Rowland et al., 1990; Belt et al., 2000; Sinninghe Damsté et al., 2004). Despite this, HBIs appear to be biosynthesized by a relatively small number of diatom taxa belonging to the Haslea, Navicula, Pleurosigma, Berkeleya, Rhizosolenia and Pseudosolenia genera (Volkman et al., 1994; Sinninghe-Damsté et al., 1999; Belt et al., 2001a, 2001b, 2016; Grossi et al., 2004; Brown et al., 2014; Kaiser et al., 2016). Amongst the more recent investigations, a mono-unsaturated C<sub>25</sub> HBI alkene (3,9,13-trimethyl-6-(1,5dimethylhexyl)-tetradec-1-ene) was identified in Arctic sea ice and in underlying sediments (Belt et al, 2007; Vare et al, 2009). Since this HBI is believed to only be made by certain Arctic sea ice diatoms (Belt et al., 2007; Brown et al., 2014) and appears relatively stable in the geological record, its analysis in marine sedimentary archives provides a proxy measure of seasonal Arctic sea ice in the past. More commonly referred to as IP25 (Ice Proxy with 25 carbon atoms), this HBI has been used as the basis for sea ice reconstructions spanning different Arctic regions and over a range of timescales (see Belt, 2018 for a recent compilation of sea ice reconstructions). A related di-unsaturated HBI (2,6,10,14tetramethyl-7-(3-methylpent-4-enyl)-pentadec-6(17)-ene), sometimes referred to as diene II, is co-produced with IP<sub>25</sub> in the Arctic, and is also biosynthesized by some Antarctic sea ice diatoms (Nichols et al., 1993; Johns et al., 1999; Belt et al., 2016). Interestingly, however, IP25 has not been reported in sea ice, sediments or the water column from around the Antarctic. As such, diene II has been proposed as a proxy measure for sea ice in the Southern Ocean, and the term IPSO<sub>25</sub> (Ice Proxy for the Southern Ocean with 25 carbon atoms) has been recently proposed (Belt et al., 2016). Although IPSO<sub>25</sub> appears to be a common

constituent of Antarctic surface sediments (for near-coastal regions, at least; Nichols et al., 1993; Johns et al., 1999; Belt et al., 2016; Belt, 2018,2019), analysis of IPSO<sub>25</sub> in downcore Antarctic archives has so far resulted in only a relatively small number of palaeo sea ice reconstructions, at least in comparison with IP<sub>25</sub> for the Arctic (e.g., Collins et al., 2013; Etourneau et al., 2013; Barbara et al., 2016; Campagne et al., 2016; see also Belt, 2018,2019 for a recent review and summary). Finally, in the Arctic, the ratio IPSO<sub>25</sub>/IP<sub>25</sub> (sometimes referred to as DIP<sub>25</sub>) has previously been proposed as a possible indicator of variability in sea ice conditions or even of sea surface temperatures (SST) (e.g. Fahl and Stein, 2012; Stein et al., 2012; Cabedo-Sanz et al., 2013).

As with all proxies, including those based on individual or combinations of biomarkers, their application requires careful consideration of alteration and preservation between their source and sedimentary environments. It is necessary, therefore, to determine the magnitude and relative importance of various biotic and/or abiotic processes that can influence the preservation of the original source signature. In the case of HBIs, bacterial degradation of some HBIs was studied several decades ago (Robson and Rowland, 1988), yet the effects of photo- and autoxidation on these compounds have been examined only relatively recently. Motivation for the more recent studies stems partly from the proxy signatures of certain HBIs such as IP25 and IPSO25, as described above, together with the now well-known high reactivity of terrestrial and marine organic matter, more generally, in the Arctic (Rontani et al., 2012,2016,2017). By studying the reactivity of a range of HBI alkenes towards different abiotic processes in solvents and in senescent diatoms (Rontani et al., 2011,2014), extremely low reactivities of mono- and di-unsaturated HBIs were observed, and attributed to the presence of relatively unreactive terminal double bonds. Such lack of reactivity is consistent with the general lack of degradation of IP<sub>25</sub> in the water column following sea ice melt (Brown et al., 2016; Rontani et al., 2018a). However, lipid

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autoxidation is not limited to the water column, and can potentially be an important process in the oxic layers of sediments, especially for regions of low accumulation rates, where near-surface sediments may represent relatively long time intervals (decades to centuries). Indeed, as part of a recent laboratory-based investigation into the autoxidation of IP<sub>25</sub>, a series of oxidation products were characterized that could also be identified in sediment material from the Canadian Arctic (Rontani et al., 2018a). This study demonstrated the susceptibility of IP<sub>25</sub> towards autoxidation in Arctic sediments, a process that was more prevalent in cases where sequestered ice algal material experienced relatively long residence times in the oxic layer. On the other hand, the near-ubiquity of IP<sub>25</sub> in surface sediments from across the Arctic suggests that such oxidation reactions likely perturb its sedimentary content, rather than remove it.

In the present work, we aimed to determine whether IPSO<sub>25</sub> also undergoes autoxidation in near-surface Arctic and Antarctic sediments and, therefore, whether palaeo sea ice reconstructions using this proxy should consider the possible impact of this type of degradation. To achieve this, oxidation of purified IPSO<sub>25</sub> was carried out under more powerful oxidative conditions than previously employed (Rontani et al., 2014) and the main products were identified by high resolution mass spectral analysis. The same oxidation products were then analysed for, and quantified, in sediment samples from the Canadian Arctic and the West Antarctic Peninsula (WAP).

### 2. Experimental

### 2.1. Sediment sampling

Sediment material from the Arctic was taken from a box core obtained from Barrow Strait (STN 4) in the Canadian Arctic on board the CCGS Amundsen in 2005 (Belt et al.,

2013). The box core was sectioned on board, with sub-samples (1 cm resolution) then frozen (-20° C) prior to being freeze-dried and stored (-20° C to +4° C) prior to analysis (Rontani et al., 2018a). The redox boundary layer was identified using the change (reduction) in Mn content as described previously (Vare et al., 2009; Brown, 2011 and references cited therein). Sediment material from the WAP (see Belt et al., 2016 for details of locations) was obtained from the upper 0–1 cm of box cores collected between 2002 and 2011 and then held at the British Antarctic Survey (UK) or the British Ocean Sediment Core Research Facility (BOSCORF, UK) at +4° C. suspended particulate matter (SPM) were obtained off the coast of East Antarctica as described previously (Rontani et al., 2018b) (Supplementary Figure 1).

### 2.2. Chemicals

A sample of purified IPSO<sub>25</sub> was obtained from a culture of the marine diatom *Haslea* ostrearia as described previously (Johns et al., 1999).

Treatment of IPSO<sub>25</sub> with a stoichiometric amount of perchloroperbenzoic acid in dry dichloromethane (4h at 50 °C) mainly afforded 1,2-epoxy-2-(4-methylpentyl)-3-(3-methylpent-4-enyl)-6,10-dimethylundecane (1) (93%) (Belt et al., 2007) and to a lower extent 1,2-epoxy-3,9,13-trimethyl-6-(1-methylidene-5-methylhexyl)-tetradecane (2) (7%) (total yield 85%). Differentiation between these two isomers was difficult due to their very similar mass spectra and needed LiAlH<sub>4</sub>-reduction to the corresponding alcohols (see below).

Oxidation of IPSO<sub>25</sub> using RuCl<sub>3</sub> and *tert*-butyl hydroperoxide in cyclohexane at room temperature for 16 h (Seki et al., 2008) and subsequent NaBH<sub>4</sub>-reduction in ethermethanol (4:1, v/v) produced 6-methylidene-2,10,14-trimethyl-7-(3-methylpent-4-enyl)-pentadecan-5-ol (3) and 3,9,13-trimethyl-6-(1-methylidene-5-methylhexyl)-tetradec-1-en-

3-ol (4) in low yield. It is interesting to note that using a mixture of RuCl<sub>3</sub> and *tert*-butyl hydroperoxide failed to attack the tertiary allylic position at C-7, likely due to steric hindrance. LiAlH<sub>4</sub>-reduction of the mixture of epoxides **1** and **2** in dry diethyl ether (1 h at room temperature) afforded 2,6,10,14-tetramethyl-7-(3-methylpent-4-enyl)-pentadecan-6-ol (5) and 3,9,13-trimethyl-6-(1-methylidene-5-methylhexyl)-tetradecan-2-ol (6), respectively (total yield 95%). Treatment of IPSO<sub>25</sub> with a stoichiometric amount of OsO<sub>4</sub> in dioxane-pyridine (8:1, v/v) at room temperature for 1h (MacCloskey and MacClelland, 1965) afforded 2-(4methylpentyl)-3-(3-methylpent-4-enyl)-6,10-dimethylundecane-1,2-diol (7) (yield 60%). 3,9,13-trimethyl-6-(1-methylene-5-methylhexyl)-tetradecane-1,2-diol **(8)** was obtained in small amounts after hydrolysis of the epoxide 2 in a mixture of MeOH and HCl 2N (5:1, v/v) at 50 °C for 2 h. Under these conditions epoxide 1 mainly isomerized to allylic alcohols. 3,7,11,15-Tetramethylhexadecan-1,2-diol (9) was produced by Pd/CaCO<sub>3</sub>-catalysed hydrogenation of 3-methylidene-7,11,15-trimethylhexadecan-1,2-diol (10) (Rontani et al., 2018), whose synthesis from phytol was described previously (Rontani and Aubert, 2005). 2,6,10,14-Tetramethylpentadecan-2-ol (11) was produced by condensation of 6,10,14-trimethylpentadecan-2-one (12) with methyllithium in anhydrous diethyl ether as previously described (Rontani et al., 2013a). 2.3. Induction of autoxidation in solvent

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Autoxidation experiments were performed under an atmosphere of air in 15 ml screw-cap flasks containing IPSO<sub>25</sub> (10 μg), *tert*-butyl hydroperoxide (300 μl of a 6.0 M solution in decane), di-*tert*-butyl nitroxide (1.2 mg) and hexane (2 ml). After stirring, the flask was incubated in the dark at 65 °C. A relatively high temperature was selected in order to accelerate the autoxidation reactions. Aliquots (200 μl) were withdrawn from the reaction mixture after incubation for different times. Each sub-sample was evaporated to dryness under a stream of nitrogen and analyzed by gas chromatography–electron ionization quadrupole time of flight mass spectrometry (GC-QTOF) after NaBH<sub>4</sub> reduction (Section 2.5) and derivatization (Section 2.7) for identification of hydroxylated oxidation products.

### 2.4. Reduction of oxidation products

Hydroperoxides resulting from IPSO<sub>25</sub> oxidation were reduced to the corresponding alcohols by reaction with excess NaBH<sub>4</sub> in diethyl ether:methanol (4:1, v/v) at room temperature (1 h). After reduction, a saturated solution of NH<sub>4</sub>Cl (10 ml) was added cautiously to remove any unreacted reducer; the pH was adjusted to 1 with dilute HCl (2 N) and the mixture shaken and extracted with hexane:chloroform (5 ml, 4:1, v/v; x3). The combined extracts were dried over anhydrous Na<sub>2</sub>SO<sub>4</sub>, filtered and evaporated to dryness under a stream of nitrogen.

### 2.5. Sediment and SPM treatment

Sediments or SPM material (collected on GF/F filters, porosity 0.8 µm) were placed in MeOH (15 ml) and hydroperoxides were reduced to the corresponding alcohols with excess NaBH<sub>4</sub> (70 mg, 30 min at 20 °C). Following the reduction step, water (15 ml) and KOH (1.7 g) were added and the mixture saponified by refluxing (2 h). After cooling, the contents of the flask were acidified (HCl, to pH 1) and extracted three times with

dichloromethane (DCM) (30 ml). The combined DCM extracts were dried over anhydrous Na<sub>2</sub>SO<sub>4</sub>, filtered and concentrated to give the total lipid extract (TLE). Since IPSO<sub>25</sub> oxidation product content was quite low relative to other lipids, accurate quantification required further separation of the TLE using column chromatography (silica; Kieselgel 60, 8 x 0.5 cm). IPSO<sub>25</sub> was recovered in the hexane eluate and its oxidation products in the dichloromethane eluate.

### 2.6. Derivatization

In order to analyse for hydroxylated products (i.e. alcohols and carboxylic acids), lipid extracts were derivatized by dissolving them in 300  $\mu$ l pyridine/bis-(trimethylsilyl)trifluoroacetamide (BSTFA; Supelco; 2:1, v/v) and silylated (50 °C, 1 h). After evaporation to dryness under a stream of N<sub>2</sub>, the derivatized residue was re-dissolved in 100  $\mu$ l BSTFA (to avoid desilylation of fatty acids), together with an amount of solvent (ethyl acetate) dependent on the mass of the extract, and then analyzed using GC–QTOF and GC-MS/MS.

### 2.7. GC-QTOF analyses

Accurate mass spectra were obtained with an Agilent 7890B/7200 GC-QTOF System (Agilent Technologies, Parc Technopolis - ZA Courtaboeuf, Les Ulis, France). A cross-linked 5% phenyl-methylpolysiloxane (Macherey Nagel; Optima 5-MS Accent) column (30 m  $\times$  0.25 mm, 0.25  $\mu$ m film thickness) was employed. Analysis was performed with an injector operating in pulsed splitless at 280 °C and the oven temperature programmed from 70 °C to 130 °C at 20 °C/min, then to 250 °C at 5 °C/min and then to 300 °C at 3 °C/min. The carrier gas (He) was maintained at 0.69  $\times$  10<sup>5</sup> Pa until the end of the temperature

program. Instrument temperatures were 300 °C for transfer line and 230 °C for the ion source. Accurate mass spectra were recorded across the range m/z 50-700 at 4 GHz with nitrogen as collision gas (1.5 ml/min). The QTOF-MS instrument provided a typical resolution ranging from 8009 to 12252 from m/z 68.9955 to 501.9706. Perfluorotributylamine (PFTBA) was utilized for daily MS calibration. Structural assignments were based on interpretation of accurate mass spectral fragmentations and confirmed by comparison of retention times and mass spectra of oxidation products with those of authentic synthesized compounds.

### 2.8. GC-MS/MS analyses

GC/EIMS/MS experiments were performed using an Agilent 7890A/7010 tandem quadrupole gas chromatograph system equipped with a HES source (Agilent Technologies, Parc Technopolis - ZA Courtaboeuf, Les Ulis, France). A cross-linked 5% phenylmethylpolysiloxane (Agilent; HP-5MS) (30 m × 0.25 mm, 0.25 μm film thickness) capillary column was employed. Analyses were performed with an injector operating in pulsed splitless mode set at 270 °C and the oven temperature programmed from 70 °C to 130 °C at 20 °C/min, then to 250 °C at 5 °C/min and then to 300 °C at 3 °C/min. The pressure of the carrier gas (He) was maintained at 0.69 x 10<sup>5</sup> Pa until the end of the temperature program and then programmed from 0.69 × 10<sup>5</sup> Pa to 1.49 × 10<sup>5</sup> Pa at 0.04 × 10<sup>5</sup> Pa/min. The following mass spectrometric conditions were employed: electron energy, 70 eV; transfer line, 300 °C; source temperature, 230 °C; quadrupole 1 temperature, 150 °C; quadrupole 2 temperature, 150 °C; collision gas (N<sub>2</sub>) flow, 1.5 ml/min; quench gas (He) flow, 2.25 ml/min; mass range, 50-700 Dalton; cycle time, 313 ms. Collision induced dissociation (CID) was optimized by using collision energies at 5, 10, 15 and 20 eV. Quantification of oxidation

products 3, 5 and 7 was carried out with external standards in multiple reaction monitoring (MRM) mode. Precursor ions were selected from the more intense and specific ions observed in EI mass spectra. Due to the very low amounts of IPSO25 available, these compounds could not be produced in sufficient amounts to be used as external standard during their quantification in sediment samples. TMS derivative of structurally similar isoprenoid compounds (3-methylidene-7,11,15-trimethylhexadecan-1,2-diol (10) for compound 3, 2,6,10,14-tetramethylpentadecan-2-ol **(11)** for compound and 3,7,11,15tetramethylhexadecan-1,2-diol (9) for compound 7) (see appendix) were thus used as external standards. Correction factors that took into account the proportion of the selected precursor ion in the EIMS of each compound and that of the selected MRM transition in each CID-MS were employed.

### 3. Results

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### 3.1. Autoxidation of IPSO25 in solvent

A number of different oxidation products could be detected after incubation of IPSO<sub>25</sub> in hexane in the presence of *tert*-butyl hydroperoxide (radical enhancer) and di-*tert*-butyl nitroxide (radical initiator) (Porter et al., 1995) at 65 °C and subsequent NaBH<sub>4</sub>-reduction and silylation. Comparison of retention times and accurate mass spectra of these compounds (Figs. 1 and 2) with qualitative standards prepared by oxidation of purified IPSO<sub>25</sub> (Section 2.2) allowed formal identification of compounds **1** (59.2%), **2** (5.9%), **3** (9.3%), **4** (10.2%) and **7** (traces). A compound derived from the attack of the terminal tertiary carbon atoms was also detected, and tentatively attributed to 10-methylidene-2,6,14-trimethyl-9-(3-methylpent-4-enyl)-pentadecan-2-ol (**13**) or 6-methylidene-2,10,14-

trimethyl-7-(3-methylpent-4-enyl)-pentadecan-2-ol (**14**) (7.6%) on the basis of the accurate mass fragmentations observed (Fig. 1D).

### 3.2. Autoxidation of IPSO<sub>25</sub> in Arctic and Antarctic sediments

The DCM eluates obtained after chromatographic fractionation of the total lipid extracts from the sediments investigated were analysed in MRM mode. The use of the transitions m/z 365  $\rightarrow$  275, m/z 365  $\rightarrow$  135 and m/z 365  $\rightarrow$  149 and the comparison of retention time with the oxidation products characterized during the thermal incubation reactions allowed the unambiguous detection of the alcohol 3 (Fig. 3). In contrast, we failed to detect the oxidation products 1, 2 and 4. Taking into account: (i) the presence of the IPSO25 oxidation product 3 in the sediments and (ii) the well-known lability of epoxides, we searched for the presence of the reduction and hydrolysis products of the main oxidation product 1 (i.e. 2,6,10,14-tetramethyl-7-(3-methylpent-4-enyl)-pentadecan-6-ol (5) (Fig. 2A) and 2-(4-methylpentyl)-3-(3-methylpent-4-enyl)-6,10-dimethylundecane-1,2-diol (7) (Fig. 2C)). By using appropriate MRM transitions, we were able to detect the tertiary alcohol 5 in DCM eluates of both Arctic and Antarctic sediments (Fig. 4). In contrast, diol 7 could only be identified in the Arctic sediment extracts (Fig. 5).

As described in Section 2.8, quantification of compounds 3, 5 and 7 involved the use of TMS derivatives of structurally similar isoprenoid compounds as external standards. The transitions employed for quantification were (i) m/z 365  $\rightarrow$  275 and m/z 353  $\rightarrow$  263 (loss of trimethylsilanol by the precursor ion) for the alcohol 3 and the standard 10, respectively; (ii) m/z 353  $\rightarrow$  117 and m/z 341  $\rightarrow$  117 (formation of the product ion TMS-O<sup>+</sup>=CH-CH<sub>3</sub>) for the tertiary alcohol 5 and the standard 11, respectively; (iii) m/z 423  $\rightarrow$  333 and m/z 355  $\rightarrow$  265 (loss of trimethylsilanol by the precursor ion) for the diol 7 and the standard 9, respectively.

As indicated in Section 2.8, corrective factors were applied to accommodate for structural differences between the oxidation product and the corresponding standard. The resulting concentrations of compounds 3, 5 and 7 are given in Tables 1 and 2.

### 3.3. Autoxidation of IPSO25 in Antarctic SPM

We also analysed for IPSO<sub>25</sub> oxidation products in lipid extracts of suspended particles collected at different water depths in the polynya region west of the Dalton Iceberg Tongue (East Antarctica) and where an intense autoxidation of some other lipids was previously observed (Rontani et al., 2018b). However, compounds **3**, **5** and **7** could not be identified in any of the samples analysed.

### 4. Discussion

### 4.1. Autoxidation of IPSO<sub>25</sub> in solvent

It is well-known that addition of ROO• radicals to a C=C bond competes with allylic hydrogen abstraction when there is a double bond that is either conjugated or 1,1-disubstituted (Schaich, 2005). Consistent with this, we observed efficient addition of peroxyl radicals to the 1,1-disubstituted 6-17 double bond of IPSO<sub>25</sub> affording epoxide **1** as the major product (59.2% of total oxidation products) after fast intramolecular homolytic substitution (Fossey et al., 1995) (Fig. 6). In contrast, addition to the terminal 23-24 double bond was relatively minor (5.9% of total oxidation products). Addition of peroxyl radicals to the 6-17 double bond also resulted in the formation of trace amounts of the diol **7** after subsequent oxygen addition and hydrogen abstraction (Fig. 6). Parallel to these peroxyl radical addition

reactions was a series of competitive hydrogen abstraction reactions leading to the formation of hydroperoxides 15-18 (see appendix), which manifest as alcohols 3, 4, 13 and 14 following NaBH<sub>4</sub>-reduction during treatment. Hydrogen atom abstraction from the allylic carbon atoms 5 and 22 of IPSO<sub>25</sub> and subsequent oxidation of the resulting radicals to yield hydroperoxides 15 and 16, respectively (Fig. 6), is as expected given the relatively stable allylic radicals formed (Fig. 6), with the additional formation of hydroperoxides 17 and 18 presumably attributable to the stability of their respective tertiary radical precursors. Surprisingly, we failed to detect oxidation products resulting from hydrogen atom abstraction at carbon 7, despite the stability of the tertiary allylic radical formed. These results are consistent with the very low efficiency of autoxidative processes at the allylic C-7 previously observed in the case of (6-17, 9-10, 23-24) HBI triene (Rontani et al., 2014). We suggest that the lack of reaction at C-7 results from steric hindrance during hydrogen abstraction by the bulky tert-butylperoxyl radicals employed during the incubation, and is supported by the lack of oxidation of the allylic carbon 7 observed during treatment of IPSO<sub>25</sub> with RuCl<sub>3</sub>- tert-butyl hydroperoxide (see Section 2.2). Hydrogen atom abstraction from non-allylic tertiary carbon atoms appeared to be limited to the external tertiary carbon atoms 2 and 14 of the molecule (and not to carbon 10), also likely due to steric hindrance.

### 4.2. Degradation of IPSO25 in Arctic and Antarctic sediments

Despite the relative recalcitrance of mono- and di-unsaturated HBIs towards free radical oxidation, reported previously (Rontani et al., 2011, 2014), oxidation product 3 could be detected in most of the Arctic and Antarctic sediments (Tables 1 and 2), confirming the partial autoxidation of IPSO<sub>25</sub> in both regions. On the other hand, the failure to detect the major oxidation product of this diene in the incubation experiments (i.e. compound 1) likely results from: (i) the lack of specificity of its main MRM transitions, thus making it difficult

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to identify, (ii) an intense degradation during the treatment (NaBH<sub>4</sub>-reduction, alkaline hydrolysis and acidification) or (iii) the well-known biotic and abiotic lability of epoxides in sediments, more generally. Indeed, epoxides may undergo alcoholysis and hydrolysis during alkaline hydrolysis and are converted to chlorohydrins during acidification with HCl (Marchand and Rontani, 2001). Some epoxides are also slowly reduced to alcohols during NaBH<sub>4</sub>-reduction (Zabeti et al., 2010), but this is not the case for epoxide 1. From a biological perspective, these epoxides react readily with a large number of cell components such as DNA or proteins (Swaving and de Bont, 1998) so their removal is essential for bacteria to survive. This involves two main types of enzymes: glutathione transferases (GSTs) (which catalyse the reduction of the epoxide ring to an alcohol, Kieslich et al., 1986) and epoxide hydrolases (which catalyse the hydrolysis of the epoxide ring to a diol, Michaels et al., 1980; Rustemov et al., 1991). Moreover, epoxides may also be hydrolysed abiotically (Minerath et al., 2009) and rearranged to carbonyl compounds in sediments with high clay content (Ruiz-Hitzky and Casal, 1985).

The presence of the tertiary alcohol **5** in the sediments investigated (Tables 1 and 2), may therefore potentially be attributed to the reduction of the epoxide ring of the IPSO<sub>25</sub> oxidation product **1** by sedimentary bacteria (Fig. 7). However, alcohol **5** might also be produced directly from IPSO<sub>25</sub> by bacteria after hydration (pathway II in Fig. 7) or epoxidation (pathway III in Fig. 7) and subsequent reduction (pathway IV in Fig. 7). Indeed, the involvement of hydration during anaerobic bacterial degradation of isoprenoid alkenes (squalene, pristenes and phytenes, Rontani et al., 2002, 2013a) and *n*-alk-1-enes (Grossi et al., 2011) was demonstrated previously. On the other hand, bacterial epoxidation (mediated by cytochrome P-450-dependent monooxygenases) can produce epoxides from a broad range of lipophilic substrates such as *n*-alkenes (Soltani et al., 2004), terpenes (Duetz et al., 2003), unsaturated fatty acids (for a review see Ratledge, 1994) and alkenones (Zabeti et al.,

2010). Since bacterial epoxidation should act more intensively on the terminal 23-24 double bond due to the better proximity of the terminal double bond to the heme iron of cytochrome P-450 (Andersen et al., 1997), the formation of epoxide **2** (Fig. 7) and its degradation products would thus be expected. However, the absence of alcohol **6** (resulting from the reduction of epoxide **2** or hydration of the 23-24 double bond of IPSO<sub>25</sub> (Fig. 7)) in the sediments analyzed points to the lack of such bacterial processes, so the formation of alcohol **5** seems thus to mainly result from bacterial reduction of the epoxide ring of autoxidation product **1**.

Further, due to the probable low reactivity of monoxygenases towards the 6-17 double bond of IPSO<sub>25</sub>, the formation of diol **7** may be attributed to the biotic (induced by epoxide hydrolases) or abiotic (clay-catalyzed) hydrolysis of epoxide **1** (pathways V and VI in Fig. 7). The lack of methoxyhydrins and chlorohydrins derived from the degradation of the epoxide **1** in the presence of methanol and hydrochloric acid, respectively, also allow us to exclude the possible production of diol **7** during sample treatment (alkaline hydrolysis and acidification).

Surprisingly, diol **8** could not be detected during MRM analyses of the sediment extracts, despite the previous detection of its close structural analog (i.e. diol **19**) as an oxidation product of IP<sub>25</sub> in the same (STN 4) sediments (Rontani et al., 2018a). We attribute this to (i) the possible coelution of diols **7** and **8** and (ii) the very weak abundance of the precursor ion at m/z 423 in the mass spectrum of its TMS derivative (Fig. 2D), rather than from a lack of microbial degradation of IPSO<sub>25</sub>.

In the sediments from the Arctic (STN 4), we also note the generally increasing proportion of IPSO<sub>25</sub> oxidation products with depth below the redox boundary (Fig. 8), indicative of a progressive reduction of hydroperoxide **15** (produced in the oxic layer) to the corresponding alcohol **3**, together with reduction and hydrolysis of the epoxide **1** to yield

alcohol **5** and diol **7**. However, due to the proposed action of sedimentary bacteria on the autoxidation products of IPSO<sub>25</sub> (see earlier), the very low amounts of compounds **3**, **5** and **7** relative to their parent compound (< 1%; Fig. 8) likely underestimates the extent of abiotic degradation of IPSO<sub>25</sub>, more generally.

In the Antarctic surface sediments, the proportion of oxidation products was always low, ranging from 0.02 to 1.1% of the residual IPSO<sub>25</sub> (Table 1). These differences may potentially be attributed to: (i) the ability for sedimentary bacterial communities to degrade the primary IPSO<sub>25</sub> autoxidation products, as described above, or (ii) the different residence times of algal material within the oxic layer of sediments, which may vary considerably according to location. On the other hand, the variable degradation extent may reflect the different times that the sediments have been kept in storage following collection; however, for the samples analyzed, the lowest percentages of degradation products were observed in the oldest samples (i.e. in BC 313/316 collected in 2002 compared to the other box cores collected in 2008 and 2011; Table 1). These results, and those obtained previously for IP<sub>25</sub> (Rontani et al., 2018a), highlight the importance of the measurement of redox boundary layers in upper sections of sediment cores and sedimentation rates to estimate the residence time of algal material in the oxic environment and thus the extent of autoxidative degradation and its impact on paleo sea ice reconstruction based on the use of HBI tracers.

### 4.3. Degradation of IPSO25 in Antarctic SPM

The failure to detect compounds **3**, **5** and **7** in lipid extracts of strongly autoxidized suspended particles (Rontani et al., 2018b) collected at different water depths in the polynya region west of the Dalton Iceberg Tongue (East Antarctica) is in good agreement with: (i) the relative recalcitrance of di-unsaturated HBIs towards free radical oxidation processes

(Rontani et al., 2011, 2014), and (ii) the expected short residence time of highly aggregated ice algae (i.e. the source of IPSO<sub>25</sub>) (Riebesell, 1991; Alldredge et al., 1993; Passow, 2002) within the water column. It also enables us to exclude the possible biological formation of these compounds in ice algae.

### 4.4. Potential effects of degradation processes on the DIP25 index

Due to the co-occurrence of IPSO<sub>25</sub> (generally reported as diene II in the Arctic) and IP<sub>25</sub> in Arctic sea ice, particles and sediments under sea ice (Belt et al., 2007; Vare et al., 2009), it has been suggested that the ratio between these two biomarkers (viz. IPSO<sub>25</sub>/IP<sub>25</sub> or DIP<sub>25</sub> (Cabedo-Sanz et al., 2013)) may potentially provide further insights into Arctic sea ice conditions (e.g. Fahl and Stein, 2012; Stein et al., 2012; Cabedo-Sanz et al., 2013). It has also been suggested that variable DIP<sub>25</sub> might be indicative of changes to SST based on some empirical observations and alignment with other SST proxies (Vare et al., 2009; Cabedo-Sanz et al., 2013; Xiao et al., 2013; Müller and Stein, 2014; Ruan et al., 2017); however, there are as yet no in situ data to support these interpretations (Belt, 2018). In general, proxies based on ratios of biomarkers are better at accommodating the effects of degradative processes, even if such effects cannot be totally eliminated. Indeed, it was previously demonstrated that, under some conditions, autoxidative and biodegradation processes may act selectively on  $C_{37:2}$  and  $C_{37:3}$  alkenones, thus negatively impacting on the  $U_{37}^{K'}$  index (for a review, see Rontani et al., 2013b). It is feasible, therefore, that differential degradation of IP<sub>25</sub> and IPSO<sub>25</sub> may also influence the DIP<sub>25</sub> ratio, with substantially increased values, as seen in some sedimentary records (Fahl and Stein, 2012; Müller and Stein, 2014) resulting from a preferential degradation of IP25. Previously, however, autoxidative degradation of these two HBIs measured in solvents (Rontani et al., 2014), showed a higher degradation

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rate for IPSO<sub>25</sub> (k = 0.004 h<sup>-1</sup>) compared to IP<sub>25</sub> (k = 0.001 h<sup>-1</sup>). Unfortunately, due to the mineralisation of the major part of substrates by bacteria, comparison of the efficiency of bacterial degradation processes on IP<sub>25</sub> and IPSO<sub>25</sub> on the basis of the quantities of metabolites detected is difficult. However, we note that significant proportions (up to 35% of the residual substrate) of 2,8,12-trimethyl-5-(1,5-dimethylhexyl)-tridecanoic acid (20), resulting from bacterial cleavage of the 23-24 double bond of IP<sub>25</sub>, were detected in sediments from Barrow Strait (i.e. STN 4) (Rontani et al., 2018c), while we failed to detect the corresponding metabolite of IPSO<sub>25</sub> (i.e. 2,8,12-trimethyl-5-(1-methylidene-5-methylhexyl)-tridecanoic acid (21)) in the same sediments. This suggests a preferential bacterial degradation of IP<sub>25</sub>, which could potentially be attributed to the presence of toxic autoxidative epoxides in algal material containing IPSO<sub>25</sub>, which are in lower abundance (or absent) in the case of IP<sub>25</sub>. More detailed analyses of factors that control the DIP<sub>25</sub> ratio, however, will be required in the future.

### 4.5. Consequences for IP25, IPSO25 and DIP25-based sea ice reconstructions

As with all lipid-based proxies, those involving HBIs such as IP<sub>25</sub> and IPSO<sub>25</sub> require careful consideration of their alteration and preservation during transport through the water column and deposition in sediments, including determining the magnitude and relative importance of biotic and/or abiotic processes. While both autoxidative and bacterial degradation products of IP<sub>25</sub> were identified and quantified previously in Arctic surficial sediments (Rontani et al., 2018c), here we demonstrated that IPSO<sub>25</sub> may also be affected by such processes in Arctic and Antarctic sediments. At this stage, the characterisation of signature degradation products from these biotic and abiotic processes mainly provides useful 'qualitative' indicators of diagenetic alteration of these two paleo sea ice tracers. Unfortunately, subsequent reaction of most of the primary oxidation products by

sedimentary bacteria limits their accumulation in sediments, thereby preventing any accurate quantitative estimates of the extent of degradation of IP<sub>25</sub> and IPSO<sub>25</sub>, and thus of the ratio between them (i.e. DIP<sub>25</sub>). The impacts of sedimentary degradation of IP<sub>25</sub> and IPSO<sub>25</sub> on their use as paleo sea ice proxies therefore remains difficult to assess at this stage. On the other hand, the somewhat higher accumulation of 2,8,12-trimethyl-5-(1,5-dimethylhexyl)-tridecanoic acid (20) in some oxic sediments could potentially provide semi-quantitative estimates of the role of bacterial degradation of IP<sub>25</sub> (Rontani et al., 2018c).

### 5. Conclusions

The detection of reduced or hydrolyzed autoxidation products of IPSO<sub>25</sub> in Arctic and Antarctic sediments demonstrated that this proxy may be partially degraded abiotically in near-surface oxic sediments, especially in the case of sediment cores containing relatively thick oxic layers representing long times of deposition. Unfortunately, due to its high biotic and abiotic lability, the major autoxidation product formed (epoxide 1) does not accumulate in sediments. In contrast, IPSO<sub>25</sub> appeared to be essentially unaffected by autoxidation processes in the water column.

The results obtained during this work also confirmed that, in the environment, biotic and abiotic degradation processes cannot be considered separately. Indeed, their interactions, although complex, need to be taken into account in any organic geochemical assessment.

Autoxidation reactions of HBIs appear to occur primarily at the unsaturated or allylic carbon atoms within the lipid framework. However, the production of compounds such as 13 or 14 observed during IPSO<sub>25</sub> autoxidation, and the previous detection of degradation products of IP<sub>25</sub> in Arctic sediments resulting from the free radical oxidation of its saturated

tertiary carbon atoms (Rontani et al., 2018a), clearly show that autoxidation processes can also affect saturated compounds when algal or bacterial material experiences long residence times in the oxic layer of sediments.

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### **APPENDIX**

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FIGURE CAPTIONS 681 682 **Figure 1**. TOFMS mass spectra of 1,2-epoxy-2-(4-methylpentyl)-3-(3-methylpent-4-enyl)-683 6,10-dimethylundecane (1) (A) and trimethylsilyl derivatives of: 6-methylidene-2,10,14-684 trimethyl-7-(3-methylpent-4-enyl)-pentadecan-5-ol **(3)** (B), 3,9,13-trimethyl-6-(1-685 methylidene-5-methylhexyl)-tetradec-1-en-3-ol (4) (C) and 10-methylidene-2,6,14-686 trimethyl-9-(3-methylpent-4-enyl)-pentadecan-2-ol (13)6-methylidene-2,10,14-687 or trimethyl-7-(3-methylpent-4-enyl)-pentadecan-2-ol (14) (D). 688 689 Figure 2. TOFMS mass spectra of trimethylsilyl derivatives of: 2,6,10,14-tetramethyl-7-(3-690 691 methylpent-4-enyl)-pentadecan-6-ol **(5)** (A),3,9,13-trimethyl-6-(1-methylidene-5methylhexyl)-tetradecan-2-ol (6) (B), 2-(4-methylpentyl)-3-(3-methylpent-4-enyl)-6,10-692 dimethylundecane-1,2-diol (7) (C) and 3,9,13-trimethyl-6-(1-methylene-5-methylhexyl)-693 694 tetradecane-1,2-diol (8) (D). 695 696 **Figure 3**. MRM chromatograms (m/z 365  $\rightarrow$  275, m/z 365  $\rightarrow$  149 and m/z 425  $\rightarrow$  135) of silvlated standard alcohol 3 (A) and DCM fractions obtained from the Antarctic station BC 697 698 313 (B) and the 10-11 cm sediment layer of the box core from Barrow Strait (Canadian Arctic) (C). 699 700 Figure 4. MRM chromatograms  $(m/z 201 \rightarrow 111, m/z 353 \rightarrow 117, m/z 353 \rightarrow 297 \text{ and } m/z$ 701  $423 \rightarrow 367$ ) of silylated standard alcohol 5 (A) and DCM fraction obtained from the 2-3 cm 702 sediment layer of the box core from Barrow Strait (Canadian Arctic) (B). 703

Figure 5. MRM chromatograms (m/z 526  $\rightarrow$  231 and m/z 526  $\rightarrow$  142) of silylated standard diol 7 (A) and DCM fractions obtained from the 2-3 cm (B) and the 10-11 cm (C) sediment layers of the box core from Barrow Strait (Canadian Arctic). Figure 6. Proposed mechanisms for the autoxidation of IPSO<sub>25</sub> in sediments and subsequent NaBH<sub>4</sub>-reduction of the resulting hydroperoxides during the treatment. Figure 7. Proposed mechanisms for the formation and degradation of epoxides 1 and 2 in sediments. Figure 8. Relative percentages of IPSO<sub>25</sub> and its degradation products in various sediment sections of the box core from Barrow Strait (Canadian Arctic). Supplementary Figure 1. Antarctic (a) and Arctic (b) sampling locations. (The rectangle corresponds to the sampling zone of SPM, see Rontani et al., 2018b for more precise locations).

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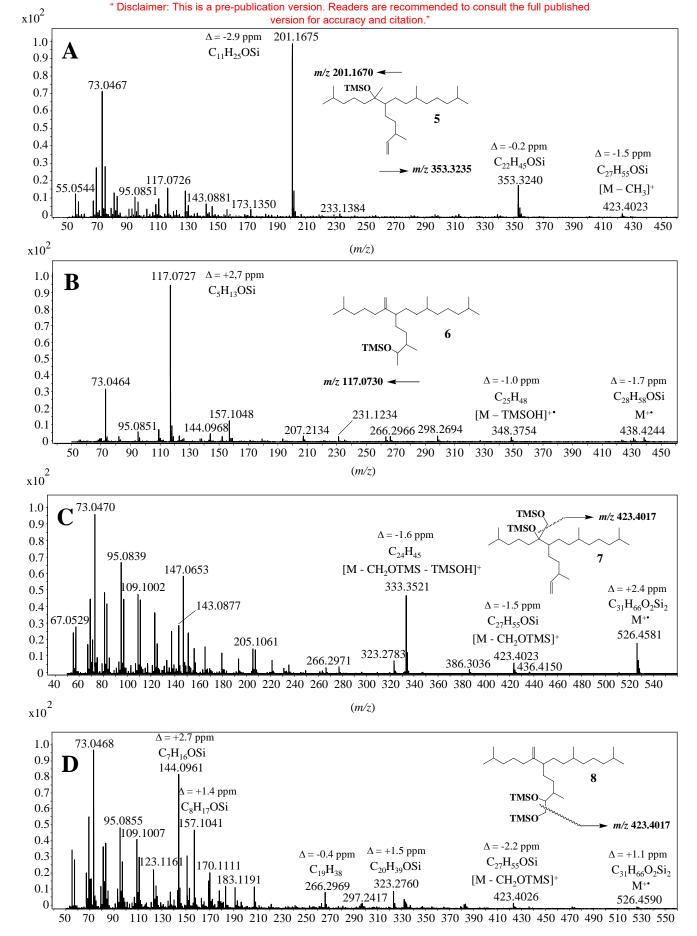
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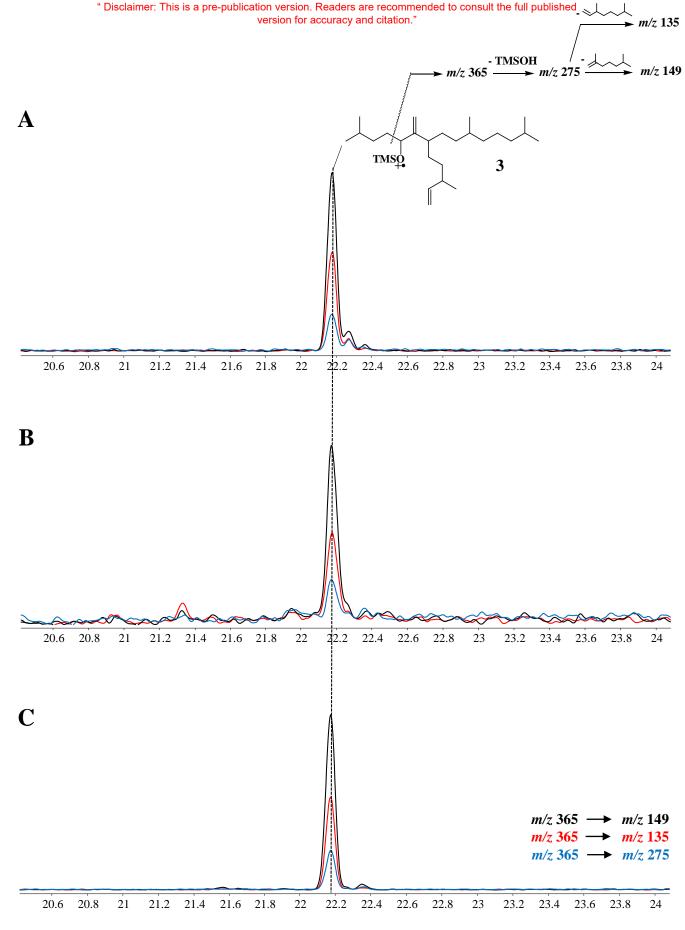
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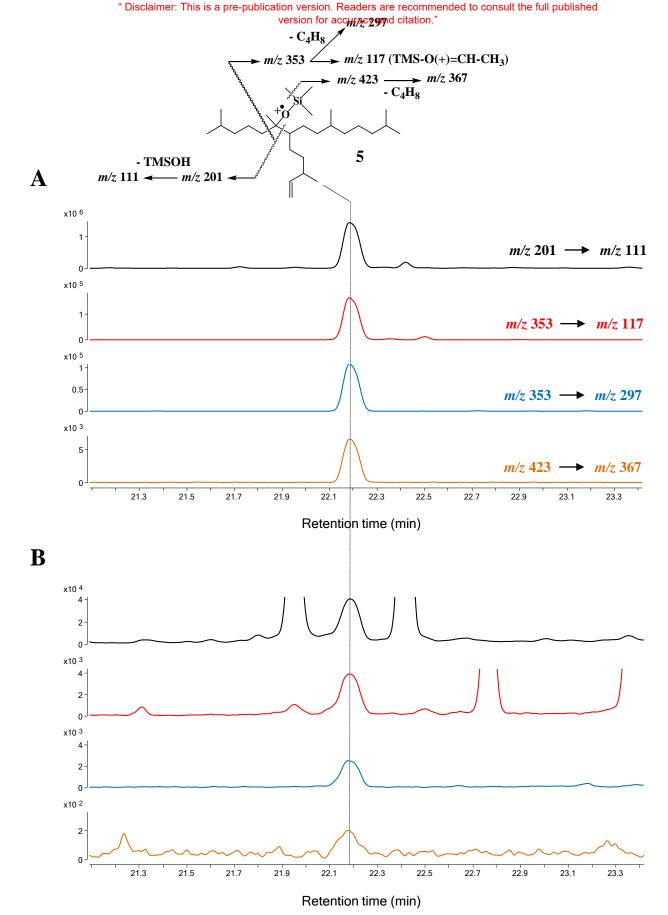
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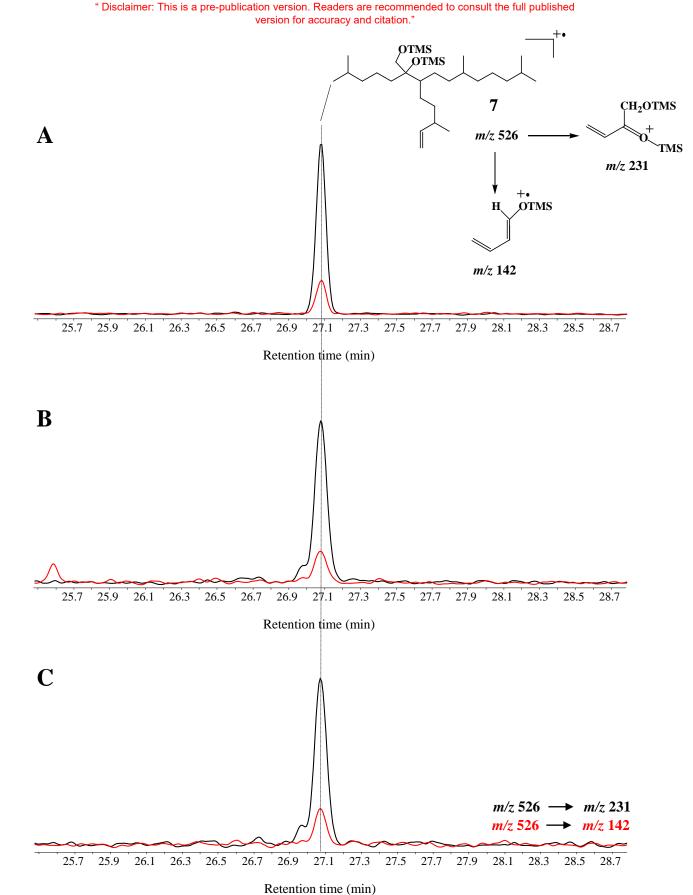
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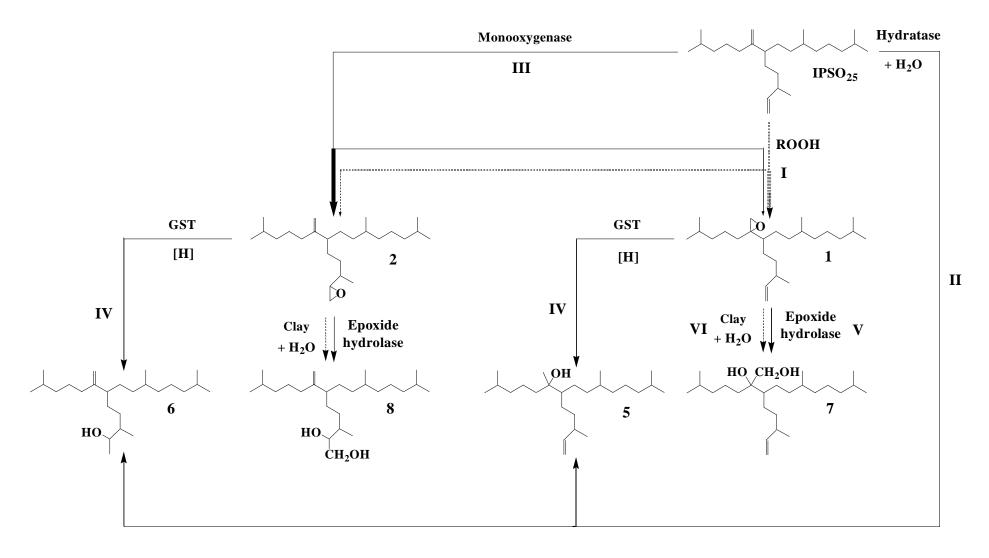


Retention time (min)





# Oxidation of the terminal tertiary carbon atoms



..... Abiotic processes

Biotic processes

# Relative percentage of $\ensuremath{\mathrm{IPSO}}_{25}$ and its degradation products

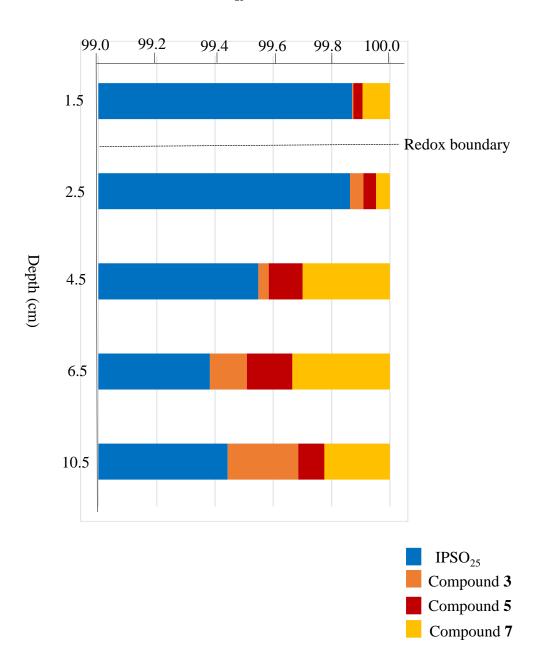


Table 1 Concentrations of IPSO<sub>25</sub> and its degradation products in Antarctic surface sediments

Station	IPSO <sub>25</sub> (ng g <sup>-1</sup> )	Compound 3 (pg g <sup>-1</sup> )	Compound <b>5</b> (pg g <sup>-1</sup> )
BC 313	1201.0	101.3 (0.01) <sup>b</sup>	77.8 (0.01) <sup>b</sup>
BC 316	396.0	569.2 (0.14)	38.1 (0.01)
BC 516	49.0	50.0 (0.10)	-
BC 566	42.0	230.3 (0.55)	231.7 (0.55)
BC 571	14.0	44.4 (0.32)	37.0 (0.26)
BC 615	93.0	_a	33.4 (0.04)
BC 628	29.0	54.5 (0.19)	25.4 (0.01)

<sup>&</sup>lt;sup>a</sup> Not detected <sup>b</sup> Percentage relative to the residual parent compound.

Table 2

Concentrations of IPSO<sub>25</sub> and its degradation products in sediments from the Arctic station 4

(Barrow Strait)

Depth (cm)	IPSO <sub>25</sub> (μg g <sup>-1</sup> )	Compound 3 (ng g <sup>-1</sup> )	Compound <b>5</b> (ng g <sup>-1</sup> )	Compound 7 (ng g <sup>-1</sup> )
1.5	2.5	0.1	0.8	2.4
2.5	3.2	1.5	1.4	1.5
4.5	2.0	0.7	2.3	5.9
6.5	1.7	2.2	2.7	5.8
8.5	1.6	0.2	0.1	0.6
10.5	1.8	4.3	1.6	4.0