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# New and emerging Bruton tyrosine kinase inhibitors for treating mantle cell lymphoma - where do they fit in?

Rule, Simon

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**New and emerging Bruton tyrosine kinase inhibitors for treating mantle cell lymphoma – where do they fit in?**

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## Abstract

**Introduction:** Despite recent prognostic improvements, mantle cell lymphoma (MCL) remains incurable. Bruton tyrosine kinase (BTK) is a key receptor in B-cell tumorigenesis, and the benefits of the first BTK inhibitor, ibrutinib, are becoming clear in MCL. However, off-target activities, which contribute to ibrutinib-related adverse events, suggest potential for further improvement of this drug class.

**Areas covered:** We systematically interrogated Clinicaltrials.gov for trials containing keywords for BTK and MCL. Published literature for new and emerging BTK inhibitors being investigated in MCL was then identified (PubMed and Embase) and summarized, and placed in the context of treatment guidelines.

**Expert commentary:** Reduced off-target effects of new and emerging covalent, irreversible BTK inhibitors under investigation in patients with MCL offer the potential of improved safety compared with ibrutinib. Efficacy may also be favorable based on trial data for acalabrutinib, which has just been approved in the USA as second-line therapy for MCL. The role of BTK inhibitors in treating MCL will evolve substantially over the coming years as results from a number of trials become available, particularly in relation to potential upfront use and possible synergy with other targeted therapies such as B-cell lymphoma 2, phosphoinositide 3-kinase and checkpoint inhibitors.

**Keywords:** Bruton tyrosine kinase inhibitors; B-cell malignancies; mantle cell lymphoma; efficacy; adverse events; treatment guidelines

## 1. Introduction

Mantle cell lymphoma (MCL) is classified by the World Health Organization as a B-cell neoplasm with morphological variants of diverse clinical behavior [1]. It is an uncommon malignancy, accounting for around 6% of non-Hodgkin lymphoma (NHL) cases [2]. The incidence of MCL appears to be increasing [3,4], although this may be due to improved diagnosis rather than an increase in the actual frequency of the disease. MCL most commonly occurs in men, with a median age at diagnosis of 68 years [4]. Data support the presence of familial risk factors [5-8] and some environmental risk factors for MCL [6,9], but many associations with environmental factors seen for other NHL types have not been observed for MCL [6]. Survival and relapse rates for MCL have recently improved with the incorporation of novel agents and aggressive upfront therapeutic strategies [10,11]. However, with the possible exception of allograft, MCL is still incurable [12] and a substantial unmet need therefore remains.

Bruton tyrosine kinase (BTK) is a kinase enzyme in the B-cell receptor pathway that is critical for the initiation, survival and progression of B-cell malignancies [13-15].

The unique structure of BTK, which is characterized by a cysteine (Cys-481) within the ATP-binding pocket, has made it an attractive therapeutic target. The first realization of this potential has already materialized with the development of ibrutinib. This first-in-class, irreversible, small-molecule inhibitor of BTK covalently binds to Cys-481 [16] and is indicated for use as second-line therapy in MCL in both the USA (in patients who have received at least one prior therapy) and Europe (in relapsed or refractory disease), based on the positive results of a pivotal phase 2 trial [17,18]. Not surprisingly, numerous additional indications are also being sought for this drug in patients with MCL, including as front-line medication in combination with bendamustine plus rituximab (BR) (NCT01776840).

The clinical benefits of ibrutinib in MCL and other B-cell malignancies are becoming increasingly clear. Nevertheless, the development of additional BTK inhibitors for this disease is warranted owing to off-target inhibition of kinases by ibrutinib [16] that may contribute to the adverse events (AEs) associated with this molecule. These include atrial fibrillation, bleeding, diarrhea, rash, headache and nausea [19-23].

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3 Indeed, data from patients with chronic lymphocytic leukemia (CLL) taking ibrutinib  
4 show that AEs are a common reason for treatment discontinuation.[24,25] Here we  
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6 review the state of new and emerging BTK inhibitors under investigation in patients  
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8 with MCL, and discuss their potential placement in treatment guidelines.  
9

## 10 **2. Materials and methods**

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12 Searches were conducted in Clinicaltrials.gov to identify trials with fields containing  
13  
14 key terms for BTK inhibitors (BTK OR Bruton OR Bruton's OR Brutons) AND MCL  
15 (MCL OR mantle). Filters were applied to exclude studies that had been terminated or  
16  
17 withdrawn. It is possible that clinical trials not specifically mentioning MCL in either  
18  
19 the 'condition' or 'submitted keywords' fields in Clinicaltrials.gov may include  
20  
21 patients with MCL as part of a broader clinical trial population. However, for the  
22  
23 purpose of focusing this review, we considered an absence of MCL search terms to  
24  
25 indicate a lack of intent by the investigator and/or manufacturer to move forward into  
26  
27 MCL with their molecule. Searches were performed in PubMed (for full papers) and  
28  
29 Embase (for conference abstracts) to identify primary research articles with  
30  
31 information on new and emerging BTK inhibitor molecules identified from the  
32  
33 Clinicaltrials.gov searches. The 'hits' from these searches were screened to exclude  
34  
35 those with irrelevant study topics and study types (e.g. reviews).

## 36 **3. New and emerging BTK inhibitors in MCL**

37  
38 In total, 36 trials were identified on Clinicaltrials.gov that included search terms for  
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40 BTK inhibitors and MCL. Of these, five were excluded because search terms were  
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42 present in the introductory text but were not the focus of the clinical trial, and 21 were  
43  
44 excluded because they were investigating ibrutinib as the only BTK inhibitor. The  
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46 remaining 10 trials were confirmed as assessing new and emerging BTK inhibitor  
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48 molecules (acalabrutinib [ACP-196], BGB-3111, SNS-062, ARQ-531, M7583 and  
49  
50 CT-1530). Details of the ongoing clinical trials identified for the new and emerging  
51  
52 BTK inhibitors under investigation in MCL are provided in Table 1. Data identified  
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54 from the targeted literature searches for each of these molecules are summarized  
55  
56 below, with the exception of CT-1530 for which no published articles (full papers or  
57  
58 conference abstracts) were identified.

### 3.1. Acalabrutinib (ACP-196)

Acalabrutinib inhibits BTK by covalently and irreversibly binding to Cys-481. The  $IC_{50}$  value (concentration where the response is reduced by half) for acalabrutinib against purified BTK is 5.1 nM compared with 1.5 nM for ibrutinib [26]. In cellular assays,  $EC_{50}$  values (concentration that gives the half-maximal response) for acalabrutinib and ibrutinib for inhibition of B-cell receptor (BCR)-induced CD69 expression are 2.9 nM and 0.58 nM, respectively, in peripheral blood mononuclear cells, with comparable results in human whole blood (9.2 nM and 5.8 nM, respectively) [26]. The degree of inhibition of BCR-induced responses (BTK, S6 and ERK phosphorylation) is also similar for these molecules in primary CLL cells [27]. In mice, the  $ED_{50}$  value (dose where the measured effect occurs in at least 50% of samples) for inhibition of CD69 expression in splenocytes was 1.3 mg/kg for acalabrutinib and 2.9 mg/kg for ibrutinib [26]. Pre-clinical anti-tumor effects have been demonstrated for acalabrutinib in terms of reduced cell viability in large B-cell lymphoma cell lines [28], induction of apoptosis in primary CLL cells [27,29] and improved outcomes in a canine B-cell NHL model and two mouse CLL models [30,31]. In healthy volunteers acalabrutinib is rapidly absorbed ( $T_{max}$  [time to maximum plasma concentration of drug] of 0.5–1.0 hours), has a short half-life ( $T_{1/2}$  [time required for elimination of 50% of drug from plasma] of 0.88–2.1 hours), and reaches full target occupancy with a single 100 mg dose [26]. Robust response rates have been reported with acalabrutinib in a phase 1/2 clinical trial in both relapsed or refractory and untreated patients with CLL (NCT02029443) [32,33]. In this trial, the highest rate of BTK occupancy (98%) was observed with twice-daily dosing [33].

Acalabrutinib has fewer off-target effects than ibrutinib. In a panel of 395 non-mutant kinases, 1.5% were inhibited by at least 65% by 1  $\mu$ M acalabrutinib, compared with 8.9% for 1  $\mu$ M ibrutinib [26]. Acalabrutinib also has much lower activity than ibrutinib against kinases with a cysteine in the same position as BTK (TEC family kinases [ITK, TXK, BMX, TEC], EGFR, ERBB2, ERBB4, JAK3 and BLK) and SRC family kinases (FGR, FYN, HCK, LCK, LYN, SRC and YES1) [26]. Indeed,  $IC_{50}$  values for acalabrutinib were below 1000 nM (1  $\mu$ M) for only four kinases other than BTK (ERBB4 [16 nM], BMX [46 nM], TEC [126 nM] and TKX [368 nM]), all of which had substantially lower  $IC_{50}$  values in relation to ibrutinib (ERBB4 [3.4 nM], BMX [0.8 nM], TEC [10.0 nM] and TXK [2.0 nM]) [26]. Ibrutinib has been shown to

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3 also inhibit SRC, LCK and ITK far more potently than acalabrutinib in healthy T cells  
4 [27].  
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8 No cases of atrial fibrillation have been observed so far in the phase 1/2 clinical trial  
9 of acalabrutinib in the 61 patients with relapsed or refractory CLL, after a median  
10 follow-up period of 14.3 months (NCT02029443) [32]. Although rates of atrial  
11 fibrillation in this study would be influenced by the exclusion of patients with  
12 significant cardiovascular disease and electrocardiogram abnormalities, significant  
13 cardiovascular disease was also an exclusion criterion in an ibrutinib trial where cases  
14 of atrial fibrillation occurred in 10 out of 195 patients (5%) with relapsed or refractory  
15 CLL after a median follow-up of 9.4 months, of which six cases (3%) were grade 3 or  
16 higher (NCT01578707) [21]. In another trial in patients with relapsed or refractory  
17 CLL, where the presence of electrocardiogram abnormalities was an exclusion  
18 criterion, atrial fibrillation was reported as a serious AE in 3 (4%) of 85 patients  
19 (median follow-up: 20.9 months) (NCT01105247) [34]. In the phase 1/2 trial of  
20 acalabrutinib in CLL there have been no cases of atrial fibrillation with acalabrutinib  
21 treatment in the 72 patients with treatment-naïve CLL, at a median follow-up of 11  
22 months (NCT02029443) [33]. In a trial of 136 patients with treatment-naïve CLL  
23 receiving ibrutinib, 8 (6%) developed atrial fibrillation (1% were grade 3 or higher) at  
24 a median follow-up of 17.4 months [35]. Both studies excluded patients with  
25 significant cardiovascular disease [33,35].  
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39 No cases of major hemorrhage have been reported in the phase 1/2 clinical trial of  
40 acalabrutinib in patients with relapsed or refractory (n = 61) or treatment naïve CLL  
41 (n = 72) (NCT02029443) [32,33], with the exception of a single grade 3 gastric ulcer  
42 bleed due to aspirin use [33]. Clinical trials of ibrutinib have reported grade 3 or  
43 higher bleeding in 5% (N = 85; median follow-up: 20.9 months [NCT01105247] [34])  
44 and 1% (N = 195; median follow-up: 9.4 months [NCT01578707] [21]) of patients  
45 with relapsed or refractory CLL, and in 4% of patients with treatment naïve CLL (N =  
46 136; median follow-up: 17.4 months [NCT01722487] [35]). The latter two studies  
47 [21,35] excluded patients taking warfarin and those with a history of intracranial  
48 hemorrhage. In a trial conducted in patients with CLL or small lymphocytic  
49 lymphoma (N = 33) who discontinued ibrutinib (median treatment duration: 10.5  
50 months) owing to ibrutinib-attributable AEs, no major hemorrhage was reported with  
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3 acalabrutinib treatment at a median follow-up of 9.5 months, including among six  
4 patients who previously developed bleeding events while on ibrutinib [22].

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6 Acalabrutinib appears less likely to aggravate or precipitate AEs that lead to ibrutinib  
7 discontinuation [22,23].  
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11 Headache has been more frequent in acalabrutinib CLL trials (42–43%) [32,33] than  
12 in ibrutinib CLL trials (14–18%) [21,34]. All cases of headache reported for  
13 acalabrutinib have been grade 1 or 2, have occurred early in treatment and have  
14 generally resolved over time, and have not caused any patients to discontinue.  
15 Conversely, diarrhea and fatigue have been more common in ibrutinib CLL trials (42–  
16 49% and 28–32%, respectively [21,34,35]) than in acalabrutinib CLL trials (35–39%  
17 and <15–21%, respectively [32,33]). However, acalabrutinib and ibrutinib have not  
18 been directly compared in any head-to-head clinical trials. Such data are necessary to  
19 eliminate variations in study design between acalabrutinib and ibrutinib trials that may  
20 impact on observed differences in the rates of specific AEs.  
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29 In October 2017, the Food and Drug Administration (FDA) approved acalabrutinib  
30 for use as a single agent in patients with relapsed or refractory MCL, based on the  
31 positive results of a phase 2 study (NCT02213926: Table 1). In this trial, the overall  
32 response rate (ORR) for acalabrutinib 100 mg given twice daily to patients with MCL  
33 (N = 124) was 81% (complete response in 40%) at a median follow-up of 15.2 months  
34 (median duration of response, progression-free survival and overall survival were not  
35 reached) [36]. The ORR for ibrutinib 560 mg once daily in the pivotal trial that led to  
36 its approval in patients with relapsed or refractory MCL (N = 111) was 68%  
37 (complete response in 27%) at a median follow-up of 15.3 months (NCT01236391  
38 [18], although patients in this trial population were more heavily pre-treated and a  
39 lower proportion (14%) had low-risk simplified Mantle Cell Lymphoma International  
40 Prognostic Index scores than in the acalabrutinib MCL trial (39%) [36].  
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50 The most common ( $\geq 20\%$ ) AEs in the acalabrutinib MCL trial were headache (38%),  
51 diarrhea (31%), fatigue (27%) and myalgia (21%) [36]. There were no cases of atrial  
52 fibrillation and only one serious (grade 3) bleeding event (gastrointestinal [GI]

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55 hemorrhage in one patient with a history of a GI ulcer) [36]. In the ibrutinib MCL  
56 trial, the most common ( $\geq 20\%$ ) AEs were diarrhea (50%), fatigue (41%), nausea



(31%), peripheral edema (28%), dyspnea (27%), constipation (25%), upper-respiratory tract infection (23%) and decreased appetite (21%) [18]. Serious AEs of atrial fibrillation occurred in five patients (5%) and grade 3 bleeding events also occurred in five (5%) patients [18].

Acalabrutinib is also being investigated in combination with BR in patients with untreated MCL (NCT02717624 [phase 1] and NCT02972840 [phase 3]; Table 1), and in more 'experimental' combinations with the phosphoinositide 3-kinase inhibitor ACP-319 (NCT02328014 [phase 1/2]) and the immune 'checkpoint' inhibitor pembrolizumab (NCT02362035).

### 3.2. BGB-3111

BGB-3111 is an irreversible BTK inhibitor [37,38]. The binding site for this molecule has not (to our knowledge) been made publicly available. BGB-3111 inhibits BTK activity at unspecified nanomolar concentrations in biochemical assays and inhibits BCR-induced responses (BTK auto-phosphorylation and downstream PLC $\gamma$ 2 signalling) in several MCL and diffuse large B-cell lymphoma cell lines [39]. BGB-3111 also has anti-proliferative effects and induces apoptosis in MCL cell lines, and has similar anti-tumor activity to ibrutinib in a subcutaneous MCL xenograft mouse model, but at a much lower dosage (2.5 mg/kg twice daily) versus ibrutinib (50 mg/kg once daily) [39,40].

In a phase 1 study in patients with relapsed or refractory B-cell malignancies, bioavailability of BGB-3111 80 mg once daily was similar to that for ibrutinib 560 mg once daily [37]. In addition, a mean 24 hour BTK occupancy of 98.6% was reported with BGB-3111 40 mg once daily, compared with greater than 90% occupancy reported for acalabrutinib 200 mg once daily [41], which was itself reported as having better target coverage than ibrutinib 420 mg once daily. Of six patients in the phase 1 study who have MCL, four have had an objective response (one complete response) after a median follow-up of 227 days [37]. BGB-3111 is reported as having greater selectivity than ibrutinib for BTK versus EGFR, FGR, FRK, HER2, HER4, ITK, JAK3, LCK, BLK and TEC, although specific values were not able to be identified from the literature [37,39]. No drug-related serious AEs or AEs leading to discontinuation have been observed in the phase

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3 1 trial (N=25) of BGB-3111 in patients with relapsed or refractory B-cell  
4 malignancies, and no cases of grade 3 or 4 bleeding or of atrial fibrillation (including  
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6 in four patients with a history of atrial fibrillation) have been reported [37].  
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8  
9 Taken together, the above data indicate that BGB-3111 is a potent inhibitor of BTK,  
10 with potentially better selectivity, bioavailability and target coverage than ibrutinib.  
11 There is one ongoing phase 2 trial of BGB-3111 in patients with relapsed or refractory  
12 MCL (NCT03206970; Table 1), but no data are yet available. Although not the focus  
13  
14 of the clinical trial searches, it is worth noting that a phase 3 trial comparing  
15 BGB-3111 to ibrutinib in patients with Waldenstrom Macroglobulinemia is ongoing  
16 (NCT03053440), and should provide useful information regarding the relative toxicity  
17  
18 of these two drugs.  
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### 24 3.3. M7583

25 M7583 is an irreversible inhibitor of BTK. Where this molecule binds to BTK is not  
26 clearly described in the literature. Nevertheless, it has high potency, with an  $IC_{50}$  of  
27  
28 1.48 nM against purified BTK [42]. M7583 was found to inhibit six (2.2%) of a panel  
29 of 270 kinases by more than 50% (ZAP-70, BLK, BMX, TXK, ITK and SKG) at a  
30 concentration of 1  $\mu$ M [42], which is comparable to the level of enhanced selectivity  
31 exhibited for acalabrutinib versus ibrutinib (inhibition  $\geq 65\%$ ) of 1.5% vs 8.9 of 395  
32 kinases, respectively, at a concentration of 1  $\mu$ M) [26].  
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38 M7583 is reported as having relatively low bioavailability of 16%, a short half-life of  
39 45 minutes, and a high (but unspecified) degree of BTK occupancy after dosing in  
40 mice, and is currently being investigated in patients with relapsed or refractory B-cell  
41 malignancies in a phase 1/2 trial (NCT02825836; Table 1). At the time of reporting,  
42 preliminary data from three subjects (one with MCL) enrolled in this trial showed that  
43 all had an objective response, or stable disease and a relevant clinical benefit [43].  
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### 48 3.4. SNS-062

49 SNS-062 is a non-covalent (reversible) BTK inhibitor. The  $IC_{50}$  value for SNS-062  
50 against purified BTK is 2.9 nM [44], and this molecule has been shown to inhibit  
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52 BTK auto-phosphorylation in human whole blood and in mice [44]. In biochemical  
53 assays, SNS-062 exhibited binding to eight kinases at a concentration of less than 25  
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3 nM, including BTK (0.3 nM) and the TEC kinase family member, ITK (2.2 nM) [44].  
4 SNS-062 has been shown to decrease B-cell activation markers, viability and stromal  
5  
6 cell protection in primary CLL cells [45]. Unlike ibrutinib and acalabrutinib, SNS-062  
7  
8 maintains its potent inhibitory activity against both wild-type BTK and cysteine 481  
9 to serine (C481S)-mutated BTK [44,45].  
10

11  
12 SNS-062 is reported as having good oral bioavailability and tolerability in rats and  
13 dogs, with higher continuous drug levels and exposures than ibrutinib [44]. AEs  
14  
15 reported in a phase 1 trial of SNS-062 conducted in healthy volunteers included  
16 headache in 5 patients (25%) and nausea, bronchitis, fatigue, orthostatic hypotension,  
17  
18 and supraventricular tachycardia in one patient (4%) each [46]. There is one ongoing  
19  
20 phase 1/2 clinical trial for SNS-062 in patients with B-lymphoid malignancies  
21 (NCT03037645; Table 1). No data are yet available for this trial.  
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### 24 25 26 3.5. ARQ-531

27 ARQ-531 is a reversible inhibitor of BTK and has an IC<sub>50</sub> value of 0.85 nM [47].  
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29 Treatment with ARQ-531 inhibits BCR-induced responses (BTK, AKT and ERK  
30 phosphorylation) in primary CLL cells [48]. However, this molecule demonstrates  
31  
32 off-target activity against a number of other kinases, including the majority of SRC  
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34 and TEC family kinases [48]. ARQ-531 exhibits better activity than ibrutinib in terms  
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36 of reducing primary CLL cell viability and increasing survival times in a TCL1 mouse  
37  
38 model of leukemia [48]. In addition, ARQ-531 has similar IC<sub>50</sub> values against both  
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40 wild-type and C481S-mutated BTK [48]. Treatment with ARQ-531 also reduces the  
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42 viability of primary CLL cells from patients with C481S-mutated BTK [48], and has  
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44 anti-proliferative activity against ibrutinib-resistant SUDHL-4 cells in tissue culture  
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46 and a xenograft mouse model [47].  
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49 In a single oral dose study conducted in monkeys, the bioavailability of 10 mg/kg  
50 ARQ-531 was 72.4% [48]. This molecule is being investigated in a phase 1 trial in  
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52 patients with hematological malignancies (NCT03162536; Table 1). No published  
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54 data for this clinical trial were identified from our literature searches.

## 55 56 **4. BTK inhibitor pipeline in the context of MCL guidelines**

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3 Figure 1 shows the clinical development status of ibrutinib and new and emerging  
4 BTK inhibitors in MCL, in the context of National Comprehensive Cancer Network  
5 (NCCN) treatment guidelines [49], which are broadly similar to European guidelines  
6  
7 with regard to the use of BTK inhibitors [50]. So far, acalabrutinib is the only new  
8 BTK inhibitor to be approved in the USA for MCL. Like ibrutinib, this is in patients  
9 who have received at least one prior therapy. All other new and emerging BTK  
10 inhibitors are being investigated exclusively in this setting. However, most ongoing  
11 clinical trials for acalabrutinib and ibrutinib are exploring experimental combinations  
12 (in less-aggressive induction and second-line treatment settings) with drugs not  
13 approved in MCL, for which data presumably support some synergy with BTK  
14 inhibitors, such as B-cell lymphoma 2 (BCL-2) inhibitors [51], programmed cell death  
15 protein 1 (PD-1 [checkpoint]) inhibitors [52] and phosphoinositide 3-kinase (PI3K)  
16 inhibitors [53-55]. Only ibrutinib is being investigated in patients with MCL in the  
17 aggressive induction setting and as a possible third-line treatment following  
18 bortezomib failure or relapse following donor stem cell transplant.  
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## 29 **5. Conclusion**

30 New and emerging BTK inhibitors have the potential to further optimize the treatment  
31 of patients with this promising class of molecules. Placement of these drugs among  
32 MCL treatment guidelines is likely to evolve substantially over the coming years, as  
33 safety and efficacy data from a number of ongoing trials exploring their potential  
34 synergy with other drugs, particularly targeted therapies, become available.  
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## 40 **6. Expert commentary**

41 The available evidence indicates that covalent, irreversible BTK inhibitors being  
42 investigated in patients with MCL (acalabrutinib, BGB-311 and M7583) may have  
43 similar potency to ibrutinib, but with reduced off-target activity that could translate  
44 into improved safety and tolerability. Favourable clinical data for acalabrutinib raise  
45 the possibility that efficacy may also be enhanced, possibly as a result of more  
46 complete and continuous inhibition of BTK due to its pharmacokinetic and  
47 pharmacodynamic properties, although no head-to-head data are available and  
48 therefore differences in the trial populations used may be a factor. The potential for  
49 improved safety and tolerability seems less likely to apply to non-covalent, reversible  
50 BTK inhibitors being investigated in MCL (SNS-062 and ARQ-531), because these  
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3 molecules appear to have significant off-target activity against kinases that may be  
4 responsible for AEs associated with ibrutinib, including bleeding and atrial fibrillation  
5 [56-59]. Where the strengths of these molecules may lie is in their activity against  
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8 C481S-mutated BTK, although the clinical importance of this mutation in the  
9 development of ibrutinib resistance has recently been questioned. Nevertheless, other  
10 advantages may well exist for these molecules that cannot be easily deduced from the  
11 currently available literature. Indeed, most of the available literature on new and  
12 emerging BTK inhibitors pertains to acalabrutinib.  
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17 In any of the MCL treatment settings being investigated for ibrutinib, a BTK inhibitor  
18 with similar (or better) efficacy but an improved safety and tolerability profile would  
19 represent an attractive alternative, providing (at the very least) an avenue to continue  
20 BTK inhibitor therapy in patients who would otherwise discontinue. AEs associated  
21 with ibrutinib are a major impediment to achieving the best possible outcomes with  
22 this breakthrough class of molecules. This is particularly relevant to MCL, where the  
23 median age at onset is 68 years, meaning that the majority of patients will require less  
24 aggressive induction therapy. Both ibrutinib and acalabrutinib are being investigated  
25 in phase 3 trials in this setting in combination with BR (NCT01776840 and  
26 NCT02972840, respectively; Figure 1).  
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35 Finally, it should be noted that, while data from single arm trials do indicate a more  
36 favourable AE profile for acalabrutinib versus ibrutinib, no head-to-head data are yet  
37 available. Such data are important for addressing the potential effects of study design  
38 variation on observed safety profiles, such as exclusion criteria that could influence  
39 rates of bleeding and atrial fibrillation with these drugs. To this end, an ongoing phase  
40 3 head-to-head study of ibrutinib and acalabrutinib in patients with relapsed or  
41 refractory CLL (NCT02477696) will be particularly useful in cementing differences  
42 in the safety profiles of these drugs that have been hinted at in trials conducted to date.  
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## 48 49 50 **7. Five-Year View**

51 It is expected that several key trials in MCL will read out in the next year or two. This  
52 will include the use of BTK inhibition with BR versus BR alone (NCT01776840). If  
53 positive, then BTK inhibition will be included in the upfront treatment of patients  
54 with MCL. The next logical question is whether the chemotherapy (bendamustine)  
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3 component is required, or whether the addition of a CD20 antibody (rituximab) is  
4 sufficient. Early data from the MD Anderson Cancer Centre show a response rate of  
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6 100% has been achieved when ibrutinib plus rituximab is used upfront. A UK trial  
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8 comparing rituximab-CHEMO (Bendamustine OR CHOP [cyclophosphamide,  
9 doxorubicin, vincristine and prednisone]) with rituximab-ibrutinib as front-line  
10  
11 therapy for elderly patients is ongoing. If positive, chemotherapy-free treatment will  
12  
13 become the new standard of care for elderly patients. In the relapse setting, BTK  
14  
15 inhibition has been combined with BCL-2 inhibition (NCT02471391) and a  
16  
17 randomized phase 3 trial has started as well (NCT03112174). If positive, the BTK  
18  
19 inhibitor plus BCL-2 inhibitor combination will be a new standard in relapsed or  
20  
21 refractory MCL. It could then also be possible to have an upfront chemotherapy free  
22  
23 regimen in elderly patients that includes BTK inhibition plus BCL-2 inhibition plus  
24  
25 anti-CD20 monoclonal antibody therapy. While no such trials are currently underway,  
26  
27 one might expect their initiation in the next few years. The field is truly exciting  
28  
29 with novel agents having significant activity.

## 8. Key issues

- 30  Outcomes for patients with mantle cell lymphoma (MCL) have improved with  
31 the introduction of upfront aggressive and target therapies, but the disease  
32  
33 remains incurable with the possible exception of allograft.
- 34  The clinical benefits of ibrutinib, the first Bruton tyrosine kinase (BTK)  
35  
36 inhibitor approved in MCL (as second-line treatment), are becoming clear, but  
37  
38 adverse events due to off-target effects warrant refinement of this drug class.
- 39  The available literature suggests that new (acalabrutinib) and emerging (BGB-  
40  
41 3111, M7583) covalent, irreversible inhibitors under investigation in MCL  
42  
43 have similar potency to ibrutinib, but with fewer off-target effects.
- 44  Non-covalent, reversible BTK inhibitors under investigation in MCL (SNS-  
45  
46 062, ARQ-531) may not be as selective as covalent, irreversible inhibitors, but  
47  
48 could have activity against some ibrutinib-resistant B-cell malignancies.
- 49  Trials of acalabrutinib, which has now been approved in the USA as second-  
50  
51 line treatment for MCL, suggest that both safety and efficacy could be  
52  
53 enhanced versus ibrutinib, although no head-to-head data are yet available.  
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3 □ Placement of BTK inhibitors in MCL treatment guidelines will likely evolve  
4 substantially over the coming years, as the results of trials exploring earlier use  
5 of these drugs (e.g. as less-aggressive induction therapy), and their potential  
6 synergy with other targeted therapies, become available.  
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For Peer Review Only

**Table 1.** Details of ongoing clinical trials of new and emerging Bruton tyrosine kinase inhibitors in patients with mantle cell lymphoma.

Study ID	Intervention	Condition	Phase	Primary endpoint(s)	N	Completion <sup>a</sup>	Approvals
NCT02213926	Acalabrutinib	R/R MCL	2	ORR (at least 1 year)	124	Feb 2017	FDA (Oct 2017)
NCT02328014	Acalabrutinib + ACP-319	NHL, MM and B-ALL <sup>b</sup>	1/2	AEs (up to 1 year)	126 <sup>c</sup>	Aug 2017	None
NCT02981745	CT-1530	R/R BCNHL, CLL, MCL, WM, MZL, DFCL and DLBCL	1/2	DLTs (28 days) MTD and/or RP2D	200 <sup>c</sup>	Sep 2018	None
NCT03037645	SNS-062	R/R CLL, LL, MCL, SLL and WM	1/2	MTD and/or RP2D (up to 21 months) ORR (up to 24 months)	124 <sup>c</sup>	Sep 2018	None
NCT03206970	BGB-3111	R/R MCL	2	ORR (up to 3 years)	80 <sup>c</sup>	Nov 2018	None
NCT03162536	ARQ-531	R/R BCL, DLBCL, SLL, CLL, MCL, WM	1	AEs (up to 28 weeks) RP2D (up to 24 weeks)	120 <sup>c</sup>	Dec 2018	None
NCT02825836	M7583	R/R BCM, MCL and DLBCL	1/2	DLTs (up to 28 days) BOR (up to 6 months)	60 <sup>c</sup>	Oct 2019	None
NCT02717624	Acalabrutinib + BR	Untreated and R/R MCL	1b	TEAEs (timeframe unclear)	48 <sup>c</sup>	Feb 2021	None
NCT02362035	Acalabrutinib + Pembrolizumab	NHL, MM, HL, CLL, RS, WM <sup>b</sup>	1b/2	TEAEs (2 years)	159	Apr 2021	None
NCT02972840	Acalabrutinib + BR vs placebo + BR	Untreated MCL	3	PFS (48 months)	546 <sup>c</sup>	Oct 2022	None

AE, adverse event; B-ALL, B-cell acute lymphocytic leukemia; BCL, B-cell lymphoma; BCM, B-cell malignancies; BCNHL, B-cell non-Hodgkin lymphoma; BOR, best overall response; BR, bendamustine + rituximab; CLL, chronic lymphocytic leukemia; DFCL, diffuse follicle center lymphoma; DLBCL, diffuse large B-cell lymphoma; DLTs, dose-limiting toxicities; HL, Hodgkin lymphoma; LL, lymphoplasmacytoid lymphoma; MCL, mantle cell lymphoma; MM, multiple myeloma; MTD, maximum tolerated dose; MZL, marginal zone lymphoma; NHL, non-Hodgkin lymphoma; ORR, overall response rate; PFS, progression-free survival; RP2D, recommended phase 2 dose; R/R, relapsed or refractory; RS, Richter's syndrome; SLL, small lymphocytic leukemia; TEAE, treatment-emergent adverse event; WM, Waldenstrom macroglobulinemia.

<sup>a</sup> Estimated primary completion date.

<sup>b</sup> MCL not specifically mention among listed conditions, but was included among keywords submitted for the study.

<sup>c</sup> Estimated enrolment number.

NCCN guideline placement	Treatment	Phase 1	Phase 1/2	Phase 2	Phase 3	Approved
Aggressive induction	ibrutinib (maintenance)			NCT02242097		
	ibrutinib + R-DHAP or R-DHAOx	NCT02055924 <sup>a</sup>				
	ibrutinib + R-CHOP	NCT01569750 <sup>b</sup>				
Less aggressive induction	<b>Acalabrutinib + BR</b>	NCT02717624 <sup>a</sup>			NCT02972840	
	ibrutinib + BR				NCT01776640	
	ibrutinib + LR			NCT03232307		
	<b>Acalabrutinib + ACP-319</b>		NCT02328014 <sup>b,c</sup>			
	<b>Acalabrutinib + pembrolizumab</b>		NCT02362035 <sup>b,c</sup>			
	ibrutinib + pembrolizumab		NCT03153202 <sup>b,c</sup>			
Second-line	ibrutinib	NCT00849654 <sup>a</sup>		NCT01236391	NCT01804686	November 2013
	<b>Acalabrutinib</b>			NCT02213926		October 2017
	ibrutinib + venetoclax	NCT02419560		NCT02471391	NCT03132174	
	ibrutinib vs temsirolimus				NCT01646021	
	<b>BGB-3111</b>			NCT03209970		
	ibrutinib + R			NCT01880567		
	ibrutinib + LR	NCT02446236		NCT02460278		
	ibrutinib + olintuzumab			NCT02736617		
	<b>Acalabrutinib + ACP-319</b>		NCT02328014 <sup>b,c</sup>			
	<b>Acalabrutinib + pembrolizumab</b>		NCT02362035 <sup>b,c</sup>			
	<b>CT-1530</b>		NCT02981745 <sup>b</sup>			
	<b>SNS-062</b>		NCT03037645 <sup>b</sup>			
	<b>M783</b>		NCT02825838 <sup>b</sup>			
	<b>ARQ-531</b>		NCT03162538 <sup>b</sup>			
	ibrutinib + bortezomib		NCT02358458			
	ibrutinib + obinutuzumab + GDC-0199		NCT02558816			
	ibrutinib + ubituximab		NCT02043128 <sup>b</sup>			
	ibrutinib + ixazomib		NCT02043128 <sup>b</sup>			
	ibrutinib + pembrolizumab	NCT02043128 <sup>b</sup>				
	ibrutinib + carfilzomib	NCT02043128 <sup>b</sup>				
	ibrutinib + cimmuzumab		NCT03088878 <sup>b</sup>			
	<b>Acalabrutinib + BR</b>	NCT02717624 <sup>a</sup>				
	ibrutinib + lenalidomide	NCT01955499 <sup>b</sup>				
ibrutinib + BR	NCT01478942 <sup>b</sup>					
ibrutinib + BR + venetoclax	NCT03295240					
ibrutinib + palbociclib	NCT02159755					
ibrutinib + selinexor	NCT02303392 <sup>b</sup>					
ibrutinib + umbralisib	NCT02268851 <sup>b</sup>					
ibrutinib + buparlisib	NCT02756247 <sup>b</sup>					
Third-line and beyond	ibrutinib (after bortezomib)			NCT01599949		
	ibrutinib (after DSCT)			NCT01599633 <sup>b</sup>		

BR, bendamustine + rituximab; DSCT, donor stem cell transplant; L/R, lenalidomide/rituximab; NCCN, National Comprehensive Cancer Network; R-CHOP, rituximab + cyclophosphamide + doxorubicin + vincristine + prednisone; R-DHAP, rituximab + dexamethasone + cytarabine + cisplatin; R-DHAOx, rituximab + dexamethasone + cytarabine + oxaliplatin

<sup>a</sup> Includes treated and untreated patients.

<sup>b</sup> MCL not specifically mentioned among listed conditions, but was included among keywords submitted for the study.

<sup>c</sup> Malignancies other than MCL also included in the trial population.

**Figure 1.** Trials of bruton tyrosine kinase (BTK) inhibitors in the context of National Comprehensive Cancer Network (NCCN) guidelines for the treatment of mantle cell lymphoma (MCL). New and emerging BTK inhibitors are highlighted in bold black text. Red text indicates drugs that are being tested in combination with BTK inhibitors that are not currently recommended anywhere in NCCN treatment guidelines for MCL. Molecules within blue (rather than green) arrows are BTK inhibitors where there is reasonable evidence of enhanced BTK selectivity that could translate into improved safety and tolerability over ibrutinib in an equivalent indication under investigation. Clinical trials of new and emerging BTK inhibitors were identified from the results of the initial ClinicalTrials.gov search (presented in Table 1). Clinical trials of ibrutinib were identified using a supplementary search for trials including terms for ibrutinib AND MCL. Unless otherwise indicated, trials are in patients with MCL only.



NCCN guideline placement	Treatment	Phase 1	Phase 1/2	Phase 2	Phase 3	Approved
Aggressive induction	Ibrutinib (maintenance)			NCT02242097		
	Ibrutinib + R-DHAP or R-DHAOx	NCT02055924 <sup>c</sup>				
	Ibrutinib + R-CHOP	NCT01569750 <sup>c</sup>				
Less aggressive induction	<b>Acalabrutinib + BR</b>	NCT02717624 <sup>a</sup>			NCT02972840	
	Ibrutinib + BR				NCT01776840	
	Ibrutinib + LR			NCT03232307		
	<b>Acalabrutinib + ACP-319</b>		NCT02328014 <sup>a,b</sup>			
	<b>Acalabrutinib + pembrolizumab</b>		NCT02362035 <sup>a,b</sup>			
Second-line	Ibrutinib + pembrolizumab		NCT03153202 <sup>a,c</sup>			
	Ibrutinib	NCT00849654 <sup>b</sup>		NCT01236391	NCT01804686	November 2013
	<b>Acalabrutinib</b>			NCT02213926		October 2017
	Ibrutinib + venetoclax	NCT02419560		NCT02471391	NCT03112174	
	Ibrutinib vs temsirolimus				NCT01646021	
	<b>BGB-3111</b>			NCT03206970		
	Ibrutinib + R			NCT01880567		
	Ibrutinib + LR	NCT02446236			NCT02460276	
	Ibrutinib + obinutuzumab			NCT02736617		
	<b>Acalabrutinib + ACP-319</b>		NCT02328014 <sup>a,b</sup>			
	<b>Acalabrutinib + pembrolizumab</b>		NCT02362035 <sup>a,b</sup>			
	<b>CT-1530</b>			NCT02981745 <sup>b</sup>		
	<b>SNS-062</b>			NCT03037645 <sup>c</sup>		
	<b>M7583</b>			NCT02825836 <sup>c</sup>		
	<b>ARQ-531</b>			NCT03162536 <sup>c</sup>		
	Ibrutinib + bortezomib			NCT02356458		
	Ibrutinib + obinutuzumab + GDC-0199			NCT02558816		
	Ibrutinib + ublituximab			NCT02013128 <sup>c</sup>		
	Ibrutinib + Ixazomib			NCT03323151		
	Ibrutinib + pembrolizumab	NCT02950220 <sup>c</sup>			NCT03153202 <sup>a,c</sup>	
	Ibrutinib + carfilzomib			NCT02269085		
	Ibrutinib + cirmutuzumab			NCT03088878 <sup>c</sup>		
	<b>Acalabrutinib + BR</b>	NCT02717624 <sup>a</sup>				
	Ibrutinib + lenalidomide	NCT01955499 <sup>c</sup>				
	Ibrutinib + BR	NCT01479842 <sup>c</sup>				
	Ibrutinib + BR + venetoclax	NCT03295240				
	Ibrutinib + palbociclib	NCT02159755				
Ibrutinib + selinexor	NCT02303392 <sup>c</sup>					
Ibrutinib + umbralisib	NCT02268851 <sup>c</sup>					
Ibrutinib + buparlisib	NCT02756247 <sup>c</sup>					
Third-line and beyond	Ibrutinib (after bortezomib)			NCT01599949		
	Ibrutinib (after DSCT)			NCT02869633 <sup>c</sup>		

BR, bendamustine + rituximab; DSCT, donor stem cell transplant; L/R, lenalidomide/rituximab; NCCN, National Comprehensive Cancer Network; R-CHOP, rituximab + cyclophosphamide + doxorubicin + vincristine + prednisone; R DHAP, rituximab + dexamethasone + cytarabine + cisplatin; R-DHAOx, rituximab + dexamethasone + cytarabine + oxaliplatin

a Includes treated and untreated patients.

b MCL not specifically mention among listed conditions, but was included among keywords submitted for the study.

c Malignancies other than MCL also included in the trial population.

Figure 1. Trials of bruton tyrosine kinase (BTK) inhibitors in the context of National Comprehensive Cancer Network (NCCN) guidelines for the treatment of mantle cell lymphoma (MCL). New and emerging BTK inhibitors are highlighted in bold black text. Red text indicates drugs that are being tested in combination with BTK inhibitors that are not currently recommended anywhere in NCCN treatment guidelines for MCL.

Molecules within blue (rather than green) arrows are BTK inhibitors where there is reasonable evidence of enhanced BTK selectivity that could translate into improved safety and tolerability over ibrutinib in an equivalent indication under investigation. Clinical trials of new and emerging BTK inhibitors were identified from the results of the initial ClinicalTrials.gov search (presented in Table 1). Clinical trials of ibrutinib were identified using a supplementary search for trials including terms for ibrutinib AND MCL. Unless otherwise

168x154mm (300 x 300 DPI)



indicated, trials are in patients with MCL only.

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