Faculty of Science and Engineering

School of Geography, Earth and Environmental Sciences

2017-09-01

Quantifying the effects of land use and climate on Holocene vegetation in Europe

Marquer, L

http://hdl.handle.net/10026.1/10086

10.1016/j.quascirev.2017.07.001 Quaternary Science Reviews Elsevier

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This is the author's accepted manuscript. The final published version of this work (the version of record) is published by *Elsevier* in *Quaternary Science Reviews (2017)* available at: *doi:10.1016/j.quascirev.2017.07.001*. This work is made available online in accordance with the publisher's policies. Please refer to any applicable terms of use of the publisher. The complete bibliographic reference is:

Marquer L, Gaillard MJ, Sugita S, Poska A, Trondman AK, Maier F, Nielsen AB, Fyfe RM, Jonsson AM, Smith B, Kaplan JO, Odgaard BV, Alenius T, Birks HJB, Bjune AE, Christiansen J, Dodson J, Edwards KJ, Giesecke T, Herzschuh U, Kangur M, Koff T, Latalowa M, Lechterbeck J, Lorenz S, Olofsson J, Schult M, Seppa H (2017) Quantifying the effects of land-use and climate on Holocene plant composition and vegetation change in Europe *Quaternary Science Reviews* 171, 20-37

1 Quantifying the effects of land use and climate on Holocene vegetation in Europe

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Laurent Marquer^{a,b,e*}, Marie-José Gaillard^b, Shinya Sugita^c, Anneli Poska^{a,d}, Anna-Kari 3

Trondman^b, Florence Mazier^e, Anne Birgitte Nielsen^{f,a}, Ralph M. Fyfe^g, Anna Maria Jönsson^a, 4

Benjamin Smith^a, Jed O. Kaplan^h, Teija Aleniusⁱ, H. John B. Birks^j, Anne E. Bjune^k, Jörg 5

Christiansen¹, John Dodson^m, Kevin J. Edwardsⁿ, Thomas Giesecke¹, Ulrike Herzschuh^o, 6

7 Mihkel Kangur^c, Tiiu Koff^c, Małgorzata Latałowa^p, Jutta Lechterbeck^q, Jörgen Olofsson^a,

8 Heikki Seppä^r

- 9
- 10

14 ^b Department of Biology and Environmental Science, Linnaeus University (Sweden), marie-jose.gaillard-

- 15 lemdahl@lnu.se, anna-kari.trondman@lnu.se
- 16 [°] Institute of Ecology, Tallinn University (Estonia), sugita@tlu.ee, mihkel.kangur@tlu.ee, tiiu.koff@tlu.ee
- 17 ^dInstitute of Geology, Tallinn University of Technology (Estonia)

18 ^e GEODE, UMR-CNRS 5602, Université Toulouse Jean Jaurès (France), <u>florence.mazier@univ-tlse2.fr</u>

- 19 ^fDepartment of Geology, Lund University (Sweden), anne_birgitte.nielsen@geol.lu.se
- 20 ^g School of Geography, Earth and Environmental Sciences, University of Plymouth (United Kingdom), ralph.fyfe@plymouth.ac.uk

^h ARVE, Ecole Polytechnique Fédérale de Lausanne (Switzerland), jed.kaplan@unil.ch

- 21 22 23 24 25 ¹ Department of Philosophy, History, Culture and Art Studies, University of Helsinki (Finland); and Department of Archaeology, University of Turku (Finland), teija.alenius@helsinki.fi
- ^j Department of Biology and Bjerknes Centre for Climate Research, University of Bergen (Norway); and

26 Environmental Change Research Centre, University College London (United Kingdom), John.Birks@uib.no

- 27 ^k Uni Research Climate, Bergen (Norway); and Department of Biology and Bjerknes Centre for Climate 28 Research, University of Bergen (Norway), anne.bjune@uib.no
- 29 ¹Department of Palynology and Climate Dynamics, Albrecht-von-Haller-Institute for Plant Sciences, University 30 of Göttingen (Germany), jchrist@gwdg.de, Thomas.Giesecke@biologie.uni-goettingen.de

31 ^m School of Earth and Environmental Sciences, University of Wollongong, Wollongong (Australia); and State 32 Key Laboratory of Loess and Quaternary Geology, Institute of Earth Environment, Chinese Academy of

- Sciences, Xi'an, Shaanxi (China), john.dodson@bigpond.com
- 33 34 35 ⁿ Departments of Geography & Environment and Archaeology, School of Geosciences, University of Aberdeen (United Kingdom); and Clare Hall, University of Cambridge (United Kingdom), kevin.edwards@abdn.ac.uk
- 36 ^o Alfred-Wegener-Institut Potsdam and Institut für Geowissenschaften, Universität Potsdam (Germany), 37 Ulrike.Herzschuh@awi.de
- 38 ^p Laboratory of Palaeoecology and Archaebotany, Department of Plant Ecology, University of Gdańsk (Poland), 39 malgorzata.latalowa@biol.ug.edu.pl
- 40 ^q Arkeologisk museum, Universitetet i Stavanger, Stavanger (Norway), jutta.lechterbeck@uis.no
- 41 ^r Department of Geosciences and Geography, University of Helsinki (Finland), heikki.seppa@helsinki.fi
- 42
- 43

44 * Corresponding author:

- 45 Laurent Marquer
- 46 E-mail: laurent.marquer.es@gmail.com
- 47

¹¹ a Department of Physical Geography and Ecosystem Science, Lund University (Sweden), 12 laurent.marquer.es@gmail.com, anneli.poska@ttu.ee, anna_maria.jonsson@nateko.lu.se, 13 ben.smith.lu@gmail.com, jorgen.olofsson@nateko.lu.se, anne_birgitte.nielsen@nateko.lu.se

48 ABSTRACT

49 Early agriculture can be detected in palaeovegetation records, but quantification 50 of the relative importance of climate and land use in influencing regional vegetation 51 composition since the onset of agriculture is a topic that is rarely addressed. We present a 52 novel approach that combines pollen-based REVEALS estimates of plant cover with climate, 53 anthropogenic land-cover and dynamic vegetation modelling results. This is used to quantify 54 the relative impacts of land use and climate on Holocene vegetation at a sub-continental scale, 55 i.e. northern and western Europe north of the Alps. We use redundancy analysis and variation 56 partitioning to quantify the percentage of variation in vegetation composition explained by the 57 climate and land-use variables, and Monte Carlo permutation tests to assess the statistical 58 significance of each variable. We further use a similarity index to combine pollen-based 59 REVEALS estimates with climate-driven dynamic vegetation modelling results. The overall 60 results indicate that climate is the major driver of vegetation when the Holocene is considered 61 as a whole and at the sub-continental scale, although land use is important regionally. Four 62 critical phases of land-use effects on vegetation are identified. The first phase (from 7000-63 6500 BP) corresponds to the early impacts on vegetation of farming and Neolithic forest clearance and to the dominance of climate as a driver of vegetation change. During the second 64 65 phase (from 4500–4000 BP), land use becomes a major control of vegetation. Climate is still the principal driver, although its influence decreases gradually. The third phase (from 2000-66 1500 BP) is characterised by the continued role of climate on vegetation as a consequence of 67 68 late-Holocene climate shifts and specific climate events that influence vegetation as well as 69 land use. The last phase (from 500-350 BP) shows an acceleration of vegetation changes, in 70 particular during the last century, caused by new farming practices and forestry in response to 71 population growth and industrialization. This is a unique signature of anthropogenic impact 72 within the Holocene but European vegetation remains climatically sensitive and thus may 73 continue to respond to ongoing climate change.

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Keywords Climate, Holocene, Human impact, Land use, LPJ-GUESS, Europe, Pollen,
REVEALS, Vegetation composition

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1. INTRODUCTION

81 Past vegetation cover is a result of many environmental factors, of which soils, 82 climate and human impacts are assumed to have been the most important during the 83 Holocene, though their relative importance for various regions and time periods is a matter of 84 debate. Strong palaeoecological evidence exists for anthropogenic forcing of vegetation in 85 Europe since the mid-Holocene (e.g. Behre, 1988), and knowledge of how natural and human 86 agents interacted to influence vegetation changes in the past and present is of major interest 87 for conservation strategies and for improving projections of vegetation responses to climate 88 change (Willis and Birks, 2006; Jönsson et al., 2015). Analysis of pollen records offers a 89 potential approach to quantifying the relative importance of human- and climate-induced 90 changes in Holocene vegetation but is hampered particularly by the differential production 91 and dispersal of pollen. Models of pollen-vegetation relationships, and model-based 92 reconstructions of vegetation composition and abundance at local and regional scales using fossil pollen data, have developed since the 1980s (e.g. Prentice and Parsons, 1983; Sugita, 93 94 1994, 2007a, 2007b). Within the framework of the LANDCLIM project (Gaillard et al., 2010), 95 pollen-based quantitative estimates of Holocene vegetation composition using the REVEALS 96 model (Sugita, 2007a) have been produced for Europe north of the Alps (Nielsen et al., 2012; 97 Fyfe et al., 2013; Marquer et al., 2014; Trondman et al., 2015, 2016).

Major differences over space (at a sub-continental scale) and time (through the Holocene) exist in Europe between REVEALS-based vegetation (RV) estimates and untransformed pollen percentages (PP) that are commonly used for the interpretation of pollen diagrams (e.g. Gaillard *et al.*, 2010). Marquer *et al.* (2014) found that the timing of major Holocene shifts and indices of vegetation change (rates of compositional change, turnover, RV evenness) are different between RV and PP, and that plant composition and abundance as indicated by RV were affected to a larger extent by Neolithic deforestation and agricultural

105 activities than previously interpreted from PP. Four trajectories of change were identified 106 from RV across northern Europe. In northern Germany and Poland, the onset of human 107 impact on vegetation composition was found to have started from ca. 6700 cal yr BP. An 108 early human impact in this region has also been proposed by Nielsen et al. (2012), although it 109 is widely assumed that climate was the main driver of changes in vegetation composition in 110 the mid-Holocene. Marquer et al. (2014) found rapid compositional change, a large decrease 111 in turnover, and stabilisation or decrease in RV evenness in most of northern Europe after 112 5200 cal yr BP, which was ascribed to land-use changes. This corresponds temporally to 113 regional agricultural intensification and population growth in Europe as described by Shennan 114 et al. (2013). Davis et al. (2015) argue that the modern patterns of European vegetation 115 started 5000-4000 years ago and were largely completed by 2000 years ago in lowland 116 Europe. Davis et al. (2015) and Fyfe et al. (2015) both recognised an important shift in 117 European land-cover around 2200 years ago. Marquer et al. (2014) showed that over the last 118 2000 years rates of change accelerated again and turnover reached its lowest values for the 119 entire Holocene due to an increase in arable land-cover in northern Europe. Whilst Marquer et 120 al. (2014) assume that these changes were primarily anthropogenically-driven, climate may 121 well have remained an important control of vegetation composition, and this hypothesis 122 remains to be tested at the European scale.

For Estonia over the last 5000 years, Reitalu *et al.* (2013) used a set of statistical analyses (redundancy analysis, variation partitioning, linear mixed-effects models) to assess the extent of climate influence (δ^{18} O records and simulated temperature) and human impact (frequencies of pollen indicators of human-induced vegetation and fire indices) on forest composition as estimated by the REVEALS model. Their results suggest that human impact was the strongest driver of forest compositional change between 4000 and 2000 years ago, but that both climate and humans have had significant effects on the changes. The study

130 highlighted the potential of statistical approaches to quantify human and climate influences on 131 RV vegetation estimates. Kuosmanen et al. (2016a, 2016b) applied similar approaches in 132 Russia and Fennoscandia, using vegetation changes based on fossil pollen (no REVEALS estimates) and conifer stomata, forest fires (charcoal data), climate variables (δ^{18} O records 133 134 and simulated temperature) and data for human population size (derived from 135 archaeologically-derived radiocarbon estimates). The results indicate that climate was the 136 main driver of Holocene forest composition at a regional scale. These two studies support the 137 notion that climate may have remained an important driver of vegetation in northern Europe 138 until recently.

The present study aims to quantify the respective roles played by land use and climate in Holocene vegetation from regional to sub-continental scales for northern and western Europe north of the Alps. We develop here a novel approach to combine pollen-based REVEALS estimates of plant cover with climate, dynamic vegetation and anthropogenic land-cover (anthropogenic deforestation) modelling. This approach seeks to assess whether climate was and still is a major control of change in the development of Holocene vegetation.

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2. MATERIALS AND METHODS

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2.1 Study area and selection of pollen records

The study area is the same as that targeted by Marquer *et al.* (2014) (Fig. 1) and includes a large part of northern and Central Europe, i.e. Ireland, Great Britain and a latitudinal transect from the Alps in the south to northernmost Norway. It is characterised by a long history of agricultural development and major biogeographic and climatic gradients (Berglund *et al.*, 1996). It is also appropriate for the application of the REVEALS model because the necessary pollen-productivity estimates are available for the major pollen taxa in this region (Broström *et al.*, 2008; Mazier *et al.*, 2012).

155 The 151 pollen records used in this study were selected from the European 156 Pollen Database (Fyfe et al., 2009; Giesecke et al., 2014a), the Alpine Palynological Data-157 Base (University of Bern, Switzerland), or were provided directly by individual data 158 contributors (see Appendix A). The selected pollen records were grouped into 36 1°x1° grid-159 cells (see Appendices A and B for details) which were classified into four latitudinal regions 160 between 7 and 30°E (Fig. 1): Region A (46-49°N), Region B (51-55°N), Region C (55-161 64°N) and Region D (68–71°N). A fifth area, Region E, corresponds to the westernmost grid-162 cells (52-58°N and 10°W-1°E). The five sub-regions represent a compromise between a 163 sufficient number of grid cells in each sub-region to run the statistical analyses and appropriate latitudinal gradients for climate, land-use and vegetation data. The grid system 164 165 (grid-cell size and geographical position) is the one used by the LANDCLIM project (Gaillard et al., 2010) and for all REVEALS reconstructions within the project (e.g. Trondman et al., 166 167 2015).

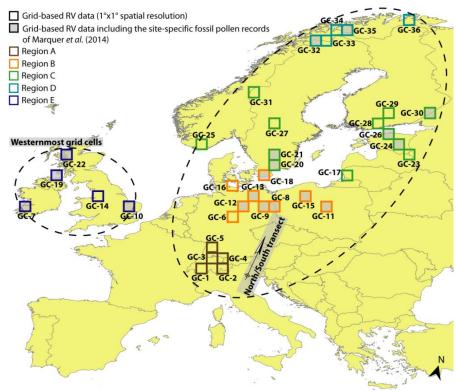


Figure 1 Location of the 36 1°x1° grid cells over northern and central Europe. The grid cells represent four regions (grids with different colours) along a north–south transect and one in the west, both delimited by dashed lines. REVEALS estimates of vegetation abundance were produced for each grid cell using all available pollen records. See Methods section and Appendices A and B for detailed information.

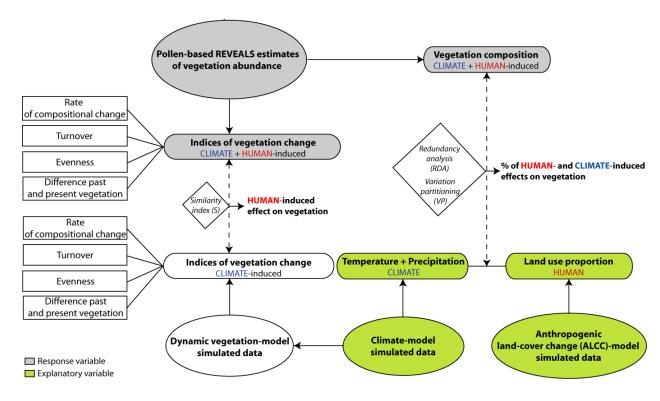
173 **2.2 Approach**

174 The dataset of past vegetation for the Holocene comprises pollen-based 175 quantitative reconstructions of vegetation abundance, i.e. continuous REVEALS estimates of 176 the cover of 25 plant taxa (RV), for consecutive time windows. We use the grid-based RV 177 data (see above and Fig. 1) – i.e. means of RV from multiple sites distributed within the $1^{\circ}x1^{\circ}$ 178 area of each grid cell (Trondman et al., 2015) rather than the site-specific RV data deployed 179 in Marquer et al. (2014). Our aim was to enlarge the dataset of past vegetation to include grid 180 cells that do not include only large lakes (\geq 50 ha) in order to test the influence of climate and 181 land use on vegetation development in a much larger number of grid cells than was available 182 from the study of Marquer et al. (2014). Although pollen records from large sites are considered preferable when using the REVEALS model for reconstructions (Sugita, 2007a), it 183 184 has been shown both theoretically (Sugita, 2007a) and empirically (Trondman et al., 185 2016) that pollen records from multiple small sites (lakes or bogs) are also suitable for reliable 186 **REVEALS** reconstructions.

187 To test and quantify the effects of climate and land use on Holocene vegetation 188 composition, datasets of climate and land-use estimates (explanatory variables) that are 189 independent of the datasets of vegetation (response variables) are needed. Explanatory 190 datasets should be from the same locations as the response variables. As there are no 191 empirical data of climate and land use matching these requirements, we are restricted to using 192 simulation-based estimates. Therefore, temperature and precipitation data from the Earth 193 System Model (ESM, Schurgers et al., 2006; Mikolajewicz et al., 2007) are used as the 194 climate variable, and the human deforestation data from the 'Krumhardt Kaplan version 2010' 195 (KK10) scenario (Kaplan et al., 2009) as the land-use variable. We used redundancy analysis 196 (RDA) and variation partitioning (VP) to quantify the percentage of variation in RV explained 197 by the climate and land-use variables (Fig. 2). Indices of vegetation change (Fig. 2 and see

section 2.5.2) based on RV and potential natural vegetation simulated based on climate forcing by the dynamic vegetation model LPJ-GUESS (Smith *et al.*, 2001) have also been used to assess the possible land-use effect on vegetation. Indices based on RV (both climateand human-induced) and climate-induced potential natural vegetation (influenced by natural factors such as climate, soils and biotic interactions) are compared by applying a similarity index (S) in order to identify periods when land use might have influenced vegetation (i.e. periods when low similarity indicate the impact of human activities on vegetation).

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207 Figure 2 Flow chart of the methodological approach.

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209 2.3 Pollen-based REVEALS estimates of vegetation abundance

The REVEALS model (Sugita, 2007a) was applied to obtain pollen-based estimates of regional abundances (in percentage cover) of 25 plant taxa (pollen-type equivalent groups), and associated standard errors, for each grid-cell using pollen data from all sites (small/large, lakes/bogs/mires) (see Appendix B for more details on the model and its 214 application). The REVEALS model corrects for the non-linear nature of pollen-vegetation 215 relationships when expressed as percentages, by reducing biases caused by inter-taxonomic 216 differences in pollen productivity, dispersal, and depositional characteristics. All chronologies 217 are based on calibrated years BP (cal yr BP) and ages are given in cal yr BP, abbreviated to 218 'BP'. Twenty-five consecutive time windows over the last 11700 years BP are used as in the 219 LANDCLIM project (Gaillard et al., 2010; Trondman et al., 2015), i.e. 0-100, 100-350, 350-220 700 BP for the three first time windows, and 500 calendar years each from 700 to 11700 BP. 221 The methodological protocol for running REVEALS follows LANDCLIM (Trondman et al., 222 2015). The dataset of PPE and fall speed of pollen used for the 25 plant taxa correspond to the 223 LANDCLIM standard 2 dataset of Mazier et al. (2012) (Table 1). The interpretation of RVs 224 follows the rule applied by, for example, Trondman *et al.* (2015) – i.e. the RV of taxon i225 (RVi) is considered to be reliable when its standard error (SEi) is smaller than RVi ([RVi-226 SEi]>0). The effect of site types and sizes on the percentage of (RVi–SEi)>0, was also tested 227 (Appendix B, Table B-1).

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229 **2.4 Land-use and climate effects on vegetation composition**

230 2.4.1 The explanatory variables

Two sets of explanatory variables independent of the pollen data are used in the statistical analyses – model-simulated climate and land-use variables. All analyses were implemented using CANOCO 5 for Windows (Šmilauer and Lepš, 2014).

As a climate variable, we used the combined effects of mean annual temperature (T) and precipitation (P). Temperature and precipitation data are available from the Earth System Model (ESM, Schurgers *et al.*, 2006; Mikolajewicz *et al.*, 2007) at 0.5°x0.5° spatial and centennial time resolutions for the last 9000 years (see Appendix C for more details). Temperature and precipitation do not necessarily replicate such limiting factors on vegetation growth as growing season or moisture availability, but they capture efficiently the major

240 trends of Holocene climate changes (Appendix C). Simulated temperature and precipitation

241 were the only available recent climate data that we could use at the spatial and temporal scales

of our study.

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Table 1 Taxa and groups of taxa used for the combination of REVEALS and LPJ-GUESS based estimates, and harmonization of the 25 REVEALS taxa and the 18 plant-functional types (PFTs) used in LPJ-GUESS simulations (Hickler *et al.*, 2012), resulting in 14 tree and shrub taxa and one open-land PFT (C₃ grasses) including all herb RV. Pollen productivity estimates (PPEs) with their standard errors, and fall speed of pollen for the 25 taxa used in the REVEALS reconstructions are shown. The PPEs of taxa are relative to a reference taxon, in this case Gramineae (PPE=1). Botanical nomenclature follows *Flora Europaea* (Tutin *et al.*, 1964-1980).

REVEALS taxa	PPE and standard errors	Fall speeds of pollen (m/s)	LPJ-GUESS PFT	Taxa and groups of taxa used in this paper		
	Trees and	tall shrubs				
Abies	6.88 +/- 1.44	0.12	Abies alba	Abies		
Alnus	9.07 +/- 0.1	0.021	Alnus	Alnus		
Betula	3.09 +/- 0.27	0.024	Betula pendula Betula pubescens	Betula		
Carpinus	3.55 +/- 0.43	0.042	Carpinus betulus	Carpinus		
Corylus	1.99 +/- 0.19	0.025	Corylus avellana	Corylus		
Fagus	2.35 +/- 0.11	0.057	Fagus sylvatica	Fagus		
Fraxinus	1.03 +/- 0.11	0.022	Fraxinus excelsior	Fraxinus		
Juniperus	2.07 +/- 0.04	0.016	Tall shrubs evergreen	Juniperus		
Picea	2.62 +/- 0.12	0.056	Picea abies	Picea		
Pinus	6.38 +/- 0.45	0.031	Pinus sylvestris	Pinus		
Quercus	5.83 +/- 0.15	0.035	Quercus pubescens Quercus robur	Quercus		
Salix	1.22 +/- 0.11	0.022	Tall shrubs summergreen	Salix		
Tilia	0.8 +/- 0.03	0.032	Tilia cordata	Tilia		
Ulmus	1.27 +/- 0.05	0.032	Ulmus glabra	Ulmus		
	Dwarf	shrubs				
Calluna vulgaris	0.82 +/- 0.02	0.038	Low shrubs evergreen			
	Herbs					
Artemisia	3.48 +/- 0.2	0.025	C ₃ grass			
Cerealia-t	1.85 +/- 0.38	0.06	C ₃ grass			
Cyperaceae	0.87 +/- 0.06	0.035	C ₃ grass			
Filipendula	2.81 +/- 0.43	0.006	C ₃ grass	Open land		
Gramineae	1 +/- 0	0.035	C ₃ grass			
Plantago lanceolata	1.04 +/- 0.09	0.029	C ₃ grass			
Plantago media	1.27 +/- 0.18	0.024	C ₃ grass			
Plantago montana	0.74 +/- 0.13	0.03	C ₃ grass			
Rumex acetosa-t	2.14 +/- 0.28	0.018	C ₃ grass			
Secale cereale	3.02 +/- 0.05	0.06	C ₃ grass			

253 The KK10 scenario of anthropogenic deforestation (Kaplan et al., 2009) is used 254 as a land-use variable. KK10 simulates the fraction of deforested land based on the 255 relationship between estimates of human population density and land-use area per capita, land 256 suitability for cultivation and pasture, and assumptions on the location/characteristics of the 257 land used initially, as well as geographical disparities in technological advances. KK10 258 simulations are modelled expressions of land-cover change as a consequence of land use, 259 which provides fractions of anthropogenic deforestation at an annual resolution over the past 260 8000 years at a 0.5° spatial scale. We up-scaled the spatial resolution of the KK10 scenario to 1° by summing the fractions of deforestation and rescaling to a total sum of 1. KK10 is used 261 262 rather than the HYDE scenario (Klein Goldewijk et al., 2011), on the basis of a recent 263 comparison between KK10, HYDE 3.1, and pollen-based REVEALS estimates of landscape 264 openness in northern Europe (Trondman et al., 2012). KK10 was chosen because it better 265 matched the REVEALS estimates for landscape openness (e.g. Pirzamanbein et al., 2014). Information about the input data for KK10 runs is provided in Kaplan et al. (2009). Note that 266 267 the KK10 data do not extend beyond 8000 BP.

268 The implications and draw-backs of land-use scenario and model-simulated 269 climate variables need to be considered. Thus, i) the KK10 dataset is highly dependent on the 270 population estimates that are nation-dependent (e.g. Boyle et al., 2011); ii) the simulated 271 climate variables do not account for all possible climate drivers on vegetation, but they 272 represent a major part of the climate system; iii) the ESM simulation is one possible scenario 273 of past climate and not a reconstruction; and iv) comparisons between GCM simulations and 274 palaeoecological reconstructions of Holocene climate have shown seasonal and spatial 275 discrepancies between the two (Hargreaves et al., 2013; Mauri et al., 2014; Harrison et al., 276 2015). Both simulated and proxy-based climate data have their own critical issues. The GCM-277 simulated climate variables provide general trends in Holocene climate change that are comparable with climate reconstructions from palaeoecological records, and we considerthem appropriate for the purposes of our analyses.

280

281 2.4.2 Redundancy analysis (RDA) and Monte Carlo tests

282 The percentage of spatial variation in RV explained by both climate and land-283 use variables was assessed for all time windows in the five regions A-E by a constrained 284 (canonical) linear-based ordination method (= multivariate linear regression, RDA). The 285 gradient lengths are less than two standard deviations (SD) of compositional turnover, as 286 calculated using detrended correspondence analysis, for each of the five regions, and thus 287 models assuming linear responses (redundancy analysis RDA) are appropriate (Šmilauer and 288 Lepš, 2014). The RV data were square-root transformed to stabilise their variances. A Monte 289 Carlo test, with 999 unrestricted permutations, was applied to assess the statistical 290 significance (p-value) of the percentages of RV spatial variation explained by the climate and 291 land-use variables. The algorithm permutes the cases in the response data (RV) table while 292 keeping the explanatory data intact (Šmilauer and Lepš, 2014). The null hypothesis being 293 tested is that variation in RV is independent of changes in the climate and the land-use 294 variables. The spatial and temporal resolutions of data have been taken into account to test 295 this null hypothesis using a set of statistical analyses (see below and Table 2).

Table 2 Set of statistical methods and the null hypotheses being tested. RV: REVEALS estimates.

Data sources	Resolutions	Statistical methods	Abbreviations	Null hypotheses	
Individual time window	Spatial	Redundancy analysis	RDA	RV variation is spatially independent of changes in the climate and the land-use variables	
Individual time window	Spatial	Variation partitioning	VP	RV variation is spatially independent of changes in the climate and the land-use variables	
All time windows	Spatial and temporal	Variation partitioning	VP	RV variation is spatially and temporally independent of changes in the climate and the land-use variables	
Individual grid cell	Temporal	Variation partitioning	VP	RV variation is temporally independent of changes in the climate and the land-use variables	

298 2.4.3 Variation partitioning and Monte Carlo tests

Variation partitioning (e.g. Legendre, 2008) was used to estimate the spatial, spatio-temporal, and temporal variations in the RV dataset explained by each individual explanatory variable (climate or land use) and by both variables together. This analysis was performed for:

- the consecutive time windows of the Holocene (to explore spatial variation) for which
 RVs were calculated for i) the entire study area or ii) regions B and C together.
- 305
 2. the entire Holocene for i) all regions together (to explore spatio-temporal variation), ii)
 306
 ach of regions A–E separately (to explore spatio-temporal variation), and iii) each
 307
 grid cell separately (to explore temporal variation).

As the gradient lengths were moderate in all analyses (varying between 1.6 and 309 3.1SD), RDA was used for variation partitioning. Variation is expressed as the percentage 310 explained by each explanatory variable, and by both variables together. *P*-values are 311 estimated for each explanatory variable using the same Monte Carlo test as for the RDA.

312

313 **2.5 Land-use effect on indices of vegetation change**

314 2.5.1 Dynamic vegetation modelling

We employed LPJ-GUESS (Smith *et al.*, 2001), a dynamic vegetation model that simulates potential natural vegetation cover in terms of major species or plant-functional types expected to be found in a certain area given climate conditions and history, soils and atmospheric CO₂ concentrations (Table 1). The model provides an independent vegetation dataset for comparison with RVs. Details about the performance of LPJ-GUESS, the set-up and up-scaling of the model runs, and the major differences between RVs and LPJ-GUESS vegetation (LPJGV), are given in Appendix C.

323 2.5.2 RV- and LPJGV-based indices of vegetation change

324 The following indices have been calculated as described in Marquer *et al.* 325 (2014):

- Rate of compositional change (Jacobson and Grimm, 1986) to identify periods of
 stability and change in vegetation.
- Turnover, representing the magnitude of vegetation compositional change through
 time (cf. Vellend, 2001; Birks and Birks, 2008). A square-root transformation of the
 response data was applied. The larger the range of the sample scores, the lower the
 number of taxa in common between successive time windows, and thus turnover is
 greater.
- An evenness (equitability) index which quantifies how numerically equal the taxa are
 in their abundances (Magurran, 2004). Maximum evenness equals 1.
- The dissimilarity between past and present vegetation over time based on the squared
 chord distance (SCD) between the modern time-window (0–100 BP) and each
 Holocene time window (SCD past-present).

Note that the indices above are calculated using 15 taxa or groups of taxa ('arable land' is excluded). The restricted number of taxa is due to the limited number of plant taxa that LPJ-GUESS can simulate, and for which pollen-productivity estimates are available for the REVEALS calculations. Individual herb taxa cannot be simulated by LPJ-GUESS and are represented by the PFT 'C₃ grass' for Europe (Table 1). We refer to either RV or LPJGV evenness to distinguish clearly these measures from 'floristic evenness' or 'palynological evenness' which would be based on a much higher number of plant taxa.

The differences between RV- and LPJGV-based indices of vegetation change are assessed using a similarity index (S) (see Appendix C for details). S = 1 if RV and LPJGV are equal (i.e. maximum similarity). CONISS cluster analysis (Grimm, 1987; as implemented in TILIA V.1.7.16) was applied to identify simultaneous changes in all S-indices. Note that Sindices are calculated individually for each index.

350

351 3. RESULTS

352 **3.1 Grid-based REVEALS estimates**

353 For the 36 grid cells and all time windows, the percentages of reliable 354 REVEALS estimates ((RVi-SEi)>0) are high (minimum > 80%) other than for a few grids 355 (Appendix B, Table B-2). We also examined the effect of site type (large lake, small sites or 356 all sites) on the percentages of (RVi-SEi)>0 for eight grid cells with a sufficient number of 357 sites of different type (Appendix B, Table B-1). The results show that the percentages are 358 generally high and are lowest when only small sites are used rather than a single large lake, 359 with or without small sites. These results suggest that the pollen dataset used here is 360 appropriate for the application of the REVEALS model.

The grid-based REVEALS estimates (Fig. 3) for the major tree and shrub taxa 361 362 clearly show the recognised Holocene succession of increased abundances of trees and shrubs, 363 and the late-Holocene increase in landscape openness. At ca. 11700 BP, the proportion of 364 open land is high and Pinus (pine) and Betula (birch) are dominant across most of the study 365 area. From 10500 BP, abundances of Corylus (hazel) and Ulmus (elm) increase in most 366 regions, before the rise in Quercus (oak), Tilia (lime), Alnus (alder), and Fraxinus (ash) from 9500 BP, although Tilia increases late in regions C (8000 BP) and E (6500 BP). Picea 367 368 (spruce) expands from 8500 BP in Region A, 8000 BP in Region C, and 3500 BP in Region 369 D. Abies (fir) increases from 8500 BP in Region A, and occurs with low values from 3500 BP 370 in Region B. Fagus (beech) expands from, respectively, 7000, 4500, 1500 BP in regions A, B, 371 and C. Carpinus (hornbeam) rises from 3500 BP in Region B. Woodland vegetation is 372 impacted by deforestation from 6000 BP in most regions, as evidenced by the general increase

in open land, and over the last 1500 years as a consequence of the expansion of arable land
(represented by *Cerealia*-t and *Secale cereale*).

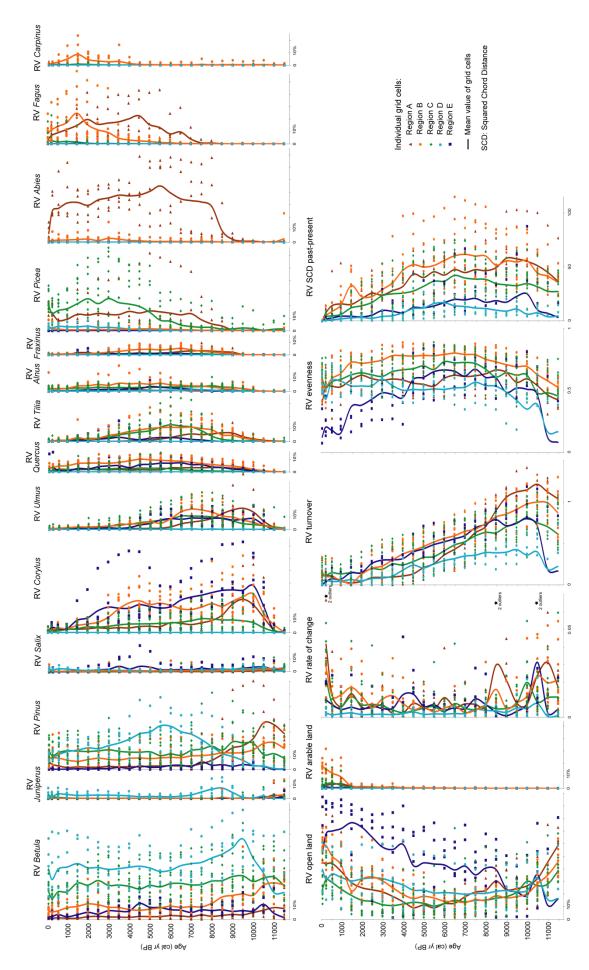
Of the indices of vegetation changes (Fig. 3), RV rate of change is the highest at the beginning of the Holocene and during the last 2000 years. Turnover decreases progressively from 10000–9000 BP to present. RV evenness increases gradually to attain highest values ca. 7500 BP and then decreases in most regions, but high values are maintained until 1500 BP except for Region E, where it decreases from 4500 BP and drops abruptly at 1500 BP. RV SCD past-present indicates that similarity between past and present vegetation increases gradually from 4500 BP.

382

383 **3.2. Land-use effect on vegetation based on the similarity RV–LPJGV indices**

The S-values quantify the similarity between the RV and LPJGV indices. They were used in CONISS to identify periods when land use might have influenced vegetation change; i.e. periods with low similarity indicate an impact of human activities on vegetation. The results (Fig. 4) highlight two major periods (I-II), each divided into two sub-periods (A and B). Sub-period I.A is also separated into two phases, a and b, although we describe hereafter sub-period I.A as a whole.

390 Period I starts at 9000 BP with sub-period I.A (ca. 9000-4500 BP) characterised 391 by high similarity for turnover and evenness in all regions. From 5000-4500 BP, S decreases 392 in some regions. Similarity for SCD past-present decreases in most regions over period I.A. 393 For rate of change, it gets low values between 9000-7000 BP, higher values between 7000-394 5500 BP, and low values again until 4500 BP. Sub-period I.B (ca. 4500–2000 BP) exhibits a 395 reduction in similarity for turnover in all regions, for evenness in Region E, and for SCD past-396 present in most regions (S-values for SCD past-present increase in regions B and C at 3000 397 BP). Similarity for rate of change follows an increasing trend in most regions until 2500 BP.



399 Figure 3 Upper panel: grid-based REVEALS estimates (RV) of plant abundance for major tree and shrub taxa 400 ordered according to time of their first occurrence in each grid cell (n=36) and for the five regions (A-E) (see 401 Fig. 1), as well as mean curves of all grid cells. Lower panel: RV of open-land indicators (Artemisia, Calluna 402 vulgaris, Cerealia-t, Cyperaceae, Filipendula, Gramineae, Plantago lanceolata/media/montana, Rumex acetosa-403 t, Secale cereale) and arable land (Cerealia-t, Secale cereale); indices of vegetation change (rate of change, 404 turnover, evenness, and difference between past and present vegetation (SCD past-present)) calculated using 405 RV-based vegetation. Stars in the RV rate-of-change column indicate outliers included in the calculations. See 406 main text for further explanation. A spline function has been used to smooth the trend lines.

407

408 Period II starts with sub-period II.A (ca. 1500-500 BP), corresponding to a 409 general decrease in similarity for turnover and a slight decrease for evenness in all regions 410 (except in region E where it is strong), a general increase in rate of change (except in Region 411 D), and a stabilisation or rise of SCD past-present (except in Region E). Sub-period II.B (the 412 last 500 years) exhibits a reduction in similarity for rate of change (except in Region D), 413 evenness in regions A and E, turnover in Region E, and SCD past-present in all regions. 414 Similarity, however, increases for turnover in regions A, B, C, and D, and increases only 415 slightly for evenness in regions B, C, and D.

416

417 **3.3 RV variation explained by climate and land use**

418 *3.3.1 The explanatory variables*

419 The simulated estimates of Holocene changes in the climate variables of 420 temperature (T) and precipitation (P) are presented in Figure 5. Temperature decreases 421 progressively through the Holocene in the entire study area, with similar warm and cold 422 anomalies except in Region E, where T does not change substantially until the Little Ice Age 423 (LIA). Warm anomalies are seen in the intervals 5000–4000, 3000–2500, and 1500–1000 BP. 424 The major cold anomaly is the LIA, followed by those at 3500 and 2000 BP. Temperature 425 increases in all regions after 250 BP. Precipitation shows a progressive decrease in regions A 426 and E, while there are minor changes in regions B, C, and D. P increases in regions B and A 427 from 500 and 250 BP, respectively.

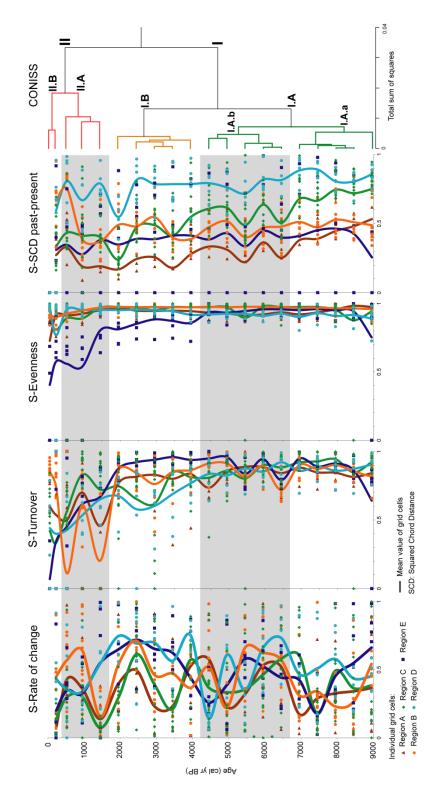
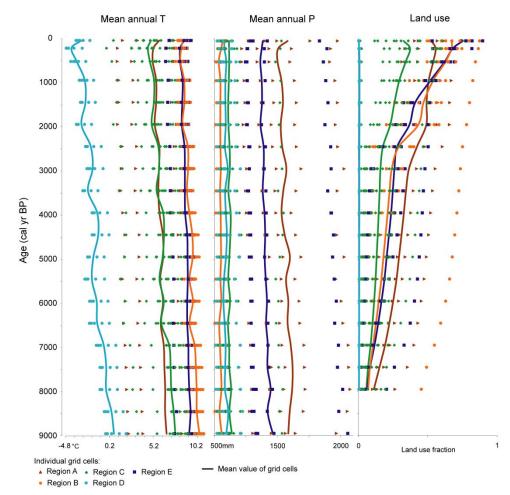


Figure 4 Comparison of the indices of vegetation change calculated using REVEALS- and LPJGV-based vegetation for the 36 grid cells and regions A–E (see Fig. 1). Similarity between the RV and LPJGV indices is measured by S (see Method section and Appendix C for details). CONISS was used to identify periods when land use might have influenced vegetation change (i.e. periods with low similarity indicate the impact of human activities on vegetation). A spline function has been used to smooth the trend lines.

Holocene changes in the land-use variable show a progressive increase of
deforestation from 8000 until 2500 BP (3000 BP in Region A) in all regions except Region D.
The increase is slowest in Region C and landscape openness is greatest in Region A. From
2500 BP (3000 BP in Region A), deforestation increases more rapidly until 250 BP in all
regions (except Region D). Deforestation decreases during the last 100 years in regions A, B,
and C.



441 442

Figure 5 Trends in climate variables of mean annual temperature T and precipitation P (from the Earth System Model), and the land use-variable (KK10 deforestation scenario). A spline function has been used to smooth the trend lines.

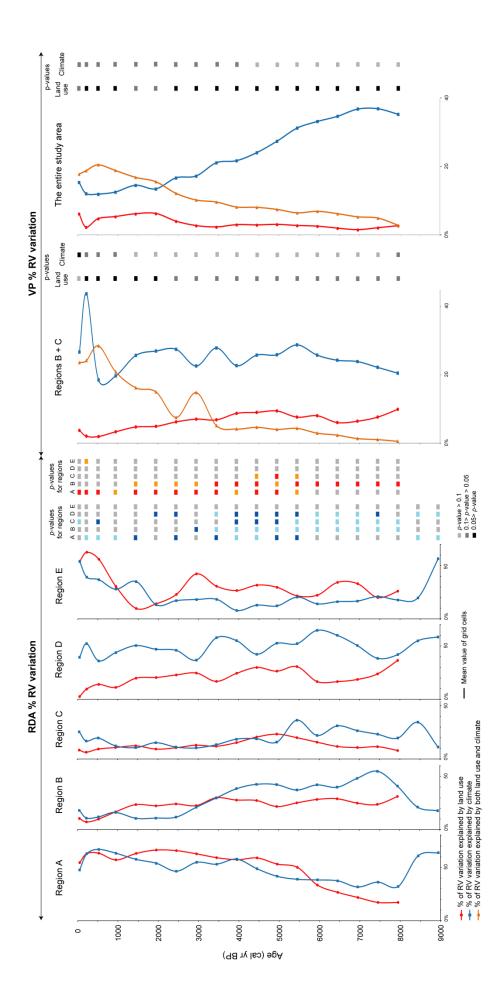
446

447 3.3.2 Effects of climate and land use based on RDA

The term "good predictor" used below and in the next sections refers to those explanatory variables with *p*-values<0.05 that have been estimated in the RDA permutations that test the null hypotheses (Table 2). *P*-values evaluate the statistical significance of the test whereas the percentages of explained variation are a measure of how much the explanatory
variable explained changes in RV variation. *P*-values and percentages of explained RV
variation are two different but complementary types of information.

454 The effect of climate based on RDA is shown in Figure 6. The results indicate 455 that in Region A, climate is a good predictor (most *p*-values <0.05) of RV variation during 456 9000-8500 and 5500-200 BP, with the percentages of RV variation explained being high 457 (39-67%). In Region B, climate is also a good predictor until 3000 BP with 18-55% of RV 458 variation explained. After 3000 BP, climate is not a good predictor with only 10–21% of RV 459 variation explained. In Region C, climate is a good predictor until 4000 BP and for the last 460 500 years, with 11-37% of the RV variation being explained before 4000 BP, 10-18% for the 461 period 4000-500 BP and 17-26% for the last 500 years. In Region D, climate is a good 462 predictor up to 2000 BP and 37-65% of the RV variation is explained until then, while it is 463 not a good predictor from 2000 BP with 36-52% of RV variation explained. In Region E, 464 climate is not a good predictor for the entire Holocene. Here, the RV variation explained by 465 climate varies between 8 and 57% from 9000 to 2000 BP, rising to 28-54% over the last 2000 466 years.

467 The effect of land use is also shown in Figure 6. RV variation explained by land 468 use increases in all regions from 7000 (E), 6500 (A, B) and 6000-5500 BP (C, D), attains 469 maximum values, 67% (A, 2000 BP), 43% (E, 3000 BP), 30% (B, 3500 BP), 30% (D, 5500 470 BP) and 24% (C, 5000 BP), then decreases. The exception is Region E, where values are low 471 between 2500 and 1500 BP and then increase to a profile maximum of 63%. In Region A, 472 land use is a good predictor (most *p*-values <0.05) of RV variation from 5500 BP. In Region 473 B, land use is a good predictor until 1500 BP. In Region C, land use is a good predictor only 474 between 5500 and 4500 BP. In Region D, land use is not a good predictor for the entire 475 Holocene, and in Region E, it is a reliable predictor only at 250 BP.



477Figure 6 Percentages of the variation in REVEALS estimates (RV) explained by the climate (T + P) and land-
use variables in regions A–E based on RDA, and for regions B and C together, and the entire study area (regions
A–E) based on variation partitioning (VP). The *p*-values show the significance of the climate (blue) and land-use
(red/orange) explanatory variables as a predictor of RV, with a range from good (p < 0.05) to bad (p > 0.1)
predictor. A spline function has been used to smooth the trend lines.482

- 483 Climate explains a higher percentage of RV variation than land use until 6000 484 (A), 5500 (C) and 4000 BP (B), and for the entire Holocene in Region D. In regions A and B, 485 land use is a better explanation of RV variation than climate until 1500-1000 BP. In Region 486 C, land use is a better explanation of RV variation at 5000, 3000 and 1500 BP. In that region, 487 the explanations of RV variation by climate and land use are very similar until 1000 BP. The 488 last 1000 years are characterized by a new dominance of climate over land use in regions A, B 489 and C, although land use is again dominant for the last century in Region A. In Region E, 490 climate has lower explanation of RV variation than land use for most of the last 8000 years: 491 climate explains higher percentage of RV variation than land use only at 1500 BP; however, 492 for most of the Holocene, neither are significant predictors in this region..
- 493

494 3.3.3 Effects of climate and land use based on variation partitioning

495 Variation partitioning (VP) results performed for the entire study area and 496 regions B+C are presented in Figure 6. The average percentage of unexplained variation over 497 the Holocene is 60% (entire area) and 58% (regions B+C). Land use is a stronger predictor 498 (most of p < 0.05 or < 0.1) than climate through most of the Holocene. Climate explains most 499 RV variation from 8000 BP (36% entire area; 29% regions B+C) to recent times (100–0 BP: 500 16% entire area; 27% regions B+C). There is a marked increase at ca. 250 BP, with 44% for 501 regions B+C. Land use explains only a small fraction of RV variation (2.5-6% entire area; 2-502 10% regions B+C) through the Holocene. For the whole study area, there is an increase of RV 503 variation explained by land use between 3000 and 2000 BP, a decrease until 250 BP, and a 504 rise again to the present. For regions B+C, the trend is one of a decrease until 6500 BP, a slight enhancement until 4000 BP, then a fall to 500 BP followed by an increase to the present. Percentages of RV variation explained by land use and climate combined increase steadily from 8000 to 3500 BP (3–10% entire area; 0.5–5% regions B+C), a further rise from 3500 BP to 500 BP (10–21% entire area; 5–28.5% regions B+C), and a decrease to the present. There is a strong increase at ca. 3000 BP for regions B+C.

The VP results for the entire Holocene and i) all regions together and ii) each region A–E separately, are presented in Figure 7. They show that in general only a small part of the variation is explained by climate and land use. Climate explains more of the variation than land use for all regions taken together and for regions A, C, and D, while land use explains more of the variation in regions B and E. The interactions of climate and land use do not explain much of the variation in most regions. When the whole Holocene is considered, climate has a significant effect in Region B and land use in Region C.







<sup>Figure 7 Results of the variation partitioning over the entire Holocene. Percentage of the variation in REVEALS
estimates (RV) explained by the climate and land-use variables, in each of the regions A–E (see Fig. 1) and in all
regions together. The percentages of unexplained variation are also shown. The significance of the results is
indicated (NS: Not Significant, ** Significant (</sup>*p*-value<0.05)).

Table 3 Results of the variation partitioning for each grid cell and the whole Holocene. This table shows, for each grid cell, the percentages of variation in REVEALS estimates explained by the climate and land-use variables in each of the regions A–E (see Fig. 1). The significance of the results is indicated (NS: Not Significant, ** Significant (*p*-value<0.05)).

Regions	Grid cells	% of explained REVEALS variation (and effect significance)			
		Climate	Land use	Both climate and land use	
	1	4 **	23 ns	22.5	
	2	3.5 **	13 ns	54.5	
Α	3	3 **	7 ns	33	
_	4	3 **	2.5 **	35.5	
	5	4 **	6.5 NS	35	
	6	0.5 **	7 ns	62	
	8	8.5 NS	11.5 ns	17	
	9	12 NS	9.5 ns	37	
	11	18 NS	22 NS	18.5	
В	12	3 **	12.5 ns	42	
	13	1.5 **	49 NS	26.5	
	15	2 **	37 ns	18.5	
	16	1 **	29 NS	48.5	
	18	1 **	28 NS	28.5	
	17	12 NS	26 NS	4	
	20	2 **	17.5 ns	31	
	21	3.5 **	7 ns	32	
	23	3.5 **	49 NS	23	
	24	13.5 NS	18 NS	27	
	25	47 ns	4.5 **	0.5	
с 	26	16 NS	11 NS	28.5	
	27	15.5 NS	3 **	36.5	
	28	5 **	5 **	37.5	
	29	13 NS	9.5 NS	33	
	30	22.5 NS	13 NS	17.5	
	31	28 NS	5.5 NS	8	
D	32	20 NS	2 **	3	
	33	28 NS	6 NS	2.5	
	34	40 NS	9.5 NS	0.1	
	35	34.5 NS	1 **	35.5	
	36	51.5 NS	3 **	2	
E	7	8.5 NS	11 NS	17	
	10	18 NS	35 NS	20.5	
	14	10 NS	19.5 NS	36.5	
	19	14.5 NS	2.5 **	55.5	
	22	19 NS	6 NS	45	

527

528 VP results performed for the entire Holocene and each grid cell separately are 529 presented in Table 3. They show a generally higher percentage of variation explained by 530 climate and land use than VP applied by region (Fig. 7), i.e. the percentage of unexplained 531 variation is lower. The results agree with the analysis by region with respect to which 532 explanatory variable explains most of the variation. Some differences are, however, observable. For Region A, land use explains most of the variation in all grid cells except one; although the effect of land use is not statistically significant, while the effect of climate is significant in all grid cells. For Region C, the explanation from climate and land use is more differentiated, as well as their apparent significance. For Region D, land use has a significant effect for three grid cells.

538

539 **4. DISCUSSION**

540 This study shows that pollen-based REVEALS estimates of plant abundance are 541 useful for the analysis of regional to sub-continental-scale vegetation change. The results 542 suggest that the combination of LPJ-GUESS-simulated potential vegetation and pollen-based 543 REVEALS estimates via a similarity index gives important information on the effects of past 544 land use on Holocene vegetation and an identification of the critical phases of land-use 545 impacts (Figs. 8 and 9). The use of simulated climate and land-use data in RDA and VP 546 provides a quantification of their respective roles in Holocene vegetation, and thereby an 547 assessment of whether climate was and is still a major controller of vegetation. All the results 548 are summarised in Figures 8 and 9 for the sub-continental area of northern and western 549 Europe north of the Alps.

550

551 **4.1 Grid-based estimates**

The grid-based RV data (Fig. 3) exhibit similar patterns to those in Marquer *et al.* (2014), but with interesting differences discussed below. Although RV estimates inferred from pollen records of large lakes are more reliable and precise than those inferred from small sites (Sugita, 2007a; Trondman *et al.*, 2016), it may be necessary to use RV data from multiple small sites for research questions requiring vegetation reconstructions over large areas (e.g. at the European scale, Trondman *et al.*, 2015). We show here that the RV from 558 grid-based multiple sites in our study area are generally reliable. Trondman *et al.* (2016) used 559 pollen records from large lakes and small sites in southern Sweden and showed that the RVs 560 from multiple small sites generally agree with estimates from large lakes. They did, however, 561 have larger error estimates, which corroborated the tests performed with simulated data by 562 Sugita (2007a).

563 The grid-based RV data are founded on the 25 major plant taxa for which we 564 have reliable pollen-productivity estimates (Trondman *et al.*, 2015). This has implications for 565 the interpretation of RV-based indices, in particular RV evenness, which cannot be compared 566 with palynological and floristic evenness (Odgaard, 2007; Giesecke et al., 2014b; Birks et al., 567 2016). Palynological evenness includes all taxa identified in pollen assemblages and floristic 568 evenness comprises all species present in the vegetation -a difference that should be borne in 569 mind. This implies that the RV evenness corresponds to general trends in vegetation 570 composition, whilst palynological and floristic evenness responds to vegetation changes at the 571 taxon level. However, palynological evenness introduces biases in the results as it does not 572 consider any corrections for the differential production and dispersal of pollen. The 25 plant 573 taxa used to calculate RV evenness are the major ones and therefore account for a large part 574 of the vegetation changes. Further discussion on the evenness estimates based on pollen data 575 can be found in Matthias et al. (2015). Here we consider the RV evenness as an index of 576 change in vegetation composition rather than a diversity index.

577 Differences between grid-based RV and LPJGV data may have various causes. 578 Several studies have compared the results of ecosystem model simulations with pollen-579 accumulation rates and pollen percentages (e.g. Miller *et al.*, 2008), or pollen-based RV (e.g. 580 Pirzamanbein *et al.*, 2014). The outputs from these may differ significantly during some 581 periods of the Holocene. Pollen-based estimates typically include human-induced vegetation 582 which is not the case for ecosystem model data. Other causes of differences include ecological

583 processes such as tree migration (cf. migrational lag in *Picea* and *Fagus*; Huntley, 1989) and 584 soil development, which are not effectively modelled by ecosystem model simulations. Note 585 that a recent study by Giesecke et al. (2017) suggests that the late Holocene expansions of 586 Picea and Fagus might not be explained by migrational lag. Furthermore, the use of simulated 587 rather than empirical records of past climate may further affect the results. However, Miller et 588 al. (2008) show that many aspects of past change are captured by ecosystem model 589 simulations. Acknowledging these limitations, it can be assumed that the more similar that 590 RV and LPJGV indices are, the more the indices are likely to be controlled by climate, and 591 the less by land use. More details on the differences between RV and LPJGV are presented in 592 Appendix C.

593 The land-use and climate effects on grid-based vegetation estimates have been 594 quantified using similar approaches to Reitalu et al. (2013) and Kuosmanen et al. (2016a, 595 2016b), although there are significant methodological differences between the studies; viz. i) 596 the size of the study region, ii) the number of pollen records used for reconstruction, iii) the 597 length of the period studied, iv) the indicators of land use and climate used as an explanatory 598 variable. These previous studies mainly use single sites, and showed that site characteristics 599 explain a relatively large part of the variation in RV estimates. As we use multiple sites for 600 each individual grid-based RV, we did not analyse the effect of site type and number on the 601 outcomes. The results of Trondman et al. (2016) show that the number of sites can clearly be 602 significant as an explanatory factor. The fact that the unexplained variation (60%) is much 603 larger in our analysis than in the study of Reitalu et al. (31%) indicates that site characteristics 604 may explain a large part of the variation. We also investigated the last 9000 years while 605 Reitalu et al. (2013) examined the last 5000 years, and this may also contribute to differences 606 in the results. Kuosmanen et al. (2016a, 2016b) assessed most of the Holocene (the last 9000 607 years) and likewise have a large unexplained variation in several cases (30-76%). The size of 608 the study region and the length of the period examined correspond to the spatial and temporal 609 scales of the analyses. A large study region across longer time period would introduce 610 additional drivers of change that would need to be considered in the analyses, e.g. variation in 611 soil types; this might partially explain the high unexplained variation. Further, as an indicator 612 of land use, Reitalu et al. (2013) used pollen data from the same pollen records as those used 613 to reconstruct forest composition. This implies that the land-use explanatory variable is not 614 totally independent of the response variable, which may also reduce the unexplained variation 615 in their results.

616

617 **4.2** Climate is the major driver of vegetation when the Holocene is considered as a whole

618 It appears difficult to separate climate and land-use effects when the Holocene is 619 considered as a whole (Fig.7; Table 3). In particular, this is because all Holocene climate and 620 human periods are considered together. In most cases, climate better explains vegetation 621 composition than land use does. Furthermore, 15 grid cells indicate climate to be a good 622 predictor (i.e. p-values<0.05) of Holocene vegetation, compared to 8 for land use. For all 623 regions (where climate explains 11% and land use 6%) or Region C (where climate explains 624 12% and land use 5%), including Estonia, our results are similar to those of Reitalu et al. 625 (2013; climate explains 10.2% and land use 3.8%), although the combined effect of both 626 indicators is very low in our study. For instance, in Region C, the combined effect of land use 627 and climate based on individual grid cells ranges between 20 and 40%, i.e. these results are 628 closer to those of Reitalu et al. (21.3%) than those from the VP using grid cells together. The 629 estimates of Kuosmanen et al. (2016a) also confirm the role played by climate as a major 630 control of vegetation changes; their estimates are, respectively, higher and lower than ours for 631 climate (24.3%) and land use (1.6%) when all sites are employed for VP. Climate is therefore 632 the main control of vegetation change when the Holocene is considered as a whole.

Regional variability indicates that in regions B (northern Germany, southern Sweden, Poland) and E (Great Britain, Ireland), respectively, there is a greater vegetational composition effect arising from land use (13 and 22% of the variation) than from climate (5 and 9%). This seems logical, as regions B and E include the lowland areas of NW Europe with the largest changes in open land extent developing from major agricultural impact, and they also feature areas which experience maritime rather than continental climate, rendering them less sensitive to climate change.

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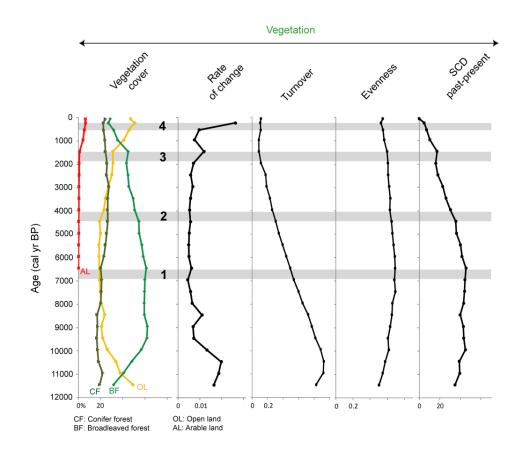
641 **4.3 Early effect of land use and climate controls vegetation at 7000–6500 BP**

642 The first impacts of land use on vegetation are recorded at 7000-6500 BP (1 in 643 Fig. 8). At a sub-continental scale, this period corresponds to a slight acceleration (increase in 644 rate of change) of vegetation changes, with the beginning of arable agriculture, a decrease of 645 broadleaved forest and an expansion of coniferous woodland. These modifications cause the 646 start of a gradual decrease in RV evenness at the sub-continental scale. At regional scales, 647 slight decreases in RV evenness are found in regions A, C, and E from 6000-5000 BP. These 648 results are consistent with a decrease in palynological evenness from 7000-6000 BP in 649 Germany (Matthias et al., 2015). Note that the decrease in evenness in Germany is mainly due 650 to an increase in rare taxa. We do not use rare taxa in our study. Vegetation composition also 651 starts to be similar to today vegetation from this time (decrease in SCD past-present).

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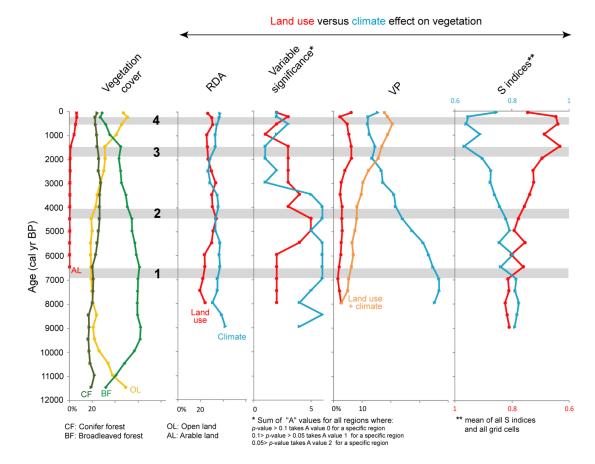
654 Figure 8 Summary of the sub-continental patterns of Holocene change in vegetation cover for four categories 655 656 (conifer forest, broadleaved forest, open land and arable land) and indices of vegetation change (rate of change, turnover, evenness, and difference between past and present vegetation (SCD past-present)). All the values in 657 this figure correspond to the mean of all grid cells for each time window. All vegetation compositions and covers 658 are based on the grid-based REVEALS estimates. Note that for arable land cover, a threshold at 0.05% has been 659 applied. By 'sub-continental' pattern, we mean northern and western Europe north of the Alps. The four grey 660 zones are the critical phases of land-use effects on vegetation composition which correspond to the boundaries between the CONISS sub-periods. 1: I.A.a/I.A.b (7000-6500 BP); 2: I.A/I.B (4500-4000 BP); 3: I.B/II.A (2000-661 662 1500 BP); 4: II.A/II.B (500–350 BP).



663

664

Land use is a good explanatory predictor for these changes in vegetation - the 665 666 RDA % RV variation explained increases in all regions from 7000-5500 BP (Fig. 6). From 667 6000 BP, land use becomes a significant predictor of vegetation (Fig. 9). The effects of land use on vegetation are confirmed by the RDA and VP analyses and the S indices - all three 668 669 land-use measures are enhanced from 7000-6500 BP (Fig. 9). VP, performed for regions B 670 and C (Fig. 6), also supports this conclusion with an increase of land-use influence on 671 vegetation at 6000 BP. Marquer et al. (2014) showed that the human impact on vegetation 672 composition had started from ca. 6700 BP at the sub-continental scale. However, that study 673 suggested that the impact on vegetation of agricultural intensification and human population 674 growth, indicated by rapid compositional change, large decrease in turnover, and stabilisation 675 or decrease of RV evenness, is observed only from ca. 5200 BP. The present study highlights 676 even earlier consequences for human activities on vegetation.



678 Figure 9 Summary of the sub-continental patterns of Holocene change in i) vegetation cover for four categories 679 (conifer forest, broadleaved forest, open land and arable land), and ii) the roles played by land use and climate in 680 vegetation composition (RDA, variable significance, VP and S indices). All the values in this figure correspond 681 to the mean of all grid cells for each time window. All vegetation compositions and covers are based on the grid-682 based REVEALS estimates. Note that for arable land cover, a threshold at 0.05% has been applied. RDA: 683 redundancy analysis; Variable significance: values based on the results of the Monte Carlo tests; VP: Variation 684 partitioning; S indices: similarity between REVEALS and LPJ-GUESS estimates. By 'sub-continental' pattern, 685 we mean northern and western Europe north of the Alps. The four grey zones are the critical phases of land-use 686 effects on vegetation composition which correspond to the boundaries between the CONISS sub-periods. 1: 687 I.A.a/I.A.b (7000–6500 BP); 2: I.A/I.B (4500–4000 BP); 3: I.B/II.A (2000–1500 BP); 4: II.A/II.B (500–350 BP). 688

677

689 Deforestation, the rise of open land, appears to have started from 6500 BP in 690 regions B and D and from around 8500 BP in Region E. It has long been accepted that 691 Neolithic forest clearances started to affect vegetation in central Europe from 7000–6000 BP 692 (e.g. Behre, 1988; Berglund et al., 1996). Other studies using pollen-based RVs (e.g. Nielsen 693 et al., 2012; Lechterbeck et al., 2014) or pseudobiomisation (Woodbridge et al., 2014) also 694 indicate an early human impact on regional land cover. Biomisation results suggest a decrease 695 in forest cover for northern and central Europe around 7000 BP (Davis et al., 2015). The 696 beginning of farming is recorded at 7400 BP in central Germany and 6000 BP in northern Germany (Shennan *et al.*, 2013). More particularly, our study shows that open land increases at the expense of most broadleaved trees (*Ulmus, Corylus, Quercus, Tilia* and *Fraxinus*) which supports the progressive decline in broadleaved forest from 6000 BP observed by Fyfe *et al.* (2015). It is important to note, of course, that the earliest reductions in woodland (and certainly in Britain and Ireland) are more likely to have resulted from Mesolithic rather than Neolithic activity, quite apart from considerations of animal grazing and forest openness (Vera, 2000; Mitchell, 2004; Smith and Whitehouse, 2010).

Neolithic agriculturalists started to have significant effects on vegetation in parts of the study area from 7000–6500 BP, whereas climate influence on plant abundance and composition gradually decreases from 7000 BP. However, climate remains the major driver of vegetation at the sub-continental scale, explaining 37% of the variation in vegetation composition at 7000 BP against 1.5% for land use (see VP in Fig. 9).

709

710 **4.4 Land use becomes a major driver of vegetation from 4500–4000 BP**

711 The second phase of land-use effects on vegetation is recorded from 4500-4000 712 BP (2 in Fig. 8). At a sub-continental scale, this phase is characterised by the occurrence of 713 arable land, an increase of open land and further decreases of broadleaved forest (Fig. 8). This 714 spread of open land at the expense of broadleaved trees supports the most significant decrease 715 in forest cover and broadleaved forest at 4000 BP observed by Fyfe et al. (2015). Davis et al. 716 (2015) inferred the establishment of similar patterns in modern European vegetation from 717 4000 BP. Our results also indicate the gradual development of modern vegetation (decrease in 718 SCD past-present) from 4000 BP at a sub-continental scale. Although the cover of 719 broadleaved forests has been substantially reduced by land use, Fagus and Carpinus might 720 have been favoured by human activities during the late-Holocene (Ralska-Jasiewiczowa et al., 721 2003; Bradshaw and Lindbladh, 2005; Giesecke et al., 2007; Bradley et al., 2013). This

assumption is supported at the local scale by earlier pollen studies (e.g. Björkman, 1999) which discuss factors other than climate that are crucial for the establishment of species (e.g. disturbance, seed dispersal, human activities). Nevertheless, our results indicate that Neolithic deforestation was the change that most affected tree composition in most of Europe from 4500–4000 BP. In particular, deforestation from 4000 BP caused a decreasing trend of RV evenness in regions B and C (slight) and in Region E (significant), and increasing trends in rate of change in regions E and B, and from 3500 BP in regions A and C.

729 The human agency of these vegetation changes would seem to be confirmed by 730 the still increasing influence of land use based on VP, as well as the increase of the combined 731 effect of land use and climate. Furthermore, the land-use effect on S starts to increase from 732 4500 BP, and from ca. 3500 BP, land use has a higher significance than climate in the RDA 733 (Fig. 9). Land use has the highest values as predictor of vegetation composition for the period 734 5500–2000 BP (Fig. 6) – all regions attain their maximum values in term of percentage of RV 735 variation explained by land use (except Region E). At 3500 BP, land use provides a greater 736 explanation of vegetation composition than climate in most of the regions (except Region D; 737 Fig. 6). For regions B and C, VP further indicates the end of the regular increase of climate 738 influence on vegetation at 5500 BP. Reitalu et al. (2013) found that land use becomes the 739 strongest driver of forest compositional change from 4000 BP in Estonia. Our study shows 740 that land use becomes a major controller of change, albeit less important to climate in terms of 741 VP (at a sub-continental scale), although climate influence on vegetation still decreases 742 strongly and gradually; at 4000 BP, climate accounts for 22% compared to 3% for land use.

743

744 **4.5** Combined effect of climate and land use on vegetation from 2000–1500 BP

From 2000–1500 BP, a third phase begins of a land-use effect on vegetation
composition (3 in Fig. 8). This is characterised by the widespread extension of arable land and

the second greatest period of deforestation after that from 4000 BP. This causes a strong reduction of broadleaved and, to a lesser extent, coniferous forest, with the highest rate of vegetational change observed since 10500 BP. These large increases in the cover of arable and open land for the last 2000 years over the entire study area corroborate the findings of Marquer *et al.* (2014), Davis *et al.* (2015), and Fyfe *et al.* (2015).

752 The major consequences of these rapid changes are a break in the decreasing 753 trend in turnover and a further decrease in RV evenness at the sub-continental scale. The 754 decrease in RV evenness over the last 1500 years in all regions, except D, was not found in 755 the earlier study by Marquer et al. (2014) which showed a relatively constant RV evenness 756 through the late-Holocene. Matthias et al. (2015) also found a decrease in palynological 757 evenness, and Reitalu et al. (2015) a slight decrease in pollen percentage-based and RV-based 758 evenness, as well as a decrease in phylogenetic diversity after 2000 BP. This suggests that 759 land-use changes over this period had more negative effects on pollen-based measures of 760 evenness than during earlier periods.

761 Land use is a major factor of vegetation composition over the last 2000 years, as 762 the S indices show (Fig. 9). During this period, vegetation continued to become progressively 763 more similar to that of today (decrease in SCD past-present) and thereby human influenced on 764 vegetation is still increasing. This is not so evident if we consider the RDA results as these 765 tend to indicate a larger climate than land-use influence (Figs. 6 and 9), while climate 766 overtakes land use in significance from 1000 BP, except in Region E where land use rises and 767 is higher than climate. Furthermore, the decreasing trend in climate-induced vegetation (see 768 VP) is more regular from 2000 BP. It is also at this time that the combined effect of climate 769 and land use on vegetation starts to be the major driver of vegetation composition at the sub-770 continental scale. Reitalu et al. (2013) also found that both climate and land use have 771 significant effects on forest compositional change during the late-Holocene in Estonia.

772 Fyfe et al. (2015) and Davis et al. (2015) argue that anthropogenic changes in 773 land cover over the past 2000 years resulted in the establishment of the modern cultural 774 landscape of Europe. This landscape saw a consolidation of settlement and land-use structures 775 from the Late Iron Age (supported by archaeological and palaeoecological data) that has 776 persisted with attendant cultural impacts for almost two millennia (e.g. Berglund *et al.*, 1991; 777 Gaillard et al., 2009). Our results suggest that humans had a major impact on vegetation 778 composition over the last 2000 years, but that land use alone was not the most important 779 driver of vegetation change (in terms of percentage of variation explained). Instead, land use 780 and climate together governed vegetation change. There are various explanations for the 781 finding of the continued role of climate on regional vegetation cover throughout the late-782 Holocene. For example, the expansion of Picea across Fennoscandia was most likely a 783 consequence of the shift to a cooler climate and increased seasonality, which probably 784 contributed to the decline of temperate broadleaved trees and retreat of the arctic tree line (e.g. 785 Kullman, 2001; Giesecke and Bennett, 2004; Seppä et al., 2009). Land use might also have 786 been partly controlled by climate - the decrease of RV variation explained by land use at 350 787 BP occurred during the phase of Little Ice Age cooling. This climate event probably affected 788 farming practices and crops, causing economic crises (e.g. Le Roy Ladurie, 2006; Dalgaard et 789 al., 2015). The combined effect of climate and land use on vegetation demonstrates the 790 existence of early feedback loops between climate, land use and vegetation and this becomes 791 stronger over the last millennia towards the present.

Our results suggest that climate was the strongest driver of vegetation over the study area as a whole until 2000 BP, and climate together with land use prevailed after 2000 BP. Within Europe, for both regions B and C, climate combined with land use was the major driver for a short period only (1000–500 BP). At 13.5 and 6.2%, the respective effects of climate and land use are more similar at 2000 BP than for previous periods (Fig. 9).

797 **4.6 Acceleration of vegetation changes from 500–350 BP**

798 The last phase of a land-use effect on vegetation occurs from 500-350 BP (4 in 799 Fig. 7). Arable land continues to increase until the last few centuries, but at a decreasing rate. 800 In contrast, coniferous and broadleaved forests expand again and open land is reduced over 801 the last century. These changes in vegetation cover and composition are the fastest for the 802 entire Holocene at the sub-continental scale. This marked acceleration in vegetation changes 803 is also observed at regional scales (A, B and C). This results in increases in turnover and RV 804 evenness that were otherwise decreasing over the last 10500 and 6500 years, respectively. 805 From 500-350 BP, vegetation becomes most similar to that of the present. Periods of rapid 806 change are also documented over the last 1000 years by Finsinger et al. (2017) who attribute 807 these modifications to the contribution from anthropogenic land-cover changes. The Industrial Revolution that began during the 18th century with a general expansion from the 19th century 808 and the conflation with population growth and industrialization during the 20th century, had 809 810 great influences on societies through the development of new techniques for agriculture. Land 811 use has thus been intensively and extensively developed and its impact on vegetation might 812 have been substantial over short time scales, resulting in rapid changes in vegetation composition. The mid-20th century is referred to as a period of great acceleration of 813 814 population growth and industrialization (Ellis, 2011; Steffen et al., 2015; Waters et al., 2016). 815 This may be a direct or indirect cause of our identified acceleration in vegetation changes 816 during the last centuries.

Humans are therefore a major driver of vegetation composition over the last centuries. However, our results emphasise that while people have a major control over vegetation change, climate also exerts a strong influence. In the previous period (**3** in Fig. 9), the combined effect of climate and land use on vegetation was the major driver, but for the last centuries, the effects have diverged; there is a decrease in the combined effect in VP and

an increase in the individual influences of the two factors. Individual climate impact on vegetation is higher than the land-use influence at this time (see RDA in Fig. 9). S indices may further indicate an increase in the effect of climate (compared to land use) on vegetation over the last few centuries, possibly related to the Little Ice Age and subsequent warming, perhaps combined with the reduction in the area of arable land observed in most of the study region, and the resulting reforestation, with the species composition of the forests more dependent on climate.

Humans, via intense (e.g. large scale deforestation and expansion of arable land) and rapid (the last centuries) impacts, have greatly modified the Holocene trends in all indicators of vegetation. These human-induced changes correspond to a unique signature over at least the last 11700 years.

- 834 **5. CONCLUSIONS**
- The grid-based REVEALS estimates of plant abundance are useful for the analysis of
 regional to sub-continental-scale vegetation change, i.e. for northern and western
 Europe north of the Alps.
- The combination of pollen-based REVEALS estimates with climate, dynamic
 vegetation and anthropogenic deforestation modelling provides new insights into the
 relative impacts of land use and climate on Holocene vegetation.
- Climate is the major driver of vegetation composition for the Holocene as a whole,
 although a regional variability is observed and land use has been an important factor in
 northern Germany, Great Britain, Ireland, southern Sweden and Poland.
- Early effects of the beginning of farming and Neolithic forest clearances (reduction of 845 broadleaved forest) on vegetation are recorded from 7000–6500 BP. The

846 establishment of modern European vegetation patterns starts at that time. Climate is847 still the dominant controller of vegetation.

- Land use, in particular deforestation of broadleaved woodland, becomes a major driver
 of vegetation from 4500–4000 BP, although climate is still the major control. Climate
 influence is, however, decreasing.
- Climate is the strongest driver of vegetation until 2000 BP, and climate together with
 land use prevails after 2000 BP. From 2000–1500 BP, a rapid extension of farming
 and deforestation is recorded. The continued influence of climate on vegetation
 throughout the late-Holocene is most likely a consequence of shifts in late-Holocene
 climate. Farming practices and crops might also have been partly controlled by late Holocene climate events.
- Acceleration of vegetation changes from 500-350 BP characterises a unique signature of anthropogenic impacts on landscapes. This is caused by agricultural modernization and afforestation to satisfy the demands of population growth and industrialization.
 Climate and land use have again become recognizable separate effects, and the influence of climate appears to increase, possibly related to the Little Ice Age and subsequent warming.

This knowledge of land-use and climate impacts on vegetation underlines the importance of long-term trends in fully understanding natural- and human-induced variability in land cover. More attention to the time dimension could improve the formulation of sustainable adaptation strategies in nature conservation, as well as the improvement of dynamic vegetation models in order to obtain more reliable projections of future land-use and climate change impacts on ecosystems.

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871 ACKNOWLEDGEMENTS

872 This work is part of the LANDCLIM (LAND cover -CLIMate interactions in 873 NW Europe during the Holocene) project (supported by the Swedish Research Council VR) 874 and research network (supported by the Nordic Council of Ministers NordForsk) (2009-2011 and supported later by MERGE, see below) coordinated by M.J. Gaillard. It is also a 875 876 contribution to the Strategic Research Area MERGE (ModElling the Regional and Global 877 Earth system; http://www.merge.lu.se), the Swedish Research Programme on Climate, 878 Impacts and Adaptation (Mistra-SWECIA; http://www.mistra-swecia.se/en), the Linnaeus 879 Centre of Excellence LUCCI, the PAGES LandCover6k working group 880 (http://www.pastglobalchanges.org/ini/wg/landcover6k/intro) coordinated by M.J. Gaillard, 881 and the Crafoord Foundation research project 'Long term impact of changes in climate and 882 land use on forest tree species composition in south Sweden' coordinated by A.M. Jönsson 883 and L. Marquer. J.O. Kaplan was supported by the European Research Council 884 (COEVOLVE, 313797). We thank all members of the LANDCLIM network for data 885 contributions and constructive discussions and the reviewers and the editor for helpful and 886 insightful comments.

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