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# Copper accumulation and toxicity in earthworms exposed to CuO nanomaterials: Effects of particle coating and soil ageing

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3               nanomaterials: Effects of particle coating and soil ageing.

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17       histopathology

## 18 Abstract

19 Engineered nanomaterials (ENMs) may be functionalised with a surface coating to enhance  
20 their properties, but the ecotoxicity of the coatings and how hazard changes with ageing in  
21 soil is poorly understood. This study determined the toxic effect of CuO ENMs with different  
22 chemical coatings on the earthworm (*Eisenia fetida*) in fresh soil, and then after one year in  
23 aged soil. In both experiments, earthworms were exposed for 14 days to the CuO materials  
24 at nominal concentrations of 200 and 1000 mg Cu kg<sup>-1</sup> dry weight and compared to CuSO<sub>4</sub>.  
25 In the fresh soil experiment, CuO-COOH was found to be the most acutely toxic of the  
26 nanomaterials (survival, 20 ± 50 %), with tenfold increase of total Cu in the earthworms  
27 compared to controls. Sodium pump activity was reduced in most CuO ENM treatments,  
28 although not in the CuSO<sub>4</sub> control. There was no evidence of glutathione depletion or the  
29 induction of superoxide dismutase (SOD) activity in any treatment. Histology showed a mild  
30 hypoplasia of mucous cells in the epidermis with some nanomaterials. In the aged soil, the  
31 CuO-NH<sub>4</sub><sup>+</sup> was the most acutely toxic ENM (survival 45 ± 3 %) and Cu accumulation was  
32 lower in the earthworms than in the fresh soil study. Depletion of tissue Mn and Zn  
33 concentrations were seen in earthworms in aged soil, while no significant effects on sodium  
34 pump or total glutathione were observed. Overall, the study showed some coating-  
35 dependent differences in ENM toxicity to earthworms which also changed after a year of  
36 ageing the soil.

## 37 1. Introduction

38 Copper-based engineered nanomaterials (ENMs) are finding many applications  
39 including use as, catalysts in the manufacture of electronics (Gawande et al., 2016), wood-  
40 preservatives (Evans et al., 2008), anti-fouling paints (Anyagou et al., 2008), antimicrobials  
41 (Bogdanovic et al., 2015), and as fungicides for agriculture (Tegenaw et al., 2016). It is  
42 therefore likely that Cu-based ENMs will be released into the environment. For metals, soil  
43 quality is an important consideration for the health of terrestrial ecosystems and ecosystem  
44 services such as agriculture, as well as human health. Consequently, there are guideline  
45 values for allowable metal concentrations in soils in many countries. For Cu, there is no  
46 overall soil guideline value that has been agreed with the European Union, or  
47 internationally. However, a few countries have developed their own guidance. For example,  
48 in Canada the soil quality guideline is 63 mg Cu kg<sup>-1</sup> dry weight (dw) for an agricultural soil  
49 (CCME, 1999). The total Cu measurements in soils comprise naturally occurring Cu minerals  
50 and anthropogenic sources of Cu. The contribution of nanoforms of Cu to the overall  
51 contamination of soil is not well understood. Environmental fate modelling predicts that soil  
52 will be one of the sinks for metallic ENMs (Gottschalk et al., 2015), with modelled  
53 concentrations of CuCO<sub>3</sub> ENMs in sludge-treated soils ranging from 32 – 100 µg kg<sup>-1</sup> dw for  
54 best to worst case scenarios respectively.

55 The effects of elevated metal concentrations in soils on terrestrial organisms is well-  
56 known from decades of research on naturally occurring Cu and anthropogenic inputs of  
57 dissolved Cu (Nahmani et al., 2007). Copper can be acutely toxic to earthworms with an  
58 estimated 14-day LC<sub>50</sub> of 683 mg Cu kg<sup>-1</sup> dw soil in laboratory-spiked artificial soil (Spurgeon  
59 et al., 1994). The background copper concentration in earthworms from unpolluted soils is  
60 around 8 – 12 mg Cu kg<sup>-1</sup> dw (Streit, 1984) and this can increase to 58 mg Cu kg dw at  
61 pollutes sites, e.g., near mine smelters (in *Lumbriculus terrestris*, Ma, 2005). The EC<sub>50</sub> for  
62 cocoon production in *E. fetida* was 210 mg Cu kg<sup>-1</sup> dw in a laboratory-spiked natural sandy  
63 clay soil (Scott-Fordsmand et al., 2000).

64 The ecotoxicity of Cu-containing ENMs on earthworms has received less attention.  
65 Studies on metallic ENMs have shown that nanoparticulate forms of metals are generally  
66 less acutely toxic to soil organisms than their dissolved metal counterparts (ZnO, Heggelund

67 et al., 2014; Ag, Velicogna et al., 2016). Heckmann et al. (2011) reported that Cu  
68 nanoparticles were not acutely toxic at a nominal concentration of 1000 mg kg<sup>-1</sup> dw,  
69 although a 10 % decrease in cocoon production was observed. However, there are concerns  
70 that ENMs may dissolve in the pore water of soil or release metal ions by dissolution. Direct  
71 contact toxicity of metal particles on the surface of the earthworm, or via ingestion, are also  
72 possible routes for delivering toxic metal ions.

73 Sub-lethal effects of ENMs include declines in reproduction as measured by cocoon  
74 production and the number surviving offspring (e.g., Ag, Heckmann et al., 2011; ZnO,  
75 Heggelund et al., 2014), and avoidance of ENM contaminated soil (Ag, Velicogna et al.,  
76 2016). Earthworms show total metal accumulation from exposure to metal-containing ENMs  
77 in soil (e.g., Ag, Diez-Ortiz et al., 2015), but whether or not the metal remains in the  
78 nanoform inside the organism is not yet clear. Earthworms exposed to metal-containing  
79 ENMs show similar modes of toxicity to those well-known for dissolved metals, including  
80 oxidative stress and increased metallothionein (Ag NPs, Gomes et al., 2015). However, there  
81 is less information on the sub-lethal effects of CuO ENMs on earthworms, and the available  
82 studies have mainly used pristine or unmodified versions of the ENMs (Unrine et al., 2010).  
83 There are concerns that surface coatings may alter the toxicity of ENMs, additionally, very  
84 little is known of the toxicity of aged Cu-containing ENMs in soil. Silver ENMs were found to  
85 increase in toxicity after one year of aging (earthworms, Diez-Ortiz et al., 2015), while ZnO  
86 ENMs were found to decrease in toxicity (springtails, Waalewijn-Kool et al., 2014). These  
87 studies high-light the importance of time scales in ecotoxicological tests for ENMs.

88 The aims of the current study were to determine the sub-lethal toxicity and  
89 accumulation of Cu in earthworms from CuO contaminated soils compared to the relevant  
90 metal salt controls. The study design incorporated a range of coatings on a common CuO  
91 core to represent anionic, carboxyl group (COOH), cationic, ammonium (NH<sub>4</sub><sup>+</sup>) and organic  
92 ligands, polyethylene glycol (PEG), on the surface of the particles. In addition to survival and  
93 growth in freshly dosed soils, biochemical measurements were made to assess known  
94 mechanisms of Cu toxicity including effects on ionic regulation (tissue metal concentrations,  
95 Na<sup>+</sup>/K<sup>+</sup>-ATPase activity) and oxidative stress (total glutathione, superoxide dismutase  
96 activity), as well as evidence for histopathology in the tissues to aid interpretation of the

97 data. Having established the response to fresh soil, the soils were aged for one year, and  
98 then a second experiment conducted with selected endpoints to determine the effect of  
99 aged soil containing the aged CuO ENMs on newly exposed earthworms.

100

101

## 102 2. Methodology

103 Two experiments were conducted. The first with a freshly spiked soil and the second  
104 using the same soil after one year of ageing; referred to hereafter as fresh and aged soil  
105 experiments respectively. The experiments were conducted with a well-known Lufa 2.2 soil  
106 in a quadruplicate design at two nominal Cu concentrations (see below). Controls included  
107 unexposed animals (no added Cu or ENMs), and a metal salt control (CuSO<sub>4</sub> exposure).

### 108 2.2 Engineered nanomaterials and characterisation

109 The ENMs used in the experiments were provided by PlasmaChem as part of the  
110 Nanosolutions EU project ([www.nanosolutionsfp7.com](http://www.nanosolutionsfp7.com)). Briefly, the ENMs were supplied as  
111 dry powders and with no significant chemical impurities reported by the supplier.  
112 The functional groups that enabled the negative, neutral and positive surface coatings on  
113 the ENMs were necessarily of different molecular weight and hydrophobicity.  
114 The precise details of how the coatings were synthesised and attached to the ENM core is  
115 commercially sensitive information of the suppliers. However, for clarity, we use the term ‘–  
116 NH<sub>4</sub><sup>+</sup>’ to mean an –NH<sub>3</sub> terminal ligand that has been ionised with H<sup>+</sup> ions to achieve positive  
117 charge. The primary particle size and chemical composition of each material are reported in  
118 Table 1 from the manufacturer’s information. Further characterisation was done at  
119 Plymouth University (Table 1, supplementary Figure S1). Stock dispersions of the ENMs were  
120 freshly prepared in ultrapure deionised water (MilliQ water, Elga, 18.2 Ω) for  
121 characterisation purposes only at University of Plymouth using a standardised protocol (35  
122 kHz frequency, Fisherbrand FB 11010, Germany) for 2 h to disperse the materials, following  
123 this they were subject to nanoparticle tracking analysis (NTA, Nanosight LM10) to determine  
124 hydrodynamic diameters. Dissolution of dissolved metals was determined in ultrapure water  
125 by dialysis using the method exactly according to Besinis et al. (2014) (Table 1). Some  
126 preliminary thermogravimetric analyses (TGA) indicated, as expected, that the mass of

127 coating varied according to the type of the coating. At the time of the study, it was not  
128 technically feasible to precisely determine the proportion of the molecular mass of the  
129 entire particle due to presence of the coating with enough certainty for normalising the  
130 dosimetry for the soil experiments. Instead, pragmatically, the experiments were prepared  
131 on a Cu mass basis, accounting for the known molecular weight of oxygen in the CuO. The  
132 treatments containing ENMs are therefore named to indicate the nominal mass  
133 concentration of Cu metal in that treatment (reported as mg Cu kg<sup>-1</sup> dw from CuO ENMs  
134 hereafter). The analytical grade CuSO<sub>4</sub> used as the metal salt control (CAS 7758-98-7) is also  
135 reported as nominal total metal concentration. Unless otherwise stated, all the reagents  
136 used in the experiments were purchased from Sigma-Aldrich.

137

### 138 2.3 Stock animals

139 Adult *E. fetida* were used from an internal synchronous laboratory breeding culture  
140 held at University of Plymouth for both experiments. The test species were originally  
141 purchased from a commercial supplier (Blades Biological, Kent, UK) and kept in an artificial  
142 medium that comprises of bark chippings (1/3), Irish Moss Peat (1/3) and loamy sand topsoil  
143 (1/3) with surplus horse manure (from un-medicated horses) as feed. Animals were kept at  
144 a temperature of 20 ± 1 °C. Earthworms of between two and four months old (with a fully  
145 developed clitellum) were hand selected for the experiments. Earthworms were placed in  
146 the test soil (Lufa 2.2) one week prior to the experiment to acclimatise to the conditions and  
147 fed with clean horse manure.

148

### 149 2.4 Experimental designs and dosing of the test soil

#### 150 *Fresh Soil Experiment*

151 This experiment was conducted using an approach similar to the standard OECD TG  
152 207 the Earthworm Acute Toxicity (OECD, 1984) with some adaptations and additional  
153 endpoints. The experiment included a test soil control (no added Cu or ENMs), a metal salt  
154 control of Cu as CuSO<sub>4</sub> at 200 mg Cu kg<sup>-1</sup> dry weight (dw), the uncoated CuO ENM, and those  
155 coated with -NH<sub>4</sub><sup>+</sup>, -COOH or -PEG. For the ENMs, two test concentrations were selected  
156 based on the known toxicity of Cu and Cu ENMs to earthworms (Spurgeon et al., 1994;

157 Heckmann et al., 2011). The lower concentration of 200 mg Cu kg<sup>-1</sup> dw was chosen to be  
158 sub-lethal and around three times the expected background concentration of total Cu in  
159 European soils (the latter, ~ 60 mg Cu kg<sup>-1</sup> dw, Heijerick et al., 2006). The upper  
160 concentration of 1000 mg Cu kg<sup>-1</sup> dw was equivalent to that suggested in the limit test  
161 according to OCED (2004).

162 A standard sandy loam Lufa 2.2 (LUFASpeyer, Germany) soil was used with the  
163 following composition (supplier's information, mean ± SD, dry soil, n = not specified): pH of  
164 5.5 ± 0.2 (measured in 0.01 M CaCl<sub>2</sub> solution); organic carbon, 1.8 ± 0.2 %; nitrogen content  
165 at 0.17 ± 0.02 %; cation exchange capacity, 10.1 ± 0.2 meq 100 g<sup>-1</sup>). The water-holding  
166 capacity of the soil was measured in-house and was 41.3 ± 3.0 g 100 g<sup>-1</sup> dw. The soil used in  
167 the experiments was sieved through a 2 mm mesh and air dried at 25 °C for 2 days. Soil pH  
168 was measured (see Table S1) prior to the start and at the end of the experiment (in a 1:1  
169 soil: water slurry, using a glass combination electrode, Corning 420), in addition to the metal  
170 composition (see below).

171 The ENMs and CuSO<sub>4</sub> were mixed into the soil as dry powder and soils were then  
172 wetted to 50 – 60 % water holding capacity (WHC) with ultrapure Milli-Q water (18.2 Ω)  
173 according to OECD (1984). Dry dosing has been found to be a suitable approach for ENM  
174 additions to soils (see Handy et al., 2012). A single batch of soil was dosed, followed by  
175 dividing it into replicates. Briefly, the required amount of ENM powder or CuSO<sub>4</sub> required to  
176 dose each 4 replicates was weighed into 50 g soil, thoroughly mixed by hand for 10 minutes.  
177 This 50 g of soil was then added to the remaining amount of soil (2350 g) and mixed by hand  
178 to make sure the ENM powder was evenly distributed in the soil. The soil was left to  
179 equilibrate with the moisture for one day to minimise the risk of the ENMs changing before  
180 the worms were added.

181 Adult *E. fetida* with a typical mean starting wet weight of 5.5 ± 0.1 g (mean ± SEM,  
182 for a subsample of 12 of the initial earthworms) were exposed in 4 replicates (n = 12  
183 earthworms per container, n = 48 earthworms per treatment) at 20 ± 1 °C at 12:12 light:dark  
184 cycle. Survival and body weight of the earthworms were recorded at day 0 (start), 7 and 14  
185 of the experiment. Behavioural changes such as avoidance of soil and avoidance of  
186 burrowing into the soil were observed manually once a day in the morning in all treatments



187 (not quantified). Earthworms were collected at day 7 and 14 for Cu determination and at  
188 the end of the experiment for biochemistry and histology (see below).

189

### 190 *Aged soil experiment*

191 The soil used in this experiment was the same as used in the initial fresh soil  
192 experiment. The soil was kept for one year after the first experiment in the original test  
193 containers with pierced lids that ensured air-flow, under the same exposure conditions  
194 defined above ( $20 \pm 1$  °C, 12:12 dark:light cycle, without any further moisture or  
195 disturbance). During this time, plants had grown in the soil (from seeds already present in  
196 the natural soil and likely from the added horse manure). One week prior to the experiment  
197 the plant material (excluding roots) was removed by cutting and the soil moisture adjusted  
198 to 50 - 60 %, then soil pH measured as described above. In this more selective experiment,  
199 fewer earthworms were used (5 in each replicate, 20 per treatment) with a mean wet  
200 weight of  $1.3 \pm 0.03$  g of per exposure replicate (mean  $\pm$  SEM,  $n = 40$  treatments). Endpoints  
201 such as survival and biomass were recorded as in the fresh soil experiment. Other selected  
202 endpoints, including tissue Cu concentrations and biochemistry were measured at the end  
203 of the experiment (day 14).

204

## 205 2.5 Metal analysis in soils and earthworms

206 **To confirm** the exposure, the total concentration of Cu in the soil and the  
207 earthworms was measured. In addition, the water and acid extractable fractions of Cu in the  
208 soil were determined to inform on the extractability of Cu from soils. Total metals were  
209 determined in soil samples collected at days 0 (samples collected on the first day of  
210 exposing the earthworms) and 14. Briefly, approximately 1 g of the moist soil was collected  
211 and oven-dried (24 h at 80 °C). A sub-sample of approximately 200 mg (in triplicate for each  
212 soil container,  $n = 12$  sub-samples/treatment) was subject to acid digestion using 10 ml of  
213 *aqua regia* (3:1 mixture of 37 % HCl and 70 % HNO<sub>3</sub>) in covered Pyrex beakers at 80 °C for 1  
214 h in a fume hood (based on a method in Chen and Ma, 2001). The digests were diluted with  
215 ultrapure water prior to analysis and stored in the dark. For the total metal concentration in  
216 the earthworms,  $n = 8$  earthworms were sampled at random from all the test containers (2

217 animals/container) after 7 and 14 days (fresh soil experiment), and 14 days (aged soil  
218 experiment). The earthworms were washed in deionised water, and allowed to void their  
219 gut content on moist filter paper for 24 h. The filter paper was changed every 12 h to avoid  
220 coprophagy. After 24 h, the earthworms were rinsed, dried, and frozen at 20 °C until further  
221 analysis. . Subsequently, the earthworms were individually freeze-dried for 48 h, the dry  
222 weight recorded, followed by acid digestion at 70 °C in analytical grade 70 % HNO<sub>3</sub> for 1 h.  
223 The digests were allowed to cool, then diluted with ultrapure deionised water (18.2 Ω) and  
224 stored in the dark. Finally, the total concentration of copper was measured along with other  
225 essential elements (Ca, Fe, K, Mg, Mn, Na, Zn) to look for changes in the trace element and  
226 electrolyte composition of the earthworms by ICP-OES (iCAP 700) or ICP-MS (Thermo  
227 Scientific X Series 2) as appropriate. The samples were sonicated for 15 minutes (at 0.05 kva,  
228 50-60 Hz, 30 kHz, Ultrawave Ltd.), vortexed for 10 s, then hand shaken immediately prior to  
229 analysis to ensure good mixing. All samples were analysed against matrix-matched  
230 standards. The certified reference materials for total Cu metal reported close to the  
231 expected values and were 94 ± 1.3 % (n = 3, EnviroMAT contaminated soil, SS-1) and 93 ±  
232 4.2 % (n = 3, TORT-2, contaminated lobster hepatopancreas). Spike recovery tests of  
233 earthworm digests reported 81 ± 1.4 % of the expected value for the core CuO ENM, and 94  
234 ± 1.8 % for CuSO<sub>4</sub>. The limit of detection (LOD) of the ICP-OES and ICP-MS for Cu was  
235 equivalent to 1 mg kg<sup>-1</sup> and 0.001 mg kg<sup>-1</sup> (both soil and earthworm tissue), respectively.

236 To complement the data on total copper concentration in earthworm tissues, the  
237 extractable Cu fractions from the soils were also determined by a two-step sequential  
238 extraction of Cu from the soil samples from all treatments at day 0, based on an optimised  
239 method from Black (1965). The first extraction was with ultrapure water to reveal freely  
240 soluble Cu, followed by 0.1 M HCl (37%) for Cu bound to organic matter (Black, 1965); both  
241 at ratios of 1:10 (soil:solution) in 15 ml centrifuge tubes. The tubes were rotated for 1 h  
242 (Grant bio, PTR-60; orbital rpm 100, 250; reciprocal degree 82), followed by centrifugation  
243 at 6000 x g, both for 10 minutes. Each solution was then decanted, filtered (Whatman, 0.22  
244 µm), then acidified with 2 % HNO<sub>3</sub> and stored at room temperature in the dark until analysis  
245 by ICP-MS or -OES the same day.

246

## 247 2.6 Biochemistry

248 Biochemistry was performed on whole earthworm tissues from samples collected at the end  
249 of the experiments ( $n = 8$  per treatment), based on Boyle et al. (2014). Two earthworms  
250 from each test container were snap frozen in liquid nitrogen and stored at  $-80\text{ }^{\circ}\text{C}$  until  
251 required. The tissues of whole earthworms were diluted (1:5 ratio, weight: volume) to a  
252 final volume of 2.5 ml in ice-cold isotonic buffer (150 mM sucrose, 50 mM HEPES, 1 mM  
253 EDTA, pH 7.3) and then homogenised on ice ( $3 \times 10$  s with 2 min rests at 17,500 rpm, Cat  
254 X520D with a T6 shaft, medium speed, Bennett and Co., Weston-super-Mare). Homogenates  
255 were centrifuged at 6000 rpm for 3.5 min to remove debris and the supernatants stored at  
256  $-80\text{ }^{\circ}\text{C}$  until required. Subsequently, aliquots of the crude homogenates were further  
257 diluted in the cold isotonic buffer (recipe above, 1:10 dilution, i.e., an overall 15 fold dilution  
258 of the original tissue) due to the high protein concentration in the earthworms. The diluted  
259 homogenates were assayed in triplicate for total glutathione (GSH) (Owens and Belcher,  
260 1995), superoxide dismutase (commercial SOD assay kit, Sigma Aldrich), and  $\text{Na}^+/\text{K}^+$ -ATPase  
261 activity (McCormick 1993) as described in the Supplementary Methods S1 using the  
262 VersaMax plate reader (Molecular Devices, UK). The concentrations of GSH, SOD and  
263  $\text{Na}^+/\text{K}^+$ -ATPase activity were normalised to total protein (Pierce BCA kit, Thermo Scientific,  
264 UK). Data are expressed as nmol GSH per mg protein,  $\mu\text{mol min}^{-1}$  (IU) SOD per mg protein,  
265 and  $\mu\text{mol ADP mg}^{-1} \text{protein}^{-1}$ , for total GSH, SOD and  $\text{Na}^+/\text{K}^+$ -ATPase activity, respectively.  
266

## 267 2.7 Histology

268 Histology was conducted as previously described (van der Ploeg et al., 2014 and  
269 references therein). Six earthworms were randomly collected from each treatment, and  
270 depuration for 24 h, washed in deionised water, and then anaesthetised in 60 % carbonated  
271 water. Three earthworms from each treatment were used for routine histology. Briefly,  
272 transverse sections of earthworm were cut at three segments beneath the clitellum and  
273 three above it; then fixed in buffered formal saline and processed into wax blocks following  
274 Handy et al. (2002a). Transverse sections ( $7\text{ }\mu\text{m}$ ) were cut from each earthworm and stained  
275 with haematoxylin and eosin. Specimens were stained in batches with all treatments to  
276 avoid staining artefacts. Slides were examined using a digital micro-imaging device Leica

277 DMD 108 (Leica, UK) and analysed using Image J (version 1.50) to measure the relative  
278 thickness of the cuticle, dermis, longitudinal and circular muscles (see van der Ploeg et al.,  
279 2014).

280

## 281 2.8 Statistical Analyses

282 Statistical analyses were performed and graphs drawn using R studio software  
283 (version 2.1) and SigmaPlot (version 14.0). Data were checked for normality (Shapiro-Wilk)  
284 and homogeneity of variance (Bartlett's test for several groups and F-test for two groups).  
285 Non-parametric data were transformed ( $\log_{10}$ ) and reanalysed. Student's t-test (two-tailed,  
286 un-/paired) or Mann Whitney test were used for comparing two samples sets as  
287 appropriate. There were a few significant differences in pH between treatments in fresh and  
288 aged soil experiments, therefore an analysis of covariance (ANCOVA) was carried out for the  
289 interactive effects of pH on all endpoints. When no interactions were found, pH was  
290 omitted from the model to allow the performance of a post-hoc test. Treatment and time  
291 effects were determined using two-way-ANOVA and treatment only effects by one-way-  
292 ANOVA where appropriate, followed by the Tukey-Kramer (unequal sample size) post hoc  
293 test. Changes in biomass were analysed with repeated measures ANOVA followed by  
294 Tukey's honest significance difference (HSD) test to identify the differences. Where data  
295 transformation deemed unsuccessful, the non-parametric Kruskal-Wallis test was used  
296 followed by Dunn's test. To explore the expected biological associations known for metal  
297 toxicity (e.g., association between Cu concentration in the tissue and sodium pump  
298 inhibition), the Spearman's rank,  $r_s$ , correlations were carried out on all of the data,  
299 regardless of treatment, for a specified endpoint within each experiment. The statistical  
300 significance level ( $\alpha$ ) for all tests was set at 0.05.

301

## 302 3. Results

### 303 3.1 Soil pH

304 Soil pH is known to be a factor in metal speciation and the ecotoxicity of soils. Soil  
305 pH, varied between treatments at the beginning of the experiment (day 0) in the fresh soil

306 experiment (see Supplementary Table S1), with statistically significant differences in the  
307 initial soil pH between CuSO<sub>4</sub> treated soils (pH 4.9 ± 0.02, mean ± SEM, n = 4) and the rest of  
308 the treatments (pH range 5.3 – 5.8) including the control (pH 5.5 ± 0.1, mean ± SEM). By day  
309 14, the pH decreased in most of the treatments by a minimum of 0.2 units (Table S1),  
310 however, there was no differences from the control, except in the nominal 200 mg Cu kg<sup>-1</sup>  
311 CuO-COOH treatment (Table S1). In the aged soil experiment, the soil pH on day 0 was  
312 generally lower than in the fresh soil experiment (control soil, 5.2 ± 0.02 and CuSO<sub>4</sub> or CuO  
313 ENM treated soils 5.1 – 5.3; Table S1, *P* < 0.05, *t*-test); but there were no statistically  
314 significant differences between treatments (*P* > 0.05 ANOVA, Table S1). Soil pH was not a  
315 factor in any of the biological endpoints in either experiments (*P* > 0.05, ANCOVA), and  
316 therefore did not alter the responses of the earthworms.

### 317 3.2 Total and extractable soil copper

318 The exposure was monitored by measuring the total metal concentrations in the soil  
319 in both the fresh soil and aged soil experiments. In the former experiment, the control soil  
320 contained a background Cu concentration of 1.37 ± 0.2 mg Cu kg<sup>-1</sup> dw (mean ± SEM, *n* = 4),  
321 and of this total Cu, 5 ± 0.6 and 55 ± 5 % were water and 0.1 M HCl-extractable respectively,  
322 indicating that at least half of the total Cu was labile. Exposure to CuSO<sub>4</sub> caused the  
323 expected increase in total soil Cu concentrations that were close to the nominal values  
324 (Figure 1a). The measured Cu in the ENM-dosed soil varied depending on the type of ENM  
325 coating (Figure 1a); with the total Cu concentration in the fresh soils in the following order:  
326 Core > NH<sub>4</sub><sup>+</sup> > COOH > PEG. Regardless, the total soil Cu concentrations in the ENM  
327 treatments were much higher than the unexposed control. Despite differences in measured  
328 total soil Cu between the ENM treatments, the extractable fractions remained similar (no  
329 statistical differences), regardless of the type of coating on the ENMs (Figure 1a). The total  
330 concentration of Cu was confirmed again at the start of the aged soil experiment. The values  
331 were slightly lower in all treatments, but only significantly lower in the CuSO<sub>4</sub> and in the  
332 nominal 1000 mg Cu kg<sup>-1</sup> dw CuO-COOH and CuO- NH<sub>4</sub><sup>+</sup> treatments, compared to a year  
333 earlier (compare Figures 1a and b).

334

### 335 3.3 Total Cu concentrations in earthworm tissue

336 **Total Cu was also** measured in whole earthworms following depuration of the gut contents  
337 (Figure 2). In the fresh soil experiment, the unexposed control earthworms had Cu  
338 concentration of  $13.8 \pm 2$  mg Cu kg<sup>-1</sup> dw at the end of the experiment (day 14). In contrast,  
339 the CuSO<sub>4</sub> and the ENM treatments all showed elevated Cu concentrations compared to the  
340 control earthworms (Figure 2). There was no nano-effect on Cu accumulation with those in  
341 the CuSO<sub>4</sub> treatments being similar to the CuO core material in the fresh soil. However,  
342 there was a coating-effect within the CuO ENMs, with exposure to the CuO-PEG resulting in  
343 less total Cu in the earthworms compared to the other treatments, which was related to  
344 lower the amount of total Cu measured in soil (Figure 2). No interaction between time and  
345 treatment were observed for total Cu concentration in the earthworms in the fresh soil  
346 experiment thus only data for day 14 is shown ( $P > 0.05$ , two-way-ANOVA). **The total Cu**  
347 **concentration in the earthworms, regardless of treatment (i.e., all data for the** tissue [Cu])  
348 were correlated with the total soil Cu and extractable fractions ( $r_s$  values = 0.83-0.84,  $P <$   
349 0.05, Spearman's).

350 In the aged soil experiment (**Figure 3**), the control earthworms had an internal Cu  
351 concentration of  $9.8 \pm 0.2$  mg Cu kg<sup>-1</sup> dw. The same final Cu concentration was observed in  
352 the earthworms from the CuSO<sub>4</sub> and the CuO-Core ENM **treatments. The** only significant  
353 difference was found in the nominal 1000 mg Cu kg<sup>-1</sup> dw treatments, **where tissue** Cu  
354 concentrations were significantly higher in the CuO-COOH treatments compared to **the**  
355 **other ENMs.** The correlation between the total body burden and total soil Cu was similar to  
356 the fresh soil experiment ( $r_s$  values = 0.8,  $P < 0.05$ , Spearman's). Compared to the fresh soil  
357 study, the pattern of Cu accumulation was more uniform across the types of material within  
358 exposure concentration. Furthermore, the maximum tissue concentrations achieved were  
359 around two times lower in the nominal 1000 mg Cu kg<sup>-1</sup> dw treatments for the aged  
360 compared to fresh soils.

361

### 362 3.4 Survival, growth and behaviour

363 In the fresh soil experiment, the control worms remained healthy (100 % survival)  
364 and with no statistically significant loss of biomass over time (Table 2). Similarly, in the

365 CuSO<sub>4</sub> treatment, the exposure was sub-lethal with 90 % or more survival and no  
366 differences in biomass compared to controls. For the ENM treatments in fresh soil, the 200  
367 mg Cu kg<sup>-1</sup> dw nominal exposure had negligible effects on survival (survival > 95 %).  
368 However, for the ENMs used in the higher Cu dose (1000 mg Cu kg<sup>-1</sup> dw) some toxicity was  
369 observed, with the CuO-COOH and CuO-NH<sub>4</sub><sup>+</sup> treatments showing statistically significant  
370 mortality compared to the unexposed controls and other forms of the ENM by the end of  
371 the experiment (Table 2). Biomass also declined in all the ENM treatments compared to the  
372 controls at the 1000 mg Cu kg<sup>-1</sup> dw exposure concentration on day 7, and this persisted with  
373 often further loss of biomass in the ENM-exposed groups until the end of the experiment  
374 (Table 2). The survival, regardless of the type of Cu presented, was also positively correlated  
375 ( $r_s = 0.7$ ,  $P < 0.05$ , Spearman's) with the approximate individual wet weights (i.e., total  
376 biomass divided by the number of surviving animals) of the earthworms.

377 Some behavioural changes in the earthworms preceded toxicity. At the beginning of  
378 the experiment in the nominal 1000 mg Cu kg<sup>-1</sup> dw CuO-COOH treatment, the earthworms  
379 were avoiding the soil, rather than burrowing. While the earthworms in all the other  
380 treatments burrowed almost immediately. One day later, other behaviours were observed  
381 in the highest test concentrations of ENMs. In all ENM treatments, except for the CuO-core,  
382 the earthworms were observed bundled together; potentially to minimise contact with the  
383 soil. Furthermore, by day 7, even the earthworms in the higher CuO treatment were  
384 showing this behaviour. At the end of the experiment with fresh soils, the earthworms from  
385 the ENM treatments (except the CuO-PEG) appeared to have more soil stuck to their  
386 epidermis; potentially indicating a change in mucus secretion or hydration state. Notably,  
387 most of the earthworms from the higher CuO treatment did not survive when placed on  
388 moist filter paper in a Petri dish to dehydrate their guts overnight, indicating that the  
389 earthworms were moribund.

390 The survival of earthworms in the aged soil experiment was broadly similar to those  
391 of the experiment with fresh soil (Table 2), with the animals from the control and CuSO<sub>4</sub>  
392 treatments surviving, as well as those from the lower concentrations of the CuO ENMs  
393 regardless of coating. In the latter for the CuO ENM, the biomass even increased. However,  
394 the higher exposure concentration of the CuO-core and CuO-NH<sub>4</sub><sup>+</sup> ENMs were toxic,

395 decreasing survival compared to the controls. Biomass also showed a statistically significant  
396 decrease in all the higher concentrations of the ENMs, except for the CuO-PEG which also  
397 less toxic. Earthworm survival and approximate individual wet weight were positively  
398 correlated ( $r_s = 0.5$ ,  $P < 0.05$ , Spearman's). In contrast to the fresh soil experiment, soil  
399 avoidance was not observed at the start of the experiment, although on day 14 earthworms  
400 in all higher CuO ENM treatments, regardless of coating, were found bundled together or  
401 curled up alone as in the fresh soil experiment.

402

### 403 3.5 Sodium pump activity and tissue elemental composition

404 In the experiment with fresh soil, the control animals showed normal  $\text{Na}^+/\text{K}^+$ -ATPase  
405 activity at around  $8 \mu\text{mol ADP per mg}^{-1} \text{ protein h}^{-1}$  (Figure 4a). The earthworms exposed to  
406  $\text{CuSO}_4$  showed no change in the activity of the  $\text{Na}^+$  pump. However, of those exposed to the  
407  $200 \text{ mg Cu kg}^{-1} \text{ dw}$  of the ENMs, only the CuO-core and CuO-PEG treatments showed a  
408 statistically significant decrease in enzyme activity compared to unexposed controls or to  
409 the  $\text{CuSO}_4$  treatment. At the test concentrations of  $1000 \text{ mg Cu kg}^{-1} \text{ dw}$  of the ENMs, there  
410 was an overall trend of lower sodium pump activity compared to the controls, but at the  
411 end of the experiment, this was only statistically significant for the CuO-core, CuO-PEG and  
412  $\text{CuO-NH}_4^+$  treatments (Figure 4a). Statistically significant negative correlation was found  
413 between total Cu in earthworm tissue and  $\text{Na}^+/\text{K}^+$  ATPase activity regardless of treatment ( $r_s$   
414  $= -0.5$ ,  $P < 0.05$ , Spearman's, Figure S2). Further individual scatterplots by treatment were  
415 explored, and these revealed that exposure to the CuO-PEG ENMs did not follow a clear  
416 concentration-dependent pattern, with the  $\text{Na}^+/\text{K}^+$  ATPase activity being reduced regardless  
417 of the measured total Cu concentration in the earthworms; indicating the -PEG form as a  
418 potent inhibitor of the sodium pump. The total concentrations of tissue electrolytes ( $\text{Ca}^{2+}$ ,  
419  $\text{Mg}^{2+}$ ,  $\text{K}^+$ ,  $\text{Na}^+$ ) and trace elements (Mn, Fe and Zn) were measured in both the fresh and  
420 aged soil experiments (see supplementary Table S2). For the fresh soil experiment (Table  
421 S2), electrolyte concentrations showed no clear time or treatment-dependent statistically  
422 significant changes.

423 In contrast to the fresh soil experiment, there was no statistically significant effect of  
424 exposure to any of the treatments on the activity of the sodium pump in the aged soil



425 experiment (Figure 4c). However, in the most toxic treatment, the 1000 mg Cu kg<sup>-1</sup> dw of  
426 CuO-NH<sub>4</sub><sup>+</sup> ENM, the Na<sup>+</sup>/K<sup>+</sup>-ATPase activity also the lowest measured in the aged soil  
427 experiment (3.6 ± 0.5 μmol ADP mg protein<sup>-1</sup> hour<sup>-1</sup>), although not statistically significant. If  
428 the sodium pump activity was compared across the fresh and aged soil experiments by  
429 treatment, the 1000 mg Cu kg<sup>-1</sup> dw CuO-core and CuO-COOH treatments were significantly  
430 higher in the latter experiment (Figures 4a and c). Of the electrolytes and trace elements  
431 measured in the tissues of the earthworms in the aged soil experiment, only Zn and Mn  
432 showed statistically significant treatment-dependent changes (P < 0.05, ANOVA, Table S2).  
433 For example, the concentration of Zn in earthworm tissue was the lowest in the nominal  
434 1000 mg Cu kg<sup>-1</sup> dw CuO-NH<sub>4</sub><sup>+</sup> exposure (54 ± 4 mg Zn kg<sup>-1</sup> dw) and the concentration of Mn  
435 in earthworm tissue was lowest in the CuO-Core exposure (17.07 ± 2.72 mg Mn kg<sup>-1</sup> dw).  
436

### 437 3.6 Oxidative stress markers and histological observations

438 Total glutathione (Figure 4b) and SOD activity were also measured in the  
439 earthworms as indicators of oxidative stress. There was no clear exposure concentration-  
440 dependent depletion of total GSH within or between treatments. However, total GSH  
441 showed statistically significant increases in the 200 mg Cu kg<sup>-1</sup> dw CuO-PEG, CuO-COOH, and  
442 CuO-NH<sub>4</sub><sup>+</sup> treatments; as well as in the 1000 mg Cu kg<sup>-1</sup> dw CuO-PEG treatments compared  
443 to the unexposed or CuSO<sub>4</sub> controls. The absence of glutathione depletion in the fresh soil  
444 experiment was complemented by the absence of treatment-dependent changes in SOD  
445 activity in the earthworms. At the end of the experiment the SOD activity at the highest  
446 exposure concentrations was (mean ± SEM, n = 8) 13.3 ± 1.4, 12.2 ± 0.9, 17.7 ± 2.4, 18.7 ± 6  
447 and 18.7 ± 3.7 IU mg protein<sup>-1</sup> for the unexposed control, CuO-core, CuO-PEG, CuO-COOH  
448 and CuO-NH<sub>4</sub><sup>+</sup> respectively. Nonetheless, there was a statistically significant negative  
449 correlation between total SOD and survival at the end of the experiment regardless of  
450 treatment (r<sub>s</sub> = - 0.4, P < 0.05).

451 In the aged soil experiment, there was no evidence of glutathione depletion, and in  
452 fact, the 200 mg Cu kg<sup>-1</sup> dw, CuO-COOH and CUO-NH<sub>4</sub><sup>+</sup> treatments showed statistically  
453 significant increases in total GSH compared to unexposed controls (Figure 4d). However, no  
454 induction of total GSH was observed at the higher test concentrations in the aged soil

455 experiment. When the total glutathione response was compared to fresh and aged soils by  
456 treatment, some statistical differences are observed at the 1000 mg Cu kg<sup>-1</sup> dw test  
457 concentrations of the ENMs for the CuO-core, CuO-PEG and CuO-COOH treatments; but the  
458 direction and magnitude of the changes were not consistent by material coating across the  
459 experiments.

460 Histology was conducted at the end of the fresh soil experiment to observe any  
461 morphological evidence of inflammation or other tissue injuries that might be indicative of  
462 oxidative stress (Figure 5). This end point was restricted to the control, CuSO<sub>4</sub> treatment and  
463 the 1000 mg Cu kg<sup>-1</sup> dw of the various ENMs. Generally, earthworms from all treatments  
464 showed normal histology. All earthworms showed an intact cuticle. There was no indication  
465 of necrosis or reactive hyperplasia in the epidermis. However, for all the types of CuO ENMs  
466 (except the PEG-coated), a mild hypoplasia of mucous (goblet) cells was observed in 2/2,  
467 2/3, 3/3 animals respectively for the CuO-core, CuO-COOH, and CuO-NH<sub>4</sub><sup>+</sup> treatments  
468 compared to the controls (Figure 5). There was no loss of architecture of the circular and  
469 longitudinal muscles or other pathologies to these tissues. However, the presence of  
470 granular like pigment was observed in the circular muscle, and this was broadly the same  
471 across the CuO ENM treatments (Figure 5). There were no statistically significant differences  
472 between the thickness of the epidermis, circular or longitudinal muscle. In the absence of  
473 organ pathology in the fresh soil experiment, histology was not pursued in the aged soil  
474 study.

475

#### 476 4. Discussion

477

478 This study found that CuO ENMs can be toxic to earthworms, but the magnitude and types  
479 of effects are broadly similar to that of CuSO<sub>4</sub>. There were also some differences in the sub-  
480 lethal effects that were dependent on the type of coatings, and although the ranking of the  
481 coating effect was not consistent across biological endpoints, the CuO-COOH material was  
482 the most hazardous overall in fresh soil. Critically, both Cu toxicity and the coating-effects,  
483 changed with soil ageing. In the latter experiment, the aged soil was generally less

484 hazardous than fresh soil, but importantly the CuO-NH<sub>4</sub><sup>+</sup> now had the most effects on the  
485 earthworms.

486

#### 487 4.1 Copper exposure, extractable Cu fractions from soil and Cu accumulation in 488 earthworms.

489 Copper exposure was initially confirmed by measuring the total Cu concentrations in  
490 the soils (Figure 1). The background total Cu concentration in the Lufa 2.2 soil was as  
491 expected (~1.4 mg Cu kg<sup>-1</sup> dw, Figure 1) and comparable to previous values (e.g., 1.5 mg Cu  
492 kg<sup>-1</sup> dw, Bastos et al., 2015). In the fresh soil experiment, the measured total Cu  
493 concentrations were for the CuSO<sub>4</sub> and CuO core (uncoated) material were also close to the  
494 nominal values (Figure 1). For the coated ENMs, the total Cu varied because of uncertainty  
495 of the stoichiometry and the technical challenges in measuring the mass attributed to the  
496 coating. Thus it was expected that the measured total Cu concentrations from the soils  
497 dosed with the coated-ENMs would be lower than the equivalent CuO core treatment, but  
498 still be a Cu exposure that was much higher than the controls (as observed, Figure 1).  
499 Interestingly, the thermogravimetric analysis of the batches of the material (Table 1)  
500 indicated that the -NH<sub>4</sub><sup>+</sup>, -COOH and -PEG coatings contributed roughly 12, 22 and 46 % of  
501 the mass of the materials, and this was broadly reflected in the measured total Cu  
502 concentrations in the soil with the CuO-NH<sub>4</sub><sup>+</sup> showing the most Cu and the CuO-PEG the  
503 least.

504 Regardless of the measured total Cu concentrations in the soil, the main concern for  
505 hazard assessment is the bioavailable fraction of the metal. This is normally addressed by  
506 measuring the extractable fractions of metal from the soil (Figure 1). In the fresh soil  
507 experiment, the water extractable fraction remained very small (~ 2.3 mg Cu kg<sup>-1</sup> dw) and  
508 identical to previous reports for Cu salts (2 mg Cu kg<sup>-1</sup> dw, Scott-Fordsmand et al., 2000).  
509 However, the dilute acid-extractable fraction dominated, accounting for 50 % or much more  
510 of the total Cu, regardless of the test concentration or type of material. For solutes, the  
511 acid-extractable fraction represents the loosely bound fraction of cations on the surface of  
512 soil grains that can be eluted by simple ion exchange (i.e., exchanging H<sup>+</sup> with Cu<sup>2+</sup> in this

513 case). As expected for ion competition between solutes (see Handy and Eddy, 2004), more  
514 than half of the Cu as CuSO<sub>4</sub> was recovered. However, ENMs are not solutes (see Handy et  
515 al., 2008), and with moderate rates of Cu dissolution from the particles (Table 1), it is likely  
516 that the acid-extractable fraction here also represent a mixture of free Cu ions and intact  
517 particles removed from (low energy) agglomerates, or those loosely attached to the soil  
518 grains (e.g., by electrostatic attraction). Unfortunately, interferences from colloids already in  
519 the soil prevented any useful attempt of determining particle number concentrations in the  
520 extract by NTA to confirm this.

521 Copper exposure was mainly confirmed by measuring the total Cu concentrations in  
522 the *E. fetida*. The background Cu concentrations in the earthworms from the control soils  
523 were low (~8 - 10 mg Cu kg<sup>-1</sup> dw, Figure 2) and similar to previous reports (e.g., 8 mg Cu kg<sup>-1</sup>  
524 dw, Streit, 1994). Following the dissolved metal paradigm, in fresh soil (Figure 2), Cu from  
525 the CuSO<sub>4</sub> treatment was also accumulated in the tissues within 7 days and persisted to the  
526 end of the experiment. This was no different from the CuO core material at the nominal 200  
527 mg Cu kg<sup>-1</sup> dw exposure in fresh soil; suggesting the bioavailability of Cu from CuSO<sub>4</sub> and the  
528 uncoated CuO are similar in the conditions here (Figure 2).

529 A key concern is whether the surface coating of ENMs imparts any additional  
530 bioaccumulation risk compared to uncoated ENMs. All of the ENM treatments in the fresh  
531 soil experiment, regardless of coating, showed concentration-dependent increases in the  
532 earthworms that were generally consistent with the notion of concentration-response  
533 (Figure 2). Despite the presence of lower total Cu in the soil with the coated ENMs  
534 compared to the CuO core (Figure 2), there was generally no difference between the tissue  
535 Cu concentration in the earthworms (Figure 2). Thus, in the fresh soil, proportionally more  
536 total Cu is transferred from the soil to the earthworms, depending on the type of ENM  
537 coating. If the ratio of the measured total Cu in the soil to measured total Cu in the  
538 earthworms is considered, the values would be 0.29, 0.35, 0.74, 0.76 and 0.76 for the  
539 CuSO<sub>4</sub>, CuO-core, -PEG, -COOH and -NH<sub>4</sub><sup>+</sup> coatings respectively for the nominal 200 mg Cu  
540 kg<sup>-1</sup> dw exposure.

541 In the aged soil study, Cu accumulation in newly exposed earthworms was assessed  
542 after ageing the soils for one year. The aged soil contained the remains of any Cu from the

543 original dosing the previous year, and the pattern of total Cu concentrations were consistent  
544 with the original measurements in fresh soil (compare Figure 1b with Figure 1a), but a little  
545 lower. This is explained by the total metal removed from the soil by the earthworms in the  
546 first experiment, plus that lost to plants spontaneously growing in the soil during the year  
547 (data not shown). Nonetheless, exposure of new earthworms to the one-year aged soils for  
548 14 days did result in elevated total Cu concentrations in the worms (Figure 4), although the  
549 metal concentrations achieved in earthworms were generally less than the fresh soil  
550 experiment. However, the pattern of accumulation by material-type was generally the same  
551 (compare Figures 2 and 3). The ratios for Cu accumulation from the 200 mg Cu kg<sup>-1</sup> dw  
552 treatments in aged soil were 0.31, 0.24, 0.55, 0.54 and 0.46 for the CuSO<sub>4</sub>, CuO-core, -PEG, -  
553 COOH and -NH<sub>4</sub><sup>+</sup> coated materials respectively. The apparent accumulation factors are less  
554 than the fresh soil experiment, but the ranking has also changed with the CuO core being  
555 less available than the CuSO<sub>4</sub>. Moreover, the -PEG and -COOH coated ENMs are more  
556 available than the -NH<sub>4</sub><sup>+</sup> form. An interaction between ENM coating and soil ageing has not  
557 been previously reported. However, the finding on CuSO<sub>4</sub> are consistent with previous  
558 results of lower Cu accumulation in earthworms from aged soils (Lock and Janssen, 2003).  
559

## 560 4.2 Survival, growth and behaviour

561 Earthworms were from a healthy population as confirmed by the survival and normal  
562 behaviour of the control animals in both experiments. The nominal concentration of 200 mg  
563 Cu kg<sup>-1</sup> dw soil was intended as a sub-lethal exposure and this was the case with 92 %  
564 survival in the CuSO<sub>4</sub> treatment in the fresh soil experiment, in keeping with previous  
565 findings at the same concentration (95 % survival after 8 weeks, Spurgeon et al., 1994). The  
566 survival was also good for the animals exposed to 200 mg Cu kg<sup>-1</sup> dw soil as ENMs (95 % or  
567 more, Table 2). However, a coating-effect on survival was revealed at the higher nominal  
568 concentration of 1000 mg Cu kg<sup>-1</sup> dw soil, with the survival ranked in the following order by  
569 material in the fresh soil experiment: CuO-PEG > CuO-core > CuO-NH<sub>4</sub><sup>+</sup> > CuO-COOH (Table  
570 2). The decreases in survival were also reflected in reduced biomass (declining growth) of  
571 the *E. fetida* (Table 2). Some of the mortality and slower growth could be attributed to  
572 reduced feeding since the worms avoided burrowing at the high exposure concentrations.

573 There was also evidence of specific trace element deficiencies (see below). Similar  
574 avoidance behaviour and biomass loss has been seen in exposures to diethylene glycol  
575 (DEG) coated ZnO ENMs (~ 7.5 g kg<sup>-1</sup> loading of DEG, Laycock et al., 2017).

576 In the aged soil experiment, the animals all survived at the at the nominal 200 mg Cu  
577 kg<sup>-1</sup> dw, similar to the fresh soil study. However, unlike in fresh soil, the animals gained  
578 biomass in the aged soil (Table 2). This may be partly explained by the presence of different  
579 food in the soil, as the aged soil experiment had plant matter (roots) that the earthworms  
580 could feed on in addition to the added horse manure at the start of the aged soil  
581 experiment. However, any benefit was lost at the higher 1000 mg Cu kg<sup>-1</sup> dw nominal  
582 concentration in aged soil. The toxicity ranking by material was also different to fresh soil. In  
583 aged soil it was: CuO-PEG = CuO-COOH > CuO core > CuO-NH<sub>4</sub><sup>+</sup>; with the CuO-NH<sub>4</sub><sup>+</sup> spiked  
584 soil now the most hazardous in aged soils, even though the total Cu accumulation in the  
585 earthworms was not the highest (Figure 3). Why the ranking has changed in the aged soil is  
586 unclear.

587

#### 588 4.3 Effects on ionic regulation

589 Earthworms actively osmoregulate, but the sodium pump activity and electrolyte  
590 composition of earthworms is not often measured in studies on metal toxicity. The crude  
591 homogenates of the control earthworms had an Na<sup>+</sup>/K<sup>+</sup>-ATPase activity of 6 – 8 μmol ADP  
592 mg<sup>-1</sup> h<sup>-1</sup> (Figure 4) and this was consistent with at least one other report for *E. fetida* (4 – 8  
593 μmol Pi mg h<sup>-1</sup> in Luo et al., 2012). The tissue K<sup>+</sup> concentrations (8000 – 10 000 mg kg<sup>-1</sup> Table  
594 S2) are also comparable with previous reports (~ 8000 mg kg<sup>-1</sup>, Janssen et al., 1998).

595 Dissolved Cu is a well-known inhibitor of the Na<sup>+</sup>/K<sup>+</sup>-ATPase (Li et al., 1996), and  
596 while there was a trend of decreasing Na<sup>+</sup> pump activity, the CuSO<sub>4</sub> concentration used here  
597 were not sufficient to cause statistically significant inhibition (Figure 4). Nonetheless, some  
598 variability of tissue K<sup>+</sup> concentrations were noted in the CuSO<sub>4</sub> treatment compared to  
599 controls (Table S2) suggesting that the earthworms were on the threshold of osmotic  
600 disturbance in the fresh soil experiment. At the same nominal Cu concentration, the CuO-  
601 core or CuO-PEG inhibited the Na<sup>+</sup> pump in the fresh soil experiment, and at the highest  
602 exposure concentration all the ENMs caused inhibition of the Na<sup>+</sup>/K<sup>+</sup>-ATPase (Figure 4). The

603 CuO-core and CuO-PEG materials were the most potent, but this potency is not explained by  
604 higher Cu body burden in those treatments (Figure S2) or oxidative damage to the Na<sup>+</sup> pump  
605 (no depletion of GSH, Figure 4). Notably, this osmoregulatory hazard was not observed in  
606 the aged soil experiment with no inhibition of the Na<sup>+</sup> pump (Figure 4) or electrolyte losses  
607 (Table S2), presumably because the Cu present was less bioavailable (less Cu in the tissues,  
608 Figure 3). The mechanism of coating-dependent inhibition of the Na<sup>+</sup> pump requires further  
609 investigation, but the CuO ENMs in fresh soil do present an osmoregulatory hazard that is  
610 generally greater than the metal salt.

611 Cu is also known to have some specific interactions with other trace elements,  
612 especially Fe and Zn due to shared uptake and/or excretion pathways (see Bury and Handy,  
613 2010). No interferences with Fe were observed in either the fresh or aged soil experiments,  
614 but there were some losses of manganese and zinc. In the aged soil study, significantly  
615 lower tissue manganese was associated with CuSO<sub>4</sub> exposure and the nominal 1000 mg Cu  
616 kg<sup>-1</sup> dw ENM exposures only (more than 50 % depletion of Mn, Table S2). This was not a  
617 nutritional defect attributed to the indirect effects of Cu on Mn via Fe status (see Andersen  
618 et al., 1996), since Fe concentrations did not change (Tables S2). However, taken together,  
619 these trace element depletions could partly explain the retarded growth of the earthworms.  
620 For example, Zn concentrations in earthworms are regulated to around 80 - 120 mg kg<sup>-1</sup> and  
621 are nutritionally required (Van Gestel et al., 2010). Thus, the values reported here (~40 mg  
622 Zn kg<sup>-1</sup>, Table S2) could represent a Zn deficiency. Any solute transporter explanation of Cu  
623 out competing Zn would require the CuO ENMs to dissolve inside the earthworms. The  
624 dissolution of micromolar amounts of Cu (Table 1), if it occurred inside the worms, would  
625 stimulate these high affinity transporters. Regardless, depletion of Zn and Mn has been  
626 observed previously in studies with ENMs (TiO<sub>2</sub> in trout, Federici et al., 2007) and this  
627 phenomena requires further investigation.

628

#### 629 4.4 Absence of oxidative stress

630 The sub-lethal effects observed in the present study on growth and osmotic  
631 regulation are not explained by oxidative stress because no inflammation pathology was  
632 evident in the dermis or muscularis of the earthworms (Figure 5) and no glutathione

633 depletion was observed (Figure 4). The background total GSH in the earthworms of 16.5  
634 nmol mg<sup>-1</sup> protein (which equates to ~ 1.2 µg g<sup>-1</sup> fw) (Figure 4) were similar to previous  
635 reports of around 1.3 µg g<sup>-1</sup> fresh weight (Ribera et al., 2011). However, some induction of  
636 total GSH was observed, but only in the coated forms of the ENMs (Figure 4). Increases in  
637 total glutathione has been observed previous with *E. fetida* in response to Ag ENMs (Gomes  
638 et al., 2015). This might be interpreted as a premature defence to prevent oxidative stress,  
639 or an aspect of metal resistance since glutathione is also an intracellular Cu carrier.  
640 However, why the response occurs only with the coated materials and not the CuO core or  
641 the CuSO<sub>4</sub> is unclear.

642

#### 643 4.5 Conclusions and implications for risk assessment

644 A key concern for the risk assessment of ENMs is whether they are more hazardous  
645 than the nearest equivalent substance. In the present study the effects of CuO ENMs were  
646 broadly of the same magnitude as CuSO<sub>4</sub>, depending on the endpoint measured and the  
647 exposure duration. At environmentally-relevant total concentrations of Cu, the modes of  
648 action were also similar; with the ENMs causing ionoregulatory disturbances and Na<sup>+</sup> pump  
649 inhibition that are well-known for Cu. The CuO ENMs could cause lethal toxicity, but only at  
650 a high concentration of 1000 mg Cu kg<sup>-1</sup> dw soil, where the effects of surface coatings on the  
651 materials was also especially revealed. The hazard ranking of the coatings was not readily  
652 explained by a bioavailable fraction of metal according to the dissolved metal paradigm in  
653 soils. The ranking also changed with ageing of the soil, and the CuO-NH<sub>4</sub><sup>+</sup> ENMs became  
654 more toxic one year on. Thus, the surface coating and soil ageing should be considered in  
655 any risk assessment. However, risk assessment should use a weight of evidence approach  
656 and with only a handful of studies exploring coating-effects or soil ageing effects on  
657 earthworms so far, more data is needed to understand if the current metals risk  
658 assessments for soils will also be protective for the nano form.

#### 659 5. Conflict of interest

660 The authors declare that they have no conflicts of interest.



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669

670

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809 **Table 1.** Characterisation details of the CuO ENMs used in the experiments.

ENM variant	<sup>1</sup> Manufacturer's Information	Surface ligand	<sup>2</sup> Measured primary particle size (nm)	<sup>3</sup> NTA, hydrodynamic diameter (nm)	<sup>4</sup> TGA, degree of functionalisation (% weight loss)	<sup>5</sup> Maximum rate of dissolution in Milli Q water ( $\mu\text{g h}^{-1}$ )
CuO-core	Lot No. YF1309121, 99% purity, size 10-20 nm.	NA	$12 \pm 0.37$	$41 \pm 28$	9.2	1.68
CuO-polyethylene glycol	Lot No. YF140114, 99% purity, size 10-20 nm.	R-PEG	$7.46 \pm 0.42$	$100 \pm 36$	42.2	52.02
CuO-carboxylate	Lot No. YF140114, 99% purity, size 10-20 nm.	R-COOH	$6.45 \pm 0.16$	$121 \pm 91$	22.1	69.12
CuO-ammonium	Lot No. YF140114, 99% purity, size 10-20 nm.	R-NH <sub>3</sub> ionised with H <sup>+</sup>	$9.53 \pm 0.22$	$46 \pm 36$	11.6	18.6

810 <sup>1</sup> Supplied as dry powders for the Nanosolutions project via Alexei Antipov, PlasmaChem GmbH.

811 <sup>2</sup> Based on TEM images of CuO ENM from a 100 mg Cu l<sup>-1</sup> stocks in Milli Q water prepared at Plymouth University. Data are mean  $\pm$  S.E.M (n = 60  
812 measurements)

813 <sup>3</sup> NTA- Nanoparticle Tracking Analysis using NanoSight using 100 mg Cu l<sup>-1</sup> ENM stocks in Milli Q water at Plymouth University. Data are mean  $\pm$  S.D. n = 3  
814 samples)

815 <sup>4</sup>TGA – thermogravimetric analysis. Single measurements made on dry powders using a TGA 4000 (Perkin Elmer) under an N<sub>2</sub> flow of 20 ml  
816 min<sup>-1</sup> from 25 °C to 995 °C at a heating rate of 10 °C min<sup>-1</sup> at the University of Manchester.

817 <sup>5</sup>Maximum slope from rectangular hyperbola function of curve fitting used to estimate the maximum rate of dissolution of Cu from dialysis experiments  
818 conducted at ~~Plymouth~~ University of Plymouth.  
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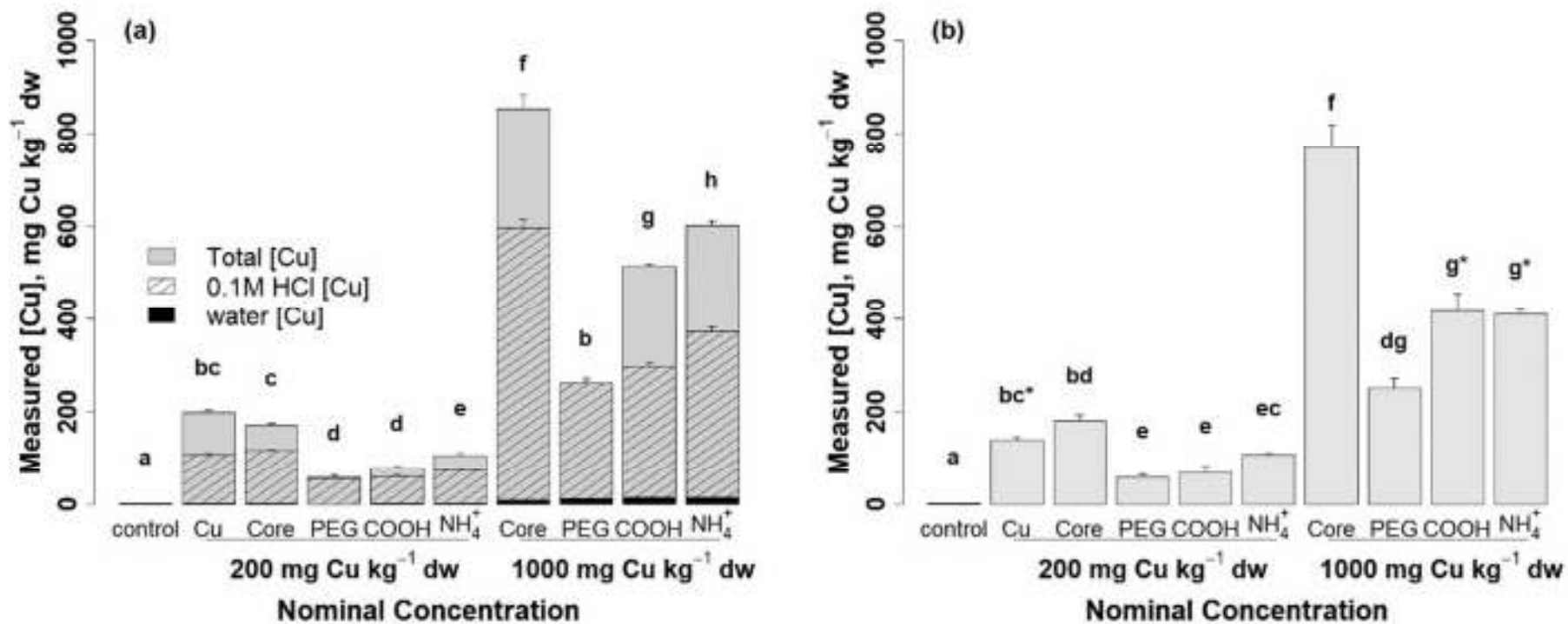
821 **Table 2.** Survival and biomass of *Eisenia fetida* following 7 and 14 days exposure to controls, CuO ENMs or CuSO<sub>4</sub> in the fresh soil and 14 days exposure in  
 822 the aged soils.

Soil [Cu],		Control		CuSO <sub>4</sub>		CuO-core		CuO-PEG		CuO-COOH		CuO-NH <sub>4</sub> <sup>+</sup>	
nominal		Survival	Biomass	Survival	Biomass	Survival	Biomass	Survival	Biomass	Survival	Biomass	Survival	Biomass
mg Cu kg <sup>-1</sup> dw													
Fresh soil, day 7													
200	Total	12 <sup>a</sup>	5.6 ± 0.3 <sup>a</sup>	12 ±	3.9 ± 0.1 <sup>b</sup>	12 <sup>a</sup>	4.6 ± 0.2 <sup>ab</sup>	12 <sup>a</sup>	5.1 ± 0.1 <sup>a</sup>	12 <sup>a</sup>	5 ± 0.1 <sup>a</sup>	12 <sup>a</sup>	5.6 ± 0.2 <sup>a</sup>
	%	100	↓7.8 ± 3.6	0.2 <sup>a</sup>	↓17.1 ±	100	↓14.8 ±	100	↓6 ± 5	100	↑1.1 ± 1.7	100	↓9 ± 1.3
				98 ± 0.1	3.5		3.8						
1000	Total					12 ± 0.2 <sup>a</sup>	3.4 ± 0.1 <sup>b</sup>	11 ± 0.4 <sup>a</sup>	3.5 ± 0.4 <sup>b</sup>	7 ± 1 <sup>b</sup>	2.1 ± 0.5 <sup>b</sup>	11 ± 1 <sup>a</sup>	3.4 ± 0.1 <sup>b</sup>
	%					97.9 ± 6	↓34.3 ±	91.7 ±	↓24.9 ± 6	56.2 ±	↓37.4 ±	87.5 ±	↓34.7 ± 2
							2.9	10.2		27.7	2.5	16.1	
Fresh soil, day 14													
200	Total	10 <sup>a</sup>	4 ± 0.2 <sup>a</sup>	9 ± 0.5 <sup>a</sup>	2.9 ± 0.2 <sup>b</sup>	10 ± 0.2 <sup>a</sup>	3.1 ± 0.2 <sup>a</sup>	10 ± 0.5 <sup>a</sup>	3.6 ± 0.3 <sup>a</sup>	10 ± 0.2 <sup>a</sup>	3.5 ± 0.1 <sup>a</sup>	10 <sup>a</sup>	4.1 ± 0.2 <sup>a</sup>
	%	100	↓21.8 ±	92 ± 12	↓22.9 ±	97.5 ±	↓29.5 ± 3	95 ± 5	↓5.6 ± 5.5	97.5 ± 6.2	↓11.6 ± 4	100	↓20.6 ± 3
			3.3		3.9	6.2							
1000	Total					7 ± 0.5 <sup>b</sup>	1.8 ± 0.2 <sup>c</sup>	9 ± 0.6 <sup>a</sup>	2.5 ± 0.4 <sup>c</sup>	2 ± 2.2 <sup>c</sup>	0.6 <sup>d</sup>	6 ± 0.8 <sup>bc</sup>	1.5 ± 0.2 <sup>c</sup>
	%					70 ± 22.6	↓40.5 ±	85 ± 16.1	↓31.7 ±	22.5 ±	↓50.8	57.5 ±	↓45.4 ±
							4.6		1.8	56.3		21.3	3.7
Aged soil, day 14													
200	Total	5 <sup>a</sup>	2 ± 0.1 <sup>a</sup>	5 <sup>a</sup>	1.3 ± 0.1 <sup>a</sup>	5 ± 0.2 <sup>a</sup>	1.4 ± 0.2 <sup>b</sup>	5 <sup>a</sup>	1.8 ±	5 <sup>a</sup>	1.8 ± 0.1 <sup>ab</sup>	5 <sup>a</sup>	1.6 ± 0.1 <sup>ab</sup>
	%	100	↑53 ± 8.4	100	↑0.1 ± 5.5	95 ± 5		100	0.04 <sup>ab</sup>	100		100	



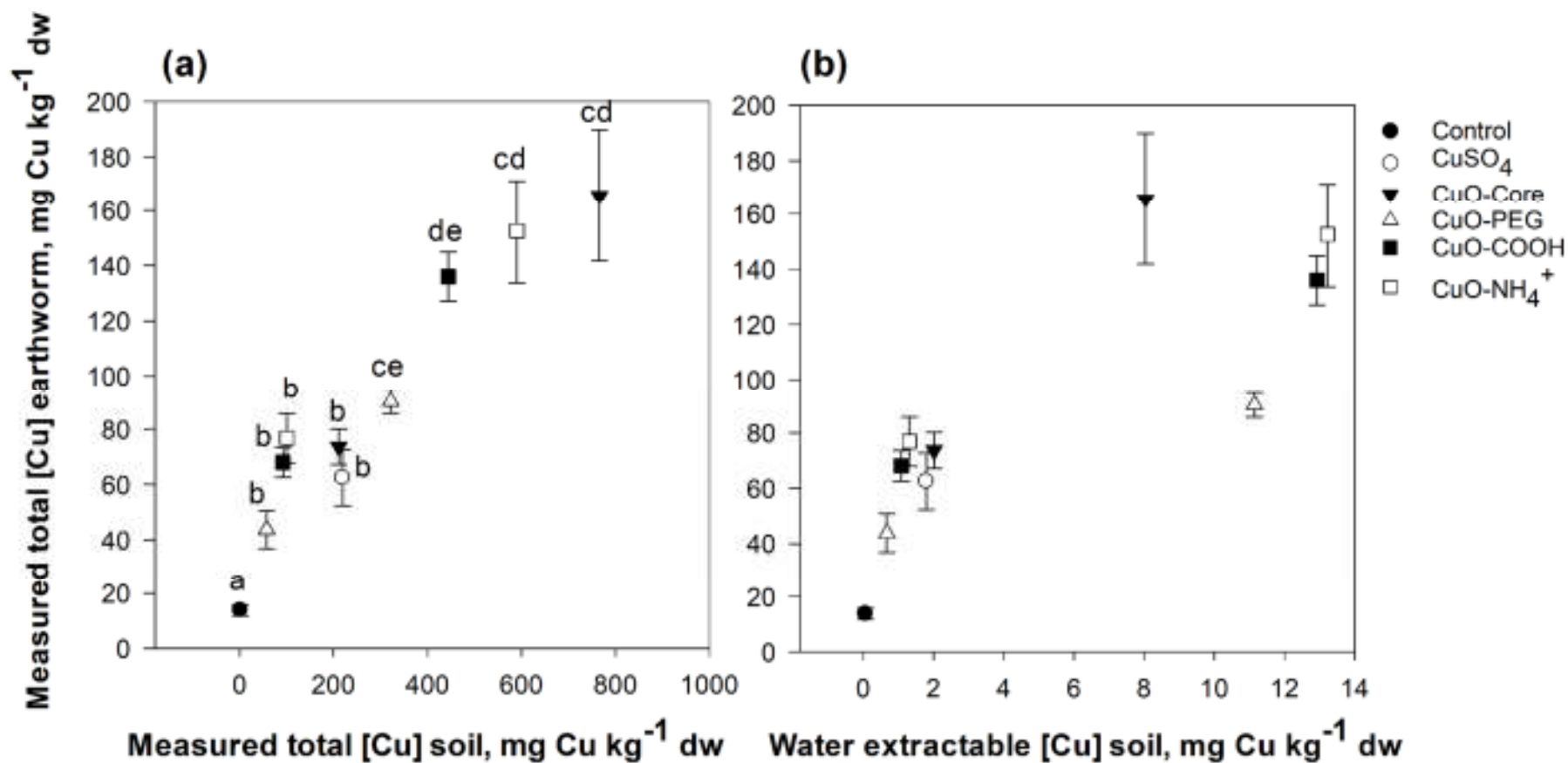
			↑29.4 ±		↑36.2 ±		↑45.8 ±		↑42.1 ±
			9.2		8.5		1.1		6.4
1000	Total	4 ± 1 <sup>b</sup>	0.7 ± 0.2 <sup>c</sup>	5 <sup>a</sup>	1.1 ± 0.1 <sup>c</sup>	5 <sup>a</sup>	1 ± 0.1 <sup>c</sup>	2 ± 0.2 <sup>b</sup>	0.2 ± 0.04 <sup>c</sup>
	%	70 ± 10	↓26.3 ±	100	↓11.4 ±	100	↓19.4 ±	30 ± 5.8	↓37.1 ±
			2.3		9.3		9.6		8.1

823 Survival is reported as the total number of earthworms per treatment (total) and as percent survival (%). Similarly, the biomass is reported as the total  
824 biomass of surviving earthworms per treatment (wet weight, mg) and the percentage weight increase or decrease relative to the animals at the start of the  
825 experiment. Data presented as mean ± SEM (n = 4 boxes of worms per treatment). Treatments that do not share a letter are statistically significantly  
826 different within rows ( $P < 0.05$  repeated measures ANOVA for biomass data or Kruskal-Wallis, ~~Dunn's test~~ for survival data).  
827 Day 0 mean wet weight was 5.5 ± 0.1 g (mean ± SEM, for a subsample of 12 of the initial earthworms, n = 40 treatments) in fresh soil experiment. Day 0  
828 mean wet weight of 1.3 ± 0.03 g of per exposure replicate (mean ± SEM, for a subsample of 5 of the initial earthworms, n = 40 treatments).  
829 ↑ Increase in wet weight relative to day 0  
830 ↓ Decrease in wet weight relative to day 0  
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 833 **Figure 1** Total Cu concentration in the soil at the beginning of the experiments (a) fresh soil, (b) soils aged for one year (Cu – CuSO<sub>4</sub>, Core – uncoated CuO  
 834 ENMs, PEG-, COOH-, NH<sub>4</sub><sup>+</sup>- coated CuO ENMs). Data expressed as mean ± SEM (*n* = 12, soil samples analysed in triplicate from the fresh soil experiment; *n* =  
 835 8, soil samples analysed in duplicate from the aged soil experiment). In panel (a) the water or 0.1M HCl extractable Cu is presented as black or dashed bars,  
 836 respectively. Treatments that do not share a letter are significantly different from the each other within each experiment (*P* < 0.05, ANOVA). Asterisks  
 837 denote a statistically significant difference between the total Cu concentrations in the fresh compared to aged soil experiment (*P* < 0.05, Mann-Whitney).  
 838 The horizontal lines indicate which treatment goes with the nominal test concentration.  
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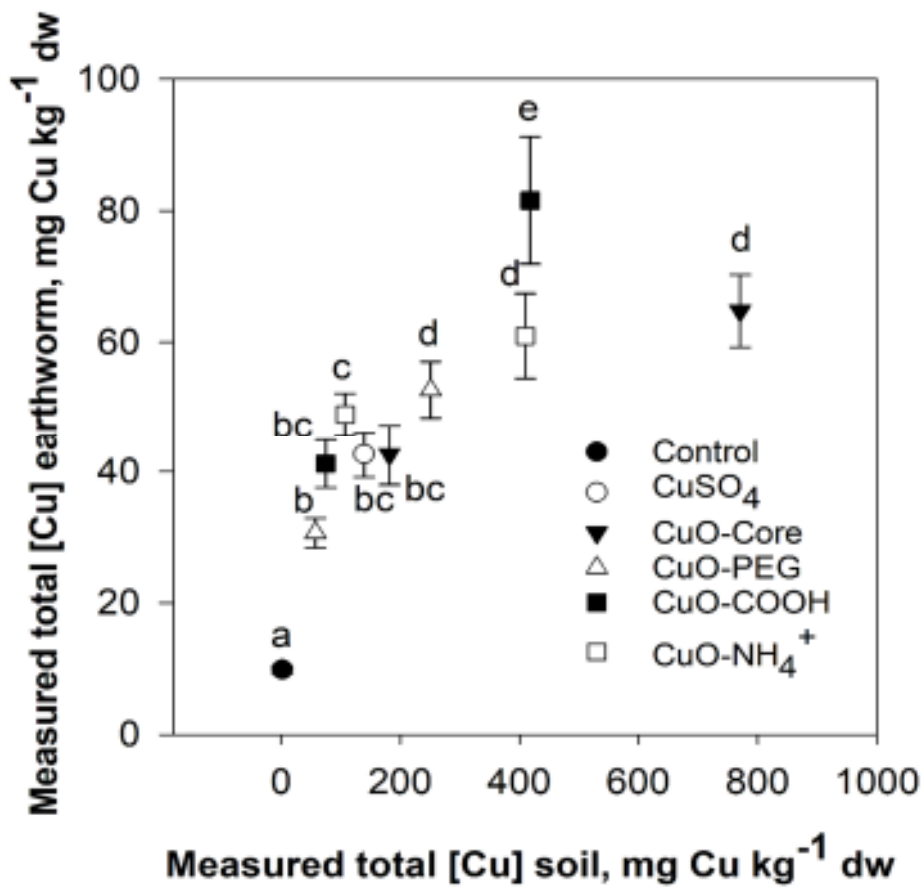
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**Figure 2** Total tissue Cu concentration of adult *Eisenia fetida* exposed for 14 days to CuSO<sub>4</sub> and CuO ENMs in the fresh soil experiment in relation to the measured total Cu concentration in soil on day 0 (a), and total water-extractable Cu concentration in soils on day 0 (b). The legend on the side applies to panel (a) and (b). Data are presented as mean ± SEM (*n* = 8 earthworms from each treatment). Treatments that do not share a letter are statistically significantly different (*P* < 0.05, ANOVA) the same data labels apply to panel (b). Statistical curves were omitted from the figures for clarity.



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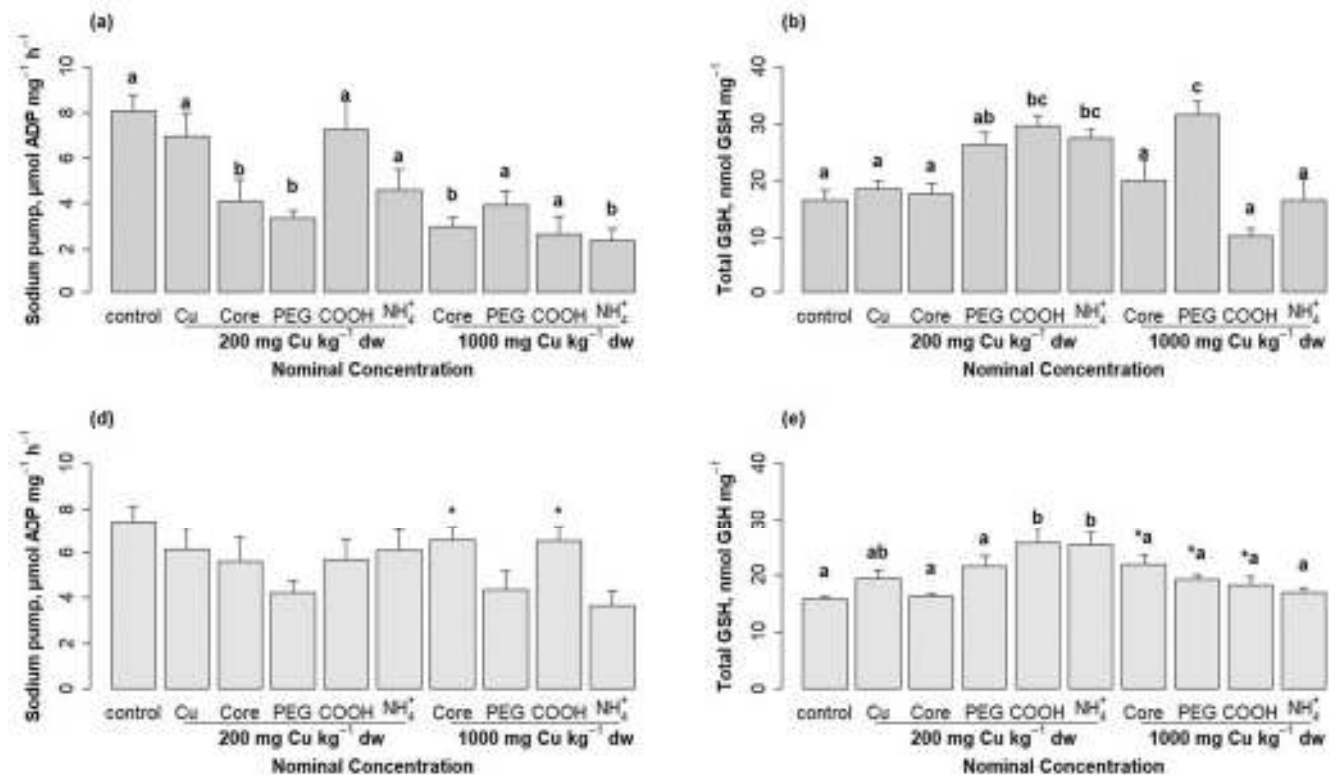
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849 **Figure 3** Total tissue Cu concentration of adult *Eisenia fetida* exposed for 14 days to CuSO<sub>4</sub> and CuO  
 850 ENMs in the aged soil (aged for 1 year) experiment in relation to the measured total Cu  
 851 concentration in soil on day 0. Data are presented as mean ± SEM ( $n = 8$  earthworms from each  
 852 treatment). Treatments that do not share a letter are statistically significantly different ( $P < 0.05$ ,  
 853 ANOVA). Statistical curves were omitted from the figures for clarity.

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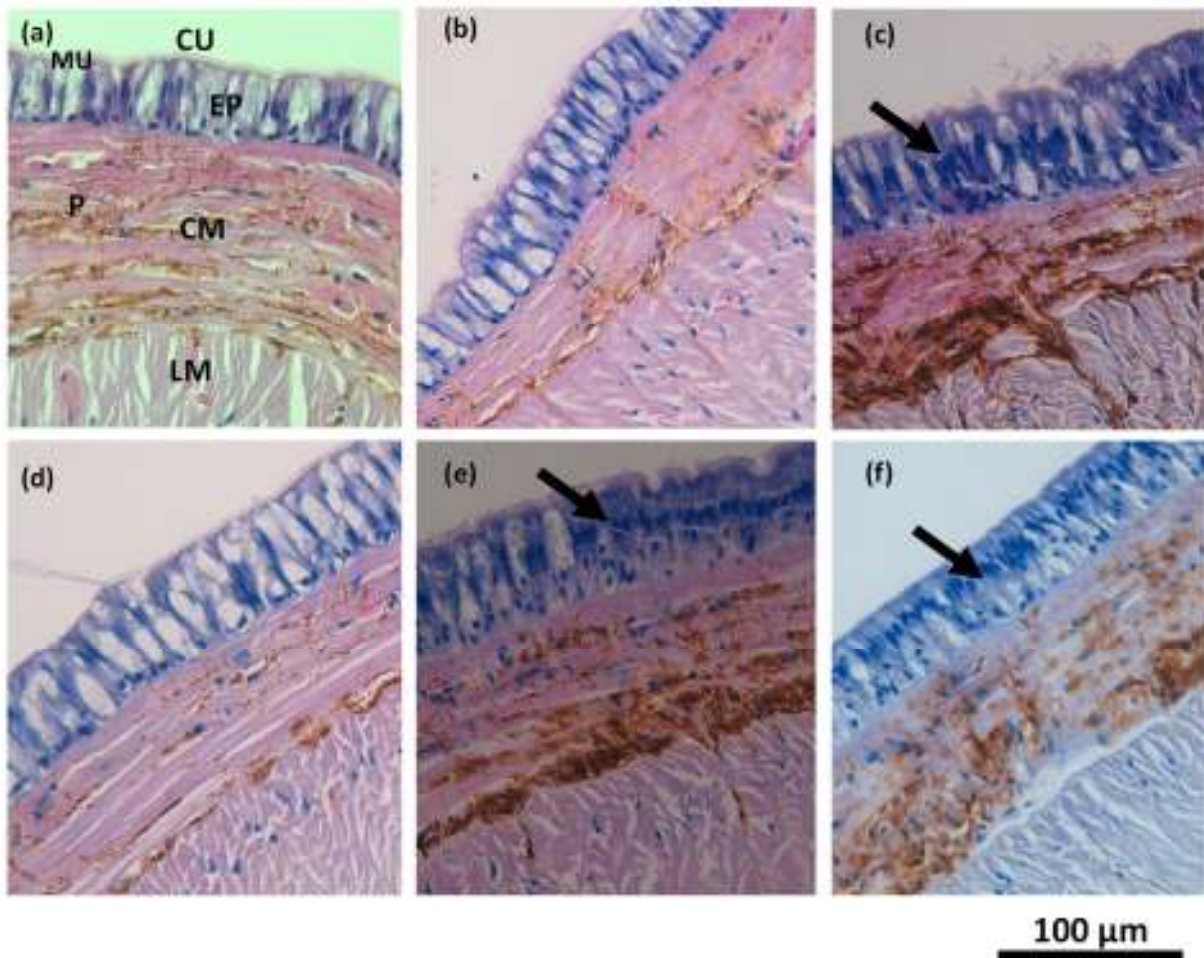


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858 **Figure 4** Biochemical responses of adult *Eisenia fetida* exposed for 14 days to CuSO<sub>4</sub> and CuO ENMs in the fresh (panels a and b) and aged soils (panels c and  
 859 d) (Cu – CuSO<sub>4</sub>, Core – uncoated CuO ENMs, PEG-, COOH-, NH<sub>4</sub><sup>+</sup> - coated CuO ENMs). Na<sup>+</sup>/K<sup>+</sup>-ATPase activity is expressed as  $\mu\text{mol}$  of ADP released per mg  
 860 protein per hour. Total glutathione is expressed as nmol per mg protein. Different letters denote statistically significant differences between treatments  
 861 irrespective of test concentration ( $P < 0.05$ , one-way ANOVA). Asterisks denotes a statistically significantly difference from their fresh soil counterpart  
 862 within treatment ( $P < 0.05$ , Mann-Whitney). Data are means  $\pm$  SEM ( $n = 8$  earthworms, or less due to high mortality which restricted the number of  
 863 earthworms for biochemistry at the high exposure concentrations).

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867 **Figure 5** Transverse sections of segments from the anterior region of *Eisenia fetida* exposed for 14  
868 days to CuSO<sub>4</sub> and CuO ENMs in the fresh soil experiment: (a) Control, (b) 200 mg Cu kg<sup>-1</sup> dw CuSO<sub>4</sub>,  
869 (c) 1000 mg Cu kg<sup>-1</sup> dw CuO-Core, (d) 1000 mg Cu kg<sup>-1</sup> dw CuO-PEG, (e) 1000 mg Cu kg<sup>-1</sup> dw CuO-  
870 COOH and (f) 1000 mg Cu kg<sup>-1</sup> dw CuO- NH<sub>4</sub><sup>+</sup> (all nominal values). CU – cuticle, EP – epidermis, CM –  
871 circular muscle, LM – longitudinal muscle, P- pigment as granular deposits, most likely porphyrins,  
872 MU – mucous cells (clear cylindrical cells with slight deposits). Arrows point to example areas of  
873 reduced number of mucous cells.

874