

Reliability of the SToPS

- 2 **An investigation of reliability of the Sunderland Tracheosophageal Voice**
- 3 **Perceptual Scale**
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2 **Abstract**

3

4 Introduction: The consensus on how to effectively evaluate alaryngeal voice
5 outcomes remains limited. The Sunderland Tracheosophageal Voice Perceptual
6 scale (SToPS) was developed as a perceptual rating scale specifically for
7 tracheosophageal voice ¹. Currently, it is the only tracheosophageal voice specific
8 perceptual scale available and aims to address the limitations of previous scales.

9

10 Objective: To investigate inter rater reliability of the Sunderland
11 Tracheosophageal Voice Perceptual Scale when analysing alaryngeal voice across
12 a range of voice prostheses.

13

14 Methods: Prospective evaluation of inter rater reliability of the SToPS based on
15 audio recordings of 230 voice samples from 41 laryngectomy patients rated by 3
16 experts. Interval data was analysed using Intraclass Correlation Coefficients (ICC)
17 while categorical data was analysed using Kappa.

18

19 Results: ICC of above 0.6 was observed between raters for each prosthesis on a
20 majority of parameters demonstrating a good level of reliability. Reliability was
21 fair (ICC of between 0.40-0.59) on Q11 (Articulatory precision) and Q12
22 (Paralinguistics). Reliability was also fair (0.21-0.40) or slight (0.00-0.20) for Q2
23 (Tonicity), which was analysed using Kappa. Kappa of above 0.61 signified a good
24 level of reliability.

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2 Conclusions: This study demonstrates good rater reliability for the majority of
3 parameters on the SToPS scale, supporting the use of this tool within the clinical
4 realm. However further research is required to ascertain if any methods of
5 increasing inter rater reliability on those parameters which did not reach good
6 reliability can be identified.

7 Level of evidence: 2b Individual cohort study

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2 **Introduction**

3

4

5 Laryngectomy involves the removal of the larynx in its entirety, usually as a
6 treatment for advanced laryngeal cancer. As a consequence, this surgery
7 profoundly affects the ability to communicate. The gold standard for
8 communication rehabilitation after laryngectomy is surgical voice restoration
9 (SVR) ^{2 3} also known as tracheosophageal voice. This technique involves the
10 placement of a one way valved voice prosthesis in a puncture between the trachea
11 and oesophagus ^{4 5}. The voice prosthesis shunts lung air from the oesophagus to
12 a vibratory segment within the reconstructed throat to produce tracheosophageal
13 voice. The ultimate objective of SVR is to provide the patient with the optimal
14 voice possible without a larynx ¹. However, consensus on the most appropriate
15 measure of voice outcome post laryngectomy is lacking..

16

17 **Evaluation of post laryngectomy voice**

18

19 Although most of the empirical research concerning laryngeal voice has focused
20 on acoustic measures of frequency, intensity and duration, these measures do not
21 necessarily indicate how well an individual communicates in a social situation.
22 Auditory perceptual rating involves an expert listener judging a voice sample
23 according to different parameters ⁶ which may include intelligibility, voice quality
24 and acceptability ⁷. Auditory perceptual evaluation of tracheosophageal voice
25 quality has been posited as the most valid measure of SVR outcome ¹. There are
26 a number of well-established voice quality rating scales which provide perceptual
27 parameters for the patients with a larynx including the Buffalo Voice Profile ⁸, the
28 Vocal Profile Analysis Scheme ⁹, Grade, Roughness, Breathiness, Asthenia, Strain

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2 (GRBAS) scale ¹⁰, and Consensus Auditory Perceptual Evaluation of Voice ¹¹. Of
3 these, the strongest validity and reliability has been established for the GRBAS
4 ^{12,13}. The GRBAS has been used to assess auditory perceptual aspects of
5 tracheosophageal voice in several studies ^{14-16 17,18}. However, use of the GRBAS to
6 measure perceptual aspects of tracheosophageal voice has been considered
7 suboptimal due to the fundamental differences in tracheosophageal and laryngeal
8 voice ¹. As the phonatory source of alaryngeal voice (vibratory segment) contrasts
9 significantly with that of laryngeal voice (vocal folds), the use of a rating scale
10 validated for the latter population poses limitations for post laryngectomy
11 patients. Additionally, some perceptual features of alaryngeal voice such as tone
12 and extraneous noise when covering the stoma to produce voice are unique and
13 central to tracheosophageal voice quality and are not included in the GRBAS scale.
14 Critically, studies which have used the GRBAS ¹⁴⁻¹⁸ or other perceptual scales ^{19,20}
15 have failed to specify an anchor baseline so it is unclear whether raters have
16 compared voice stimuli to that of normal laryngeal voice or optimal
17 tracheosophageal voice.

18

19 **STOPS**

20 The Sunderland Tracheosophageal Voice Perceptual scale (SToPS) was developed
21 as a perceptual rating scale specifically for tracheosophageal voice ¹. Currently, it
22 is the only tracheosophageal voice specific perceptual scale available. The SToPS
23 was developed as means of overcoming the major conceptual and methodological
24 problems inherent in other studies of tracheosophageal voice, such as poorly
25 defined terminology and impressionistic vocabulary ²¹. The STOPS includes
26 specific and clear guidance to define terminology used for each parameter. In

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2 addition, the SToPS crucially defines the anchor baseline for parameters as
3 optimal tracheosophageal voice rather than normal laryngeal voice.

4

5

6 **Reliability**

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8 Measurement is a way of understanding, evaluating and differentiating
9 characteristics of people and objects ²² and forms the basis for making decisions
10 or drawing conclusions in scientific research. A crucial prerequisite for clinical
11 measurement is reliability. Reliability indicates the consistency and lack of errors
12 in a tool ^{22,23}. As the ability to simply produce voice with a prosthesis following
13 SVR is unlikely to be sufficient indication of functional ability to communicate in
14 everyday situations, it is of clinical relevance to investigate the reliability of the
15 SToPS. As intra rater reliability for expert raters had previously been established
16 as good or above for all parameters of the SToPS except for accent, reading ability
17 and articulatory precision ²⁴, this study focuses on the investigation of inter rater
18 reliability.

19

20 **Aim**

21 To investigate inter rater reliability of the Sunderland Tracheosophageal Voice
22 Perceptual Scale

23 **Hypothesis**

24 Experts will not achieve a good level of inter rater reliability when they use the
25 SToPS to rate alaryngeal voice. Should a good level of inter rater reliability be
26 achieved, this will support the clinical relevance for the SToPS in identifying
27 functional tracheosophageal voice for patients post laryngectomy.

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3

4 **Methods**

5

6 **SToPS**

7

8 The Sunderland Tracheosophageal Voice Perceptual Scale (SToPS) for
9 professional raters was originally developed as a 14-item auditory perceptual
10 scale divided into two domains: (i) Six Voice quality parameters (perceptual voice
11 tonicity, strain, wetness, impairment of volume, impairment of social acceptability
12 of voice and whisper), and (ii) seven parameters not related to voice quality
13 (impression of intelligibility, stoma blast, impairment of fluency, impairment of
14 articulatory precision, positive features of articulation, accent and poor reader)
15 and an overall score voice rating. The scale later underwent item reduction and
16 now contains 10 parameters. **Ref**

17

18 Tone relates to the amount of pressure used to produce tracheosophageal voice.

19 The perceptual voice tonicity parameter is measured on an 11 point bipolar
20 semantic scale reflecting the continuum of tone ²⁵ from hypotonic (too little tone)
21 to hypertonic (too much tone)¹. As stenotic voice occurs only in the absence of
22 tone it is measured with a separate arm to the tone scale ¹. As stenosis is either
23 present or absent, it is not rated along a graded continuum. For each individual
24 voice sample, only one arm of the scale is chosen by a rater. Each of the remaining
25 5 items in the voice quality parameters domain are measured on a 4 point equally
26 appearing interval scale 0 (optimal tracheosophageal voice quality), 1 (mild), 2
27 (moderate) and 3 (severe).

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2 Each of the parameters not related to voice quality, with the exception of positive
3 features of articulation is measured on a 4 point equally appearing interval scale
4 0 (optimal tracheosophageal voice quality), 1 (mild), 2 (moderate) and 3 (severe).
5 Positive features of articulation are measured on an alternatively worded 4 point
6 equally appearing interval scale 0 (neutral), 1 (good), 3 (excellent), and 4
7 (outstanding).

8

9 The parameter 'overall grade' is measured using a four point interval scale 0 =
10 Excellent; 1 = Good; 2 = Adequate; 3 = Poor. This design is similar to the GRBAS
11 scale ¹⁰ except that the value 0 represents optimal tracheosophageal voice quality
12 as opposed to "normal" laryngeal voice quality.

13

14

15 **Raters**

16 Three Speech and Language Therapy raters were chosen. Each rater had at least
17 five years experience specialising in the rehabilitation of communication post
18 laryngectomy and other head and neck cancer patients and had completed
19 advanced training in the field.

20

21 **Training of raters**

22

23 Each rater participated in three hours of training with the investigator in the use
24 of the SToPS. This training took place during two conference calls of 90 minutes
25 length and included practice ratings of ten anonymised audio samples of
26 laryngectomy participants reading the Rainbow Passage. During training queries

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2 about individual items on the SToPS scale were raised. These parameters were
3 discussed with the main author of the SToPS. Clarifications provided were passed
4 onto all three raters regardless of how many raters had initially raised a query.
5

2 **Voice stimuli**

3

4 230 voice samples were elicited from 41 post laryngectomy participants. Please

5 see table 1 for demographic details. Participants were recruited from the

6 outpatient caseload of Head and Neck cancer patients at a large centre in ___.

7 Exclusion criteria included participants without a voice prosthesis, less than 3

8 months post surgery or post operative oncological treatment. Each participant

9 trialled up to 6 randomised voice prostheses over 2 appointments within a 72

10 hour period. Participants were blinded to prosthesis type and a voice sample was

11 provided for each for each prosthesis. This data was used in a subsequent study

12 investigating the differences between voice prostheses in terms of voice outcome.

13

14 For each prosthesis trial, participants had a Speedlink SL-8691-SBK spes clip on

15 metal microphone (Speedlink, Weertzen, Germany) attached to their clothing 10

16 cm lateral to the stoma on the opposite side to the hand used to occlude the stoma

17 during voicing. All subjects produced voice by occluding their stoma rather than

18 depressing a humidification exchange device or using a hands free attachment.

19 Subjects read a short version of the Rainbow passage ²⁶, (see appendix). This was

20 recorded onto a Sony ICD-PX820 Digital Voice Recorder with flash 2 GB (Sony,

21 Weybridge, UK) in MP3 format to be rated later by experts.

22

23 **Data analysis**

24 Recordings of voice samples with individual voice prostheses were extracted in

25 MP3 format and transferred to Final Cut Pro (Apple, California, USA) to allow titles

26 to be added to indicate anonymised subject number and anonymised voice

27 prosthesis letter. Voice samples were then exported to 3 Verbatim 4GB pinstripe

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2 USB memory sticks (Verbatim, Surrey, UK). Raters were blinded to subject,
3 prosthesis type, gender, type of laryngectomy surgery (extended laryngectomy or
4 standard total laryngectomy) and history of radiotherapy and chemotherapy.
5 Voice samples were posted to 3 expert Speech and Language Therapy raters along
6 with blank numbered and lettered SToPS forms which corresponded to each voice
7 sample for each subject.

8

9 **Statistical analysis**

10

11 Data was entered and analysed in IBM SPSS (Statistical Product and Service
12 Solutions) version 23 (IBM Armonk, New York). The SToPS consists of 14
13 parameters, 13 of which (Q1, Q-Q14), are rated from 0-3 on an interval scale. A
14 further parameter, Q2 of the SToPS is rated on an 11 point bipolar semantic scale
15 which yielded categorical data.

16

17 Intraclass correlation coefficients (ICC) were used to analyse reliability of interval
18 scale parameters. A 2 way mixed model was chosen as each subject was assessed
19 by the same set of raters who have been purposely and not randomly selected ^{27,28}.
20 0.6 ICC has previously been indicated as signifying a useful ²⁹ and good ³⁰ level of
21 reliability. ICC of between 0.40 and 0.59 has been defined as signifying a fair level
22 of reliability ³⁰. This interpretation was used to benchmark inter rater reliability
23 interval level data.

24 Cohen's kappa was used to analyse reliability of categorical data extracted from
25 Q2 (Perceptual Tonicity – amount of pressure used to produce tracheosophageal

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2 voice) on the SToPS scale. In order to examine inter rater reliability for Q2, data
3 were recoded into 4 categories as follows:

- 4 • Hypotonic 5, 4, 3, 2, 1 was recoded as 1
- 5 • Tonic 0 was recoded as 2
- 6 • Hypertonic 5, 4, 3, 2, 1 was recoded as 3
- 7 • Stenosis 5 was recoded as 4

8 Reliability was calculated using kappa to see whether raters agreed 2x2

- 9 • Rater 1x Rater 2
- 10 • Rater 1x Rater 3
- 11 • Rater 2 x Rater 3

12 Analysis was conducted for reliability by prosthesis type by splitting data by
13 prosthesis type and then using cross tabs for kappa analysis by rater 2x2.

14 The Landis and Koch ³¹ classification of 0.61 as a good level of reliability, 0.41-0.60
15 as moderate reliability 0.21-0.40 as fair reliability and 0.00-0.20 as slight
16 reliability was used to analyse categorical level data.

17 **Results**

18 **Reliability of interval scale data**

19
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21 The majority of parameters (Q1,Q3,Q5,Q7,Q8,Q9,Q13,Q14) reached an ICC of 0.60
22 indicating a good level of reliability (table 2). Parameters, which did not reach an
23 ICC of 0.60 are highlighted in greyscale. While reliability was not observed on Q4
24 (“Wetness” of voice quality) for the Blom Singer Low pressure voice prosthesis
25 nor on Q10 (Impairment of fluency) for the Blom Singer Duckbill voice prosthesis,
26 the ICC for both prostheses on both parameters approached good reliability.
27 Reliability for Q11 (Impairment of articulatory precision) was fair as opposed to

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2 good except for the low-pressure prosthesis. Reliability was reached amongst
3 raters for only three of the voice prostheses (Blom Singer Duckbill, Blom Singer
4 Low pressure and Provox NID) but was fair for other prostheses on Q12 (Positive
5 features of articulation – paralinguistics/diction).

6
7

8 **Reliability of Q2 Bipolar Semantic Scale data from STOPS**

9

10 Results of this analysis are outlined in table 2.1, 2.2 and 2.3

11 Reliability between raters was therefore only fair or slight for Q2 Tonicity across
12 voice prostheses.

13
14

15 **Discussion**

16 ***Expert raters inter rater reliability on the SToPS***

17

18 Reliability was investigated to ascertain whether there was a good level of
19 agreement among all three raters when using the SToPS to perceptually judge
20 voice. Parameters with poor reliability were Q2 – Perceptual Voice Tonicity, Q11-
21 Impairment of articulatory precision and Q12 – Positive features of articulation
22 (paralinguistics/diction). Q2 relates to tonicity of the vibratory segment or the
23 amount of pressure used to produce alaryngeal voice. Clinically, a patient with a
24 tonic voice will be able to produce fluent sound of adequate intensity without
25 effort. A tonic voice has been defined as the ability to sustain /a:/ for 10 seconds
26 and produce 10-15 syllables per breath ³² or to sustain /a:/ 8 seconds and count
27 from 1-15 on one breath ³³. A previous study ¹ examined inter rater agreement
28 between 12 Speech and Language Therapists and 10 ENT surgeons for Q2 of the
29 STOPS. While inter rater agreement was only moderate for the raters as a whole,

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2 it was good for the subgroup of Speech and Language Therapists with specific
3 voice experience. Inter rater reliability was poor for three expert Speech and
4 Language Therapist raters in this study, each of whom had demonstrated a strong
5 understanding of tone within training sessions. The experience of Speech and
6 Language Therapists in this study was primarily in head and neck cancer rather
7 than specifically with laryngeal voice. This factor may account for the superior
8 agreement achieved on Q2 in a previous study ¹ However, the statistical
9 methodology which involved recoding data from Q2 from an 11 point equally
10 appearing interval scale into a four point categorical scale analysed with Kappa
11 may have been a further factor in the poor reliability found in this study. Recoding
12 data in this manner changes tonicity from a continuum to a categorical scale and
13 thus may alter analysis. The use of Cohen's Kappa for analysis is based on
14 absolute agreement. In examining a parameter such as tonicity, it may not be
15 possible to attain absolute agreement within hypertonic and hypotonic aspects of
16 the continuum. Both hypertonicity and hypotonicity contain a spectrum of
17 variety.

18

19 Similarly the complexity of the scale used to measure Q2 may have influenced
20 levels of reliability achieved.

21

22 Q11- Impairment of articulatory precision demonstrated fair rater reliability only.

23 This parameter measures the degree of the lack of precision or “slurring” in
24 speech. Lack of articulatory precision can be influenced by a number of factors
25 including fatigue and sometimes accent. During training of expert raters, Q11 was
26 not identified as one that needed further clarification. However, as the experience

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2 of the expert raters involved in this study was predominantly with head and neck
3 cancer rather than with voice, it is possible that they were less familiar with the
4 defined baseline, which used the Vocal Profile Analysis scale as a reference. This
5 factor may have accounted for the fair rater reliability on this parameter. The final
6 parameter to demonstrate fair rater reliability was Q12 Positive features of
7 articulation (paralinguistics/diction). Positive features of articulation refer to
8 diction, intonation or pause features that have an overall positive effect but are
9 not part of the voice signal. Similarly to Q11, Q12 was not identified during
10 training as one that required further definition. Fair rater reliability on this
11 parameter and on Q11 may simply reflect the difficulties of assessing articulation
12 and diction in laryngectomy patients, who present with an underlying disordered
13 voice.

14

15 This study examined the reliability of the SToPS across a range of voice prostheses
16 as part of the preparatory work for a later study examining differences between
17 prostheses in terms of voice quality. Some voice prostheses notably differed in
18 levels of reliability achieved on parameters 4, 10, 11 and 12 of the STOPS. The
19 attributes of different types of prostheses may affect tracheoesophageal voice and
20 therefore results of auditory perceptual analysis. This is an area that may warrant
21 further research.

22

23 **Measurement of reliability**

24

25 This statistical methods used to analyse reliability in this study correspond with
26 those conventionally used for measurement of categorical data (Cohen's kappa) ³⁴

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22 and interval data (ICC) ^{27 22}. As a previous study ^{1 24} utilised weighted kappa to evaluate reliability on all parameters of the STOPs, a possible limitation of this study was the use of ICCs rather than kappa to measure interval data. ICCs have been used extensively to measure reliability of pathological voice quality and for this reason were utilised in this study. However, the use of ICC is largely based on a framework of psychological testing. This framework substitutes listeners for test items and voices for test subjects and implies that a new set of raters would produce the same mean ratings for the same test voices. ³⁵. This approach has been challenged as neither representing patterns of reliability nor overall agreement for specific voice samples ³⁵. The alternative to ICC is weighted kappa. Weighted kappa addresses the issue of Cohen's kappa failing to take into account the degree of disagreement between raters by enabling greater weight to be assigned to some rater disagreements than others ³⁶. However, kappa has been criticised as less informative when used with more than 2 raters and analysing exact agreement without accounting for "close" agreement ²². In addition, with the use of kappa, variance of subjects may be an issue, as a homogenous group of subjects is more likely to show a high percentage of agreement, rather than a true reflection of reliability ²². The lack of consensus and limited evidence regarding the optimal methodology to measure rater reliability in perceptual evaluation of both laryngeal and alaryngeal voice supports the need for further research in this area.

22

23 **Conclusions**

24

25 This study investigated inter rater reliability of the Sunderland Tracheosophageal
26 Voice Perceptual Scale. The findings presented in this study supports the SToPS
27 as a reliable tool for the auditory perceptual rating of alaryngeal voice. However,

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2 it is acknowledged that further research may be required to improve levels of
3 agreement for parameters related to tonicity, articulatory precision and positive
4 features of articulation.

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