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# Toolbox for super-structured and super-structure free multi-disciplinary building spatial design optimisation

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## Abstract

Multi-disciplinary optimisation of building spatial designs is characterised by large solution spaces. Here two approaches are introduced, one being super-structured and the other super-structure free. Both are different in nature and perform differently for large solution spaces and each requires its own representation of a building spatial design, which are also presented here. A method to combine the two approaches is proposed, because the two are prospected to supplement each other. Accordingly a toolbox is presented, which can evaluate the structural and thermal performances of a building spatial design to provide a user with the means to define optimisation procedures. A demonstration of the toolbox is given where the toolbox has been used for an elementary implementation of a simulation of co-evolutionary design processes. The optimisation approaches and the toolbox that are presented in this paper will be used in future efforts for research into- and development of optimisation methods for multi-disciplinary building spatial design optimisation.

*Keywords:* Building optimisation, Multi-disciplinary optimisation, Super-structures, Structural design, Building physics

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## 1. Introduction

Many engineers in the built environment experience optimisation as a challenging task. This is because it is usually a time consuming trial-and-error procedure, in which knowledge and experience are first needed to create designs, which are then assessed and possibly modified. Many research projects involve the development of optimisation methods to create and analyse designs to aid engineers. These developments concern advanced optimisation methods, often specialised to small sub problems (for a single discipline) in the design process. Such a specialisation exists because building spatial design problems are too large for a single design tool. Engineers are therefore invaluable to the design process since their experience can reduce a design problem drastically. However, it cannot be expected that an individual engineer oversees the complete design problem, and thus complex relationships between the disciplines might go unnoticed, leading to suboptimal designs. For this, multi-disciplinary building optimisation could be supportive, but it needs a method to handle the large design search spaces involved. This paper aims at the development of

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13 such a method by means of a toolbox that is presented here and asks the question of how to represent design  
14 search spaces such that optimisation methods find efficient solutions. This paper is an extension of [1], in  
15 addition to the contribution in [1] (a consideration and proposition for building spatial design optimisation)  
16 this paper discusses: a toolbox for building spatial design optimisation; and a toolbox demonstration.

17 Prior to reading this paper it is important to understand the terminology concerning optimisation and  
18 data structures in optimisation. Optimisation aims to minimise or maximise an objective value by the  
19 variation of design variables, while at the same time satisfying certain constraints. What is important for  
20 optimisation is the representation of the design search space, which is the selection of design variables that  
21 are used to parametrise the solutions for the problem (design variables not part of the selection are constant  
22 or depend on the representation itself). The representation affects the possibilities and performance of the  
23 optimisation methods, e.g. a complex dynamic data structure might be too difficult to handle by most types  
24 of optimisation methods. In this paper, terminology will be used as found for optimal process synthesis  
25 in chemical engineering, where super-structure representations are distinguished from super-structure free  
26 representations [2]. In a super-structure, the design search space has a fixed number of design variables,  
27 meaning all design alternatives are pre-encoded, which makes for a static data structure. This enables the  
28 search for an optimum in a systematic manner by using classical parameter-based optimisation methods.  
29 Super-structure free optimisation uses a design search space in which new design variables may originate or  
30 disappear, which can be seen as a dynamic data structure. Such a design search space allows for discovering  
31 unexpected new alternatives that were not pre-encoded. Typically, super-structures allow for formulating  
32 optimisation problems in the language of mathematical programming (using equations and inequalities).  
33 Free representations are formulated differently, for instance by describing initialisation procedures and vari-  
34 ation operators that form the design search space. The difference between super-structure versus super-  
35 structure free approaches is a recurrent theme in specific fields of optimisation [2], whereas this topic has  
36 hardly been addressed for building design.

37 The design search space used in this paper entails the layout and dimensioning of building spaces, i.e.  
38 the building spatial design. For this design search space, a super-structure and a super-structure free ap-  
39 proach have been developed and compared. Moreover, a method to carry out transformations between the  
40 two representations will be discussed, which is envisioned to enable both approaches to efficiently cooperate  
41 on a large design space. Finally a toolbox is presented, which is created to develop and investigate different  
42 methods of building spatial design optimisation.

## 43 **2. Related work**

44 In the literature, research on building optimisation can be found that takes into account objectives con-  
45 cerning energy consumption, as is carried out in [3, 4]; structural design in [5, 6, 7]; construction costs in  
46 [8]; and thermal building design [9, 10]. Also, optimisation is thoughtfully combined with Building Infor-  
47 mation Modelling [11, 12, 13]. Different energy performance criteria are combined in [14, 15].

48 A commonly used optimisation method is evolutionary optimisation, where design variables are stored  
49 in a so called genome that can be modified by means of mutation and recombination operators. Other op-  
50 timisation methods are applied as well, like gradient-based optimisation for topology optimisation in [16],  
51 or the analytical derivation of optimal truss layouts in [17]. The use of optimisation methods for building  
52 performance optimisation is however still not widespread and many issues need to be solved. One difficulty  
53 is to allow for more degrees of freedom in the optimisation. This is addressed in this paper by defining  
54 design search space representations that allow for variations of the (global) building spatial design.

55 The super-structure terminology finds its origins in the process industry, where the optimal configura-  
56 tions of chemical engineering plants are sought. For example, Jackson [18] described the structure of flow

57 configurations of chemical reactors with a super-structure, although without explicitly mentioning the term.  
58 Various recent works [19, 20, 21] use the terminology for other engineering fields too. A super-structure  
59 prescribes the possible design alternatives to be considered in optimisation, which results in a selection of  
60 alternatives. This limited and fixed number of alternatives improves the chance of finding the global opti-  
61 mum. A super-structure enables an optimisation problem to be solved by mathematical programming, for  
62 which standard solvers exist (e.g. [22]).

63 Super-structure free optimisation has been suggested to overcome the limitations of super-structures  
64 for designing chemical process configurations. Emmerich et al [23] propose to use replacement, insertion,  
65 and deletion rules to modify (mutate, recombine) designs in evolutionary algorithms. However, the devel-  
66 opment of these local modification operators requires domain knowledge. Voll et al. [2] suggest a more  
67 general framework that uses generic replacement rules in evolutionary algorithms. A similar strategy is  
68 followed in [24], where it is exemplified for the optimisation of decision diagrams. Other examples of  
69 super-structure free design spaces include the work found in [25, 6]. There are only a few optimisation  
70 methods that can handle super-structure free representations, namely simulated annealing, evolutionary al-  
71 gorithms, and heuristic local searches. Simulated annealing has been used in the design of processes, e.g.  
72 in [26]. In the field of structural design, [27] describes a super-structure free approach in the optimisation of  
73 structural topologies. Moreover, in [28] simulations of a co-evolutionary design process (these simulations  
74 can also be interpreted as asymmetric subspace optimisation [29]) are used to find a building spatial design  
75 for which a structural design created by certain design rules shows minimal strain energy.

### 76 3. Building optimisation representations

77 A building spatial design representation determines—to a large extent—the design space of the building  
78 spatial design problem. Designs can be constrained by how they are represented e.g. a representation that  
79 is restricted to orthogonal shapes cannot represent curves in a building design. Optimisation efficiency  
80 and success is dependent on the solution space (i.e. design space), therefore it is important to consider  
81 the used representation for building design optimisation. In this section two representations are suggested,  
82 the supercube representation and the movable and sizeable representation, which are based on the super-  
83 structured and the super-structure free approaches respectively.

#### 84 3.1. Super-structure based representation

85 *Design search space.* A supercube (SC) is introduced to describe a building spatial design  $B$  by means  
86 of a super-structure design search space representation. A supercube consisting of cells is described by  
87 four vectors:  $\mathbf{w}$ ,  $\mathbf{d}$ ,  $\mathbf{h}$ ,  $\mathbf{b}$ . Equation 1 shows the variables used. Here  $\mathbf{b}$  describes the existence of the cell with  
88 indices  $i$ ,  $j$  and  $k$  in space  $\ell$ , where  $b_{i,j,k}^\ell$  with a value "1" means the cell  $i, j, k$  is active and describes a part of  
89 space  $\ell$  while "0" means the cell is inactive. A space  $\ell$  can thus be constructed out of the supercube cells that  
90 are activated for that space. Finally,  $w_i$ ,  $d_j$  and  $h_k$  describe the continuous dimensioning of the supercube's  
91 cells. The entire supercube is used to perform design modification, therefore the complete design space is  
92 described by the vectors  $\mathbf{w}$ ,  $\mathbf{d}$ ,  $\mathbf{h}$  and  $\mathbf{b}$ . Figure 1 shows the supercube notation for an example building  
93 spatial design. Building spaces are indicated by normal lines (and coarsely dashed hidden lines), whereas  
94 cells can be recognised by finely dotted lines. Each cell in the figure has a number in the left front corner  
95 that indicates the building space it belongs to.

$\mathbf{w} \{w_1, w_2, w_3, w_4\},$

$\mathbf{d} \{d_1, d_2\}, \mathbf{h}_k \{h_1\},$

$\mathbf{b} \{\mathbf{b}^1, \mathbf{b}^2, \mathbf{b}^3, \mathbf{b}^4\}$

$\mathbf{b}^1 \{1, 0, 0, 0, 0, 0, 0, 0\},$

$\mathbf{b}^2 \{0, 0, 1, 0, 1, 0, 0, 0\},$

$\mathbf{b}^3 \{0, 1, 0, 0, 0, 0, 0, 0\},$

$\mathbf{b}^4 \{0, 0, 0, 1, 0, 1, 0, 0\}$

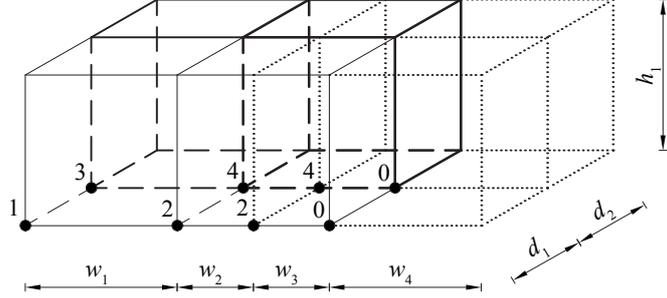


Figure 1: Supercube representation of a building spatial design, space 2 and 4 are described by two cells each, the two right cells are not used to describe a room

$$\begin{aligned}
 i &\in \{1, 2, \dots, N_w\} & w_i &\in \mathbb{R} \\
 j &\in \{1, 2, \dots, N_d\} & d_j &\in \mathbb{R} \\
 k &\in \{1, 2, \dots, N_h\} & h_k &\in \mathbb{R} \\
 \ell &\in \{1, 2, \dots, N_{spaces}\} & b_{i,j,k}^\ell &= \begin{cases} 1, & \text{if cell } i,j,k \in \text{space } \ell \\ 0, & \text{otherwise} \end{cases}
 \end{aligned} \tag{1}$$

96 *Constraints and design modification.* Building spatial design modification is performed by re-assigning  
 97 cells to building spaces through changes of the binary variables and by modifying distance values of the su-  
 98 percube's grid. Constraints are introduced to the design search space so the search can focus on physically  
 99 and technically feasible solutions. Constraints can be checked by algorithms or, when stated as equations,  
 100 they can be part of the selection and generation of solutions. Stating constraints as equations has the advan-  
 101 tage that their algebraic structure can be exploited by the employed optimisation algorithms. The supercube  
 102 representation is suitable for such algebraic expression of constraints, three constraints are presented here to  
 103 demonstrate this suitability. The expressions enable the use of mathematical programming techniques like  
 104 mixed integer non-linear programming (MINLP) which contribute to the efficiency of the optimisation. It  
 105 should be noted that there may be differences between constraint representations and constraint implemen-  
 106 tations (not shown here). For example only "1"-values in binary variables are stored in memory to avoid  
 107 inefficient constraint checking by large zero spaces in vector  $\mathbf{b}$ .

108 **Condition 1: Non Overlap** Overlaps of building spaces are not allowed since they are not practical  
 109 and might cause erroneous results in subsequent design analysis. This needs to be checked because every  
 110 space is represented by a separate bit-mask (enumerated by  $\ell$ ) of all cells in the supercube, thus non-overlap  
 111 is not automatically prevented in the representation. Equation 2 achieves this by taking the sum of each cell  
 112 over all masks. As a result of the binary representation, only if such a sum is smaller or equal to one, no  
 113 overlap exists at that position.

$$\forall_{i,j,k} \sum_{\ell=1}^{N_{spaces}} b_{i,j,k}^\ell \leq 1 \tag{2}$$

114 **Condition 2: Cuboid** Spaces are constrained to cuboid shapes for practicality and to delimit the  
 115 design space to a manageable size. To check this condition by means of an equation, first the supercube will

116 be extended with a single layer of cells all around, and these new cells will be set to have no relation to any  
 117 space ("0"), the latter is described by equation 3:

$$\forall_{\ell} : \forall_{i,j,k} \in \{0, \dots, N_w + 1\} \times \{0, \dots, N_d + 1\} \times \{0, \dots, N_h + 1\} : \quad (3)$$

$$i = 0 \vee j = 0 \vee k = 0 \vee i = N_w + 1 \vee j = N_d + 1 \vee k = N_h + 1 \Rightarrow b_{i,j,k}^{\ell} = 0$$

118 Then for each building space  $\ell$ , in each direction ( $x$ ,  $y$ , and  $z$ ) pairs of adjoining lines that run through  
 119 the middles of the cells are imagined (e.g. for the  $z$ -direction a pair would be a line through all cells  $i_1 = 2$ ,  
 120  $j_1 = 2$  and a line through all cells  $i_2 = 2$ ,  $j_2 = 3$ ). Moving along a pair of lines,  $b_{i,j,k}^{\ell}$  values are processed  
 121 as shown in equation 4 for the  $z$ -direction (as an example, of course all directions should be studied). To  
 122 obtain a cubic building space, if there is a change from zero to one in the binary string it should occur at  
 123 the same position ( $k$ -value) for both lines. Otherwise in the equation the sums as shown will hold different  
 124 values and the difference will be non-zero. The same should hold for changes from one to zero, as seen in  
 125 the second part of the equation. Note that equation 4 allows for the occurrence of multiple changes from  
 126 one to zero and from zero to one. In other words a space could be cuboid, however could still have internal  
 127 voids, e.g. a courtyard. Therefore condition 3 is introduced next.

$$\forall_{\ell} :$$

$$\forall_{i_1, j_1, i_2, j_2} : \left( \left( \sum_{k=1}^{N_h} k (1 - b_{i_1, j_1, k-1}^{\ell}) b_{i_1, j_1, k}^{\ell} \right) - \left( \sum_{k=1}^{N_h} k (1 - b_{i_2, j_2, k-1}^{\ell}) b_{i_2, j_2, k}^{\ell} \right) \right) \left( \sum_{k=1}^{N_h} b_{i_1, j_1, k}^{\ell} \right) \left( \sum_{k=1}^{N_h} b_{i_2, j_2, k}^{\ell} \right) = 0 \quad (4)$$

$$\forall_{i_1, j_1, i_2, j_2} : \left( \left( \sum_{k=1}^{N_h} k (1 - b_{i_1, j_1, k+1}^{\ell}) b_{i_1, j_1, k}^{\ell} \right) - \left( \sum_{k=1}^{N_h} k (1 - b_{i_2, j_2, k+1}^{\ell}) b_{i_2, j_2, k}^{\ell} \right) \right) \left( \sum_{k=1}^{N_h} b_{i_1, j_1, k}^{\ell} \right) \left( \sum_{k=1}^{N_h} b_{i_2, j_2, k}^{\ell} \right) = 0$$

128 **Condition 3: Ortho-Convexity** This condition enforces spaces to have a connected, ortho-convex  
 129 shape. Note that, like condition 2, this also relies on the layer of "zero" cells as described by equation 3.  
 130 With equation 5 the sum is taken of the number of times a change occurs from cell values zero to one in a  
 131 building space for each direction. Any building space where there are multiple changes from zero to one is  
 132 not fully connected and therefore invalidated. Note, that in conjunction with condition 2 this ensures that  
 133 building spaces have a fully occupied cuboid shape.

$$\forall_{\ell} :$$

$$\forall_{i,j} : \sum_{k=0}^{N_h} (1 - b_{i,j,k}^{\ell}) b_{i,j,k+1}^{\ell} \leq 1 \quad \forall_{i,k} : \sum_{j=0}^{N_d} (1 - b_{i,j,k}^{\ell}) b_{i,j+1,k}^{\ell} \leq 1 \quad \forall_{j,k} : \sum_{i=0}^{N_w} (1 - b_{i,j,k}^{\ell}) b_{i+1,j,k}^{\ell} \leq 1 \quad (5)$$

### 134 3.2. Super-structure free based representation

135 *Design search space.* A movable and sizeable (MS) representation for spaces is introduced for the super-  
 136 structure free design space representation. For this, a building is described with a vector  $\mathbf{s}$  that lists all the  
 137 spaces. This vector is described by equation 6, in which  $s_i$  represents a space,  $C$  the coordinates of the  
 138 space origin and  $D$  the geometry of the space with  $w$ ,  $d$  and  $h$  the width in  $x$ -, depth in  $y$ -, and height in  
 139  $z$ -direction, respectively. Figure 2 shows the building spatial design of figure 1 in the movable and sizeable  
 140 representation.

$$\mathbf{s} = \{s_1, s_2, \dots, s_{N_{spaces}}\} \quad \text{where} \quad s_i = [C, D]; \quad C = [x, y, z]; \quad D = [w, d, h] \quad (6)$$

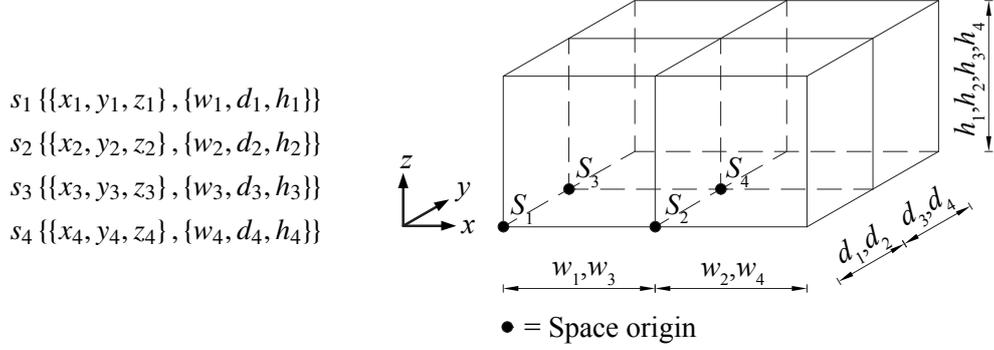


Figure 2: Movable and sizeable representation of the building spatial design (first shown in figure 1)

141 *Constraints and design modification.* The definition of spaces by location and dimensions allows an engi-  
 142 neer to imagine the spatial properties of the space, the engineer can therefore intuitively define additional  
 143 properties or modifications for that space. This intuitivity does however not count for the building design  
 144 itself, as relationships between spaces are defined implicitly. The movable sizable (MS) representation is  
 145 thus most suitable for design modifications that operate on spaces rather than the entire building design,  
 146 given that such operations do not interfere with possible relations between spaces. In the super-structure  
 147 free approach, constraints are implicitly enforced by using design modifications that naturally follow the  
 148 constraints. Here, this is carried out via removal, scaling and division of spaces. As an example, a mod-  
 149 ification of the building spatial design in figure 2 will be performed. Assume that after (e.g. structural or  
 150 building physics performance) analyses, it is concluded that building space  $S_3$  performs least well and thus  
 151 could better be removed as shown in equation 7. Accordingly, the remaining spaces are scaled (equation  
 152 8) to restore the initial volume ( $V_0$ ) of the building design. To restore the number of spaces, hereafter a  
 153 (e.g. randomly selected) space is divided (equation 9) into two new spaces, resulting in a new spatial de-  
 154 sign (equation 10). This process is further illustrated in figure 3 and has been used by [28] for real-world  
 155 optimisation scenarios.

$$\mathbf{s} \{s_1, s_2, s_3, s_4\} \rightarrow \mathbf{s} \{s_1, s_2, s_4\} \quad (7)$$

$$\mathbf{s} \rightarrow \mathbf{s} \cdot \sqrt{\frac{V_0}{V}} \quad (8)$$

$$s_1 \{\{x_1, y_1, z_1\}, \{w_1, d_1, h_1\}\} \rightarrow \begin{cases} s_5 \{\{x_1, y_1, z_1\}, \{\frac{1}{2}w_1, d_1, h_1\}\} \\ s_6 \{\{x_1 + \frac{1}{2}w_1, y_1, z_1\}, \{\frac{1}{2}w_1, d_1, h_1\}\} \end{cases} \quad (9)$$

$$\mathbf{s} \{s_2, s_4, s_5, s_6\} \quad (10)$$

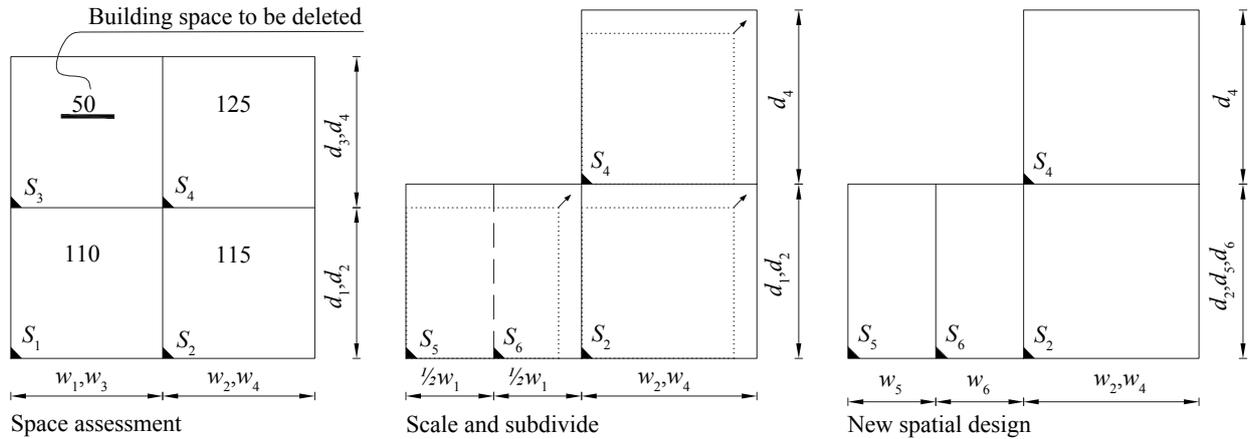


Figure 3: Super-structure free modification, numbers in spaces in the most left figure represent performances of spaces (e.g. structural or building physics)

### 156 3.3. Discussion

157 So far two design space representations have been defined for building spatial design optimisation: one  
 158 suitable for the super-structure approach and another for the super-structure free approach. This subsection  
 159 discusses the properties of the two approaches on a conceptual level with reference to the two presented  
 160 representations. From the super-structure based representation it becomes clear that its use requires ex-  
 161 pertise in the fields of mathematics, optimisation, and the built environment. This requirement should not  
 162 however exclude building engineers from using this representation, because it can lead to the optimum de-  
 163 sign with a high confidence level. Additionally it can lead to new design insights when multiple solutions  
 164 are assessed, e.g. relationships between design variables may be discovered. However, a design search  
 165 space representation draws a limit on which solutions can be considered by an optimisation algorithm. For  
 166 the super-structure approach, this means all solutions are pre-defined by the engineer who developed the  
 167 representation. This means that an optimum is only the best out of the pre-defined solutions, and better  
 168 solutions outside the design space representation will never be found. A larger design space representation  
 169 could solve this issue, but will almost always lead to a significant increase of computational time, and this  
 170 without a prior guarantee of better optima.

171 The super-structure free based approach to building optimisation can be developed even when only ex-  
 172 pertise of the built environment is available. Rules for modification of the considered design are then based  
 173 on knowledge and experience in the field. This approach can combine design variables in (mathematically)  
 174 unexpected ways and may therefore lead to new building designs that would otherwise not have been con-  
 175 sidered. It also provides a fast way to navigate a large design space, since it is not an exhaustive search of  
 176 the entire design search space. The approach rather is a selection of other interesting parts of the design  
 177 search space based on engineering knowledge and experience. However, this dynamic approach prevents  
 178 the use of many classical search algorithms (global and parameter based search) and instead heuristic rules  
 179 should be used to navigate the design space. Such heuristics are prone to find local optima and cannot  
 180 provide high levels of confidence concerning these optima (although comparisons between heuristics and  
 181 global searches sometimes result in matching results). Compared to the super-structured approach, new  
 182 design insights are more difficult to find when using heuristics, because fewer solutions are analysed and  
 183 design evolution follows a path that is defined by the heuristics.

184 To consider large design spaces, it can be concluded that both approaches are eligible, although both

185 have disadvantages as well: The super-structured approach is too costly in terms of computational effort and  
 186 the super-structure free approach cannot provide the optimum with a high level of confidence. Therefore it  
 187 is proposed to combine both approaches. Additionally, such a combination could enable the optimisation to  
 188 discover both surprising designs and new design insights.

189 The presented representations are—in combination with the presented constraints—limited to only  
 190 cuboid spaces. Releasing the cuboid spaces constraint will allow more complex spaces, which is desirable  
 191 in real world design scenarios. This is possible with both representations, although the SC representation  
 192 would require a redefinition of some of the constraints and the MS representation requires a space to be  
 193 defined as a collection of subspaces. This is however not implemented in the toolbox to avoid the additional  
 194 complexity in the toolbox as it would distract from the focus of this research, namely to research and de-  
 195 velop optimisation methodologies.

196 In this paper each approach, super-structured and super-structure free, is supplemented with one rep-  
 197 resentation each. It could be questioned if other representations are also suitable or in some aspects even  
 198 better for the proposed optimisation approaches. The above mentioned limitations might then be lifted.  
 199 An extensive study into such alternative representations could also lead to well argued choices for specific  
 200 representations. Additional representations are however not considered for this paper as the presented rep-  
 201 resentations are sufficient for the objectives of this research and are therefore considered good. Moreover,  
 202 an extensive study would both elaborate and distract from the before mentioned focus of the research.

### 203 3.4. Combination of super-structured and super-structure free approaches

204 The combination of the approaches above is proposed by alternately employing each approach during  
 205 the optimisation process for the same problem. This alternation requires mutual transformation between  
 206 the two representations. To enable this, two algorithms have been developed which are presented in this  
 207 subsection.

208 *Supercube to movable and sizeable.* To transform a building spatial design's supercube representation into  
 209 the movable and sizeable representation, it is suggested here to first find the smallest and largest indices  
 210  $i, j, k$  for the set of cells describing each space  $\ell$  as shown in equation 11. Space coordinates  $x, y, z$  can then  
 211 be found as shown in equation 12, with the notion that if the smallest index equals 1, there is no term in  
 212 the sum, and the degenerated sum is evaluated as 0 (which is appropriate here). The space dimensions are  
 213 computed in a similar way using the minimum and maximum indices as shown in equation 13.

$$\begin{aligned} i_{min}^{\ell} &= \min(\{i \mid b_{i,j,k}^{\ell}\}) & i_{max}^{\ell} &= \max(\{i \mid b_{i,j,k}^{\ell}\}) \\ j_{min}^{\ell} &= \min(\{j \mid b_{i,j,k}^{\ell}\}) & j_{max}^{\ell} &= \max(\{j \mid b_{i,j,k}^{\ell}\}) \\ k_{min}^{\ell} &= \min(\{k \mid b_{i,j,k}^{\ell}\}) & k_{max}^{\ell} &= \max(\{k \mid b_{i,j,k}^{\ell}\}) \end{aligned} \quad (11)$$

$$x^{\ell} = \sum_{p=1}^{i_{min}^{\ell}-1} w_p, \quad y^{\ell} = \sum_{q=1}^{j_{min}^{\ell}-1} d_q, \quad z^{\ell} = \sum_{r=1}^{k_{min}^{\ell}-1} h_r \quad (12)$$

$$w^{\ell} = \sum_{i=i_{min}^{\ell}}^{i_{max}^{\ell}} w_i, \quad d^{\ell} = \sum_{j=j_{min}^{\ell}}^{j_{max}^{\ell}} d_j, \quad h^{\ell} = \sum_{k=k_{min}^{\ell}}^{k_{max}^{\ell}} h_k \quad (13)$$

214 *Movable and sizeable to supercube.* A transformation from movable and sizeable to supercube first requires  
215 three steps to compute the supercube dimensions  $\mathbf{w}$ ,  $\mathbf{d}$ ,  $\mathbf{h}$ . Step one—for each space—the minimum and  
216 maximum coordinate values should be found, i.e. for each space:  $\{x, x + w\}$ ;  $\{y, y + d\}$ ;  $\{z, z + h\}$ . Step two,  
217 all these values are grouped into three lists (each for either  $x$ ,  $y$  or  $z$  values), duplicate values are removed,  
218 and then each list is sorted in ascending order. Finally in the third step, vectors  $\mathbf{w}$ ,  $\mathbf{d}$ ,  $\mathbf{h}$  are computed from  
219 these lists. For example,  $\mathbf{w}$  is computed as  $w_i = x_{i+1} - x_i$  for every  $i \in [1, \dots, n - 1]$  where  $n$  is the number  
220 of values stored in the sorted list.

221 Regarding vector  $\mathbf{b}$ , for each space  $\ell$  and for each cell  $i, j$ , and  $k$  the (derived) cell's coordinates are compared  
222 with the coordinates of the considered space. A cell is assigned to the considered space if the cell coordinates  
223 are completely within the coordinates of the space, e.g. for the x-direction if:  $x_{space} \leq x_{cell} < x_{space} + w_{space}$ .

224 *Validation.* The above algorithms have been validated in [1] for overlaps in spaces, non-connected spaces,  
225 truncation errors, alterations in space identification, and fragmented spaces. Although truncations and frag-  
226 mented spaces may cause changes during the transformation it was found that these errors will not occur or  
227 are insignificant.

## 228 4. Building analysis toolbox

229 A toolbox to evaluate building spatial designs has been developed in the form of a C++-library. This li-  
230 brary forms an environment in which building spatial design optimisation can be developed and researched.  
231 The toolbox currently contains the following: structural design analysis, building physics analysis, spatial  
232 design representations and a visualisation of these. Figure 4 shows the UML class diagram of the toolbox  
233 plus the modules that a user should still define, the toolbox's visualisation is omitted for brevity and clarity.  
234 The diagram shows that a user should define an optimisation method but also the so-called design grammars.  
235 These grammars generate domain specific information that is required to evaluate the objective functions  
236 in that domain. A grammar will as such take a building spatial design as input to generate domain specific  
237 information based on user defined design rules. The toolbox can be expanded to other disciplines as well by  
238 introducing new grammars, for example monetary or environmental costs could be included by implement-  
239 ing design rules to compute a model to calculate these costs for a building spatial design. This section first  
240 discusses the building spatial design representations then structural- and building physics design analysis in  
241 the toolbox and finally a benchmark is presented.

### 242 4.1. Spatial design

243 The spatial design package consists of three main parts, namely the models of the MS-representation  
244 and the SC-representation but also a conformal model. Here a conformal model is the representation of a  
245 building design in which geometry entities like line segments, rectangles or cuboids do not intersect with  
246 each other, but their vertices are allowed to coincide. For example when two walls are connected by a  
247 T-joint then the continuous wall is split into two rectangles at the intersecting wall, see figure 5. This and  
248 similar splitting procedures are repeated in the conformation process until all intersections between spaces,  
249 surfaces, and line segments are represented in a model of smaller geometry entities. A conformal model is  
250 useful because domain relevant relationships vary over building edges, walls or spaces. For example, two  
251 walls with a T-joint connection will in a finite element model only be structurally connected if the nodes—at  
252 the joint—of both walls coincide. The conformation procedure enables a structural grammar to find such a  
253 joint so an appropriate design can be created accordingly.

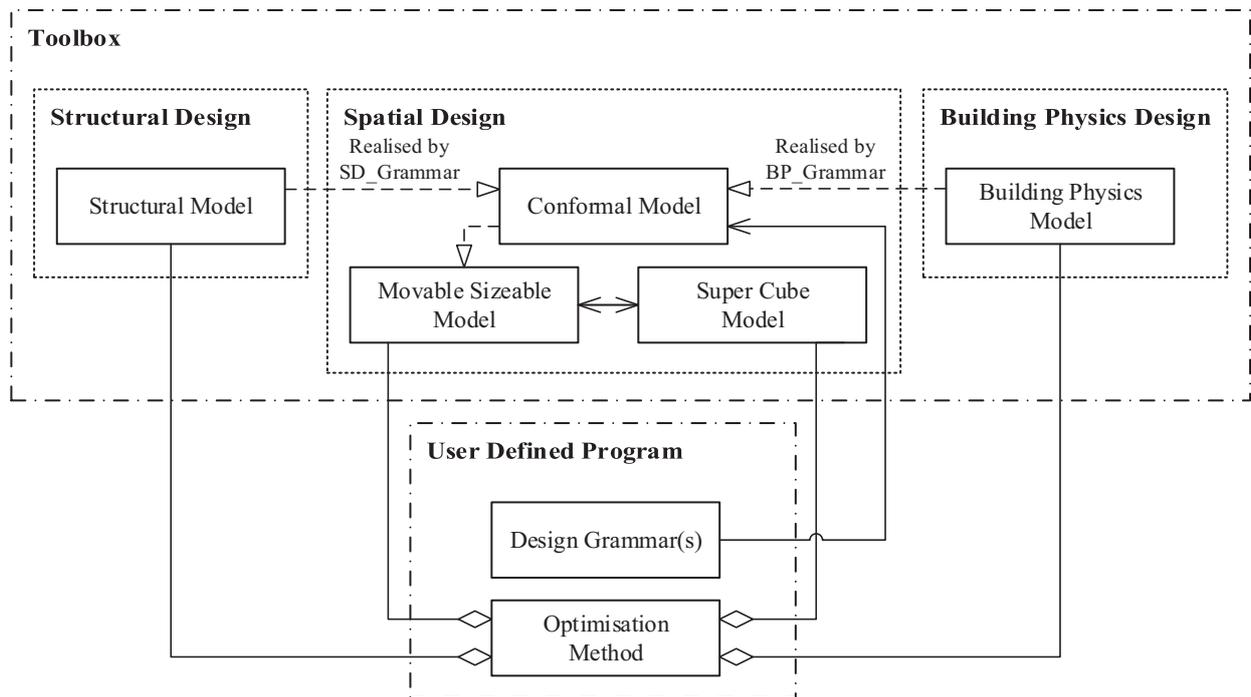


Figure 4: UML class diagram of the toolbox and the user defined modules

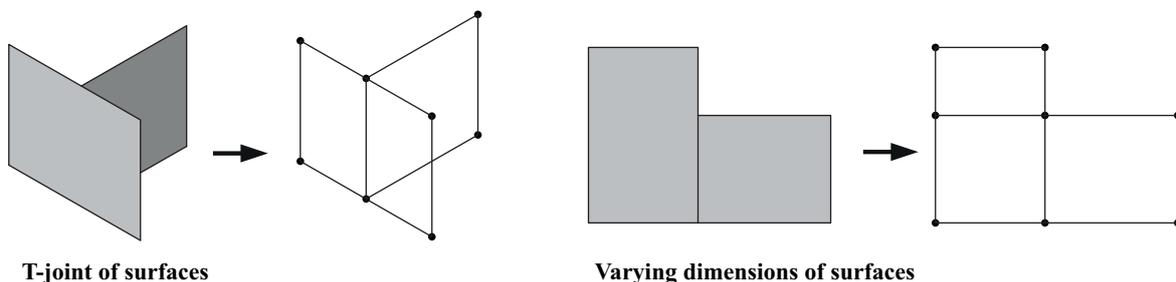


Figure 5: Examples of non conformal surfaces that can be represented in a conformal model by geometry entities like vertices, lines, and rectangles

254 *Building representations.* Both the SC- and MS-representation have been implemented in separate classes,  
 255 as illustrated in figure 6. Conversion in either direction between the SC- and MS representations is imple-  
 256 mented within those classes as well.

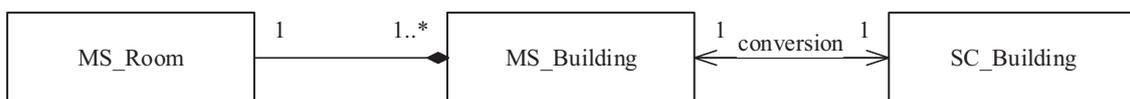


Figure 6: UML class diagram of the movable sizeable and the supercube building representation classes

257 *Conformation.* The conformal building model class is elaborated in figure 7, the subclasses that form the  
 258 conformal model class are grouped into geometry entities and building design entities. Building design  
 259 entities describe the topology of a building spatial design of the conformal model based on a spatial design  
 260 in the MS-representation (figure 4). Geometry entities describe a building spatial design in a geometry

261 model such that it is completely conformal. It is important to distinguish between the two because domain  
 262 specific properties can depend on both geometric relations and building design relations. For example  
 263 when a wind load is acting on a building wall that is described by multiple rectangles, then the rectangles  
 264 are used to generate structural slabs, but the wall's surface information is used to find the loads acting on  
 265 these slabs. Geometry entities and building design entities are realised with lower dimensional entities and  
 266 they are associated with the higher dimensional entities within their typology (i.e. design or geometry),  
 267 e.g. a rectangle is realised with four line segments that on their turn are realised with two vertices each  
 268 and also an association from that rectangle to one or more cuboids is made. Finally, relations between  
 269 corresponding design and geometry entities are stored, e.g. a surface is associated to the rectangles that  
 270 describe its conformal geometry and all surfaces that are described by a specific rectangle are associated  
 271 to that rectangle. Adding and maintaining the mapping of figure 7 during the conformation of a design  
 272 prevents an iterative search for relevant relationships between geometry and building design entities.

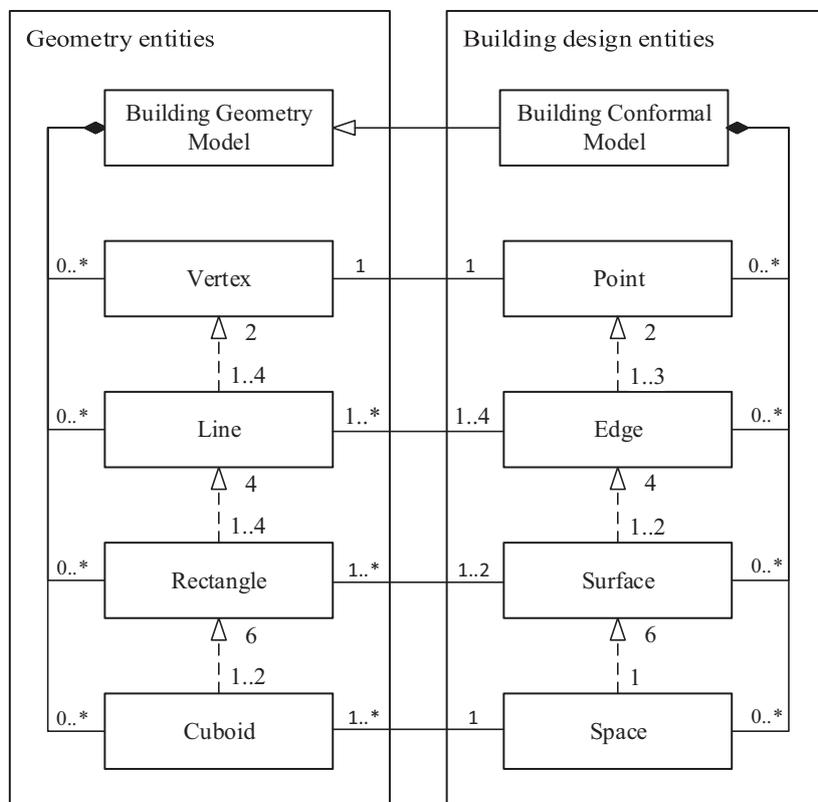


Figure 7: UML class diagram of the (orthogonal) conformation model

273 Conformation can be started after a conformal model is initialised with all the building design entities,  
 274 which can be derived from a building spatial design in the MS-representation. While initialised, each  
 275 building design entity is provided with one corresponding geometry entity and all relevant relationships  
 276 between those entities are mapped subsequently. Conformation then starts with a search in the geometry  
 277 model for intersections between line segments and rectangles and other line segments, a vertex is added to  
 278 the geometry model if such an intersection exists, see figure 8. Accordingly the cuboids, rectangles, and line  
 279 segments in the geometry model are checked with all the vertices in that model. When a vertex lies within  
 280 a cuboid, rectangle or line segment then immediately this geometry entity is split at the location of the

281 vertex by a splitting algorithm, see figure 8 for the example of a line-line intersection. A splitting algorithm  
 282 provides new geometry entities while updating all the relational mappings that were held by their parent and  
 283 its associated entities, the parent is then tagged for deletion. It should be noted that a new geometry entity is  
 284 only added to the geometry model when geometrically unique within the model, the relational mapping of  
 285 the parent is in that case updated to the mapping of the already existing entity. Splitting of geometry entities  
 286 invokes a recursion because new vertices can be created when an entity is split (figure 8). These new vertices  
 287 are first checked with all associated entities, which can be found by using the mapped relationships of the  
 288 split entity. When new intersections are found while splitting a geometry entity then these will first be split,  
 289 thereby a recursion of splitting algorithms is invoked in the conformation process. Geometry entities that  
 290 were tagged for deletion during the conformation process are deleted after all geometry entities have been  
 291 checked for intersecting vertices.

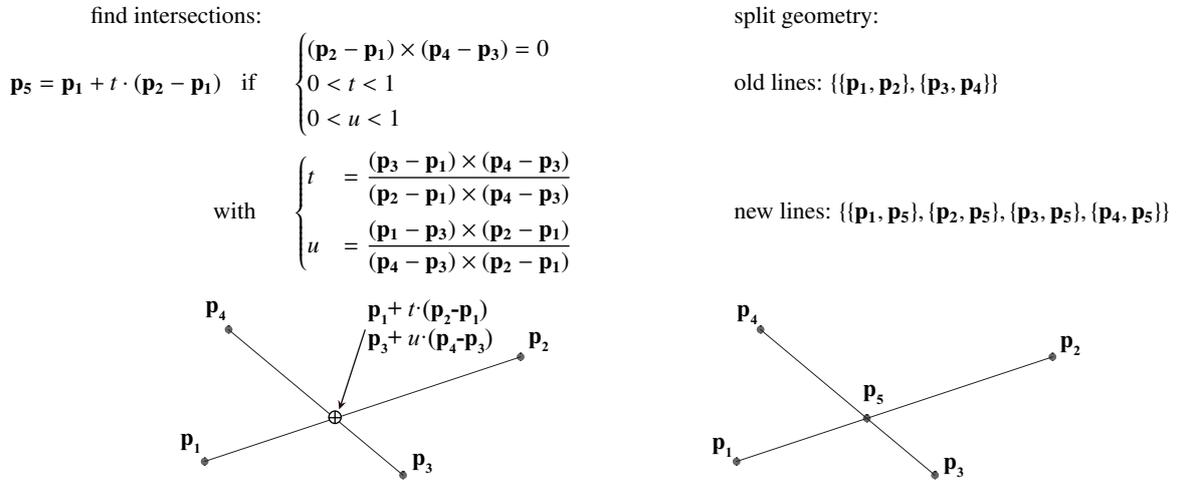


Figure 8: Splitting of a line, first intersections are found then geometries are split. Rectangles and cuboids have similar procedures

#### 292 4.2. Structural design

293 The structural design of a building is here an assembly of structural components, loads, and boundary  
 294 conditions, e.g. columns; beams; slabs; wind loads; floor loads; and the constraints that are imposed by  
 295 a foundation (in a respective order). A structural design of a building needs to be evaluated on structural  
 296 safety by assessing the strength, stiffness, and stability in the design. Such an evaluation can for example  
 297 be carried out analytically or by means of the commonly used Finite Element Method (FEM). The toolbox  
 298 employs FEM, in which the structural components of a design are modelled into smaller structural elements,  
 299 nodal loads, and nodal constraints. The structural stiffness of each element is then derived for each node  
 300 with respect to the positions of all other nodes in the element. The stiffness terms of each element can  
 301 then be assembled into a so-called global stiffness matrix  $\mathbf{K}$ , and together with the nodal loads vector  $\mathbf{f}$  and  
 302 boundary conditions it is used to solve for the nodal displacements vector  $\mathbf{u}$  given the equilibrium condition  
 303 in equation 14.

$$\mathbf{f} = \mathbf{K}\mathbf{u} \quad (14)$$

304 The optimisation objectives, i.e. the structural responses, can be calculated once vectors  $\mathbf{u}$  and  $\mathbf{f}$  and  
 305 matrix  $\mathbf{K}$  have been computed. Responses that are traditionally used for structural design evaluation are

306 strains, stresses, reaction forces or the displacements themselves and recently—for optimisation purposes—  
 307 strain energies are used as well.

308 *Element formulations.* Three different element formulations have been implemented for structural design  
 309 analysis in the toolbox: one for trusses, one for beams and one for flat shell elements. The element stiffness  
 310 matrix of the truss elements is derived for an element with two nodes, each having three degrees of freedom  
 311 ( $ux, uy, uz$ ; with  $u$  for displacement and  $r$  for rotation) as is presented in [30]. The beam elements use an  
 312 element stiffness matrix that has been derived for a two node element with each six degrees of freedom  
 313 ( $ux, uy, uz, rx, ry, rz$ ). The element formulation—as presented in [31]—accounts for axial forces, bending  
 314 and torsional moments, and shear forces in two directions. Finally the formulation for a flat shell element  
 315 is derived for a four node shell element with six degrees of freedom per node ( $ux, uy, uz, rx, ry, rz$ ). The  
 316 formulation is a combination of a derivation for in-plane-behaviour as presented in [30] and out-of-plane  
 317 behaviour [32] for which  $2 \times 2$  numerical integration (Gaussian quadrature) is used to represent the displace-  
 318 ment fields in the elements. Also a drilling stiffness is added to the stiffness matrix, its terms are equal to  
 319 the mean of all terms in the element stiffness matrix in which the in- and out-of-plane behaviour are already  
 320 determined. A flat shell element using this formulation will offer resistance to axial forces, a shear force, a  
 321 torsional moment and bending moments.

322 *Meshing.* Meshing is the process of generating a number of finite elements, nodal loads and nodal con-  
 323 straints that together make up the structural components in a structural design. As such each structural compo-  
 324 nent is meshed into a given number of elements or into a given size of elements. The toolbox currently  
 325 only supports a meshing method based on a given number of elements, in which all structural components  
 326 in a structural design model are meshed into an equal number of elements in each of their dimensions. This  
 327 meshing method requires one input variable for meshing, i.e.  $n$  for the number of equally sized divisions  
 328 along each side of an element. The method meshes one dimensional components into a number of elements  
 329 equal to  $n$ , two dimensional components into grid of  $n^2$  elements and three dimensional components into  
 330 a grid of  $n^3$  elements. Where the grids of the two and three dimensional components are formed by con-  
 331 necting the dividing points on opposite sides to each other. This method is a simple meshing approach but  
 332 still results in qualitatively good meshes as long as the meshed components stay orthogonal and as long as  
 333 aspect ratios of component shapes do not become too large (i.e.  $> 5:1$ ).

334 Elements, nodes, nodal loads and nodal constraints can be added to the FE-model once a component  
 335 has been meshed. Elements and nodes are initialised using the meshed points and the properties that are  
 336 stored for a component. Constraints on a component are simply applied to all nodes that were meshed for  
 337 that component. Finally loads are also applied to all meshed nodes, however their magnitude should still be  
 338 determined. This is carried out by splitting each element using the midpoints of line edges and quadrilaterals  
 339 as shown in figure 9, the division temporarily creates new line segments or areas that are used to determine  
 340 the magnitude of a load on a node in the element. Loads from different elements that share a common node  
 341 are summed for that node.

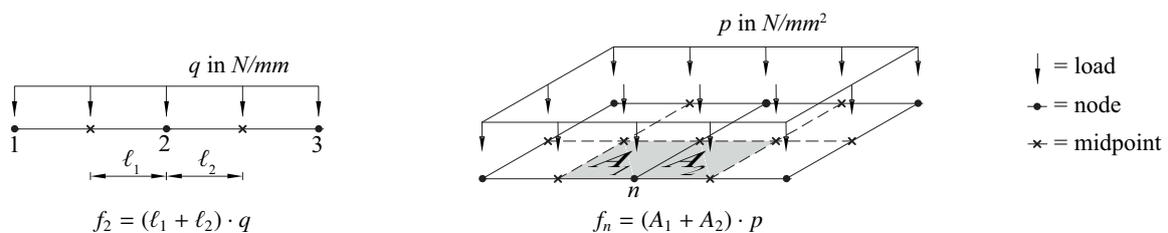


Figure 9: Meshing of loads on nodes that have two line elements in common(left) or two quadrilateral elements in common (right)

342 *Assembly and solving.* A number of steps must to be finished before the assembly of the FE-model into the  
343 form of equation 14 can start. To begin with is the initialisation of the nodes, where nodes are first checked  
344 for duplicity before they are added to the model. Elements are initialised thereafter, this process includes  
345 the following steps: associating nodes to the element; ordering of the associated nodes (the order of which  
346 is inherent to the derivation of the element formulation); updating which degrees of freedom (DOF's) are  
347 active in the FEM-model; and finally determining the value of the stiffness terms in the element stiffness  
348 matrix. After all the elements in a component have been initialised, then also the loads and constraints that  
349 act on it will be added to the nodes to which they have been meshed. Assembly of the FE-model can begin  
350 after all nodes, elements, loads and constraints have been initialised, and starts with indexing all DOF's  
351 in the system by iterating over each element's nodal freedom signature. Accordingly each term in each  
352 element stiffness matrix can be transformed into triplet form using the global DOF-indices, the complete  
353 global stiffness matrix  $\mathbf{K}$  is as such defined in sparse form by a collection of triplets. Accordingly the load  
354 vector  $\mathbf{f}$  is computed by initialising a null vector to the size of the number of DOF's, each load in each node  
355 is iterated and added to the load vector using the global indices of the nodal DOF's. Constraints are handled  
356 as follows, global stiffness terms that depend on a constrained DOF are replaced with 1.0 if they are on the  
357 diagonal (to prevent singular systems) and with 0.0 in any other case, terms in the load vector that act in a  
358 constrained DOF are replaced with 0.0.

359 The toolbox uses the Eigen C++ template library [33] for all linear algebra in the finite element analysis,  
360 which provides vector templates, matrix templates, solvers and other linear algebra related algorithms. As  
361 such the stiffness matrix and the load vector have been assembled into instances of classes from the Eigen  
362 library and accordingly the system can be solved by using one of the solvers in the library.

363 *Topology Optimisation.* Another function that has been added to the structural design package is topol-  
364 ogy optimisation [16]. Topology optimisation aims to minimise an objective—e.g. strain energy—in an  
365 FE-model by varying element densities between 0 and 100% while the total available material volume is  
366 constrained to a fraction of the total volume of elements. This method leads to structural topologies within  
367 an FE-model, figure 10, which are then to be interpreted as a new structural design by either a designer  
368 or computer algorithm. An example to illustrate the possible application of topology optimisation using  
369 the toolbox that is presented here is presented in [28], where optimised element densities determine the  
370 performance of a structural design. Another application is found in a structural design grammar, in which  
371 topology optimisation can for example generate a structural design [34].

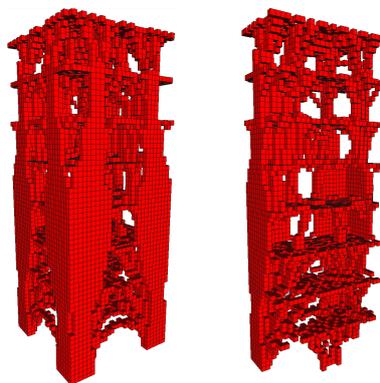


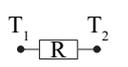
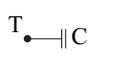
Figure 10: Optimised topology of a solid structural design with live loads at floor heights and wind loading on the surfaces [35]

### 372 4.3. Building physics

373 Building physics is a broad research field, it includes studies in acoustic-, moisture-, insulation-, or thermal  
 374 behaviour of a building. Building physics analysis in the toolbox is currently limited to only an evaluation  
 375 of thermal building behaviour. Several different methods can be used to simulate this behaviour, for  
 376 example the Finite Element Method (FEM), Computational Fluid Dynamics (CFD) or Resistor-Capacitor-  
 377 networks (RC-networks). Each of these methods are particularly suitable for different levels of detail,  
 378 however the simulation time and complexity of the method also increase with a higher level of detail. The  
 379 RC-network approach is used in the toolbox for two reasons, firstly only a low level of detail is required,  
 380 this is preferred because all information in the building physics model is generated by a design grammar  
 381 thus more detail would also imply that a more sophisticated grammar is required. Secondly it is fast and  
 382 thus it can be used to evaluate many designs in a relatively small amount of time, which is relevant for some  
 383 optimisation methods. In [36] it is investigated how different simulation methods can work together by  
 384 inversely model (i.e. fitting a model to data) the building thermal design to results from a more complex and  
 385 detailed model or from real world data. It is concluded that the simple surrogate model could still simulate  
 386 the same results when comparing it with the base model. An RC-network of a building can itself also have  
 387 different levels of detail, for example phenomena like ventilation or solar irradiation add extra detail to the  
 388 network. In [37] it is investigated how different levels of detail in an RC-network influence the simulation  
 389 results. It was concluded that the most simplified RC-network models still simulate results that are close to  
 390 real world thermal behaviour of buildings. It should be noted that the aforementioned research uses inverse  
 391 modelling to define the parameters in the RC-networks, as such a direct modelling approach may not yield  
 392 realistic values. However it can be concluded from the mentioned research that RC-networks do simulate  
 393 realistic behaviour. These notions are important when real world problems are modelled, but for the building  
 394 physics designs in the toolbox—that are derived from only a spatial design—a model is not expected to  
 395 yield realistic quantitative values, but they are expected to yield realistic qualitative behaviour.

396 The terminology for RC-networks is borrowed from electrical engineering, where voltages and currents  
 397 are simulated in a network of resistors and capacitors. Electrical components i.e. resistors and capacitors  
 398 form a network in which each component describes a relationship that can be expressed in differential form  
 399 (table 1). Thermal building properties can be mapped in a similar fashion, where a resistor is now modelled  
 400 by the thermal conduction properties- and a capacitor by the heat capacity of the constructions and spaces in  
 401 the building, see table 1. A system of first order ordinary differential equations (ODE's) can be assembled  
 402 from the relations that each of the components in the network describe. The system of ODE's can then be  
 403 used to simulate the dynamic problem that is described by the RC-network by solving the system over a  
 404 specified simulation time, e.g. by an Eulerian method.

Table 1: RC-network components, the relations describing heat flux  $\Phi_q$ , and the units for temperature  $T$ ; heat resistance  $R$ ; heat capacitance  $C$ ; time  $t$ ; and heat irradiation  $S$

Component	Relation	Units
	$\Phi_q = \frac{T_2 - T_1}{R}$	$T$ [K] $R$ [K/W]
	$\Phi_q = C \cdot \frac{dT}{dt}$	$C$ [J/K] $T$ [K] $t$ [s]
	$\Phi_q = S$	$S$ [W]

405 A building thermal RC-network is here modelled by first defining at which points in a building spatial  
 406 design the temperature is of interest for the user or computer algorithm. A network is then created by  
 407 connecting these temperature states to other temperature states based on their geometric relations. Each  
 408 connection enables a heat flux from one temperature state to another and should be defined with one or  
 409 more resistances against this flux, i.e. the resistors. The resistance is computed from the heat conduction  
 410 properties of all material that resists a heat flux between two temperature states, e.g. the insulation or  
 411 construction in a wall. Capacitors are defined by the heat capacitance of a specific amount of material that  
 412 is located around a temperature node, e.g. material in a wall or the air inside a space. Different building  
 413 spatial detail levels can be modelled using this methodology e.g. a single building wall but also a complete  
 414 building, see figure 11.

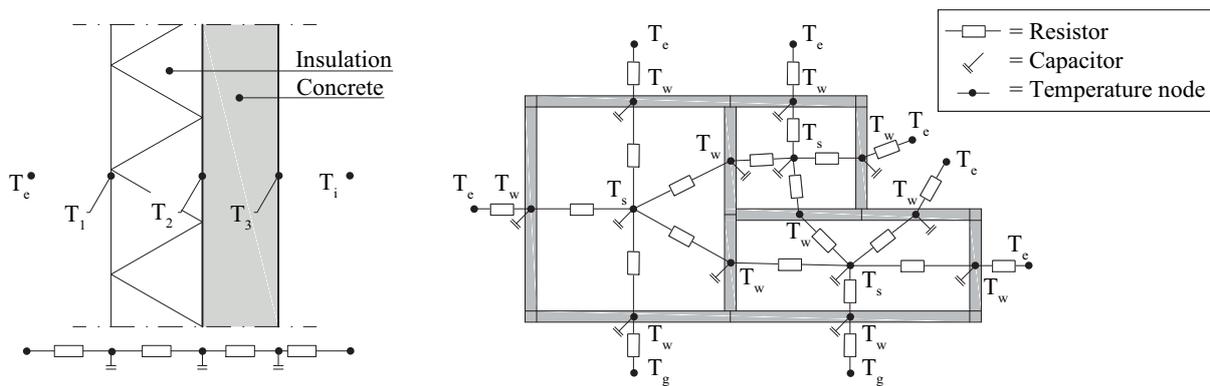


Figure 11: Two different levels of spatial detail for building thermal models using an RC-network model.

415 *System of temperature states.* A building physics model in the toolbox is structured in a system of tempera-  
 416 ture state objects, see figure 12 for the UML class diagram. Here temperature states are specified into two  
 417 child classes: one to resemble dependent and the other to resemble independent temperature states. De-  
 418 pendent temperature states (e.g. walls, floors and spaces) are simulated, whereas independent temperature  
 419 states are input (such as weather data) and thus non dependent on the modelled system. Each dependent  
 420 state is defined with a capacitance, and each association between states is defined with a resistance. The  
 421 system of state objects can then be translated into a system of ordinary first order differential equations  
 422 as expressed in equation 15, where  $\mathbf{x}$  are the dependent states,  $\mathbf{u}$  are the independent states, and  $\mathbf{A}$  and  $\mathbf{B}$   
 423 describe the system of resistors and capacitors. Additionally, for implementation purposes, two different  
 424 dependent states—namely building constructions and building spaces—are characterised in the toolbox.

$$\dot{\mathbf{x}} = \mathbf{A} \cdot \mathbf{x} + \mathbf{B} \cdot \mathbf{u} \quad (15)$$

425 Building constructions are here (parts of) walls and floors that consist out of one or more layers of mate-  
 426 rial that each have a certain thickness and are represented by one temperature point in the RC-model. In the  
 427 toolbox a construction is implemented as an aggregation of layers that each consist of a material. The re-  
 428 sistance of a construction is not constant over its cross section. Therefore a location within the construction  
 429 should be selected at which a lumped value for resistances and capacitances is to be determined, see figure  
 430 13. In the toolbox this point is by default selected at half the thickness of the modelled construction. A  
 431 construction's resistances [K/W] from that point to its adjacent temperature states is calculated according to  
 432 equation 16, where  $A$  is the wall's surface in [m<sup>2</sup>],  $j$  denotes each contributing layer,  $\ell$  thickness in [m], and

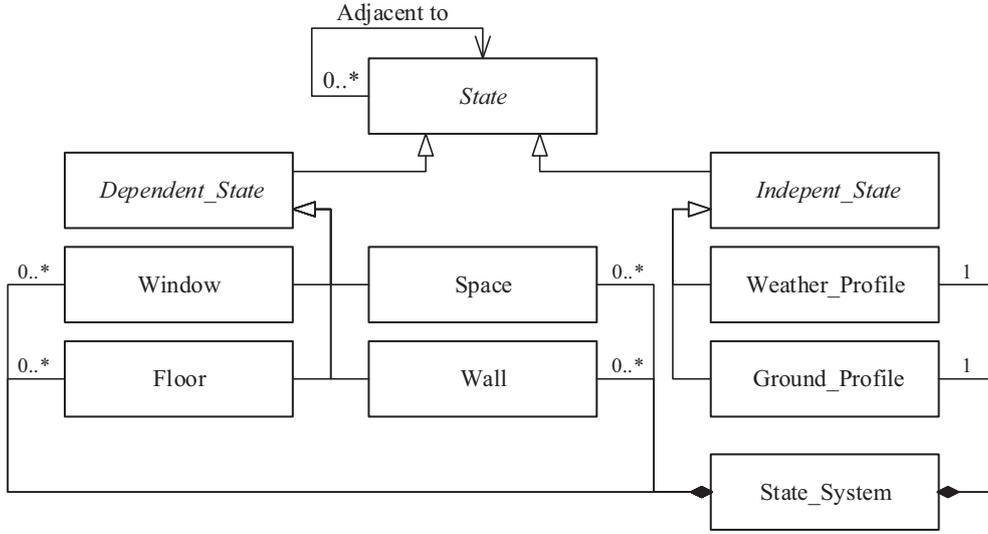
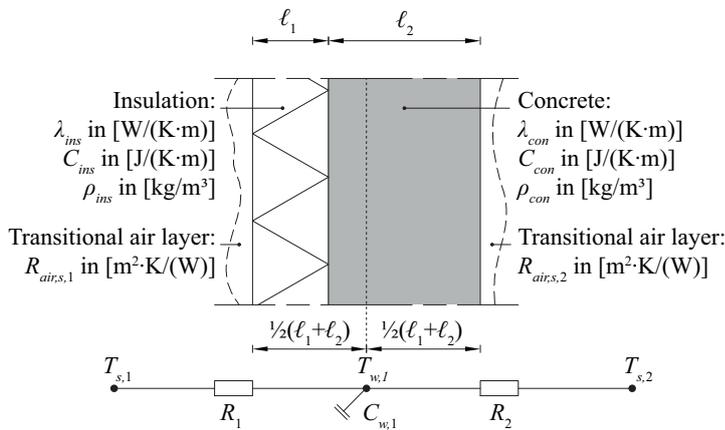


Figure 12: UML class diagram of the building physics package

433  $\lambda$  a heat conduction coefficient in [W/(K·m)]. The capacitance of a wall  $C_w$  [J/K] is calculated as the sum  
 434 of the capacitances of each material  $k$  in the building construction. This can be obtained following equation  
 435 17, where  $C_k$  is the specific heat capacity in [J/(kg·K)], and  $\rho$  the specific weight in [kg/m<sup>3</sup>] of each material.  
 436 The location of the temperature state over the surface can be left undefined, under the assumption that the  
 437 capacitances and resistances of a modelled construction are constant over its surface.

$$R = \left( \sum_{j=1} \frac{\ell_j}{\lambda_j} \right) / A \quad (16)$$

$$C = \left( \sum_{k=1} C_k \cdot \rho_k \cdot \ell_k \right) \cdot A \quad (17)$$



$$R_1 = \left( \frac{\ell_1}{\lambda_{ins}} + \frac{\ell_2 - \ell_1}{2 \cdot \lambda_{con}} + R_{air,s,1} \right) / A \quad [\text{K}/(\text{W})]$$

$$R_2 = \left( \frac{\ell_1 + \ell_2}{2 \cdot \lambda_{con}} + R_{air,s,2} \right) / A \quad [\text{K}/(\text{W})]$$

$$C_{w,1} = \ell_1 \cdot A \cdot C_{ins} \cdot \rho_{ins} + \ell_2 \cdot A \cdot C_{con} \cdot \rho_{con} \quad [\text{J}/(\text{K})]$$

·)  $A$  is the wall's surface area [m<sup>2</sup>]

·)  $\rho$  a materials specific weight [kg/m<sup>3</sup>]

·)  $\lambda$  is a materials heat conduction coefficient [W/(K·m)]

Figure 13: Calculation example of lumped resistance and capacitance of a construction

438 Spaces are a special type of dependent temperature state in an RC-network model, because they are  
 439 strongly influenced by heating, cooling, occupation, and ventilation. Currently heating, cooling and venti-  
 440 lation are accounted for in the simulation program, but thermal loads of e.g. people and equipment are not  
 441 accounted for. This is to avoid an over-complication in the design grammar for a building physics design,  
 442 since these loads would require design information such as room function, occupation, and time profiles.  
 443 Currently only the number of Air Changes per Hour (ACH) and the total available heating and cooling  
 444 power in spaces have been defined in a constant time profile.

445 The capacitance  $C_s$  of a space  $i$  is calculated with equation 18, where  $C_{air}$  is the specific heat of air  
 446 in [J/(K·kg)] (set to 1000 J/(K·kg)),  $\rho_{air}$  the specific weight of air in [kg/m<sup>3</sup>] (set to 1.2 kg/m<sup>3</sup>) and  $V$  the  
 447 volume of the space in [m<sup>3</sup>]. The factor 3 in the equation is an arbitrary number that takes into account any  
 448 additional capacitance in the space, e.g. furniture. The resistance from a space to a construction is set to  
 449 0.14 K/W which is an empirical value for an air layer of approximately 10 mm.

$$C_{s,i} = V \cdot \rho_{air} \cdot C_{air} \cdot 3 \quad (18)$$

450 Ventilation of a space is modelled as a loss of heat via a resistance to the weather profile, this is based  
 451 on an air mass flow between the space and outside. The heat flux due to ventilation  $\Phi_{q,vent}$  in [J/s] (i.e.  
 452 Watt) in equation 19 is first expressed based on the air mass flow and subsequently also equated to the  
 453 heat loss as modelled by a resistance  $R_{vent}$  in [K/W]. Solving the equation for the resistance yields equation  
 454 20 in which the flow of mass  $\dot{m}$  in [kg/s] can be substituted by equation 21 to yield equation 22. Here  $T$   
 455 is the temperature in [K],  $R$  the resistance that models the heat loss due to ventilation with air of another  
 456 temperature state [K/W] and ACH is the ventilation rate in number of air changes per hour.

$$\Phi_{q,vent} = \dot{m} \cdot C_{air} \cdot (T_2 - T_1) = \frac{T_2 - T_1}{R_{vent}} \quad (19)$$

$$R_{vent} = \frac{1}{\dot{m} \cdot C_{air}}, \quad (20)$$

$$\dot{m} = \rho_{air} \cdot V \cdot \frac{ACH}{3600} \quad (21)$$

$$R_{vent} = \left( C_{air} \cdot \rho_{air} \cdot V \cdot \frac{ACH}{3600} \right)^{-1} \quad (22)$$

457 Heating and cooling of spaces is modelled as a direct flux on the capacitance of the space's temperature  
 458 state. A temperature control switches these fluxes on or off whenever the temperature in a space rises or  
 459 falls below a set temperature point. This temperature control should be a gradual process, to prevent an  
 460 overreaction when a set temperature point was exceeded by only a small amount. This is achieved with a P-  
 461 switch, that expresses the flux as a tri-linear function in which the simulated heating power is dependent on  
 462 the temperature of a state. Equation 23 and figure 14 illustrate the function of such a P-switch for heating,  
 463 here  $T_{set}$  is the temperature set point,  $T_{var}$  is the length of the temperature range over which the heating  
 464 ( $Q_{heat}$ ) or cooling power is variable (set to 10 °C), and  $Q_{max}$  is the maximum amount of power.

$$Q_{heat} = \begin{cases} Q_{max} & \text{for } T < (T_{set} - T_{var}) \\ Q_{max} \cdot \frac{T_{set} - T}{T_{set} - T_{var}} & \text{for } (T_{set} - T_{var}) \leq T < T_{set} \\ 0 & \text{for } T \geq T_{set} \end{cases} \quad (23)$$

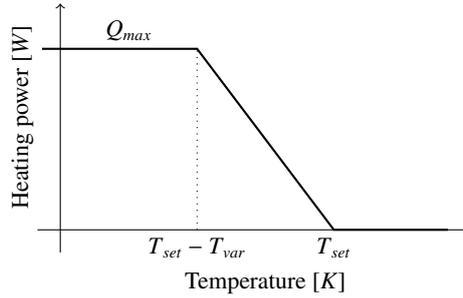


Figure 14: P-switch that controls heating in spaces, a similar function is used for cooling

465 Independent state objects resemble external influences to the model, e.g. weather and soil. Information  
 466 regarding these states should be provided in the form of a time profile of temperatures or irradiances by the  
 467 user of the toolbox. Time profiles can be arbitrary design values or real world measurements. Currently the  
 468 toolbox can only use air temperature for simulations, data like solar irradiation is not considered.

469 *Assembly and simulation.* The assembly of the model starts by initialising temperature states of all spaces  
 470 to the system. Accordingly the temperature states of building constructions are initialised to the system, this  
 471 process also handles the association with neighbouring states. On initialisation, dependent and independent  
 472 temperature are indexed with respect to their positions in state vectors  $\mathbf{x}$  and  $\mathbf{u}$ . The state matrices  $\mathbf{A}$  and  
 473  $\mathbf{B}$  can be initialised once all temperature states have been added to the system. Once the RC-network is  
 474 assembled into a system of ODE's in the form of equation 15 it is solved for every consecutive time step  
 475 in the simulation. After each time step the values of the independent temperature states are updated. A  
 476 C++ library that offers generic implementations of algorithms for numerical solving of ordinary differential  
 477 equations is employed to solve the system, which is the odeint library [38] that is part of an overarching  
 478 library: Boost [39].

#### 479 4.4. Toolbox benchmark

480 Building spatial design optimisation has been carried out in [28] by means of a simulation of co-  
 481 evolutionary processes to minimise the strain energy in the structural design. The toolbox presented here  
 482 has successfully been benchmarked with one of the simulations that were performed in this paper, see figure  
 483 15. The used design grammar creates—for each space—four flat shell components for the walls of a space  
 484 and one flat shell component at the top of a space. Each flat shell component in the structural design is  
 485 assigned a thickness of 150 mm and material properties that resemble concrete, i.e. a Young's modulus of  
 486  $30000 \text{ N/mm}^2$  and a Poisson's ratio of 0.3. A live load case of  $1.8 \text{ kN/m}^2$  in negative  $z$ -direction is applied  
 487 to each horizontally aligned flat shell component. Additionally four wind load cases (in  $+x$ ,  $+y$ ,  $-x$ ,  $-y$  di-  
 488 rections) are applied to each vertically aligned flat shell that does not have a space at both sides of the flat  
 489 shell. Each wind load case consists of three different types, i.e. pressure ( $1.0 \text{ kN/m}^2$ ), suction ( $0.8 \text{ kN/m}^2$ )  
 490 and shear ( $0.4 \text{ kN/m}^2$ ), which are applied to a flat shell corresponding to the wind direction and the direction  
 491 of the normal of the flat shell on the side where no space is present. Constraints are applied to each of the  
 492 bottom corners of spaces that are located at the bottom of the building spatial design. Each structural com-  
 493 ponent is then meshed into 10 by 10 elements, completing the structural design grammar. The optimisation  
 494 procedure is done by first performing topology optimisation on the structural design, which results in an  
 495 optimal density for each structural finite element. Element densities are clustered into eight clusters using  
 496 the  $k$ -means algorithm and subsequently the elements in the four lowest clusters are deleted. The number

497 of deleted elements is then used as measure for the performance of each space and each space is then sorted  
498 with respect to the number of deleted elements. Accordingly half of the spaces with the highest number  
499 of deleted elements is removed from the building spatial design and additionally any remaining spaces that  
500 have an equal amount of deleted elements as one of the removed spaces are also removed. Finally all re-  
501 maining spaces are split and subsequently scaled in  $x$ - and  $y$ -dimensions by a factor of  $\sqrt{2}$  to bring the  
502 design back to its original number of spaces and volume, although it should be noted that spaces and thus  
503 volume may be lost in the previous step. This procedure is performed iteratively until a stopping criterion  
504 has been reached, which is here set to the third iteration.

505 It should be noted that some mistakes were found in code used in [28]. Firstly in the distribution of live  
506 loading it is described that loads are a half at the edges and a quarter at the corners of structural compo-  
507 nents, however this is only the case when these loads are located somewhere along the bounding box of the  
508 complete building spatial design. Secondly, clustering of element densities is not performed after clusters  
509 are initiated. Accordingly, for topology optimisation it should be noted that element volume sensitivities  
510 with respect to changes in element density are not considered in the computation of the gradient and that  
511 the volume constraint is erroneously implemented as a constraint that keeps the average density over all  
512 elements constant. Finally the magnitude of the live loading is given as  $1.8 \text{ kN/m}^2$ , while it is actually sim-  
513 ulated four times as high at  $7.2 \text{ kN/m}^2$ . These mistakes were implemented in the toolbox presented here to  
514 successfully benchmark it to that used in [28]. To evaluate program efficiency both the code as used in [28]  
515 and the toolbox that is presented in this paper have been used to simulate the problem of figure 15 on an HP  
516 Z440 workstation (Intel Xeon E5-2690 v3 @3.5 GHz @6 cores, 16 GB RAM @1600 MHz), simulation  
517 times were around 22 hour for the code used in [28] and 33 minutes for the toolbox presented here.

## 518 5. Toolbox demonstration

519 This section presents some early work in the development of simulations of co-evolutionary design  
520 processes, of which those presented here are algorithms that remove and add spaces based on space perfor-  
521 mances, see figure 16. The presented work shows the promise of simulations of co-evolutionary design pro-  
522 cesses over a super-structured approach, but it also shows the challenges that should still be overcome. Only  
523 super-structure free optimisation is demonstrated here, application of the toolbox in super-structured optimi-  
524 sation can be found in [40, 41, 42], in which the supercube representation is used with a multi-disciplinary  
525 evolutionary optimisation algorithm to optimise for structural performance and building surface area.

526 *Simulation of co-evolutionary design processes.* The simulation of a co-evolutionary design process is here  
527 elaborated as a process of design modifications that are based on design performances, this with the goal to  
528 improve the performances of the design at hand, see figure 16. Design modification is the process of remov-  
529 ing and adding spaces at locations where it would be appropriate with respect to a design's performance.  
530 Before modification all performances are stored in matrix  $\mathbf{F}$  which is indexed by space  $i$  and discipline  
531  $j$ .  $\mathbf{F}$  is normalised into matrix  $\mathbf{P}$  using equation 24, for which then each space  $i$  and each discipline  $j$  the  
532 normalised space performances are stored. For single disciplinary modification all spaces are sorted in a  
533 list in ascending order of normalised space performance. Multi-disciplinary modification would require to  
534 first evaluate the normalised space performances of each discipline per space and express this evaluation  
535 into one normalised space performance before such a list can be computed. The top half of the spaces in the  
536 ordered list of spaces is then removed from the design, and to ensure a symmetric design also any remaining  
537 spaces that have the same normalised space performance as the last removed space are removed. Note that  
538 this could lead to a loss of spaces, which is allowed for the demonstration. Accordingly all spaces are split  
539 in half along their longest horizontal dimensions, or if both are equally long then they are split in half along

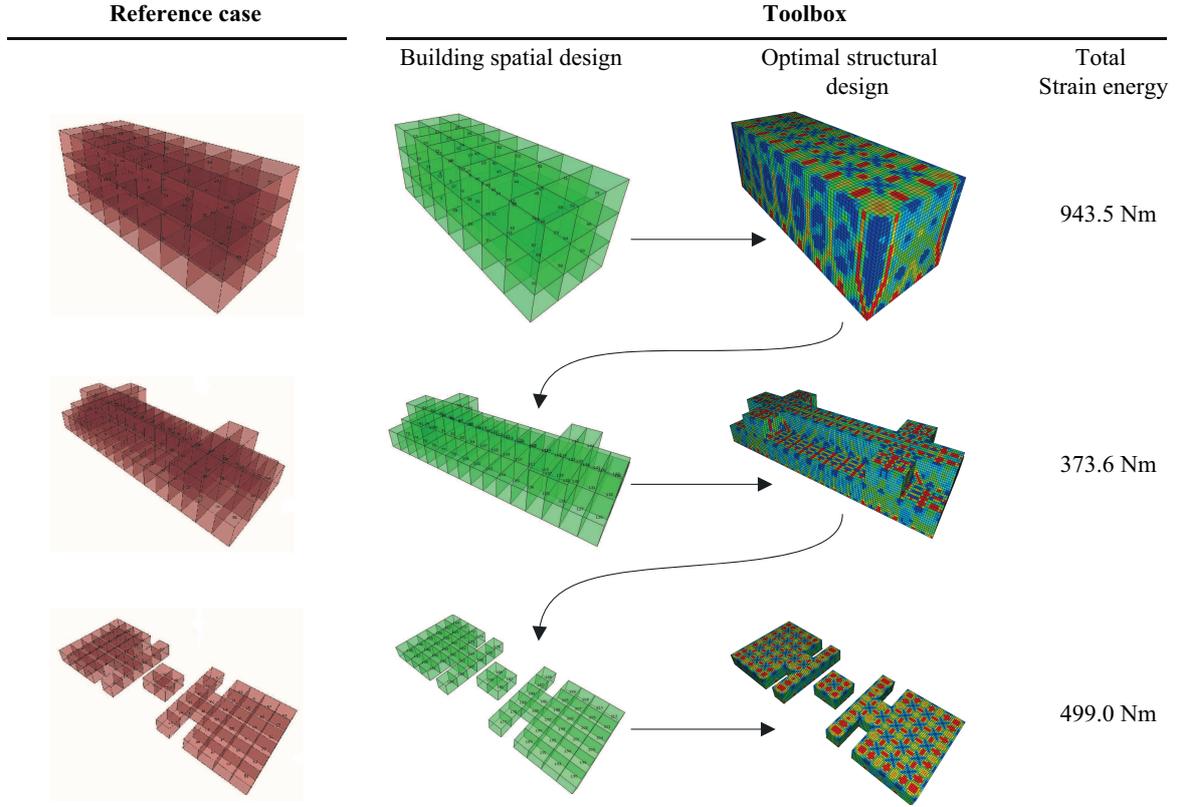


Figure 15: Successful benchmark of the toolbox with a reference case that is presented in [28]

540 the  $x$ -direction. Finally the horizontal dimensions in the design are scaled with a factor of  $\sqrt{2}$  to bring the  
541 design back to its original volume (assuming that no spaces were removed). One cycle of the simulation of  
542 co-evolutionary design processes is then completed, a stopping criterion terminates the process, which is in  
543 this demonstration met after two cycles have been completed.

$$P_{i,j} = \frac{F_{i,j} - \min_j}{\max_j - \min_j} \quad (24)$$

where  $\min_j$  and  $\max_j$  are respectively the minimum and maximum terms in the  $j^{\text{th}}$  column.

544 It should be noted that the process described above is not an explicitly directed search for better perfor-  
545 mances. As such it can also not be defined as a global or local search. Also no hard constraints to guarantee  
546 valid designs are defined. However knowledge and experience can be used to define design modification  
547 such that better and valid designs can be found. Moreover, using different design modifications together  
548 can improve the chance to find better performing designs. Although this is an interesting topic, it is not  
549 elaborated here for brevity and it is not the purpose of the demonstration to address this topic. Moreover  
550 it should be noted that the demonstration entails only single disciplines. A multi-disciplinary search would  
551 introduce multiple new challenges to this paper, multiple disciplines have—for clarity and brevity—not  
552 been considered in the demonstration.

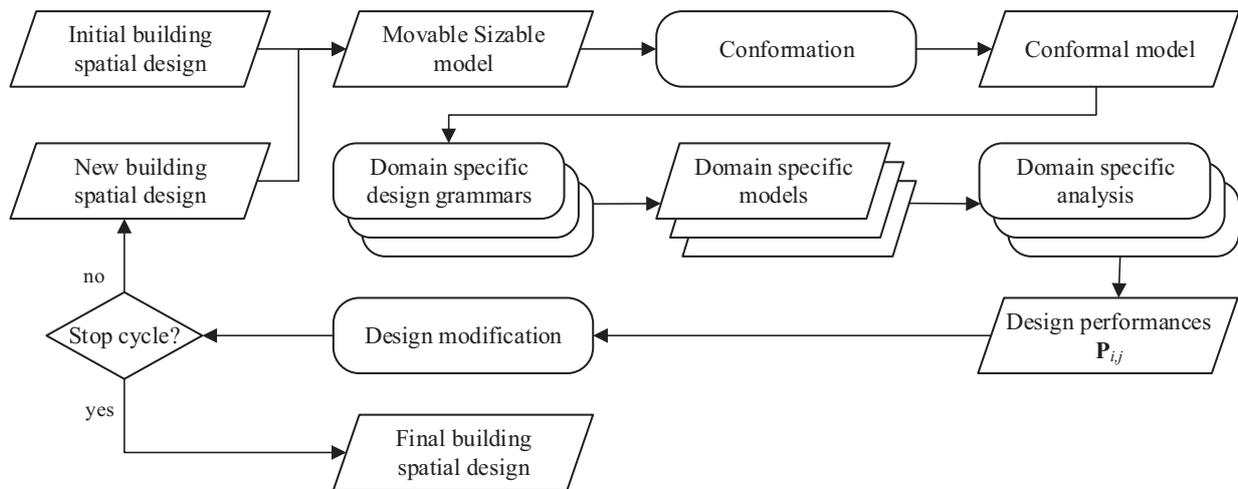


Figure 16: Process diagram of the simulation of co-evolutionary design processes that is used for the toolbox demonstration

### 5.1. Structural building design

The objective is to minimise the strain energy of a building spatial design (sometimes referred to as compliance), which is measured here by determining the total sum of strain energy that is acting in all structural design elements in the structural design that has been created for the spatial design. The structural performance per building space is measured by the sum of all strain energy acting in elements that are in or adjacent to a space (note that one element's strain energy might contribute to more than one space). For this simulation a design grammar is defined by assigning a flat shell component with a thickness of 150 mm, Young's modulus of 30000 N/mm<sup>2</sup> and a Poisson's ratio of 0.3 to all rectangles in the conformal building spatial design that belong to a surface. A live load case is defined with loads of 5.0 kN/m<sup>2</sup> in  $-z$  direction that are added to each horizontal flat shell component and wind loads are assigned to each surface in the conformal design that is not related to more than one space. Four load cases are defined for these wind loads,  $+x$ ,  $+y$ ,  $-x$  and  $-y$ , a wind load itself is divided into three components, pressure 1.0 kN/m<sup>2</sup>, suction 0.8 kN/m<sup>2</sup> and shear 0.4 kN/m<sup>2</sup>, which are each added to a surface depending on its orientation and the wind direction. Finally the design grammar applies line constraints to each edge at the bottom of the building spatial design, the structural design is then meshed using 10 divisions in each dimension and it is solved using an LDLT solver [33].

Figure 17 shows the results after two cycles. After the first cycle there is a clear improvement of the strain energy in the structural design, however after the second cycle the strain energy is even higher than that of the initial design. The results are somewhat similar as the benchmark in figure 15, where a similar effect is observed. This shows that this approach is not a directed search, however it also shows that significant improvements could be found after just one iteration. These quick improvement steps suggest that a super-structure free approach may influence optimisation times significantly when this insight is used to limit a super-structured design search space to for example a maximum of two stories. From a structural point of view the results may be explained by the fact that flat buildings are more optimal since tall buildings lead to an accumulation of structural loads, whereas flat buildings transfer loads towards the foundation in a shorter path.

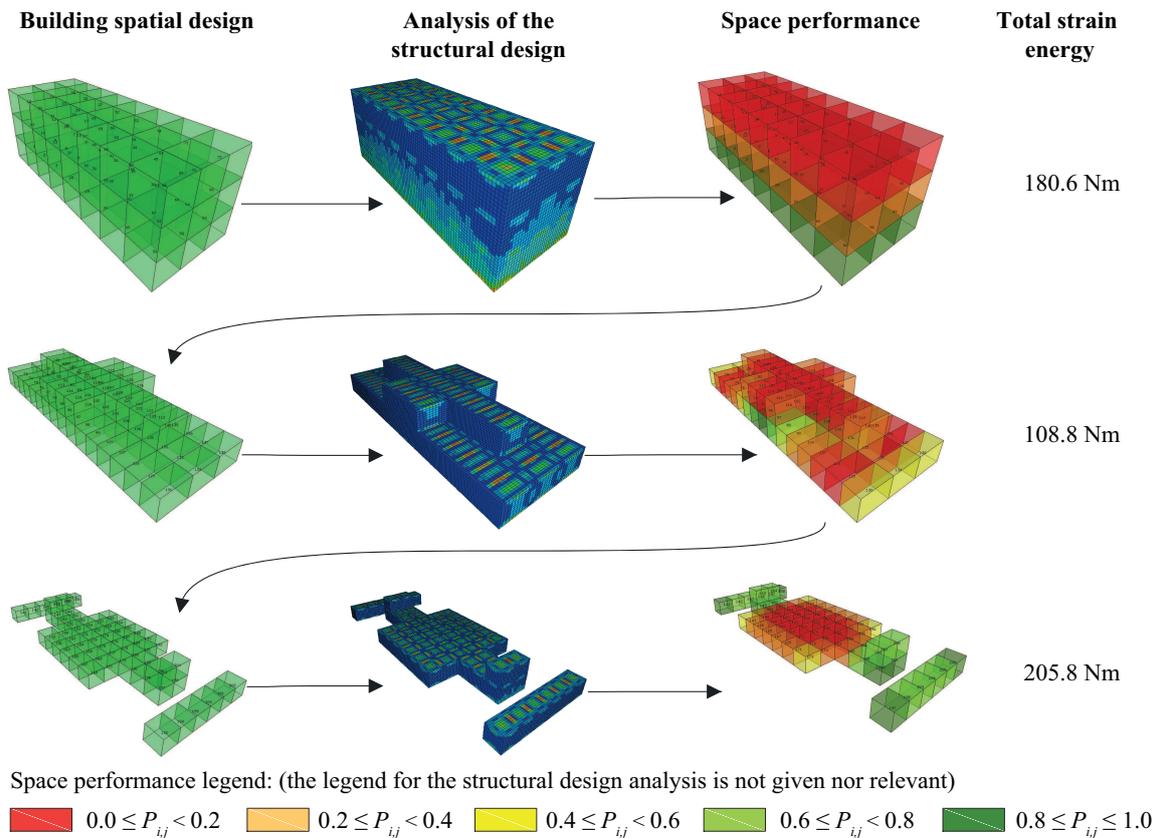


Figure 17: Simulation of building structural design process, normalised space performances are determined according equation 24

579 **5.2. Thermal building design**

580 The objective is to minimise the heating and cooling energy that is required to maintain the building  
581 between set temperatures. This is measured by simulating the heating and cooling energy demand in each  
582 space, the total energy demand is then computed as the sum of heating and cooling energies over the  
583 simulation time and over each space. To realise a thermal simulation, the building physics grammar assigns  
584 one building construction to each of the rectangles that belong to a surface in the building spatial design that  
585 consists of a 150 mm thick layer of concrete with a specific weight of  $2400 \text{ kg/m}^3$ , a specific heat capacity  
586 of  $850 \text{ J/(K}\cdot\text{kg)}$  and a thermal conduction coefficient of  $1.8 \text{ W/(K}\cdot\text{m)}$ . Rectangles that belong to only one  
587 surface (i.e. one adjacent space or external wall) are assigned an additional layer to their construction,  
588 namely a layer of insulation of 150 mm thick with a specific weight of  $60 \text{ kg/m}^3$ , a specific heat capacity of  
589  $850 \text{ J/(K}\cdot\text{kg)}$  and a thermal conduction coefficient of  $0.04 \text{ W/(K}\cdot\text{m)}$ . The temperature set point for heating  
590 is set at  $20 \text{ }^\circ\text{C}$  and the set point for cooling at  $25 \text{ }^\circ\text{C}$ , the total available heating and cooling power in spaces  
591 is set to  $100 \text{ W/m}^3$ . The ventilation rate for each space in the design is one air change per hour. Real world  
592 data that was measured in De Bilt in The Netherlands by the Royal Netherlands Meteorological Institute  
593 (KNMI) [43] is used for the temperature profile of the weather and a constant temperature of  $10 \text{ }^\circ\text{C}$  is used  
594 for the temperature profile of the ground. The building physics model is built up as follows, an object for a  
595 space is initialised for each space in the building spatial design. Accordingly objects for walls or floors are  
596 initialised for each rectangle that belongs to at least one surface, where the type is determined depending on  
597 the rectangle's orientation. Instances of walls and floors are linked to instances of spaces using the relational

598 mappings of the conformal model. If a wall or floor is linked to only one space, then also a link to either the  
 599 weather profile or the ground profile is added, depending on orientation and location. The simulation runs  
 600 from the first of January 2014 until and including the last day of December 2014, i.e. one year. Before the  
 601 simulation period starts first a warm up period of six days is simulated by backwards traversing the first six  
 602 days of both temperature profiles. The simulation time is discretised into four time steps per hour, the error  
 603 controlled runge-kutta-dopri-5 algorithm [38] is used to solve the system for each of those time steps  
 604 using a value of  $1e-6$  for both the absolute and relative errors.

605 Figure 18 shows the results after two iterations. From these results it can be observed that the used  
 606 design modification cannot find a better solution in the first two iterations, which suggests that a different  
 607 design modification should be used. From a thermal point of view the spaces at a corner of a building  
 608 spatial design will be suboptimal since these have the most surface through which heat is lost and looking  
 609 at the results it can be observed that those spaces are in fact removed. However in the worst case when a  
 610 corner space is removed this will introduce three new corner spaces, as such it can indeed be concluded  
 611 that a different design modification should be used to find a thermally optimal building spatial design. A  
 612 more suitable design modification would not only take into account the performance of spaces, but could  
 613 for example also take into account their relative location in the building.

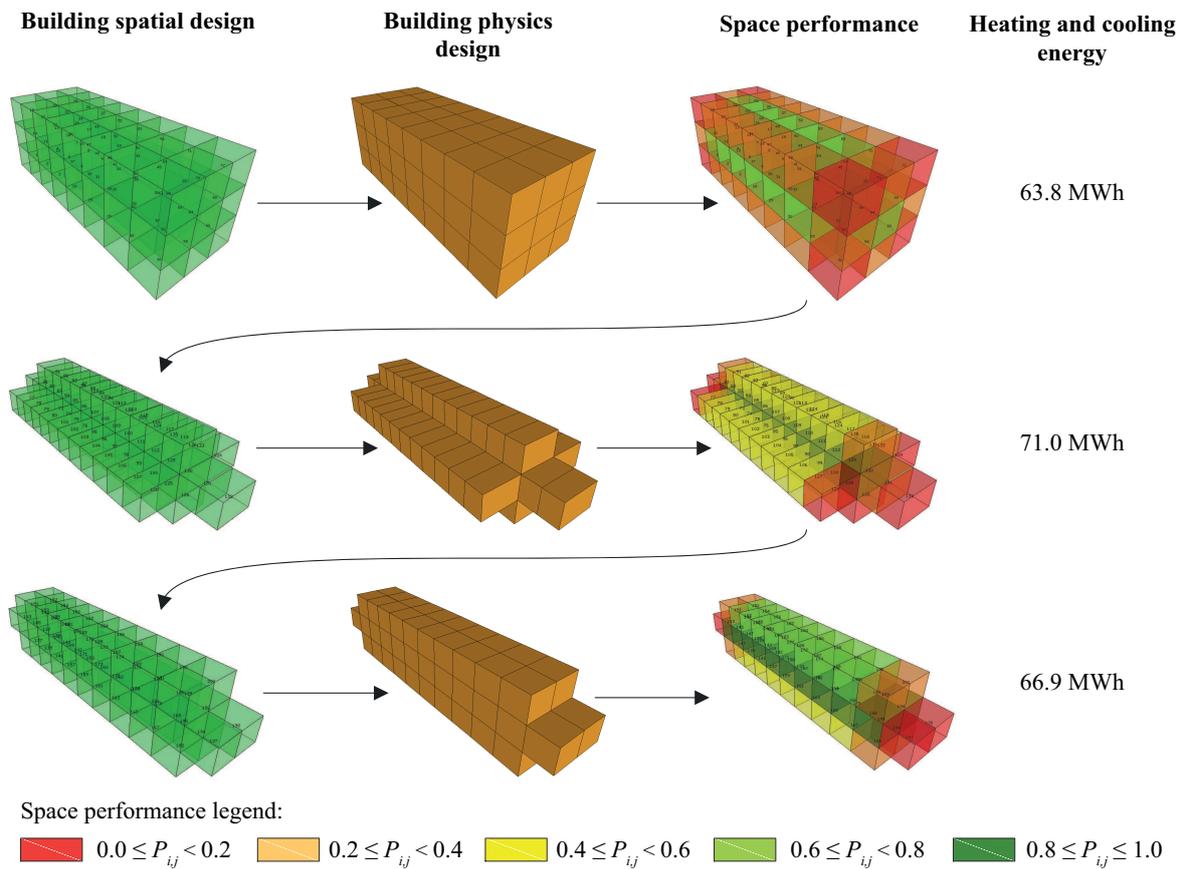


Figure 18: Simulation of building thermal design process, normalised space performances are determined according equation 24

## 614 **6. Conclusions and outlook**

615 This paper has elaborated on different optimisation approaches for building spatial design and has pre-  
616 sented a toolbox to effectuate these approaches for further research. Conclusions and outlooks that have  
617 been presented in this paper are summarized below.

618 The difference between super-structured versus super-structure free approaches is a recurrent theme in  
619 specific fields of optimisation [2]. In this paper, for the super-structured approach, a supercube approach has  
620 been proposed, in which a fixed number of cells can be switched on and off to generate different building  
621 spatial designs, while constraints ensure practical designs, e.g. no overlap of spaces should occur. A super-  
622 structure free approach has been developed by a movable and sizeable representation, listing the building  
623 spaces with their position and dimensions, and allowing these spaces to be deleted, split, and resized, as  
624 such automatically following the constraints.

625 Algorithms have been derived to transform the supercube representation into the movable and sizeable  
626 representation and vice versa. These algorithms have been verified in [1] for successful operation when  
627 overlaps in spaces, non-connected spaces, truncation errors, alterations in space identification, and frag-  
628 mented spaces occur.

629 A toolbox has been developed in which the presented spatial design representations can be evaluated  
630 for their structural and thermal behaviour. The toolbox enables users to develop and write their own opti-  
631 misation procedures and design grammars. Also a benchmark has been presented in which the toolbox has  
632 successfully simulated a problem that is presented in other work.

633 The toolbox has been applied in [40, 41, 42], where evolutionary algorithms were employed to find  
634 optimal building spatial design configurations. Moreover an elementary implementation of a simulation of  
635 co-evolutionary design processes has been presented to demonstrate the use and versatility of the toolbox  
636 and also to show the promises and the challenges of this method.

637 In the near future, a multi-disciplinary design modification will be developed based on a simulation  
638 co-evolutionary design processes. Subsequently an optimisation approach will be developed where both  
639 representations are used alternately: The super-structured approach will allow a dedicated optimisation al-  
640 gorithm to find a global optimum [40, 42], whereas this solution in a super-structure free approach can be  
641 used by the developed design modification to explore more freely another (possibly local) optimum. As  
642 such the design space is cyclically both explored in-depth (via the super-structure) and globally (via the  
643 super-structure free representation).

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