

2019-03-01

# The paleolimnologist's guide to compound-specific stable isotope analysis An introduction to principles and applications of CSIA for Quaternary lake sediments

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<http://hdl.handle.net/10026.1/13409>

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10.1016/j.quascirev.2019.01.001

Quaternary Science Reviews

Elsevier

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1 **The paleolimnologist's guide to compound-specific stable isotope analysis – an**  
2 **introduction to principles and applications of CSIA for Quaternary lake sediments**

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34 **ABSTRACT**

35 The stable isotope composition of key chemical elements for life on Earth (e.g., carbon,  
36 hydrogen, nitrogen, oxygen, sulfur) tracks changes in fluxes and turnover of these elements  
37 in the biogeosphere. Over the past 15 to 20 years, the potential to measure these isotopic  
38 compositions for individual, source-specific organic molecules (biomarkers) and to link them  
39 to a range of environmental conditions and processes has been unlocked and amplified by  
40 increasingly sensitive, affordable and wide-spread analytical technology. Paleoenvironmental  
41 research has seen enormous step-changes in our understanding of past ecosystem  
42 dynamics. Vital to these paradigm shifts is the need for well-constrained modern and recent  
43 analogues. Through increased understanding of these environments and their biological  
44 pathways we can successfully unravel past climatic changes and associated ecosystem  
45 adaption.

46 With this review, we aim to introduce scientists working in the field of Quaternary  
47 paleolimnology to the tools that compound-specific isotope analysis (CSIA) provides for the  
48 gain of information on biogeochemical conditions in ancient environments. We provide  
49 information on fundamental principles and applications of novel and established CSIA  
50 applications based on the carbon, hydrogen, nitrogen, oxygen and sulfur isotopic composition  
51 of biomarkers. While biosynthesis, sources and associated isotope fractionation patterns of  
52 compounds such as *n*-alkanes are relatively well-constrained, new applications emerge from  
53 the increasing use of functionalized alkyl lipids, steroids, hopanoids, isoprenoids, GDGTs,  
54 pigments or cellulose. Biosynthesis and fractionation are not always fully understood.  
55 However, although analytical challenges remain, the future potential of deeper insights into  
56 ecosystem dynamics from the study of these compounds is also emerging.

57 **KEYWORDS:** stable isotopes, global, paleoclimatology

## 58 **1 INTRODUCTION**

59 The key elements that form organic matter on Earth, carbon, hydrogen, oxygen and nitrogen,  
60 occur in the form of two (C, H, N) or three (O) stable isotopes as determined by the number of  
61 neutrons in their nuclei, with the lighter isotope dominating. Each chemical reaction during the  
62 formation of organic matter and each phase transition (e.g., evaporation) changes the isotope  
63 distribution of the product (organic molecule, water vapour) by discriminating against the  
64 heavier (C, H, O) or, in some cases, lighter (N) isotopes. Thus, as these elements, and others  
65 such as sulfur, pass through biogeochemical cycles, their isotopic composition in a specific  
66 molecular and environmental context carries information on where they originally came from  
67 and how they got there. The determination of stable isotope ratios in an organic molecule  
68 therefore provides a tool to investigate and understand modern-day elemental cycling, thereby

69 aiding our ability to reconstruct the variability of past element fluxes and the associated  
70 environmental drivers (for an introduction to stable isotope geochemistry see, e.g., Galimov,  
71 1985; Hoefs, 2004). On a global scale, isotope distributions of carbon, oxygen and hydrogen  
72 vary over time, depending on the amounts of carbon dioxide and water stored in the major  
73 reservoirs, ocean water, atmosphere and polar ice caps or, on geological time scales, in rocks.  
74 Over the past five decades, stable carbon and oxygen isotope data from marine carbonates  
75 and ice cores, for example, has been fundamental in improving our understanding of the  
76 biogeosphere's response to external and internal forcing and associated changes in elemental  
77 fluxes such as the transfer of carbon from the atmosphere to the ocean. More recently, isotope  
78 analysis of individual biological compounds, i.e. compound-specific isotope analysis (CSIA)  
79 has allowed geoscientists to zoom in on processes involving organic matter transformation on  
80 much smaller scales and to study element cycling within individual ecosystems, from primary  
81 producer to ultimate microbial degrader and mineralisation. The improved understanding of  
82 how certain ecosystem changes can modify the isotopic fingerprint of organic molecules in  
83 sedimentary archives has resulted in the development of CSI-based proxies that document  
84 the adaption of the biosphere to the variability of key environmental parameters such as  
85 temperature or moisture supply. Some CSI proxies in fact respond to changes in these  
86 parameters directly, such as the hydrogen and oxygen isotope composition of meteoric water  
87 that is reflected in the isotope composition of biomarkers synthesized through the uptake of  
88 water and a carbon substrate (e.g., leaf-wax lipids, cellulose; Sauer et al., 2001a; Wolfe et al.,  
89 2001, 2007; Sachse et al., 2012). Many of the concepts, methodologies and  
90 paleoenvironmental proxies have originally been developed and applied in marine research,  
91 due to the fact that the global ocean is the most extensive ecosystem on Earth, with relatively  
92 well understood ecological boundary conditions, as compared to lakes, which feature specific  
93 ecological conditions that rarely match from one lake to another. However, since analytical  
94 facilities have become more widely available and the calibration of CSI data for applications  
95 in diverse lacustrine systems more affordable, an increasing number of lacustrine  
96 paleoenvironmental research projects now include CSIA, supporting established palynological  
97 or bulk geochemical data and thereby also bridging the (still existing) gaps between the  
98 various scientific communities.

99 This review aims to introduce CSIA as a prospective and increasingly popular tool to scientists  
100 in the field of paleolimnology who are practitioners of paleolimnology rather than specialized  
101 biogeochemists, involved in interdisciplinary studies and aiming for an improved  
102 understanding of the basic principles that control the proxy data they are dealing with or might  
103 want to produce themselves. The rapid expansion of diverse applications of CSIA has  
104 produced a plethora of research outputs, including recent reviews (i.e., Castañeda and

105 Schouten, 2011; Sessions, 2016; Diefendorf and Freimuth, 2017) that provide detailed  
106 information on either individual isotopes or specific compound classes in both marine and  
107 terrestrial settings. Here we provide an encompassing overview of CSIA (C,N,H,S) from an  
108 extensive spectrum of compounds for reconstructing Quaternary environmental change  
109 specifically from limnic settings, guiding the reader towards a more focused literature base  
110 with key case studies (summarized in Table 1). We include an introduction into the  
111 biosynthesis of the relevant biomarkers since isotope fractionation during biosynthesis is a  
112 key factor with regard to the ultimate stable isotope distribution in an organic molecule, in  
113 addition to the environmental factors driving the isotopic composition of the substrates used  
114 by primary producers. The desire for an improved understanding of proxy variability and  
115 sensitivity links paleoenvironmental sciences to studies of biogeochemical processes in  
116 modern ecosystems and food webs. Some of the CSI applications introduced here, for  
117 example, those using amino acids, pigment or sulfur-containing compounds, still are at the  
118 stage of development where further study of modern biogeochemical processes alongside  
119 pioneering paleoenvironmental research and methodological advances will help to develop  
120 their full potential, which also means that there are merits still to be gained. We thus hope our  
121 approach will help investigators new to the field to understand the relevance and power of  
122 isotope-based proxies and potentially inspires new ventures into one of the most dynamic  
123 realms of paleoenvironmental sciences.

124 In the following, we first provide an overview of the fundamental principles of isotope  
125 fractionation in biogeochemical cycles, followed by sections that introduce and discuss  
126 specific compound classes for which environmental proxies are well established (e.g., alkyl  
127 lipids) and less well-known compound classes or individual compounds (e.g., cellulose), with  
128 information on their various sources and CSIA applications. Although bulk elemental isotope  
129 analyses ( $\delta^{13}\text{C}$ ,  $\delta^{15}\text{N}$ ) provide useful paleoenvironmental information, particularly in  
130 combination with compound-specific isotopes, we will not review this area as it is well covered  
131 by other recent contributions (e.g., Sessions, 2016; Diefendorf and Freimuth, 2017).

## 132 **2 STABLE ISOTOPE DISTRIBUTION, FRACTIONATION AND ANALYSIS**

### 133 **2.1 Isotopes in the biogeosphere**

134 Photosynthetic and chemoautotrophic primary producers form the ultimate base of aquatic  
135 and terrestrial food chains, transforming molecular or elemental inorganic substrates (e.g.,  
136  $\text{CO}_2$ ,  $\text{CH}_4$ ,  $\text{NH}_3$ ,  $\text{H}_2$ ) and water into biomass. Biochemically speaking, life on Earth is essentially  
137 composed of carbon, hydrogen, oxygen, nitrogen and phosphorous, with a bulk stoichiometry,  
138 e.g., of the most important autotrophic producers of biomass, marine algae, of  
139  $\text{C}_{106}\text{H}_{263}\text{O}_{110}\text{N}_{16}\text{P}$  (Redfield, 1958). Each autotrophic organism taps into specific reservoirs of  
140 the elements required in which the heavier stable isotopes, i.e.  $^{13}\text{C}$ ,  $^2\text{H}$ ,  $^{18}\text{O}$ ,  $^{15}\text{N}$ , are present

141 in specific proportions. These proportions vary for each reservoir, depending on physical  
142 conditions and variable exchange with other reservoirs (e.g., proportions of CO<sub>2</sub> with heavy  
143 carbon and/or oxygen atoms in the atmosphere or the ocean vary across glacial-interglacial  
144 cycles, depending on temperature and evaporation rates; e.g., Hayes et al., 1999). Once a  
145 substrate has been taken up by an organism it will be fully or partially incorporated into organic  
146 molecules by enzymes. Enzymatic activity discriminates against the heavier (C, H, O) or, in  
147 case of nitrogen, lighter isotopes of reactants, leading to a different relative abundance of the  
148 light and heavy isotopes of the product, i.e. the isotope fractionation factor  $\epsilon$  (Hayes et al.,  
149 1989; Popp et al., 1989), discussed in more detail below. Hydrogen and nitrogen are also  
150 frequently exchanged between the compound that is biosynthesized and the operating  
151 enzyme. For example, during the biosynthesis of major lipid compound classes in a  
152 photosynthetic organism, enzymatic reactions involving nicotinamide adenine dinucleotide  
153 phosphate (NADPH) lead to repeated addition of isotopically light hydrogen (i.e. <sup>1</sup>H rather than  
154 <sup>2</sup>H) to the synthesized lipid (e.g., Smith and Epstein 1970; Luo et al., 1991; see also Fig. 1).

155 Thus, the isotope composition of an element in biomass from primary production reflects the  
156 specific isotope composition of the reservoir and substrate and, through the fractionation factor  
157 between original substrate and synthesized biomass, the level and pathway of metabolic  
158 processing. Heterotrophic organisms consuming biomass of a certain isotope composition will  
159 again increase the fractionation factor to a certain extent when incorporating organic  
160 compounds into their own body tissue, either directly (little fractionation) or through further  
161 metabolic processing (additional fractionation; see, e.g., DeNiro and Epstein, 1978; Peterson  
162 and Fry, 1987).

163 Reactions between reduced inorganic sulfur and organic compounds in sediments are  
164 considered to be important for organic matter preservation. The fractionation of sulfur is a  
165 useful tracer of sulfurization reactions post-deposition, which often occur in the presence of  
166 strong pore water isotopic gradients, typically driven by microbial sulfate reduction, active  
167 during deposition and sedimentation (Habicht and Canfield, 1997; Kraal et al., 2013). Prior  
168 studies have looked at bulk sedimentary OM to understand fractionation as a function of  
169 sulfidization reactions between authigenic sulfide, and residual organosulfur compounds  
170 (Amrani and Aizenshtat, 2004; Riedinger et al., 2017; Pärn et al., 2018). However, enhanced  
171 ability to measure compound-specific sulfur isotopic compositions of volatile organosulfur  
172 compounds, co-eval pore water, sulfides forming, and the residual organic matter has greatly  
173 enabled our ability to understand the processes that govern sulfur cycling and diagenetic  
174 processes in both modern and ancient sediments.

## 175 **2.2 Compound-specific isotope analysis (CSIA)**

176 Compound-specific isotope analysis (CSIA) provides the opportunity to trace the basic  
177 elements (C, H, N, S) through primary biosynthetic processes, food web dynamics and  
178 heterotrophic microbial degradation to burial in the sedimentary archive (Matthews and Hayes,  
179 1978). Quantifying these elemental fluxes underpins reconstructions of environmental  
180 dynamics and is key to the field of paleoenvironmental science. In recent years, applications  
181 of CSIA proxies to paleoenvironmental studies have gained increasing traction as our  
182 understanding of the biological and physical/chemical controls of isotopic fractionation  
183 improves (e.g., through studies of isotope fractionation in modern systems and mesocosm  
184 experiments). At the same time, analytical facilities are becoming more sensitive, automated  
185 and economical and therefore more widely available.

186 CSIA has now been successfully used to reconstruct changes in organic matter sources as  
187 well as to record the response of organisms to changes in temperature and moisture supply,  
188 air mass handling, shifts in food webs and diets, phytoplankton community shifts, water  
189 chemistry, redox chemistry, carbon cycling, methane cycling, vegetation change, and  
190 paleohydrology (see Table 1 for references).

191 Many CSIA methods start with common lipid extraction techniques such as microwave-  
192 assisted extraction (MAE), accelerated solvent extraction (ASE), ultrasonication, or Soxhlet  
193 extraction, using a range of organic solvent combinations and in some cases an added  
194 aqueous buffer. The protocols mainly differ in the processing of the total lipid extract (TLE) in  
195 order to purify the various target compounds, which typically includes separation of polar and  
196 non-polar compounds or of aliphatic hydrocarbons, aromatic hydrocarbons and alcohols (e.g.,  
197 Sauer et al., 2001b). Individual compounds are commonly identified by gas chromatography–  
198 mass spectrometry (GC-MS) through their specific mass spectra and analysed by gas  
199 chromatography-isotope ratio mass spectrometry, with either a combustion or thermal  
200 conversion interface (GC-C-IRMS, GC-TC-IRMS; Hayes et al., 1989; Freeman et al., 1990;  
201 Hilkert et al., 1999), and by high-performance liquid chromatography-isotope ratio mass  
202 spectrometry (LC-IRMS; Boschker et al., 2008) to determine their isotopic composition. The  
203 latter is expressed as the divergence of the ratio of the heavier isotope over the lighter isotope  
204 from the equivalent ratio in a standardised reference material ( $\delta$ -annotation) as shown for  
205 carbon below (Eq. 1):

$$206 \quad \delta^{13}\text{C} = \left( \frac{(^{13}\text{C}/^{12}\text{C})_{\text{sample}}}{(^{13}\text{C}/^{12}\text{C})_{\text{standard}}} - 1 \right) * 1000 \quad \text{Equation 1}$$

207 The international reference standards are Vienna Peedee Belemnite (VPDB) for  $^{13}\text{C}$ , Vienna  
208 Standard Mean Ocean Water (SMOW) for  $^2\text{H}$ , atmospheric  $\text{N}_2$  (AIR) for  $^{15}\text{N}$  and Vienna  
209 Canyon Diablo Troilite (V-CDT) for  $^{34}\text{S}$ . A comprehensive compilation of CSIA methodologies,

210 including details on instrumentation, has been published by Jochmann and Schmidt (2011).

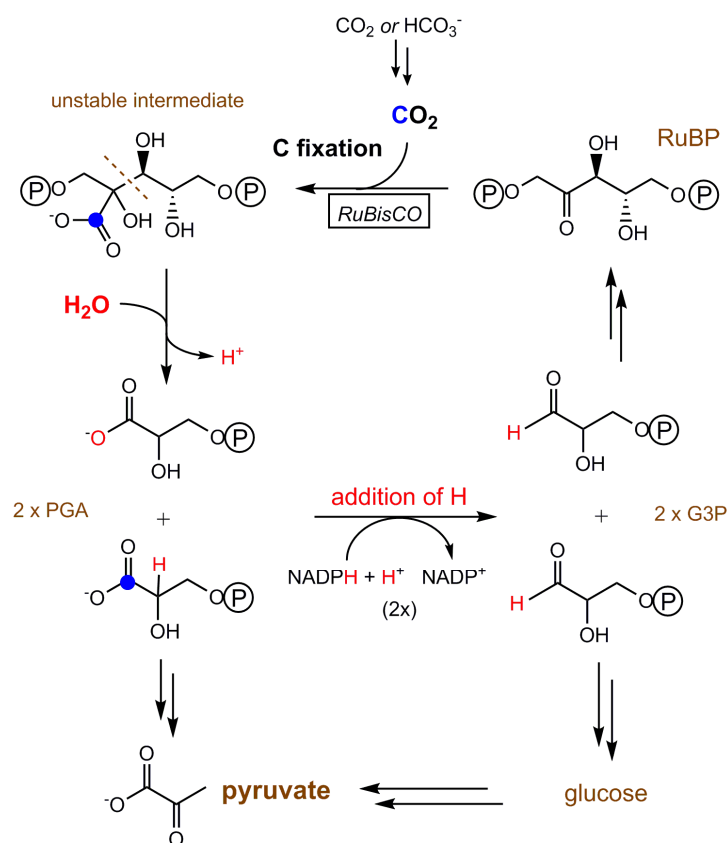
### 211 **2.3 Isotopic fractionation: from substrate to compound**

212 The basics of isotope fractionation apply to organic compounds biosynthesised by organisms  
213 across the phylogenetic tree in virtually every aquatic and terrestrial environment. Responsible  
214 for the variable isotopic composition of organic molecules is biochemical processing during  
215 biosynthesis, which discriminates against the heavier carbon, hydrogen and oxygen isotopes  
216 and lighter nitrogen isotope and results in the more processed molecules being isotopically  
217 lighter (i.e. depleted in  $^{13}\text{C}$ ,  $^2\text{H}$ ,  $^{18}\text{O}$ ) or heavier (enriched in  $^{15}\text{N}$ ) compared to less processed  
218 molecules. An example for such a process is enzymatic carbon chain elongation, which leads  
219 to long-chain *n*-alkyl compounds produced by higher plants being depleted in the heavy  
220 carbon and hydrogen isotopes compared to short-chain *n*-alkyl compounds, even within the  
221 same plant (Diefendorf and Freimuth, 2017, and references therein). Typically, plants are  
222 responsible for a fractionation factor ( $\epsilon$ ) of -10 to -30 ‰ for carbon and -100 to -170 ‰ for  
223 hydrogen between substrate and *n*-alkyl compounds (Collister et al. 1994; Chikaraishi et al.,  
224 2004; Hou et al., 2007; Sachse et al., 2012; Sessions, 2016). An exception to the general  
225 depletion of the heavy isotope in products of enzymatically controlled reactions has been  
226 observed in some microbes, with inverse hydrogen isotope fractionation, i.e. enrichment of  $^2\text{H}$ ,  
227 widely occurring in lipids of aerobic heterotrophs (Zhang et al., 2009; Osburn et al., 2016;  
228 Kümmel et al., 2016).

229 Prior to fractionation during biosynthesis, however, it is the isotopic composition of the  
230 substrates providing the key elements for primary production, e.g.,  $\text{CO}_2$ ,  $\text{HCO}_3^-$ ,  $\text{H}_2\text{O}$  and  $\text{NO}_3^-$   
231 for photoautotrophs, that determines the baseline isotopic composition of an organic  
232 compound, and this is where information on paleoenvironmental conditions can be gained.

233 Atmospheric  $\text{CO}_2$  is taken up by the vast majority of primary producers through photosynthetic  
234 carbon fixation, a process that strongly fractionates against  $^{13}\text{C}$  (e.g. Körner et al., 1991;  
235 Diefendorf and Freimuth, 2017). For land plants, water availability is one of the parameters  
236 that significantly influences fractionation rates during carbon fixation as it exerts a strong  
237 control on plant stomatal conductance, which in turn influences biosynthetic fractionation  
238 during photosynthesis. Variability of  $\delta^{13}\text{C}$  values of compounds from higher plants is likely to  
239 represent water availability, at least qualitatively, when  $\delta^{13}\text{C}$  values are determined for time  
240 intervals when vegetation changes were minimal and where no major shifts in atmospheric  
241  $\text{CO}_2$  took place (Diefendorf and Freimuth, 2017). Interpreting changes in *n*-alkane  $\delta^{13}\text{C}$  values  
242 as precipitation indicators has been established as a paleoclimatic tool in certain settings (see  
243 Kohn, 2010 and references therein).



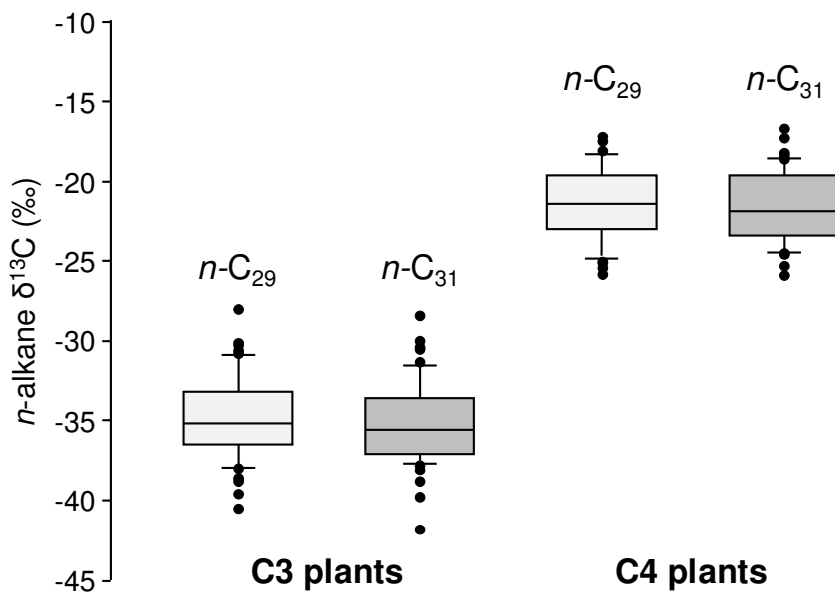


244

245 **Figure 1:** Fixation of carbon dioxide through the Calvin cycle during photosynthesis and  
 246 biosynthesis of pyruvate, the starting material for the biosynthesis of many of the compounds  
 247 discussed in this review (after Calvin and Benson, 1948; Sachse et al., 2012; Berg et al.,  
 248 2015). CO<sub>2</sub> and meteoric water are taken up by the photosynthesizing organism for  
 249 carboxylation and hydrolysis of Ribulose 1,5-bisphosphate (RuBP). This process produces two  
 250 molecules of 3-phosphoglycerate (PGA) and discriminates against the heavy isotopes (blue  
 251 dot: added carbon from CO<sub>2</sub>; added hydrogen atoms in red). PGA can be turned into pyruvate  
 252 either through a 10-step mechanism (not shown) or via the biosynthesis of simple sugars such  
 253 as glucose (shown on the right), the first step of which is the formation of glyceraldehyde-3-  
 254 phosphate (G3P). Five out of six G3P molecules produced from three initial RuBP molecules  
 255 are needed to recover three RuBP molecules while one G3P molecule can be used for the  
 256 formation of glucose. Thus, six CO<sub>2</sub> molecules are taken up for the formation of one sugar  
 257 molecule.

258 Most plants fix carbon directly through the Calvin cycle of photosynthesis (Fig. 1), requiring  
 259 stomatal gas exchange with the atmosphere for CO<sub>2</sub> uptake in the process, i.e. during daytime.  
 260 As the first metabolic product contains three carbon atoms (3-phosphoglycerate) these plants  
 261 are called C<sub>3</sub> plants. Under arid conditions, however, some plants fix CO<sub>2</sub> temporarily through  
 262 the Hatch-Slack pathway by forming oxaloacetate, a molecule containing four carbon atoms,  
 263 before shifting it into bundle sheath cells where the CO<sub>2</sub> is released to facilitate the Calvin

264 cycle (for details see Berg et al., 2015). This allows the plants to shift stomatal gas exchange  
 265 for CO<sub>2</sub> uptake into the night and, thus, minimise water loss. Again, with reference to the first  
 266 metabolic product, plants following this strategy are called C4 plants. They mainly represent  
 267 tropical grasses, including maize, for example. Importantly, the C4 metabolic adaption  
 268 discriminates less strongly against <sup>13</sup>C, leading to a difference in fractionation ( $\Delta^{13}\text{C}$ ) between  
 269 terrestrial C3 and C4 plants that is significantly greater than 10 ‰, with bulk  $\delta^{13}\text{C}$  values of C3  
 270 plants ranging from -22 to -37 ‰ (average of -27 ‰) and of C4 plants from -9 to -15 ‰ (average  
 271 of -12 ‰; O’Leary, 1988; Kohn, 2010). Therefore,  $\delta^{13}\text{C}$  values of bulk organic matter and  
 272 individual terrestrial lipids such as leaf wax-derived long-chain *n*-alkyl compounds (see Fig. 2  
 273 for *n*-alkane  $\delta^{13}\text{C}$ ) can generally be used to reconstruct spatiotemporal changes in C3 and C4  
 274 vegetation, in particular, the relative abundance of tree and shrub-dominated vegetation  
 275 compared to grasslands (e.g., Huang et al., 2001; Castañeda et al., 2007; Sinninghe Damsté  
 276 et al., 2011a; Magill et al., 2013; Freeman and Pancost, 2014; Garcin et al., 2014; Johnson et  
 277 al., 2016). However, apart from the above-mentioned modifying influence of water availability,  
 278 interspecies differences in isotope fractionation and leaf wax production associated with  
 279 changes in the plant community will also have to be considered, alongside past variations in  
 280 the  $\delta^{13}\text{C}$  value of atmospheric CO<sub>2</sub> (Garcin et al., 2014; Diefendorf and Freimuth, 2017).



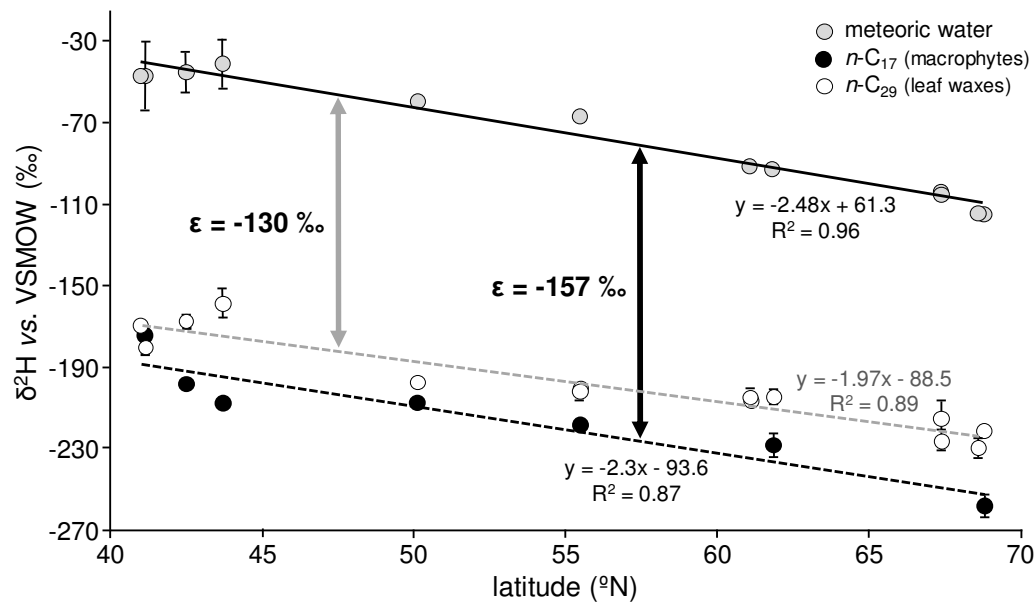
281

282 **Figure 2:** Box and whisker diagram of CSI data of plant-wax derived C<sub>29</sub> and C<sub>31</sub> *n*-alkanes of  
 283 C3 and C4 plants illustrating their potential for reconstructions of vegetation changes in tropical  
 284 settings (modified from Castañeda and Schouten, 2011, data from Castañeda et al., 2009).

285 Aquatic primary producers use dissolved carbon dioxide (CO<sub>2[<sub>aq</sub>]</sub>) or, under CO<sub>2[<sub>aq</sub>]</sub>-limited  
 286 conditions, bicarbonate (HCO<sub>3</sub><sup>-</sup>) as inorganic carbon sources for photosynthesis (Lucas, 1983;  
 287 Prins and Elzenga, 1989). In freshwater lakes, CO<sub>2[<sub>aq</sub>]</sub> is typically not limited and derives to

288 variable extent from heterotrophic respiration in the water column or sediment and exchange  
289 with the atmosphere (Cole and Prairie, 2009). This means that freshwater photoautotrophs,  
290 which are C3 plants, and terrestrial C3 plants partly use the same inorganic carbon substrate,  
291 resulting in bulk organic carbon isotope ratios (bulk  $\delta^{13}\text{C}_{\text{org}}$ ) of freshwater algae that are  
292 indistinguishable from those of terrestrial C3 plants (Meyers and Teranes, 2001; Lamb et al.,  
293 2006 and references therein).

294 The primary source of hydrogen for biosynthesis in photosynthetic organisms is environmental  
295 water, and the major determinant of the  $\delta^2\text{H}$  value of lipids is the  $\delta^2\text{H}$  value of the source water  
296 used by the organism (Yapp and Epstein, 1982; Sternberg, 1988; Sessions et al., 1999;  
297 Sachse et al., 2012; Rach et al., 2017). Water vapour contained by a specific air mass  
298 becomes isotopically depleted in  $^2\text{H}$  as more water precipitates, i.e. with distance from the  
299 evaporation centre as well as with cooling and increasing altitude (Craig, 1961; Darling et al.,  
300 2005). The basic application of  $\delta^2\text{H}$  values in environmental archives is, therefore,  
301 paleohydrology, i.e. the reconstructions of changes in the moisture content of the air mass  
302 delivering precipitation or an altogether change in the trajectory and source of the air mass  
303 (air mass tracking). Higher plants take up meteoric water (through soil water; Sachse et al.,  
304 2012), and evaporation processes during plant respiration (e.g., loss of leaf water)  
305 subsequently modify the isotopic composition of the water before it is used in biosynthetic  
306 reactions (e.g. Kahmen et al., 2013a, 2013b; Rach et al., 2017).  $\delta^2\text{H}$  values derived from lipids  
307 of terrestrial plants will therefore reflect a combined precipitation and evapotranspiration signal  
308 (Sachse et al., 2004, 2012). By contrast, submerged aquatic macrophytes and algae use water  
309 from the surrounding water column as their hydrogen source. This means that, e.g., in a lake  
310 system with no significant fluvial inflow of water from distant areas, the  $\delta^2\text{H}$  values of lipids  
311 from submerged macrophytes and algae will mainly reflect the average  $\delta^2\text{H}$  value of local  
312 precipitation (Sachse et al., 2004; Fig. 3), unless it is modified by elevated lake water  
313 evaporation rates under more arid climate regimes. In this case, the difference between the  
314  $\delta^2\text{H}$  values of macrophyte-derived mid-chain and terrestrial long-chain *n*-alkanes ( $\Delta^2\text{H}$ ) can  
315 potentially be used to assess changes in lake water evaporation (Mügler et al., 2008; Aichner  
316 et al., 2010a) although this approach still needs further testing (Aichner et al., 2010a; Rao et  
317 al., 2014). Nevertheless, many studies have illustrated the generally strong relationship  
318 between modern-day climate and  $\delta^2\text{H}$  in lipids in settings with pronounced hydrological  
319 gradients (e.g., Huang et al., 2004; Sachse et al., 2004; Nieto-Moreno et al., 2016).



320

321 **Figure 3:** Correlation between the  $\delta^2\text{H}$  values of lake water from a European N-S transect and  
 322 the  $\delta^2\text{H}$  values of the  $\text{C}_{17}$  and  $\text{C}_{29}$  *n*-alkanes from macrophytes and terrestrial plants in the  
 323 catchments, illustrating the close control of lake water isotopic composition on leaf wax  $\delta^2\text{H}$   
 324 values (modified from Sachse et al., 2004).

325 The main nitrogen substrates for eukaryotic algae are nitrate ( $\text{NO}_3^-$ ) and ammonium ( $\text{NH}_4^+$ ),  
 326 while prokaryotic cyanobacteria can directly fix dissolved nitrogen ( $\text{N}_{2(\text{aq})}$ ; Harvey, 1940, 1953;  
 327 Stal, 2015; Glibert et al., 2016). There is little to no fractionation involved in biological nitrogen  
 328 fixation (Hoering and Ford, 1960; Minagawa and Wada, 1984), allowing phytoplankton  
 329 communities dominated by cyanobacteria to be differentiated from eukaryote-dominated  
 330 communities. As  $\text{N}_2$  can be fixed in both terrestrial and aquatic environments, nitrogen from  
 331 both of these sources contribute to the lacustrine nitrogen cycle. Isotopic fractionation can  
 332 occur during many of the transformations nitrogen undergoes, including  $\text{N}_2$  dissolution,  
 333 nitrification and denitrification, nitrate and ammonium assimilation, and ammonia volatilisation  
 334 (Collister and Hayes, 1991; Talbot, 2001). Thus, the absolute  $\delta^{15}\text{N}$  value of the substrates  
 335 provides limited environmental information compared to the absolute  $\delta^{13}\text{C}$  and  $\delta^2\text{H}$  values of  
 336 atmospheric and dissolved  $\text{CO}_2$  and meteoric water, respectively. Instead, information on  
 337 environmental change may be gained from the difference in the isotope values of source  
 338 amino acids, retaining the isotope composition of the initial substrate, and trophic amino acids,  
 339 determined by fractionation along each trophic step, with implications for changes in the  
 340 lacustrine food web structure (see Section 3.2 for details).

341 The transfer of sulfur between different reservoirs typically involves a change in the oxidation  
 342 state, which is mediated either through abiologically or biologically induced processes  
 343 (Strauss, 1997; Farquhar et al., 2000). The main source of sulfur in sediments is derived from

344 sulfate in the overlying water column or pore waters via downward diffusion in the sediments.  
345 Typically, sulfate is reduced to sulfide by bacterial sulfate reduction (BSR), active just below  
346 the sediment-water interface, leading to sedimentary sulfide typically depleted with respect to  
347  $^{34}\text{S}$  (e.g., Jørgensen, 1978; Habicht et al., 1998). Isotopic fractionation between sulfate in the  
348 water, sulfide, and organic sulfur compounds is fundamentally a function of the availability of  
349 sulfate to be reduced and the efficiency of the bacterium present (i.e. large amounts of easily  
350 metabolizable organic matter aids the sulfate reduction process; e.g. Kaplan et al., 1963;  
351 Canfield and Thamdrup, 1994; Habicht and Canfield, 1997). Favourable conditions for BSR  
352 and an open source of sulfate can result in large isotopic fractionation between sulfate and  
353 the sulfide product. In the context of restricted settings, such as lakes, sulfate is not readily  
354 replenished and may undergo seasonal variation resulting in variations in the range of isotopic  
355 fractionation of the sulfate and the product sulfide (i.e. Urban et al., 1999; Zerkle et al., 2010;  
356 Oduro et al., 2013, discussed later). Furthermore, the bio-mediated uptake of sulfur into  
357 organosulfur compounds in organic matter leads to variable enrichment of  $^{34}\text{S}$  with respect to  
358 sulfide phases formed, as well as variability of  $^{34}\text{S}$  across different organic sulfur compounds  
359 present (Andreae, 1990; Kharasch, 2013). Typically, studies have focussed on the isotopic  
360 variation between original sulfate, the sulfide and bulk organic matter. Utilisation of compound-  
361 specific analysis in the examples discussed here is able to better deduce the physical and  
362 biochemical processes that lead to sulfur fractionation in sediments.

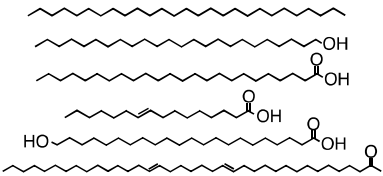
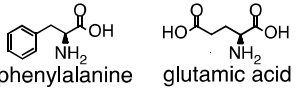
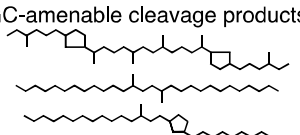

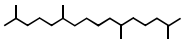
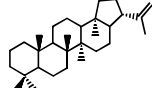
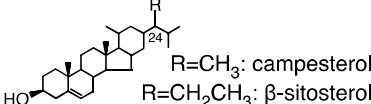

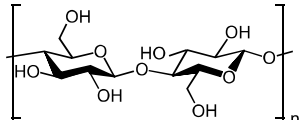
363 The basic physiological and substrate-related drivers of isotopic fractionation in primary  
364 producers during diagenesis are thus relatively well constrained. However, as illustrated by  
365 many examples in the remaining sections of this review, a range of environmental and source-  
366 specific factors such as temperature, seasonality and salinity or vegetation change and  
367 associated changes in evapotranspiration can further modify the isotopic composition of  
368 organic compounds. These need to be understood in order to improve the interpretation of  
369 CSI data variability in environmental archives. On the other hand, new proxies can be  
370 developed that target additional and more specific aspects of ecosystem change, once such  
371 causal relationships are established, and it is this improved understanding of isotope  
372 fractionation in modern biogeochemical cycles that brings to light the potential of CSIA in future  
373 paleoenvironmental studies. The compounds most frequently studied for their CSI values in  
374 paleoenvironmental research are alkyl lipids. Therefore, these compounds also provide many  
375 examples of the complex relationship between environmental factors, diverse sources and  
376 compound-specific carbon and hydrogen isotope ratios, some of which are presented in the  
377 following. A more comprehensive introduction to alkyl lipid CSI applications is provided in  
378 Section 3.1.

379 The  $\delta^{13}\text{C}$  values of alkyl lipids are susceptible to more specific and often local factors. Eley et

380 al. (2016) demonstrate that *n*-alkane  $\delta^{13}\text{C}$  values of C3 and C4 plants from a temperate  
381 saltmarsh show a significant variability of  $\delta^{13}\text{C}$  values, with differences between C3 species of  
382 up to 10 ‰ and pronounced intra-species differences across the growing season. In a tropical  
383 wetland setting, Yamoah et al. (2016) observe large-scale variability in *n*-alkane  $\delta^{13}\text{C}$  values,  
384 with long-chain compounds becoming isotopically enriched during drier periods. The authors  
385 attribute this finding to a shift in the main substrate from dissolved  $\text{CO}_2$  to isotopically heavier  
386 bicarbonate rather than changes in the overlying vegetation and enhanced C4 plant input.  
387 Significant differences in the  $\delta^{13}\text{C}$  value between mid- and long-chain compounds have been  
388 reported, with the reason behind the offset remaining elusive. An apparently climatically  
389 controlled systematic offset of up to 6 ‰ between suberin-derived  $\text{C}_{22}$  *n*-fatty acid and leaf  
390 wax-derived long-chain fatty acids in Late Quaternary lake sediments (see supplement to  
391 Holtvoeth et al., 2017) could either point to an age-offset between lipids from leaf litter and  
392 soils (root material) or to differences in  $\text{CO}_2$  uptake by plants for the formation of leaf and root  
393 tissue under variable climatic regimes and different rates of microbial respiration in the soil.  
394  $\text{C}_{22}$   $\omega$ -hydroxy acid found in Miocene lake sediments is reported to be depleted by 4-5 ‰  
395 relative to the long-chain  $\omega$ -hydroxy acids (Huang et al., 1996). In this case, the authors  
396 hypothesised this compound to derive from anoxic bacterial biomass. The examples above  
397 illustrate the need for an improved understanding of carbon isotope fractionation in natural  
398 systems. A detailed review of environmental factors that can influence the  $\delta^{13}\text{C}$  values of fatty  
399 acids has recently been published by Reiffarth et al. (2016).

400 The range of factors that can further modify the  $\delta^2\text{H}$  values of alkyl lipids is even more complex.  
401 Additional environmental and physiological variables such as secondary hydrogen exchange  
402 reactions and effects of algal growth rates or metabolic differences can influence the isotopic  
403 fractionation between hydrogen in environmental water in aquatic and terrestrial lipids (see  
404 review by Sachse et al., 2012). Extensive growth experiments have shown that C3 and C4  
405 grasses not only discriminate significantly different against  $^{13}\text{C}$  but also differ in the  $\delta^2\text{H}$  values  
406 of their *n*-alkanes by 40 ‰, on average (Gamarra et al., 2016). This could be attributed to the  
407 metabolic differences in the way NADPH is produced, i.e. in the bundle sheaths in C4 grasses  
408 rather than in the chloroplasts in C3 grasses, with the NADPH then providing the hydrogen for  
409 lipid biosynthesis (Gamarra et al., 2016). Studying the leaf wax *n*-alkane hydrogen isotope  
410 distribution of riparian trees, Oakes and Hren (2016) describe significant interspecies variation  
411 of  $\delta^2\text{H}$  values that can exceed 50 ‰ throughout the growing season. Similarly, Tipple and  
412 Pagani (2013) found differences in the correlation between precipitation and *n*-alkane  $\delta^2\text{H}$   
413 values between tree species. However, such interspecies differences appear to be averaged  
414 out in the soil as *n*-alkanes from soil samples did show a good correlation between  
415 precipitation and CSI  $\delta^2\text{H}$  values. On the other hand, short-term fluctuations in  $\delta^2\text{H}$  of the leaf

416 wax C<sub>28</sub> *n*-fatty acid reported from the sedimentary record of an Alpine lake may be due to  
417 local factors such as length of growing season, amount of snowfall or anthropogenic  
418 modification of the local vegetation (Wirth and Sessions, 2016), factors that are not always  
419 well constrained. Ladd et al. (2017) investigated the influence of growth rate and temperature  
420 on the  $\delta^2\text{H}$  value of algal lipids (fatty acids and brassicasterol) in an oligotrophic and a  
421 eutrophic lake. Although the authors found significant variability in the  $\delta^2\text{H}$  values of fatty acids  
422 throughout the growing season the average  $\delta^2\text{H}$  value of the C<sub>16</sub> *n*-fatty acid matched the  $\delta^2\text{H}$   
423 value of the lake water and was also preserved in the surface sediment. An in-depth  
424 discussion of the factors that can modify  $\delta^2\text{H}$  values of *n*-alkanes exceeds the objectives of  
425 our introduction to CSIA and we therefore refer to the very detailed recent review on this matter  
426 provided by Sessions (2016).

Compound class	Structures	Application / Indicative for...	Isotope-Proxies	Analytical Technique	Refs.
Alkyl lipids: n-alkanes, n-fatty acids, n-alcohols, unsaturated fatty acids, hydroxy acids, alkenones (structures top to bottom)		meteoric water source / air mass tracking, seasonality, evaporation rates, climate change vegetation change (C3 vs. C4 plants) compound source (terrestrial, aquatic, bacterial) potentially: salinity	$\delta^2\text{H}$ $\delta^{13}\text{C}$ $\delta^2\text{H}, \delta^{13}\text{C}$ $\delta^2\text{H}$	GC-IRMS	1 - 4 5 - 7 4 8 9
Amino acids	 phenylalanine    glutamic acid	food web structure, trophic level compound source	$\delta^{15}\text{N}$ $\delta^{13}\text{C}, \delta^{15}\text{N}$	GC-IRMS	10, 11 12
Glycerol-dibiphytanyl- glycerol tetraethers (GDGTs)	GC-amenable cleavage products* 	terrestrial vs. aquatic sources (brGDGTs, iGDGTs)	$\delta^2\text{H}, \delta^{13}\text{C}$ $\delta^{13}\text{C}$	GC-IRMS SWIM-IRMS	13 - 15 16
Chlorins Maleimides	 maleimide	photic zone euxina source	$\delta^{15}\text{N}$ $\delta^{13}\text{C}$	GC-IRMS	17 18 19, 20
Isoprenoids	 crocetane	paleoenvironment autotrophy vs. heterotrophy	$\delta^2\text{H}$ $\delta^{13}\text{C}$	GC-IRMS	21 22, 23
Hopanoids	 diploptene	bacterial autotrophy vs. heterotrophy methanotrophy	$\delta^{13}\text{C}$	GC-IRMS	24 25
Steroids	 R=CH <sub>3</sub> : campesterol R=CH <sub>2</sub> CH <sub>3</sub> : $\beta$ -sitosterol	meteoric water source, hydrology change, salinity compound source (e.g., terrestrial, aquatic)	$\delta^2\text{H}$ $\delta^{13}\text{C}, \delta^2\text{H}$	GC-IRMS	26, 27 28
Sulfurised compounds	 dibenzothiophene	S cycling in active redox zones pathways of DMS formation VOCS production and release	$\delta^{34}\text{S}$	MC-ICPMS	29 30 31 32
Cellulose		source (terrestrial vs. aquatic) carbon cycling, lake-water balance	$\delta^{18}\text{O}$ $\delta^{13}\text{C}$	GC-IRMS	33 34



428 **Table 1:** Overview of compound classes, representative structures and isotope applications  
429 with key references (reviews where applicable). References are: 1. Sauer et al. (2001b); 2.  
430 Nichols et al. (2009); 3. Sachse et al. (2012); 4. Sessions (2016); 5. Huang et al. (2001); 6.  
431 Sinninghe Damsté et al. (2011a); 7. Garcin et al. (2014); 8. Reiffarth et al. (2016); 9. Schouten  
432 et al. (2006); 10. Chikaraichi et al. (2009); 11. Ohkouchi et al. (2017); 12. Larsen et al. (2015);  
433 13. Wuchter et al. (2004); 14. Weijers et al. (2010); 15. Lengger et al. (2014); 16. Pearson et  
434 al. (2016); 17. Boreham et al. (1994); 18. Hayes et al. (1987); 19. Grice et al. (1996a, 1996b);  
435 20. Wolfe et al. (2001); 21. Grice et al. (2005); 22. Koopmans et al. (1996); 23. Whiteside and  
436 Grice (2016); 24. Coolen et al. (2008); 25. Talbot et al. (2014); 26. Sauer et al. (2001b); 27.  
437 Schwab and Sachs (2011); 28. Chikaraishi et al. (2005); 29. Amrani et al. (2012); 30. Raven  
438 et al. (2015); 31. Oduro et al. (2013); 32. Greenwood et al. (2018); 33. Edwards and  
439 McAndrews (1989); 34. Street-Perrot et al. (2018). \*for intact molecules see Figures 9 and 10.

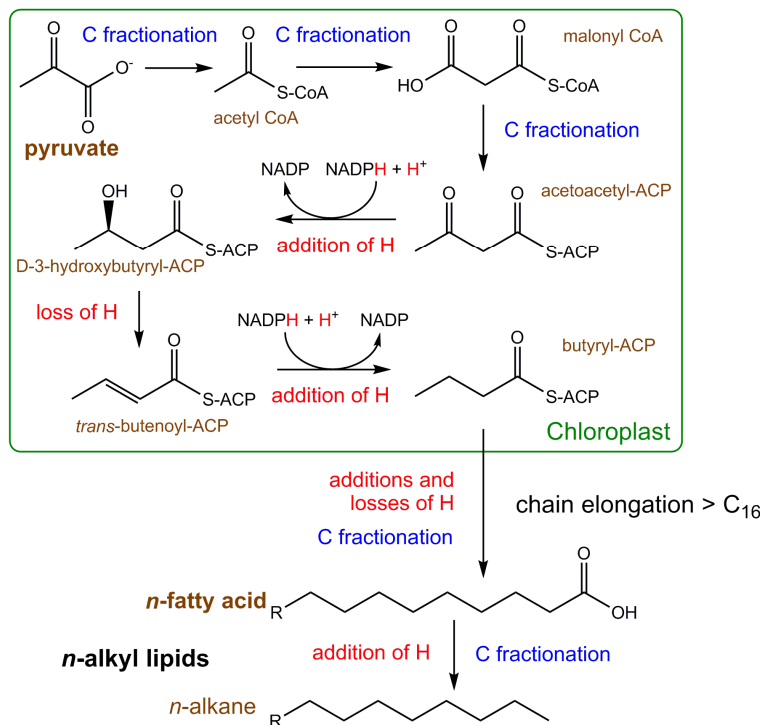
### 440 **3 SOURCES AND CSI APPLICATIONS OF BIOMARKER COMPOUND CLASSES**

#### 441 **3.1 Alkyl lipids (*n*-alkanes, *n*-fatty acids, *n*-alcohols, alkenones)**

442 Alkyl lipids of variable carbon chain lengths are ubiquitous building blocks in the formation of  
443 organic tissue. They form the hydrophobic part of cell membrane lipids in bacterial, plant and  
444 animal tissue (e.g., phospholipids, glycolipids, sphingolipids), function as storage fats  
445 (triacylglycerides, steryl esters) or contribute to protective layers such as the wax ester and  
446 cutin layers on the outer surfaces of plant cells, mainly on leaves, or suberin on the inside of  
447 plant cells, mainly in roots. This wide functional range of alkyl lipids involves different levels of  
448 biosynthetic processing, an understanding of which greatly improves the interpretation of CSI  
449 values from the various compounds found in a TLE. It also increases the range of paleo-  
450 environmental information to be gained, and we therefore briefly introduce the basics of alkyl  
451 lipid biosynthesis in the following.

452 All alkyl lipids produced by primary producers, i.e. mainly photosynthesizing organisms, are  
453 based on *de novo* biosynthesis of fatty acids and formed using environmental water and either  
454 atmospheric CO<sub>2</sub> or, in case of aquatic organisms, dissolved CO<sub>2</sub> and bicarbonate (HCO<sub>3</sub><sup>-</sup>) as  
455 sources for hydrogen and carbon, respectively. Fatty acid biosynthesis follows the acetogenic  
456 pathway, using pyruvate derived from the breakdown of sugars (e.g., glucose) to first form an  
457 acetyl molecule bound to the co-enzyme A (acetyl CoA), then combining it with malonyl CoA  
458 to form a 4-carbon unit (acetoacetyl-ACP), with the reducing agent nicotinamide adenine  
459 dinucleotide phosphate (NADPH) replacing an oxygen atom by a hydrogen atom (Fig. 4).  
460 Repeated reactions with malonyl CoA and NADPH extend the molecule by two CH<sub>2</sub> units at  
461 each step. This process typically ends with the formation of C<sub>16</sub> and C<sub>18</sub> fatty acids and results  
462 in a characteristic dominance of even over odd fatty acid chain lengths in most organisms (for

463 further details on fatty acid biosynthesis see, e.g., Sachse et al., 2012).



464

465 **Figure 4:** The “acetogenic pathway” of fatty acid biosynthesis, using pyruvate produced  
 466 through the Calvin-Benson cycle after CO<sub>2</sub> uptake. Addition and loss of C or H during reactions  
 467 as well as reactions between molecules discriminate against <sup>13</sup>C and <sup>2</sup>H, i.e. fractionation  
 468 occurs at each of these steps; ACP = acetyl carrier protein, CoA = co-enzyme A, NADPH =  
 469 nicotinamide adenine dinucleotide phosphate (partial scheme modified from Sachse et al.,  
 470 2012).

471 The C<sub>16</sub> and C<sub>18</sub> *n*-fatty acids, also known as palmitic and stearic acid, respectively, are basic  
 472 building blocks for a vast range of molecular structures, in particular, membranes. They are  
 473 modified according to specific requirements such as membrane fluidity through further  
 474 enzymatic processing, inserting, e.g., double bonds into the carbon chain (unsaturated fatty  
 475 acids), adding alkyl branches or further functional groups (branched fatty acids, hydroxy acids)  
 476 or forming cyclopropane units (cyclopropane fatty acids). Higher plants apply further  
 477 enzymatic processing in epidermal cells to extend the chain lengths of palmitic or stearic acid  
 478 for the formation of hydrophobic epicuticular wax esters and biopolyesters such as cutin and  
 479 suberin in the protective layers of leaves and roots (Millar and Kunst, 1997). The activity of  
 480 fatty acid elongase adds two CH<sub>2</sub> units to the starting molecule (C<sub>16</sub>, C<sub>18</sub> *n*-fatty acid) at each  
 481 step, resulting again in the dominance of even- over odd-numbered fatty acid chain lengths in  
 482 plant biomass. *n*-Alcohols and *n*-alkanes are formed through stepwise enzymatic reduction  
 483 and decarboxylation of *n*-fatty acids (e.g., Coursolle et al., 2015). Because of the removal of  
 484 an aldehyde (-CHO) *n*-alkanes are one carbon atom shorter than the original fatty acid, leading

485 to a strong odd over even dominance among *n*-alkanes. Several calcifying and non-calcifying  
486 marine and lacustrine haptophytes produce long-chain alkenones, with chain lengths of 37 to  
487 40 carbon atoms and 2 to 4 double bonds, using the same chain-elongating process as land  
488 plants initially, followed by desaturation steps (Rontani et al., 2006), during which first di- and  
489 then tri-unsaturated alkenones are formed (Kitamura et al., 2018) as opposed to all double  
490 bonds being formed at once.

### 491 **3.1.1 Sources**

#### 492 *3.1.1.1. n-Alkanes, n-fatty acids, n-alcohols*

493 Generally, individual *n*-alkyl lipids are not species-specific. However, as different groups of  
494 organisms produce different types of homologous series of alkyl lipids, peaking at different  
495 chain lengths, shifts in chain-length distributions observed in a sedimentary archive can point  
496 towards changes in the major lipid sources and, hence, towards ecosystem adaption to  
497 environmental change. Long-chain *n*-alkyl lipids (> C<sub>24</sub>) are almost exclusively produced by  
498 land plants as part of the cuticular wax layer that protects leaves from disease and ultraviolet  
499 light, and functions as a barrier to inhibit water loss (e.g., Eglinton and Hamilton, 1967;  
500 Volkman et al., 1998; Jetter et al., 2000; Diefendorf and Freimuth 2017 and references  
501 therein). Although lower concentrations of these compounds also occur in waxes on the  
502 surface of other parts of plants, leaf waxes are commonly assumed to be the dominant source  
503 of long-chain *n*-alkyl lipids delivered to lake sediments (e.g., Gamarra and Kahmen, 2015;  
504 Diefendorf and Freimuth, 2017). By contrast, alkyl lipids produced by bacteria and aquatic  
505 taxa are mainly membrane lipids or storage fats and are dominated by the short-chain  
506 compounds, typically by C<sub>16</sub> and C<sub>18</sub> fatty acids as well as alcohols. Storage fats frequently  
507 include unsaturated compounds with chain lengths up to 20 or 22 carbon atoms, such as the  
508 essential poly-unsaturated fatty acids eicosapentaenoic acid (EPA) and docosahexaenoic  
509 (DHA). However, these biologically highly desirable and labile compounds are usually not  
510 preserved in sedimentary records. *n*-Alkanes in aquatic algae and bacteria are dominated by  
511 the C<sub>17</sub> or C<sub>19</sub> homologues (e.g., Gelpi et al., 1970; Sachse and Sachs, 2008) while some  
512 macrophytes tend to produce a mid-chain range of *n*-alkanes (C<sub>21</sub> - C<sub>25</sub>; e.g., Ficken et al.,  
513 2000; Aichner et al., 2010b). Depending on the investigated setting, a fairly robust marker for  
514 the supply of *n*-alkanes from peat moss (*Sphagnum* spp.) is the C<sub>23</sub> *n*-alkane (see review on  
515 *n*-alkane distributions by Bush and McInerney, 2013), although root material of some sedges  
516 can be another wetland-related source (Ronkainen et al., 2013). Mid-chain alkyl compounds  
517 (C<sub>22</sub> and C<sub>24</sub> *n*-fatty acids, hydroxy acids, diacids and *n*-alcohols) characterize the alkyl fraction  
518 of suberin, an important biopolyester in root material (Molina et al., 2006, Pollard et al., 2008).  
519 They can thus indicate soil organic matter supply (Holtvoeth et al., 2016, 2017). Next to  
520 differences in *n*-alkyl chain lengths between species, there are also differences in the overall

521 amounts of plant wax that are produced by land plants. Van den Bos et al. (2018), for example,  
522 showed that the concentration of the most abundant *n*-alkane homologues in *Betula pendula*  
523 (birch) exceeded 100 µg/g dry leaf material, whereas *Quercus robur* (oak) contained  
524 concentrations of around 10 µg/g per homologue or less. Diefendorf et al. (2011) and  
525 Diefendorf and Freimuth (2017) show that conifers typically produce significantly smaller  
526 amounts of *n*-alkanes than broad-leaved species.

#### 527 3.1.1.2. *n*-Alkenes

528 Occasionally, mid-chain mono-unsaturated alkenes maximising at C<sub>25</sub> and C<sub>27</sub> are preserved  
529 in lake sediments (Jaffé et al., 1996; van Bree et al., 2014). Investigating their origin, van Bree  
530 et al. (2014) found these compounds in sinking particles collected in a shallow sediment trap  
531 in Lake Challa, but they were absent in terrestrial organic matter sources in the catchment,  
532 which suggests an origin in the oxygenated water column of the lake. Analysing the carbon  
533 isotope composition of the C<sub>25:1</sub> and C<sub>27:1</sub> *n*-alkenes, van Bree et al. (2014) were able to confirm  
534 an aquatic origin for these compounds as their δ<sup>13</sup>C values were consistent with the expected  
535 range for algal biomass in Lake Challa. However, the exact source of the mid-chain *n*-alkenes  
536 still has to be identified.

#### 537 3.1.1.3 Long-chain alkenones

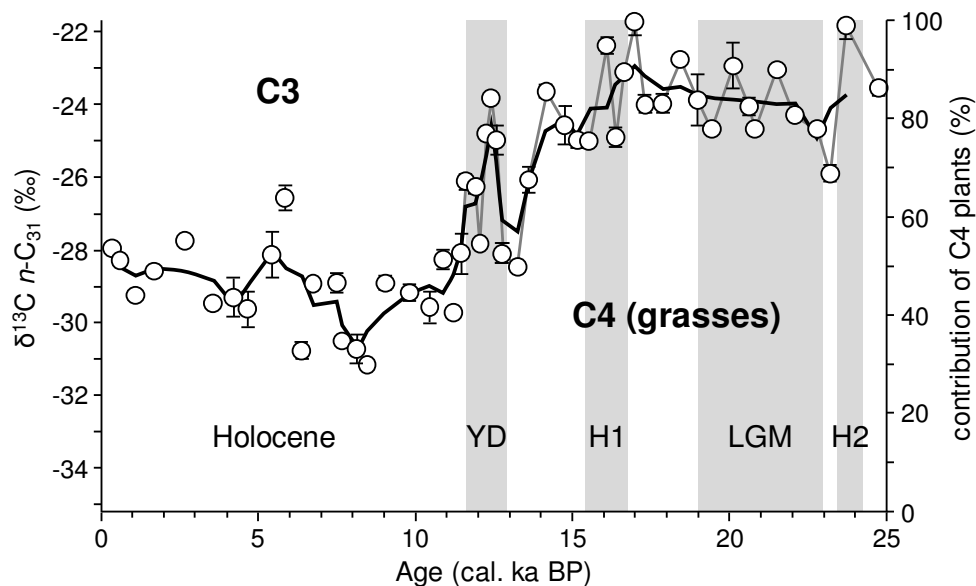
538 Long-chain alkenones are produced by several calcifying and non-calcifying haptophyte  
539 species in marine and saline lacustrine environments (Volkman et al., 1980a,b; Marlowe et  
540 al., 1984; Li et al., 1996; Thiel et al., 1997) and serve as energy storage lipids in these algae  
541 (e.g., Eltgroth et al., 2005). They have also been found in freshwater systems (Cranwell, 1985;  
542 Zink et al., 2001). However, in contrast to marine settings, the source of alkenones in lakes is  
543 generally not well defined as lacustrine haptophyte species show great biodiversity that  
544 significantly varies between lakes (Theroux et al., 2010; Toney et al., 2010). One of the non-  
545 calcifying haptophyte species found in saline lakes is *Chrysotila lamellosa* (Sun et al., 2007)  
546 while other alkenone producers appear genetically related to the coastal species *Isochrysis*  
547 *galbana* (Coolen et al., 2004a; D'Andrea et al., 2006; Theroux et al., 2010). For freshwater  
548 systems, Zink et al. (2001) speculate that also other, not yet identified non-haptophyte algae  
549 may produce alkenones. Nevertheless, alkenones can be abundant alkyl lipids in lake  
550 sediments (e.g., Zink et al., 2001; D'Andrea and Huang, 2005; Toney et al., 2011), they are  
551 relatively resistant towards diagenetic degradation (Sikes et al., 1991; Prah et al. 2000, 2003;  
552 Freitas et al., 2017) and can thus be targeted as an algal biomarker by CSIA.

#### 553 3.1.2 Applications

554 First and foremost, the isotopic composition of an individual alkyl compound can identify or  
555 confirm its presumed source, with the largest differences in biosynthetic isotope fractionation

556 (ε) separating terrestrial and aquatic plant matter sources as well as distinguishing between  
 557 C3 and C4 plants (review by Castañeda and Schouten, 2011) or pointing to methanotrophic  
 558 bacterial sources (e.g., Summons et al., 1994). Variability in the isotopic composition of a  
 559 specific compound over time typically reflects ecosystem response to a wide range of potential  
 560 environmental drivers, including changes in hydrology, seasonality, temperature, and nutrient  
 561 supply that affect species distribution and diversity. Accordingly, CSI data are ideally combined  
 562 with further proxy data to narrow down the key system drivers. For example, palynological  
 563 data may complement CSI proxy records by identifying changes in plant abundance or  
 564 diversity that reflect the adaptation of the vegetation to changes in hydrology or temperature  
 565 (e.g., Huang et al., 2006; Tierney et al., 2010).

566 Many studies applying CSIA focus on *n*-alkanes as they are easy to isolate from the TLE, do  
 567 not require further sample preparation or correction for added carbon or hydrogen during  
 568 derivatisation and their source is relatively specific, with their main source in sedimentary  
 569 archives being cuticular plant waxes. Thus, *n*-alkane CSI data interpretation can focus on a  
 570 limited number of reasonably well understood environmental drivers. For example, Sinninghe  
 571 Damsté et al. (2011a) used the  $\delta^{13}\text{C}$  values of the  $\text{C}_{31}$  *n*-alkane in sediments of Lake Challa  
 572 (Mt. Kilimanjaro) to reconstruct glacial-interglacial vegetation change from C4 grass-  
 573 dominated savannah to C3 vegetation in response to hydrological changes in East Africa over  
 574 the past 25 ka (Fig. 5).

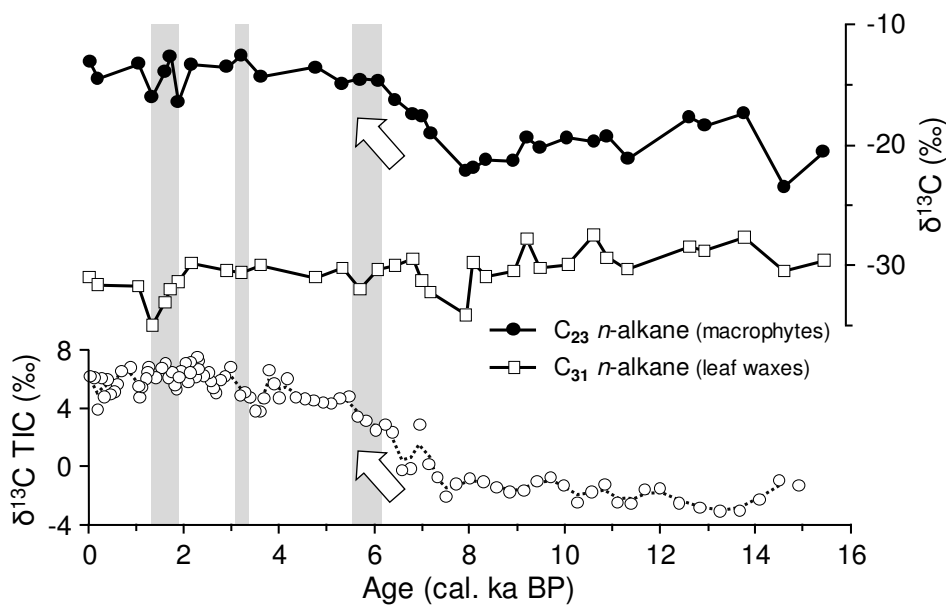


575

576 **Figure 5:** Reconstruction of changing proportions of C3 and C4 vegetation based on  $\delta^{13}\text{C}$   
 577 values of the  $\text{C}_{31}$  *n*-alkane in sediments of Lake Challa, East Africa for the past 25 ka, revealing  
 578 the transition from C4 grass savannah during the last glacial to mixed C3/C4 vegetation in the  
 579 Holocene (black line: 3-point moving average, H1/H2 = Heinrich event 1/2, LGM = last glacial

580 maximum, YD = Younger Dryas; modified from Sinninghe Damsté et al., 2011a).

581 In Lake Koucha on the eastern Tibetan Plateau, Aichner et al. (2010b) found  $\delta^{13}\text{C}$  values of  
582 macrophyte-derived  $\text{C}_{23}$  *n*-alkanes (mainly from *Potamogeton*) diverging from the  $\delta^{13}\text{C}$  values  
583 of the terrestrial  $\text{C}_{31}$  *n*-alkane but following an equivalent shift towards heavier values in bulk  
584 inorganic carbon (TIC)  $\delta^{13}\text{C}$  values, which the authors interpreted as evidence for dissolved  
585  $\text{CO}_2$  limitation due to enhanced productivity at least in the littoral zone of the lake (Fig. 6). This  
586 coincided with a shift from a macrophyte-dominated saline ecosystem to a phytoplankton-  
587 dominated freshwater ecosystem as indicated by other biomarkers and micropaleontological  
588 data.



589

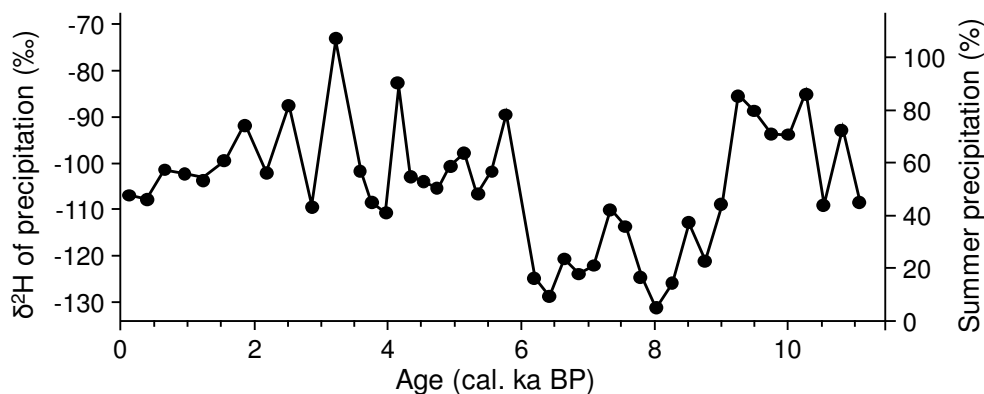
590 **Figure 6:** CSI data of the  $\text{C}_{23}$  *n*-alkane from macrophytes and the terrestrial  $\text{C}_{31}$  *n*-alkane  
591 compared to the  $\delta^{13}\text{C}$  values of bulk TIC in Lake Koucha (eastern Tibetan Plateau), suggesting  
592  $\text{CO}_2$  limitation due to enhanced productivity after 7 cal ka BP (grey bars: cold periods, dashed  
593 line: 3-point running average; modified from Aichner et al., 2010b).

594 The widened scope and an improved understanding of isotope fractionation affecting *n*-alkyl  
595 lipids in modern ecosystems has led to a rapid increase in studies targeting a wider range of  
596 alkyl lipids for the gain of more specific paleoenvironmental information in recent years. An  
597 increasing number of studies apply *n*-alkyl lipid  $\delta^2\text{H}$  values for paleohydrological  
598 reconstruction, illustrating the substantial promise of this novel method (Sachse et al., 2012).

599 Rach et al. (2014) studied the precisely dated varved sediment record from Lake Meerfelder  
600 Maar (Germany) to reconstruct changes in hydroclimate over Western Europe at the onset of  
601 the Younger Dryas, using *n*-alkane  $\delta^2\text{H}$  values. By comparing the  $\delta^2\text{H}$  records of the terrestrial  
602  $\text{C}_{29}$  *n*-alkane and the aquatic  $\text{C}_{23}$  *n*-alkane (assumed to derive from macrophytes such as

603 *Potamogeton* sp.) the authors were able to differentiate between the effects of temperature  
604 changes, aridification, and moisture source changes and could confirm a 170-year delay  
605 between atmospheric cooling in Greenland and hydrology change over Western Europe,  
606 which is also backed by palynological data from the site. A later study by Rach et al. (2017) of  
607 the Holocene section of the same sedimentary record focussed on the Subboreal-Subatlantic  
608 climate transition around 2.8 ka and found terrestrial *n*-alkane  $\delta^2\text{H}$  values to confirm the  
609 establishment of cooler and wetter conditions, potentially associated with a change in  
610 atmospheric trajectories. A sediment record spanning the same time interval obtained from  
611 the Netherlands (Engels et al. 2016; van den Bos et al., 2018) shows an opposite  $\delta^2\text{H}$ -trend  
612 around this time, which could be explained by a change in the atmospheric circulation pattern  
613 resembling the negative phase of the North Atlantic Oscillation. Notably, Rach et al. (2017)  
614 also observe a large change in  $\delta^2\text{H}$  values of aquatic lipid biomarkers ( $\text{C}_{21}$  and  $\text{C}_{23}$  *n*-alkane)  
615 of up to 30 ‰, which the authors assume to result not just from hydrological change but also  
616 from ecosystem change as it coincides with a strong increase in aquatic plants and algal  
617 remains in the palynological record.

618 The combination of  $\delta^2\text{H}$  and  $\delta^{13}\text{C}$  values of the  $\text{C}_{29}$  *n*-alkanes in a Norwegian peatland was  
619 used to reconstruct Holocene changes in the seasonality of rainfall, one of the more elusive  
620 factors determining CSI data outside the monsoon regions (Nichols et al., 2009; Fig. 7).

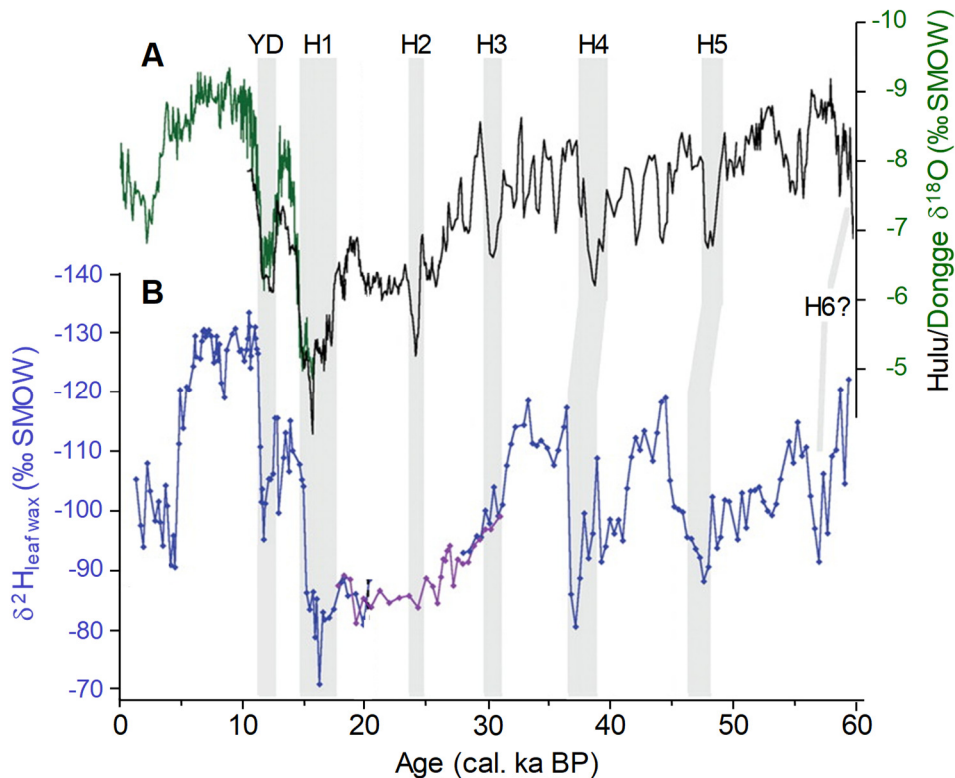


621

622 **Figure 7:** Seasonality of precipitation in NW Norway during the Holocene, expressed as the  
623 proportion of summer precipitation and reconstructed from *n*- $\text{C}_{29}$  alkane  $\delta^2\text{H}$  values (modified  
624 from Nichols et al., 2009).

625 Although *n*-alkanes are well established as target compounds for CSIA they frequently are a  
626 minor TLE fraction compared to *n*-fatty acids or *n*-alcohols (see, e.g., Cranwell, 1981; Otto  
627 and Simpson, 2005; Berke et al., 2012; Holtvoeth et al., 2016), which can provide valuable  
628 alternative data when the amount of sample material and extractable *n*-alkanes are too low  
629 for CSIA. Tierney et al. (2008), for example, applied hydrogen isotope ( $\delta^2\text{H}$ ) analysis of the

630 leaf wax-derived  $C_{28}$  *n*-fatty acid to sediment cores from Lake Tanganyika (East Africa) to  
 631 reconstruct variations in precipitation patterns over the past 60,000 years in order to better  
 632 understand the processes that control climate in the tropics. Their data show that this  
 633 understudied region experienced abrupt paleohydrological changes coeval with orbital and  
 634 millennial-scale events recorded in Northern Hemisphere monsoonal climate records (Fig. 8).  
 635 These results provide sound evidence for a strong control of Indian Ocean surface  
 636 temperatures and winter Indian monsoon on precipitation in southeast Africa.



637

638 **Figure 8:** The close correlation of the  $\delta^2\text{H}$  values of leaf wax-derived  $C_{28}$  *n*-fatty acid in  
 639 sediments of Lake Tanganyika (B) with the  $\delta^{18}\text{O}$  records of the Hulu and Dongge caves (A)  
 640 reveal the close linkage between Northern Hemisphere monsoon variability and East African  
 641 hydrology over the past 60,000 years (YD = Younger Dryas, H1-6 = Heinrich events 1-6;  
 642 modified from Tierney et al., 2008).

643 A study by Berke et al. (2012) on sediments of the past 14 kyrs from Lake Victoria combines  
 644  $\delta^{13}\text{C}$  data of the  $C_{29}$  *n*-alkane and, due to the relatively low abundance of *n*-alkanes,  $\delta^2\text{H}$  data  
 645 of the  $C_{28}$  *n*-fatty acid with a biomarker-based temperature proxy (TEX<sub>86</sub>; Section 3.3) in order  
 646 to reconstruct hydrologically controlled changes in the catchment, in particular, changes in the  
 647 proportion of C3 and C4 plants. The data are then compared to equivalent data from other  
 648 African settings, specifically,  $\delta^2\text{H}$  data of the  $C_{28}$  *n*-fatty acid from Lakes Challa (Tierney et al.,  
 649 2011), Tanganyika (Tierney et al., 2008) and Malawi (Konecky et al., 2011) and of the  $C_{29}$  *n*-



650 alkane from higher plants of the Congo Basin (Schefuß et al., 2005) and the Zambezi River  
651 catchment (Schefuß et al., 2011). Berke et al. (2012) find their reconstruction in good  
652 agreement with other African records and illustrated the spatiotemporal propagation of drier  
653 and cooler conditions across East and North Africa after a warm and humid early Holocene  
654 as well as the influence of monsoonal moisture supply in periods of maximum seasonal  
655 contrast between Northern and Southern Hemisphere insolation. Notably, the authors observe  
656 a mismatch between their *n*-alkane  $\delta^{13}\text{C}$  values and palynological data which they attribute to  
657 different source vegetation for leaf waxes and pollen from around the lake, underscoring the  
658 value of multiproxy approaches. The  $\delta^2\text{H}$  values of the *n*-fatty acids, on the other hand, should  
659 be independent of this as they are determined by the  $\delta^2\text{H}$  value of meteoric water rather than  
660 interspecies differences in biosynthetic processing. Accordingly, the  $\delta^2\text{H}$  values of the *n*-fatty  
661 acids do indeed appear coherent with the changes in the amount of precipitation and  
662 associated biome adaption postulated by Berke et al., (2012).

663 Due to the strong control of meteoric water isotope composition over leaf wax  $\delta^2\text{H}$  values that  
664 is particularly pronounced in regions with distinct seasonal changes in moisture source a  
665 similar approach was taken by Cisneros-Dozal et al. (2014) for a reconstruction of North  
666 American monsoon intensity during the late Pleistocene (540 - 360 ka BP). In the sediments  
667 of a paleolake in the southwestern US,  $\delta^2\text{H}$  values of the  $\text{C}_{28}$  *n*-fatty acid reflect the changing  
668 intensity of monsoonal moisture supply from the Gulf of Mexico and the Gulf of California,  
669 which is seasonally alternating with moisture supply from the cooler North Pacific Ocean. The  
670 CSI data resolves the orbitally controlled monsoon variability during interglacials, specifically,  
671 during marine isotope stage 11, and thus provides the mechanism driving equivalent changes  
672 in pollen, bulk  $\delta^{13}\text{C}$  and GDGT-based temperature data from the same record.

673 Studying the isotopic composition of *n*-alkyl lipids that are part of tissue types other than  
674 cuticular waxes widens the application of CSI data considerably towards aquatic ecosystems  
675 as well as towards other terrestrial OM sources such as soil OM (suberin-derived alkyl  
676 compounds). In fact, soil OM is the larger carbon reservoir compared to living biomass by a  
677 factor of  $\sim 2$  (Post et al., 1977). The amounts and isotopic composition of suberin-derived  $\alpha,\omega$ -  
678 diacids or  $\text{C}_{22}$  and  $\text{C}_{24}$   $\omega$ -hydroxy acids can provide evidence for the dynamics of the soil  
679 carbon pool (Mendez-Millan et al., 2010) ascribed to changes in vegetation cover or land use  
680 change and, thus, support established CSIA of leaf wax *n*-alkanes tracking changing  
681 proportions of  $\text{C}_3$  and  $\text{C}_4$  plants. Even within a pure  $\text{C}_3$  river catchment, Alewell et al. (2016),  
682 for example, were able to distinguish between contributions to river sediment from different  
683 OM sources (forest, agricultural land) using CSI data and concentrations of *n*-fatty acids. In  
684 order to investigate the links between the isotopic composition of the major limnic carbon  
685 pools, i.e. dissolved inorganic and organic carbon (DIC, DOC),  $\text{CO}_{2(\text{aq})}$ , particulate organic

686 carbon (POC) and algal and bacterial biomass, on the one hand, and lake water  $p\text{CO}_2$ , food  
687 web structure and nutrient regime in lakes of different trophic status, on the other hand, de  
688 Kluijver et al. (2014) combined bulk substrate isotope values with CSI data of algal and  
689 bacterial fatty acids and glucose. This approach revealed complex interdependencies  
690 between carbon pool dynamics and isotope values, with nutrient level being a major factor. In  
691 order to assess aerobic methanotrophic bacterial production that is responsible for relatively  
692 low methane outgassing in Lake Kivu, Morana et al. (2015) interpreted  $\delta^{13}\text{C}$  values of *n*-fatty  
693 acids, mono-unsaturated and branched fatty acids alongside  $\delta^{13}\text{C}$  values of methane, DIC and  
694 POC from water column profiles. Studying methane production in and outgassing from surface  
695 sediments of West, Central and North European lakes, Stötter et al. (2018) found correlations  
696 between in-lake methane concentrations and the relative abundance of  $^{13}\text{C}$ -depleted mono-  
697 unsaturated fatty acids in the sediments that appeared to derive mainly from methane-  
698 oxidising bacteria. However, the authors also find that oxygen availability at the sediment-  
699 water interface is a major factor affecting the abundance of these compounds. Thus, although  
700 reconstructing changes in methane outgassing from lakes would contribute significantly to the  
701 understanding of methane cycling in the past, the extension of such approaches into the  
702 paleorecord remains a challenge. Glucose has a low preservation potential, for example, and  
703 disentangling the sources of microbial biomarkers from communities living in the water column  
704 or *in situ* will be an issue. However, in any such attempt, CSIA will provide an essential tool  
705 due to the strong fractionation resulting from the consumption of microbial methane, whichever  
706 biomarker from a methanotrophic organism one would be studying. We would like to point out  
707 the research opportunities that follow from the relations described above between  
708 environmental factors and the isotope composition of certain lipids and glucose in soils and  
709 modern aquatic ecosystems since the potential of many of these relations for  
710 paleoenvironmental proxy development has yet to be explored.

711 CSIA of long-chain alkenones from incubation experiments with the dominant marine  
712 haptophyte species, *Emiliana huxleyi* and *Gephyrocapsa oceanica*, and the coastal species  
713 *Isochrysis galbana* demonstrated that the  $\delta^2\text{H}$  value of the alkenones is generally determined  
714 by the  $\delta^2\text{H}$  value of the water and, to a significant extent, by salinity (e.g., Englebrecht and  
715 Sachs, 2005; Schouten et al., 2006; M'boule et al., 2014; Weiss et al., 2017). Haptophyte  
716 growth rate is another modifying factor (Schouten et al., 2006; M'boule et al., 2014). The  
717 concept of alkenone  $\delta^2\text{H}$  values tracking salinity was applied, e.g., by van der Meer et al.  
718 (2007) to sedimentary alkenones in the eastern Mediterranean where the alkenone  $\delta^2\text{H}$  value  
719 strongly correlates with enhanced freshwater supply during sapropel formation. In a Holocene  
720 sediment core from an estuarine site on the west coast of Florida, alkenone  $\delta^2\text{H}$  values also  
721 appear to have varied to some extent with salinity (van Soelen et al., 2014), however, such

722 relation was not seen, e.g., in alkenones in suspended particles and surface sediment from  
723 the Chesapeake Bay estuary on the east coast of the US (Schwab and Sachs, 2011). A shift  
724 in haptophyte species distribution along with change in salinity is one of the likely reasons for  
725 the weak or absent correlation between alkenone  $\delta^2\text{H}$  values and salinity in brackish coastal  
726 settings. In North American saline lakes, Nelson and Sachs (2014) observe a correlation,  
727 particularly, of the  $\delta^2\text{H}$  value of the  $\text{C}_{37:4}$  alkenone in the surface sediment with lake water  $\delta^2\text{H}$ ,  
728 although this appears weaker than in the marine realm. As far as we are aware at the time of  
729 writing, the applicability of alkenone  $\delta^2\text{H}$  for reconstructions of salinity changes in a lacustrine  
730 setting has yet to be tested, ideally, for an extant lacustrine environmental archive where the  
731 evolution of both salinity and algal species can also be determined by other means.

732 Schouten et al. (2001) and D'Andrea and Huang (2005) determined the  $\delta^{13}\text{C}$  values of  
733 alkenones in sediments of Antarctic and Arctic saline lakes and found further  $^{13}\text{C}$  depletion in  
734 the alkenones relative to other biomarkers such as fatty acids, sterols and steranes, with  $\delta^{13}\text{C}$   
735 values of the alkenones of -35 ‰ (Schouten et al., 2001) to -42 ‰ (D'Andrea and Huang,  
736 2005). These offsets are not straightforwardly explained and low growth rates and high  
737 concentrations of dissolved  $\text{CO}_2$  due to the low water temperatures in the investigated settings  
738 remain hypothetical causes for enhanced fractionation during alkenone biosynthesis.  
739 D'Andrea and Huang (2005) again refer to the uncertain source of the alkenones in Arctic  
740 lakes but point out the possibility that the isotopic fingerprint of the alkenones may relate to  
741 specific ecological conditions. Similarly, a 1 ‰ shift in alkenone  $\delta^{13}\text{C}$  values in mid-Holocene  
742 sediments from a restricted estuary (Charlotte Harbour, Florida) may also derive from a shift  
743 in species distribution and an associated change in fractionation as isotopic change in DIC  
744 could be ruled out based on  $\delta^{13}\text{C}$  values of carbon from foraminifera (van Soelen et al., 2014).  
745 We are currently not aware of CSIA of alkenones in pure freshwater systems, for which the  
746 potential of such application for paleoenvironmental reconstructions remains to be explored.

## 747 **3.2 Amino acids**

### 748 **3.2.1 Sources**

749 Amino acids are biologically ubiquitous compounds present in all organisms, both in the form  
750 of proteins (polypeptides, i.e. chains of amino acids) and as precursors and intermediates in  
751 the biosynthesis of other essential biomolecules, such as porphyrins, neurotransmitters in  
752 animals, and lignin in plants. Heterotrophic organisms typically cannot biosynthesise all amino  
753 acids they require, i.e. some amino acids have to be assimilated through food sources. These  
754 are known as essential amino acids or source amino acids. By contrast, nonessential amino  
755 acids are synthesised by heterotrophs through enzymatically controlled addition of ammonia  
756 ( $\text{NH}_3^+$ ) to metabolic intermediates, commonly pyruvate, oxaloacetate,  $\alpha$ -ketoglutarate, in a  
757 process called transamination (for details see, e.g., Lengeler et al., 1999; Chikaraishi et al.,

758 2009). Like many enzymatically controlled biosynthetic reactions, transamination and  
 759 deamination (removal of ammonia) inherit isotope fractionation (Gaebler et al., 1966) and, in  
 760 this case, result in <sup>15</sup>N enrichment of the nonessential (or trophic) amino acids (McClelland  
 761 and Montoya, 2002; Chikaraishi et al., 2007). Thus, the nitrogen isotopic composition of  
 762 essential and nonessential amino acids in heterotrophic organisms is determined by the  
 763 source (essential amino acid) and by the level of metabolic processing (nonessential amino  
 764 acid). Phenylalanine (Phe), for example, is an essential amino acid in mammals and  
 765 undergoes few metabolic steps in which fractionation could occur, therefore, δ<sup>15</sup>N<sub>Phe</sub> values  
 766 represent those of the diet, and ultimately the base of the food web. Phe is therefore referred  
 767 to as a source group amino acid. On the other hand, glutamic acid (Glu) plays a central role  
 768 in amino acid biosynthesis, and so δ<sup>15</sup>N<sub>Glu</sub> values reflect the amount of N metabolic cycling  
 769 between the base of the food web and the consumer tissue, and is referred to as a trophic  
 770 group amino acid (McClelland and Montoya, 2002; O'Connell, 2017).

771 It is thus possible to estimate the trophic position of organisms in aquatic and terrestrial  
 772 ecosystems using an equation based on the differing trophic <sup>15</sup>N enrichments of Glu and Phe,  
 773 of approximately 8 ‰ and 0.4 ‰, respectively (Eq. 2):

$$774 \quad T_{L_{\text{Glu-Phe}}} = \frac{\delta^{15}\text{N}_{\text{Glu}} - \delta^{15}\text{N}_{\text{Phe}} - \beta}{7.6} + 1 \quad \text{Equation 2}$$

775 where β is the difference between Glu and Phe at the base of the food web being studied  
 776 (Chikaraishi et al., 2009; Chikaraishi et al., 2010; Yamaguchi et al., 2017). This method has  
 777 benefits over using a bulk method, as the δ<sup>15</sup>N values of these amino acids provide an internal  
 778 trophic position measure, without the need to measure the flora and fauna contributing to the  
 779 diet (Chikaraishi et al., 2007, 2009).

### 780 **3.2.2 Applications**

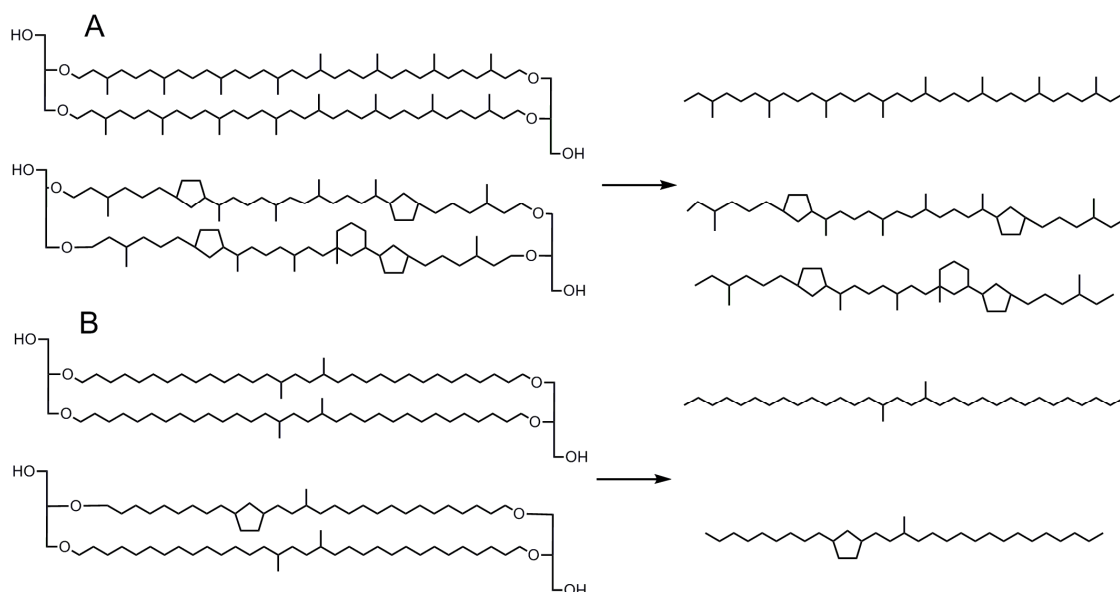
781 CSIA of amino acids has developed into a tool to improve our understanding of nitrogen  
 782 transfer in modern aquatic food webs (e.g., Uhle et al., 1997; McClelland and Montoya, 2002;  
 783 McCarthy et al., 2007; Yamaguchi et al., 2017). An increasing number of studies successfully  
 784 apply nitrogen as well as carbon CSIA of amino acids to track amino acid production in the  
 785 limnic water column as well as microbial processing during sinking and in surface sediments  
 786 (e.g., Carstens et al., 2013). In paleolimnological contexts, the application of CSIA of amino  
 787 acids has so far been limited due to the relatively low preservation potential of amino acids  
 788 and the uncertainties associated with nitrogen fractionation affecting individual amino acids  
 789 during and after entering the sedimentary record. Carstens et al. (2013) observe an early  
 790 diagenetic decrease of amino acid-bound nitrogen relative to the total nitrogen from 38 to 10  
 791 % in the top 6 cm of sediment in two Swiss lakes as well as changes in the δ<sup>15</sup>N values of

792 amino acids that are also likely to result from *in situ* microbial processing rather than changing  
793 inputs over time. Further evidence for heterotrophic alteration of selected amino acids from  
794 detrital organic matter leading to a scattered amino acid  $\delta^{15}\text{N}$  pattern is provided in a critical  
795 review of amino acid nitrogen CSIA in environmental contexts by Ohkouchi et al. (2017), with  
796 the authors concluding that understanding how exactly microbial activity alters amino acid  
797  $\delta^{15}\text{N}$  patterns “remains a frontier area of CSIA-AA applications”. Thus, while amino acid  $\delta^{15}\text{N}$   
798 values may provide information on both organic matter sources and microbial degradation,  
799 these processes will have to be understood before any proxy can be reliably applied.

### 800 3.3 Glycerol-dibiphytanyl-glycerol tetraethers (GDGTs)

#### 801 3.3.1 Sources

802 Isoprenoidal etherlipids, in particular archaeol and hydroxyarchaeol (diethers) or glycerol  
803 dibiphytanyl glycerol tetraether lipids (iGDGTs, Fig. 8A) are the predominant membrane lipids  
804 of archaea (Langworthy, 1982, 1977; Langworthy et al., 1972; Schouten et al., 2013). Archaea  
805 are widespread in mesophilic settings: marine and lake sediments (MacGregor et al., 1997;  
806 Vetriani et al., 1998), soils (Hershberger et al., 1996; Leininger et al., 2006), and the ocean  
807 (DeLong, 1992; Fuhrman and Davis, 1997; Karner et al., 2001). Isotopic fractionation has  
808 been studied on only a small proportion of cultured organisms (Könneke et al., 2012; van der  
809 Meer et al., 2001). The membranes of some bacteria can also consist of diether lipids and  
810 tetraether lipids, containing non-isoprenoidal, sometimes methylated, hydrocarbon chains  
811 (glycerol dialkyl glycerol tetraetherlipids or branched GDGTs, (brGDGT, Fig. 8B; Sinninghe  
812 Damsté et al., 2011b, 2014; Weijers et al., 2006). Sources of brGDGTs comprise  
813 microorganisms thriving in lacustrine and riverine environments (Blaga et al., 2010; Tierney  
814 and Russell, 2009; De Jonge et al., 2014), peats (Weijers et al., 2006) and soils (Weijers et  
815 al., 2007).



816

817 **Figure 9:** Glycerol-dibiphytanyl-glycerol tetraether lipids (GDGTs) and cleavage products; A:  
818 common isoprenoidal GDGTs (iGDGTs) and biphytanes, B: common branched GDGTs  
819 (brGDGTs) and branched and cyclic alkanes (modified from Schouten et al., 1998).

820  $\delta^{13}\text{C}$  values of GDGTs are most commonly measured after chemical degradation to  
821 biphytanes and branched alkanes (Schouten et al., 1998; Fig. 9), but can also be determined  
822 for intact molecules by a spooling-wire microcombustion device interfaced with an isotope-  
823 ratio mass spectrometer (SWiM-IRMS; Pearson et al., 2016) or possibly by high-temperature  
824 GC-IRMS (Lengger et al., 2018). Analytical challenges in the determination of the stable  
825 hydrogen isotopic composition are large and only a limited amount of CSI studies focusing on  
826 GDGTs have been carried out, so far (e.g., Kaneko et al., 2011).

### 827 **3.3.2 Applications**

828 The applicability of carbon isotopes of GDGTs for lacustrine environmental reconstructions  
829 still has to be tested. However,  $\delta^{13}\text{C}$  values of GDGTs have been the subject of a significant  
830 number of studies of modern environments that work towards the development of GDGT-  
831 based paleoenvironmental proxies. These include stable isotope probing experiments aiming  
832 to study origin and metabolism of GDGTs (Wuchter et al., 2003; Lengger et al., 2014), and the  
833 determination of natural  $\delta^{13}\text{C}$  values of GDGTs. GDGTs are highly abundant in lakes, and  
834 their distributions are well studied as they are used in paleothermometers such as  $\text{TEX}_{86}$  and  
835 MBT (Castañeda and Schouten, 2011). Some are produced *in situ* in the lakes, while others  
836 are exogenous and derived from surrounding soils or riverine influx. Provided sources and net  
837 carbon isotope fractionation factors for archaeal, planktonic iGDGTs such as crenarchaeol are  
838 further constrained,  $\delta^{13}\text{C}_{\text{biphytane}}$  could potentially be used as a paleo-DIC proxy in lakes, as  
839 suggested for marine settings (Hoefs et al. 1997, Kuypers et al. 2001, Pearson et al. 2016).  
840 Bacterial brGDGTs, on the other hand, have been reported to be depleted by 1 ‰ in  $^{13}\text{C}$   
841 compared to the bulk organic carbon in a peat (Weijers et al., 2010); consistent with a  
842 heterotrophic lifestyle. However, in lakes (sediments and water column), brGDGTs were found  
843 to be varying with  $\delta^{13}\text{C}$  of POM, but strongly depleted in  $\delta^{13}\text{C}$  in anoxic bottom waters, with  
844 values of -43 to -47 ‰ (10 ‰ depleted compared to TOC, Weber et al., 2015) and -42 ‰  
845 (Weber et al., 2018). Weber et al. (2018) attributed this depletion to uptake of  $^{13}\text{C}$ -depleted  
846 organic carbon ultimately derived from biogenic methane by the source bacteria living in and  
847 below the redox transition under hypoxic and methanotrophic conditions. Thus,  $\delta^{13}\text{C}$  values of  
848 brGDGTs in lake sediments can shed light on organic matter sources and lake  
849 biogeochemistry.

850  $\delta^{13}\text{C}$  values of iGDGTs produced by archaea can also be used to study present and past  
851 settings of anaerobic oxidation of methane. GDGTs with unusually negative  $\delta^{13}\text{C}$  values have

852 been found mostly in methane seep environments and euxinic water columns, and are strong  
853 evidence for anaerobic methanotrophs (ANME; Hinrichs et al., 1999, 2000; Wakeham et al.,  
854 2004; Niemann and Elvert, 2008). Recently, these have been used for the first time to trace  
855 anaerobic oxidation of methane in sediments of a freshwater wetland (Segarra et al., 2015).

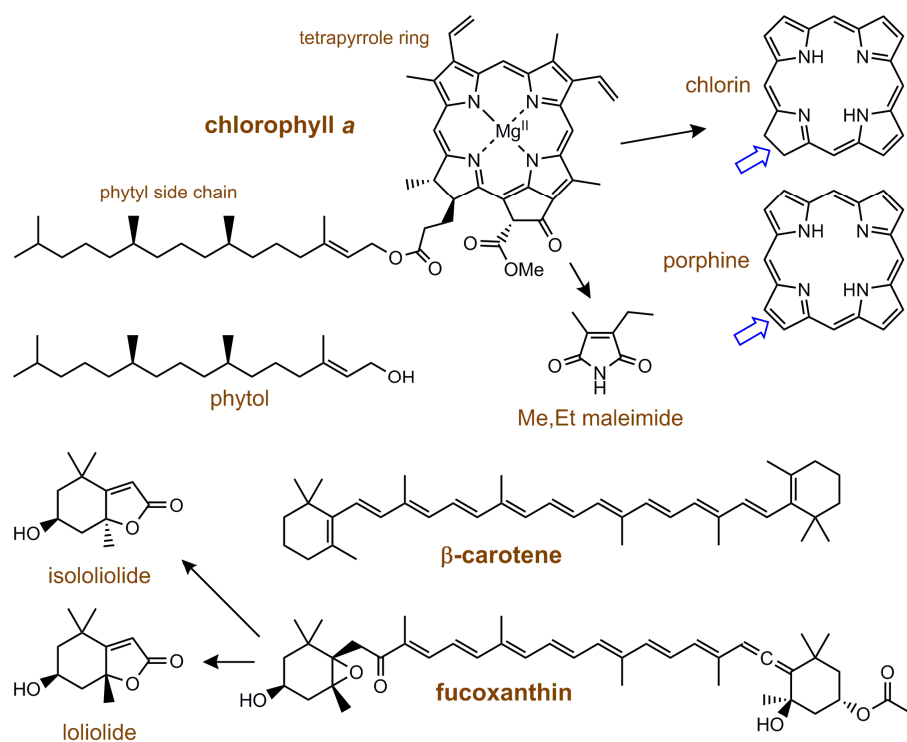
856 In summary, there are several potential applications for  $\delta^{13}\text{C}$  values of GDGTs as proxies in  
857 lacustrine and freshwater environments. These range from establishing the presence of  
858 anaerobic methane oxidising archaea, to constraining paleo-DIC and organic matter sources.

### 859 **3.4 Pigment transformation products**

860 Key compounds for photosynthesis, chlorophylls and bacteriochlorophylls are the most  
861 abundant pigments on the planet. Their transformation products, chlorins, porphyrins, and  
862 maleimides can be preserved in lacustrine and marine sediments. Another important group of  
863 pigments in plants and microbes are carotenoids. Pigments contain chromophore groups,  
864 typically conjugated double bonds that absorb portions of the visible solar spectrum and give  
865 molecules their distinctive colours. Many of the pigments integrate oxygen functional groups  
866 that provide sites for microbial degradation, making these compounds particularly sensitive to  
867 post-depositional alterations. The major forms of stabilizing alterations are complete  
868 aromatization of the chlorophyll tetrapyrrole ring to lead to porphyrins and hydrogenation of  
869 carotenoids carbon-carbon double bonds to form isoprenoid alkanes (Fig. 10).

870 The various chlorophylls differ principally in the alkyl sidechains attached to the central  
871 tetrapyrrole ring. The most important sidechain of chlorophyll *a*, the most common  
872 photosynthetic pigment, is the ester-linked diterpenoid alcohol, phytol (Fig. 10, see Fig. 11 for  
873 biosynthesis). As chlorophylls absorb red wavelengths of solar energy, aquatic phototrophs  
874 have evolved different carotenoid compounds as accessory pigments to broaden the range of  
875 wavelengths useful for photosynthesis (c.f. Swain, 1985; Sanger, 1988). Many of the  
876 accessory pigments are characteristic of different photoautotrophs and this can be used to  
877 help identify past sources, synthesis, taphonomy, and freshness of organic matter in limnic  
878 records (Naeher et al., 2013).

879 Chlorophylls undergo minor to major transformations within the water column and in the  
880 sediment. These continue during diagenesis and lead to the formation of porphyrins and  
881 maleimides (Grice et al., 1996, 1997; Pancost et al., 2002). The reactivity of pigments makes  
882 them sensitive indicators of changes in aquatic environments. For example, the diagenetic  
883 conversion of chlorophyll to pheophytin is enhanced by acidic conditions, as shown by  
884 Guilizzoni et al. (1992) when employed in the reconstruction of the progressive acidification of  
885 lakes in the Central Alps.



886

887 **Figure 10:** Molecular structures of common pigment types and representative degradation  
 888 products in limnic settings. Chlorophyll *a* is the dominant chlorophyll and primary  
 889 photosynthetic pigment. Secondary pigments such as carotenoids (e.g.,  $\beta$ -carotene,  
 890 fucoxanthin) are present in various amounts in plants and algae as well as dinoflagellates.  
 891 Pigments are rarely preserved intact whereas degradation products such as chlorin,  
 892 porphyrins (parent structure: porphine) and maleimides from chlorophylls or  
 893 loliolide/isololiolide from fucoxanthin are frequently observed in lake sediments and can be  
 894 used as indicators for photoautotrophs.

### 895 **3.4.1. Chlorins and porphyrins**

#### 896 **3.4.1.1 Sources**

897 Chlorins are broadly defined as chlorophylls and their phaeopigment derivatives central to  
 898 photosynthesis (Fig. 10) and thus inherently linked to primary producers (Sanger, 1988). As  
 899 they quickly degrade in light and oxygen, chlorins extracted from water or surface sediments  
 900 are thought to be derived from synthesis at or close to the collection site, reducing the influence  
 901 of transport. Degradation of chlorins during diagenesis and transport biases limnic sediments  
 902 toward autochthonous sources, although chlorins are also synthesized by land plants (Sanger,  
 903 1988). Chlorins contain four nitrogen atoms to each molecule (Fig. 10), offering the opportunity  
 904 for compound-specific  $\delta^{15}\text{N}$  analysis.

905 Intensively studied since the 1930s (e.g., Treibs, 1936) porphyrins are aromatic organic  
 906 compounds that consist of carbon and nitrogen and sometimes contain a metal atom such as



907 magnesium at their centre (e.g., chlorophyll). Whereas chlorins comprise the immediate  
908 diagenetic products of chlorophylls, geoporphyrins result from long-term diagenesis (cf. Callot  
909 et al., 1990). They have vanadium or nickel in their centre and can be preserved in a wide  
910 range of sediments for hundreds of millions of years (Eglinton et al., 1985; Callot and Ocampo,  
911 2000).

### 912 **3.4.1.2 Applications**

913 The nitrogen isotopic composition of chlorins has been determined from contemporary waters  
914 and cultured algae (Sachs and Repeta, 1999; York et al., 2007), as well as from late  
915 Quaternary marine and limnic sediments (Sachs and Repeta, 1999; 2000; Higgins et al.,  
916 2010), e.g., to provide insights into the marine N-cycle in the Mediterranean sapropel  
917 formation. These studies, however, relied upon phaeopigments (Sachs and Repeta, 1999,  
918 2000) or on the coalescence of several chlorin fractions (Higgins et al., 2010). Coupled  $\delta^{13}\text{C}$   
919 and  $\delta^{15}\text{N}$  from chlorins extracted from last glacial-interglacial transition sediments of Lake  
920 Suigetsu, Japan (Tyler et al., 2010) emphasize both the potential (e.g., the response of aquatic  
921 primary productivity to post-glacial environmental change) and further work needed for chlorin-  
922 specific isotopes as tracers in lake sediments.

923 Where ancient sediments are concerned,  $\delta^{15}\text{N}$  measurements of diagenetic products of  
924 chlorins are more prevalent, e.g. metalloalkylporphyrins (Hayes et al., 1987; Ohkouchi et al.,  
925 2006 for nitrogen fixation/assimilation) and maleimides (Grice et al., 1996a; Pancost et al.,  
926 2002; see Section 3.4.3).

## 927 **3.4.2 Aromatic carotenoids and maleimides**

### 928 **3.4.2.1 Sources**

929 Carotenoids are usually yellow- to red-coloured lipids formally derived from the irregular  $\text{C}_{40}$   
930 isoprenoid lycopene carbon skeleton by hydrogenation, dehydrogenation, cyclization and  
931 oxidation reactions (Pfenning, 1978). Biosynthesized *de novo* by all photosynthetic bacteria,  
932 eukaryotes, halophilic (high salt) archaea, and a large variety of non-photosynthetic  
933 organisms, over 600 different carotenoid structures have been identified in modern organisms  
934 (Goodwin, 1976; Liaaen-Jensen, 1979; Summons and Powell, 1986). In aquatic sedimentary  
935 environments, the only significant biological sources for aromatic carotenoids are green and  
936 purple sulfur bacteria, anoxygenic photoautotrophic prokaryotes that inhabit the sulfide-rich,  
937 light-limited, and oxygen depleted bottom waters of some lakes and ocean basins (Grice et  
938 al., 1996a; Koopmans et al., 1996; Schaeffer et al., 1997).

939 Maleimides are the oxidation products mainly of the tetrapyrrole nuclei from chlorophyll and/or  
940 bacteriochlorophyll related pigments (Fig. 10) and potentially from other sources, e.g.,  
941 cytochromes (Paoli et al., 2002) and phycobilins from cyanobacteria and rhodophytes (Glazer

942 et al., 1976; Brown et al., 1990), possibly by a transformation pathway involving the oxidation  
943 of vinylic chlorophyll substituents and the formation of an aldehyde intermediate during early  
944 diagenesis under anoxic conditions (Pickering and Keely, 2011; Naeher et al., 2013).  
945 Bacteriochlorophyll (bchl) pigments *c*, *d*, and *e* (1 and 2; M = Mg, R3 = farnesyl) are exclusively  
946 made by green sulfur bacteria (Pfennig, 1978).

#### 947 **3.4.2.2. Applications**

948 Although their multiple double bonds make them reactive compounds that should be  
949 interpreted cautiously, source-specific chlorophyll-derived pigments (e.g., carotenoids and  
950 maleimides) can be robustly preserved in sediments thousands to millions of years old (as  
951 reviewed in Brocks and Summons, 2005), yielding unparalleled information for  
952 paleolimnological reconstructions, including details on lake evolution, redox transitions,  
953 changing patterns of aquatic primary productivity, and environmental conditions.

954 The presence of aromatic carotenoids (or bchl derived porphyrins) in lakes provides evidence  
955 of anoxygenic photosynthesis in contemporary environments and in sediments, a vast array  
956 of diagenetic aromatic components have been identified (Grice et al., 1997; Koopmans et al.,  
957 1996) that are derived from green sulfur bacteria (e.g. aromatic compounds isorenieratene/  
958 chlorobactene with a 2,3,6 methyl aromatic substitution pattern) or from okenone from purple  
959 sulfur bacteria (e.g. with a 2, 3, 4 methyl aromatic substitution pattern; Brocks and Summons,  
960 2005.) These carotenoids and bchl-derived porphyrins serve as a marker for photic zone  
961 euxinia in the past. (Grice et al., 1996a,b; Koopmans et al., 1996; Hartgers et al., 1995; Grice  
962 et al., 1997; Grice et al., 2005a; Ocampo et al., 1985; Whiteside and Grice, 2016).

963 Furthermore, changes in primary producers can be inferred from the types of pigments that  
964 are present in sediments. For example, progressive eutrophication of Esthwaite Water in the  
965 English Lake District is recorded by increases in the concentrations of the carotenoids  
966 indicative of cyanophytes (Griffiths, 1978). Similarly, in other lake settings, relative abundance  
967 changes of bchl *a* relative to bchls *c* and *d* indicate development-related changes in the  
968 structure of the bacterial community, leading to increased competition for light or nutrients  
969 (Abella et al., 1980; Parkin and Brock, 1980; Rodrigo et al., 2000). Differences in the  
970 proportions of bchl *e* and bchls *c* and *d* indicate if brown or green species of green sulfur  
971 bacteria dominate in lakes of different depths and where different light regimes and chemical  
972 conditions prevail (Vila and Abella, 1994). Wilson et al. (2004) looked at the impact of  
973 stratigraphic resolution of sediment depth profiles of bchls *c* and *d*, as revealed by  
974 methanolysis, in Kirisjes Pond, Antarctica, and a finely laminated microbial mat from Les  
975 Salines de la Trinitat, Spain and showed that bacterial communities are highly sensitive to  
976 changing conditions and respond quickly. With regard to primary productivity sources on

977 longer timescales, Kimble et al (1974) demonstrated that the major extractable tetraterpane  
978 in the ~50 million-year-old lacustrine Green River Formation is the  $\beta$ -carotene derivative  
979 perhydro- $\beta$ -carotene, suggesting that algal photosynthesis was the primary source of organic  
980 matter to this paleolimnologic system.

981 A recent modern calibration study for past biogeochemical cycling of redox-stratified lakes  
982 by Fulton et al. (2018) observed distinctive  $\delta^{13}\text{C}$  and  $\delta^{15}\text{N}$  values of pigments and nutrients in  
983 the water column and surface sediments of Fayetteville Green Lake (New York, USA), which  
984 they attribute to seasonally variable populations of cyanobacteria, purple sulfur bacteria and  
985 green sulfur bacteria at the chemocline. Informed by these data, and  $\delta^{13}\text{C}$  and  $\delta^{15}\text{N}$  values for  
986 pyropheophytin and bacteriochlorophyll from the Black Sea deposited during its transition to  
987 a redox-stratified basin ~7.8 ka, the authors proposed an isotopic mixing model for nutrient  
988 evolution that shows pigment decomposition to a common porphyrin derivative can produce  
989 non-specific sedimentary isotope signatures. This model underlines the need for caution and  
990 further refinement in paleobiogeochemical interpretations from basins with diverse microbial  
991 populations near a shallow chemocline.

992 Most maleimide studies have looked at the oxidation products of porphyrins in crude oil (e.g.,  
993 the Quirke et al., 1980 investigation of the Cretaceous Boscan crude oil) and petroleum source  
994 rocks (e.g., studies by Grice et al., 1996, 1997 on the Australian Permian Kupferschiefer and  
995 Mid-Triassic Serpiano shales that used Me,*n*-Pr and Me,*i*-Bu maleimides and the Me,*i*-  
996 Bu/Me,*Et* ratio as indicators for Chlorobi and hence, for the occurrence of photic zone euxinia  
997 across the end-Permian extinction). In a recent study, Naeher et al. (2013) linked Me,*i*-Bu  
998 maleimide to the presence of photic zone euxinic and anoxic conditions in Swiss lake Rotsee  
999 during the last 150 years and throughout the Romanian Black Sea history, including the limnic  
1000 phase. A further need remains for the detection and characterization of maleimides in recent  
1001 lake bodies and sediments to determine their partly unidentified precursors, their formation  
1002 processes during chlorophyll/bacteriochlorophyll degradation and importance in terms of  
1003 environmental conditions, particularly the impact of oxygen. In a recent study towards this end,  
1004 (Naeher et al., 2013) proposed Me,*Me* and Me,*Et* indices as novel proxies for estimating the  
1005 degree of organic matter degradation, which are applicable for longer timescales than e.g. the  
1006 chlorin index.

1007 Carotenoid and maleimide diagenetic products are easily distinguished by CSIA. For example,  
1008 bacterially derived green sulfur products are ca. 15 ‰ more enriched in  $^{13}\text{C}$  than phytoplankton  
1009 biomarkers (e.g., steranes, hopanoids and steroids) due to the assimilation of  $\text{CO}_2$  by the  
1010 reversed TCA cycle (Quandt et al., 1977) rather than the  $\text{C}_3$  carbon fixation pathway. Purple  
1011 sulfur bacteria differ from green sulfur bacteria in that they fix  $\text{CO}_2$  by the  $\text{C}_3$  pathway and are

1012 typically depleted in  $^{13}\text{C}$  due to assimilation of the lighter carbon that characterises the deeper  
1013 water column (Hollander et al., 1993; Schaeffer et al., 1997).

### 1014 **3.4.3 Biomarkers derived from porphyrin pigments**

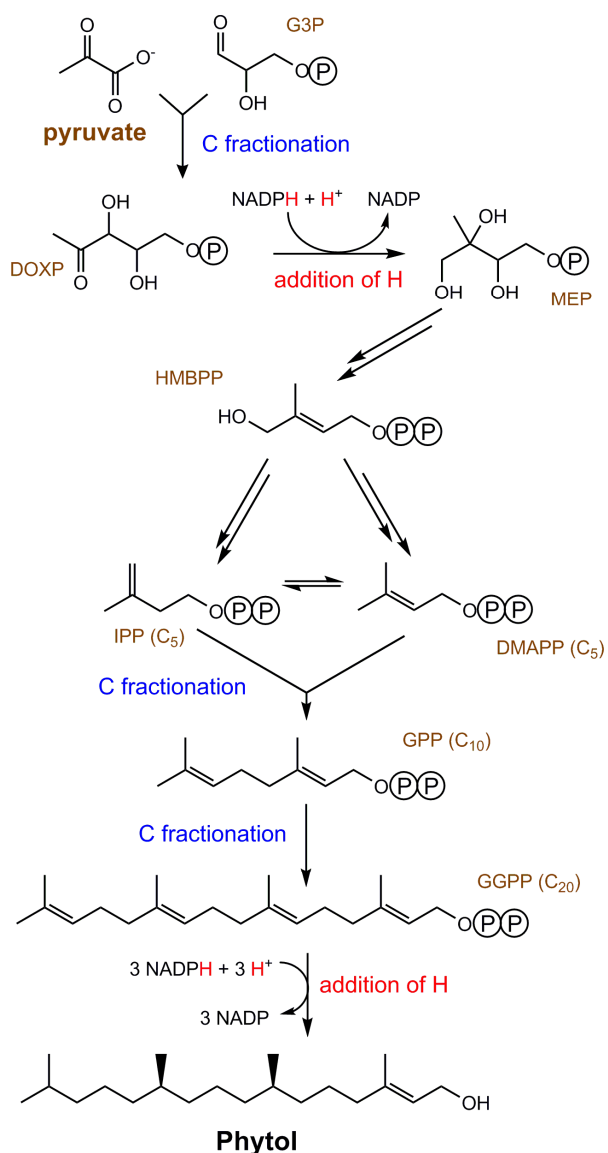
#### 1015 **3.4.3.1 Regular and irregular isoprenoids**

1016 Pristane (Pr) and phytane (Ph), are  $\text{C}_{19}$  and  $\text{C}_{20}$  regular isoprenoid alkanes, respectively, that  
1017 are largely derived from the phytol side chain of chlorophyll *a* (Fig. 10, phytol biosynthesis in  
1018 Fig. 11) in many photosynthetic organisms, as well as from bacteriochlorophylls *a* and *b* of  
1019 purple sulfur bacteria (Pfenning, 1978). Tocopherols are also precursors of pristane in plants  
1020 (Goossens et al., 1984). Studies from Dead Sea Basin halites and other hypersaline  
1021 sediments reveal other sources to be ether-linked membrane lipids of halophiles (Ph) and the  
1022  $\text{C}_{21}$  to  $\text{C}_{25}$  regular isoprenoids (Grice et al., 1998). The  $\text{C}_{15}$  regular isoprenoid farnesane is  
1023 largely derived from the side chain of bacteriochlorophylls *c*, *d*, *e* in green sulfur bacteria  
1024 (Pfenning, 1978). Other sources for phytane include methanotrophic bacteria (Freeman et al.,  
1025 1990).

1026 The  $\text{C}_{20}$  irregular isoprenoids crocetane (structure in Table 1) and pentamethylcosane (PMI)  
1027 have been detected in sediments (e.g., Thiel et al., 1999; Barber et al., 2001; Greenwood and  
1028 Summons, 2003), modern cultures and microbes (Summons et al., 1996). Crocetane can be  
1029 a thermally formed product of either archaeal biphytane or isorenieratene from green sulfur  
1030 bacteria (Maslen et al., 2009). PMI is derived from methanotrophic archaea that live in  
1031 symbiosis with sulfate-reducing bacteria, allowing the oxidation of methane under strict anoxic  
1032 conditions (Schouten et al., 1997).

#### 1033 **3.4.3.2 Applications**

1034 The  $\delta^{13}\text{C}$  of crocetane can reveal whether it stems from a precursor that was biosynthesized  
1035 by green sulfur bacteria indicative of photic zone euxinia, (values of 11 and -6 ‰) that use the  
1036 reverse tricarboxylic acid (TCA) cycle (Summons and Powell, 1986) or by archaea engaging  
1037 in the anaerobic oxidation of methane (AOM; Orphan et al., 2001; values of -150 ‰). Although  
1038  $\delta^{13}\text{C}$  of crocetane has not been measured in Quaternary lake sediment records, a novel study  
1039 by Tulipani et al. (2015) used relative abundances of methyltrimethyltridecylchromans  
1040 (MTTCs) and  $\delta^{13}\text{C}$  values with other biomarker parameters as indicators of riverine freshwater  
1041 incursions (i.e., a freshwater lens) into Middle to Late Devonian paleoreefs (Canning Basin,  
1042 Western Australia), characterised by prevailing anoxia, persistent photic zone euxinia (Spaak  
1043 et al., 2018) and water column stratification.



**Figure 11:** The mevalonate-independent pathway (“DOXP/MEP pathway”) for the biosynthesis of phytol via the isoprenoid precursors dimethylallyl pyrophosphate (DMAPP) and isopentenyl diphosphate (IPP), starting with pyruvate produced through the Calvin cycle after CO<sub>2</sub> uptake (Fig. 1); DOXP = 1-deoxy-D-xylulose, G3P = glyceraldehyde-3-phosphate, GPP = geranyldiphosphate, GGPP = geranylgeranyldiphosphate, HMBPP = (*E*)-4-hydroxy-3-methyl-but-2-enyl pyrophosphate, MEP = 2-methyl-erythroyl-4-phosphate, NADPH = nicotinamide adenine dinucleotide phosphate (after Sachse et al., 2012).

1044

## Phytol

### 1045 3.5 Isoprenoid biomarkers of *Botryococcus braunii*

#### 1046 3.5.1 Sources

1047 Three races of the unicellular green microalga *Botryococcus braunii* are reported (A, B and L),  
 1048 and are characterized by their hydrocarbon lipids. The B race makes C<sub>30</sub> to C<sub>37</sub> branched  
 1049 isoprenoidal hydrocarbons called botryococcenes, giving rise to the isoprenoidal biomarkers  
 1050 botryococcane (e.g. Maxwell et al., 1968; Metzger and Largeau, 1999; Grice et al., 1998) and  
 1051 a range of cyclic botryococcenes (Metzger et al., 1985) and polymethylatedsqualenes  
 1052 (Summons et al., 2002). Botryococcane is biosynthesized by the mutual action of separate  
 1053 and distinct squalene synthase enzymes (Niehaus et al., 2011), whereas the L race  
 1054 biosynthesise a C<sub>40</sub> isoprenoid hydrocarbon, lycopa-14(E),18(E)-diene (Grice et al., 1998 and  
 1055 references therein). B-race biomarkers are indicative of freshwater to brackish lakes and  
 1056 saline seas (e.g. Maxwell et al., 1968; Metzger and Largeau, 1999; Grice et al., 1998;  
 1057 Summons et al., 2002) from varying latitudes (Tyson, 1995).

1058 **3.5.2. Applications.**

1059 Biomarkers derived from *Botryococcus* are more enriched in  $^{13}\text{C}$  compared to other  
1060 phytoplankton biomarkers in both sediments (Huang et al., 1995; Grice et al., 1998; Huang et  
1061 al., 1999; Audino et al., 2001; Summons et al., 2002) and culture (Summons et al., 1996).  
1062 Potential explanations include (1) isotopic fractionation associated with photosynthesis may  
1063 not be fully expressed due to limiting internal  $p\text{CO}_2$  in these microalgae, (2) the thick outer  
1064 walls may limit the  $\text{CO}_2$  diffusion rates, thereby enriching biomass in  $^{13}\text{C}$  (Boreham et al.,  
1065 1994), and (3) *Botryococcus braunii* utilize a  $^{13}\text{C}$ -rich bicarbonate source (Huang et al., 1999  
1066 and references therein). Sediments recovered from the last glacial maximum have  
1067 *Botryococcus* biomarkers (Huang et al., 1999) that are significantly enriched in  $^{13}\text{C}$  ( $\delta^{13}\text{C} =$   
1068 5%). These values are attributed to low atmospheric  $p\text{CO}_2$  and accompanying depletion of  
1069 dissolved  $\text{CO}_2$  causing these microalgae to assimilate isotopically heavier bicarbonate from  
1070 their lacustrine environment. The  $\delta^2\text{H}$  of lipids (e.g., alkadienes, botryococcenes,  
1071 heptadecenes, fatty acids, and phytadiene) from *Botryococcus braunii*, closely follow the  $\delta^2\text{H}$   
1072 of the assimilated water (Zhang et al., 2007), and have been used alongside n-alkanes in  
1073 lacustrine oil shales (torbanites) of Permian to Carboniferous age to disentangle dual-source  
1074 systems in tropical and glacial environments (Dawson et al., 2004).

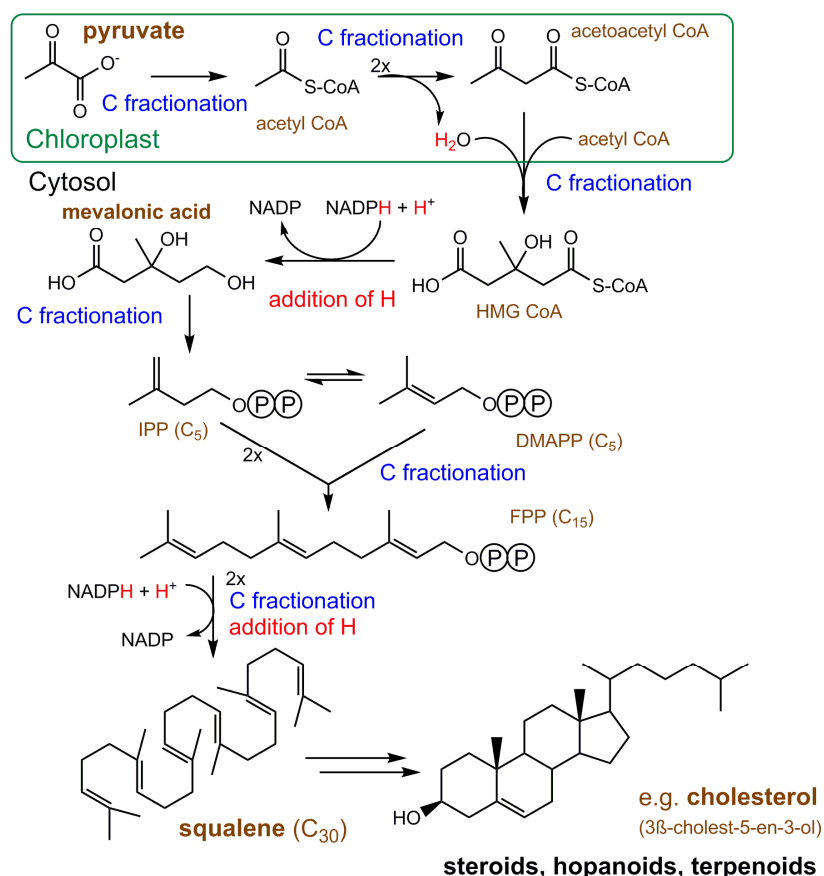
1075 **3.6 Bacterial hopanes and hopenes**

1076 **3.6.1 Sources**

1077 Bacterial hopanes and hopenes are a class of pentacyclic triterpenoids that comprise  
1078 membrane lipids produced by bacteria (Rohmer et al., 1984). Although only about ~10% of  
1079 bacterial types produce bacteriohopanoids, it is generally not possible to link a given hopanoid  
1080 to a specific bacterial source (Pearson et al., 2007). The use of compound-specific isotopes,  
1081 however, offers tremendous power for distinguishing among potential bacterial sources of  
1082 hopanes and hopenes (Freeman et al., 1990). Hopanoid-producing bacteria in limnic settings  
1083 include photo- and chemoautotrophs, and heterotrophs able to grow on a wide variety of  
1084 carbon sources (Freeman, 1990; Pancost and Sinninghe Damsté, 2003; Sessions, 2016).  
1085 Bacterial hopanoids have long been considered functional analogues of eukaryotic sterols  
1086 (Rohmer et al., 1984), although the specifics of their roles in membranes remain the subject  
1087 of extensive investigation (e.g. Poralla et al., 1984; Welander et al., 2009; Blumenberg et al.,  
1088 2012; Eickhoff et al., 2013; Ricci et al., 2017).

1089 Bacteria produce hopanoids from squalene via the mevalonic pathway of squalene  
1090 biosynthesis as shown in Figure 12, starting with pyruvate and followed by cyclization of  
1091 squalene to form the  $\text{C}_{30}$  compounds  $17\beta,21\beta(\text{H})\text{-hop-22(29)-ene}$  (diploptene; Table 1) and  
1092 diplopterol, and may build upon the diploptene structure via adenosylhopane to synthesize  
1093 diverse  $\text{C}_{35}$  bacteriohopanepolyols (BHPs, Rohmer, 1993; Bradley et al., 2010). Modifications

1094 to the hopanoid structure (methylation at C-2 or C-3, unsaturation within the ring structure,  
 1095 side-chain length and composition) have traditionally been interpreted as indicators of specific  
 1096 bacterial lineages (e.g. Summons et al., 1999; Talbot et al., 2014). However, further research  
 1097 indicates it is increasingly likely that the specific distribution of hopane and BHP structures  
 1098 reflects environmental conditions or metabolic processes rather than, or in addition to,  
 1099 phylogeny (e.g. Ricci et al., 2014; Osborne et al., 2017). Source attribution may yet prove  
 1100 more specific for some compounds (e.g. 35-aminobacteriohopane-30,31,32,33,34-pentol in  
 1101 Type I methanotrophic bacteria; Neunlist and Rohmer, 1985; Talbot et al., 2003; but see van  
 1102 Winden et al., 2012; Rush et al., 2016) or some settings (e.g. hop-17(21)-ene and 2-  
 1103 methylhop-17(21)-ene in methanotrophic *Sphagnum* symbionts; van Winden et al., 2010).  
 1104 Nonetheless, elucidating the relationships between bacterial hopanoid synthesis and  
 1105 environmental conditions will further enhance the information that can be derived from these  
 1106 compounds.



1107

1108 **Figure 12:** The “mevalonic pathway” for the biosynthesis of squalene, starting with pyruvate  
 1109 produced through the Calvin cycle after CO<sub>2</sub> uptake (Fig. 1); CoA = co-enzyme A, DMAPP =  
 1110 dimethylallyl pyrophosphate, FPP = farnesyl pyrophosphate, HMG = 3-hydroxy-3-  
 1111 methylglutaryl, IPP = isopentenyl diphosphate, NADPH = nicotinamide adenine dinucleotide  
 1112 phosphate (after Sachse et al., 2012).

1113 In marine and freshwater nitrogen cycling, anaerobic oxidation of ammonium (anammox) to  
1114 dinitrogen gas (N<sub>2</sub>) with nitrate as an electron acceptor is an important microbial process  
1115 performed exclusively by anammox bacteria. A stereoisomer of bacteriohopanetetrol (BHT),  
1116 BHT II, has been unequivocally identified in culture enrichments of anammox bacteria and  
1117 oxygen minimum zone waters, microbial hotspots responsible for fixed nitrogen removal  
1118 (Sáenz et al., 2011; Rush et al., 2014). Given the residence time in geological sediments, the  
1119 BHT isomer is a potential biomarker for past anammox activity (Matys et al, 2017; and potential  
1120 expansion of OMZs in warmer worlds of Earth's deep past), which has heretofore eluded  
1121 detection through ladderane fatty acid abundances in sediments older than 140 ky  
1122 (Jaeschke et al., 2009).

1123 Carbon isotopic analysis of hopanes and hopenes is by far the most commonly exploited  
1124 isotope system for bacterial hopanoids (Pancost and Sinninghe Damsté, 2003). In order to  
1125 deconvolve bacterial hopane and hopene sources, studies often focus on the stable carbon  
1126 isotopic compositions of C<sub>29</sub> to C<sub>31</sub> 17β,21β(H)-hopanes and hopenes (e.g. Aichner et al.,  
1127 2010b; Davies et al., 2016; Zheng et al., 2014). Analysis of functionalized hopanols (e.g.  
1128 diplopterol) can be accomplished through derivatization with BSTFA (e.g. Hollander and  
1129 Smith, 2001), however a correction must be applied to account for carbon added with the  
1130 trimethyl silica moiety (Jones et al., 1991). Although δ<sup>2</sup>H analyses promise to provide  
1131 substantial further information (Osburn et al., 2016; Zhang et al., 2009), few environmental  
1132 studies measuring δ<sup>2</sup>H in hopanoids have been conducted to date (Sessions 2016; Li et al.,  
1133 2009). As the topic of stable hydrogen isotopes in paleoenvironmental research has been  
1134 thoroughly discussed in a recent review (Sessions, 2016), this section focuses on stable  
1135 carbon isotopes.

### 1136 **3.6.2. Applications**

1137 Because carbon source and biosynthetic pathway can have substantial impacts on hopane  
1138 and hopene carbon isotopic composition, the carbon isotopic composition of hopanes and  
1139 hopenes is often used to differentiate photoautotrophic and heterotrophic bacterial sources  
1140 from chemoautotrophic and methanotrophic bacterial sources. This can provide valuable  
1141 insight into lacustrine carbon cycling, sources of sedimentary organic carbon, cryptic changes  
1142 in bacterial community composition, and changes in water column structure. For example,  
1143 Hollander and Smith (2001) demonstrated a striking increase in recycling of carbon associated  
1144 with the post-1900 AD extreme eutrophication of Lake Mendota through the carbon isotopic  
1145 composition of hopanol in tandem with other markers of lacustrine primary producers. A similar  
1146 approach, using compound-specific carbon isotope analyses of hopanes as well as other  
1147 sedimentary lipids (steranes, pristane, phytane) in the ~50 million-year old lacustrine Green



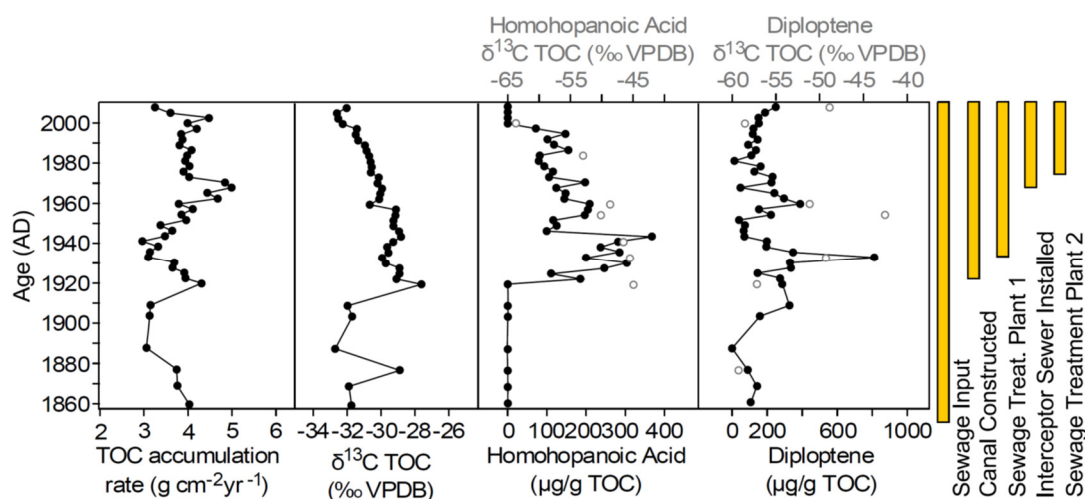
1148 River Formation clearly demonstrated protracted meromixis and abundant chemoautotrophic  
1149 and methanotrophic bacteria (Collister et al., 1992).

1150 Many studies that seek qualitative assessment of intensive methane cycling in wetlands and  
1151 lakes utilize carbon isotope analyses of hopanes. Incorporation of biogenic methane-derived  
1152 carbon into bacterial biomass results in hopanes with substantial depletions in  $^{13}\text{C}$  (Summons  
1153 et al., 1994; Jahnke et al., 1999; but see also Sakata et al., 2008; and Kool et al., 2014).  
1154 Although absence of  $^{13}\text{C}$ -depletion in hopanes and hopenes is inadequate to exclude methane  
1155 cycling, the presence of hopanes or hopenes with carbon isotopic compositions of  $< -40\text{‰}$  is  
1156 often explained as at least a partial contribution from methanotrophic bacteria (e.g., Freeman  
1157 et al., 1990; Schoell et al., 1994). This is particularly true in wetland deposits where hopanes  
1158 are more depleted in  $^{13}\text{C}$  than  $\sim -34\text{‰}$  are rarely observed (van Winden et al., 2012; Pancost  
1159 et al., 2000). For example, in a study of Holocene wetland deposits, Zheng et al. (2014)  
1160 observed that increased diploptene concentrations with lower  $\delta^{13}\text{C}_{\text{diploptene}}$  (from  $\sim -32\text{‰}$  to  $-42$   
1161 to  $-50\text{‰}$  around 6.4 to 4 thousand years ago) coincided with decreased abundances of lipids  
1162 derived from methanogens and locally dry conditions. Zheng et al., (2014) attribute this  
1163 combination of observations to increased efficiency of aerobic methane oxidation and bacterial  
1164 incorporation of methane-derived carbon under drier conditions. Consequently, drier phases  
1165 had a two-fold impact on wetland methane emissions through decreased methanogenesis as  
1166 well as more efficient aerobic methanotrophy. These findings provide a mechanism linking  
1167 changes in wetland water balance and the Asian monsoon with the mid-Holocene decrease  
1168 in atmospheric methane concentrations, findings which have been robust to further study over  
1169 a longer timescale (18kyr; Huang et al., 2018). For glacial-interglacial cycles, Talbot et al.  
1170 (2014) showed the highest abundance of highly specific BHP biomarkers for aerobic methane  
1171 oxidation, 35-aminobacteriohopane-30,31,32,33,34-pentol (aminopentol) from the Congo  
1172 River Basin correlated with warm intervals. CSIA for BHPs indicate aminopentol was likely  
1173 supplied by terrestrial watershed or gas hydrates/subsurface reservoirs. This study is a  
1174 demonstration of the large potential of aminoBHPs to trace and, once better calibrated and  
1175 understood, quantify past methane sources and fluxes.

1176 In lacustrine settings, methane incorporation into bacterial biomass is greatest in localized  
1177 areas of diffusive methane flux, rather than plant-mediated or ebullition (Davies et al., 2016).  
1178 Even so, several studies have effectively documented changes in incorporation of methane  
1179 derived carbon in hopanoids as a function of climatic conditions (water balance, temperature)  
1180 or anthropogenic factors (eutrophication). Elvert and colleagues (2016) demonstrate that the  
1181 Holocene Thermal Maximum is associated with enhanced methane processing in a North  
1182 American Arctic thermokarst lake. Aichner et al. (2010b), as part of a broad paleolimnologic  
1183 investigation of Lake Koucha in the eastern Tibetan Plateau, observe an increase in the

1184 concentration of  $^{13}\text{C}$ -depleted hopanoids, including diploptene (-45.5 to -62.7 ‰), beginning  
 1185 around 7,000 cal BP. The authors attribute this increase in both bacterial contribution to  
 1186 sedimentary organic matter and incorporation of methane-derived carbon into bacterial  
 1187 biomass to lake freshening. Naeher et al. (2014) utilize the previously determined  
 1188 eutrophication history of Lake Rotsee, Switzerland to examine trends in biomarkers  
 1189 associated with methane cycling. This analysis indicated that increased primary productivity  
 1190 and stratification led to an increase in the concentrations of  $^{13}\text{C}$ -depleted diploptene (-60 to -  
 1191 43 ‰) and homohopanoic acid (-64 to -45 ‰), although the two compounds' concentrations  
 1192 and isotopic compositions exhibit a complex relationship, suggesting a larger role for methane  
 1193 oxidizing bacteria from the 1930s onward in Lake Rotsee (Fig. 13). While some lake hopanoid  
 1194 CSIA datasets indicate active incorporation of methane-derived carbon for long timescales  
 1195 (e.g., Street et al., 2012), this is not the case for all lakes (e.g., Huang et al., 1999; Sarkar et  
 1196 al., 2014).

1197 Despite the insights afforded by CSIA of bacteriohopanoids into relative changes in the  
 1198 intensity of assimilatory methane oxidation, diverse sources of uncertainty and the  
 1199 idiosyncratic natures of lakes and wetlands impede efforts to devise a generalizable or  
 1200 quantitative proxy for assimilatory methane oxidation or methane emissions. Consequently,  
 1201 much additional work remains to be done to refine the use of hopanoid carbon isotopes to  
 1202 assess past changes in limnic carbon cycling.



1203  
 1204 **Figure 13:** Homohopanoic acid and diploptene reflect changes in methane cycling as a  
 1205 function of anthropogenic impacts on Lake Rotsee, Switzerland (modified from Naeher et al.,  
 1206 2012; 2014). Persistent nutrient inputs associated with sewage inputs, coupled with water  
 1207 balance and sedimentation impacts of canal construction triggered eutrophication and  
 1208 stratification. This increased organic matter supply combined with anoxia drove increases in  
 1209 bacterial productivity (hopanoid concentrations) and incorporation of biogenic methane into  
 1210 bacterial biomass (carbon isotopic composition of hopanoids).

## 1211 **3.7 Steroids**

### 1212 **3.7.1 Sources**

1213 Sterols, the biological precursors of steranes commonly found in sedimentary rocks, are a  
1214 diverse group of polycyclic isoprenoids (tetracyclic triterpenoids) characteristic of Eukarya  
1215 (Rohmer et al., 1979; Volkman, 1986). Sterols represent a significant fraction of the lipid pool  
1216 in marine algae (Jones et al. 1994), and play a key structural role in organisms, including  
1217 control of cell membrane fluidity, cell signaling, phagocytosis, and stress tolerance (Bloch,  
1218 1991; Castoreno et al., 2005; Volkman, 2005). Like hopanoids, sterols are biosynthesized  
1219 following the same mevalonate pathway that produces the C<sub>30</sub> isoprenoid squalene (Figure  
1220 12, section 3.6). Biosynthesis continues with the epoxidation of squalene (C<sub>30</sub>) to  
1221 oxidosqualene, followed by a subsequent cyclization to two intermediate molecules  
1222 (protosterols), cycloartenol and lanosterol, respectively (e.g., Volkman, 2005; Summons et al.,  
1223 2006). A series of enzymatic oxidation and decarboxylation steps leads to the formation of  
1224 animal and fungal steroids (e.g., cholesterol [C<sub>27</sub>] and ergosterol [C<sub>28</sub>]) from lanosterol, and  
1225 the formation of plant sterols (e.g., sitosterol [C<sub>29</sub>]) from cycloartenol. In contrast to hopanoids,  
1226 the biosynthesis of sterols is oxygen-dependent (e.g., Summons et al., 2006). Although  
1227 Eukarya are the primary producers of sterols, a limited number of steroid structures have also  
1228 been reported in a small number of bacteria, including cyanobacteria (e.g., Pearson et al.  
1229 2003; Volkman 2003, 2005). A recent study, however, indicates that the potential for bacterial  
1230 sterol synthesis may occur more widely than previously thought (Wei et al., 2016).

1231 The diversity of sterols is determined by the number of carbon atoms in their skeleton (e.g.,  
1232 C<sub>26-30</sub>), the position of hydroxyl (alcohol) functional groups in the ring system, the position of  
1233 unsaturations (double bonds) in the ring structure and side chain, and differences in ring  
1234 and/or side-chain alkylations (e.g., Volkman, 1986; Volkman, 2005). While some sterols can  
1235 be considered characteristic of a given algal class, many of them are widely distributed and  
1236 less diagnostic. For instance, 24-norcholesterol (C<sub>26</sub>) has been reported in some diatom and  
1237 dinoflagellate species (Rampen et al., 2007); cholesterol (C<sub>27</sub>) is typically found in red algae  
1238 and metazoa (Volkman, 1986, 2003; Volkman et al., 1998; Kodner et al., 2008); 24-  
1239 methylcholesterol (C<sub>28</sub>) is present in chlorophyll-c containing algae (dinoflagellates,  
1240 coccolithophores, diatoms) and prasinophytes (Volkman, 1986, 2003; Volkman et al., 1998;  
1241 Kodner et al., 2008; Rampen et al. 2010); 24-ethylcholesterol (C<sub>29</sub>) is found in green algae,  
1242 prasinophytes, diatoms and land plants (Volkman, 1986, 2003; Volkman et al., 1994, 1998;  
1243 Kodner et al., 2008; Rampen et al. 2010); 24-*n*-propyl-cholesterol (C<sub>30</sub>) is present in  
1244 Chrysophytes and pelagophytes (Moldowan, 1984; Volkman et al., 1998). Additionally, 23,24-  
1245 dimethyl-cholesterols are present in dinoflagellates and haptophytes, while 4-methylsterols

1246 and 4,23,24-trimethylcholesterol (dinosteranes) derive mostly from dinoflagellates (de Leeuw  
1247 et al., 1983; Summons et al., 1987; Withers 1987; Mansour et al., 1999).

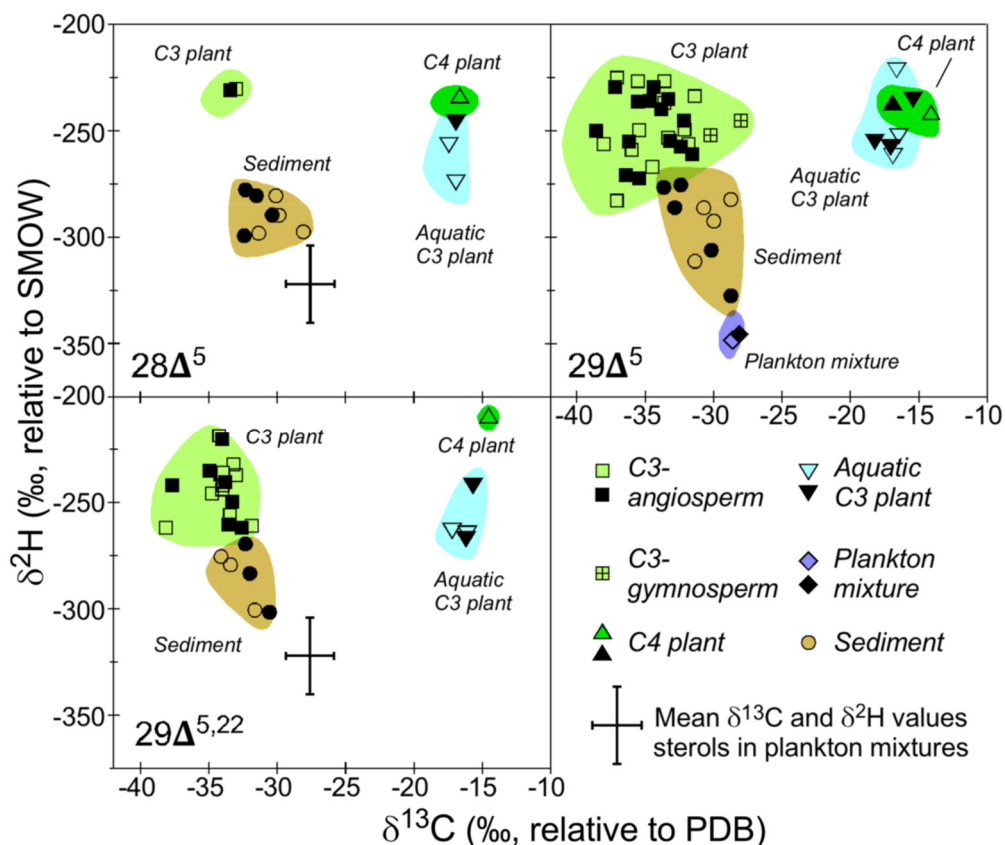
1248 The diagenesis of sterols leads to modifications in their molecular structure as a result of photo  
1249 oxidation, oxidation, reduction, dehydration, rearrangement, hydrogenation, and  
1250 aromatization (e.g., Mackenzie et al., 1982; Meyers and Ishiwatari, 1995; Peters et al., 2005).  
1251 These reactions result in the loss of double bonds and/or hydroxyl groups, and the generation  
1252 of stanols, stanones, sterenes, and aliphatic and aromatic steranes. Due to their broad  
1253 diversity, relative specificity, and stability in sediments, the distribution and abundance of  
1254 sterols and steranes preserved in sedimentary records have been long used in  
1255 paleoenvironmental reconstructions (e.g., Grantham and Wakefield, 1988; Meyers and  
1256 Ishiwatari, 1993; Hinrichs et al., 1999; Menzel et al., 2003; Knoll et al., 2007; Kasprak et al.,  
1257 2015; Brocks et al., 2017).

### 1258 **3.7.2 Applications**

1259 While sterols have been successfully applied in paleolimnological studies to trace changes in  
1260 algal and other organic matter sources (e.g., Aristegui et al., 1996; Matsumoto et al., 2003;  
1261 Tani et al., 2009) or redox changes (Matsumoto et al., 2003), few studies have explored the  
1262 full potential of the ecological and environmental information encoded in their stable isotopic  
1263 composition. The stable carbon isotope composition ( $\delta^{13}\text{C}$ ) of sterols, as well as other algal  
1264 lipids, is controlled by multiple biological and environmental factors, including the isotopic  
1265 composition of dissolved inorganic carbon (DIC), carbon transport mechanisms, isotopic  
1266 fractionation during carbon fixation and biosynthesis, growth rates, cell geometry, and nutrient  
1267 availability, among others (Pancost et al., 1999; Popp et al., 1999, Schouten et al., 1998,  
1268 Hayes, 2001; Pancost and Pagani, 2006, Cernusak, et al., 2013). Thus, if some of the factors  
1269 controlling their stable isotope composition can be constrained, the  $\delta^{13}\text{C}$  of sterols present in  
1270 aquatic environments can be used to, for instance, disentangle changes in biological sources  
1271 (e.g., algal vs. land plants; Matsumoto et al., 1982; Canuel et al., 1997; Neunlist et al., 2002;  
1272 Chikaraishi et al., 2005; Chikaraishi and Naraoka, 2005), the diagenetic transformation of  
1273 sterols to stanols (Neunlist et al., 2002), the possible sources of other algal lipids such as  
1274 alkenones (D'Andrea and Huang, 2005), and prevailing biogeochemical conditions (e.g.,  
1275 nutrient availability, carbon cycling, primary productivity, the concentration and isotopic  
1276 composition of inorganic carbon pools, changes in column stratification; Hollander and Smith,  
1277 2001; Villinski et al., 2008). A step forward in tracing the specific sources of organic matter  
1278 preserved in lacustrine environments is the paired analysis of carbon and hydrogen stable  
1279 isotopes in sterols ( $\delta^{13}\text{C}$ - $\delta^2\text{H}$ ). By using the  $\delta^{13}\text{C}$ - $\delta^2\text{H}$  sterols present in Lake Haruna, Japan,  
1280 Chikaraishi and Naraoka (2005) were able to disentangle the complexity of single and mixed  
1281 (aquatic vs. terrestrial) sources in this setting. For instance, while the  $\delta^{13}\text{C}$ - $\delta^2\text{H}$  values of

1282 sedimentary 24-methylcholesta-5,22-dien-3 $\beta$ -ol corresponded well to those of planktonic  
 1283 algae, the  $\delta^{13}\text{C}$ - $\delta^2\text{H}$  of sterols such as 24-ethylcholest-5-en-3 $\beta$ -ol indicated a mixture of  
 1284 sources from terrestrial C<sub>3</sub> plants and planktonic algae (Fig. 14). Overall, the results from this  
 1285 study confirmed observations that 27 $\Delta^{5,22}$ , 27 $\Delta^5$ , 27 $\Delta^0$ , and 28 $\Delta^{5,22}$  sterols are algal products,  
 1286 while 28 $\Delta^5$ , 29 $\Delta^{5,22}$ , and 29 $\Delta^5$  sterols can derive from multiple sources, thus allowing their more  
 1287 reliable use in paleolimnological and paleoclimatic reconstructions.

1288 The  $\delta^{13}\text{C}$  of sterols, along with other algal and bacterial biomarkers preserved in lake  
 1289 sediments, has also been utilized to develop eutrophication models over time (Hollander and  
 1290 Smith, 2001). By studying the diversity, mass accumulation rate, and  $\delta^{13}\text{C}$  of biomarkers  
 1291 present in sediment from Lake Mendota (south-central Wisconsin, USA), in addition to the  
 1292 present-day isotopic dynamics in the lake water column, these authors produced  
 1293 eutrophication models (from moderate to severe) that take into account changes in eukaryotic-  
 1294 and microbially-derived productivity over time. Notably, these models allow to explain how  
 1295 microbially-mediated carbon cycling processes can influence the  $\delta^{13}\text{C}$  record of bulk  
 1296 sedimentary organic carbon, and thus provide insight into interpreting carbon isotopic trends  
 1297 preserved in lacustrine records. Additionally, the presence of  $^{13}\text{C}$ -depleted sterols in sediment  
 1298 of Ace Lake in Antarctica was used to constrain the presence of aerobic methanotrophic  
 1299 bacteria and an active methane cycle in this setting during the Holocene (Coolen et al., 2004b).



1300

1301 **Figure 14:** Cross plots of  $\delta^{13}\text{C}$ - $\delta^2\text{H}$  of  $28\Delta^5$ ,  $29\Delta^{5,22}$ , and  $29\Delta^5$  sterols from the Lake Haruna  
1302 environment. Open and filled symbols indicate the naturally occurring i.e. “free” sterols and  
1303 bound forms, respectively (modified from Chikaraishi and Naraoka, 2005).

1304 More recently, along with other algal lipids such as alkenones (Section 3.2), the  $\delta^2\text{H}$  of sterols  
1305 present in aquatic environments has increasingly been used as a proxy for the  $\delta^2\text{H}$  of  
1306 environmental water ( $\delta^2\text{H}_{\text{water}}$ , see review by Sachse et al., 2012). Sauer et al. (2001b) first  
1307 showed that the  $\delta^2\text{H}$  of 24-methylcholest-3-ol, 24-ethylcholest-5,22-dien-3-ol, and 4,23,24-  
1308 trimethylcholesterol extracted from aquatic sediments exhibited a rather constant fractionation  
1309 (around  $\sim 201 \pm 10\text{‰}$ ) with respect to environmental water. Since then, a growing body of  
1310 research has demonstrated that, besides  $\delta^2\text{H}_{\text{water}}$ , biological factors such as biosynthetic  
1311 pathways, secondary hydrogen exchange, growth rates, in addition to environmental factors  
1312 such as salinity, temperature, and nutrient availability can influence hydrogen isotope  
1313 fractionation and the  $\delta^2\text{H}$  of sterols (Sessions et al. 1999, Li et al. 2009, Chikaraishi et al. 2004,  
1314 Zhang and Sachs 2007; Zhang et al., 2009; Sachse et al., 2012; Romero-Viana, 2013; Nelson  
1315 and Sachs, 2014). Over the past few years, the  $\delta^2\text{H}$  of source-specific sterols such as  
1316 dinosterol have also been shown to be controlled by salinity. The  $\delta^2\text{H}$  of dinosterol present in  
1317 suspended particles and surface sediment from the Chesapeake Bay (salinity range of 10–29  
1318 PSU) exhibits a  $^2\text{H}/^1\text{H}$  fractionation that decreases by  $0.99 \pm 0.23$  per unit increase in salinity  
1319 (Schwab and Sachs, 2011). While the exact mechanism controlling isotopic fractionation  
1320 under varying salinity remains elusive, the observed relationship in sterols and other lipids  
1321 supports qualitative to semi-quantitative reconstructions of past salinities from sedimentary  
1322 dinosterol  $\delta^2\text{H}$  values. For example, the  $\delta^2\text{H}$  of dinosterol preserved in sediments from a  
1323 brackish lake in Palau (Sachs et al., 2009; Richey and Sachs, 2016) and an endorheic lake in  
1324 Galápagos (Atwood and Sachs, 2014; Nelson and Sachs, 2016), have been used to infer  
1325 variations in salinity and precipitation associated with latitudinal shifts in the position of the  
1326 Intertropical Convergence Zone during the Late Holocene. The information embedded in the  
1327  $\delta^2\text{H}$  of sterols in sedimentary records, however, is gradually lost over geologic timescales due  
1328 to hydrogen exchange with increasing thermal maturity (Sessions, 2016).

### 1329 **3.8 Sedimentary cellulose**

#### 1330 **3.8.1 Sources**

1331 Cellulose is a structural carbohydrate and plays an essential role for cell growth and  
1332 development of higher plants forming a major component of vascular plant organic matter  
1333 (Khezami et al., 2005). Non-vascular plants, such as bryophytes and some algae (Rho and  
1334 Litzky, 1979; Koyama et al., 1997), and bacteria (Ross et al., 1991) are also capable to  
1335 synthesize cellulose. Potential sources for sedimentary cellulose are therefore terrestrial

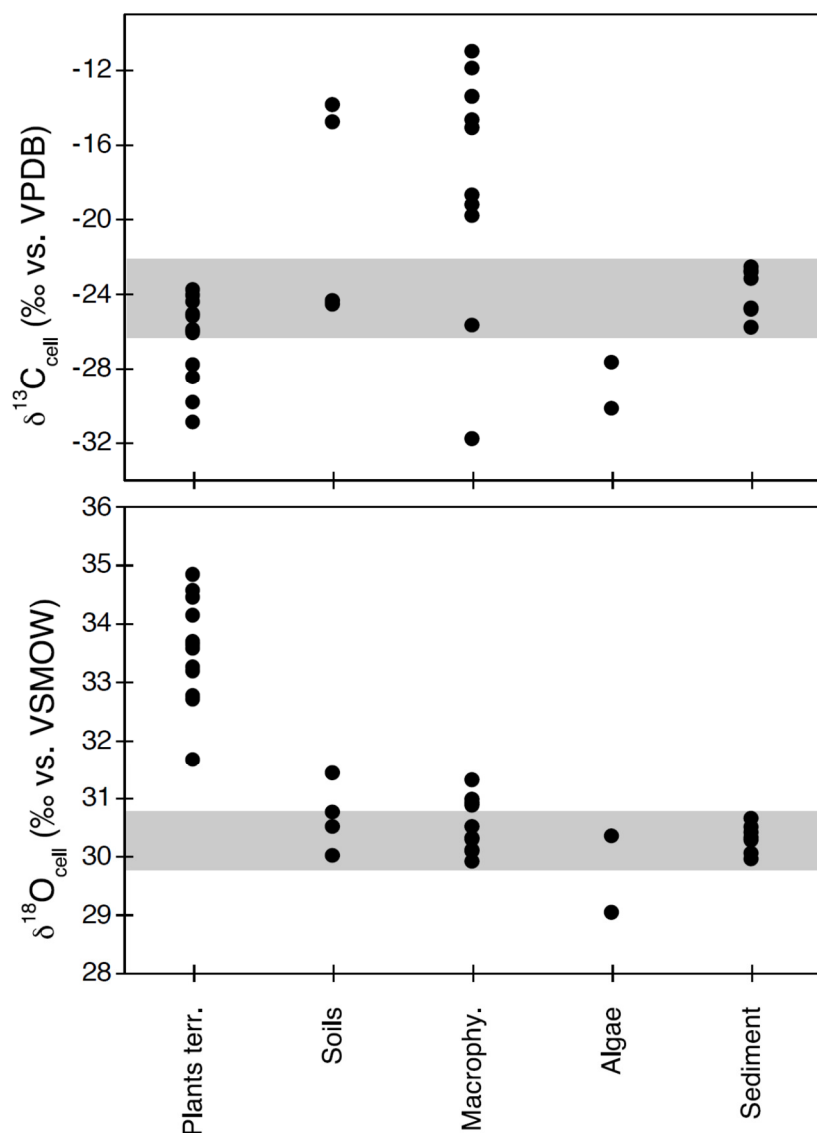
1336 plants, soils, aquatic macrophytes, bacteria, and algae. Cellulose is biosynthesized from initial  
1337 photosynthates (trioses) converted to hexoses and condensed to form cellulose (Hayes,  
1338 2001). Cellulose microfibrils, consisting of bundles of cellulose molecules, are completely  
1339 embedded into a matrix of polysaccharides (hemicellulose) and small amounts of structural  
1340 proteins in cell walls (Showalter, 1993; Popper et al., 2011) and, thus, not easily accessible  
1341 for decomposing organisms.

### 1342 **3.8.2 Applications**

1343 The isotopic composition of oxygen, carbon, and hydrogen in the molecular structure of  
1344 aquatic cellulose provides information on cellulose origin, the lacustrine carbon cycle and the  
1345 lake-water balance. Here, we focus on the determination of the oxygen isotope composition  
1346 of sedimentary cellulose ( $\delta^{18}\text{O}_{\text{cell}}$ ), which either can be of terrestrial (litter, plant debris, soil) or  
1347 aquatic origin (aquatic macrophytes, algae, bryophytes). The  $\delta^{18}\text{O}$  value of aquatic cellulose  
1348 is closely linked to the host water isotopic composition (Sauer et al., 2001a; Sternberg et al.,  
1349 2007; Zhu et al., 2014a; Mayr et al., 2015), while terrestrial cellulose is generally more  $^{18}\text{O}$ -  
1350 enriched due to soil evaporation and leaf water transpiration (Roden et al., 2000). In many  
1351 cases, aquatic and terrestrial cellulose sources contribute to bulk sediment  $\delta^{18}\text{O}_{\text{cell}}$  values,  
1352 which is a challenge for paleoenvironmental interpretation. In this respect, multiple-proxy  
1353 approaches, including analyses of C/N ratios of bulk sediment and  $\delta^{13}\text{C}$  of cellulose, can give  
1354 valuable clues for interpretation (Heyng et al., 2014, c.f. Figure 15). Alternatively, identifiable  
1355 cellulose-containing microfossils can be extracted from the sediment and analysed. Hence,  
1356 some studies focus on cellulose extracted from aquatic moss remains in sedimentary  
1357 sequences (Mayr et al., 2013; Zhu et al., 2014b). In other cases, the environmental setting  
1358 precludes major terrestrial cellulose input, e.g. for lakes with very small or scarcely vegetated  
1359 catchments (Heyng et al., 2014).

1360 The  $\delta^{18}\text{O}$  values of cellulose, calcite and diatom opal from the Last Glacial to Holocene time  
1361 intervals of the sediment record of Polish Lake Goszcz were analysed to disentangle host  
1362 water isotope variations from temperature changes (Rozanski et al., 2010). While at least two  
1363 unknowns, temperature and host-water  $\delta^{18}\text{O}$ , influence calcite and opal  $\delta^{18}\text{O}$  values,  $\delta^{18}\text{O}_{\text{cell}}$   
1364 was used to directly reconstruct host-water  $\delta^{18}\text{O}$  and thus resolve temperature- $\delta^{18}\text{O}$  equations  
1365 of the other proxies. A similar approach was used for a 6000-year long, Holocene record from  
1366 Lake Pupuke, New Zealand (Heyng et al., 2015). In that study,  $\delta^{18}\text{O}$  values of biogenic opal  
1367 and  $\delta^{18}\text{O}_{\text{cell}}$  were combined to reconstruct fluctuations of lake-water temperatures and  
1368 compared with independent temperature reconstructions using GDGTs. Both temperature  
1369 reconstructions matched comparatively well. In dry regions, the lake-water-isotope  
1370 composition is strongly influenced by evaporative heavy-isotope enrichment. Host-water-

1371 isotope reconstructions from  $\delta^{18}\text{O}_{\text{cell}}$  can then provide information about past lake-water  
 1372 balance and regional hydrology in such areas. Zhu et al. (2014b) used  $\delta^{18}\text{O}_{\text{cell}}$  of submerged  
 1373 aquatic mosses from sediments of Laguna Potrok Aike to reconstruct lake-water  $\delta^{18}\text{O}$  of this  
 1374 Patagonian steppe lake during the last deglaciation.



1375  
 1376 **Figure 15:** Stable isotope composition of cellulose from autochthonous and allochthonous  
 1377 sources and sediment from a modern survey at Lake Pupuke (Heyng et al., 2014). Shown are  
 1378  $\delta^{13}\text{C}_{\text{cell}}$  (upper) and  $\delta^{18}\text{O}_{\text{cell}}$  (lower) values from terrestrial plants, soils, aquatic macrophytes,  
 1379 lacustrine algae, and lake sediments (upper 30 cm). Grey bars indicate the range of Lake  
 1380 Pupuke's sediments. Note the  $^{13}\text{C}$  enrichment of aquatic macrophytes in that lake, while  
 1381 terrestrial plant cellulose is strongly  $^{18}\text{O}$  enriched compared to other sources and sediments.

### 1382 3.9 Organic sulfur compounds

#### 1383 3.9.1 Sulfur sources



1384 The use of stable isotopes to understand the biogeochemical cycling of sulfur in oceanic (Rees  
1385 et al., 1978; Jørgensen et al., 2004; Böttcher et al., 2006), freshwater (Fry, 1986; Canfield et  
1386 al., 2010; Zerkle et al., 2010), and terrestrial systems (Goldhaber and Kaplan, 1980; Habicht  
1387 and Canfield, 2001) has principally focussed on the dynamics of inorganic sulfate, sulfide and  
1388 their intermediate species. Organic sulfur compounds (OSCs) in sedimentary organic matter  
1389 are predominantly incorporated via secondary processes (Werne et al., 2008). The major  
1390 sulfurization pathway involves an abiotic reaction of reduced inorganic sulphur species during  
1391 diagenesis (e.g., pore water HS<sup>-</sup>; or polysulfides, S<sub>x</sub><sup>2-</sup>) that is produced by microbial sulfate  
1392 reduction (Kaplan and Rittenberg, 1964; Fry et al., 1986). OSCs deposited from biological  
1393 sources (e.g., the amino acid cysteine), which are synthesized through direct reduction and  
1394 assimilation of dissolved sulfate, are very labile to diagenetic loss (Hedges, 1992; Hedges and  
1395 Keil, 1995), but may still contribute to sedimentary organic matter which commonly has δ<sup>34</sup>S  
1396 values that range between those of biotic (relatively high δ<sup>34</sup>S) and abiotic (lower δ<sup>34</sup>S) end  
1397 members (Canfield et al., 1998; Passier et al., 1999; Werne et al., 2003; Aizenshtat and  
1398 Amrani, 2004). Few studies (e.g., Amrani et al., 2009; Oduro et al., 2011, 2012) have looked  
1399 at the S isotope composition of OSC.

1400 Thermochemical sulfate reduction (TSR) can also contribute high concentrations of OSCs in  
1401 gas (i.e., high H<sub>2</sub>S) reservoirs. TSR is a high temperature redox process in which sulfates,  
1402 such as gypsum or anhydrite, are reduced and organic matter oxidised (Krouse et al., 1988;  
1403 Cross et al., 2004). TSR can significantly influence the δ<sup>34</sup>S of OSCs, which will gradually  
1404 inherit the δ<sup>34</sup>S value of the mineral sulfates utilised, these are typically relatively heavy  
1405 compared to OSCs from reduced S sources (Amrani et al., 2012).

1406 In recent years the advent and utilization of quadruple sulfur isotopes (<sup>32</sup>S, <sup>33</sup>S, <sup>34</sup>S, and <sup>36</sup>S)  
1407 has allowed for increased resolution and fingerprinting of the biological and abiotic processes  
1408 that govern sulfur cycling. The minor isotopes (<sup>33</sup>S, and <sup>36</sup>S) are subject to inorganic and  
1409 organic fractionation mechanisms that are similar to those for <sup>34</sup>S. Experimental studies have  
1410 shown that biological S metabolisms produce minor isotope patterns, with characteristics  
1411 attributed to differences in the individual step controls of the metabolic pathways (Farquhar et  
1412 al., 2003, 2007; Johnston et al., 2005, 2007, 2008; Ono et al., 2006). The incorporation of  
1413 minor isotopes into studies allows for fuller characterisation within biogeochemical systems  
1414 (at both the cellular and ecosystem level) and as such can be used to assess the contribution  
1415 of different pathways (enzymatic or biogeochemical) to the measured isotopic values.

### 1416 **3.9.2 Applications**

1417 Early biogeochemical applications of CSIA of sulfur-containing compounds have included  
1418 studies of the mechanism and timeframes of diagenetic organic sulfurization and cycling in  
1419 sediments, the characterisation of ocean-derived sulfur aerosols, exploration for oil and

1420 mineral resources and other paleo-environmental reconstructions. Further details of the first  
1421 of these, as applied to modern settings, follow:

1422 *Diagenetic sulfurization pathways*

1423 A combination of syngeneic (water column) and diagenetic (sediment) S sources in immature  
1424 sediments from the Cariaco Basin were identified by  $\delta^{34}\text{S}_{\text{OSCS}}$  (Raven et al., 2015). These two  
1425 main organic sulfurization mechanisms consisted of:

1426 i) Reaction of dissolved  $\text{HS}^-$  with OM resulting in the intra-molecular addition of available S.  
1427 Difficulties in releasing intra-molecularly bound S make this a relatively irreversible  
1428 reaction. The incorporation of  $^{32}\text{S}$  would be kinetically favored, thus, leading to organic S  
1429 lower in  $^{34}\text{S}$  than  $\text{HS}^-$  and more similar to co-existing pyrite.

1430 ii) Reaction of OM with polysulfides ( $\text{S}_x^{2-}$ ) resulting in an intermolecular addition and  
1431 formation of  $\text{S}_x$ -bridges between different organic units. A reverse of this process could  
1432 subsequently release the  $\text{S}_x$ -bridges from the organic moiety, such that  $\delta^{34}\text{S}$  of this organic  
1433 S would be reflective of the equilibrium status of these reactions.

1434 Raven et al. (2015) considered pathway ii) to be most likely responsible for the relative  $^{34}\text{S}$   
1435 enrichment (e.g. Amrani and Aizenshtat, 2004) traditionally attributed to organic sulfurization  
1436 and the formation of the kerogen fraction.

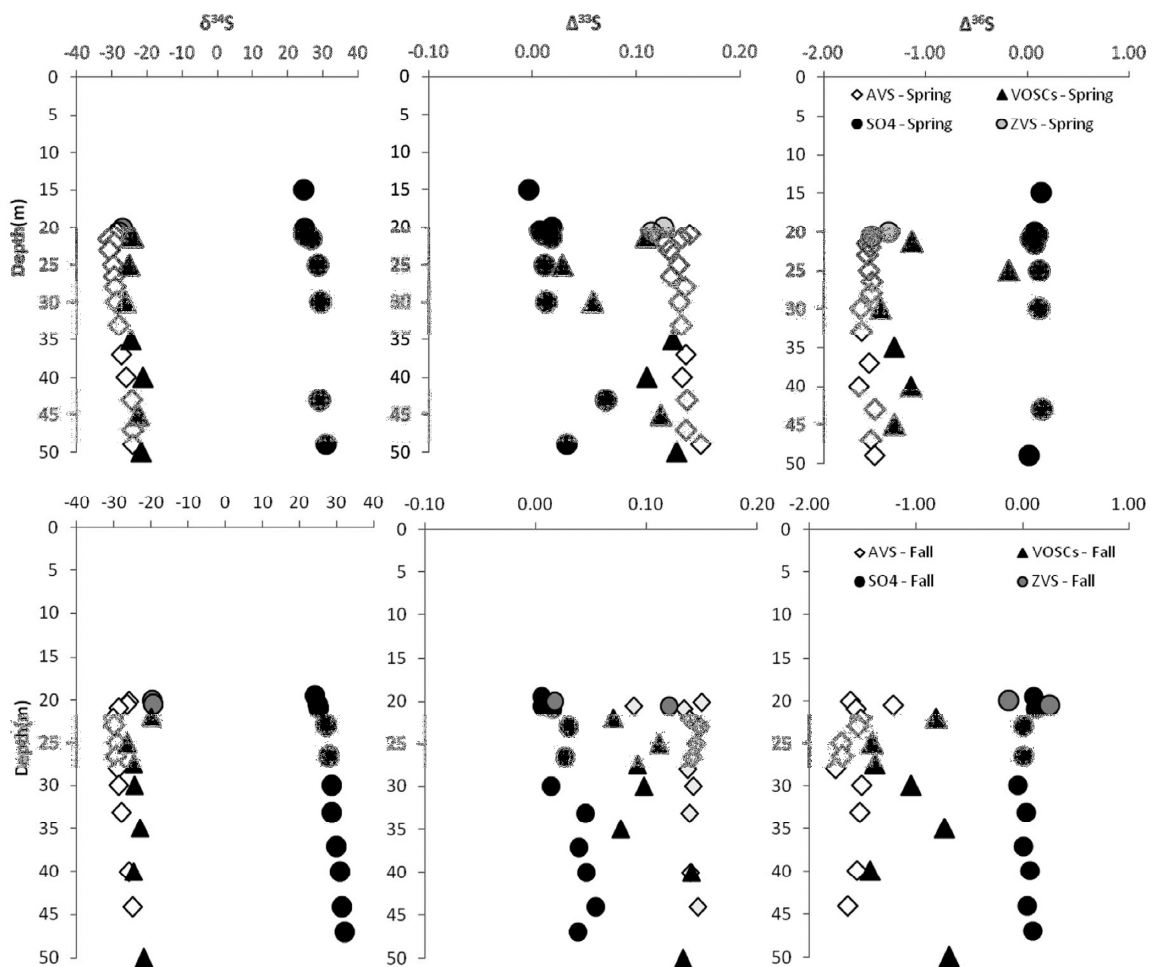
1437 *Tracing organic sulfur cycling in modern lakes*

1438 Oduro et al. (2013) and Zerkle et al. (2010) utilized quadruple S isotope systematics and zero-  
1439 valent sulfur (ZVS), volatile organic sulfur compounds (VOSCs) and acid-volatile sulfur (AVS)  
1440 profiles as part of a multi-year study on the meromictic Fayetteville Green Lake (FGL, Fig. 16).  
1441 Stratification in the lake is mainly controlled via the inflow of highly saline groundwater,  
1442 resulting in a strongly developed chemocline, while the source of both organic and inorganic  
1443 sulfur is from high sulfate concentration in the water column. These conditions make the site  
1444 a natural analogue for ancient environments.

1445 Zerkle et al. (2010) showed that at the chemocline sulfide is enriched in  $^{34}\text{S}$  as a result of  
1446 sulfide oxidation via reaction with  $\text{O}_2$ , from the oxidized freshwater above, in spite of the high  
1447 population of phototrophic S-oxidizing organisms observed at the chemocline. They further  
1448 suggested that the production of product sulfur species, e.g., thiosulfate, sulfite, or zero-valent  
1449 sulfur, was a result of very fast turnover of S-intermediates by oxidation and/or  
1450 disproportionation processes around the chemocline. Their data also showed seasonal  
1451 variations in isotopic enrichment at the chemocline as a result of greater contribution from  
1452 phototrophic S-oxidation reactions under higher light availability in spring and summer. ZVS  
1453 in the chemocline in autumn is suggested to reflect production and re-oxidation by

1454 phototrophic processes, including intercellular isotope exchange between  $S_0$ , polysulfides,  
 1455 and sulfide, and further oxidation of ZVS to sulfate. Smaller fractionations between sulfide and  
 1456 zero-valent sulfur in April suggest a metabolic rate control on the extent of fractionation, similar  
 1457 to that of sulfate-reducing prokaryotes.

1458 Oduro et al. (2013) built upon this study by quantifying VOSCs in the lake and highlighting the  
 1459 various biotic and abiotic pathways available for methylated and non-methylated VOSCs  
 1460 production and cycling in sulfidic freshwater environments (Fig. 16). These applications, while  
 1461 focused on modern-day lakes, have implications for our abilities to identify such processes in  
 1462 the preserved horizons of paleolakes and similar environments. While such studies of VOSCs  
 1463 in the ancient rock record are limited due to issues of maturity, the overprints and alteration,  
 1464 greater understanding of these processes in modern analogues may provide a new way to  
 1465 fingerprint products of these processes that are identifiable in the rock record. Further, with  
 1466 the development of new analytical techniques, greater machine resolution, the ability to  
 1467 screen, and reduce, post-deposition organic contaminants, and better sample processing  
 1468 (e.g., Brocks et al., 2008; Brocks and Hope, 2013) we can hope to soon be able to readily  
 1469 identify these compounds in the rock record.



1470

1471 **Figure 16:** Depth profiles of the multiple sulfur isotope composition of different sulfur species  
1472 (Sulfate –  $\text{SO}_4^{2-}$ , Acid Volatile Sulfur - AVS, Volatile Organic Sulfur Compounds – VOSCs, and  
1473 Zero-Valent Sulfur – (ZVS) in Fayetteville Green Lake (FGL) for Spring, 2009 and Fall, 2008.  
1474 From Oduro et al., 2013, including data from Zerkle et al., 2010)

1475 In addition, the studies discussed above highlighted the role of simultaneous biological and  
1476 abiotic processes in freshwater environments that promote the formation of VOSCs and then  
1477 their diffusion to the atmosphere. Further characterization of these processes will aid in  
1478 improving estimate of the atmospheric sulfur budget in present and Recent times.

#### 1479 **4 SUMMARY AND OUTLOOK**

1480 Over the past four decades, applications of CSIA have vastly expanded into multiple  
1481 paleoenvironmental applications using an extended range of isotopes and ever more  
1482 sophisticated analytical techniques. The study of carbon and hydrogen isotopes of  
1483 hydrocarbons such as *n*-alkanes is by now well-established as they are non-functionalized, of  
1484 well-understood origin and straightforward to analyse. However, there remain a number of  
1485 challenges, and particularly so for compounds where the biosynthetic pathway is not fully  
1486 understood, the source varies, or where there are analytical constraints.

#### 1487 **4.1 General problems**

##### 1488 ***i) Biosynthesis***

1489 It has been observed that compounds produced through different biosynthetic pathways can  
1490 differ in their carbon isotope value by up to 20% within an individual organism (e.g., Summons  
1491 et al., 1994; Schouten et al., 1998; van der Meer et al., 1998). However, the exact mechanisms  
1492 leading to these isotopic differences are often not well-constrained (Hayes, 2001), which may  
1493 lead to ambiguous results unless biochemical studies improve our understanding of  
1494 differentiated fractionation within source organisms of biomarkers targeted by CSIA.

##### 1495 ***ii) Ecological factors***

1496 A key factor imposing carbon and hydrogen isotopic variation in land plants is water-use  
1497 efficiency, as observed in C3, C4 and CAM plants (Ehleringer et al., 1993), which is controlled  
1498 by local hydrology. In case of aquatic organisms, a range of ecological factors has been found  
1499 to inflict isotopic variation, including the partial pressure of  $\text{CO}_{2[\text{aq}]}$  ( $p\text{CO}_{2[\text{aq}]}$ ), cell size and  
1500 geometry (Goericke et al., 1994; Popp et al., 1998), virus interactions and the growth rate of  
1501 phytoplanktonic cells (Laws et al., 1995; Bidigare et al., 1997; Chivall et al., 2014). These  
1502 findings highlight the need of culture studies, in particular, of lacustrine primary producers  
1503 since most of such investigations so far, like the ones cited above, have been aimed at marine  
1504 or coastal species.

1505 ***iii) Source uncertainties***

1506 *In-situ* microbial biomass may add to and bias CSI data of supposedly aquatic or terrestrial  
1507 sources, and the distinction between genuine change in the isotopic composition of  
1508 sedimentary compounds and changing proportions of *in-situ* biomass often poses a challenge.  
1509 In this context, combining biomarker CSI and rDNA analyses in order to pin down the source  
1510 of specific microbial compounds appears highly promising (e.g., Coolen et al., 2004b).

1511 We have already pointed out some of the more specific challenges associated to isotope  
1512 analyses of the various compound classes discussed in Section 3. However, challenges  
1513 typically come along with opportunities, in this case, of further paleolimnological information  
1514 gained through extended approaches to CSIA, which we expand on in the following.

1515 **4.2 Targeting the C and H of alkyl lipids – the easy, the tricky, and the prospective**

1516 Applications of CSIA of alkyl lipids as presented in Section 3.1 illustrate the great potential of  
1517 such measurements for the development of paleohydrological proxies in Quaternary  
1518 paleolimnology on a range of different time-scales, from the early Pleistocene to the Holocene.  
1519 However, these examples, as well as recent reviews (e.g., Eglinton and Eglinton, 2008;  
1520 Sachse et al., 2012; Reiffarth et al., 2016; Sessions, 2016; Diefendorf and Freimuth, 2017),  
1521 also indicate some gaps in our understanding of alkyl lipid stable isotopes. The fractionation  
1522 pathways of stable carbon isotopes and stable hydrogen isotopes, in particular, need to be  
1523 better understood in order to be able to arrive at robust reconstructions of paleohydrological  
1524 changes. Changes in species distribution in response to ecosystem adaption to environmental  
1525 change alone may be responsible for significant change in the  $\delta^2\text{H}$  values of non-species-  
1526 specific aquatic biomarkers (e.g., Rach et al., 2017). Laboratory-based growth experiments  
1527 as well as studies of isotope fractionation in modern ecosystems continue to expand the  
1528 knowledge of the biogeochemical fingerprint of the various OM sources and our understanding  
1529 of the origins and functions of alkyl lipids through time. Despite the many influences on the  
1530  $\delta^2\text{H}$  or  $\delta^{13}\text{C}$  values of alkyl lipids in environmental archives, much of the variability that results,  
1531 e.g., from seasonality or the patchiness of organic matter sources in the catchment of the  
1532 studied archive is averaged out due to intermediate storage of the compounds over extended  
1533 time intervals in soils and/or along transport across the catchment (e.g., Oakes and Hren,  
1534 2016). Still, the effects of changes in the source vegetation on CSI records are often  
1535 understudied and cannot be determined by isotope analysis alone (e.g., Rach et al., 2017).  
1536 Studies combining independent indicators of vegetation change, such as pollen or macrofossil  
1537 analysis, and compound-specific stable isotope analyses can highlight where factors other  
1538 than climate played a role. Such information is especially needed when, e.g.,  $\delta^2\text{H}$ -records of  
1539 long-chain *n*-alkyl lipids are used to calculate terrestrial evaporation (e.g., Sachse et al., 2004;  
1540 Rach et al., 2014) as this has been problematic in cases where vegetation was diverse and

1541 showed spatiotemporal variability (e.g., Berke et al., 2012; Rao et al., 2014; Rach et al., 2017;  
1542 van den Bos et al., 2018).

1543 Furthermore, the importance of the soil organic matter pool as a source of biomarkers in  
1544 sedimentary records is increasingly recognised. Systematically changing offsets, for example,  
1545 in  $\delta^{13}\text{C}$  values between suberin-derived mid-chain ( $\text{C}_{22}$ ) and cuticular long-chain lipids ( $>\text{C}_{26}$ )  
1546 have been reported (Holtvoeth et al., 2017). However, despite the apparent environmental  
1547 control, they cannot be interpreted unless the mechanisms behind the mismatch between  
1548 cuticular and suberin alkyl lipid CSI are understood. In this context, the transport pathways of  
1549 biomarkers from their source to the sediment archive are currently understudied. Specific  
1550 organic matter fractions are likely associated to certain grain size fraction in soils as well as  
1551 sediments (Baldock and Skjemstad, 2000; Gentsch et al. 2015; Wakeham and Canuel, 2016).  
1552 Therefore, the combination of paleohydrological and mineralogical data with source-sensitive  
1553 CSI data is advisable. Where possible, alkyl lipids and their isotope values from extant sources  
1554 should be investigated in order to reduce the uncertainty in the interpretation of CSI data from  
1555 environmental archives (e.g., Eley et al., 2016). Studies that use multiple *n*-alkyl compounds  
1556 (e.g., *n*-alkanes, *n*-alkanoic acids) or combine  $\delta^{13}\text{C}$  and  $\delta^2\text{H}$  measurements are still few but  
1557 will likely enhance our understanding of how alkyl lipids are ultimately preserved in geological  
1558 records (Sachse et al., 2012; Sessions, 2016; Diefendorf and Freimuth, 2017).

1559 Long-chain alkenones remain a challenge for CSI studies in lakes due to the biodiversity of  
1560 their source organisms and, therefore, the uncertainty associated to the ecological drivers of  
1561 lacustrine alkenone production and isotope fractionation during biosynthesis. Similar to the  
1562 marine biome, salinity appears to be a major factor affecting the  $\delta^2\text{H}$  value of lacustrine  
1563 alkenones, in addition to assumed effects of growth rate (e.g., Chivall et al., 2014). Thus,  $\delta^2\text{H}$   
1564 values of lacustrine alkenones may potentially be applied to lake systems that experienced  
1565 large climatically controlled changes in salinity throughout their evolution once the sources of  
1566 the alkenones have been ascertained. As phylogenetic shifts among the alkenone producers  
1567 are also likely to correlate with environmental changes, it appears advisable to combine CSI  
1568 with DNA studies of alkenone producers in both modern and ancient contexts, in particular,  
1569 with regard to alkenone producers in freshwater systems that are currently under-investigated.

#### 1570 **4.3 Propping up steroids and hopanoids**

1571 The  $\delta^{13}\text{C}$  and  $\delta^2\text{H}$  of algal sterols and steranes offers great potential for the reconstruction of  
1572 Quaternary ecosystems and environments. This includes changes in organic matter sources,  
1573 shifts in algal communities and productivity, as well as variations in the isotopic composition  
1574 of DIC and meteoric water, and salinity. However, the need for multiple purification steps prior  
1575 to analysis and for correction of the determined isotope ratio for derivatised carbon and

1576 hydrogen atoms currently precludes a more routine use of sterols in high-resolution  
1577 paleoenvironmental studies. Dinosterol has become the most commonly used sterol for CSI  
1578 analysis, particularly for  $\delta^2\text{H}$ , due to its biological specificity compared to other sterols. Several  
1579 new preparatory protocols using high performance liquid chromatography (HPLC) have been  
1580 developed for its purification from complex sterol/alcohol mixtures (e.g., Smittenberg and  
1581 Sachs, 2007; Atwood and Sachs; 2012; Nelson and Sachs, 2013).

1582 CSIA determined from hopanes will have continued utility in deconvolving modern and ancient  
1583 carbon cycling. Whereas bacterial inputs, especially with respect to inputs of methanotroph-  
1584 derived material (c.f. Talbot et al 2014; Raghoebarsing et al., 2005), as such do not  
1585 demonstrate that methanotrophy was actually taking place, significantly  $^{13}\text{C}$ -depleted  
1586 hopanoids are difficult to explain otherwise. Stable isotope probing and “pulse-chase”  
1587 experiments are likely to offer substantial advances in understanding the applications and  
1588 limitations of compound-specific isotope analysis of hopanoids (Crossman et al., 2001). CSIA  
1589 of derivatized BHPs improves our ability to analyze compounds with potentially greater  
1590 source/metabolic specificity; this will certainly fuel new and broader applications. For instance,  
1591 further work on applications of the BHT isomer as a potential biomarker for anammox activity  
1592 will greatly expand our knowledge of the complexity of nitrogen fixation processes in lacustrine  
1593 ecosystems. A better understanding of the drivers of hopanoid synthesis will improve  
1594 application of all hopanoid-based proxies. Coupling hopanoid CSIA with archaeal lipids is a  
1595 powerful approach to reconstructing prokaryotic roles in past ecosystems and response to  
1596 environmental change.

#### 1597 **4.4 Shedding light on pigments**

1598 Research into disentangling the complex array of factors that affect the synthesis,  
1599 transformation and sedimentation of pigment transformation products in the modern  
1600 environment is required to facilitate a more rigorous approach to interpreting isotope ratios in  
1601 pigments extracted from sediments. For example, we can anticipate that further work on  
1602 phaeopigments, such as limnic phaeophytin and pyropheophytin (Tyler et al., 2010),  
1603 especially in redox-stratified basins (Fulton et al., 2018), will improve paleoenvironmental  
1604 interpretations of chlorin-specific isotopic data. In addition, studies focused on environmental  
1605 conditions, including the impact of oxygen (particularly in the case of maleimides, c.f. Naeher  
1606 et al. 2013) can assist the development of novel proxies for estimating the degree of organic  
1607 matter degradation on a variety of timescales.

#### 1608 **4.5 Buttressing cellulose**

1609 Interpretation of sedimentary cellulose  $\delta^{18}\text{O}$  values for reconstructions of lake-water  $^{18}\text{O}$   
1610 (Section 3.6.2) has to consider that variable contributions of terrestrial cellulose can modify

1611 the aquatic isotope signal. The choice of adequate sites with scarcely vegetated catchment is  
1612 one option to overcome this potential bias. Methodological difficulties may have also biased  
1613 previous results (Beuning et al. 2002). The development of the CUAM method for cellulose  
1614 extraction (Wissel et al., 2008) therefore was a milestone for gaining pure cellulose from  
1615 sediments albeit its potential is not yet fully explored due to the scarcity of comparative studies.  
1616 The applicability of the method is sometimes limited by low content of cellulose in lacustrine  
1617 sediments, which is typically in the order of 0.1 wt% in productive lakes (Heyng et al., 2014).  
1618 Uncertainties still exist regarding the exact oxygen-isotope fractionation factors between  
1619 source water and cellulose, possibly due to methodological challenges. Reported fractionation  
1620 values vary between 25 ‰ and 32 ‰ according to different studies and preparation methods  
1621 (Wolfe, et al. 2001; Mayr et al. 2013, 2015). The occurrence of a temperature effect on oxygen-  
1622 isotope fractionation during cellulose formation is still discussed (Sternberg and Ellsworth,  
1623 2011; Mayr et al., 2013). A potential methodological extension is the recent development of  
1624 an analytical procedure for  $\delta^{18}\text{O}$  analyses on hemicellulose-derived sugar biomarkers (Zech  
1625 et al., 2014; Hepp et al., 2015).

#### 1626 **4.6 Sulfur on the horizon**

1627 Compound-specific  $\delta^{34}\text{S}$  analysis will help to illuminate the operation of organic sulfur cycles  
1628 of the past and present. A rapid transition is anticipated from the current practice of measuring  
1629 the bulk  $\delta^{34}\text{S}$  isotopic value of whole sediments or major organic fractions to measuring the  
1630  $\delta^{34}\text{S}$  composition of individual molecular species – similar to the uptake of compound specific  
1631  $\delta^{13}\text{C}$  and  $\delta^2\text{H}$  technologies. Further maturity of the technology for CSIA of sulfur-containing  
1632 compounds should lead to greater improvements in analytical performance (i.e., precision and  
1633 reproducibility  $<\pm 0.5$  ‰) and further targeted application leading to a better understanding of  
1634 the properties, interactions and fate of organic sulfur in lake basins.

#### 1635 **4.7 Stones unturned**

1636 Although the understanding of the various fractionation factors associated to amino acid  
1637 biosynthesis and metabolism is constantly improving, the fact that they also have a low  
1638 preservation potential in lacustrine sediments may limit their applicability for  
1639 paleoenvironmental studies. Still, as demonstrated by Carstens et al. (2013) for shallow  
1640 sediments (6 cm) of an oligotrophic and a eutrophic lake,  $\delta^{15}\text{N}$  values of amino acids did  
1641 preserve the different trophic status of the two lakes. Thus, for studies that aim to investigate  
1642 recent anthropogenic ecosystem change, e.g., in the context of industrialization or  
1643 urbanization, amino acid  $\delta^{15}\text{N}$  values may hold promising information on changes in nutrient  
1644 loading, while the limit of such an approach going back in time remains to be tested.



1645 Some compounds have been frequently observed but appear notoriously understudied. One  
1646 such example is loliolide and its epimer, iso-lololide. They represent the end pieces of the  
1647 carotenoid pigment fucoxanthine (Fig. 10) and are formed in equal quantities during the  
1648 anaerobic degradation of the compound (Repeta, 1989), which is the main pigment in diatoms  
1649 but also occurs in dinoflagellates and haptophytes (Repeta and Gagosian, 1982; Klok et al.,  
1650 1984). Loliolide and iso-lololide are frequently detected in marine sediments (Repeta and  
1651 Gagosian, 1982; ten Haven et al., 1987; Repeta, 1989; Hinrichs et al., 1999b; Menzel et al.,  
1652 2003) but have also been found in significant amounts in sediments of Lake Kivu (Al-Mutlaq  
1653 et al., 2008), Lake Malawi (Castañeda et al., 2009, 2011), Lake Challa (van Bree et al., 2018)  
1654 and Lake Ohrid (J. Holtvoeth, unpublished data). While they have been used as biomarkers  
1655 for diatoms for reconstructing changes in the marine (Hinrichs et al., 1999b) and limnic  
1656 phytoplankton community (Castañeda et al., 2009, 2011; van Bree et al., 2018), only Menzel  
1657 et al. (2003) determined the  $\delta^{13}\text{C}$  values of loliolide/iso-lololide in eastern Mediterranean  
1658 sediments in order to find evidence for productivity changes during sapropel deposition. We  
1659 are not aware of any CSI study of these biomarkers in a lacustrine context where, e.g.,  
1660 changes in salinity,  $\text{CO}_2$  limitation or productivity could potentially be targeted through CSIA  
1661 of these algal compounds. The  $\delta^{13}\text{C}$  of the planktonic iGDGTs has also been reported to  
1662 contain some information about  $p\text{CO}_2$  in marine environments (Kuypers et al., 2002; Pearson  
1663 et al., 2016). As iGDGTs are also common in lake environments (Powers et al., 2004), they  
1664 could be exploited for this purpose.

1665 Finally, there is much scope for extending CSIA in future analytical technologies. These  
1666 include further applications of the relatively new analytical capability of compound-specific  $\delta^{34}\text{S}$   
1667 (Amrani et al., 2012), high-temperature GC-IRMS analysis of GDGTs (Lengger et al., 2018),  
1668 and the possible expansion of a variety of preparatory LC-MS techniques for purification of  
1669 steranes and hopanes. Also, the revolutionary ability to measure stable carbon and hydrogen  
1670 isotopes at specific molecular positions (Eiler et al., 2017) radically enhances the details of  
1671 the complex processes involved in the biosynthesis of molecules and usefulness as unique  
1672 environmental informants.

## 1673 **ACKNOWLEDGEMENTS**

1674 JHW is supported by an Annual Adventures in Research Award from University of  
1675 Southampton and NSF EAR 1349650, FSF is supported by a PhD scholarship (grant number  
1676 BEx 9541-13/6) from the Science without Borders Programme (Ciência sem Fronteiras),  
1677 sponsored by the CAPES Foundation within Ministry of Education, Brazil. SCJ is supported  
1678 by iCRAG under the Science Foundation Ireland, EU Regional Development Fund and  
1679 industry partners, as well as SFI research grant number 16/RP/3849. SKL was supported by

1680 Rubicon fellowship 825.14.014 from the Netherlands Organisation for Scientific Research  
1681 NWO.

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